

Simulation of rail yard emissions transport to the near-source environment

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Abstract

Rail yards are critical nodes in the freight transportation network and locations of clustered emission sources. When people reside in close proximity to an active rail yard, the near-field effect of rail yard emissions is of concern. Field characterization of near-rail yard air quality is challenging due to spatially-variable emissions over a large area. Numerical models can provide valuable insight into factors affecting emission dispersion and resulting near-field air pollution. This study utilizes computational fluid dynamics (CFD) modeling to investigate near-field air pollution surrounding a generic, moderate-sized intermodal rail yard with emissions of a neutrally buoyant gaseous pollutant. Rail yard and surrounding neighborhood structures were added in succession to a base case to study the influence of surface roughness on the generic pollutant's spatial concentration profile. A spatially weighted emissions scenario reveals highly variable pollutant levels in downwind neighborhoods, strongly modulated by wind direction. Rail yard topography (containers, cranes, small buildings) was found to result in a modest increase in near-field pollution levels. Densely located two-story homes surrounding the rail yard reduced downwind concentrations by 16% and 15% at 25 m and 100 m downwind of the rail yard boundary, respectively. Adding a 6 m boundary wall to the rail yard, with four open sections in the wall enabling traffic flow, leads to a reduction in downwind pollution levels by 25% and 12% at 25 m and 100 m downwind, respectively. While area-wide pollution levels are reduced with the addition of neighborhoods and a surrounding boundary wall, high spatial variability in pollution levels in the near-field area lead to some areas with increased pollution levels offset by a reduction in pollution in other near-field areas. Overall, these findings suggest that pollution levels in the near-rail yard area have a high degree of spatial variability, with topographical elements surrounding the

30 rail yard (neighborhood structures, boundary wall) resulting in a net effect of near-field
31 pollution reduction.

32

33 1. Introduction

34 Air pollution in close proximity to major transportation sources – such as a highway, rail
35 yard, or port – has been an issue of increasing concern in the public consciousness. A
36 significant number of studies have found repeatable evidence of elevated air pollution in
37 close proximity to major highways (Karner et al., 2010 and references therein) and a recent
38 synthesis of health studies indicated adverse health effects associated with proximity to a
39 major roadway (HEI Panel on the Health Effects of Traffic-Related Air Pollution, 2010).
40 Comparatively fewer studies have measured local air pollution trends related to other major
41 transportation facilities, such as ports, rail yards, intermodal facilities, and airports.
42 Understanding air pollution related to freight transportation is an ongoing topic of concern,
43 with an increasing interest in higher-spatial resolution analyses to understand
44 microenvironments, local scale (hundreds of meters), and regional-scale (tens of kilometers)
45 air pollution trends and effects of changing source emissions (Bickford et al., 2014; Hagler et
46 al., 2013; Joe et al., 2014).

47 Rail yards, the primary focus of this study, are complex environments with a variety of
48 emission sources distributed over a large area. Sources vary from one rail yard to another –
49 classification rail yards move freight between trains and therefore have primarily locomotive
50 and container-handling equipment emissions, and intermodal rail yards additionally have
51 truck traffic transporting freight to and from the rail yard. In addition to the heterogeneous
52 rail yard emissions, the surrounding environment can add further complexity; other major
53 sources in close proximity (e.g., manufacturing, highways) and the built environment can
54 impose additional variability on local air pollution. Project-based risk assessments have been
55 conducted using regulatory models for numerous rail yards in the United States based upon
56 state requirements (e.g., Health Risk Assessments in California available at
57 <http://www.arb.ca.gov/railyard/hra/hra.htm>). Field characterization of local air quality near
58 rail yards has been conducted at only a handful of locations in the United States. Local-scale

59 effects of rail yard emissions were quantified at a major classification rail yard in California
60 (Cahill et al., 2011), a moderate-sized intermodal facility in Illinois (Rizzo et al., 2014), and
61 two adjacent intermodal rail yards in Georgia (Galvis et al., 2013). Collecting representative
62 field data can be challenging given local meteorology and the higher likelihood of
63 confounding sources nearby in industrial areas. For example, while a model of an intermodal
64 rail yard in Michigan was found to locally impact fine particulate matter concentrations
65 (PM_{2.5}, particulate mass smaller than 2.5 μm) (Turner, 2009), major facilities in close
66 proximity to the rail yard confounded field characterization of local air pollution.

67 Given the complexity of resolving local air pollution trends related to rail yard emissions,
68 high resolution models complement field characterization through simulating the distribution
69 of pollutant concentrations in the near-field environment and isolating influential factors.
70 Computational fluid dynamics (CFD) modeling is one approach that supports a very fine-
71 grained assessment of emissions transport in a complex environment. For example, CFD
72 simulations can be used to investigate how surrounding neighborhood buildings may alter the
73 near-rail yard concentrations and whether a boundary wall would improve or degrade local
74 air quality. To date, the application of CFD for rail yard environments has been primarily
75 utilized for emergency release analyses, such as evaluating the dispersion of an accidental
76 release of dense chlorine gas (e.g., Hanna et al., 2009). This present study focuses on
77 estimating effects of rail yard emissions related to freight movement and uses a neutrally
78 buoyant tracer that would be more representative of common gaseous air pollutants emitted
79 from combustion. This study utilizes CFD modeling to simulate a rail yard environment and
80 understand the effect of emissions location, rail yard, surrounding topography, and wind
81 direction on predicted pollutant concentrations. The research approach balances the desire
82 for a realistic simulation with a goal of providing generalizable findings, utilizing a published
83 emissions inventory to inform emissions weighting, and an existing rail yard to guide the
84 physical dimensions and topography.

85 2. Methods

86

87 2.1 Model geometry

88 A series of 3-dimensional computer models of an idealized rail yard were constructed to be
89 similar in scale to a moderate-sized intermodal rail yard in Illinois studied by Rizzo et al.
90 (2014). Five surface scenarios were developed with incrementally added terrain features
91 (Table 1) including, (1) base model with uniformly distributed source elements; (2) base
92 model with rail containers, buildings, and cranes added; (3) addition of a surrounding
93 boundary wall to scenario (2); (4) addition of surrounding neighborhood buildings to
94 scenario (2); and, (5) addition of surrounding neighborhood buildings to scenario (3).

95 As shown in Figure 1, the simulated rail yard area resembled an oval spanning 2700 m along
96 the rail track direction and 500 m across, i.e. length to width ratio of 5.4 to 1. A total of 2656
97 ground-placed source elements, each measured 2 m × 2 m × 4.5 m (L × W × H), were added
98 with the center of each element's base plane located on a 20 m grid. The source elements
99 were transparent to mean flow, i.e., they don't obstruct flow but serve as sources of
100 turbulence and emission of an inert gaseous tracer with the same density as air during the
101 CFD simulations. The source strength of each element can be adjusted individually. This
102 approach allows flexibility in simulating various emission scenarios, such as homogeneous
103 emissions across the rail yard, or higher emissions along the main rail track and certain high
104 locomotive activity areas. The 3D computational domain measured 3700 m × 1500 m × 200
105 m, which extended 500 m outside the rail yard.

106 Terrain elements observed in a typical rail yard, including rail containers, buildings and
107 cranes, were added to the base model to study their influence on pollutant transport. All
108 containers were 12.5 m long by 2.5 m wide by 2.5 m high. There were 146 containers along
109 the main through rail track (shown in yellow), and 95 containers on each of the 7 parallel
110 tracks spaced 20 m apart (shown in green). Three container parking areas were included
111 (shown in teal): 2 arrays of 8 by 25 containers on either side of the tracks, and 1 array of 24
112 by 14 containers at the east end of the rail yard, oriented at a 45 degree angle. The added six
113 buildings, modeled after typical 1-story storage structures, were 24 m wide by 10 m high, and
114 either 36 m or 72 m long. Four cranes with dimensions of 6 m × 16 m × 14 m (L × W × H)
115 were placed among the parallel train tracks.

116 To study the impact of a boundary barrier on near-rail yard air pollutant concentrations, a
117 solid 0.5 m thick wall was added all around the rail yard. The wall had breaks at each end

118 where the main train track passed through and one break on the NW side and one on the SW
119 side. Four different wall heights were simulated: 3 m, 6 m, 9 m, and 18 m (0.5H, 1H, 1.5H,
120 and 3H).

121 The final addition to the rail yard model was the surrounding neighborhood, which consisted
122 of approximately 96 idealized residential blocks. Most blocks were 20 lots wide and 2 lots
123 deep (200 m by 90 m), while a few blocks near either end of the rail yard were cropped to
124 make room for the rail yard. All blocks were spaced 20 m apart. Within the blocks, each lot
125 had a footprint of 40 m by 10 m and includes a two-story house in the front and a one-story
126 garage/shed in the back. Their dimensions were 14 m × 8 m × 11.25 m and 8 m × 8 m × 7.5
127 m respectively.

128

129 2.2 Modeling approach

130 Volume meshes were constructed using the commercial software Harpoon (Share Ltd.,
131 Manchester, UK), which produces a body-fitted, hex-dominant mesh based on octree
132 decomposition of the domain. Several tests, similar in approach as described in an earlier
133 study of roadside barrier effects (Hagler et al., 2011), were performed to verify grid size
134 independence with increasing number of mesh cells until further refinements produced no
135 significant improvements. The final mesh had graduated cell sizes, ranging from 0.25 m in
136 close proximity to the terrain elements and increasing with distance from the element
137 surfaces to 8 m maximum. The overall mesh size ranged from 30 million for the base model,
138 and up to 72 million for the model with boundary wall and neighborhood added.

139 Numerical simulations of rail yard emissions transport to the near-source environment were
140 conducted using the CFD code FLUENT 12.0 (ANSYS, Inc). The modeling approach is
141 similar to that of an earlier study of roadside barrier effects on near-road air quality (Hagler
142 et al., 2011). A neutral atmospheric boundary layer was assumed for all simulations. The
143 inlet boundary of the model was defined as a velocity inlet. Inlet profiles for mean velocity,
144 turbulent kinetic energy (TKE), and ε (turbulent dissipation) were derived via a 2D case with
145 periodic boundary condition that simulates a fully developed atmospheric boundary layer
146 with a logarithmic profile, matching the mean velocity and TKE of the approach flow in a

147 wind tunnel model (Heist et al., 2009). The incoming boundary layer had a roughness length
148 of 0.36 m and a friction velocity of 0.25 m/s. The wind speed at 30 m from the ground was 3
149 m/s. A pressure outlet was specified at the downstream end of the domain. Symmetry
150 conditions were imposed on the top and lateral sides of the domain. The ground was set as a
151 wall condition with roughness $z_0 = 0.05$ m, which simulates a relatively smooth ground while
152 the terrain elements and source volumes were responsible for most of the turbulence
153 generated in the model.

154 Two types of source configurations were studied: homogeneous emissions and weighted
155 emissions within the rail yard. We assumed a total emission rate of 50 ton per year of an inert
156 gaseous tracer with the same density as air. For configuration 1, the emissions were evenly
157 spread among all 2,656 source elements, while for configuration 2, the emissions were
158 spatially weighted to represent a more realistic rail yard scenario. Based on a published
159 intermodal rail yard emission inventory (Turner, 2009), the primary sources of a rail yard
160 were line haul locomotives on the train tracks and switch locomotives that performed yard-
161 specific operations. The former accounted for 43% of the total emissions, and the later
162 accounted for 54% of the total emissions. The remaining 3% was other distributed non-
163 locomotive emissions. To simulate such partitioning, source strength was significantly
164 increased for 130 source elements along the main track and for 80 source elements on either
165 end of the parallel tracks where the switch locomotives operated. The source strength of the
166 other elements was reduced to make up for the remaining 3%.

167 The FLUENT code solves conservation equations for mass, momentum and energy. For
168 turbulent flows, the Reynolds-averaged approach is employed to solve the Navier-Stokes
169 equations. A number of turbulence models are provided in FLUENT to achieve closure
170 (ANSYS, 2009). In this study, the Realizable $k-\varepsilon$ model (Shih et al., 1995) with a Schmidt
171 number of 1.0 was selected based on comparison with wind tunnel data, as documented in
172 the roadside barrier study (Hagler et al., 2011). Various models are available in FLUENT to
173 simulate the mixing and transport of an airborne species. This study employed the advection
174 diffusion (AD) module, which computes the diffusive mass flux of the species and satisfies
175 the conservation of mass. All simulations used the implicit formulation, segregated and
176 steady solvers. Standard discretization was used for the pressure terms. Second-order

177 upwinding discretization schemes were used for momentum, turbulence, and species to
178 increase accuracy and reduce numerical diffusion. The SIMPLE (Semi-Implicit Method for
179 Pressure Linked Equations) algorithm was specified for pressure-velocity coupling to
180 improve convergence. FLUENT uses an iterative method to solve the algebraic system of
181 equations. The residuals for all field variables were closely monitored. Convergence was
182 deemed achieved when all residuals approached an asymptote. The termination residual
183 values were on the order of 10^{-5} to 10^{-7} . The simulations were performed on the EPA's high
184 performance computing system Terra, an IBM iDataPlex cluster, using between 64 to 256
185 cores for different runs. The total simulation time ranged from 2200 CPU hours for the base
186 case up to 10000 CPU hours for the model with terrain elements, boundary wall and
187 neighborhood added. The wall-clock time was significantly shorter, never more than 72
188 hours.

189 Model results are primarily discussed in terms of normalized pollutant concentrations (χ),
190 quantified as $\chi = C U_r L_x L_y / Q$, where C is the background-adjusted concentration, U_r is the
191 reference wind speed measured at a full-scale equivalent of 30 m, L_x and L_y are the model
192 dimensions, and Q is the tracer emissions rate. In addition, TKE is also discussed, which
193 represents the kinetic energy of the air mass throughout the model domain. The various
194 scenarios are referred to using a naming scheme described in Table 1.

195

196 **3 Results and Discussion**

197 **3.1 Effect of rail yard interior topography and emissions weighting on downwind dispersion**

198 In order to isolate the effect of individual features hypothesized to influence downwind
199 dispersion of rail yard emissions – including within-yard structures, wind direction, and
200 emissions locations – a homogenous area source (scenario B) was used as a starting point.
201 Rail yard elements (containers, cranes, building structures) were added to this homogenous
202 emissions environment (scenario B-Y), with a close view of the model displaying the
203 location of structures with areas of open space (Figure 2) to approximate the density of
204 structures observed in an aerial view of a mid-sized rail yard in Illinois, United States (Rizzo
205 et al., 2014). The addition of these rail yard structures is observed to modestly alter the
206 dispersion of the homogenous emissions field, with the difference between the base case

207 (Figure 3a) and base case with yard structures (Figure 3b) showing only slight difference in
208 concentrations (Figure 3c).

209 Bringing the model closer to reality by spatially weighting the emissions (scenarios B-E, B-
210 EY), much greater spatial variability is evident (Figure 4) and rail yard terrain structures in
211 areas of higher emissions have more impact on downwind pollutant levels. In order to
212 quantify the difference between scenarios, the mean and standard deviation of the normalized
213 pollutant concentration (χ) is estimated at a set offset distance from the upper rail yard
214 boundary and under 45 degree wind (air transported from the lower left to the upper right)
215 and weighted emission scenarios (Table 2). For example, the addition of rail yard structures
216 leads to a slightly increased and more variable χ overall, at distances of 25-400 m in distance
217 from the rail yard boundary. While rail yard structures may enhance upward mixing and
218 dilution, the terrain elements have a competing effect of slowing air flow and trapping air
219 pollution near the point of emissions.

220 3.2 Wind direction effect

221 Given the oblong scale of the rail yard model, shifting the wind direction over a 90-degree
222 range led to significant change in the location and level of simulated downwind pollution.
223 With winds parallel to the rail yard (Figure 5, 0 degree scenario), maximum concentrations
224 occurred in the downwind area of the rail yard closest to the location of the simulated train
225 tracks. For scenario B-EY, χ values reached ~100 in a small spatial area and very low
226 concentrations (χ ranging 0-10) occurred in other near-rail yard areas. For scenario B-Y,
227 maximum local χ values near the rail yard were apparent under the 0 degree winds.
228 Meanwhile, for the weighted emissions scenario (B-EY), oblique winds (Figure 5, 45 degree
229 case) led to a large spatial region of high χ values (~100). These scenarios illustrated the
230 challenge in characterizing rail yard environments or similar large area sources of
231 heterogeneous emissions, where pollutant levels were anticipated to have high spatial and
232 temporal variability under changing winds and emissions strengths.

233 3.3 Influence of surrounding neighborhood buildings

234 The occurrence of neighborhood structures surrounding a rail yard may affect the overall air
235 flow entering the rail yard and downwind dispersion of emissions. The rail yard model

236 configuration added a dense network of single-family residential buildings with detached
237 garage units that approximate the neighborhoods surrounding a rail yard selected in Cicero,
238 Illinois (Figure 1 and 2). Comparing the scenario with weighted emissions and rail yard
239 structures (B-EY), with and without surrounding neighborhoods, the majority of the
240 downwind area experiences χ decreases, particularly in areas with higher baseline
241 concentrations (Figure 6). Modest increases are also observed in several areas, with the
242 simultaneous existence of increases and decreases likely due to the added structures inducing
243 competing effects of increased vertical dispersion and local trapping of emissions. Overall,
244 the addition of neighborhoods is estimated to reduce concentrations at 25 m and at 100 m
245 from the rail yard boundary by approximately 16% and 15%, respectively (Table 2). This net
246 decrease agrees with the modestly higher normalized TKE shown within and surrounding the
247 rail yard, due to the addition of neighborhood structures (Figure S1, case B-EYN).

248 3.4 Boundary wall effect

249 Noise walls have been a subject of interest in recent years for their potential to reduce traffic-
250 related air pollution nearby major roadways (Baldauf et al., 2008; Hagler et al., 2011) by
251 increasing vertical dispersion of emissions. For a rail yard environment, a boundary wall
252 could conceivably be employed as a mitigation approach, with points of opening for rail lines
253 and truck traffic. In model scenario B-EYW, a 6 m solid boundary wall was placed
254 surrounding the yard, with four breaks in the wall allowing for rail lines and/or trucks to pass
255 through. Subtracting the identical scenario without a wall (B-EY), it can be observed that
256 significant reductions in concentrations (e.g., net $\chi = -25$ to -75) occur with the wall present
257 for portions of the yard that originally had high downwind concentrations with weighted
258 emissions (Figure 7). However, net χ increases are also evident in areas downwind of a break
259 in the wall and in areas downwind of a combination of the wall plus a larger building in the
260 yard. Overall, the scenarios involving a boundary wall (B-EYW, B-EYWN) all had lower
261 mean χ values downwind of the rail yard (Table 2). Comparing the two scenarios with
262 weighted emissions, yard structures, and surrounding neighborhood buildings, the mean χ
263 with the wall was 25% lower at 25 m and 12% lower at 100 m. This reduction is likely due
264 to increased vertical mixing, as normalized TKE is observed to increase downwind of the
265 wall (Figure S1, case B-EYWN and B-EYW).

266 4 Conclusion

267 Assessing air pollution surrounding large facilities with spatially heterogeneous emissions is
268 challenging to accomplish in field settings. Rail yards in densely populated areas are
269 particularly complex to study, typically a geographically large and heterogeneous emissions
270 environment surrounded by a dense building configuration. High resolution models, even
271 generic ones, can provide insight into the multiple factors affecting emissions transport to the
272 near-field environment. In this present study, multiple parameters were explored that were
273 hypothesized to influence rail yard emissions transport and resulting downwind pollution
274 levels. Simulating a generic mid-sized rail yard environment, maximum near-field
275 concentrations occurred under a simple model consisting of only spatially weighted
276 emissions and typical structures within the rail yard (buildings, containers). Including
277 neighborhood buildings and a surrounding boundary wall both independently resulted in
278 lower mean concentrations in the near-field environment. Looking beyond average
279 concentrations, the model simulations also demonstrate highly variable pollution levels and
280 indicate high concentration zones directly downwind of concentrated emissions areas. It is
281 important to note that these results are limited in simulating a neutrally buoyant tracer gas,
282 and therefore may not represent pollutants of differing physicochemical properties. In
283 addition, the findings do not represent the full variety of local meteorological conditions that
284 would be experienced within a typical year. Despite these limitations, the model results help
285 isolate and quantify the effects of different factors impacting near-field air pollution. These
286 findings are anticipated to support field data interpretation, such as analysis of mobile
287 monitoring data collected along roadway networks near a rail yard (e.g., Rizzo et al., 2014).

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Table 1. Description of rail yard modeling scenarios

Scenario	Spatially weighted emissions (E)	Rail yard structures (Y)	Surrounding boundary wall (W)	Surrounding houses (N)
Base (B)				
B-E	●			
B-Y		●		
B-EY	●	●		
B-EYW	●	●	●	
B-YW		●	●	
B-EYN	●	●		●
B-EYWN	●	●	●	●

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Table 2. Concentrations at discrete distances for the weighted emissions scenarios and wind at 45 degrees.¹

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Scenario	D = 25 m		D = 50 m		D = 100 m		D = 200 m		D = 400 m	
	Mean	Std. dev.	Mean	Std. dev.	Mean	Std. dev.	Mean	Std. dev.	Mean	Std. dev.
B-E	26.65	24.58	23.39	22.49	18.56	19.25	12.63	14.70	7.16	9.70
B-EY	29.39	27.40	25.28	24.55	19.70	20.73	13.29	15.76	7.51	10.35
B-EYW	20.46	17.87	20.20	17.56	16.39	15.69	11.35	12.17	6.74	8.44
B-EYN	25.36	23.53	23.28	22.36	17.13	17.19	12.21	13.82	7.15	8.92
B-EYWN	19.11	16.51	18.32	17.21	15.05	14.84	11.36	12.61	6.87	8.45

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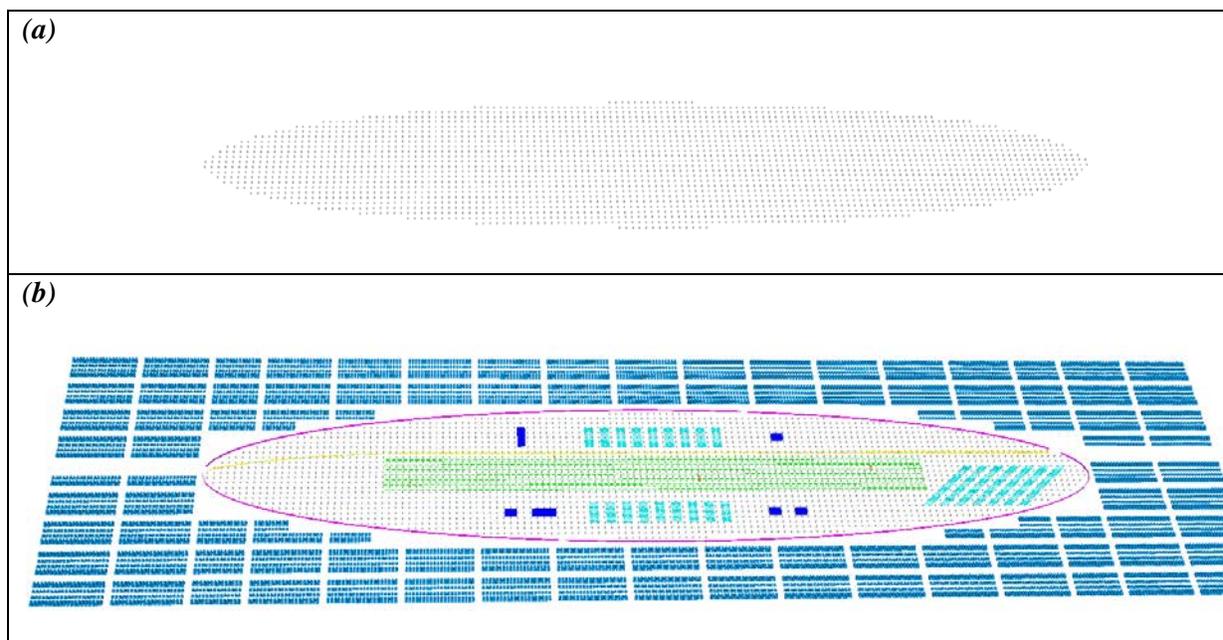
¹Concentrations are estimated at a height of Z = 1.5 m and sampled as discrete points along a curved path offset by the rail yard by the set distance. The calculation excludes the lower half of the model space, which is upwind of the yard for the 45 degree and 90 degree wind case.

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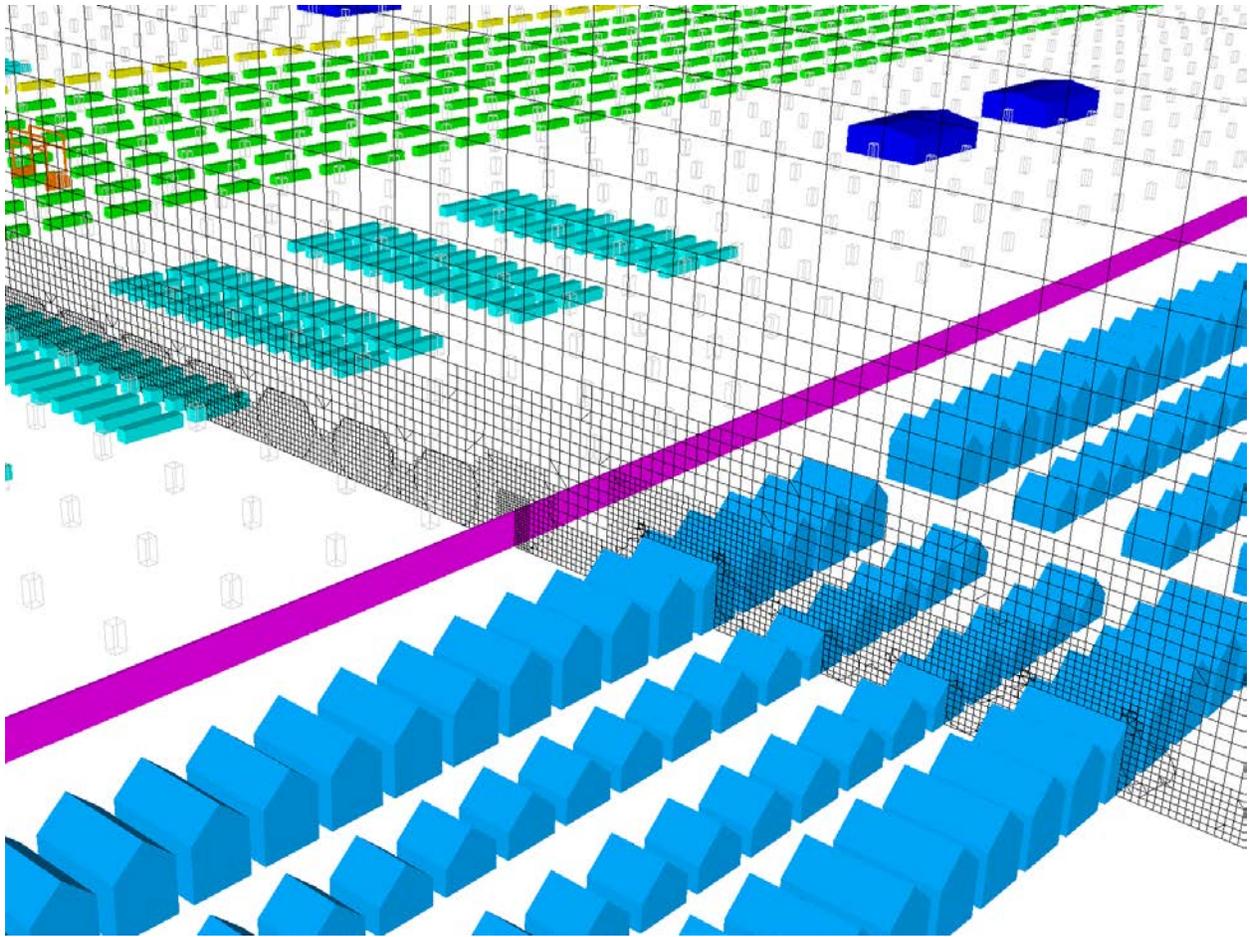
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351 **Figure 1.** Model set-up from the simplified case of homogenous emissions distributed over an area (a) to
352 the most complex case involving structures within the rail yard representing buildings and containers, a
353 surrounding boundary wall, spatially-weighted emissions, and surrounding city blocks of residential
354 buildings.

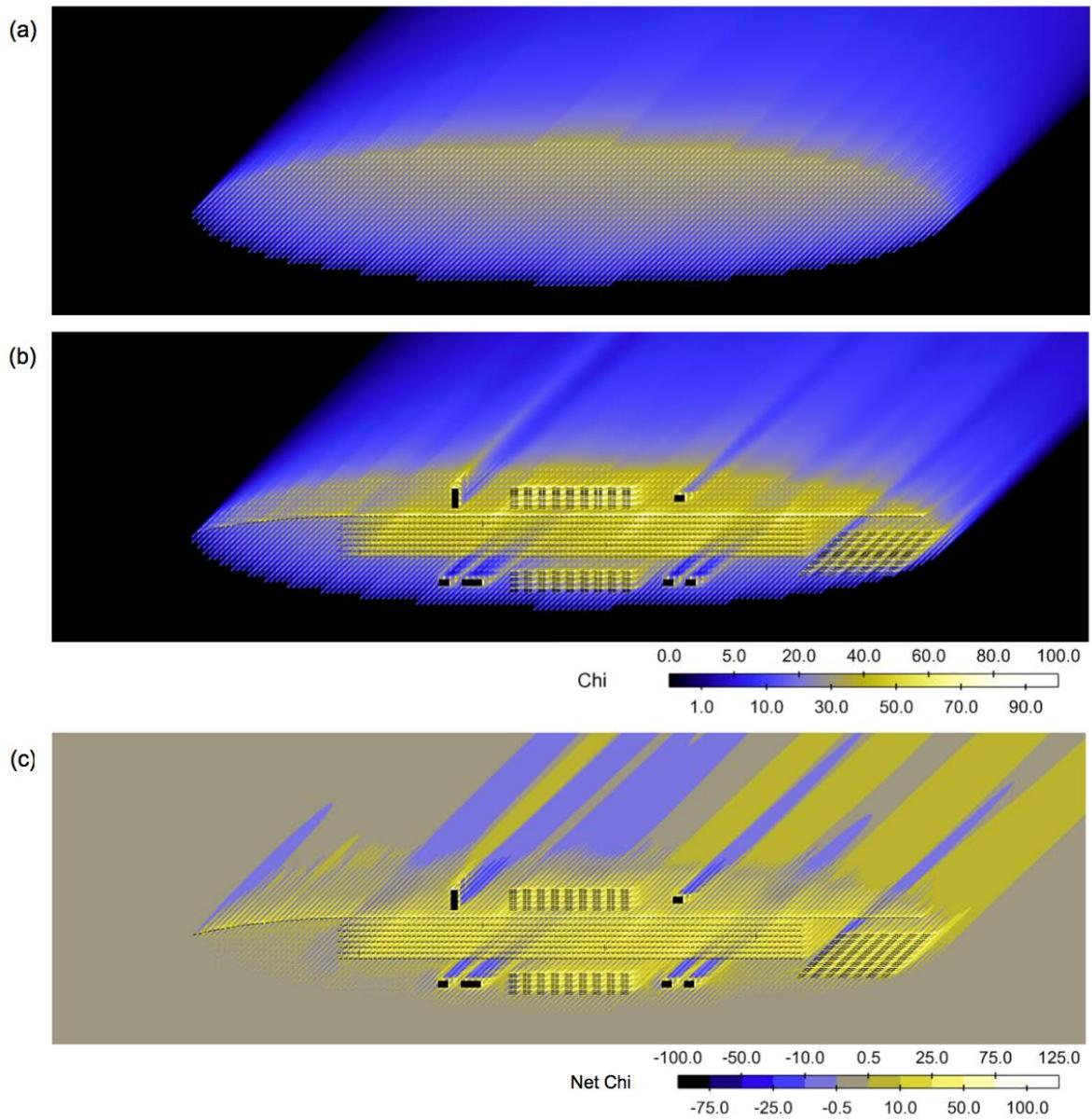
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358 **Figure 2.** A zoom-in showing the structures and mesh on a Y-plane.



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360 **Figure 3. Concentration calculated at a height of 1.5 m for a) Scenario B, b) Scenario B-Y, c) Net χ**
 361 **= Scenario B-Y minus Scenario B, calculated at a height of 1.5 m.**

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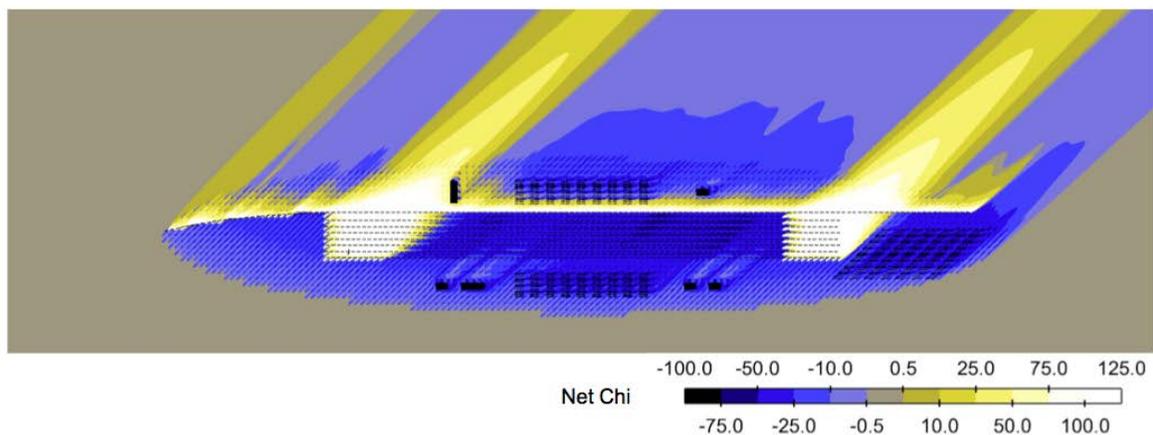
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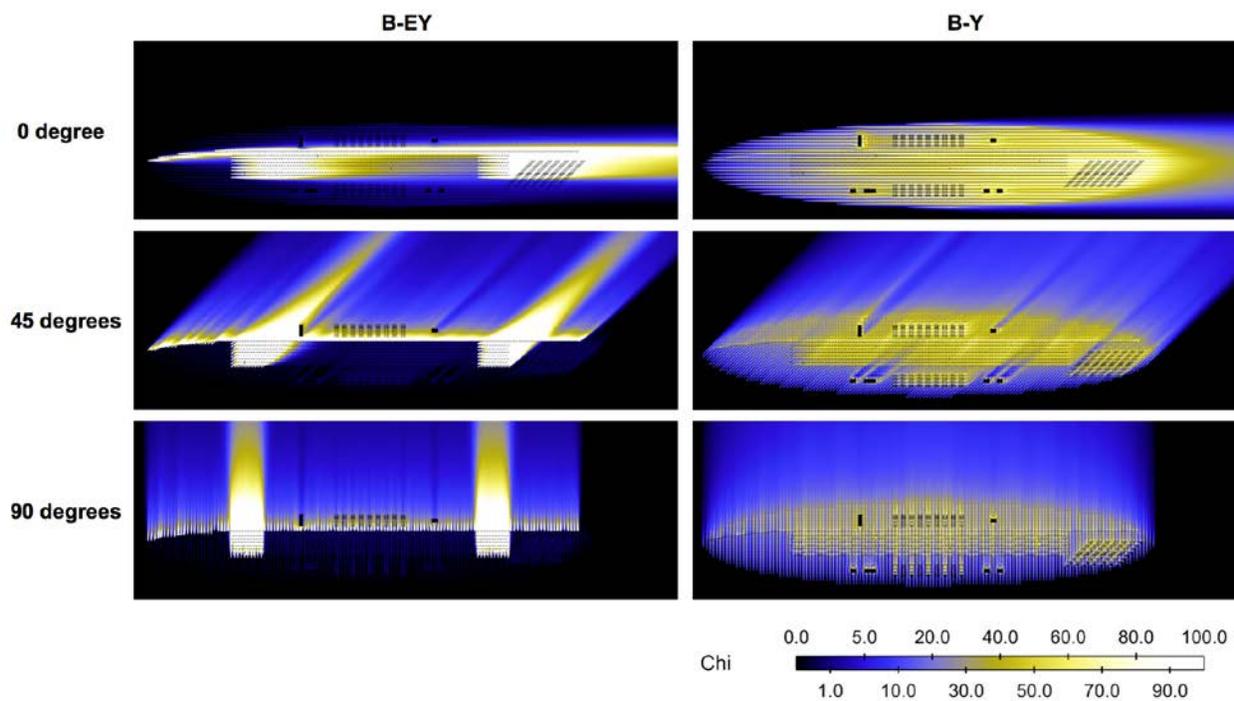
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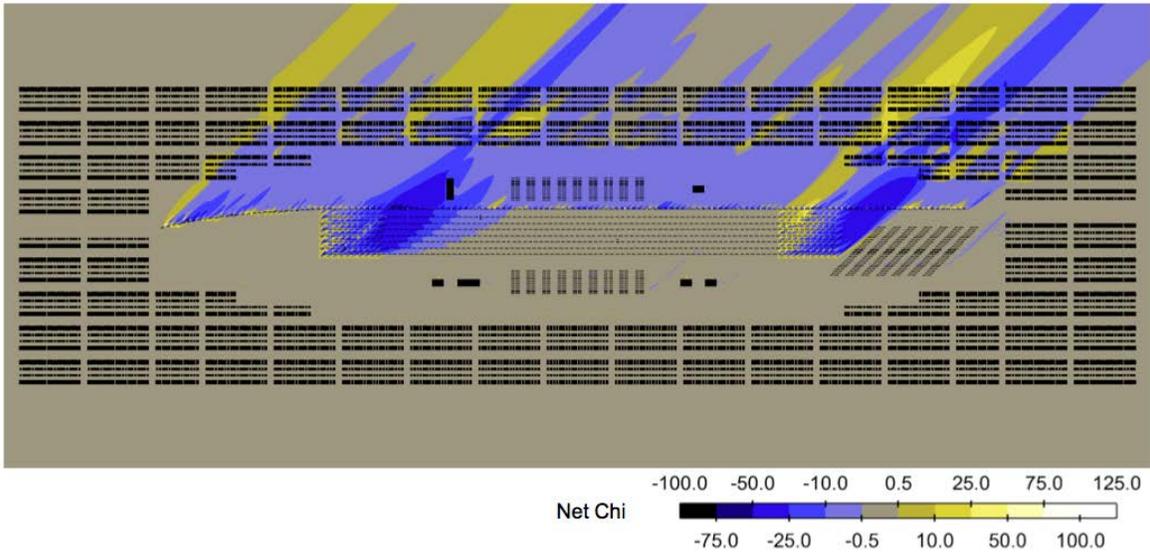
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372 **Figure 4.** Difference in normalized pollutant concentrations with weighted versus unweighted emissions,
373 in both cases with identical rail yard structures present. $\text{Net } \chi = \text{Scenario B-EY minus Scenario B-Y}$,
374 evaluated at a height of 1.5 m.

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378 **Figure 5.** Spatial distribution of normalized pollution (χ) for Scenarios B-EY and Scenarios B-Y, with
379 wind direction.

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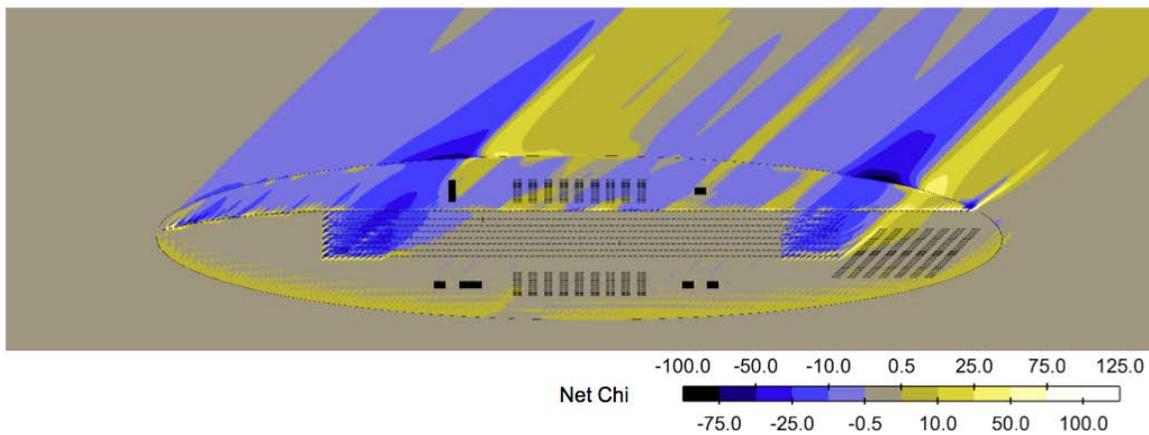
382 **Figure 6.** Difference in normalized pollutant concentrations with and without of neighborhood buildings,
383 with both scenarios having weighted emissions and rail yard structures present. ($\text{Net } \chi = \text{Scenario B-}$
384 $\text{EYN minus Scenario B-EY}$).

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390 **Figure 7.** Difference in normalized pollutant concentrations with and without a 6 m boundary wall, with
391 both scenarios having weighted emissions and rail yard structures present ($\text{Net } \chi = \text{Scenario B-EYW}$
392 $\text{minus Scenario B-EY}$).

393