1	Does nudging squelch the extremes
2	in regional climate modeling?
3	
4	
5	Tanya L. Otte ¹ , Christopher G. Nolte, Martin J. Otte,
6	and Jared H. Bowden ²
7	
8	U.S. Environmental Protection Agency
9	National Exposure Research Laboratory
10	Research Triangle Park, North Carolina
11	
12	
13	Revision 1
14	
15	Submitted to
16	Journal of Climate
17	
18	
19	
20	25 April 2012
21	

¹ Corresponding author address: Tanya L. Otte, U.S. EPA/ORD/NERL/AMAD, 109 T. W. Alexander Dr., MD-E243-01, Research Triangle Park, NC 27711. E-mail: otte.tanya@epa.gov

² Current affiliation: Institute for the Environment, University of North Carolina at Chapel Hill

22

23

Abstract

24 An important question in regional climate downscaling is whether to constrain (nudge) 25 the interior of the limited-area domain toward the larger-scale driving fields. Prior 26 research has demonstrated that interior nudging can increase the skill of regional climate 27 predictions originating from historical data. However, there is concern that nudging may 28 also inhibit the regional model's ability to properly develop and simulate mesoscale 29 features, which may reduce the value added from downscaling by altering the 30 representation of local climate extremes. Extreme climate events can result in large 31 economic losses and human casualties, and regional climate downscaling is one method 32 for projecting how climate change scenarios will affect extreme events locally. In this 33 study, the effects of interior nudging are explored on the downscaled simulation of temperature and precipitation extremes. Multi-decadal, continuous Weather Research 34 35 and Forecasting model simulations of the contiguous United States are performed using 36 coarse reanalysis fields as proxies for global climate model fields. The results 37 demonstrate that applying interior nudging improves the accuracy of simulated monthly 38 means, variability, and extremes over the multi-decadal period. The results in this case 39 indicate that interior nudging does not inappropriately squelch the prediction of 40 temperature and precipitation extremes and is essential for simulating extreme events that 41 are faithful in space and time to the driving large-scale fields.

43 1. Introduction

44 Projecting climate change to local scales is important for understanding and mitigating 45 the effects of climate change on society and the environment. Many of the current 46 general circulation models (GCMs) for simulating climate are run with a horizontal resolution of about $1^{\circ} \times 1^{\circ}$. Although at this resolution the large-scale atmospheric 47 48 features that drive weather and climate are well represented, mesoscale features and local 49 topography are not resolved, and consequently the GCM may not accurately represent 50 local changes in temperature and precipitation extremes (Dulière et al. 2011; Werth and 51 Garrett 2011). To predict the local effects of climate change, the GCM's fields can be 52 projected to local scales using a regional climate model (RCM) by applying dynamical 53 downscaling techniques (e.g., Giorgi 1990). The RCM may then be used to inform 54 problem-focused climate assessments that address community goals and values (Tryhorn 55 and DeGaetano 2011).

56 To interpret climate change at the local level, there is great interest in 57 characterizing changes in "extreme events". Extreme events are rare but important 58 meteorological phenomena such as droughts, floods, extreme heat and cold, and strong 59 wind events that are statistically associated with the tails of a probability distribution 60 (e.g., Meehl et al. 2000b; Garrett and Müller 2008). Extreme weather events have 61 significant societal impacts such as large economic costs and human casualties (e.g., 62 Meehl et al. 2000a). Indices of climate extremes often involve tracking the exceedances 63 of a critical threshold value (e.g., Karl et al. 1999), and may consider the frequency, 64 duration, and areal extent of the exceedance. Changes in the duration and/or intensity of 65 extreme events will impact air quality, water quantity and quality, agriculture (growing

season, types of crops, water availability), energy demands and sources, urban
infrastructure and building codes, and the overall economy. Because of the spatial
heterogeneity in extreme precipitation and temperature events (e.g., Trenberth et al.
2007), RCMs that are used for projecting future changes in frequency and intensity of
extreme events must reflect the state-of-the-science.

71 When using RCMs to downscale GCM fields, interior nudging may reduce errors 72 in RCM predictions compared with applying a constraint only at the lateral boundaries 73 (Miguez-Macho et al. 2004; Castro et al. 2005; Lo et al. 2008; Alexandru et al. 2009; 74 Bowden et al. 2012a). Feser et al. (2011) indicate that constraint toward the atmospheric 75 large scales (i.e., via nudging) when downscaling often increases mesoscale variability 76 and "adds value" to the global climate model forecasts. The balance in the constraint 77 toward the GCM fields against the RCM's freedom to develop mesoscale features is 78 difficult to determine objectively and has not yet been achieved (e.g., Kanamaru and 79 Kanamitsu 2007; Alexandru et al. 2009; Bowden et al. 2012a). Arritt and Rummukainen 80 (2011) juxtapose that nudging too weakly allows the RCM to diverge from the GCM 81 fields, while nudging too strongly can suppress the development of the finer-scale 82 processes that are sought with the RCM. Christensen et al. (2007) also caution that while 83 nudging minimizes large-scale error in the RCM, it can also mask model biases. Pielke 84 et al. (2012) argue that nudging can force the RCM to retain and potentially exacerbate 85 errors that exist in the GCM. Although nudging is becoming increasingly common for 86 regional climate modeling, using interior nudging techniques is not universally accepted 87 as a standard practice for dynamical downscaling.

88 Despite improving the means and retaining large-scale consistency with the 89 driving model, there is some concern that using interior nudging techniques may dampen 90 the extremes and variability. Using the Canadian RCM (CRCM), Alexandru et al. (2009) 91 found that increasing the strength of spectral nudging by initiating spectral nudging closer 92 to the surface decreased the intensity of precipitation during a summer period. Cha et al. 93 (2011), using the Weather Research and Forecasting (WRF) model with a version of 94 spectral nudging that follows von Storch et al. (2000) and is similar to the CRCM, found 95 that while spectral nudging reduced errors in the tracks of tropical cyclones, it artificially 96 weakened tropical cyclone intensities. Bowden et al. (2012a) showed that spatial 97 variability with analysis nudging in WRF is decreased as the nudging timescale is 98 decreased.

99 There are few studies that investigate the ability of RCMs to simulate extreme 100 events, particularly over North America, and none of the following explicitly mention 101 using nudging. Using the Pennsylvania State University–National Center for 102 Atmospheric Research mesoscale model (MM5), Lynn et al. (2007) showed that correctly 103 predicting the surface energy balance was essential for predicting extreme summer 104 temperatures over the eastern U.S. Dulière et al. (2011) showed that both WRF and the 105 Hadley Centre Regional Model (HadRM) adequately represented local extremes of 106 temperature and precipitation in the Northwest U.S. over a recent 5-yr period. Caldwell 107 et al. (2009) found that WRF driven by 40-yr climate simulations overpredicted 108 precipitation extremes over California and underpredicted the frequency of precipitation 109 events. By contrast, Mladjic et al. (2011) found that the CRCM underpredicted 110 precipitation extremes across Canada for an historical 30-yr period.

111 This study addresses two relevant questions for dynamical downscaling for the 112 contiguous United States (CONUS): how well can a RCM simulate temperature and 113 precipitation means and extremes for a multi-decadal period, and how does nudging 114 affect the frequencies and intensities of those extreme events? Colin et al. (2010) created 115 a 23-yr simulation with ALADIN-Climate and found that spectral nudging did not 116 adversely affect the prediction of extreme precipitation events over Europe. This study 117 investigates the effects of nudging techniques on predictions of extreme temperatures and 118 precipitation with the WRF model as a RCM to simulate an historical 20-yr period. We 119 evaluate the results against high-resolution analyses and examine the impacts of nudging 120 on simulated extremes across the CONUS to determine whether interior nudging in WRF 121 inappropriately squelches the extremes.

122

123 2. Model description

124 The WRF model version 3.2.1 (WRFv3.2.1) was initialized at 0000 UTC 2 December 125 1987 and run for a 1-month spin-up, then run continuously for 20 years through 0000 126 UTC 1 January 2008. The two-way-nested modeling domains (108- and 36-km 127 horizontal grid spacing; see Fig. 1) covered North America and the CONUS, 128 respectively. WRF was run with a 34-layer configuration that extended to a model top at 129 50 hPa. The physics options included the Rapid Radiative Transfer Model for global 130 climate models (RRTMG; Iacono et al. 2008) for longwave and shortwave radiation, the 131 WRF single-moment 6-class microphysics scheme (Hong and Lim 2006), the Grell ensemble convective parameterization scheme (Grell and Dévényi 2002), the Yonsei 132 133 University planetary boundary layer (PBL) scheme (Hong et al. 2006), and the Noah

land-surface model (Chen and Dudhia 2001). The input data are $2.5^{\circ} \times 2.5^{\circ}$ analyses 134 135 from the NCEP-Department of Energy Atmospheric Model Intercomparison Project 136 (AMIP-II) Reanalysis data (Kanamitsu et al. 2002) (hereafter, R-2), which are at 137 comparable spatial and temporal resolutions as GCM fields. Since the data are from an 138 historical period, the downscaled runs can be evaluated against higher-resolution 139 reanalysis products. The R-2 fields provide initial, lateral, and surface boundary 140 conditions, and they serve as the constraints when interior nudging is used. No further 141 observational data are assimilated into the WRF simulation. 142 Three 20-yr runs are performed with WRF. One simulation includes nudging 143 only through the lateral boundaries (Davies and Turner 1977) using a 5-point sponge 144 zone (i.e., no nudging, NN). The other simulations additionally use one of the two forms 145 of grid-based nudging that are available in public versions of WRF: analysis nudging 146 (AN) and spectral nudging (SN). Both forms of interior nudging can reduce errors in the 147 means in regional climate modeling with WRF (e.g., Lo et al. 2008; Bowden et al. 148 2012a). 149 The analysis nudging technique in WRF (Stauffer and Seaman 1990; Deng et al. 150 2007) is theorized to be most useful when the input data fields are not significantly 151 coarser than the model resolution. In WRF, analysis nudging adds a non-physical term to 152 the prognostic equations that is proportional to the difference between the model state

and a value that is interpolated in time and space from the reference analysis. Analysis

154 nudging is applied toward horizontal wind components, potential temperature, and water

155 vapor mixing ratio. The analysis nudging coefficients (Table 1) are set to the default

156 values in WRF for wind and temperature for the 108-km domain, but reduced for

moisture (e.g., Otte 2008) and reduced for all coefficients for the 36-km domain (e.g., 158 Stauffer and Seaman 1994). The analysis nudging is only applied above the PBL to 159 maximize WRF's freedom to develop mesoscale circulation in the PBL. 160 Spectral nudging is attractive as a scale-selective interior constraint for regional 161 climate downscaling because it can restrict nudging toward the longer wavelengths.

162 Similar to analysis nudging, spectral nudging affects the model solution through a non-

163 physical term in the prognostic equations, but instead the term is based on the difference

164 between the spectral decompositions of the model solution and the reference analysis.

165 The spectral nudging in WRFv3.2.1 follows Miguez-Macho et al. (2004) and can be

166 applied toward horizontal wind components, potential temperature, and geopotential. As

167 in the analysis nudging simulation, spectral nudging is only applied above the PBL.

168 Spectral nudging is used to constrain WRF toward synoptic-scale wavelengths and is

169 applied in WRF to wavelengths longer than a threshold that is a function of domain size

170 and a specified cutoff wavenumber. The threshold wavelength for spectral nudging

171 should not be less than the shortest wavelength resolved by the input fields, which is at

172 least $4\Delta x$ (Pielke 1984) of the R-2 analyses, or ~1100 km in midlatitudes. Nudging

173 coefficients, threshold wavenumbers used for spectral nudging, and their corresponding

174 wavelengths are given in Table 1.

175

157

Analysis 3. 176

177 The three WRF simulations on the 36-km domain are analyzed for the historical period 178 1988–2007. We seek to determine how nudging affects the representation of 2-m

temperature and precipitation extremes over the 20-yr period. Since no interior nudging
occurs within the PBL, neither 2-m temperature nor precipitation is directly assimilated.

181 For a variable with a given statistical distribution, the frequency of extreme events 182 (as measured by threshold exceedances) changes if the mean of the distribution shifts 183 and/or if the variance (width) of the distribution changes (Meehl et al. 2000a). A change 184 in the mean will cause an increase in threshold exceedances on one end (e.g., the number 185 of hot days) and a decrease on the other side of the distribution (e.g., the number of cold 186 days). A change in the variance will affect the frequency and magnitude of extremes on 187 both sides of the distribution, and according to Katz and Brown (1992) it may be more 188 important for changes in extreme outliers (i.e., events more than one standard deviation 189 from the mean). Since the representation of the mean and variance is important for the 190 frequency and severity of extreme events, we first examine how the three downscaling 191 strategies influence the mean 2-m temperature and precipitation from the RCM. Then, to 192 investigate the effects of nudging on the variability in the RCM we compare spatial 193 spectra from the RCM fields with those from the reanalysis fields. Finally, we examine 194 the extremes of 2-m temperature and precipitation in the downscaled runs.

The WRF simulations are compared to the R-2 fields to determine the extent to which the large-scale variability is preserved in the WRF simulation. For near-surface fields, where mesoscale detail is expected to be gained by using a RCM, the WRF simulations are compared to high-resolution reanalyses from the North American Regional Reanalysis (NARR; Mesinger et al. 2006) and the Climate Forecast System Reanalysis (CFSR; Saha et al. 2010). Both the NARR and the CFSR should include mesoscale detail that is comparable to what could be produced in the 36-km WRF 202 simulations. The NARR is a 32-km limited-area reanalysis that has 3-h fields and is 203 often used for understanding regional climate and for validation of regional climate 204 modeling studies over North America (e.g., Ruiz-Barradas and Nigam 2006; Bukovsky 205 and Karoly 2007; Lo et al. 2008; Becker et al. 2009; Bowden et al. 2012a). The CFSR is 206 a 0.31° (~35-38-km at midlatitudes) global reanalysis that consists of 6-h analyses 207 supplemented with hourly forecasts. Here, CFSR is used for comparisons of 2-m 208 temperature, and NARR is used for precipitation, as explained below. 209 Several of the extremes that are examined in this paper are comparisons of 2-m 210 temperature against threshold values. With 3-h temporal sampling, the NARR is 211 inadequate for counting temperature exceedances. We instead use the hourly gridded 212 fields from the CFSR. Saha et al. (2010) show that the multi-year mean and trend of 2-m 213 temperature from CFSR match well with comparable fields used in the climate change 214 community to estimate global warming trends. Wang et al. (2011) show that 2-m 215 temperature from CFSR is more highly correlated with observations than either R-2 or its 216 predecessor R-1 is. 217 To ensure that the fields from CFSR are qualitatively and quantitatively consistent 218 with a validated source, the mean 2-m temperature for 1988–2007 (i.e., the 20-yr period

219 of the WRF simulations) is computed for both NARR and CFSR interpolated to the 36-

220 km WRF domain at their highest temporal resolutions (i.e., 3-h and 1-h fields for the

221 NARR and CFSR, respectively) using WRF preprocessing software. Outside of regions

with complex terrain, the 20-yr mean 2-m temperature is consistent between NARR and

223 CFSR (Fig. 2). East of the Rocky Mountains (excluding the southern Appalachian

224 Mountains), the differences in the 20-yr mean 2-m temperature between NARR and

225 CFSR are typically within ± 1.5 K. Differences between NARR and CFSR in the 20-vr 226 mean 2-m temperature typically exceed ± 2.5 K in areas of complex terrain in the 227 CONUS. Although both NARR and CFSR are reanalysis products that are strongly 228 influenced by observations, neither model assimilates 2-m temperature directly. 229 Precipitation comparisons are made against NARR fields that have been 230 interpolated to the 36-km WRF domain. Over the CONUS, precipitation fields from the 231 NARR are influenced by assimilating hourly precipitation derived from 1/8° daily analyses of rain gauge data, which are then converted to latent heat to constrain the 232 233 NARR precipitation (Mesinger et al. 2006). The amplitude of the annual cycle of 234 precipitation is well-depicted by NARR (Ruiz-Barradas and Nigam 2006) and, overall, 235 NARR precipitation is "virtually indistinguishable" from observations (Nigam and Ruiz-236 Barradas 2006). Bukovsky and Karoly (2007) conclude that although NARR is 237 imperfect, it is superior to other reanalysis products for precipitation and it adequately 238 captures extreme events, even over the topography of the western U.S. Becker et al. 239 (2009), however, note that NARR has a systematic bias toward more frequent, lighter 240 precipitation and extremes are underestimated in the eastern United States. In accordance 241 with Mesinger et al. (2006), our precipitation comparisons are restricted to land and over 242 the CONUS because NARR is less reliable where limited and coarser-scale data were 243 assimilated. Since the NARR precipitation fields represent the CONUS well, we use 244 NARR instead of CFSR precipitation fields which have not been adjusted by 245 observational assimilation. Our analysis indicates that CFSR is much wetter than NARR 246 (not shown), which is corroborated by Higgins et al. (2010) and Mo et al. (2011) who showed systematic overprediction of precipitation by CFSR throughout the CONUS. 247

249 a. Mean 2-m temperature and precipitation

250 Although the focus of this work is on simulating extreme events, we first evaluate the 251 mean values of 2-m temperature and total precipitation in the WRF simulations over 252 different temporal scales because changes in the means will affect the extreme values. 253 The 20-yr mean 2-m temperature is computed for each of the three WRF simulations and 254 compared against CFSR (Fig. 2). All three WRF simulations show a slight warm bias 255 (>0.5 K) in the Plains (see Fig. 1) and along the southeastern Atlantic coast compared to 256 CFSR. The differences from CFSR are more pronounced in NN, where the warm bias 257 exceeds 1.5 K in the southern Plains and a large area of cool bias of more than 0.5 K 258 extends throughout southeastern Canada. As in the comparison of NARR with CFSR, all 259 three WRF simulations have large differences from CFSR in complex terrain, and the 260 patterns, signs, and magnitudes of the differences in complex terrain are consistent when 261 compared to the difference between NARR and CFSR (Fig. 2). Differences between 262 NARR and the WRF simulations are not as pronounced as in the comparisons with CFSR 263 especially in complex terrain (not shown), which suggests that the NARR topography 264 may be more consistent with WRF than the topography used in the global CFSR. 265 The precipitation predicted by WRF is too high compared to NARR throughout 266 much of the domain (Fig. 3). Average annual precipitation in WRF is particularly 267 exaggerated in complex terrain and east of the Rocky Mountains. Although the average 268 annual precipitation in WRF is too high regardless of whether nudging is used, the WRF 269 simulations all correctly predict that the highest precipitation amounts occur along the 270 northwestern coast and in the eastern United States.

271	The evaluation of the extremes in this paper focuses on the Midwest region
272	(Fig. 1), which has only gradual changes in topography; the other regions are presented in
273	less detail to permit a broader analysis. The differences between NARR and CFSR in 20-
274	yr mean 2-m temperature are typically within ± 0.5 K throughout the Midwest (Fig. 2),
275	and those differences are overall the smallest of the regions in Fig. 1. In the Midwest,
276	NN has little bias compared to CFSR (Fig. 2), except for a slight cool bias between
277	-1.5 K and -0.5 K around the northern, eastern, and southern peripheries of that region.
278	AN has a slight warm bias (0.5–1.5 K) in the Midwest, and SN is the least biased
279	compared to CFSR for the 20-yr mean 2-m temperature (Fig. 2).
280	Figure 4 shows a time series of the monthly area-average 2-m temperature
281	difference from CFSR for the Midwest region for each of the three WRF simulations.
282	Although the 20-yr mean 2-m temperature from NN compares well to CFSR and
283	arguably may be as good as or better than AN and SN, examining only the mean 2-m
284	temperature over the 20-yr period can be misleading (cf. Fig. 2 and Fig. 4). The monthly
285	area-average 2-m temperature over the 20-yr period shows deviations greater than 4 K in
286	NN (Fig. 4). These month-to-month differences in NN indicate the RCM's inability to
287	correctly simulate weather conditions that are consistent with the large-scale driving
288	fields and show that the modest mean annual bias (Fig. 2) results from averaging large
289	monthly biases that have the opposite sign (Fig. 4). Both AN and SN reduce the monthly
290	deviations from CFSR to less than ± 2 K (Fig. 4). Each year, the most pronounced
291	monthly cold bias in the Midwest in NN is typically in July or August (Fig. 4), and that
292	cold bias is mitigated by both forms of nudging, slightly more strongly by AN than SN.
293	AN is slightly warmer than SN for most months throughout the 20-yr period, which is

294 consistent with the relative comparisons of AN and SN to CFSR (Fig. 2). AN and SN 295 improve the average monthly predictions of 2-m temperature throughout the domain 296 compared to NN (Fig. 5). In NN, there is a pronounced cold bias (approaching 3 K) in 297 the eastern U.S. in the summer, which is mitigated by either form of nudging. 298 The three WRF simulations generally overpredict precipitation by 10–50 mm per 299 month compared to NARR (Figs. 4 and 6), which is consistent with the overpredictions in 300 Fig. 3. The largest monthly differences in the Midwest (Fig. 4) are typically in NN, and 301 the differences are progressively reduced in SN and AN. Some months in the 20-yr 302 period also have noticeable underpredictions of area-average precipitation of more than 303 25 mm, particularly in NN. In addition, the phase of the errors in NN is often not aligned 304 with the errors in AN and SN, which suggests that the individual weather events in NN 305 may be misrepresented. Such large differences in area-average precipitation in NN over 306 a one-month period (both overprediction and underprediction) indicate the RCM's 307 inability to accurately characterize prolonged periods of heavy rain and dry spells that 308 could contribute to flooding and drought, and the resulting errors in the surface heat 309 fluxes would affect the ability of the RCM to predict extreme temperatures (e.g., Lynn et 310 al. 2007). Overall, using either form of interior nudging improves the regional prediction 311 of monthly precipitation by WRF, and AN gives better predictions than SN for 5 of the 6 312 regions (Fig. 6).

313

314 b. Spectra of downscaled fields

315 Since variability can influence extreme events (Katz and Brown 1992; Meehl et al.

316 2000a), spectra are examined to determine the effects of nudging on variability at

different spatial scales. Spectra represent the contribution of each wavenumber to the total variance and can indicate how well the large-scale fields from R-2 are captured and reproduced by WRF. In addition, comparing the WRF spectra to NARR shows if WRF is producing variability at the smaller scales where value should be added from the downscaling process.

322 One-dimensional spatial spectra are computed along rows of the 36-km domain 323 (grid-relative west-east) for R-2, NARR, and the three WRF simulations. The spectra are 324 computed every 6 h, and all data for each month are averaged over the 20-yr period. The 325 data are detrended by fitting the fields along each model row to a quadratic least-squares 326 regression, then using the regression to remove linear and parabolic trends. After 327 subtracting the row mean, a Hamming window (Kaimal and Kristensen 1991) is used to 328 taper the rows to force periodicity for the spectral computations. Following Kaimal and 329 Kristensen, the final spectra are multiplied by 2.52 to compensate for the reduction of 330 variance from the Hamming window.

331 In January, the variability in the long waves (longer than $4\Delta x$ for R-2) in 500-hPa 332 temperature over the 20-yr period is consistent with R-2 in all three WRF simulations at 333 36-km (Fig. 7). WRF retains much of the large-scale variability from R-2 via the lateral 334 boundaries during January when there is strong synoptic forcing, though there is a slight 335 reduction in variability in NN at long wavelengths compared to the other spectral 336 representations of January. In the mesoscale wavelengths (between $4\Delta x$ for R-2 and $4\Delta x$ 337 for WRF), both NN and SN add variability at a magnitude that is consistent with NARR, 338 while AN has reduced variability compared with NARR. Even by weakening the 339 nudging on the 36-km domain compared to model defaults, the analysis nudging

340 technique may be nudging too strongly toward the R-2 fields and, as a result,

unrealistically suppressing variability in the wintertime 500-hPa temperature. Thus, the nudging coefficients used for AN should be further revised for regional climate simulations to achieve the optimal balance between mesoscale variability and fidelity to the driving fields. Approaching $4\Delta x$ in WRF, all three WRF runs have higher variability than NARR, suggesting the downscaled runs have too much variance at those scales. In July, the long waves in 500-hPa temperature are consistent between R-2 and

347 the nudged WRF simulations. However, there is much greater and unrealistic variability 348 in NN (note the logarithmic ordinate axis in Fig. 7). This suggests that without interior 349 nudging, weak synoptic forcing through the lateral boundaries allows WRF too much 350 freedom to generate variability. Simply comparing the three WRF simulations could lead 351 to the conclusion that using either interior nudging technique in WRF adversely impacts 352 the variability in the multi-decadal regional climate prediction. However, the variability 353 in NN is neither present in the large-scale driving fields (R-2), nor is it corroborated by 354 the NARR. At the mesoscale wavelengths, AN has reduced the variance compared to SN 355 and NN during July. SN is seemingly effective for producing large-scale variability that 356 is consistent with NARR while also allowing the RCM to develop smaller-scale 357 variability.

Examining 700-hPa water vapor mixing ratio for January and July (Fig. 8) suggests the large-scale moisture fields from R-2 are generally retained, but there is too much variability in all three WRF simulations regardless of whether interior nudging is used. The increased humidity variance in WRF is consistent with the overprediction of precipitation in all WRF simulations. Unlike for 500-hPa temperature (and momentum fields, not shown), the variance of 700-hPa water vapor mixing ratio with AN is not unrealistically suppressed. This suggests that analysis nudging may be adjusting the variance in the moisture fields toward the observed state, which is also consistent with the better predictions of precipitation by AN than SN (Fig. 4), or that the humidity is strongly controlled by fields in the PBL that are not nudged. Recall that the analysis nudging technique in WRF can adjust the water vapor mixing ratio field, while spectral nudging cannot.

370 To focus on the long waves where the RCM should be consistent with the large-371 scale driving fields, energy spectra are shown in Fig. 9 with a linear ordinate axis. At 372 250 hPa, the energy in the January meridional wind is reduced for all three WRF 373 simulations compared to the representations in R-2 and NARR. NN has notably lower 374 energy than both AN and SN, where energy in the long waves is increased to approach 375 the reference fields. In July, the 250-hPa meridional wind spectra are qualitatively 376 similar to January, but the magnitudes are smaller because the synoptic transport has a 377 smaller meridional component in July in this domain. At 500 hPa for January, the 378 distinctions between the WRF runs and the reference fields are small, although NN still 379 has slightly lower energy compared to the other runs. However, at 500 hPa in July, NN 380 has greater energy than the other WRF runs and the reference fields (consistent with 381 Fig. 7). In addition, compared to July at 250 hPa, the 500-hPa spectral energy of the 382 meridional wind has the opposite sign of the error, so the distribution of energy in NN in 383 the column is in error, and interior nudging notably acts to mitigate that error under weak 384 synoptic forcing. The analogous zonal wind spectra (not shown) are qualitatively similar 385 to Fig. 9.

386	As shown in Figs. 7–9, a larger total variance in the RCM simulations is not an
387	indication of added value. Comparing the total variance of RCM simulations only to
388	each other is not enough to determine the best representation of regional climate. The
389	added or reduced variance at the large scales in NN (Figs. 7-9) represents an undesired
390	deviation from the driving fields, and those errors in variance at larger scales may
391	cascade down and contaminate the smaller scales. The spectra suggest that using interior
392	nudging (AN or SN) produces larger-scale features that are more consistent with the
393	driving fields. The adverse impacts of AN at smaller scales may be mitigated by further
394	decreasing the nudging strength (Bowden et al. 2012a).

395

396 c. Annual totals of daily exceedances of extreme thresholds

397 To evaluate extremes, we first examine exceedances of 2-m temperature and precipitation 398 thresholds from the RCM compared to those computed from CFSR (temperature) and 399 NARR (precipitation). For the RCM simulations and the high-resolution reanalyses, the 400 number of days in each year that the threshold was exceeded at each grid cell was tallied. 401 Those annual tallies for each threshold were then area-averaged within each region (see 402 Fig. 1). The thresholds are based on the Annual Climatological Summary maintained by 403 the NOAA National Climatic Data Center. The thresholds also align well with a subset 404 of the 27 extreme indices suggested by the World Climate Research Programme Climate Variability and Predictability (CLIVAR) Expert Team on Climate Change Detection and 405 406 Indices (e.g., Karl et al. 1999). Hot and cold thresholds for daily temperature and high 407 daily precipitation thresholds are examined. The analysis for R-2 is not shown because 408 the temperature data are too temporally coarse (6-h) to capture threshold values, and the

409 precipitation estimates from R-2 are biased high (e.g., Guirguis and Avissar 2008; Wang
410 et al. 2011).

411 Figure 10 shows the area-averaged number of days with 2-m temperature $>90^{\circ}$ F 412 (32.2°C), or "summer days", based on hourly data. None of the RCM simulations 413 predicts as many area-average exceedances of the 90°F threshold as the CFSR for the 414 Midwest region in any of the 20 years simulated. Compared to CFSR, NN 415 underestimates the annual number of summer days by as many as 40 days across the 416 Midwest region. Both forms of interior nudging improve the simulation of summer days 417 compared to NN, although AN and SN still typically underestimate the number of 418 summer days by 10–20 days compared with CFSR. For the summer day threshold in the 419 Midwest over this period, AN performs best. The underprediction of summer days in all 420 WRF simulations (Fig. 10) is consistent with a persistent overprediction of precipitation 421 in the region (Figs. 3 and 4), where the surface energy balance is likely tilted more 422 toward latent heating because of the moist ground. In addition, the underprediction of 423 temperatures at the "summer day" threshold is consistent with Fig. 4, which shows the 424 largest underprediction of temperature typically occurs in July and is most pronounced in 425 NN.

Figure 11 shows a comparison of the WRF simulations to CFSR over the Midwest region for three cold thresholds: number of days with temperature <32°F (0°C, frost days), number of days with maximum temperature <32°F (0°C, freeze days), and number of days with temperature <0°F (-17.8°C). For the first decade of the 20-yr simulation, all three WRF simulations tended to underpredict the number of frost days, but the number of area-average frost days for the Midwest was typically within five days 432 of CFSR for all three WRF runs during the second decade. NN often had the largest 433 differences from CFSR. Both AN and SN predicted similar numbers of frost days for 434 most years and represented an improvement over NN throughout the 20-yr period. 435 For some years during the period, NN approximately predicted the area-average 436 number of freeze days in the Midwest compared to CFSR (Fig. 11), but other years 437 underpredicted the number of freeze days by more than 10. However, AN and SN 438 consistently predicted the number of area-average annual freeze days within five days of 439 CFSR. All three WRF simulations were consistent with CFSR in characterizing the 440 number of very cold days (temperature <0°F) throughout the 20-yr period, though the 441 most notable differences from CFSR occurred in NN. 442 Across all regions, the distributions of the 20-yr annual exceedances of the hot 443 (90°F) and cold (32°F) thresholds are shown in Fig. 12. In NN, there is reduced 444 interannual variability and too few exceedances of the hot threshold in the Midwest, 445 Northeast, and Southeast, which is consistent with the strong summer cold biases shown 446 in Fig. 5. In all of those regions, both AN and SN increase the interannual variability and 447 the number of exceedances to be more consistent with CFSR. In the Northwest and 448 Southwest, NN overpredicts the exceedances of the hot threshold, and this overprediction 449 is mitigated with nudging. For the cold threshold, NN tends to artificially increase the 450 interquartile range in the northern regions, where >100 cold days occur annually. For the 451 nudged runs, the interquartile ranges are closer to CFSR than NN is in those regions. 452 Nudging does not suppress the prediction of cold days relative to NN or to CFSR in most 453 regions, although there is a slight reduction in the number of cold days predicted in the

454 Plains in all WRF runs.

455	To understand the ability of WRF to simulate heavy precipitation events,
456	comparisons are made to NARR estimates of numbers of days with precipitation
457	exceeding thresholds of 0.5 in and 1.0 in (similar to CLIVAR indices of ≥ 10 mm and
458	\geq 20 mm). Figure 13 shows that for both precipitation thresholds, all three WRF
459	simulations overpredict the annual area-average number of days that each threshold was
460	surpassed in the Midwest compared to NARR. The overprediction of precipitation at the
461	high thresholds by WRF occurs for each year of the 20-yr simulation period (Figs. 13 and
462	14), and it is consistent with the general overprediction of precipitation shown in Figs. 3,
463	4, and 6. In general, the overpredictions occur most frequently in NN, which suggests
464	that without interior nudging, the configuration of WRF used here has a tendency to
465	generate more heavy precipitation events than are observed. In general, NN predicts
466	about ten more days ≥ 0.5 in and about five more days ≥ 1.0 in per year than were
467	observed in the Midwest (using NARR as the benchmark). At the 0.5 in threshold, the
468	SN simulation tends to overpredict the number of days as often as NN (Figs. 13 and 14).
469	The precipitation event totals at both thresholds are best matched with NARR in AN in
470	five of the six regions, possibly because AN is the only simulation that constrains
471	moisture on the interior of the domain. Radu et al. (2008) showed that spectral nudging
472	exaggerated the intensity of wintertime precipitation events unless a constraint toward
473	specific humidity was introduced. Thus more heavy precipitation events are erroneously
474	predicted without using interior nudging, and AN appropriately suppresses the number of
475	events toward the observed state.

477 *d. Monthly extremes and interannual variability*

478 Here, extremes are assessed relative to the 20-yr climatology by examining monthly-479 averaged daily maximum and minimum 2-m temperature, monthly-averaged diurnal 480 temperature range, and total monthly precipitation. As in the previous subsection, values 481 are tabulated at each grid cell and aggregated to form an area average. For each of the 12 482 months, the means and standard deviations are computed relative to each model run's 483 distribution to account for the bias in the RCM predictions (e.g., Figs. 2–4) and to track 484 the annual cycle in the Midwest region. This subsection not only addresses extremes, but 485 also the effects of nudging on the mean, variability, and timing of events in the RCM. To 486 examine the effects of the variability on the extremes, two standard deviations from the 487 mean $(\pm 2\sigma)$ are considered outlier months. Assuming the data are normally distributed, 488 approximately 1 in 22 values falls outside $\pm 2\sigma$, so those events occurring less than 5% of 489 the time could be considered rare or extreme. Although this criterion is objective and 490 practical, it is limited for precipitation which does not have a normal distribution, and its 491 lower bound is 0.

492 Using the $\pm 2\sigma$ criterion, the CFSR identifies three exceptionally hot months and 493 four exceptionally cold months in the Midwest region using the monthly area-averaged 494 daily maximum 2-m temperature (Fig. 15). Four of those months (January 2006, 495 September 1993, December 1989, and December 2000) were correctly characterized as 496 exceptional in all three WRF runs, regardless of whether interior nudging was used. The 497 exceptionally cold August 1992 was also identified as the coldest August in all three 498 WRF runs, despite falling short of the -2σ criterion. (August 1992 is obscured for AN 499 and SN in Fig. 15 because August 2004 has a similar value.) This shows that WRF can

500 create credible predictions (e.g., from persistent and strong synoptic forcing through the 501 lateral boundaries) and does not rely on nudging to compensate for shortcomings in 502 physics. However, March 2000 and June 1988 were merely cast as unusually warm in 503 NN, but correctly characterized as extreme by AN and SN. In fact, the summer of 1988 504 had the hottest June, July, and August of the 20-yr period, a prolonged period of drought 505 in the Midwest. Without interior nudging, NN consistently underpredicted 2-m 506 temperature during the summer months (consistent with Fig. 4), and did not identify 1988 507 as having a remarkably hot summer. In NN, July 1988 was 0.5 K cooler for the region 508 than July 2006, its hottest July (a false alarm), which was only unusually warm $(+1\sigma)$ in 509 CFSR, AN, and SN. In addition, April 2006 was the hottest April of the 20-yr period in 510 CFSR, AN, and SN, but without interior nudging, NN classified that month as near 511 normal. Without interior nudging, WRF captured some of the extreme months during the 512 20-yr period, but had several misses and false alarms. Although imperfect, using interior 513 nudging in WRF improves the representation of the extreme months, eliminates the 514 misses and false alarms, and greatly improves the accuracy in characterizing the relative 515 severity of the events.

As with daily maximum temperature, several months that had exceptionally hot or cold monthly area-averaged daily 2-m temperature minima (June 1992, August 1992, December 1989, December 2000) were correctly characterized in all three WRF runs, regardless of whether nudging was used (Fig. 16). However, without nudging, NN misclassified the severity of some months (October 1988 and 2007, which were the coldest and hottest Octobers at $\pm 1\sigma$ rather than $\pm 2\sigma$, which suggests reduced interannual variability for October), missed extreme months altogether (June 2003, which was the 2nd

they did not occur (November 2003, which was the 3^{rd} hottest and $+1\sigma$ in NN, but 524 525 average in CFSR, AN, and, SN). 526 The diurnal range of the 2-m temperature can illustrate the effects of precipitation 527 on temperature. As demonstrated with the maxima and minima of the daily 2-m 528 temperature, WRF without nudging can sometimes accurately predict extreme events. 529 February and March 1998 and November 1999 were correctly classified with exceptionally small diurnal range by all three WRF runs (Fig. 17), and June 1988 was 530 531 exceptionally large in all three WRF runs. In other cases, nudging was necessary to 532 intensify (May 1988, July 1988, November 1992, July–September 1993) or mitigate 533 (November 1997) the magnitude of the diurnal range. Interior nudging was necessary to 534 capture the magnitude of the expanded diurnal range during the extreme hot and dry 535 summer of 1988. In addition, nudging correctly reduced the diurnal range during July-536 September 1993, following the record-breaking flooding events. The annual variability 537 in the diurnal range in NN is erroneously largest in winter months (and enhanced 538 compared to CFSR, AN, and SN), and smallest in summer months (and suppressed 539 compared to CFSR, AN, and SN). This shows that interior nudging is needed to correctly 540 simulate the intraannual and interannual variability in diurnal range. 541 Month-by-month area-average precipitation totals for the 20-yr period are shown 542 in Fig. 18. Evaluating monthly precipitation totals over a region allows us to remove

coldest in CFSR, AN, and SN, but average in NN), or simulated extreme conditions when

523

543 acute events (which are also important, but discussed as part of Figs. 13 and 14) and

544 assess prolonged synoptic patterns that either increase or decrease widespread

545 precipitation at some point in the year. Based on NARR for the 20-yr period, there were

546 nine individual months with $>+2\sigma$ area-average precipitation (exceptionally wet) in the 547 Midwest, and one month with $\leq 2\sigma$ area-average precipitation (exceptionally dry) in the 548 Midwest (Fig. 18). Without interior nudging in WRF, NN predicted ten exceptionally 549 wet months and no exceptionally dry months. However, of the ten exceptionally wet 550 months identified by NN during the 20-yr period, only four of them actually verified as 551 exceptionally wet; the other six months predicted as exceptionally wet by NN were 552 usually only slightly wetter than average according to NARR. In addition, the 553 exceptionally dry month (June 1988) was predicted to be only abnormally dry ($<-1\sigma$) by 554 NN, and it was not even the driest June of the 20-yr period in NN. By contrast, the 555 exceptionally dry year in June 1988 was correctly predicted by both AN and SN at $<-2\sigma$. 556 June 1988 had <50% of the area-average monthly precipitation of the next driest June of 557 the 20-yr period in both AN and SN, as in NARR.

558 AN identified eight exceptionally wet months, and SN identified ten exceptionally 559 wet months. The months identified by AN and SN as exceptionally wet often matched 560 those identified from NARR as exceptionally wet (see Fig. 18). In cases where there was 561 disagreement on the extremity of the precipitation during the month, often the month was 562 the wettest year for that month during the period in NARR and the WRF nudging cases, 563 so the 2σ threshold may have been too strict. By contrast, in cases where NN was 564 inconsistent with NARR, the errors in classifying the extremity of the monthly 565 precipitation were much larger. For example, March 1998 was exceptionally wet $(>+2\sigma)$ 566 as classified by NARR and as predicted by AN and SN, but it was predicted as slightly 567 wetter than average (between $\pm 1\sigma$) by NN. March 2002 was predicted as exceptionally wet by NN, but verified as slightly wetter than average in NARR and was correctly 568

569 classified by AN and SN. Problems in NN also persisted in summer months, where 570 August 2007 was an exceptionally wet month in NARR and was correctly predicted by 571 AN and SN as the wettest August of the 20-yr period (Fig. 18); NN, however, classified 572 August 2007 as abnormally dry ($<-1\sigma$). Lastly, the three wettest months during the 20-yr 573 period in NARR were May 2004, June 1998, and July 1992 (Fig. 18). All three of those 574 months were correctly predicted as the top three wet months by AN and SN, while NN 575 did not identify any of those months among the three wettest. Overall, while imperfect 576 and subject to refinement, applying interior nudging toward the coarse-resolution R-2 577 fields through AN and SN enabled WRF to identify extreme months in the Midwest 578 region that were better matched to NARR than NN. Without interior nudging NN 579 identified the approximate number of extreme wet months, and NN correctly identified 580 four of the ten extreme months during the 20-yr simulation period. However, there were 581 six misses and six false alarms for NN predictions of exceptionally wet months during the 582 20-yr period (and one egregious miss of the exceptionally dry month), which is unreliable 583 for predicting extreme precipitation.

584

585 4. Summary

In this paper, the impacts of interior nudging on the prediction of extremes in regional climate modeling were explored. Using the WRF model as the RCM, three continuous simulations covering 1988–2007 were evaluated where the constraint toward the largescale driving conditions was exercised either only at the lateral boundaries or via one of the two interior nudging techniques in WRF. The simulations were initialized with reanalysis fields from R-2 as a proxy for a coarse-resolution global climate model. 592 Comparisons of the spectra from WRF output fields were made against R-2 to determine 593 if the WRF simulations were consistent with the driving model at large scales. Finer-594 scale comparisons of the WRF simulations were drawn against comparable-resolution 595 reanalyses from the NARR and CFSR products. 596 We showed that nudging improves the prediction of monthly means over a multi-597 decadal period, which is consistent with other studies using shorter (1-yr or less) 598 simulations (e.g., Miguez-Macho et al. 2004; Castro et al. 2005; Lo et al. 2008, Rockel et 599 al. 2008; Alexandru et al. 2009; Bowden et al. 2012a). By constraining only at the lateral 600 boundaries, WRF often but not always captures the interannual variability, which is also 601 noted in Bowden et al. (2012b), and some of the extremes. However, interior nudging 602 improves the simulation of the mean 2-m temperature and both the hot and cold extreme 603 thresholds, so nudging improves the distribution and does not simply shift a model bias. 604 Using interior nudging is clearly an advantage for simulating extreme wet and dry 605 precipitation periods during the multi-decadal period. All WRF runs overpredicted 606 precipitation totals through the multi-decadal period (as in Caldwell et al. 2009) 607 regardless of whether nudging was used. Yet, both forms of interior nudging reproduced 608 extreme events with greater accuracy and did not produce the false alarms and 609 misclassifications of events when nudging was not used. Overall, interior nudging preserved the variability in the large scales from the driving fields and adjusted the 610 611 smaller-scale variability toward the high-resolution reanalyses. 612 These results should not be used to compare the interior nudging techniques 613 directly because of differences in their fundamental approaches and the variables that are

614 nudged. However, the application of nudging in WRF for regional climate modeling

stands to be improved to capitalize on the strengths of both methods. Although analysis
nudging is not theoretically applicable for regional climate modeling, using it is
preferable to not using interior nudging. Here, the analysis nudging simulation is
heuristic because its precipitation means and extremes are consistently more accurate
than the other two runs in five of the six regions in our domain, so it is plausible that
spectral nudging in WRF can be improved.

621 Our results clearly indicate that using interior nudging for regional climate 622 modeling with reasonable settings will not inappropriately squelch temperature and 623 precipitation extremes over prolonged periods in mid-latitudes. In some cases, increased 624 spatial variability and larger extremes were predicted without using interior nudging, but 625 those predictions were inaccurate. Using an interior constraint toward the large-scale 626 fields is absolutely necessary to consistently predict extreme events that are faithful to the 627 large-scale atmospheric circulation and approach the verified values. Because there is no 628 consensus on whether nudging is appropriate for regional climate modeling (e.g., 629 Rummukainen 2010), this research adds confidence to use nudging for dynamical 630 downscaling particularly when there is an interest in extreme events. Nudging techniques 631 must be used appropriately (i.e., nudging toward synoptic-scale waves for spectral 632 nudging, and using relaxation timescales that are sufficiently long for analysis nudging) 633 to maximize the benefit from them. However, we did not explore whether model biases 634 could be masked and/or exacerbated by nudging. If the downscaling techniques are 635 extended to global climate fields (i.e., Type 3 or Type 4 rather than Type 2, following 636 Castro et al. 2005), then the resultant regional climate projections may include the effects 637 of biases in the global climate fields that will not be overcome by nudging. Our results

638	reflect one configuration of WRF, and the generality of our conclusions should be
639	evaluated for other configurations of WRF and other RCMs. Using historical data, WRF
640	provides realistic regional climatology and captures some interannual variability without
641	interior nudging. However, accurately capturing changes in the interannual variability of
642	critical thresholds of 2-m temperature and precipitation are important to generate
643	credible, problem-focused climate assessments (e.g., Tryhorn and DeGaetano 2011), and
644	that can best be achieved today by using interior nudging techniques in the RCM.
645	
646	Acknowledgments.

Lara Reynolds and Chris Misenis (CSC) provided technical support to generate some of 647 the simulations shown in this paper. Kiran Alapaty and S.T. Rao (U.S. EPA) provided 648 649 technical feedback on this paper. The critique of three anonymous reviewers served to 650 strengthen the manuscript. The United States Environmental Protection Agency through its Office of Research and Development funded and managed the research described 651 here. It has been subjected to the Agency's administrative review and approved for 652 653 publication.

655 **References**

- Alexandru, A., R. de Elia, R. Laprise, L. Separovic, and S. Biner, 2009: Sensitivity study
- of regional climate model simulations to large-scale nudging parameters. *Mon. Wea. Rev.*, **137**, 1666-1685.
- Arritt, R., and M. Rummukainen, 2011: Challenges in regional-scale climate modeling. *Bull. Amer. Meteor. Soc.*, doi:10.1175/2010BAMS2971.1.
- 661 Becker, E. J., E. H. Berbery, and R. W. Higgins, 2009: Understanding the characteristics
- of daily precipitation over the United States using the North American Regional
 Reanalysis. J. Climate, 22, 6268-6286.
- Bowden, J. H., T. L. Otte, C. G. Nolte, and M. J. Otte, 2012a: Examining interior grid
- nudging techniques using two-way nesting in the WRF model for regional climate
 modeling. J. Climate, 25, 2805-2823.
- Bowden, J. H., C. G. Nolte, and T. L. Otte, 2012b: Using continuous multi-decadal
- regional climate simulations to examine the impact of the large-scale circulationon the regional climatology. *Clim. Dyn.*, submitted.
- Bukovsky, M. S., and D. J. Karoly, 2007: A brief evaluation of precipitation from the
 North American Regional Reanalysis. *J. Hydrometeor.*, 8, 837-846.
- 672 Caldwell, P., H.-N. S. Chin, D. C. Bader, and G. Bala, 2009: Evaluation of a WRF
- dynamical downscaling simulation over California. *Climatic Change*, **95**, 499–
 521.
- 675 Castro, C. L., R. A. Pielke, Sr., and G. Leoncini, 2005: Dynamical downscaling:
- Assessment of value retained and added using the Regional Atmospheric

- 677 Modeling System (RAMS). J. Geophys. Res., 110, D05108,
- 678 doi:10.1029/2004JD004721.
- 679 Cha, D.-H., C.-S. Jin, D.-K. Lee, and Y.-H. Kuo, 2011: Impact of intermittent spectral
- nudging on regional climate simulation using Weather Research and Forecasting
- 681 model. J. Geophys. Res., **116**, D10103, doi:10.1029/2010JD015069.
- 682 Chen, F., and J. Dudhia, 2001: Coupling and advanced land surface-hydrology model
- with the Penn State-NCAR MM5 modeling system. Part I: model implementation
 and sensitivity. *Mon. Wea. Rev.*, **129**, 569–585.
- 685 Christensen, J. H., and Coauthors, 2007: Regional climate projections. In *Climate*
- 686 *Change 2007: The Physical Science Basis*, Contribution of Working Group I to
- 687 the Fourth Assessment Report of the Intergovernmental Panel on Climate Change
- 688 [Solomon, S., et al., Eds.], Cambridge University Press, Cambridge, United
- 689 Kingdom and New York, NY, USA.
- 690 Colin, J., M. Déqué, R. Radu, and S. Somot, 2010: Sensitivity study of heavy
- 691 precipitation in Limited Area Model climate simulations: influence of the size of
 692 the domain and the use of the spectral nudging technique. *Tellus*, **62A**, 591–604.
- Davies, H. C., and R. E. Turner, 1977: Updating prediction models by dynamical
- relaxation: An examination technique. *Quart. J. Roy. Meteor. Soc.*, 103, 225–
 245.
- 696 Deng, A., D. R. Stauffer, J. Dudhia, T. L. Otte, and G. K. Hunter, 2007: Update on
- 697 analysis nudging FDDA in WRF-ARW. Proceedings, 8th WRF Users' Workshop,
- 698 Boulder, CO, National Center for Atmospheric Research, 4.8. [Available online

699

at http://www.mmm.ucar.edu/wrf/users/workshops/WS2007/abstracts/4-

- 700
- 8_Deng.pdf.]
- 701 Dulière, V., Y. Zhang, and E. P. Salathé, Jr., 2011: Extreme precipitation and
- temperature over the U.S. Pacific Northwest: A comparison between
- observations, reanalysis data, and regional models. J. Climate, 24, 1950–1964.
- Feser, F., B. Rockel, H. von Storch, J. Winterfeldt, and M. Zahn, 2011: Regional climate
- 705 models add value to global model data: A review and selected examples. *Bull.*706 *Amer. Meteor. Soc.*, **92**, 1181–1192.
- 707 Garrett, C., and P. Müller, 2008: Extreme events. Bull. Amer. Meteor. Soc., 89, 1733.
- Giorgi, F., 1990: Simulation of regional climate using a limited area model nested in a
 general circulation model. *J. Climate*, **3**, 941–963.
- 710 Grell, G. A., and D. Dévényi, 2002: A generalized approach to parameterizing
- 711 convection combining ensemble and data assimilation techniques. *Geophys. Res.*712 *Lett.*, **29**, 1963
- 713 Guirguis, K. J., and R. Avissar, 2008: An analysis of precipitation variability,
- persistence, and observational data uncertainty in the western United States. J. *Hydrometeor.*, 9, 843–865.
- 716 Higgins, R. W., V. E. Kousky, V. B. S. Silva, E. Becker, and P. Xie, 2010:
- 717 Intercomparison of daily precipitation statistics of the United States in
- observations and in NCEP reanalysis products. J. Climate, 23, 4637–4650.
- 719 Hong, S.-Y., and J.-O. J. Lim, 2006: The WRF single-moment 6-class microphysics
- 720 scheme (WSM6). J. Korean Meteor. Soc., 42, 2, 129–151.

721	Hong, SY., Y. Noh, and J. Dudhia, 2006: A new vertical diffusion package with an
722	explicit treatment of entrainment processes. Mon. Wea. Rev., 134, 2318-2341.
723	Iacono, M. J., J. S. Delamere, E. J. Mlawer, M. W. Shephard, S. A. Clough, and W. D.
724	Collins, 2008: Radiative forcing by long-lived greenhouse gases: Calculations
725	with the AER radiative transfer models. J. Geophys. Res., 113, D13103,
726	doi:10.1029/2008JD009944.
727	Kaimal, J. C., and L. Kristensen, 1991: Time series tapering for short data samples.
728	BoundLayer Meteor, 57, 187–194.
729	Kanamaru, H., and M. Kanamitsu, 2007: Scale-selective bias correction in a
730	downscaling of global analysis using a regional model. Mon. Wea. Rev., 135,
731	334–350.
732	Kanamitsu, M., W. Ebisuzaki, J. Woollen, SK. Yang, J. J. Hnilo, M. Fiorino, and G. L.
733	Potter, 2002: NCEP-DOE AMIP-II Reanalysis (R-2). Bull. Amer. Meteor. Soc.,
734	83 , 1631–1643.
735	Karl, T. R., N. Nicholls, and A. Ghazi, 1999: CLIVAR/GCOS/WMO workshop on
736	indices and indicators for climate extremes: Workshop summary. Climatic
737	<i>Change</i> , 42 , 3–7.
738	Katz, R. W., and B. G. Brown, 1992: Extreme events in a changing climate: Variability
739	is more important than averages. Climatic Change, 21, 289-302.
740	Lo, J. CF., ZL. Yang, and R. A. Pielke, Sr., 2008: Assessment of three dynamical
741	downscaling methods using the Weather Research and Forecasting (WRF) model.
742	J. Geophys. Res., 113, D09112, doi:10.1029/2007JD009216.

743	Lynn, B. H., R. Healy, and L. M. Druyan, 2007: An analysis of the potential for extreme
744	temperature change based on observations and model simulations. J. Climate, 20,
745	1539–1554.
746	Meehl, G. A., and Coauthors, 2000a: An introduction to trends in extreme weather and
747	climate events: Observations, socioeconomic impacts, terrestrial ecological
748	impacts, and model projections. Bull. Amer. Meteor. Soc., 81, 413-416.
749	Meehl, G. A., F. Zwiers, J. Evans, T. Knutson, L. Mearns, and P. Whetton, 2000b:
750	Trents in extreme weather and climate events: Issues related to modeling
751	extremes in projections of future climate change. Bull. Amer. Meteor. Soc., 81,
752	427–436.
753	Mesinger, F., and Coauthors, 2006: North American Regional Reanalysis. Bull. Amer.
754	Meteor. Soc., 87, 343–360.
755	Miguez-Macho, G., G. L. Stenchikov, and A. Robock, 2004: Spectral nudging to
756	eliminate the effects of domain position and geometry in regional climate model
757	simulations. J. Geophys. Res., 109, D13104, doi:10.1029/2003JD004495.
758	Mladjic, B., L. Sushama, M. N. Khaliq, R. Laprise, D. Caya, and R. Roy, 2011:
759	Canadian RCM projected changes to extreme precipitation characteristics over
760	Canada. J. Climate, 24, 1565–2584.
761	Mo, K. C., L. N. Long, Y. Xia, S. K. Yang, J. E. Schemm, and M. Ek, 2001: Drought
762	indices based on the Climate Forecast System Reanalysis and ensemble NLDAS.
763	<i>J. Hydrometeor.</i> , 12 , 181–205.

- Nigam, S., and A. Ruiz-Barradas, 2006: Seasonal hydroclimate variability over North
 America in global and regional reanalyses and AMIP simulations: varied
 representation. *J. Climate*, **19**, 815–837.
- 767 Otte, T. L., 2008: The impact of nudging in the meteorological model for retrospective
 768 air quality simulations. Part I: Evaluation against national observation networks.
 769 J. Appl. Meteor. Climatol., 47, 1853–1867.
- 770 Pielke, R. A., 1984: Mesoscale Meteorological Modeling. Academic Press, 612 pp.
- 771 Pielke, Sr., R. A., R. Wilby, D. Niyogi, F. Hossain, K. Dairuku, J. Adogoke, G. Kallos, T.
- 772 Seastedt, and K. Suding, 2012: Dealing with complexity and extreme events
- using a bottom-up, resource-based vulnerability perspective. *AGU Monograph on Complexity and Extreme Events in Geosciences*, Amer. Geophys. Union, in press.
- Radu, R., M. Déqué, and S. Somot, 2008: Spectral nudging in a spectral regional climate
 model. *Tellus*, **60A**, 898–910.
- Ruiz-Barradas, A., and S. Nigam, 2006: IPCC's twentieth-century climate simulations:
 Varied representations of North American hydroclimate variability. *J. Climate*,
 19, 4041–4058.
- Rummukainen, M., 2010: State-of-the-art with regional climate models. *WIREs Climate Change*, 1, 82–96.
- Saha, S., and Coauthors, 2010: The NCEP Climate Forecast System Reanalysis. *Bull. Amer. Meteor. Soc.*, doi:10.1175/2010BAMS3001.1.
- Stauffer, D. R., and N. L. Seaman, 1990: Use of four-dimensional data assimilation in a
 limited-area model. Part I: Experiments with synoptic-scale data. *Mon. Wea.*
- 786 *Rev.*, **118**, 1250–1277.

787	, and	_, 1994:	Multiscale	four-dimensional	data assi	imilation.	J. Appl.
788	Meteor., 3	33, 416-	434.				

789	Skamarock, W. C., J. B. Klemp, J. Dudhia, D. O. Gill, D. M. Barker, M. G. Duda, XY.
790	Huang, W. Wang, and J. G. Powers, 2008: A description of the Advanced
791	Research WRF Version 3. NCAR Tech. Note NCAR/TN-475+STR, 113 pp.
792	Trenberth, K. E., and Coauthors, 2007: Observations: Surface and Atmospheric Climate
793	Change. In: Climate Change 2007. The Physical Science Basis. Contribution of
794	WG 1 to the Fourth Assessment Report of the Intergovernmental Panel on
795	Climate Change. S. Solomon, D. Qin, M. Manning, Z. Chen, M. C. Marquis, K.
796	B. Averyt, M. Tignor and H. L. Miller (Eds.) Cambridge University Press.
797	Cambridge, U. K., and New York, NY, USA, 235–336, plus annex online.
798	Tryhorn, L., and A. DeGaetano, 2011: "2100? It doesn't keep me up at night!" Lessons
799	for the next generation of climate assessments. Bull. Amer. Meteor. Soc., 92,
800	1137–1148.
801	von Storch, H. H. Langenberg, and F. Feser, 2000: A spectral nudging technique for
802	dynamical downscaling purposes. Mon. Wea. Rev., 128, 3664-3673.
803	Wang, W., P. Xie, SH. Yoo, Y. Xue, A. Kumar, and X. Wu, 2011: An assessment of
804	the surface climate in the NCEP climate forecast system reanalysis. Clim. Dyn.,
805	37 , 1601–1620.
806	Werth, D., and A. Garrett, 2011: Patterns of land surface errors and biases in the Global
807	Forecast System. Mon. Wea. Rev., 139, 1569–1582.

809 List of Figures

810 FIG. 1. WRF 108-km and 36-km domains, and the regions used for model evaluation:

811 Northwest (NW), Southwest (SW), Plains (PL), Midwest (MW), Southeast (SE), and

812 Northeast (NE). From Bowden et al. (2012a).

813

- 814 FIG. 2. Mean 2-m temperature difference (K) from CFSR for 1988–2007 from NARR and
- 815 from WRF simulations NN, AN, and SN.

816

- 817 FIG. 3. Mean annual precipitation (mm) for 1988–2007 from NARR and from WRF
- 818 simulations NN, AN, and SN.
- 819

820 FIG. 4. Monthly area-averaged (a) 2-m temperature difference from CFSR (K) and (b)

821 precipitation difference from NARR (mm) for the Midwest region (refer to Fig. 1) for

822 three WRF runs: NN (green), AN (blue), and SN (red).

823

FIG. 5. 20-yr-average of monthly area-averaged 2-m temperature difference from CFSR

825 (K) for 6 regions (refer to Fig. 1) for three WRF runs: NN ("N"), AN ("A"), and SN

826 ("S").

827

828 FIG. 6. 20-yr-average of monthly area-averaged precipitation difference from NARR

829 (mm) for 6 regions (refer to Fig. 1) for three WRF runs: NN ("N"), AN ("A"), and SN

830 ("S").

simulations NN, AN, and SN averaged for (a) January, and (b) July.

834

FIG. 8. Same as Fig. 7, but for 700-hPa water vapor mixing ratio.

836

837 FIG. 9. Low-frequency kinetic energy spectra for R-2, NARR, and WRF simulations NN,

AN, and SN averaged for (a) January 250-hPa meridional wind, (b) July 250-hPa

839 meridional wind, (c) January 500-hPa meridional wind, and (d) July 500-hPa meridional

841

840

wind.

FIG. 10. Annual area-averaged number of days with 2-m temperature above 90°F for the
Midwest region. Data are shown from CFSR ("O") and WRF runs NN ("N"), AN ("A"),
and SN ("S").

845

FIG. 11. Annual area-averaged number of days with (a) 2-m temperature below 32°F, (b)

847 maximum 2-m temperature below 32°F, and (c) 2-m temperature below 0°F for the

848 Midwest region. Data are shown from CFSR ("O") and WRF runs NN ("N"), AN ("A"),

849 and SN ("S").

850

FIG. 12. 20 years of annual area-averaged number of days with 2-m temperature greater

than 90°F (DT90, gray) and less than 32°F (DT32, white) for the 6 regions in Fig. 1.

B53 Data are shown from CFSR and WRF runs NN, AN, and SN. Boxes are drawn from 25th

to 75th percentiles with 50th percentile shown in center of each box, and whiskers at 854 855 minimum and maximum values.

856

857 FIG. 13. Annual area-averaged number of days with (a) precipitation greater than 0.5 in 858 and (b) precipitation greater than 1.0 in for the Midwest region. Data are shown from NARR ("O") and WRF runs NN ("N"), AN ("A"), and SN ("S").

860

859

861 FIG. 14. 20 years of annual area-averaged number of days with precipitation greater than

862 0.5 in (DP05, gray) and precipitation greater than 1.0 in (DP10, white) for the 6 regions

in Fig. 1. Data are shown from NARR and WRF runs NN, AN, and SN. Boxes are 863

drawn from 25th to 75th percentiles with 50th percentile shown in center of each box, and 864

whiskers at minimum and maximum values. 865

866

867 FIG. 15. Monthly area-averaged daily maximum 2-m temperature (K) for the Midwest

868 region for 1988-2007. Data are shown from CFSR (upper-left) and WRF runs NN

869 (upper-right), AN (lower-left), and SN (lower-right). The solid black line indicates the

870 20-yr, monthly mean of the daily maximum 2-m temperature, the dashed black lines

871 indicate ± 1 standard deviation from the mean, and the gray shading indicates ± 2 standard

- 872 deviations from the mean. The data are color-coded by year, with the earliest years in
- 873 blues progressing to reds in the later years.

874

875 FIG. 16. Same as Fig. 15, but for daily minimum 2-m temperature (K).

- 877 FIG. 17. Same as Fig. 15, but for daily diurnal range (K).
- 878
- 879 FIG. 18. Same as Fig. 15, but for precipitation (mm).
- 880

881 TABLE 1. Nudging coefficients (s⁻¹) and domain-relative wave numbers used for analysis

and spectral nudging simulations. Time scales (h) that correspond to the nudging

883 coefficients and length scales (km) that correspond to the wave numbers are in

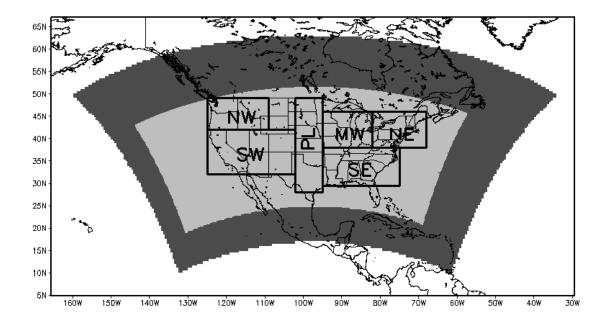
884 parentheses. Fields that are not applicable are indicated by –.

885

	Wind	Potential	Water	Geo-	West-	South-
		Temp.	Vapor	potential	east	north
			Mixing		wave	wave
			Ratio		number	number
Analysis Nudging	3.0 x 10 ⁻⁴	3.0 x 10 ⁻⁴	4.5 x 10 ⁻⁵	_	_	_
(108-km)	(0.9)	(0.9)	(6.2)			
Analysis Nudging	1.0 x 10 ⁻⁴	1.0 x 10 ⁻⁴	1.0 x 10 ⁻⁵	_	_	_
(36-km)	(2.8)	(2.8)	(27.8)			
Spectral Nudging	3.0 x 10 ⁻⁴	3.0 x 10 ⁻⁴	_	3.0 x 10 ⁻⁴	5	3
(108-km)	(0.9)	(0.9)		(0.9)	(1728)	(1800)
Spectral Nudging	3.0 x 10 ⁻⁴	3.0 x 10 ⁻⁴	_	3.0 x 10 ⁻⁴	4	2
(36-km)	(0.9)	(0.9)		(0.9)	(1674)	(1512)

886

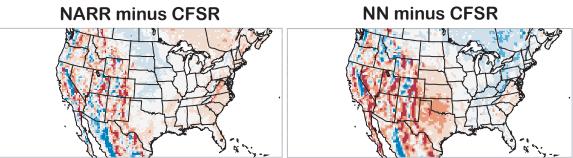
887

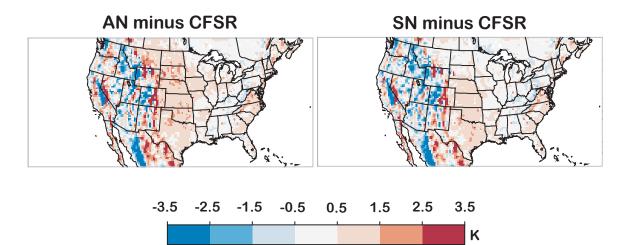


890

891 FIG. 1. WRF 108-km and 36-km domains, and the regions used for model evaluation:

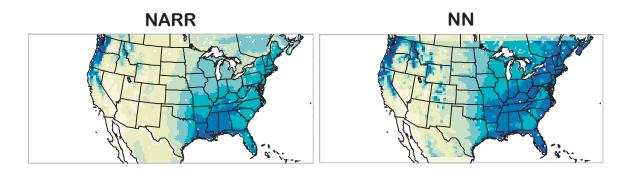
- 892 Northwest (NW), Southwest (SW), Plains (PL), Midwest (MW), Southeast (SE), and
- 893 Northeast (NE). From Bowden et al. (2012a).

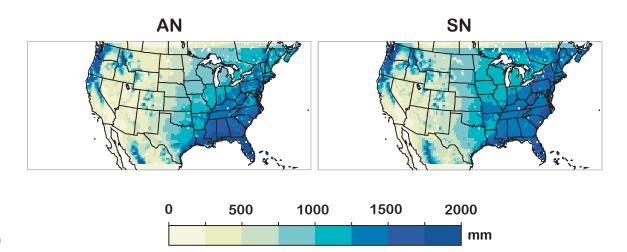




897 FIG. 2. Mean 2-m temperature difference (K) from CFSR for 1988–2007 from NARR and

898 from WRF simulations NN, AN, and SN.





902 FIG. 3. Mean annual precipitation (mm) for 1988–2007 from NARR and from WRF

903 simulations NN, AN, and SN.

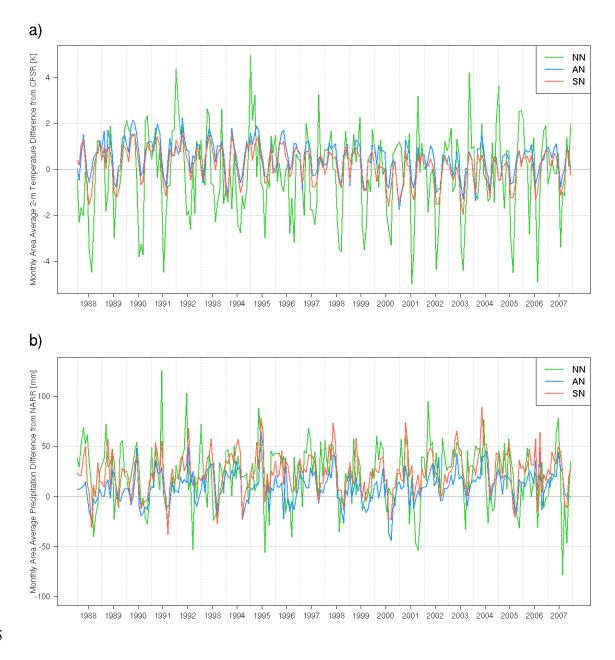
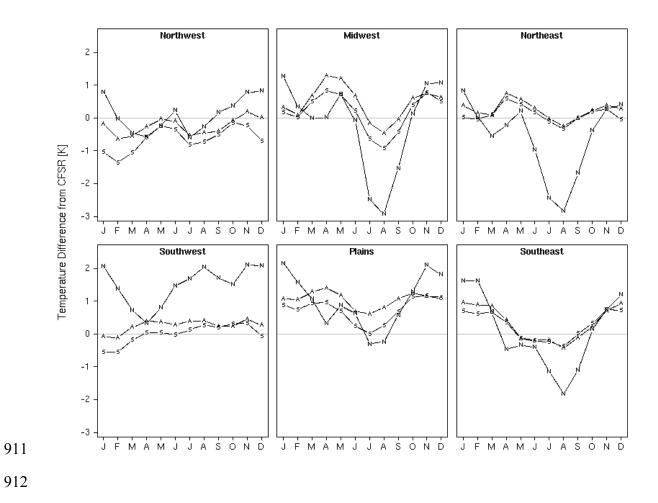




FIG. 4. Monthly area-averaged (a) 2-m temperature difference from CFSR (K) and (b)
precipitation difference from NARR (mm) for the Midwest region (refer to Fig. 1) for

909 three WRF runs: NN (green), AN (blue), and SN (red).



913 FIG. 5. 20-yr-average of monthly area-averaged 2-m temperature difference from CFSR

914 (K) for 6 regions (refer to Fig. 1) for three WRF runs: NN ("N"), AN ("A"), and SN

915 ("S").

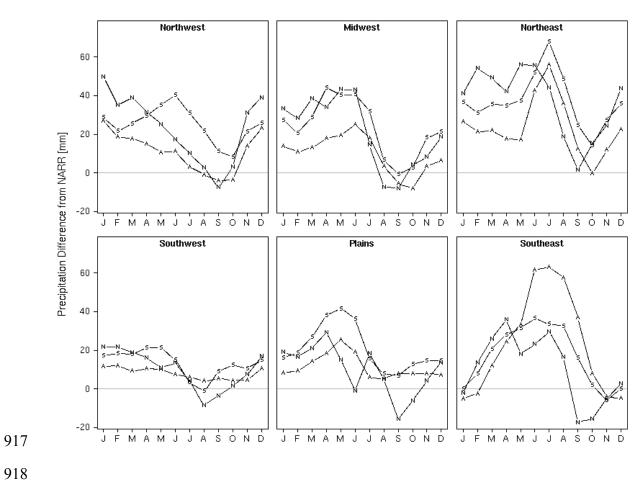
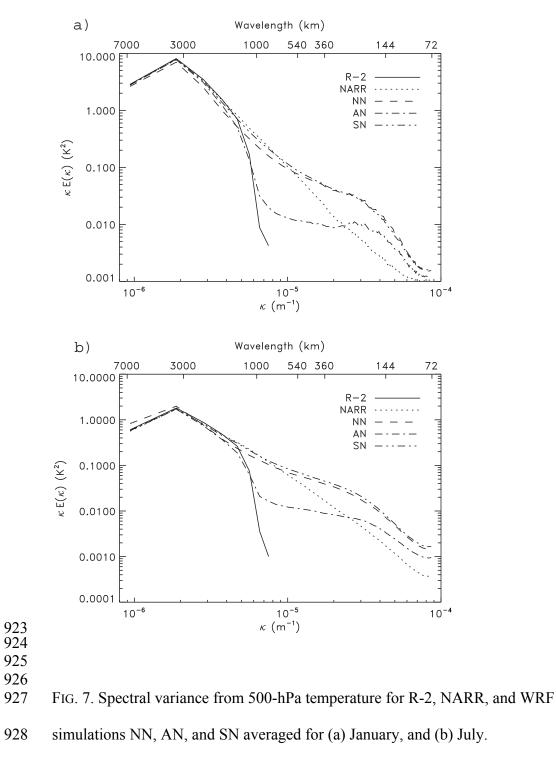
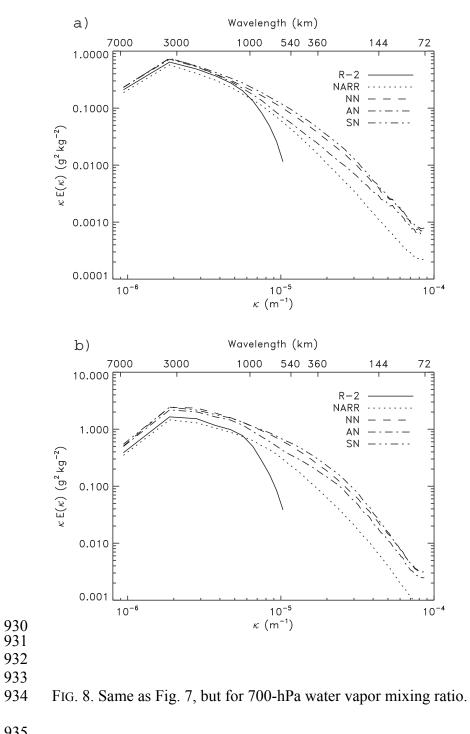
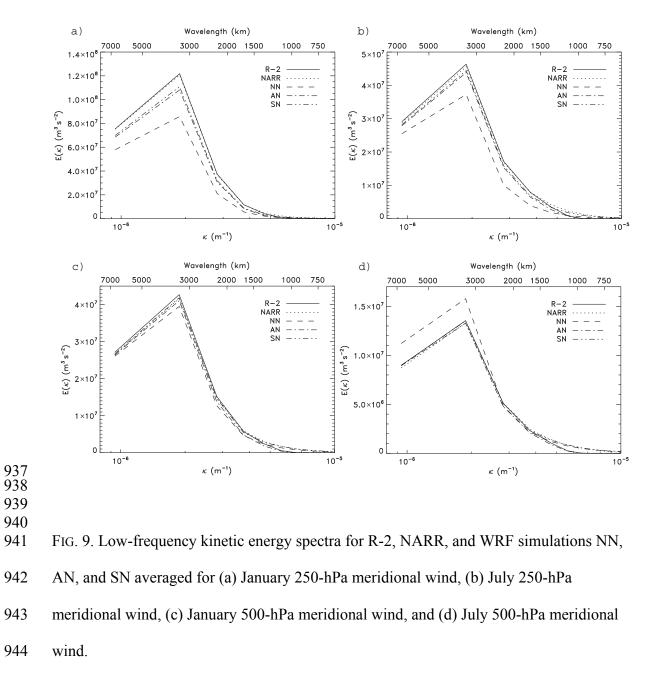




FIG. 6. 20-yr-average of monthly area-averaged precipitation difference from NARR (mm) for 6 regions (refer to Fig. 1) for three WRF runs: NN ("N"), AN ("A"), and SN ("S").







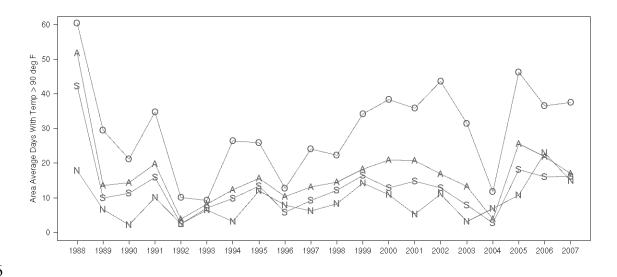






FIG. 10. Annual area-averaged number of days with 2-m temperature above 90°F for the
Midwest region. Data are shown from CFSR ("O") and WRF runs NN ("N"), AN ("A"),
and SN ("S").

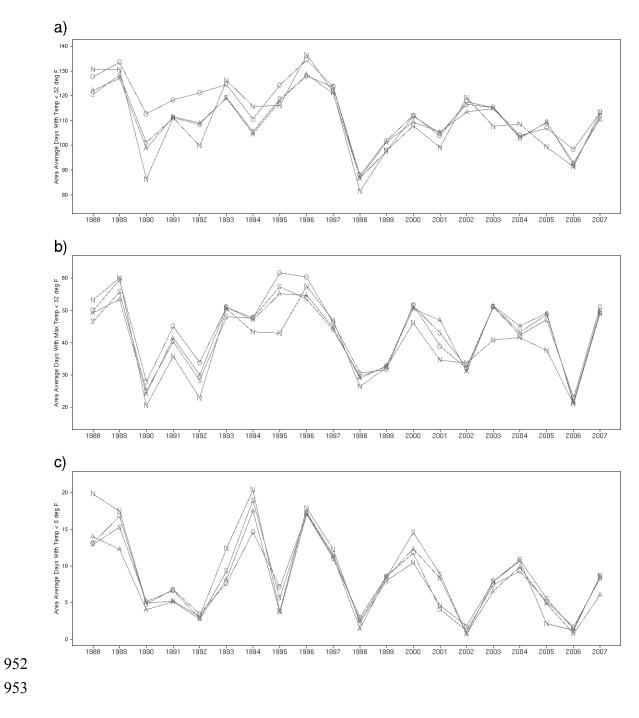


FIG. 11. Annual area-averaged number of days with (a) 2-m temperature below 32°F, (b)
maximum 2-m temperature below 32°F, and (c) 2-m temperature below 0°F for the
Midwest region. Data are shown from CFSR ("O") and WRF runs NN ("N"), AN ("A"),
and SN ("S").

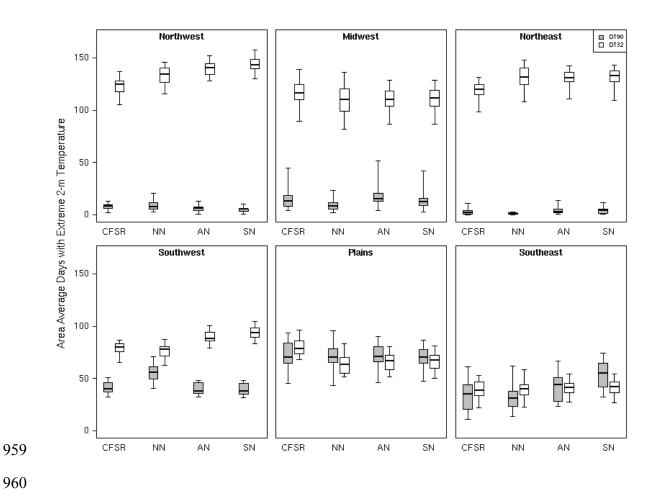


FIG. 12. 20 years of annual area-averaged number of days with 2-m temperature greater

than 90°F (DT90, gray) and less than 32°F (DT32, white) for the 6 regions in Fig. 1.

Data are shown from CFSR and WRF runs NN, AN, and SN. Boxes are drawn from 25th

to 75th percentiles with 50th percentile shown in center of each box, and whiskers at

minimum and maximum values.

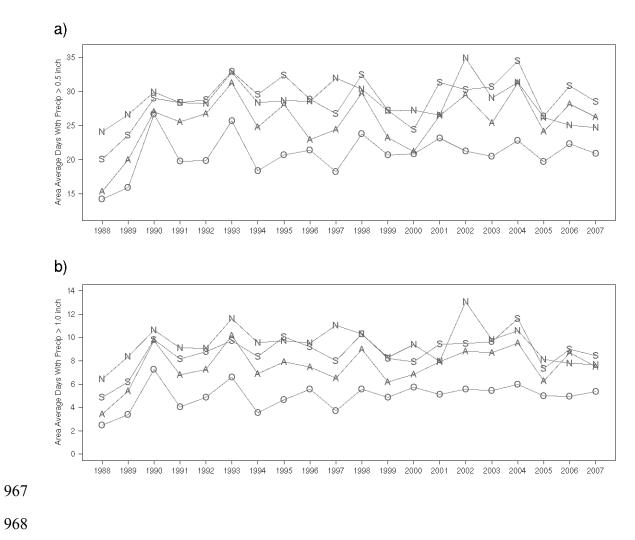


FIG. 13. Annual area-averaged number of days with (a) precipitation greater than 0.5 in and (b) precipitation greater than 1.0 in for the Midwest region. Data are shown from NARR ("O") and WRF runs NN ("N"), AN ("A"), and SN ("S").

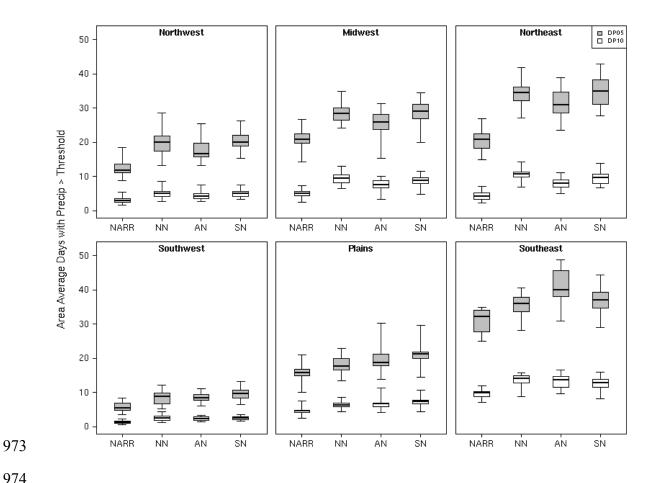


FIG. 14. 20 years of annual area-averaged number of days with precipitation greater than 0.5 in (DP05, gray) and precipitation greater than 1.0 in (DP10, white) for the 6 regions in Fig. 1. Data are shown from NARR and WRF runs NN, AN, and SN. Boxes are drawn from 25th to 75th percentiles with 50th percentile shown in center of each box, and whiskers at minimum and maximum values.



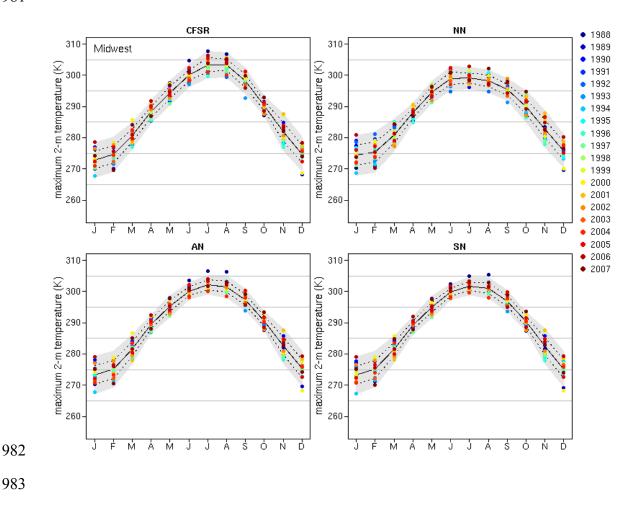


FIG. 15. Monthly area-averaged daily maximum 2-m temperature (K) for the Midwest
region for 1988-2007. Data are shown from CFSR (upper-left) and WRF runs NN
(upper-right), AN (lower-left), and SN (lower-right). The solid black line indicates the
20-yr, monthly mean of the daily maximum 2-m temperature, the dashed black lines
indicate ±1 standard deviation from the mean, and the gray shading indicates ±2 standard
deviations from the mean. The data are color-coded by year, with the earliest years in
blues progressing to reds in the later years.

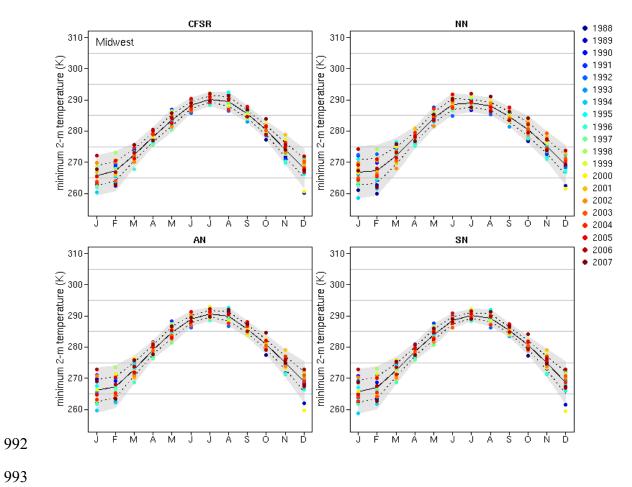




FIG. 16. Same as Fig. 15, but for daily minimum 2-m temperature (K).

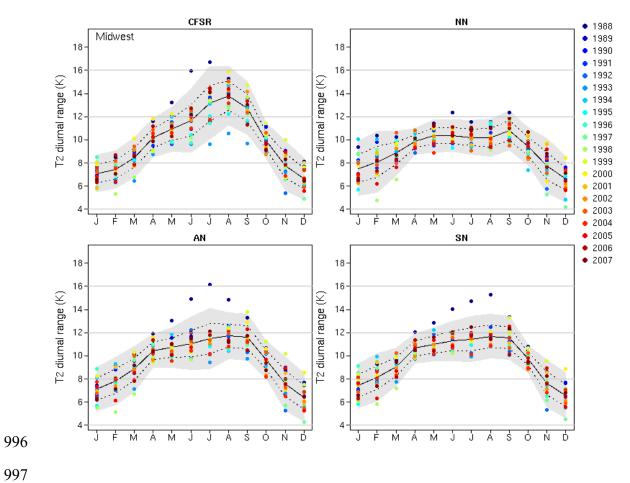
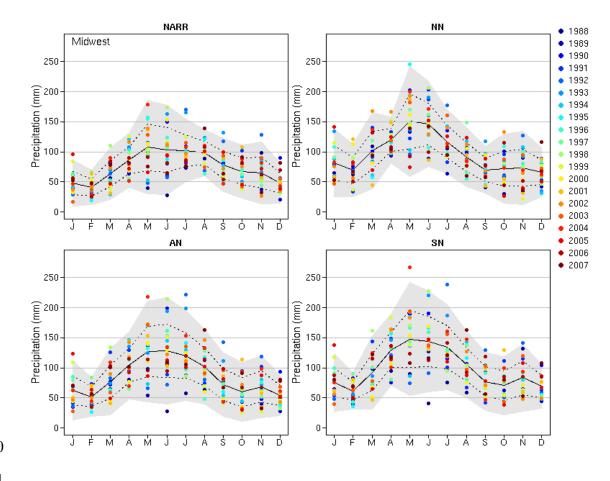


FIG. 17. Same as Fig. 15, but for daily diurnal range (K).



1002 FIG. 18. Same as Fig. 15, but for precipitation (mm).