

1 Title:

2 A biomonitoring framework to support exposure and risk assessments

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47 **Abstract**

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49 **Background:** Biomonitoring is used in exposure and risk assessments to reduce uncertainties
50 along the source-to-outcome continuum. Specifically, biomarkers can help identify exposure
51 sources, routes, and distributions, and reflect kinetic and dynamic processes following exposure
52 events. A variety of computational models now utilize biomarkers to better understand
53 exposures at the population, individual, and sub-individual (target) levels. However, guidance is
54 needed to clarify biomonitoring use given available measurements and models.

55

56 **Objective:** This article presents a biomonitoring research framework designed to improve
57 biomarker use and interpretation in support of exposure and risk assessments.

58

59 **Discussion:** The biomonitoring research framework is based on a modified source-to-outcome
60 continuum. Five tiers of biomonitoring analyses are included in the framework, beginning with
61 simple cross-sectional and longitudinal analyses, and ending with complex analyses using
62 various empirical and mechanistic models. Measurements and model requirements of each tier
63 are given, as well as considerations to enhance analyses. Simple theoretical examples are also
64 given to demonstrate applications of the framework for observational exposure studies.

65

66 **Conclusion:** This biomonitoring framework can be used as a guide for interpreting existing
67 biomarker data, designing new studies to answer specific exposure- and risk-based questions,
68 and integrating knowledge across scientific disciplines to better address human health risks.

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74 **Key Words:**

75 biomonitoring; biomarkers; exposure science; exposure assessment; risk assessment

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77 **Abbreviations:**

78 BR biomarker, biologically-relevant biomarker; BR dose, biologically-relevant dose

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93 **1.0 Introduction**

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95 The U.S. Environmental Protection Agency (USEPA) and other organizations use risk
96 assessments to determine whether actions should be taken to protect public health (USEPA,
97 2009). Risk assessments are based on the concept that:

98
$$\text{Risk} = \text{Hazard} \times \text{Exposure}$$

99 For a given chemical, toxicity testing is used to identify a hazard and to establish a quantitative
100 relationship between administered dose and the incidence of health effects. This dose-response
101 relationship is then used to develop an acceptable human exposure level (*e.g.*, a reference dose
102 [RfD]). Next, exposure assessments identify the source(s), route(s), and magnitude of human
103 exposure. The risk of an adverse outcome is then determined by comparing observed or
104 estimated exposures to the acceptable level. Finally, information on sources and routes of
105 exposure are used to identify effective mitigation strategies.

106 In the past, blunt tools have generally been used for risk assessments – that is, high-dose
107 animal toxicity tests and screening-level exposure assessments. With these tools, the links
108 between exposure and health outcome are highly uncertain. Biomonitoring, because it is close to
109 the center of the source-to-outcome continuum, should better inform these linkages and reduce
110 the associated uncertainties. However, applications of biomonitoring in exposure and risk
111 assessments are limited by a lack of guidance on data use and interpretation.

112 This article presents a modified source-to-outcome continuum that provides a framework
113 for biomonitoring to support exposure and risk assessments. The framework includes five tiers
114 that can be used to answer specific exposure- and risk-based questions. The overall intent is to
115 provide guidance for interpreting existing biomarker data, designing new biomonitoring studies

116 to efficiently answer targeted research questions, and synthesizing relevant information across
117 scientific disciplines to address human health risks.

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119 **2.0 Discussion**

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121 **2.1 A modified source-to-outcome continuum**

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123 Figure 1 illustrates a modified source-to-outcome continuum that highlights traditional
124 components of exposure science and contemporary components of health effects science.
125 Biomarker measurements are central to the continuum, and therefore link the exposure and
126 health effects components.

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128 Definitions of components within the modified source-to-outcome continuum (shown in Fig. 1)
129 include the following:

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- 131 • ***Environmental measurements*** are observed concentrations of stressors in environmental
132 media. While stressors can be biological, physical, or even psychosocial, this article
133 focuses on chemical stressors.
- 134 • ***Exposure models*** mathematically combine environmental measurements with human
135 activities and other exposure factors to generate exposure estimates.
- 136 • ***Exposure estimates*** are route specific (*e.g.*, inhalation, ingestion, or dermal exposure)
137 and quantify the mass of a chemical that comes into contact with a human over time.

- 138 • ***Kinetic models*** mathematically describe the movement of a chemical through the body;
139 that is, its movement into the body (*absorption*) following exposure, *distribution* to
140 various tissues, *metabolism* by various processes, and ultimate *elimination* from the body
141 (these kinetic processes are collectively called “ADME”).
- 142 • ***Dose estimates*** are based on exposure estimates and kinetic processes, and quantify the
143 integrated (over time) mass of a chemical inside the body.
- 144 • ***Biomarker measurements*** are observations of chemicals, chemical metabolites, and
145 target molecules (*e.g.*, chemical adducts) in biological media (*e.g.*, blood, breath, and
146 urine) that, for the purposes of this paper, reflect exposure events and dose.
- 147 • ***Biologically-relevant (BR) dose estimates*** are based on dose estimates and kinetic
148 processes, and quantify the amount of the dose at a specific target (inside a human) that is
149 associated with key events in a disease process (*e.g.*, the amount of a neurotoxin in the
150 brain, or the amount of a genotoxic metabolite that interacts with genetic material). (This
151 definition follows from that of “biologically relevant exposures” given by Birnbaum
152 (2010)).
- 153 • ***Dynamic models*** mathematically describe the impacts of the BR dose on biological
154 systems (*e.g.*, enzyme inhibition from a neurotoxin, or DNA damage from a genotoxic
155 metabolite) and are used to predict BR biomarker levels.
- 156 • ***BR biomarker measurements*** are observations of chemicals/molecules in biological
157 media that reflect (directly or indirectly) the BR dose (*e.g.*, blood enzyme levels to reflect
158 the BR dose of a neurotoxin).
- 159 • ***Statistical models*** compare observations of random variables for hypothesis testing. For
160 example, statistical models can evaluate associations between environmental and

161 biomarker measurements, and biomarker and BR biomarker measurements, as well as the
162 effects of covariates (*e.g.*, age, gender, and human activities) on these associations.

163
164 Figure 1 shows that components of the modified source-to-outcome continuum align along two
165 planes: (1) measured values (*i.e.*, environmental, biomarker, and BR biomarker measurements)
166 shown with blue boxes; and (2) estimated values (*i.e.*, exposure, dose, and BR dose estimates)
167 shown with red triangles. While measured values are subject to uncertainty based on assay
168 precision, estimated values depend on measurements, observations, *and* model parameters, and
169 are therefore subject to greater uncertainty. Biomarker measurements, which are at the center of
170 the continuum, can help reduce uncertainties in estimated values as described in the following
171 sections.

172
173 Our proposed biomonitoring framework has five tiers that describe different uses for
174 biomarkers with different levels of complexity. Tier 1 considers only biomarker measurements,
175 and subsequent tiers consider additional measurements, models, and estimated values. Simple
176 theoretical examples are given for each tier to demonstrate how biomarker data can be used to
177 answer important exposure- and risk-based questions. Theoretical examples are given, rather
178 than results from published studies, to allow continuity from one tier to the next, and to simplify
179 the interpretation and discussion. Example biomarkers for each tier are assumed to be
180 measurable using reliable sampling and analytical methods, and to reflect exposure to
181 environmental chemicals. The specific criteria for biomarker selection and use are not the focus
182 of this article and can be found elsewhere (Metcalf and Orloff, 2004; NRC, 1987; NRC, 1991;
183 NRC, 2006; Sobus et al., 2010a).

184 **2.2 Biomonitoring framework tiers**

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186 **2.2.1 Tier 1: Biomonitoring for exposure surveillance**

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188 Tier 1 analyses of biomarker data aim to answer one or more of the following questions for
189 exposure surveillance:

190

- 191 • Who is exposed?
- 192 • What are the exposure trends?
- 193 • Which chemicals should be prioritized for higher-tier analyses?

194

195 As shown in Figure 2, biomarker measurements are the only requirement for a Tier 1 analysis.
196 Specifically, cross-sectional biomarker measurements are used to evaluate exposures across
197 populations, and longitudinal biomarker measurements are used to evaluate exposure trends
198 within a population. To demonstrate these uses, two theoretical examples are given in Figure 2.

199 In Example 1, the two distributions represent biomarker measurements that have been
200 separated into groups for hypothesis testing; example groups could include those separated by
201 gender, geographical area, age (*e.g.*, < 18 years old vs. ≥ 18 years old), or product use. All other
202 things being equal, observed differences between grouped measurements indicate an effect of the
203 grouping variable on biomarker levels, and suggest exposure differences between the groups.

204 In Example 2, longitudinal biomarker measurements for a population decrease over time,
205 suggesting a decrease in exposure levels. Trends over time can indicate a change in the exposure
206 source (*e.g.*, de-registration of a consumer product), or a change in human activities through

207 which contact occurs (*e.g.*, product use patterns). However, higher-tier analyses of the
208 biomarker data are generally needed to pinpoint the cause of a trend. For examples of Tier 1
209 analyses, see Barr et al. (2010), Naeher et al. (2010), Calafat et al. (2010), Pirkle et al. (2006),
210 and Sobus et al. (2009b; 2009c).

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212 **2.2.2 Tier 2: Biomonitoring to support exposure assessment**

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214 Tier 2 analyses of biomarker data can answer the following questions to support exposure
215 assessments:

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- 217 • What are the likely exposure sources?
- 218 • What are the likely exposure routes?

219

220 As shown in Figure 3, Tier 2 analyses consider paired environmental and biomarker
221 measurements at the subject level, and focus on statistical comparisons of these data. A graph in
222 Figure 3 shows a regression of “spot” biomarker measurements (one observation per subject) on
223 corresponding environmental measurements. A positive linear trend is shown in this example
224 with a R^2 value of 0.3. This indicates that biomarker levels increased with increasing
225 environmental levels, and that 30% of the biomarker measurement variance was explained by
226 corresponding environmental measurements.

227 If, for example, environmental measurements in this example were concentrations of a
228 chemical in food, and biomarker measurements were corresponding blood levels of the same
229 chemical, then the results of the regression analysis would point to dietary ingestion as a likely

230 exposure route. Potentially, the results could point to food or a specific food item as an exposure
231 source.

232 Considerable unexplained variance in the biomarker data (*i.e.*, 70%), however, would
233 suggest additional exposure routes, and/or considerable covariate effects (*e.g.*, timing of
234 sampling events, gender, age, and ethnicity) on biomarker levels. Therefore, additional data
235 would be necessary to better explain the observed biomarker variance and to further support the
236 exposure assessment. These data could be part of a more complex Tier 2 analysis (*e.g.*,
237 including environmental measurements of different media to identify additional exposure
238 routes), or of a higher-tier analysis. For examples of Tier 2 analyses, see Egeghy et al. (2005),
239 Thomas et al. (2010a; 2010b), and Sobus et al. (2009a)

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241 **2.2.3 Tier 3: Biomonitoring to support risk assessment**

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243 Tier 3 analyses of biomarker data can be used to support risk assessments since they can answer
244 the following questions:

245

- 246 • What are the important exposure factors?
- 247 • What are the likely exposure levels?

248

249 The requirements of a Tier 3 analysis of biomarker data are shown in Figure 4, and build on the
250 Tier 2 parameters by adding considerations for exposure. Here, exposure is directly linked to
251 environmental measurements via exposure models, and indirectly linked to biomarker
252 measurements via statistical models (*e.g.*, multiple regression models) that consider

253 environmental measurements, human activities, and other exposure factors. Statistical models
254 are used to identify important predictors of exposure and can therefore inform exposure
255 calculations. Since exposure estimates are comparable to acceptable levels based on animal
256 studies, Tier 3 analyses can place biomarkers into a risk context.

257 In our Tier 2 regression example (Figure 3), we showed how measurements of a chemical
258 in food explained 30% of the observed biomarker variance. This result suggests that exposure
259 estimates based on dietary ingestion would be appropriate (but not necessarily accurate) and
260 comparable to acceptable levels for risk evaluation. However, given added information (such as
261 human activities data), it would be possible to explain more biomarker variance, thus increasing
262 the accuracy of the exposure estimates. The graph in Figure 4 shows a regression of biomarker
263 levels on covariate-adjusted environmental levels. Here, the adjusted environmental levels
264 reflect for each individual the combined effects of food concentration, food consumption (total
265 mass), and other covariates. A regression R^2 value of 0.6 in this example suggests that the
266 combined effects of food concentration and covariates could explain 30% more biomarker
267 variance than food concentration alone. Thus, the significant covariates identified through
268 statistical analyses could be considered, along with the food measurements, to improve exposure
269 estimates. For examples of Tier 3 analyses, see Morgan et al. (2007; 2005; 2008), Wilson et al.
270 (2007), and Tulve et al. (2010).

271

272 **2.2.4 Special considerations for biomarker variance components**

273

274 The frequency and duration of exposure, timing of sampling, and rates of kinetic processes (*i.e.*,
275 ADME) can impact biomarker interpretation with respect to exposure and dose. Regression

276 slopes and R^2 values in Tier 2 and Tier 3 analyses can reflect the magnitude of these impacts.
277 However, it is difficult to quantify these effects without measuring biomarker concentrations
278 over time. Longitudinal studies (with repeated measurements) can partition biomarker variance
279 into that which is observed between subjects and that which is observed for a given subject over
280 time. The respective magnitudes of these variance components can inform the importance of
281 timed events and kinetic processes in biomonitoring studies, as demonstrated below.

282 Figures 5A and B show repeated biomarker measurements of individuals from two
283 theoretical groups. Both figures show 10 consecutive measurements of 50 subjects, with the first
284 measurements made at 6:00 am and the final measurements at midnight (12:00 am) on the same
285 day. In Figure 5A, biomarker measurements vary slightly over time (small within-person
286 variance) and are distinguishable between individuals (large between-person variance). These
287 observations suggest that individuals have different exposure/dose levels and that kinetic
288 processes occur slowly. Figure 5B shows large within-person variance in biomarker levels and
289 considerable overlap across individuals (small between-person variance), suggesting similar
290 exposure/dose levels between individuals and rapid chemical uptake and elimination.

291 Three example regressions of dose estimates on biomarker levels are given in both
292 Figures 5A and B; here dose is approximated for each individual as their average biomarker level
293 across all 10 measurements. In Example 1, dose is regressed on randomly selected spot
294 biomarker levels; this simulates studies where one random biomarker measurement is made for
295 each subject. Example 2 shows a regression of dose on end-of-day biomarker levels; this
296 simulates studies where one biomarker measurement is made for each subject at a specific time
297 point. In Example 3, dose is regressed on the average of three randomly selected measurements;

298 this simulates studies where repeated measurements are made for each subject, and the
299 measurements (or the biological samples themselves) are pooled (averaged) prior to analysis.

300 All three examples in Figure 5A show very similar slopes (ranging from 0.92 to 1.0) and
301 R^2 values (ranging from 0.93 to 0.99). These results indicate that sampling strategy has little
302 impact on biomarker interpretation when the between-person variance is large compared to the
303 within-person variance. Specifically, these results suggest that the biomarker measurements
304 from each of the three sampling examples could be used to accurately and precisely estimate
305 dose levels (given the approximation of “dose” using average biomarker levels).

306 In contrast, the examples in Figure 5B indicate increased impacts of sampling events on
307 biomarker interpretation when the within-person variance is large compared to the between-
308 person variance (slope range: 0.23 – 0.82; R^2 range: 0.22 – 0.76). Example 3, using the average
309 of three random measurements, shows the strongest association suggesting that multiple
310 measurements are needed when longitudinal data are highly varied. Results also show that spot
311 biomarker measurements, collected randomly (Example 1) or at a fixed time (Example 2), can
312 severely underestimate dose levels (slopes $\ll 1$) in these instances. (The importance of slope
313 attenuation with measurement error, defined as “attenuation bias”, is further described by Lin et
314 al. (2005), Rappaport and Kupper (2008), and Sobus et al. (2010b)). Taken together, the
315 examples in Figures 5A and B demonstrate the need to (1) understand biomarker variance
316 components via repeated observations, and (2) sample more frequently when the within-person
317 variance is large compared to the between-person variance; this allows better estimation of the
318 “true” average biomarker level as an indicator of exposure/dose.

319

320 **2.2.5 Tier 4: Biomonitoring for exposure and risk assessments**

321

322 Figure 6 shows that Tier 4 analyses of biomarker data include the components for tier 3 analyses,
323 as well as kinetic models to link (1) exposure and dose, (2) dose and biomarker levels, and (3)
324 dose and BR dose. Linking the external environment to internal dose and biomarker levels is a
325 primary goal of exposure science (Sheldon and Cohen Hubal, 2009). Therefore, Tier 4 analyses
326 represent a general endpoint for exposure science and a starting point for health effects science.
327 Furthermore, since the BR dose estimate is the final output from a Tier 4 analysis, it can be
328 considered as the final output from exposure research, as well as a useful input for health effects
329 research (Pleil and Sheldon, 2010).

330 Using environmental measurements, exposure factors, and exposure and kinetic models,
331 Tier 4 analyses can answer the following questions for exposure and risk assessments:

332

- 333 • What is the importance of each exposure route?
- 334 • What are the best estimates of exposure and dose?
- 335 • What are the likely BR dose levels?

336

337 In Tier 3, exposure estimates and biomarker measurements were not directly linked. Rather,
338 results from statistical models were used as support for exposure estimates. Risk-based decisions
339 can be supported by statistical associations, but can be further refined with an understanding of
340 mass transfer from exposure to dose to biomarker levels; kinetic models are used to describe
341 these mass transfer processes. More specifically, they are used to predict biological levels of
342 chemicals and their metabolites following exposure events.

343 Example 1 in Figure 6 shows a theoretical comparison of observed and predicted
344 biomarker levels over time. Here the predicted values are estimated blood levels of a chemical
345 with a short biological half-life following three dietary exposure events (*e.g.*, breakfast, lunch,
346 and dinner). Assuming a well-parameterized and calibrated model, good agreement between
347 predicted and observed values support that diet is the primary exposure source and help validate
348 exposure estimates. Overestimation of the observed values would suggest incorrect exposure
349 estimates, whereas underestimation could suggest additional exposure routes or endogenous
350 sources of the biomarker. In these situations, exposure estimates could be reconstructed to be
351 consistent with observed values. (For methods and examples of exposure reconstruction, see
352 Kim et al. (2007), Tan et al. (2006), and Clewell et al. (2008)).

353 Given the appropriate model structure and parameters, the same kinetic models used to
354 predict biomarker levels may be used to predict the BR dose. Example 2 in Figure 6 extends
355 Example 1 and shows predicted levels at a target over time. In this theoretical example, the
356 parent chemical is neurotoxic, the target is the brain, and the predicted values are concentrations
357 of the parent chemical in the brain. Here, the health risks of the predicted values could be
358 evaluated using results of health effects studies. Specifically, the area under the target-level
359 curve (AUC_{target} , which is the time-integrated BR dose), or the maximum concentration at the
360 target, could be interpreted given some knowledge of the BR dose-response relationship. For an
361 example of a Tier 4 analysis, see Hore et al. (2006).

362

363 **2.2.6 Tier 5: Biomonitoring to advance exposure and risk assessments**

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365 As shown in Figure 7, Tier 5 analyses of biomarker data include all components of the source-to-
366 outcome continuum, and predict both biomarker and BR biomarker levels for comparison to
367 measured values. These comparisons allow Tier 5 analyses to answer the following research
368 questions:

369

- 370 • What are the best estimates of BR dose?
- 371 • What are the likely impacts of exposure on health risks?
- 372 • What other factors may affect health risks?

373

374 In Tier 4 analyses, BR dose is estimated using kinetic models, and interpreted using knowledge
375 of the BR dose-response relationship. Since BR dose estimates are not confirmed with measured
376 values, there is uncertainty in model predictions. Tier 5 analyses further utilize kinetic/dynamic
377 models to predict BR biomarker levels based on the BR dose estimates. Comparison of the
378 predicted and observed BR biomarker levels can then reduce uncertainties in the BR dose
379 estimates.

380 Example 1 in Figure 7 shows predicted versus observed levels of a BR biomarker. This
381 extends the examples in Figure 6 where the brain was a target tissue, and the stressor was a
382 chemical neurotoxin from food. In this example, blood enzymes are the BR biomarkers and act
383 as surrogates for brain enzymes (*e.g.*, cholinesterase). Combined kinetic and dynamic models
384 were used to predict blood enzyme levels following three theoretical dietary exposure events.
385 Predicted and observed levels were then compared to evaluate the BR dose estimate.

386 In Example 1, good agreement between predicted and observed values indicates an
387 accurate estimation of BR dose. Thus, the BR dose estimate could be used to inform health risks

388 from exposure. However, poor agreement between predicted and observed BR biomarker levels
389 would suggest an incomplete understanding of kinetic/dynamic processes *in vivo*.
390 Overestimation of BR biomarker levels could suggest the omission of important recovery
391 processes, whereas underestimation could suggest additional exogenous or endogenous sources.
392 In these instances, clarification would be necessary before placing BR dose estimates into a risk
393 context.

394 Statistical comparisons of biomarker and BR biomarker measurements are also used in
395 Tier 5 analyses to elucidate health risks from exposure. For example, *in vivo* dose-response
396 associations can be informed using regressions of BR biomarker levels (representing response)
397 on biomarker levels (representing dose). Modifiers of the *in vivo* dose-response relationships can
398 also be observed by studying covariates such as age, gender, personal and family health history,
399 and genetic information.

400 Example 2 in Figure 7 shows a regression of BR biomarker levels on covariate-adjusted
401 biomarker levels. Continuing from the previous example, this plot suggests that blood enzyme
402 activities decreased with increasing adjusted biomarker levels. In other words, biological
403 function was suppressed given elevated dose levels. This observation (specifically, the slope of
404 the regression line), as well as the model results for covariates (coefficients and p-values), could
405 help inform both exposure and susceptibility effects on BR dose, and by extension, health risks.
406 For an example of a Tier 5 analysis, see Garabrant et al. (2009).

407

408 **3.0 Conclusions**

409

410 Biomonitoring data can be used in many different ways; applications can be as simple as
411 documenting a population-based change in exposure, or as complex as linking the source-to-
412 outcome progression through empirical data and sophisticated models. In this article, we created
413 a biomonitoring framework to demonstrate how measurements, models, and model estimates are
414 used together to answer specific exposure- and risk-based questions. In doing so, we presented a
415 tiered approach that categorizes the uses of biomarker data in the presence or absence of
416 corresponding information. (A summary of the uses and requirements of the five biomonitoring
417 tiers is given in Table 1). This tiered approach does not imply a hierarchy of biomonitoring
418 research based on value; that is, it does not rank analyses from “least useful” to “most useful”.
419 Rather, it poses a logical structure to what is often a complex web of information. This structure
420 will help researchers (1) conceptualize sampling and analysis approaches when designing
421 targeted studies, and (2) weigh the costs (personnel, instrumentation, and time) and benefits
422 (ability to answer specific questions) of proposed studies, with the goal of maximizing public
423 health benefits.

424 Simple theoretical examples were given throughout the text to articulate the tiered
425 biomonitoring approaches. While we recommend using these examples as a guide for
426 interpreting existing data sets and for designing new studies, we caution that these examples
427 were simplified for demonstration purposes. That is, they did not address some common
428 challenges of biomonitoring studies, such as the needs to resolve non-specific biomarkers,
429 overcome analytical detection limitations, and interpret urinary biomarkers that are affected by
430 host hydration level (Albertini et al., 2006; Angerer et al., 2006; Barr and Angerer, 2006; Clewell
431 et al., 2008; Needham et al., 2007; NRC, 2006; Sobus et al., 2010a). Also, the examples were
432 generally geared towards evaluating short-term biomarkers of non-persistent chemicals,

433 particularly those given for Tier 4 and Tier 5 analyses; different approaches can be considered
434 when evaluating intermediate- and long-term biomarkers (Clewell et al., 2008; Rappaport and
435 Kupper, 2008). Finally, the examples assumed that analytical methods, mechanistic models
436 (e.g., kinetic and dynamic models), and acceptable exposure levels exist for a given chemical of
437 interest. Complications arising from any one of these issues can hinder a biomonitoring analysis
438 and limit the use of individual biomarkers in research studies.

439 Given the potential limitations of biomonitoring studies, this framework lays a foundation
440 for identifying the key data and modeling gaps, and prioritizing research needs. For example,
441 this framework can help prioritize the needs for (1) empirical evidence to inform kinetic
442 parameters, (2) well-vetted exposure and kinetic models, (3) improved methods to measure
443 environmental concentrations, biomarkers, and BR biomarkers, and (4) robust datasets with
444 which to estimate biomarker variance components and to perform statistical analyses. In ensuing
445 articles, we will discuss current and proposed efforts to address these needs while keeping within
446 the structure of this framework. The ultimate goal of these efforts is to provide cohesive
447 guidance that informs future biomonitoring studies, and catalyzes biomarker use in exposure and
448 human health research.

449

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457
458 **Figure captions:**

459
460 *Figure 1. A source-to-outcome continuum.*

461
462 *Figure 2. Requirements and examples of Tier 1 analyses of biomarker data.* Grey objects are
463 unavailable in a Tier 1 analysis. Example 1 demonstrates a cross-sectional analysis where
464 cumulative percentile distributions of biomarker levels are compared across two groups.
465 Example 2 demonstrates a longitudinal analysis where biomarker levels for one group are
466 examined over time.

467
468 *Figure 3. Requirements and an example of a Tier 2 analysis of biomarker data.* Grey objects
469 are unavailable in a Tier 2 analysis. The example graph shows a regression of spot biomarker
470 measurements on corresponding environmental measurements with an R^2 of 0.3.

471
472 *Figure 4. Requirements and an example of a Tier 3 analysis of biomarker data.* Grey objects
473 are unavailable in a Tier 3 analysis. Exposure estimates can be compared to acceptable levels
474 determined from animal studies. The example graph shows a regression of spot biomarker
475 measurements on corresponding covariate-adjusted environmental measurements with an R^2 of
476 0.6.

477
478 *Figure 5. Impacts of sampling events on biomarker interpretation when between-person*
479 *variance is large and within-person variance is small (A), and when within-person variance is*
480 *large and between-person variance is small (B).* In both (A) and (B), Example 1 shows dose
481 regressed on randomly selected spot biomarker measurements, Example 2 shows dose regressed
482 on end-of-day biomarker levels, and Example 3 shows dose regressed on the average of three
483 randomly selected measurements. Here dose is approximated for each individual as their
484 average biomarker level across all 10 measurements.

485
486 *Figure 6. Requirements and examples of Tier 4 analyses of biomarker data.* Grey objects are
487 unavailable in a Tier 4 analysis. Example 1 compares predicted and observed levels of a
488 chemical biomarker over time. Example 2 shows predicted target levels over time of the same
489 chemical from example 1. Here, the area under the target-level curve (AUC_{target}) is the BR dose.

490
491 *Figure 7. Requirements and examples of Tier 5 analyses of biomarker data.* Example 1
492 compares predicted and observed BR biomarker levels over time. Example 2 shows a regression
493 of BR biomarker measurements on covariate-adjusted biomarker levels.

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Table 1. Uses and requirements of the five biomonitoring tiers.

Tier	Primary uses	Measurements needed	Models needed	Estimated values
1	Exposure surveillance: Who is exposed? What are the exposure trends? Which chemicals should be prioritized for higher-tier analyses?	1) Biomarker	none	none
2	Supporting exposure assessment: What are the likely exposure sources? What are the likely exposure routes?	1) Environmental 2) Biomarker	1) Statistical	none
3	Supporting risk assessment: What are the important exposure factors? What are the likely exposure levels?	1) Environmental 2) Biomarker	1) Statistical 2) Exposure	1) Exposure
4	Exposure and risk assessment: What is the importance of each exposure route? What are the best estimates of exposure and dose? What are the likely BR dose levels?	1) Environmental 2) Biomarker	1) Statistical 2) Exposure 3) Kinetic	1) Exposure 2) Dose 3) BR dose
5	Advancing exposure and risk assessment: What are the best estimates of BR dose? What are the likely impacts of exposure on health risks? What other factors may affect health risks?	1) Environmental 2) Biomarker 3) BR biomarker	1) Statistical 2) Exposure 3) Kinetic 4) Dynamic	1) Exposure 2) Dose 3) BR dose

Figure1

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Components of the source-to-outcome continuum

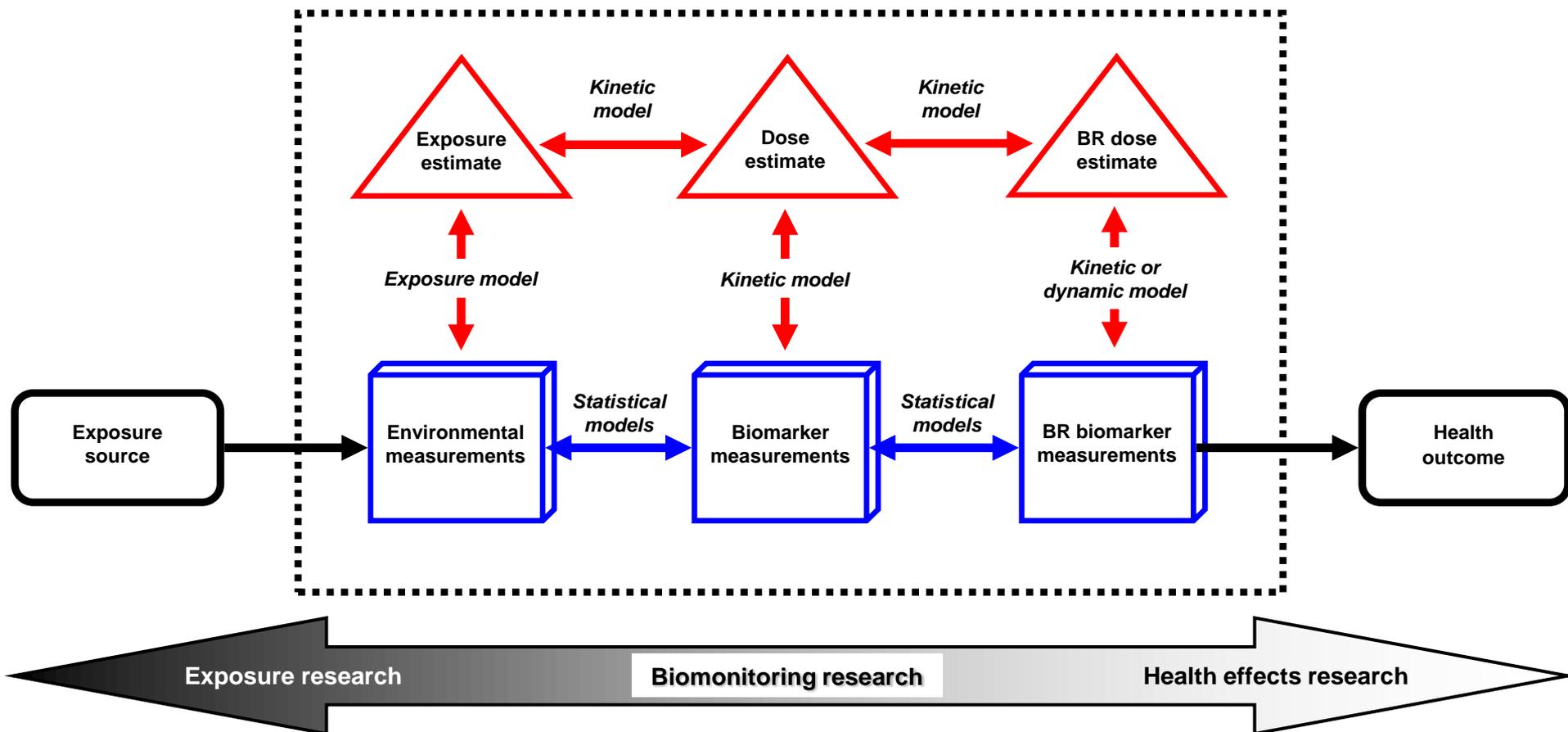
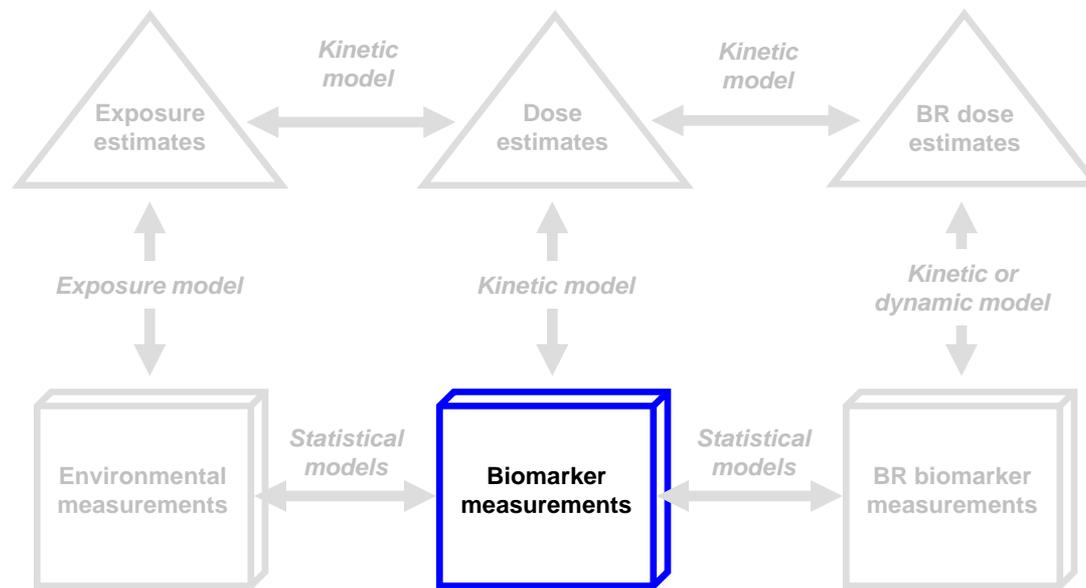


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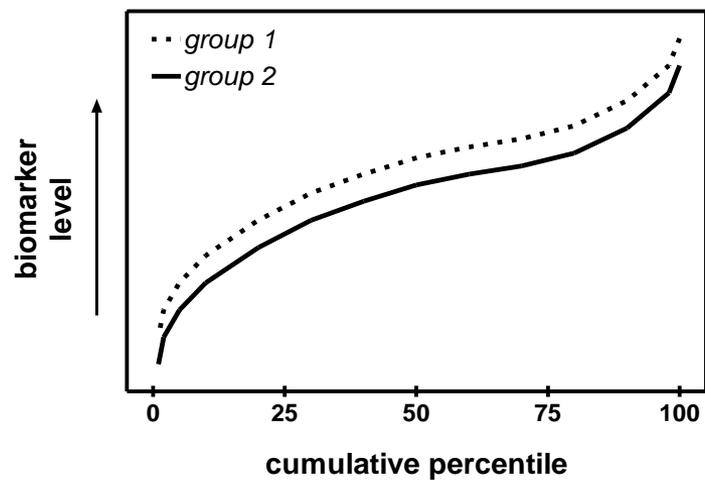
Symbol	Key	Parameter	Definition
	Estimated Value	1) Exposure estimate 2) Dose estimate 3) BR dose estimate	1) Estimated mass of a chemical that comes into contact with a human over time 2) Estimated mass of a chemical inside a human over time 3) Estimate amount of the dose at a specific target inside a human
	Measured value	1) Environmental measurement 2) Biomarker measurement 3) BR biomarker measurement	1) Observation of a stressor in environmental media that reflects a source 2) Observation of a stressor in biological media that reflects an exposure/dose 3) Observation of a stressor in biological media that reflects a BR dose
 	Empirical model Mechanistic model	1) Statistical model (blue) 2) Exposure model (red) 3) Kinetic model (red) 4) Dynamic model (red)	1) Model that evaluates observed variables for hypothesis testing 2) Model that estimates exposure using environmental measurements and exposure factors 3) Model that describes how a stressor enters and is removed from a human 4) Model that describes the effect of a stressor on the human body

Figure2

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Example 1: Cross-sectional analysis



Example 2: Longitudinal analysis

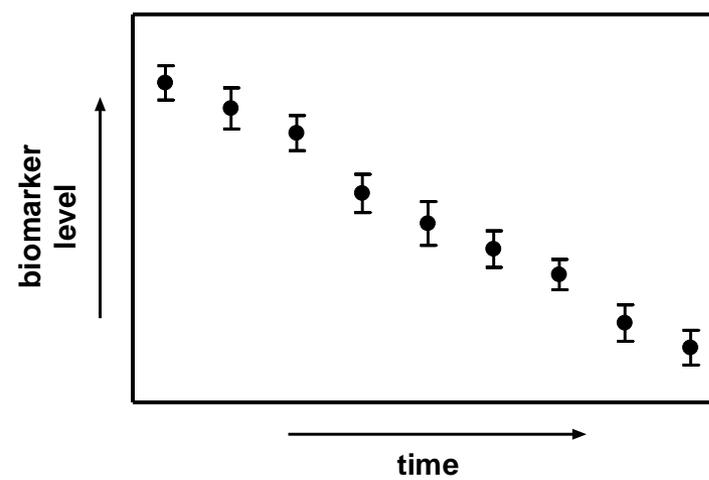


Figure3

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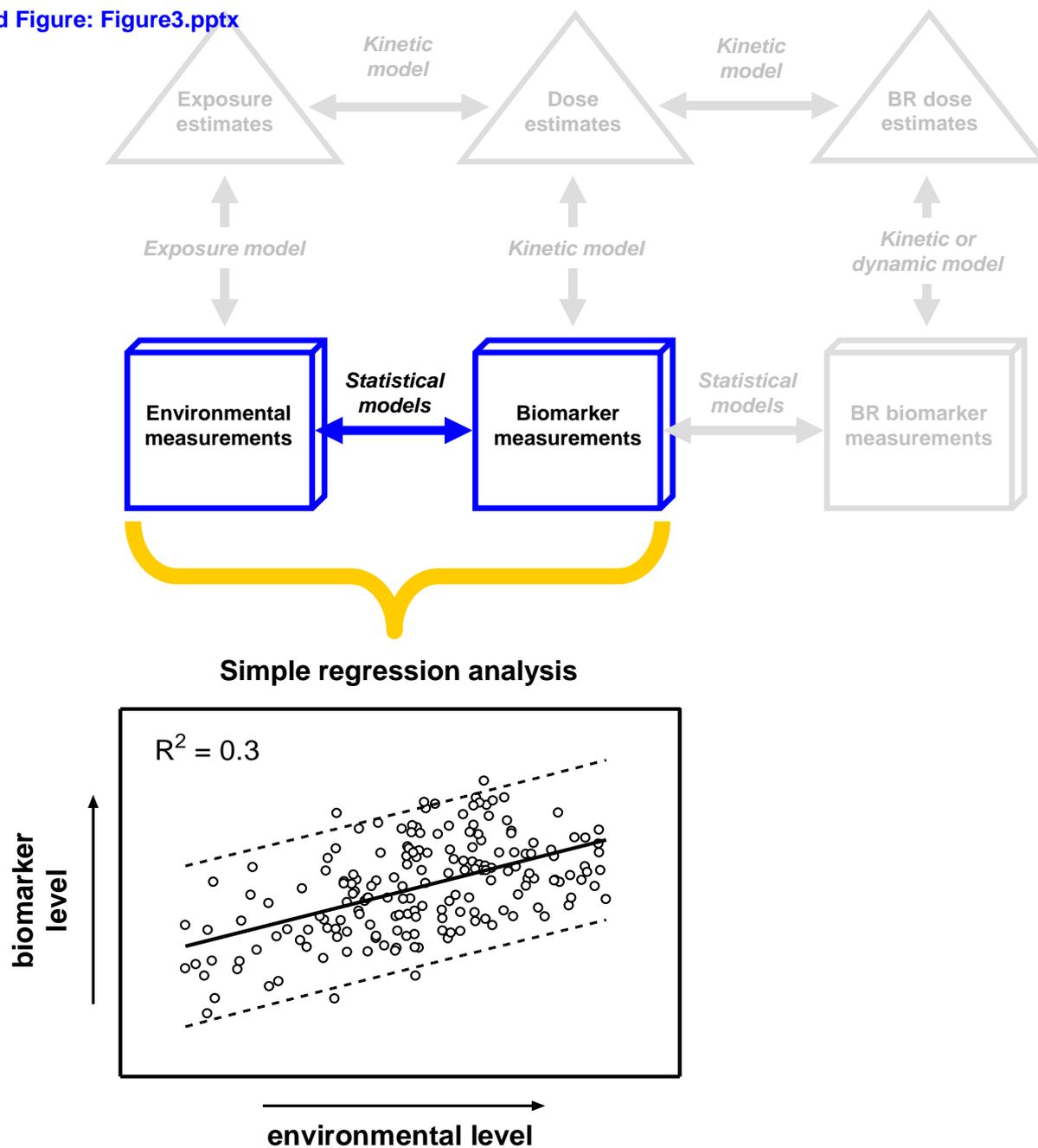
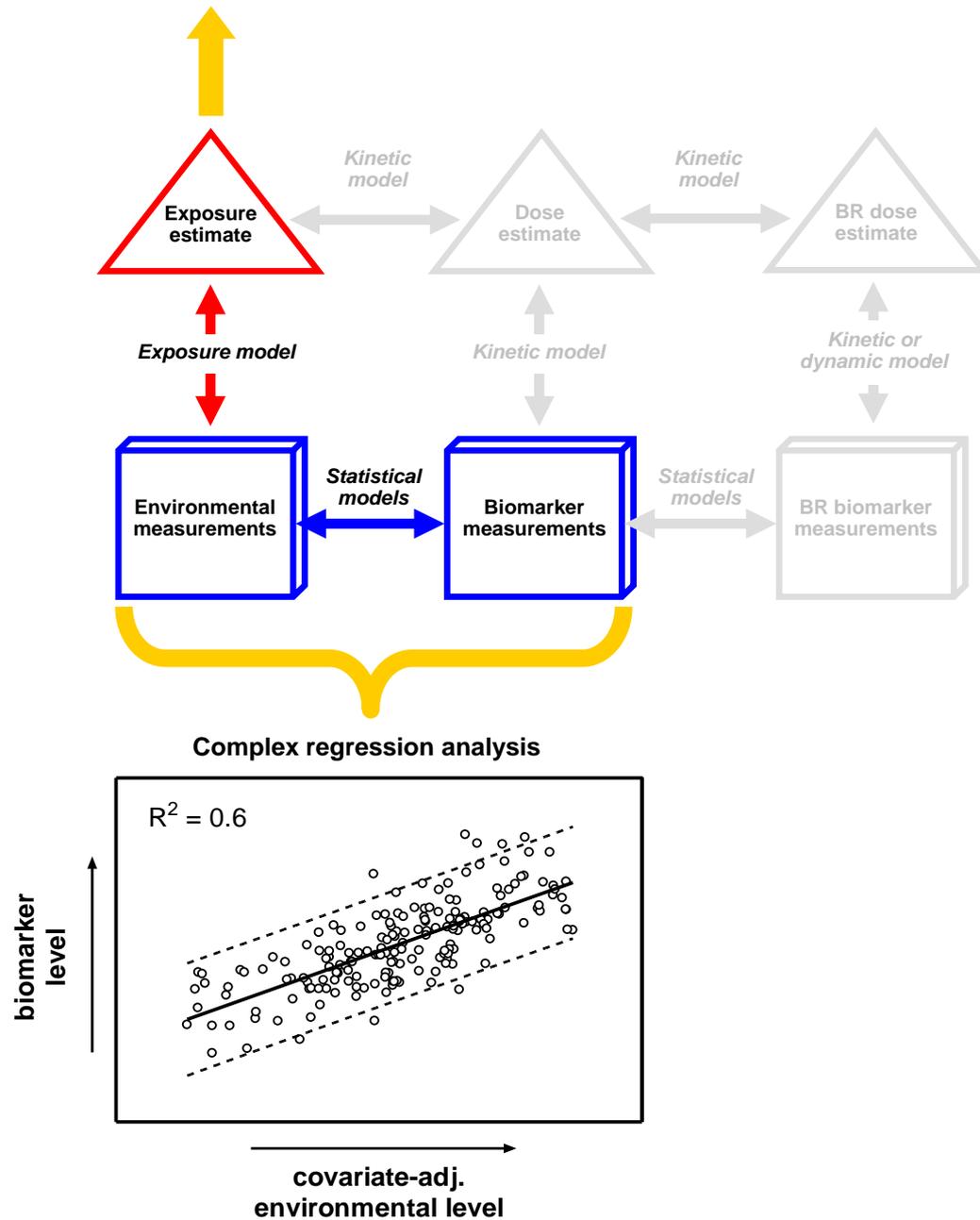


Figure4

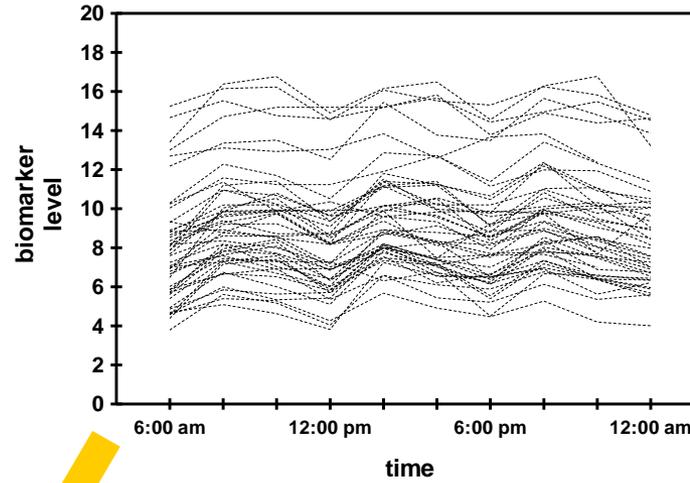
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acceptable levels

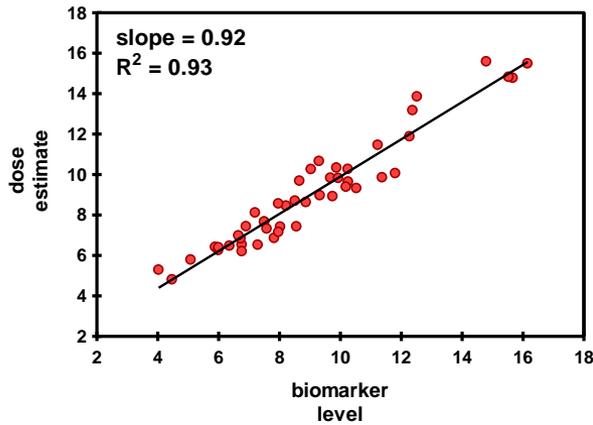




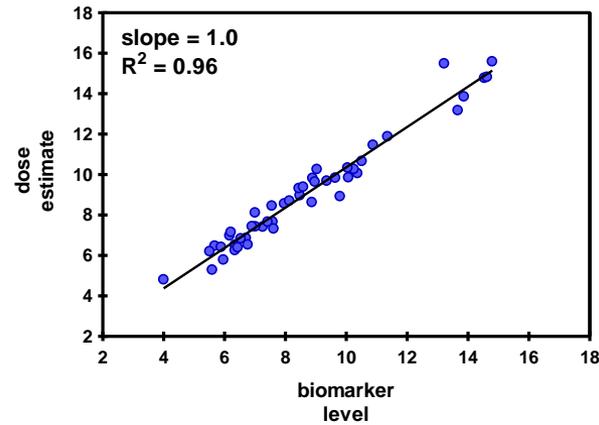
10 successive biomarker measurements of 50 subjects



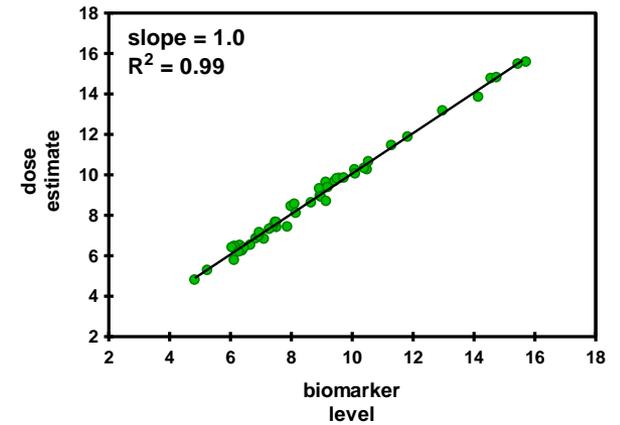
Example 1: Regression using randomly selected spot measurements



Example 2: Regression using end-of-day measurements (12:00 am)

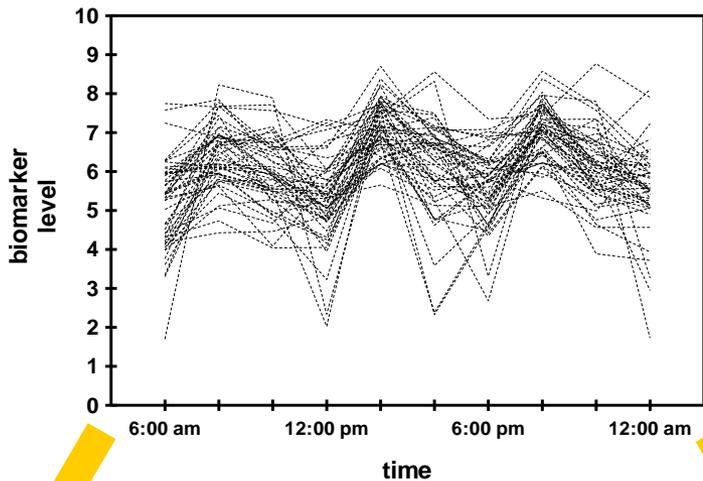


Example 3: Regression of 3 pooled (averaged) measurements

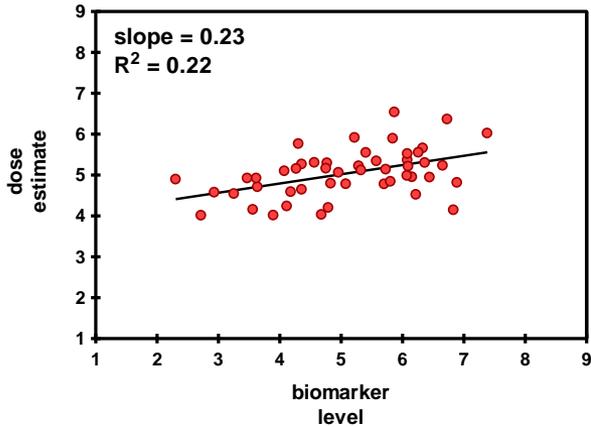


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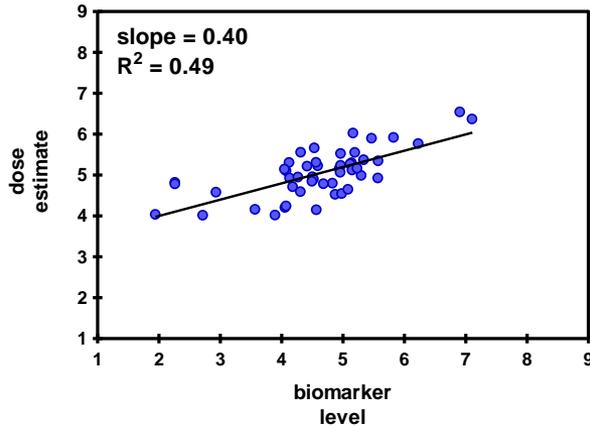
10 successive biomarker measurements of 50 subjects



Example 1: Regression using randomly selected spot measurements



Example 2: Regression using end-of-day measurements (12:00 am)



Example 3: Regression of 3 pooled (averaged) measurements

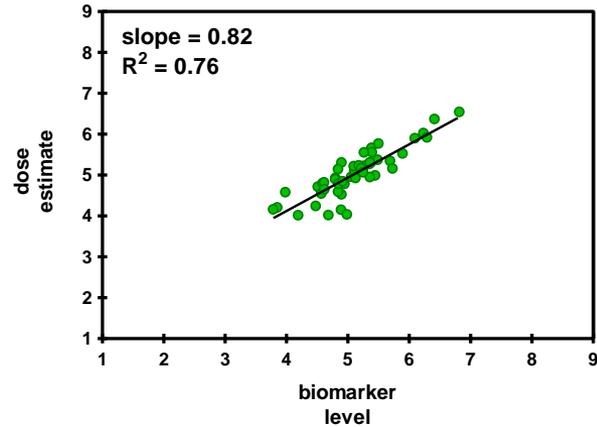
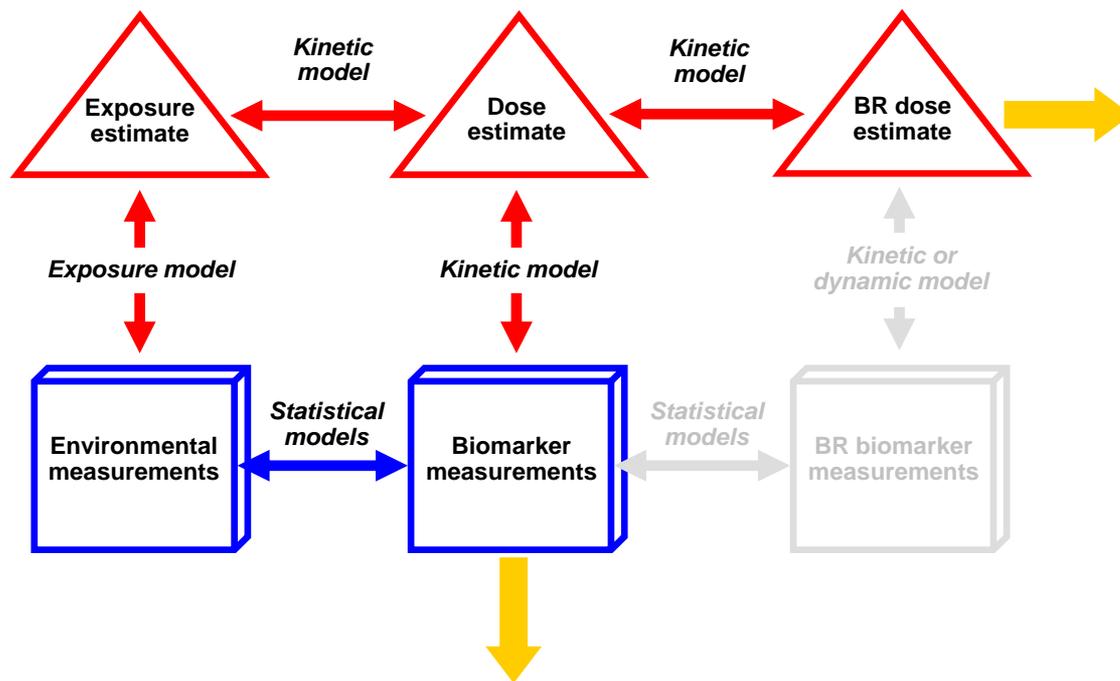
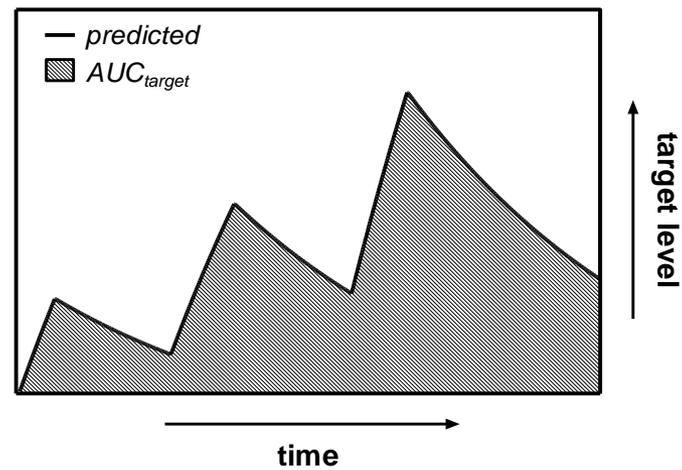


Figure6

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Example 2: Predicted target levels



Example 1: Predicted vs. observed biomarker levels

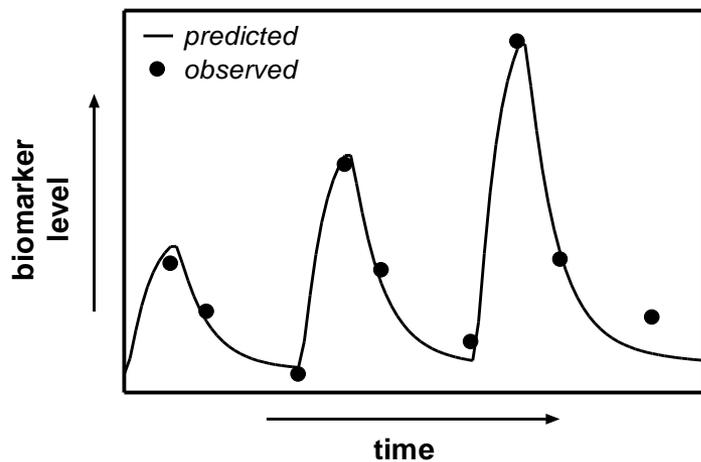
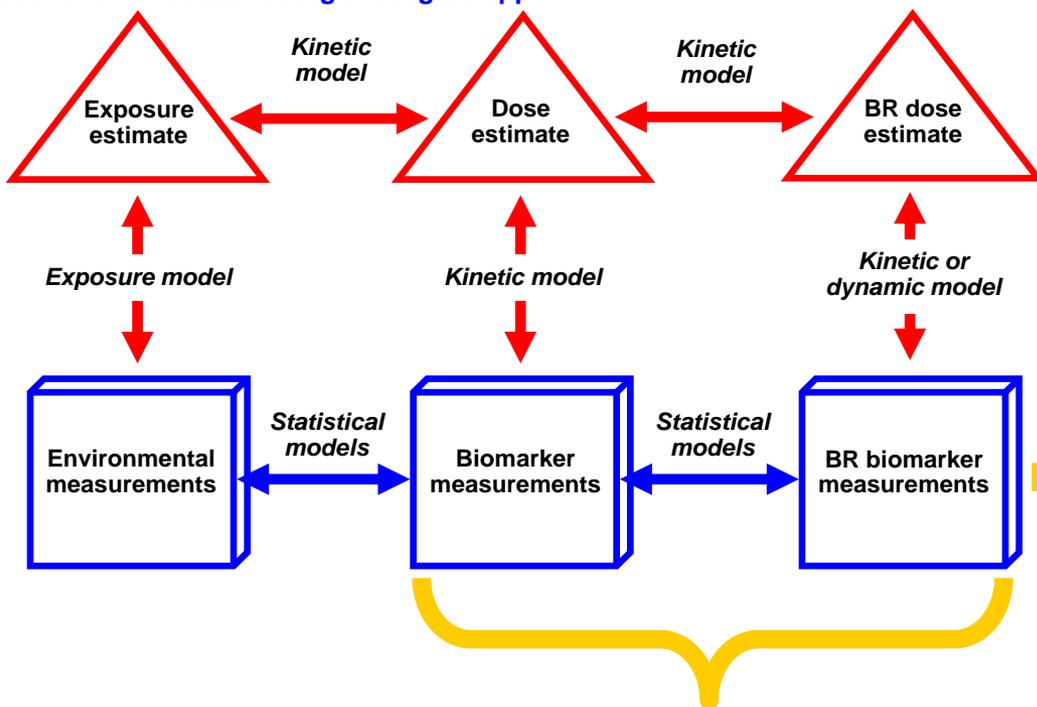
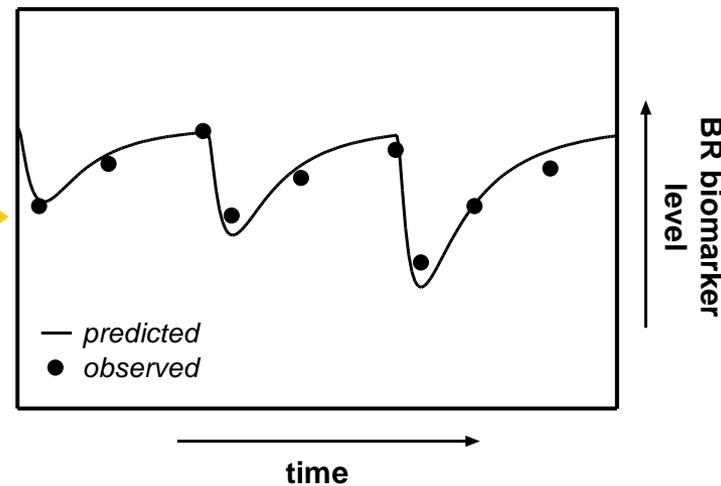


Figure7

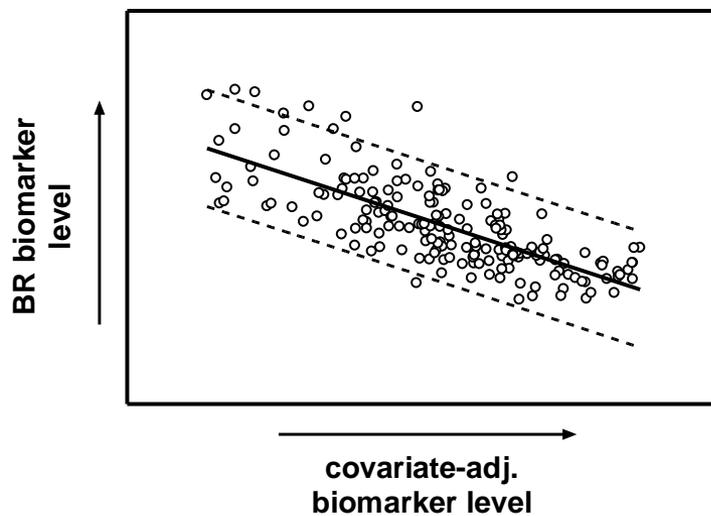
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Example 1: Predicted vs. observed BR biomarker levels



Example 2: Complex statistical model



Highlights

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