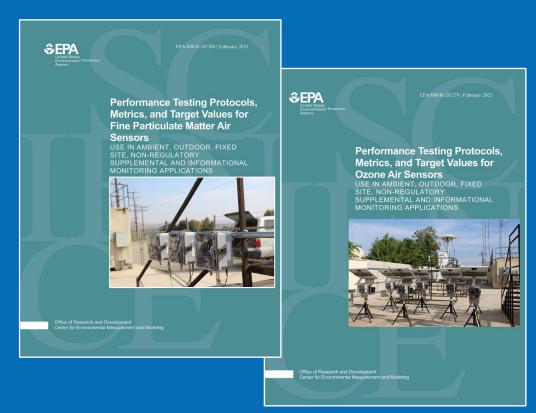


EPA Tools and Resources Webinar:
Air Sensor Performance Testing Protocols,
Metrics, and Target Values for PM_{2.5} and
Ozone

Rachelle Duvall and Andrea Clements

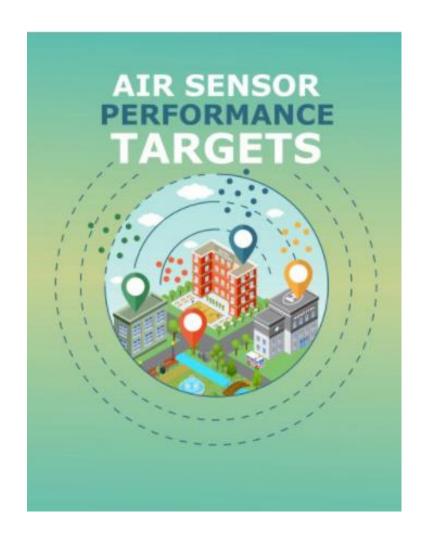
US EPA Office of Research and Development

March 24, 2021



Presentation Outline

- Background
- Motivation
- Problem and impact
- Approach
- Overview of the reports
- Anticipated outcomes
- Take home message
- Future plans
- Resources
- Contact



Background

Air sensors

- Non-regulatory technologies that measure air quality
- Term describes integrated set of hardware and software that uses one or more sensing components to detect or measure pollutants

General features of air sensors

- Lower in cost, more portable, and easier to operate than regulatory monitors
- Provide relatively quick or instant measurements
- Allow for data collection in more locations

Air sensors have encouraged innovation in air monitoring approaches



User Community and Applications

- Availability and use of air sensors has dramatically increased over the years
 - Broad user community with different levels of experience
 - Many different application areas which continue to expand

Broad User Community

- Citizen scientists
- Individuals
- Cities and community groups
- Schools
- Air quality and health agencies
- Medical professionals
- Researchers
- Academia
- Federal government agencies

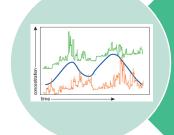
Example Applications of Air Sensors

- Air quality trends
- Supplemental monitoring
- Air quality forecasting
- Citizen science
- Education
- Environmental awareness
- Hot-spot detection
- Epidemiological studies
- Model verification

Motivation for this Work



Routine sensor evaluations are limited



Sensor data quality is highly variable



Need for consistent performance testing protocols, metrics, and targets for sensors

Routine Sensor Evaluations are Limited

- US EPA, AQ-SPEC*, and other organizations conduct routine sensor evaluations
- Evaluations are similar but not identical
- Limitations of the evaluations
 - Locations are not widespread
 - Environmental conditions are limited
 - Results may not translate for other locations and conditions
- Evaluations show sensor performance is highly variable based on
 - Sensor make/model
 - Temperature, relative humidity, and season

IMPACT:

- ✓ How do we (experts and other) interpret the results?
- ✓ **Difficult for consumers to predict how a sensor may perform** in their location if pollutant levels and environmental conditions are much different



Routine sensor evaluations are limited



Sensor data quality is highly variable



Need for consistent performance testing protocols, metrics, and targets for sensors



US EPA AIRS Site (North Carolina)



AQ-SPEC Evaluations at Rubidoux Air Monitoring Site (*California*)

Sensor Data Quality is Variable







Key challenges related to sensors:

Ability to measure pollutant of interest

 Does the sensor measure the pollutant of interest accurately and reliability within the expected concentration range of the application?

Performance under different environmental conditions

 How do factors such as relative humidity, temperature, and different pollutant concentrations and types impact sensor measurements?

Ability to measure target pollutant in a pollutant mixture

 Will the sensor measure the target pollutant in a mixture of other pollutants?

Performance over time

- How does the sensor response change over time?
- When do the sensor readings become inaccurate or unreliable?

Performance out-of-the-box

- How does the sensor perform out-of-the-box?
- Are corrections or adjustments needed to provide more accurate data?

IMPACT:

- ✓ **Lack of confidence** in data quality
- ✓ Users do not know which sensor will appropriately fit their desired application

Need for consistent performance testing protocols, metrics, and targets for sensors

- Routine sensor evaluations are limited

 Sensor data quality is highly
- Under Clean Air Act, for compliance with National Ambient Air Quality Standards (NAAQS), monitoring instruments must meet applicable requirements in the Code of Federal Regulations (CFR) Part of Title 40, Protection of Environment (e.g., 40 CFR Parts 50, 53, 58)
- Need for consistent performance testing protocols, metrics, and targets for sensors

- Sensors likely will not meet the stringent requirements
- Consistent testing protocols have <u>not</u> been available to uniformly evaluate and compare different sensor technologies
- Different data quality parameters (i.e., **performance metrics**) are used to summarize sensor performance evaluations
- Performance targets (i.e., testable performance metrics) do not exist



<u>Title 40 Protection of</u> <u>Environment</u>

IMPACT:

- ✓ Confusion on what procedures are needed to appropriately evaluate sensor performance
- ✓ Hard to compare sensor performance if different testing procedures are used and different data quality metrics are reported
- ✓ No benchmark (target) to guide technology improvements

Problem and Impact

- Understanding sensor performance and how technologies compare to each other is important but challenging
- Difficult to confidently respond to data with unknown quality
 - How can these data be trusted or interpreted?
- Hard to inform decisions based on data with unknown quality
- Public lacks understanding of sensor performance
 - If data are brought to a decision maker, it might be disregarded based on quality issues
 - Potential for public distrust or lack in confidence in decision makers

Could EPA provide guidance to help address these issues? If so, what would the guidance look like?



Approach

Reviewed published, peer-reviewed literature focusing on:

- Performance attributes (e.g., precision) to characterize instruments used to monitor air pollutants
- Quantitative performance metrics (e.g., standard deviation) that describe performance attributes
- Field and laboratory sensor performance evaluations

In coordination with ECOS, hosted workshop in 2018

- Convened panel of experts from regulatory agencies, academia, and international organizations
- Attendees: states, tribes, federal agencies, academics, sensor
 manufacturers, general public, international groups, and many others
- Gathered perspectives on:
 - State of air sensor technologies
 - Potential approaches for setting performance targets/standards
 - Lessons learned from other organizations in the process of establishing performance targets for measurement technologies



First Literature
Review



Second Literature
Review



2018 Deliberating Performance Targets for Air Quality Sensors Workshop

Approach – Continued

2018 workshop identified several possible actions

- Document best practices
- Share quality assurance results and sensor data
- Develop common performance lexicon, performance targets, and test protocols

Strategy

- Develop recommendations (in the form of reports) on evaluating sensor performance
- Focus initially on fine particulate matter and ozone sensors
- Use a single tier for recommended target values
- Focus on non-regulatory supplemental and informational monitoring (NSIM) applications in ambient, outdoor, fixed site environments
- Pursue voluntary approach rather than certification

Journal article summarizing the 2018 workshop findings



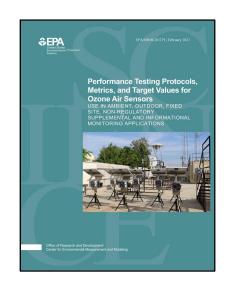
https://doi.org/10.1016/j.aeaoa.2019.100031

Results

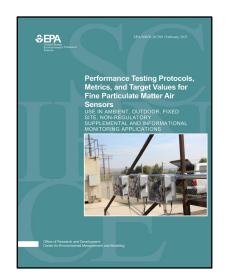
- EPA developed two reports that outline recommended performance testing protocols, metrics, and target values for air sensors
- Pollutants of focus are ozone (O₃) and fine particulate matter (PM_{2.5}) due to widespread use, understanding of technologies, and data availability
- Goal is to provide a consistent approach for performance testing <u>and</u> reporting results to help users identify sensors that meet their needs

Intended Audience:

- ✓ Testing organizations
- ✓ Sensor manufacturers
- ✓ Sensor developers



Ozone Report



Fine Particulate
Matter Report

Overview of Reports

- General layout of the O₃ and PM_{2.5} reports is similar
 - Introduction background, motivation, and objectives
 - Testing Protocols *step-by-step instructions for set up, testing, and data collection*
 - Performance Metrics recommended metrics and instructions on how to calculate and report each
 - Target Values recommended targets for each performance metric to gauge air sensor performance
 - Appendices provide definitions, supporting information for the recommendations, checklists for data collection, and reporting templates
 - Fillable reporting templates (PowerPoint files) facilitate documentation of test results

Summary of Information in the Appendices



Appendix A	Definitions			
Appendix B	Supporting Information for Testing Protocols			
Appendix C	Supporting Information for Performance Metrics			
Appendix D	Supporting Information for Target Values			
Appendix E	Base Testing Checklist			
Appendix F	Example Reporting Template for Base Testing			
Appendix G	Enhanced Testing Checklist			
Appendix H	Example Reporting Template for Enhanced Testing			

Focus and Applications of the Reports

Testing protocols are specifically for:

- Ambient, outdoor, fixed site environments
- Non-regulatory supplemental and informational monitoring (NSIM) applications

Category	Definition	Examples
Spatiotemporal Variability	Characterizing a pollutant concentration over a geographic area/and or time	Daily trendsGradient studiesAir quality forecastingCitizen scienceEducation
Comparison	Analysis of differences and/or similarities in air pollution characteristics against a threshold value or between different networks, locations, regions, time periods, etc.	 Supplemental monitoring Hot-spot detection Data fusion Emergency response
Long-term Trend	Change in a pollutant concentration over a period of typically years	Long-term changesEpidemiological studiesModel verification

Overview of the Testing Protocols

Two testing protocols are recommended

Base Testing (Field)	Enhanced Testing (Laboratory)
 Evaluate sensors in the field – ambient, outdoor, fixed site environment 	 Evaluate sensors in a controlled laboratory exposure chamber
 Purpose Obtain information on sensor performance in real-world, ambient, outdoor conditions Provides consumers information on how they might expect a sensor to perform in similar conditions 	 Purpose Evaluate sensors over a wider range of conditions that may be more difficult to capture in the field Characterize certain performance parameters that are difficult to test in the field

Testers are encouraged to conduct base testing <u>at minimum</u>. Enhanced testing is also encouraged although it calls for a controlled laboratory exposure chamber.

Overview of Base Testing Protocol

- Field deployment of 3 or more identical air sensors with collocated Federal Reference Method or Federal Equivalent Method (FRM/FEM) monitors
- Testers have different options for field sites
 - Set up their own FRM/FEM monitors at an outdoor, ambient site
 - Establish collaborations with state/local/tribal agencies who manage existing air quality monitoring sites
- Collect measurements for at least 30 consecutive days
- 2 field deployments recommended to evaluate sensors under different pollutant concentrations, ambient temperatures (T), and relative humidity (RH) levels

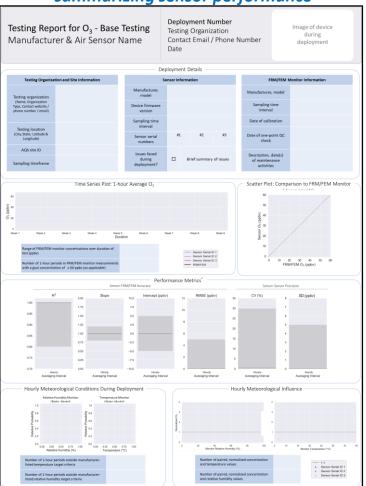
Recommended Test Site Selection Criteria

Base Testing	O ₃	PM _{2.5}
Test Sites	2 deployments at 1 site OR 2 different sites	2 deployments at 2 different sites
Season and Pollutant Level	1 deployment during O_3 season (goal 1-day, 1-hour average O_3 level of \geq 60 ppbv) AND 1 deployment anytime	2 different climate regions for each site (goal 1-day, 24-hour average $PM_{2.5}$ level of \geq 25 $\mu g/m^3$)

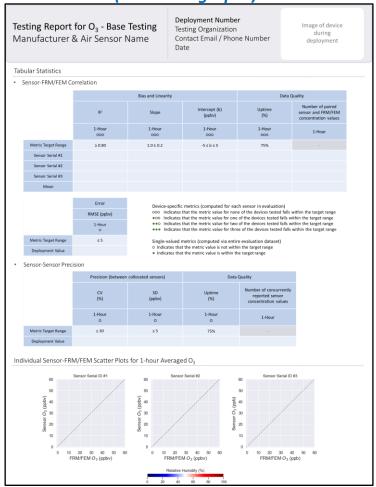
Example O₃ Reporting Template – Base Testing

• Fillable template provided (PowerPoint file)

Details on deployment, visual plots summarizing sensor performance



Summary performance statistics (table and graphs)



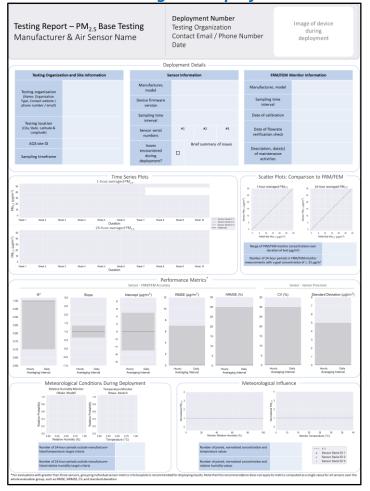
Supplemental materials

			T -						
Testing Report for O_3 - Base Testing Manufacturer & Air Sensor Name			Deploymer Testing Org Contact Em Date		Image of device during deployment				
Supplem	Supplemental Information								
and		ng period,	maintenance logs	for sensors and F	de this report. Such documenta RM/FEM monitors, standard op				
	Supplemental Documentation	Attached		Description	& URL or file path to documentation	n			
	Field observations								
	Maintenance logs								
	Standard operating procedure(s)								
	Photos of equipment setup and testing								
	Product specifications sheet(s)								
	Product manual(s)								
	Deployment issues								
	Data storage and transmission method								
	Data correction approach								
	Data analysis/correction scripts and version								
	Air monitoring station QAPP								
	Summary of FRM/FEM monitor QC checks								
	Other documents								

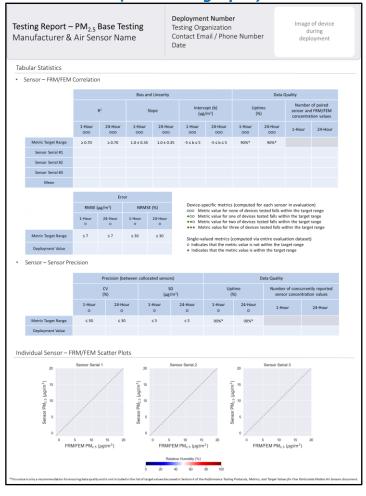
Example PM_{2.5} Reporting Template – Base Testing

• Fillable template provided (PowerPoint file)

Details on deployment, visual plots summarizing sensor performance



Summary performance statistics (table and graphs)



Supplemental materials

Testing Report – PM _{2.5} Base Testing Manufacturer & Air Sensor Name				Deployment Number Testing Organization Contact Email / Phone Number Date	Image of device during deployment			
Supplem	Supplemental Information							
and	Additional documentation may be attached or linked to digital versions alongside this report. Such documentation may include field reports and observations during the testing period, maintenance logs for sensors and FRM/FEM monitors, standard operating procedures, and other documentation relevant to this testing report (see below for examples).							
	Supplemental Documentation	Attached		Description & URL or file path to documentation	on			
	Field observations							
	Maintenance logs							
	Standard operating procedure(s)							
	Photos of equipment setup and testing							
	Product specification sheet(s)							
	Product manual(s)							
	Deployment issues							
	Data storage and transmission method							
	Data correction approach							
	Data analysis/correction scripts and version							
	Air Monitoring Station QAPP							
	Summary of FRM/FEM monitor QC checks							
	Other documents							

Overview of Enhanced Testing Protocol

- Laboratory testing of 3 or more identical air sensors in controlled laboratory conditions
- Evaluate sensors under different pollutant concentrations, T, and RH levels

Recommended Test Conditions

Performance Metric	O ₃	PM _{2.5}
Effect of Interferents	Carbon monoxide (CO): 35 ppmv \pm 5% Nitrogen dioxide (NO ₂): 100 ppbv \pm 5% Sulfur dioxide (SO ₂): 75 ppbv \pm 5%	Not included in testing
Effect of Relative Humidity (RH)	40% RH vs. 85% RH	40% RH vs. 85% RH
Effect of Temperature (T)	20°C vs. 40°C	20°C vs. 40°C
Drift (at Day 1 vs Day 60)	Low concentration: 15 ppbv $O_3 \pm 10\%$ Mid concentration: 70 ppbv $O_3 \pm 5\%$	Low concentration: $10~\mu g/m^3~PM_{2.5}\pm 10\%$ Mid Concentration: $35~\mu g/m^3~PM_{2.5}\pm 5\%$
Accuracy at High Concentration(s)	High concentration: 125 ppbv $O_3 \pm 5\%$	High concentration: 150 μ g/m ³ PM _{2.5} \pm 5% Higher concentration: 250 μ g/m ³ PM _{2.5} \pm 5%

Additional Notes:

- Drift test (Day 1) conducted in laboratory chamber, sensors then operated in ambient outdoor air for 60 days, then drift test repeated in laboratory chamber (Day 60)
- Mid concentration setpoints based on the primary (health-based) NAAQS
- High concentration setpoints based on Air Quality Index (AQI) breakpoints considered important for health messaging
- Higher concentration setpoint (for PM_{25} only) is relevant for events such as wildfires

Example O₃ Reporting Template – Enhanced Testing

Fillable template provided (PowerPoint file)

Testing details including documentation, effect of interferents test Testing Organization Testing Report - O₃ Enhanced Testing Image of device Contact Email / Phone Number during chamber Manufacturer & Air Sensor Name evaluation Testing Details Testing Organization and Contact Information firmware Information (Website, Phone O. FRM/FFM Monitor Inform listed detection limit Sampling time listed longevity, Date of calibration Date of one-point listed drift O₃ test gas generator system interferent on sensor Interferent Pollutant: 40 ± 5 20 ± 1 40 ± 5 20 ± 1 Interferent Pollutant:

Effect of relative humidity and temperature tests Testing Organization Testing Report - O₃ Enhanced Testing Image of device during chamber Contact Email / Phone Number Manufacturer & Air Sensor Name evaluation Effect of Relative Humidity (RH Manufacture FRM/FFM influence of RH monitor O₂ sensor O_o Testing Conditions 85 ± 5 20 ± 1 Conditions Effect of Temperature (T) influence of T monitor O₃ 70 ± 5% Conditions 40 ± 5 40 ± 1 70 + 5%

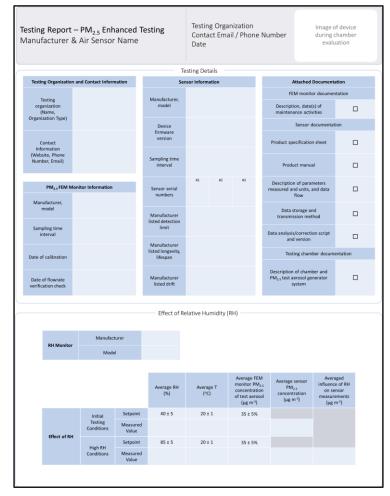
Drift test (Day 1 and Day 60) and accuracy at high concentration test

esting Report – O ₃ Enhanced Testing anufacturer & Air Sensor Name			Testing Organization Contact Email / Phone Number Date		Image of device during chamber evaluation			
				60-Day Low	Concentration (Drift		
				Average RH (%)	Average T (°C)	Average FRM/FEM monitor O ₃ concentration of test gas (ppbv)	Average sensor O ₃ concentration (ppbv)	Sensor drift after 60 days (ppbv)
			Setpoint	40 ± 5	20 ± 1	15 ± 10%		
	60-Day Low	Day 1	Measured Value					
	Concentration Drift		Setpoint	40 ± 5	20 ± 1	15 ± 10%		
	Drift	Day 30	Measured Value					
60-Day Mid Concentration Drift								
				Average RH (%)	Average T (°C)	Average FRM/FEM monitor O ₃ concentration of test gas (ppbv)	Average sensor O ₃ concentration (ppbv)	Sensor drift after 60 days (ppbv)
			Setpoint	40 ± 5	20 ± 1	70 ± 5%		
	60-Day Mid	Day 1	Measured Value					
	Concentration Drift							
			Setpoint	40 ± 5	20 ± 1	70 ± 5%		
		Day 30	Setpoint Measured Value	40 ± 5	20 ± 1	70 ± 5%		
		Day 30	Measured					
		Day 30	Measured		20 ± 1 High Concentra			
		Day 30	Measured				Average sensor O ₃ concentration (ppbv)	Test averaged difference between sensor and FRM/FEM 0 ₂ concentrations (ppbv)
	Accuracy		Measured	— Accuracy at	High Concentra	Average FRM/FEM monitor O ₃ concentration of test gas	sensor O ₃ concentration	difference between sensor and FRM/FEM O ₃ concentrations

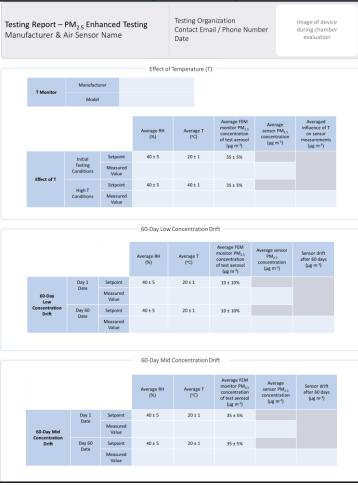
Example PM_{2.5} Reporting Template – Enhanced Testing

Fillable template provided (PowerPoint file)

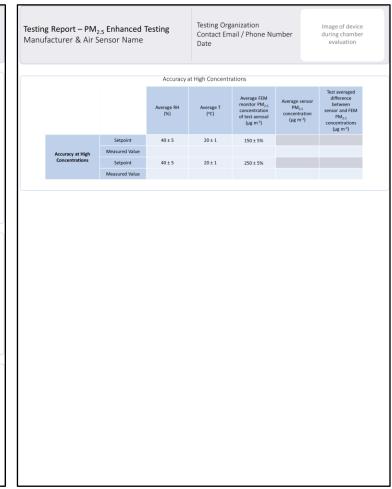
Testing details including documentation, effect of RH test



Effect of temperature test, drift test (Day 1 and Day 60)



Accuracy at high concentrations test



Recommended Target Values

Target values only recommended for Base Testing (Field Deployment)

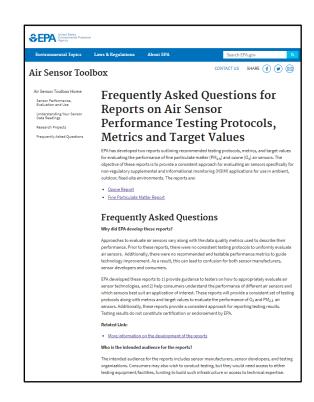
Performance Metric		O ₃ Target Value	PM _{2.5} Target Value
Precision	Standard Deviation (SD)	≤ 5 ppbv	≤ 5 μg/m³
	<u>OR</u>		
	Coefficient of Variation (CV)	≤ 30%	≤ 30%
Bias	Slope	$\textbf{1.0} \pm \textbf{0.2}$	1.0 ± 0.35
	Intercept (b)	-5 ≤ b ≤ 5 ppbv	-5 ≤ b ≤ 5 μg/m³
Linearity	Coefficient of Determination (R ²)	≥ 0.80	≥ 0.70
Error	Root Mean Square Error (RMSE)	≤ 5 ppbv	RMSE $\leq 7 \mu g/m^3 \frac{or}{n} NRMSE \leq 30\%$

NRMSE = normalized root mean square error

- Target values considered reasonably achievable (at this time) and adequate for many
 NSIM applications (based on literature)
- Exploratory graphs also recommended to understand potential impacts of meteorological parameters (T, RH, dew point)
- No target values recommended for enhanced testing protocols recommend that testers report results

Important Reminders and Notes

- Reports provide recommendations for evaluating sensor performance
- Conducting the testing protocols is entirely voluntary
- Conducting the testing protocols <u>does not</u> constitute certification or endorsement by the US EPA
- EPA does not provide funding to conduct the testing protocols
- EPA recommends that testers share results on their respective websites



For these and other Frequently Asked Questions on the reports visit:

https://www.epa.gov/air-sensor-toolbox/frequently-asked-questionsreports-air-sensor-performance-testing-protocols

Anticipated Outcomes

- Reports will provide a consistent approach for
 - Evaluating air sensor performance for NSIM applications
 - Reporting performance evaluation results
- Help all consumers (from the public to decision makers) better understand sensor performance and data quality
- Help consumers make informed decisions on choosing appropriate air sensors for their intended NSIM application
- Encourage innovation and product improvement in the marketplace

Consumers include state/local/tribal agencies, federal government agencies, community groups, citizen scientists, academia, and others.

Take Home Messages

- Reports developed provide much needed guidelines for evaluating PM_{2.5} and O₃ air sensor performance
- Performance target values have been recommended that can
 - Provide a benchmark for sensor performance
 - Encourage improvements in sensor technology
- Testing results will help consumers
 - Have more confidence in sensor data quality
 - Make informed decisions on choosing sensors that best suit an application of interest

Reports and reporting templates can be found here:

https://www.epa.gov/air-sensor-toolbox/air-sensor-performance-targets-and-testing-protocols

Future Plans

- As more knowledge is gained and sensor technology improves, we anticipate re-evaluating the recommended testing protocols, metrics, and target values for PM_{2.5} and O₃
- Similar reports with same application focus (ambient, outdoor, fixed site NSIM applications) for sensors measuring additional pollutants are currently being developed
 - Additional pollutants include
 - Particles with diameters of 10 microns or less (PM₁₀)
 - Nitrogen dioxide (NO₂)
 - Carbon monoxide (CO)
 - Sulfur dioxide (SO₂)
 - Reports will be informed by
 - 2019 Deliberating Performance Targets for Air Sensors Workshop
 - First and second literature reviews (EPA sponsored)
 - Other available literature and evaluations

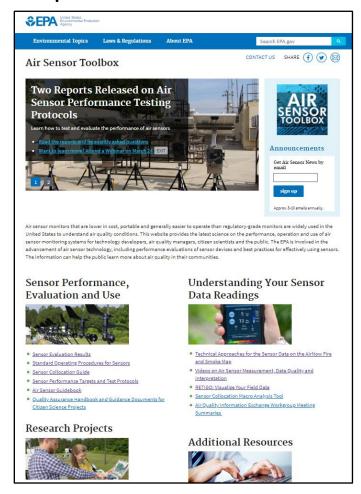
Journal article summarizing the 2019 workshop findings

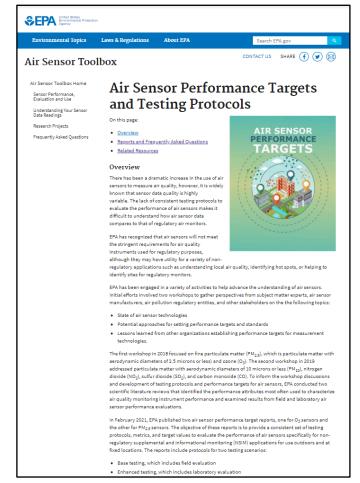


https://doi.org/10.1016/j.atmosenv.2020.118099

Resources

 Updates on air sensor performance testing protocols, metrics, and targets will be posted to the Air Sensor Toolbox website





https://www.epa.gov/air-sensor-toolbox

https://www.epa.gov/air-sensor-toolbox/air-sensor-performance-targets-and-testing-protocols

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