

# **CHAPTER 2**

## **AIR**



# AIR CHAPTER CONTENTS

2.1	INTRODUCTION .....	2-5
	2.1.1 Overview of the Data .....	2-6
	2.1.2 Organization of This Chapter.....	2-7
2.2	WHAT ARE THE TRENDS IN OUTDOOR AIR QUALITY AND THEIR EFFECTS ON HUMAN HEALTH AND THE ENVIRONMENT? .....	2-9
	2.2.1 Introduction.....	2-9
	2.2.2 ROE Indicators .....	2-11
	INDICATOR: Carbon Monoxide Emissions .....	2-13
	INDICATOR: Ambient Carbon Monoxide Concentrations .....	2-16
	INDICATOR: Lead Emissions .....	2-19
	INDICATOR: Ambient Concentrations of Lead .....	2-21
	INDICATOR: Nitrogen Oxides Emissions .....	2-23
	INDICATOR: Ambient Concentrations of Nitrogen Dioxide .....	2-26
	INDICATOR: Volatile Organic Compounds Emissions .....	2-29
	INDICATOR: Ambient Concentrations of Ozone.....	2-32
	INDICATOR: Ozone Injury to Forest Plants .....	2-37
	INDICATOR: Particulate Matter Emissions .....	2-40
	INDICATOR: Ambient Concentrations of Particulate Matter .....	2-44
	INDICATOR: Regional Haze.....	2-50
	INDICATOR: Sulfur Dioxide Emissions .....	2-53
	INDICATOR: Acid Deposition .....	2-56
	INDICATOR: Lake and Stream Acidity .....	2-62
	INDICATOR: Percent of Days with Air Quality Index Values Greater Than 100 .....	2-65
	INDICATOR: Mercury Emissions .....	2-68
	INDICATOR: Air Toxics Emissions.....	2-70
	INDICATOR: Ambient Concentrations of Benzene .....	2-74
	INDICATOR: Concentrations of Ozone-Depleting Substances.....	2-76
	INDICATOR: Ozone Levels over North America .....	2-79
	INDICATOR: Ozone and Particulate Matter Concentrations for U.S. Counties in the U.S./Mexico Border Region.....	2-82
	INDICATOR: Ambient Concentrations of Manganese Compounds in EPA Region 5 .....	2-86
	2.2.3 Discussion.....	2-89

	What These Indicators Say About Trends in Outdoor Air Quality and Their Effects on Human Health and the Environment.....	2-89
	Limitations, Gaps, and Challenges .....	2-91
2.3	<b>WHAT ARE THE TRENDS IN GREENHOUSE GAS EMISSIONS AND CONCENTRATIONS?</b> .....	2-93
2.3.1	Introduction.....	2-93
2.3.2	ROE Indicators .....	2-95
	INDICATOR: U.S. Greenhouse Gas Emissions.....	2-96
	INDICATOR: Atmospheric Concentrations of Greenhouse Gases.....	2-100
2.3.3	Discussion.....	2-106
	What These Indicators Say About Trends in Greenhouse Gas Emissions and Concentrations .....	2-106
	Limitations, Gaps, and Challenges .....	2-106
2.4	<b>WHAT ARE THE TRENDS IN INDOOR AIR QUALITY AND THEIR EFFECTS ON HUMAN HEALTH?</b> .....	2-108
2.4.1	Introduction.....	2-108
2.4.2	ROE Indicators .....	2-110
	INDICATOR: U.S. Homes Above EPA’s Radon Action Level.....	2-111
	INDICATOR: Blood Cotinine Level.....	2-114
2.4.3	Discussion.....	2-118
	What These Indicators Say About Trends in Indoor Air Quality and Their Effects on Human Health.....	2-118
	Limitations, Gaps, and Challenges .....	2-118

1 **2.1 INTRODUCTION**

2 Air provides the oxygen and carbon dioxide needed to sustain human, animal, and plant life on Earth, and  
3 the composition of trace gases in the atmosphere plays an important role for the climate. Air pollution can  
4 adversely affect these critical functions of the atmosphere in many ways. High levels of air pollution,  
5 whether indoors or outdoors, can harm human health by triggering asthma attacks, aggravating allergies,  
6 and contributing to or potentially causing various diseases. Certain types of outdoor air pollution can  
7 impair visibility and damage other valued resources, such as forests, lakes and streams, and building  
8 surfaces. On a global scale, air pollution released worldwide can eventually change the atmosphere's  
9 composition with important consequences, including depletion of the Earth's ozone layer and climate  
10 change.

11 An important component of EPA's mission is to protect and improve air quality in order to avoid or  
12 mitigate the consequences of air pollution's harmful effects. State and tribal air pollution control agencies  
13 help fulfill this mission by implementing many of the air pollution control requirements that EPA sets at  
14 the federal level. Other federal partners, the academic community, industry and trade associations, and  
15 non-government organizations all conduct important research that contributes to the current  
16 understanding of regional, national, and global air quality issues.

17 Efforts to maintain good air quality are complicated by population increase, energy consumption, motor  
18 vehicle use, and other factors that can lessen air quality. Outdoor air is polluted by emissions from a broad  
19 array of industrial and mobile sources, as well as everyday activities like dry cleaning, painting, and  
20 refueling vehicles. Emissions from natural sources, such as wildfires, also contribute to outdoor air  
21 pollution. Similarly, indoor air quality is affected not only by these outdoor sources, but also by sources  
22 found within buildings, such as home heating devices, tobacco smoke, consumer products, and building  
23 materials. In this chapter, EPA assesses national trends in the condition of air, stressors that influence air  
24 quality, and associated exposures and effects among humans and ecological systems. ROE indicators are  
25 presented to address three fundamental questions about the state of the nation's air:

- 26 • ***What are the trends in outdoor air quality and their effects on human health and the***  
27 ***environment?*** This question examines a broad spectrum of outdoor air quality issues,  
28 including polluted air that people breathe at ground level, deposition of air pollutants to land  
29 and water, and depletion of the Earth's ozone layer. For each issue, information is provided  
30 both on the main stressors (emission sources) and potential health and environmental effects.
- 31 • ***What are the trends in greenhouse gas emissions and concentrations?*** This question  
32 focuses on releases and atmospheric concentrations of certain so-called "greenhouse gases,"  
33 or gases in the atmosphere that help regulate Earth's temperature and thus contribute to  
34 climate change—a topic introduced in this chapter and revisited in Chapter 6, Ecological  
35 Condition.
- 36 • ***What are the trends in indoor air quality and their effects on human health?*** This question  
37 considers air quality in indoor settings, such as homes, offices, and schools, and how poor  
38 indoor air quality can affect human health, whether through causing adverse health effects or  
39 by impairing productivity.

40 These ROE questions are posed without regard to whether indicators are available to answer them. This  
41 chapter presents the indicators available to answer these questions, and also points out important gaps  
42 where nationally representative data are lacking.

1 While this chapter focuses on air quality, readers should not infer that air quality trends are completely  
2 independent of the other themes in ROE: Water, Land, Human Health, and Ecological Condition. High  
3 levels of air pollution are linked to many broader environmental concerns. Because air interfaces directly  
4 with water and land, air pollutants can enter these media through various fate and transport mechanisms,  
5 such as wet deposition onto surface waters and gravitational settling onto soils. Conversely, chemicals in  
6 surface water and soil can enter outdoor air through processes like evaporation and resuspension of wind-  
7 blown dust. Thus, in a very general sense, air quality is related to selected topics covered in the Water  
8 Chapter and the Land Chapter. Further, nearly every topic addressed in this chapter is primarily motivated  
9 by some specific concern regarding human health or ecological effects. Therefore, air quality and climate  
10 change are conceptually linked to many topics addressed in the Human Health and Ecological Condition  
11 Chapters. Air quality issues that are connected with other ROE themes are introduced and examined in  
12 this chapter, and addressed further in later sections of ROE as appropriate.

### 13 **2.1.1 Overview of the Data**

14 When developing the 27 ROE indicators in this chapter, EPA accessed and compiled data collected by  
15 many parties. The individual data sources that were evaluated can be classified into four general  
16 categories:

- 17 • **National emission inventories.** Emissions data were queried from databases known as  
18 emission inventories. These inventories are composites of measured and estimated emission  
19 rates for industrial sources, mobile sources, and natural sources. Industry and state, tribal, and  
20 local agencies provide most of the data compiled in these inventories.
- 21 • **Ground-level ambient air monitoring data.** Ambient air concentrations measured at ground  
22 level primarily come from measurements collected in a nationwide network of ambient air  
23 monitoring stations (i.e., State and Local Air Monitoring Stations, National Air Monitoring  
24 Stations). State, tribal, and local agencies operate most of these stations and submit their  
25 validated measurement results to a centralized database.
- 26 • **Deposition measurements.** Representative data on deposition of outdoor air pollutants come  
27 from samples collected and analyzed at fixed locations throughout the country as part of the  
28 National Atmospheric Deposition Program and the Clean Air Status and Trends Network.
- 29 • **Other data sources.** The remaining ROE indicators in this chapter draw from various other  
30 data sources, including satellite measurements of stratospheric ozone depletion, an evaluation  
31 of pollution-related injury to forest plants, surveys on radon in homes and evidence of  
32 exposure to environmental tobacco smoke, an inter-agency assessment of regional haze, and  
33 articles in the peer-reviewed literature on historical concentrations of greenhouse gases  
34 estimated from ice core samples.

35 Tracking the country's air quality is a complicated endeavor and cannot be done with any single indicator.  
36 Multiple indicators are needed to characterize indoor air quality separately from outdoor air quality, air  
37 quality trends at ground level separately from changing atmospheric conditions aloft, and air pollution  
38 levels for the many different pollutants of potential concern. Regardless of the issue of interest, a  
39 particular challenge in developing this chapter's indicators is that air quality can vary considerably with  
40 location and time. Consequently, all underlying data sources must be sufficiently representative, both  
41 spatially and temporally.

42 Spatial resolution is a critical consideration due to associated spatial variations in population density,  
43 industrial emissions sources, traffic patterns, and meteorological conditions that dictate relevant

1 atmospheric fate and transport processes. Temporal resolution also must be considered because ambient  
2 air concentrations of certain pollutants vary considerably with time of day (due to sunlight’s contribution  
3 to photochemical reactions), day of week (due to commuting patterns), and season (due to changes in  
4 meteorological conditions). Temporal resolution is particularly important when interpreting air quality  
5 trends, because sufficiently long time frames often must be considered to ensure that trends reflect actual  
6 changes in air quality, rather than natural fluctuations in atmospheric conditions.

7 This chapter presents only data that meet the ROE indicator definition and criteria (see Chapter 1,  
8 Introduction). Note that non-scientific indicators, such as administrative and economic indicators, are not  
9 included in this definition. Thorough documentation of the indicator data sources and metadata can be  
10 found online at [INSERT URL]. All indicators were peer-reviewed during an independent peer review  
11 process (see [INSERT URL] for more information). Readers should not infer that the indicators included  
12 reflect the complete state of knowledge on the nation’s air. Many other data sources, publications, and  
13 site-specific research projects have contributed substantially to the current understanding of air quality  
14 trends, but are not used in this report because they did not meet some aspect of the ROE indicator criteria.

### 15 **2.1.2 Organization of This Chapter**

16 This chapter’s remaining three sections are framed around the three overarching questions that EPA seeks  
17 to answer about trends in air. Each section introduces the question and its importance, presents the  
18 National Indicators that help answer the question, and discusses what these indicators, taken together, say  
19 about the question. The chapter also presents two Regional Indicators that meet the ROE indicator  
20 definition and criteria and help to answer a question at a smaller geographic scale. Each section concludes  
21 by listing major challenges to answering the questions and identifying important data gaps.

22 The table on the next page lists the indicators used to answer the three questions in this chapter and shows  
23 the locations where the indicators are presented.

24

1 **Table 2.1.1. Air—ROE Questions and Indicators**

<b>Question</b>	<b>Indicator Name</b>	<b>Section</b>	<b>Page #</b>
<i><b>What are the trends in outdoor air quality and their effects on human health and the environment?</b></i>	Carbon Monoxide Emissions (N/R)	2.2.2	2-13
	Ambient Concentrations of Carbon Monoxide (N/R)	2.2.2	2-16
	Lead Emissions (N)	2.2.2	2-19
	Ambient Concentrations of Lead (N)	2.2.2	2-21
	Nitrogen Oxides Emissions (N/R)	2.2.2	2-23
	Ambient Concentrations of Nitrogen Dioxide (N/R)	2.2.2	2-26
	Volatile Organic Compounds Emissions (N/R)	2.2.2	2-29
	Ambient Concentrations of Ozone (N/R)	2.2.2	2-32
	Ozone Injury to Forest Plants (N/R)	2.2.2	2-37
	Particulate Matter Emissions (N/R)	2.2.2	2-40
	Ambient Concentrations of Particulate Matter (N/R)	2.2.2	2-44
	Regional Haze (N)	2.2.2	2-50
	Sulfur Dioxide Emissions (N/R)	2.2.2	2-53
	Acid Deposition (N)	2.2.2	2-56
	Lake and Stream Acidity (N)	2.2.2	2-62
	Percent of Days with Air Quality Index Values Greater Than 100 (N/R)	2.2.2	2-65
	Mercury Emissions (N)	2.2.2	2-68
	Air Toxics Emissions (N/R)	2.2.2	2-70
	Ambient Concentrations Benzene (N)	2.2.2	2-74
	Concentrations of Ozone-Depleting Substances (N)	2.2.2	2-76
Ozone Levels over North America (N)	2.2.2	2-79	
Ozone and Particulate Matter Concentrations for U.S. Counties in the U.S./Mexico Border Region (R)	2.2.2	2-82	
Ambient Concentrations of Manganese Compounds in EPA Region 5 (R)	2.2.2	2-86	
<i><b>What are the trends in greenhouse gas emissions and concentrations?</b></i>	U.S. Greenhouse Gas Emissions (N)	2.3.2	2-96
	Atmospheric Concentrations of Greenhouse Gases (N)	2.3.2	2-100
<i><b>What are the trends in indoor air quality and their effects on human health?</b></i>	U.S. Homes Above EPA’s Radon Action Level (N)	2.4.2	2-111
	Blood Cotinine Level (N)	2.4.2	2-114

- 2 N = National Indicator
- 3 R = Regional Indicator
- 4 N/R = National Indicator displayed at EPA Regional scale
- 5

1 **2.2 WHAT ARE THE TRENDS IN OUTDOOR AIR QUALITY AND THEIR EFFECTS**  
2 **ON HUMAN HEALTH AND THE ENVIRONMENT?**

3 **2.2.1 Introduction**

4 Outdoor air—the air outside of buildings, from ground level to several miles above the Earth’s surface—  
5 is a valuable resource for current and future generations because it provides essential gases to sustain life  
6 and it shields the Earth from harmful radiation. Air pollution can compromise outdoor air quality in many  
7 ways. Outdoor air pollution, for instance, is associated with various adverse health effects including  
8 asthma attacks and cancer; and outdoor air pollution can contribute to “smog” and “acid rain,” damage  
9 crops and surfaces of treasured buildings and monuments, and diminish the protective ozone layer in the  
10 upper atmosphere. Maintaining clean air is a challenging task, especially considering the growing  
11 stressors on outdoor air quality such as increased population growth, increased use of motor vehicles, and  
12 increased energy consumption.

13 Outdoor air pollution contains numerous substances of both natural and anthropogenic origin. While  
14 natural sources release some potentially harmful substances into the air (e.g., pollen, mold spores, dust),  
15 emissions sources of anthropogenic origin are of particular interest because regulatory and voluntary  
16 reductions can lead to decreased emissions and associated air quality improvements. Accordingly, this  
17 section focuses on outdoor air quality issues caused at least in part by human activity and acknowledges  
18 and quantifies contributions from natural sources, as appropriate.

19 Most outdoor air pollution issues can be traced back to emissions sources that release pollutants into the  
20 air. Emissions sources are typically classified into different categories, such as point sources (e.g., power  
21 plants, industrial facilities), area sources (e.g., air pollution sources over a diffuse area, such as gasoline  
22 stations, dry cleaners, and waste treatment facilities), mobile sources (e.g., cars, trucks, airplanes, off-road  
23 vehicles), and natural sources (e.g., wildfires, wind-blown dust, volcanoes). Once pollutants are airborne,  
24 prevailing wind patterns carry them from their sources to downwind locations, and pollutants disperse in  
25 air as they mix in the atmosphere. Depending on their chemical and physical properties, some pollutants  
26 deposit to the Earth’s surface near their corresponding emissions sources, while others remain airborne  
27 for hours, days, or years. Deposition of air pollutants, especially those that are persistent and  
28 bioaccumulative, can lead to accumulation of contaminants in other media. The levels of air pollution at a  
29 given location and at a given time are influenced by emissions from nearby and distant sources as well as  
30 by atmospheric factors, such as meteorology.

31 Human exposure to outdoor air pollution is a function of the composition and magnitude of air pollution,  
32 combined with human activity patterns. Whether people are harmed by poor air quality depends on  
33 exposure doses and durations, individuals’ susceptibilities to diseases, and other factors. Similarly, air  
34 pollutants’ interactions with ecosystems determine whether air pollution causes harmful environmental  
35 effects. For a complete understanding of a given air pollution issue, information is therefore typically  
36 sought on emissions sources, ambient air concentrations, exposures, and effects.

37 Outdoor air pollution can contain hundreds of different pollutants, which are typically grouped into  
38 various categories based on shared attributes. Some categories are defined by pollutants’ physical  
39 attributes (e.g., gases, aerosols, particulates), while others by regulatory terminology (e.g., criteria  
40 pollutants, air toxics). The indicators used to answer the question regarding outdoor air quality are  
41 organized into the following three categories, which were selected based on the different parts of the  
42 atmosphere to which they pertain and the different types of information available to support indicator  
43 development:

- 1       • **Criteria Pollutants.** The following six common pollutants are referred to as criteria  
2 pollutants: carbon monoxide, lead, nitrogen dioxide, ozone, particulate matter of different  
3 size fractions, and sulfur dioxide. For each criteria pollutant, EPA has established National  
4 Ambient Air Quality Standards (NAAQS) to protect public health and the environment.  
5 Extensive data are available on criteria pollutants' emissions (or emissions of the pollutants'  
6 precursors) and ambient concentrations.
- 7       • **Air Toxics and Other Air Pollutants.** Air toxics, also known as hazardous air pollutants, are  
8 known or suspected to cause cancer and are associated with other serious health effects, such  
9 as reproductive effects or birth defects, or adverse environmental effects. The Clean Air Act  
10 specifically identifies 188 air toxics. Numerous other air pollutants exhibit toxicity even  
11 though they are not classified as air toxics; included among these other pollutants are several  
12 hundred additional chemicals whose emissions are tracked in EPA's Toxics Release  
13 Inventory.
- 14       • **Stratospheric Ozone Issues.** The ozone layer occurs in the stratosphere between 6 and 20  
15 miles above the Earth's surface and protects the Earth's biota from harmful effects of the  
16 sun's ultraviolet radiation. Past and ongoing releases of a number of synthetic chemicals from  
17 throughout the world have depleted the ozone layer, allowing more ultraviolet radiation to  
18 reach the Earth's surface. This can lead to increased incidence of skin cancer, cataracts, and  
19 other health problems.<sup>1</sup> Further, high levels of ultraviolet radiation can cause detrimental  
20 ecological effects, such as stressing productivity of marine phytoplankton, which are essential  
21 components of the oceanic food web.<sup>2</sup>

22 Air pollution is manifest over a range of spatial and temporal domains—an important factor to consider  
23 when evaluating trends for the three categories considered in this section. The spatial domains of air  
24 pollution issues vary widely. Air pollution can be local in nature. For instance, ambient concentrations of  
25 benzene tend to be greatest in the proximity of major sources (e.g., oil refineries, chemical production  
26 facilities) and in high-traffic areas; long-range transport is relatively unimportant due to benzene's high  
27 photochemical reactivity. Air pollution can also extend over regional and national scales. For example,  
28 emissions sources hundreds of miles away can contribute to airborne fine particulate matter at a given  
29 location.<sup>3</sup> Finally, a few air pollution issues are global in nature. Stratospheric ozone depletion, for  
30 example, is affected by releases of ozone-depleting substances from countries worldwide. The spatial  
31 domains ultimately determine the minimum spatial resolution of monitors needed to adequately  
32 characterize trends.

33 Temporal scales also vary among pollutants and typically reflect some combination of changes in  
34 emissions and fluctuations in weather. Ambient air concentrations of some air pollutants, like ground-  
35 level ozone, have considerable diurnal and seasonal variations.<sup>4</sup> However, temporal variations are far less

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<sup>1</sup> World Meteorological Organization, et al. 2003. Scientific assessment of ozone depletion: 2002. Geneva, Switzerland.

<sup>2</sup> DeMora, S., S. Demers, and M. Vernet. 2000. The effects of UV radiation in the marine environment. Cambridge, United Kingdom: Cambridge University Press.

<sup>3</sup> U.S. EPA. 2004. The particle pollution report: current understanding of air quality and emissions through 2003. EPA/454/R-04/002. Research Triangle Park, NC.

<sup>4</sup> U.S. EPA. 2004. The ozone report: measuring progress through 2003. EPA/454/K-04/001. Research Triangle Park, NC.

1 pronounced for pollutants that are long-lived in the atmosphere, including many ozone-depleting  
2 substances. Temporal variations largely determine the appropriate monitoring frequency for quantifying  
3 trends and the most meaningful statistic (or averaging time) used to report ambient air concentrations.  
4 When quantifying and interpreting long-term trends in outdoor air quality, attention also must be paid to  
5 changes in emissions estimation techniques and advances in ambient air monitoring technologies. Unless  
6 otherwise noted, the outdoor air quality indicators only come from data sets generated using consistent  
7 methodologies over the entire time frame of interest.

8 The nationwide air quality trends in this section are generally consistent with those documented in other  
9 EPA publications, though readers should not expect to find perfect concordance among individual data  
10 points. This is because some publications address different spatial domains or time frames and may use  
11 less rigorous selection criteria when identifying and compiling data sets.

## 12 **2.2.2 ROE Indicators**

13 The 23 outdoor air quality indicators track emissions, ambient concentrations, and pollution-related  
14 effects over varying spatial domains and time spans, depending on the availability of underlying data. The  
15 indicators include 21 National Indicators (12 of which break national data down into the 10 EPA Regions)  
16 and 2 Regional Indicators. The most extensive temporal coverage of these indicators tracks trends from  
17 1965 to the present.

18 Indicators were developed using data compiled from multiple sources. Emissions indicators are based on  
19 EPA's National Emissions Inventory (NEI), a database of measured and estimated emissions for  
20 numerous pollutants and source categories. At the writing of this report, NEI data were available from  
21 1990 to 2002, but the indicators only present data for those inventory years that are fully updated and are  
22 developed using consistent methodologies. Ground-level ambient air concentration indicators were  
23 developed from data in EPA's Air Quality System (AQS), a clearinghouse of validated ambient air  
24 monitoring results submitted largely by tribal, state, and local environmental agencies. The ambient  
25 concentration indicators present data through calendar year 2004, which is the most recent calendar year  
26 having a complete, validated set of monitoring data available from AQS when this report was prepared.  
27 Remaining indicators draw from different monitoring programs, including regional haze data from the  
28 Interagency Monitoring of Protected Visual Environments, acid deposition measurements from the multi-  
29 agency National Atmospheric Deposition Program and Clean Air Status and Trends Network, ozone  
30 injury observations from the U.S. Forest Service's Forest Health Monitoring Program, and monitoring of  
31 stratospheric ozone levels and concentrations of ozone-depleting substances conducted by the National  
32 Oceanic and Atmospheric Administration.

33 Table 2.2.1 shows how indicators are classified into three general categories (criteria pollutants, air toxics  
34 and other pollutants, stratospheric ozone issues) and then further organized by pollutant. For each  
35 pollutant and to the extent supported by ROE indicators, relevant emissions indicators are presented first,  
36 immediately followed by ambient concentration indicators, and next by effects indicators. With this  
37 organization, readers can readily compare relevant trends in emissions, ambient concentrations, and  
38 effects for the same pollutant.

39

1 **Table 2.2.1. ROE Indicators of Trends in Outdoor Air Quality and Their Effects on Human Health**  
 2 **and the Environment**

<b>NATIONAL INDICATORS</b>	<b>LOCATION</b>
<b>Criteria Pollutants and Their Precursors</b>	
Carbon Monoxide Emissions (N/R)	2.2.2 – p. 2-13
Ambient Concentrations of Carbon Monoxide (N/R)	2.2.2 – p. 2-16
Lead Emissions	2.2.2 – p. 2-19
Ambient Concentrations of Lead	2.2.2 – p. 2-21
Nitrogen Oxides Emissions (N/R)	2.2.2 – p. 2-23
Ambient Concentrations of Nitrogen Dioxide (N/R)	2.2.2 – p. 2-26
Volatile Organic Compounds Emissions (N/R)	2.2.2 – p. 2-29
Ambient Concentrations of Ozone (N/R)	2.2.2 – p. 2-32
Ozone Injury to Forest Plants (N/R)	2.2.2 – p. 2-37
Particulate Matter Emissions (N/R)	2.2.2 – p. 2-40
Ambient Concentrations of Particulate Matter (N/R)	2.2.2 – p. 2-44
Regional Haze	2.2.2 – p. 2-50
Sulfur Dioxide Emissions (N/R)	2.2.2 – p. 2-53
Acid Deposition	2.2.2 – p. 2-56
Lake and Stream Acidity	2.2.2 – p. 2-62
Percent of Days with Air Quality Index Values Greater Than 100 (N/R)	2.2.2 – p. 2-65
<b>Air Toxics and Other Air Pollutants</b>	
Mercury Emissions	2.2.2 – p. 2-68
Air Toxics Emissions (N/R)	2.2.2 – p. 2-70
Ambient Concentrations of Benzene	2.2.2 – p. 2-74
<b>Stratospheric Ozone Issues</b>	
Concentrations of Ozone-Depleting Substances	2.2.2 – p. 2-76
Ozone Levels over North America	2.2.2 – p. 2-79
<b>REGIONAL INDICATORS</b>	
<b>LOCATION</b>	
Ozone and Particulate Matter Concentrations for U.S. Counties in the U.S./Mexico Border Region	2.2.2 – p. 2-82
Ambient Concentrations of Manganese Compounds in EPA Region 5	2.2.2 – p. 2-86

3 N/R = National Indicator displayed at EPA Regional scale

## 1 INDICATOR: Carbon Monoxide Emissions

2 Carbon monoxide (CO) gas forms primarily when carbon fuels are not burned completely. Mobile  
3 sources account for the majority of CO emissions (U.S. EPA, 2003). These sources include both on-road  
4 vehicles (e.g., cars, trucks, motorcycles) and nonroad vehicles and engines (e.g., farm equipment,  
5 construction equipment, aircraft, and marine vessels). Consequently, high concentrations of CO generally  
6 occur in areas with heavy traffic congestion. In cities, as much as 95 percent of all CO emissions may  
7 come from automobile exhaust (U.S. EPA, 2003). Other sources of CO emissions include industrial  
8 processes, non-transportation fuel combustion, and natural sources, such as wildfires. Fuel-burning  
9 appliances also are a large source of CO releases in indoor environments. Undetected releases of carbon  
10 monoxide in indoor settings can present serious health risks to building occupants. The CO  
11 Concentrations indicator (p. 2-16) describes health hazards associated with inhaling CO.

12 This indicator presents CO emissions from traditionally inventoried anthropogenic source categories:  
13 1) "Fuel combustion," which includes emissions from coal, gas and oil-fired power plants, industrial,  
14 commercial, and institutional sources, as well as residential heaters (e.g., wood-burning stoves) and  
15 boilers; 2) "Industrial and other processes," which includes chemical production, petroleum refining, and  
16 metals production; 3) "On-road vehicles" which includes cars, trucks, buses, and motorcycles; and  
17 4) "Nonroad vehicles and engines," such as farm and construction equipment, lawnmowers, chainsaws,  
18 boats, ships, snowmobiles, aircraft, and others. The indicator also includes estimates of biogenic CO  
19 emissions in 2002 which were obtained using the Biogenic Emissions Inventory System (BEIS) Model,  
20 Version 3.12.

21 CO emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite of  
22 data from many different data sources, including industry and numerous state, tribal, and local agencies.  
23 Different data sources use different data collection methods, and many of the emissions data are based on  
24 estimates rather than actual measurements. For most fuel combustion sources and industrial sources,  
25 emissions are estimated using emission factors. Emissions from on-road and nonroad sources were  
26 estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

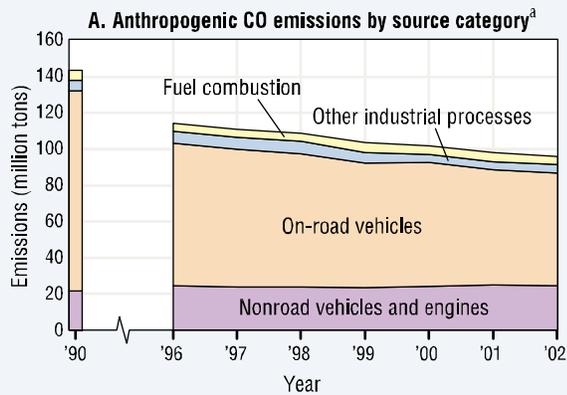
27 NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S.  
28 territories of Puerto Rico and Virgin Islands, and some of the territories of federally-recognized American  
29 Indian nations. Data are presented for 1990 and from 1996 to 2002; prior to 1996, only the 1990 data have  
30 been updated to be comparable to the more recent inventories.

### 31 **What the Data Show**

32 Only CO emissions from anthropogenic sources are included in the NEI. However, CO emissions from  
33 biogenic sources were estimated for 2002 to provide a sense of the relative contributions of natural versus  
34 anthropogenic emissions (Exhibit 2-1, panel B). Nationally, biogenic emissions were estimated to  
35 contribute approximately 5 percent to the CO emissions from all sources during 2002.

36 Nationwide estimated anthropogenic CO emissions have decreased 33 percent between 1990 and 2002,  
37 the most recent year for which aggregate NEI emissions estimates are available (Exhibit 2-1, panel A).  
38 Almost the entire emissions reduction is attributed to decreased emissions from on-road mobile sources.  
39 In 2002, mobile sources (both on-road and nonroad sources combined) accounted for 91 percent of the  
40 nation's total anthropogenic CO emissions. The CO emissions reductions are reflected in corresponding  
41 reductions in ambient concentrations (the CO Concentrations indicator, p. 2-16).

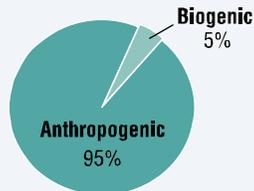
**Exhibit 2-1. CO emissions in the U.S. by source category, 1990 and 1996-2002**



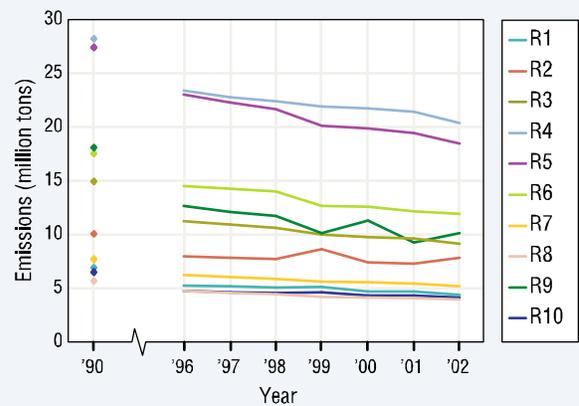
<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**B. Relative amounts of CO emissions from anthropogenic and biogenic sources, 2002**



**Exhibit 2-2. CO emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a</sup>**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are all fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005



- 1
- 2 Net estimated anthropogenic CO emissions declined in all EPA Regions between 1990 and 2002 (Exhibit
- 3 2-2). The largest decrease (8.95 million pounds) occurred in Region 5, and the smallest decrease (1.74
- 4 million pounds) occurred in Region 8.

### 5 Indicator Limitations

- 6
  - 7 • Comparable CO emissions estimates through the NEI are available only for 1990 and 1996-
  - 8 2002. Data for 1991-1995 are not provided due to differences in emission estimation
  - 9 methodologies from other inventory years which could lead to improper trend assessments.
  - 10 • CO emissions from “miscellaneous sources,” including wildfires, are not included in the total
  - 11 emissions. Yearly fluctuations in wildfire emissions have the potential to mask trends in
  - 12 anthropogenic emissions and therefore have been excluded from the trends graphics. Details
  - 13 on emissions from miscellaneous sources can be found at
  - 14 <http://www.epa.gov/ttn/chief/eiinformation.html>.
  - 15 • The emissions data for CO are largely based on estimates that employ emission factors
  - 16 generated from empirical and engineering studies, rather than on actual measurements of CO
  - 17 emissions. Although these estimates are generated using well-established approaches, the
  - 18 estimates have uncertainties inherent in the emission factors and emission models used to
  - represent sources for which emissions have not been directly measured.

- 1           • The methodology for estimating emissions is continually reviewed and is subject to revision.  
2           Trend data prior to any revisions must be considered in the context of those changes.  
3           • Not all states and local agencies provide the same data or level of detail for a given year.

#### 4   **Data Sources**

5   Summary data in this indicator were provided by EPA’s Office of Air Quality Planning and Standards,  
6   based on raw CO emissions data in EPA’s National Emissions Inventory (NEI) (U.S. EPA, 2006)  
7   (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by  
8   source type (anthropogenic or biogenic), source category, and EPA Region.

#### 9   **References**

- 10   U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.  
11   <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>  
12  
13   U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
14   <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
15  
16  
17   U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
18   EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>  
19  
20  
21

## 1 INDICATOR: Ambient Carbon Monoxide Concentrations

2 Carbon monoxide (CO) gas forms primarily when carbon fuels are not burned completely. Elevated  
3 ambient air concentrations of CO are hazardous because inhaled CO enters the bloodstream and reduces  
4 the amount of oxygen that the blood can deliver to the body's organs and tissues. If exposure  
5 concentrations are high enough, potentially serious cardiovascular and neurological effects can result.  
6 Visual impairment, reduced work capacity, reduced manual dexterity, poor learning ability, and difficulty  
7 in performing complex tasks are all associated with exposure to elevated CO levels (U.S. EPA, 2000).

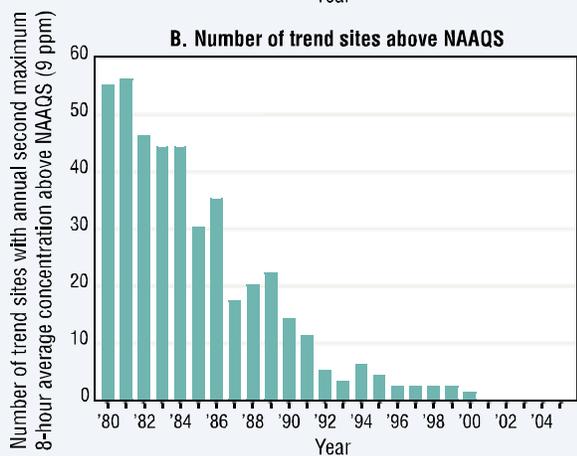
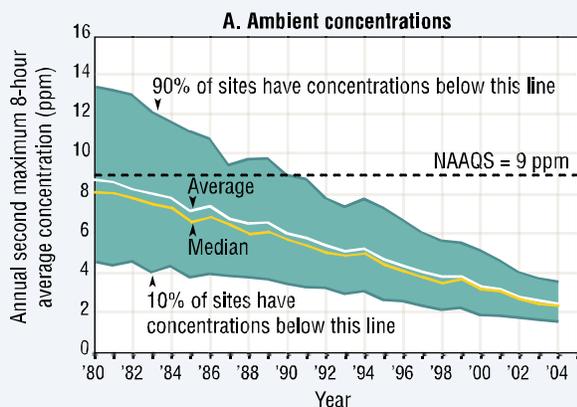
8 Motor vehicle exhaust currently accounts for the majority of CO emissions nationwide, and as much as 95  
9 percent of CO emissions in cities with high traffic congestion. Other anthropogenic emissions sources of  
10 CO include fossil fuel combustion for heating and power generation, metals processing, and chemical  
11 manufacturing. The highest ambient air concentrations of CO often occur during nighttime inversion  
12 conditions, which trap pollutants near ground level. These conditions are most frequently observed during  
13 the cold winter months (U.S. EPA, 2003).

14 This indicator presents ambient CO concentrations in parts per million (ppm) from 1980 to 2004, based  
15 on continuous measurements averaged over 8-hour time frames. The 8-hour standard is indicative of  
16 exposures occurring over a sustained period of time, for example, an outdoor worker's exposure over the  
17 course of a work day. This indicator displays trends in the second highest annual 8-hour average CO  
18 concentrations for 160 sites that have consistent data for the period of record in the National Air  
19 Monitoring Stations (NAMS), State and Local Air Monitoring Stations (SLAMS) network, and other  
20 special purpose monitors. It also shows trends in the average 8-hour measurements in each EPA Region.  
21 This indicator's exhibits display the National Ambient Air Quality Standard (NAAQS) for CO as a point  
22 of reference, but the fact that the national or any regional 8-hour values fall below the standard does not  
23 mean that all monitoring sites nationally or in the EPA Region also are below the standard. The indicator  
24 displays trends in the number of the 160 sites nationwide at which CO concentrations exceeded the 8-hour  
25 standard, but this statistic is not displayed for each EPA Region.

### 26 **What the Data Show**

27 The 2004 CO concentration averaged across 160 monitoring sites nationwide was 72 percent lower than  
28 that for 1980, and is the lowest level recorded during the past 25 years (Exhibit 2-3, panel A). The  
29 downward trend in CO concentrations in the 1990s parallels the downward trend observed in CO  
30 emissions, which has been attributed largely to decreased emissions from mobile sources (the CO  
31 Emissions indicator, p. 2-13). In addition, of the 160 sites comprising this trend (out of 425 total  
32 monitoring sites that were operating in 2004), the number reporting CO concentrations above the CO  
33 standard declined to zero over the same period (Exhibit 2-3, panel B).

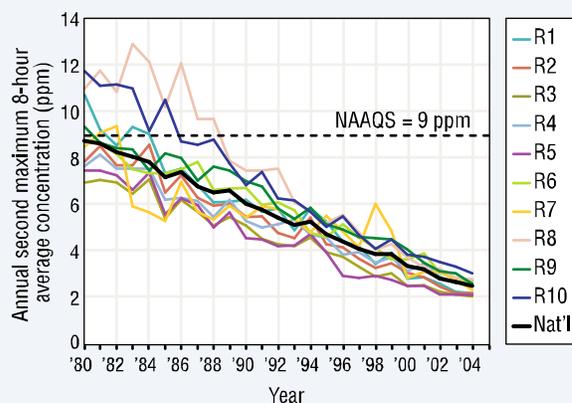
**Exhibit 2-3. Ambient CO concentrations in the U.S., 1980-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 160 monitoring sites nationwide (out of a total of 425 sites measuring CO in 2004) that have sufficient data to assess CO trends since 1980.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-4. Ambient CO concentrations in the contiguous U.S. by EPA Region, 1980-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 155 monitoring sites in the EPA Regions (out of a total of 425 sites measuring CO in 2004) that have sufficient data to assess CO trends since 1980.

**Data source:** U.S. EPA, Air Quality System, 2005



Also shown on Exhibit 2-3 (panel A) are the 90<sup>th</sup> and 10<sup>th</sup> percentiles based on the distribution of annual statistics at the monitoring sites. This provides additional graphical representation of the distribution of measured concentrations across the monitoring sites for a given year. Thus, the graphic displays the concentration range where 80 percent of measured values occurred for that year.

9 Consistent with the nationwide trend, CO levels in all ten EPA Regions have steadily decreased since  
 10 1980, with percent reductions over this period ranging from 64 percent (Region 4) to 80 percent (Region  
 11 1) (Exhibit 2-4).

## 12 Indicator Limitations

- 13 • Because most CO monitoring sites are located in high-traffic urban areas, the nationwide  
 14 trends presented in this indicator might not accurately reflect conditions outside the  
 15 immediate urban monitoring areas.
- 16 • Because of the relatively small number of trend sites in some EPA Regions, the regional  
 17 trends are subject to greater uncertainty than the national trends. Some EPA Regions with low  
 18 average concentrations may include areas with high local concentrations, and vice versa.
- 19 • To ensure that long-term trends are based on a consistent set of monitoring sites, selection  
 20 criteria were applied to identify the subset of CO monitoring sites with sufficient data to

1 assess trends since 1980. Monitoring sites without sufficient data are not included in the trend  
2 analysis. Some excluded monitoring sites reported CO concentrations above the CO standard  
3 over the time frame covered by this indicator.

#### 4 **Data Sources**

5 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
6 based on raw CO ambient air monitoring data in EPA's Air Quality System (AQS) (U.S. EPA, 2006)  
7 (<http://www.epa.gov/ttn/airs/airsaqs/>). National and regional trends in this indicator are based on the  
8 subset of CO monitoring stations that have sufficient data to assess trends since 1980.

#### 9 **References**

10 U.S. EPA. 2006. Data from the Air Quality System. Accessed 2006.  
11 <<http://www.epa.gov/ttn/airs/airsaqs/>>

12 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
13 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

14 U.S. EPA. 2000. Air quality criteria for carbon monoxide, 2000. EPA/600/P-99/001F. Research Triangle  
15 Park, NC.

## 1 INDICATOR: Lead Emissions

2 Lead is a naturally occurring metal found in small amounts in rock and soil. Lead has been used  
3 industrially in the production of gasoline, ceramic products, paints, metal alloys, batteries, and solder. In  
4 the past, automotive sources were the major contributors of lead emissions to the atmosphere. After  
5 leaded motor vehicle fuels were phased out during the 1970s and 1980s, the contribution of air emissions  
6 of lead from the transportation sector, and particularly the automotive sector, greatly declined. Today,  
7 industrial processes, primarily metals processing, account for a large portion of lead emissions to the  
8 atmosphere and the highest levels of airborne lead are usually found near industrial operations that  
9 process materials containing lead, such as smelters (U.S. EPA, 2003). Exposure to lead occurs mainly  
10 through inhalation of air and ingestion of lead in food, water, soil, or dust. The Lead Concentrations  
11 indicator (p. 2-21) describes health hazards associated with lead exposures.

12 This indicator presents lead emissions from traditionally inventoried anthropogenic source categories:  
13 1) “Fuel combustion,” which includes emissions from coal, gas and oil-fired power plants, industrial,  
14 commercial, and institutional sources, as well as residential heaters and boilers; 2) “Other sources,” which  
15 include chemical production and petroleum refining; 3) “On-road vehicles,” which includes cars, trucks,  
16 buses, and motorcycles; 4) “Nonroad vehicles and engines,” such as farm and construction equipment,  
17 lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft, and others; and 5) “Metals industrial  
18 processing.” Since metals processing is one of the largest sources of lead emissions, the indicator includes  
19 a metals source category in addition to the four categories presented in the other emissions indicators.

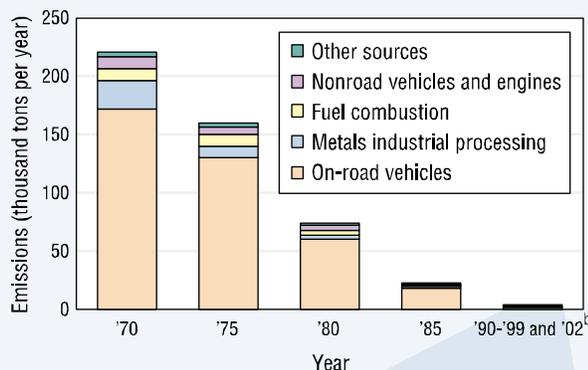
20 For the years 1970 through 1985, the primary source for lead emissions data was the National Emissions  
21 Data System (NEDS) archives. Since 1990, lead emissions data have been tracked by the National  
22 Emissions Inventory (NEI). The NEI is a composite of data from many different data sources, including  
23 industry and numerous state, tribal, and local agencies. Different data sources use different data collection  
24 methods, and many of the emissions data are based on estimates rather than actual measurements. For  
25 most industrial processes and fuel combustion sources, emissions are estimated using emission factors.  
26 Emissions from on-road and nonroad sources were estimated using EPA-approved modeling approaches  
27 (U.S. EPA, 2005).

28 Data for lead emissions cover all 50 states and their counties, D.C., the U.S. territories of Puerto Rico and  
29 Virgin Islands, and some of the territories of federally-recognized American Indian nations.

### 30 **What the Data Show**

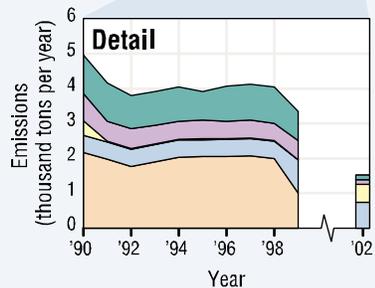
31 Between 1970 and 2002, estimated nationwide lead emissions decreased by 99 percent (219,350 tons),  
32 mostly due to reductions from on-road vehicle sources after lead was removed from gasoline (Exhibit 2-  
33 5). Since 1990, further declines in lead emissions occurred, mostly due to reductions from on-road  
34 vehicles, nonroad vehicles and engines, and metals industrial processing . Sharp declines in nationwide  
35 air concentrations of lead between 1980 and 1990 paralleled the emissions reductions (the Lead  
36 Concentrations indicator, p. 2-21).

**Exhibit 2-5.** Lead emissions in the U.S. by source category, 1970-1999 and 2002<sup>a</sup>



<sup>a</sup>Emissions inventory data are presented for years that allow reliable estimation of long-term trends.

<sup>b</sup>Data for 1990-1999 and 2002 are average annual emissions (thousand tons per year) and are therefore comparable to the annual emissions shown for the earlier years.



**Data source:** 1970-1985 data from National Emissions Data System (NEDS) archives, and 1990-1999 and 2002 data from U.S. EPA, National Emissions Inventory (NEI), 2005

### Indicator Limitations

- Although lead emissions trends have been generated using well-established estimation methods, the data reflect estimates based on empirical and engineering models and not actual measurement of lead emissions. These estimates have uncertainties inherent in the emission factors and emission models used to represent sources for which emissions have not been directly measured.
- The method for estimating lead emissions for fuel combustion and industrial sources changed in 1999 to reduce uncertainties inherent in the previous method (U.S. EPA, 2003). Despite the change in methodology, the long-term trend is still reliable.
- Not all states and local agencies provide the same data or level of detail for a given year.

### Data Sources

Summary data in this indicator were provided by EPA's Office of Air Quality Planning and

26 Standards, based on raw lead emissions data from two sources. Raw emissions data from 1970 to 1985  
 27 are from EPA's National Emissions Data System (NEDS) archives, and data summaries for this time  
 28 frame can be found in various EPA publications (e.g., U.S. EPA, 2001). Raw emissions data for 1990-  
 29 1999 and 2002 are available from EPA's National Emissions Inventory (NEI) (U.S. EPA, 2006)  
 30 (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw emissions data  
 31 by source category.

### References

- 33 U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.  
 34 <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>
- 35 U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
 36 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
 37 <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>
- 38 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
 39 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>
- 40 U.S. EPA. 2001. National air quality and emissions trends report, 1999. EPA/454/R-01-004. Research  
 41 Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd99/>>

## 1 INDICATOR: Ambient Concentrations of Lead

2 Lead is a naturally occurring metal found in small amounts in rock and soil. Despite steep decreases in  
3 emissions since 1970 (the Lead Emissions indicator, p. 2-19), lead remains an important environmental  
4 health issue because exposure to high levels has been associated with serious health effects, including  
5 neurological impairments such as seizures, mental retardation, and behavioral disorders (CDC, 2005).  
6 Even at low doses, lead exposure can have adverse effects to the nervous systems of fetuses and young  
7 children (the Blood Lead indicator, p. 5-76) (U.S. EPA, 2006a). Lead exposure can occur by inhalation of  
8 airborne particles that contain lead, drinking contaminated water, eating contaminated food items, or by  
9 ingesting non-food items that contain lead, such as dust and paint chips.

10 Lead has been used industrially in the production of gasoline, ceramic products, paints, metal alloys,  
11 batteries, and solder. Some chemicals containing lead were previously added to gasoline to enhance  
12 vehicle performance, but that practice was phased out during the 1970s and 1980s. As a result, air  
13 emissions of lead from the transportation sector decreased dramatically during that period (the Lead  
14 Emissions indicator, p. 2-19). Today, the highest levels of airborne lead are usually found near industrial  
15 operations that process materials containing lead, such as smelters (U.S. EPA, 2003).

16 This indicator reflects ambient lead concentrations in micrograms per cubic meter ( $\mu\text{g}/\text{m}^3$ ) from 1980 to  
17 2004. Trends for this indicator are based on measurements made at the 18 monitoring stations in the  
18 National Air Monitoring Stations (NAMS) and State and Local Air Monitoring Stations (SLAMS)  
19 network that have consistently measured ambient air concentrations of lead over the entire period of  
20 interest. Reported values are annual maximum quarterly averages. This indicator's exhibit displays the  
21 lead National Ambient Air Quality Standard (NAAQS) as a point of reference, but the fact that the  
22 national lead concentrations fall below the standard does not mean that all monitoring sites also are below  
23 the standard.

### 24 What the Data Show

25 Between 1980 and 2004, average lead concentrations decreased 97 percent nationally (Exhibit 2-6, panel  
26 A). This decrease, which occurred mostly during the 1980s and early 1990s, is largely attributed to  
27 reduced lead content in gasoline (U.S. EPA, 2003). In addition, of the 18 sites comprising this trend (out  
28 of 185 total monitoring sites that were operating in 2004), the number reporting lead concentrations above  
29 the NAAQS declined to zero over the same period (Exhibit 2-6, panel B).

30 Also shown in Exhibit 2-6 (panel A) are the 90<sup>th</sup> and 10<sup>th</sup> percentiles based on the distribution of annual  
31 statistics at the monitoring sites. This provides additional graphical representation of the distribution of  
32 measured concentrations across the monitoring sites for a given year. Thus, the exhibit displays the  
33 concentration range where 80 percent of measured values occurred for each year.

## 1 Indicator Limitations

- 2 • Because most lead monitoring sites are  
3 located in urban areas, the nationwide  
4 trends might not accurately reflect  
5 conditions outside the immediate urban  
6 monitoring areas.
- 7 • To ensure that long-term trends are  
8 based on a consistent set of monitoring  
9 sites, selection criteria were applied to  
10 identify the subset of lead monitoring  
11 sites with sufficient data to assess trends  
12 since 1980. Monitoring sites without  
13 sufficient data are not included in the  
14 trend analysis. Some excluded  
15 monitoring sites reported lead  
16 concentrations above the lead standard  
17 over the time frame covered by this  
18 indicator.

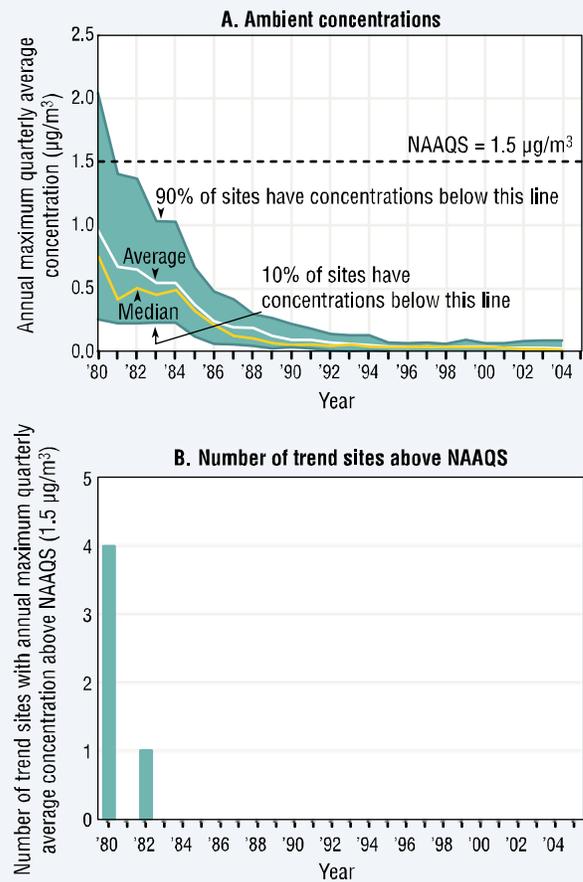
## 19 Data Sources

20 Summary data in this indicator were provided by  
21 EPA's Office of Air Quality Planning and  
22 Standards, based on raw lead ambient air monitoring  
23 data in EPA's Air Quality System (AQS) (U.S.  
24 EPA, 2006b) (<http://www.epa.gov/ttn/airs/airsaqs/>).  
25 National trends in this indicator are based on the  
26 subset of lead monitoring stations that have  
27 sufficient data to assess trends since 1980.

## 28 References

- 29 CDC (Centers for Disease Control and Prevention,  
30 National Center for Environmental Health). 2005. Third national report on human exposure to  
31 environmental chemicals. NCEH Pub. No. 05-0570. July 2005. (Accessed September 9, 2005)  
32 <[http://www.cdc.gov/exposurereport/3rd/results\\_01.htm](http://www.cdc.gov/exposurereport/3rd/results_01.htm)>
- 33 U.S. EPA. 2006a. Air quality criteria for lead. EPA/600/R-5/144aF.
- 34 U.S. EPA. 2006b. Data from the Air Quality System. Accessed 2006.  
35 <<http://www.epa.gov/ttn/airs/airsaqs/>>
- 36 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
37 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

**Exhibit 2-6. Ambient lead concentrations in the U.S., 1980-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 18 monitoring sites nationwide (out of a total of 185 sites measuring lead in 2004) that have sufficient data to assess lead trends since 1980.

**Data source:** U.S. EPA, Air Quality System, 2005

## INDICATOR: Nitrogen Oxides Emissions

Nitrogen oxides (NO<sub>x</sub>) is the term used to describe the sum of nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), and other oxides of nitrogen. Most airborne NO<sub>x</sub> come from combustion-related emissions sources of human origin, primarily fossil fuel combustion in electrical utilities, high temperature operations at other industrial sources, and operation of motor vehicles. However, natural sources, like biological decay processes and lightning, also contribute to airborne NO<sub>x</sub>. Fuel-burning appliances, like home heaters and gas stoves, produce substantial amounts of NO<sub>x</sub> in indoor settings (U.S. EPA, 2003).

NO<sub>x</sub> plays a major role in several important environmental and human health issues. Short-term and long-term exposures to elevated air concentrations of NO<sub>2</sub> are associated with various acute and chronic respiratory effects (U.S. EPA, 1993). NO<sub>x</sub> and volatile organic compounds (VOCs) react in the presence of sunlight to form ozone, which also is associated with human health and ecological effects (the Ozone Concentrations indicator, p. 2-32). NO<sub>x</sub> and other pollutants react in the air to form compounds that contribute to acid deposition, which can damage forests and cause lakes and streams to acidify (the Acid Deposition indicator, p. 2-56). Deposition of NO<sub>x</sub> also affects nitrogen cycles and can contribute to nuisance growth of algae that can disrupt the chemical balance of nutrients in water bodies, especially in coastal estuaries (the Lake and Stream Acidity indicator, p. 2-62; the Trophic State of Coastal Waters indicator, p. 3-62). NO<sub>x</sub> also plays a role in several other environmental issues, including formation of particulate matter (the PM Concentrations indicator, p. 2-44), decreased visibility (the Regional Haze indicator, p. 2-50), and global climate change (the U.S. Greenhouse Gas Emissions indicator, p. 2-96; the Greenhouse Gas Concentrations indicator, p. 2-100).

This indicator presents NO<sub>x</sub> emissions from traditionally inventoried anthropogenic source categories: 1) “Fuel combustion: selected power generators,” which includes emissions from coal, gas and oil-fired power plants that are required to use continuous emissions monitors (CEMs) to report emissions as part of the Acid Rain Program (ARP); 2) “Fuel combustion: other sources,” which includes industrial, commercial, and institutional sources, as well as residential heaters and boilers not required to use CEMs; 3) “Other industrial processes,” which include chemical production and petroleum refining; 4) “On-road vehicles,” which includes cars, trucks, buses, and motorcycles; 5) “Nonroad vehicles and engines,” such as farm and construction equipment, lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft, and others. Since a substantial portion of airborne NO<sub>x</sub> comes from fossil fuel combustion in electric utilities, this indicator includes the separate category for “selected power generators” in addition to the four categories presented in the other emissions indicators. The indicator also includes estimates of biogenic NO<sub>x</sub> emissions in 2002 which were obtained using the Biogenic Emissions Inventory System (BEIS) Model, Version 3.12.

NO<sub>x</sub> emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite of data from many different data sources, including industry and numerous state, tribal, and local agencies. Different data sources use different data collection methods, and many of the emissions data are based on estimates rather than actual measurements. For major electric generating units, most data come from continuous emissions monitors that measure actual emissions. For other fuel combustion sources and industrial processes, data are estimated using emission factors. Emissions from on-road and nonroad sources were estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S. territories of Puerto Rico and Virgin Islands, and some of the territories of federally-recognized American

1 Indian nations. Data are presented only for 1990 and the years from 1996 to 2002; prior to 1996, only the  
2 1990 data have been updated to be comparable to the more recent inventories.

### 3 What the Data Show

4 Only NO<sub>x</sub> emissions from anthropogenic sources are included in the NEI. However, NO<sub>x</sub> emissions from  
5 biogenic sources were estimated for 2002 to provide a sense of the relative contributions of natural versus  
6 anthropogenic emissions. Nationally, biogenic emissions were estimated to contribute approximately 5  
7 percent to NO<sub>x</sub> emissions from all sources during 2002 (Exhibit 2-7, panel B).

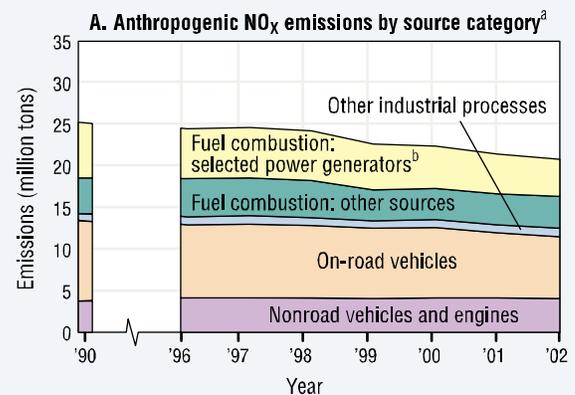
8 According to the NEI data, estimated nationwide anthropogenic emissions of NO<sub>x</sub> decreased by 18  
9 percent between 1990 and 2002 (from 25,160,000 to 20,746,000 tons) (Exhibit 2-7, panel A). This  
10 downward trend results primarily from emissions reductions at electrical utilities and among on-road  
11 mobile sources. Although total nationwide anthropogenic NO<sub>x</sub> emissions decreased during this period,  
12 emissions from some sources (such as nonroad vehicles and engines) have increased since 1990.

13 Estimated anthropogenic NO<sub>x</sub> emissions in all ten EPA Regions decreased between 1990 and 2002  
14 (Exhibit 2-8). The percent reductions in emissions over this time frame ranged from 3 percent (in Region  
15 6) to 35 percent (in Region 1), and the largest absolute reduction (1,020,000 pounds) occurred in Region  
16 5.

### 17 Indicator Limitations

- 18 • Comparable NO<sub>x</sub> emissions estimates  
19 through the NEI are available only for  
20 1990 and 1996-2002. Data for 1991-1995  
21 are not provided due to differences in  
22 emission estimation methodologies from  
23 other inventory years which could lead to  
24 improper trend assessments.
- 25 • NO<sub>x</sub> emissions from miscellaneous  
26 sources are not included in the total  
27 emissions.
- 28 • Though NO<sub>x</sub> emissions from most electric  
29 utilities are measured directly using  
30 continuous monitoring devices, NO<sub>x</sub>  
31 emissions data for most other source types  
32 are estimates. These estimates are  
33 generated using well-established  
34 approaches, but still have uncertainties  
35 inherent in the emission factors and  
36 emission models used to represent sources  
37 for which emissions have not been directly  
38 measured.
- 39 • The methodology for estimating emissions  
40 is continually reviewed and is subject to  
41 revision. Trend data prior to any revisions

**Exhibit 2-7. NO<sub>x</sub> emissions in the U.S., 1990 and 1996-2002**

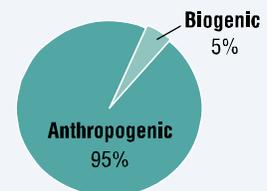


<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

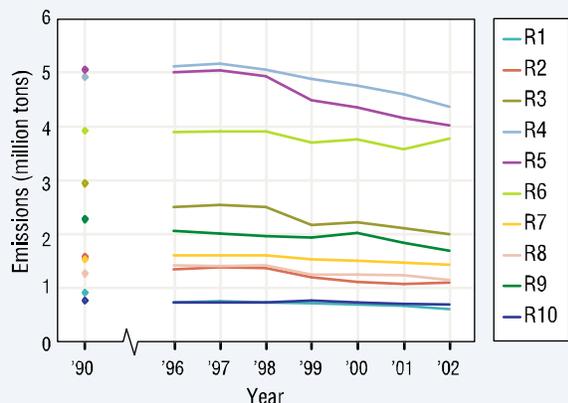
<sup>b</sup>This category includes emissions from only those power plants required to use continuous emissions monitors under the Acid Rain Program.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**B. Relative amounts of NO<sub>x</sub> emissions from anthropogenic and biogenic sources, 2002**



**Exhibit 2-8. NO<sub>x</sub> emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a</sup>**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.



**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

must be considered in the context of those changes.

- Not all states and local agencies provide the same data or level of detail for a given year.

### Data Sources

Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards, based on raw NO<sub>x</sub> emissions data in EPA's National Emissions Inventory (NEI) (U.S. EPA, 2006)

(<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by source type (anthropogenic or biogenic), source category, and EPA Region.

### References

U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.

<<http://www.epa.gov/ttn/chief/net/2002inventory.html>>

- 21 U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
 22 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
 23  
 24 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
 25 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>  
 26 U.S. EPA. 1993. Air quality criteria for oxides of nitrogen. EPA/600/8-91/049aF-cF. Research Triangle  
 27 Park, NC.  
 28

## 1 INDICATOR: Ambient Concentrations of Nitrogen Dioxide

2 Nitrogen dioxide (NO<sub>2</sub>) is a reddish-brown, highly reactive gas that is formed in the ambient air through  
3 the oxidation of nitric oxide (NO). Nitrogen dioxide is one in a group of highly reactive gases generically  
4 referred to as “nitrogen oxides” (NO<sub>x</sub>), all of which contain nitrogen and oxygen in varying amounts.  
5 Nitrogen oxides play a major role in the formation of ozone in the atmosphere through a complex series  
6 of reactions with volatile organic compounds (VOCs). Nitrogen dioxide is the most widespread and  
7 commonly found nitrogen oxide (U.S. EPA, 2003).

8 Short-term exposures (e.g., less than 3 hours) to low-levels of NO<sub>2</sub> may lead to changes in airway  
9 responsiveness and lung function in individuals with preexisting respiratory illnesses. These exposures  
10 may also increase respiratory illnesses in children. Long-term exposures to NO<sub>2</sub> may lead to increased  
11 susceptibility to respiratory infection and may cause irreversible alterations in lung structure (U.S. EPA,  
12 1995).

13 Atmospheric transformation of NO<sub>x</sub> can lead to the formation of ozone and nitrogen-bearing particles  
14 (e.g., nitrates and nitric acid). Deposition of nitrogen can lead to fertilization, eutrophication, or  
15 acidification of terrestrial, wetland, and aquatic (e.g., fresh water bodies, estuaries, and coastal water)  
16 systems. These effects can alter competition among existing species, leading to changes in species  
17 abundance and distribution within communities. For example, eutrophic conditions in aquatic systems can  
18 produce explosive growth of algae leading to hypoxia or an increase in levels of toxins harmful to fish  
19 and other aquatic life (U.S. EPA, 1993).

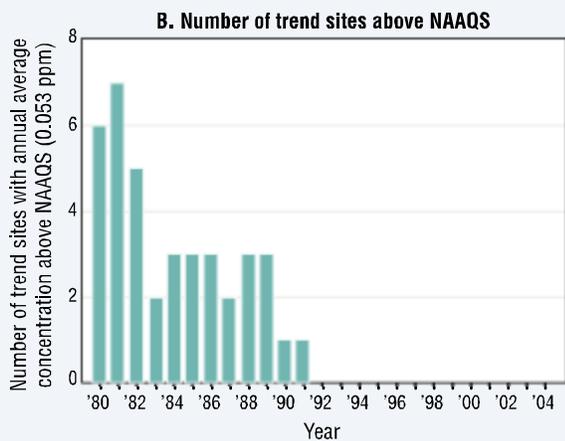
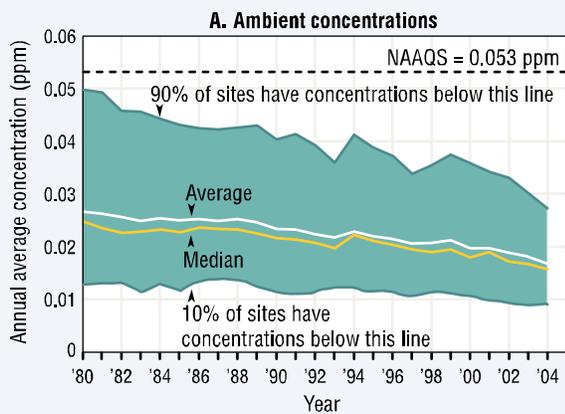
20 This indicator presents ambient NO<sub>2</sub> concentrations in parts per million (ppm) from 1980 to 2004, based  
21 on the annual arithmetic average. The indicator displays trends averaged over 91 sites that have consistent  
22 data for the period of record in the National Air Monitoring Stations (NAMS), State and Local Air  
23 Monitoring Stations (SLAMS) network, and other special purpose monitors. It also shows trends in the  
24 annual average NO<sub>2</sub> measurements in each EPA Region. This indicator’s exhibits display the NO<sub>2</sub>  
25 National Ambient Air Quality Standard (NAAQS) as a point of reference, but the fact that the national or  
26 any regional mean values fall below the standard does not mean that all monitoring sites nationally or in  
27 the EPA Region also are below the standard. This indicator displays trends in the number of the 91 sites  
28 nationwide at which NO<sub>2</sub> concentrations exceeded the annual average standard over the period of record,  
29 but this statistic is not displayed for each EPA Region.

### 30 **What the Data Show**

31 The national annual mean NO<sub>2</sub> concentration in 2004 was 37 percent lower than that recorded in 1980  
32 (Exhibit 2-9, panel A). Also shown on this graph are the 90<sup>th</sup> and 10<sup>th</sup> percentiles of NO<sub>2</sub> concentrations  
33 based on the distribution of annual statistics at the monitoring sites. This provides additional graphical  
34 representation of the distribution of measured concentrations across the monitoring sites for a given year.  
35 Thus, for each year, the graphic displays the concentration range where 80 percent of measured values  
36 occurred. The highest annual mean NO<sub>2</sub> concentrations are typically found in urban areas. In addition, of  
37 the 91 sites comprising this trend (out of 373 total monitoring sites that were operating in 2004), the  
38 number reporting NO<sub>2</sub> concentrations above the NO<sub>2</sub> standard declined from seven sites in 1981 to zero  
39 sites since 1992 (Exhibit 2-9, panel B).

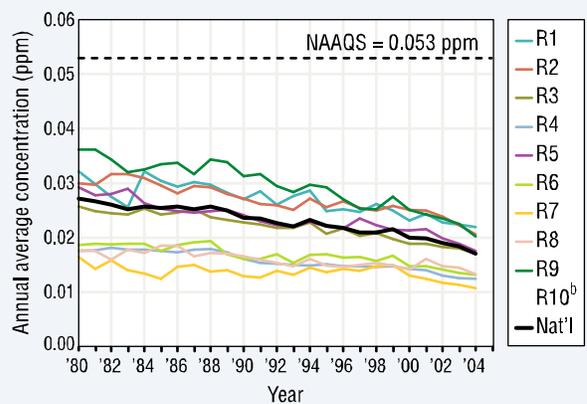
40 NO<sub>2</sub> levels in all ten EPA Regions have steadily decreased since 1980, with percent reductions over this  
41 time ranging from 25 percent in Region 8 to 44 percent in Region 9 (Exhibit 2-10).

**Exhibit 2-9. Ambient NO<sub>2</sub> concentrations in the U.S., 1980-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 91 monitoring sites nationwide (out of a total of 373 sites measuring NO<sub>2</sub> in 2004) that have sufficient data to assess NO<sub>2</sub> trends since 1980.  
**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-10. Ambient NO<sub>2</sub> concentrations in the contiguous U.S. by EPA Region, 1980-2004<sup>a,b</sup>**



<sup>a</sup>**Coverage:** 91 monitoring sites in the EPA Regions (out of a total of 373 sites measuring NO<sub>2</sub> in 2004) that have sufficient data to assess NO<sub>2</sub> trends since 1980.



<sup>b</sup>Because NO<sub>2</sub> in Region 10 has been at such low concentrations, none of this Region's monitoring sites have a complete record dating back to 1980. Thus, no trend line for Region 10 is shown.

**Data source:** U.S. EPA, Air Quality System, 2005

The decrease in NO<sub>2</sub> concentrations in this indicator is consistent with decreasing NO<sub>x</sub> emissions observed over the past decade (the Nitrogen Oxides Emissions indicator, p. 2-23).

**6 Indicator Limitations**

- 7 • Because ambient monitoring for NO<sub>2</sub> occurs almost exclusively in high traffic urban areas,
- 8 the average concentrations presented in this indicator likely are not representative of NO<sub>2</sub>
- 9 levels in rural areas. Also, in rural areas, air mass aging could foster greater relative levels of
- 10 peroxyacetyl nitrate (PAN) and nitric acid which can cause a positive interference in NO<sub>2</sub>
- 11 measurements.
- 12 • The measurement of NO<sub>2</sub> is based on the conversion of NO<sub>2</sub> to NO and the subsequent
- 13 detection of NO using the chemiluminescence technique. Because there are other nitrogen-
- 14 containing compounds, such as PAN and nitric acid that can be converted to NO, the
- 15 chemiluminescence technique may overestimate NO<sub>2</sub> due to these interferences.
- 16 Measurement devices with ultraviolet photolytic converters are less prone to interferences
- 17 than devices with heated surfaces (or catalysts) upstream of the chemiluminescence detector.

- 1           • Because of the relatively small number of trend sites in some EPA Regions, the regional  
2 trends are subject to greater uncertainty than the national trends. Some EPA Regions with low  
3 average concentrations may include areas with high local concentrations, and vice versa.
- 4           • To ensure that long-term trends are based on a consistent set of monitoring sites, selection  
5 criteria were applied to identify the subset of NO<sub>2</sub> monitoring sites with sufficient data to  
6 assess trends since 1980. Monitoring sites without sufficient data are not included in the trend  
7 analysis. Some excluded monitoring sites reported NO<sub>2</sub> concentrations above the NO<sub>2</sub>  
8 standard over the time frame covered by this indicator.

9   **Data Sources**

10 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
11 based on raw NO<sub>2</sub> ambient air monitoring data in EPA's Air Quality System (AQS) (U.S. EPA, 2006)  
12 (<http://www.epa.gov/ttn/airs/airsaqs/>). National and regional trends in this indicator are based on the  
13 subset of NO<sub>2</sub> monitoring stations that have sufficient data to assess trends since 1980.

14   **References**

- 15 U.S. EPA. 2006. Data from the Air Quality System. Accessed 2006.  
16 <<http://www.epa.gov/ttn/airs/airsaqs/>>
- 17 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
18 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>
- 19 U.S. EPA. 1995. Review of the national ambient air quality standards for nitrogen oxides: assessment of  
20 scientific and technical information. EPA/452/R-95/005. Research Triangle Park, NC.
- 21 U.S. EPA. 1993. Air quality criteria for oxides of nitrogen. EPA/600/8-91/049aF-cF. Research Triangle  
22 Park, NC.

## INDICATOR: Volatile Organic Compounds Emissions

Volatile organic compounds (VOCs) are a large group of organic chemicals that include any compound of carbon (excluding carbon monoxide, carbon dioxide, carbonic acid, metallic carbides or carbonates, and ammonium carbonate) and that participate in atmospheric photochemical reactions. VOCs are of interest because they contribute to ozone formation (U.S. EPA, 2003a) and because many individual VOCs are known to be harmful to human health (the Benzene Concentrations indicator, p. 2-74; the Air Toxics Emissions indicator, p. 2-70). Health effects vary by pollutant. Emissions of all VOCs combined are also considered an important environmental and human health issue because ozone, another air pollutant (the Ozone Concentrations indicator, p. 2-32), is formed from chemical reactions involving airborne VOCs, airborne nitrogen oxides, and sunlight. VOCs are emitted from a variety of sources, including motor vehicles, chemical manufacturing facilities, refineries, factories, consumer and commercial products, and natural (biogenic) sources (mainly trees) (U.S. EPA, 2003b).

This indicator presents VOC emissions from traditionally inventoried anthropogenic source categories: 1) "Fuel combustion," which includes emissions from coal, gas and oil-fired power plants, industrial, commercial, and institutional sources, as well as residential heaters and boilers; 2) "Industrial and other processes," which includes chemical production, petroleum refining, and metals production; 3) "On-road vehicles," which includes cars, trucks, buses, and motorcycles; and 4) "Nonroad vehicles and engines," such as farm and construction equipment, lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft, and others. The indicator also includes estimates of biogenic VOC emissions in 2002 which were obtained using the Biogenic Emissions Inventory System (BEIS) Model, Version 3.12.

VOC emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite of data from many different data sources, including industry and numerous state, tribal, and local agencies. Different data sources use different data collection methods, and many of the emissions data are based on estimates rather than actual measurements. For most fuel combustion sources and industrial sources, emissions are estimated using emission factors. Emissions from on-road and nonroad sources were estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S. territories of Puerto Rico and Virgin Islands, and some of the territories of federally-recognized American Indian nations. Data are presented only for 1990 and the years from 1996 to 2002; prior to 1996, only the 1990 data have been updated to be comparable to the more recent inventories.

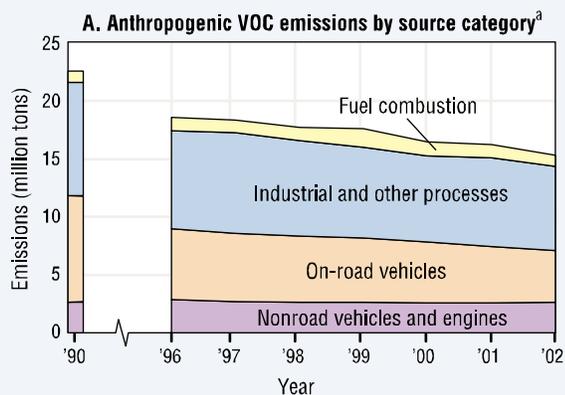
### What the Data Show

Only VOC emissions from anthropogenic sources are included in the NEI. However, VOC emissions from biogenic sources were estimated for 2002 to provide a sense of the relative contributions of natural versus anthropogenic emissions. Nationally, biogenic emissions were estimated to contribute approximately 72 percent to VOC emissions from all sources during 2002 (Exhibit 2-11, panel B). Thus, VOC emissions from biogenic sources are larger than the VOC emissions from all anthropogenic sources combined.

According to NEI data, national total estimated VOC emissions from anthropogenic sources, excluding wildfires and prescribed burns, decreased by 32 percent between 1990 and 2002 (from 23,048,000 to 15,662,000 tons) (Exhibit 2-11, panel A). The overwhelming majority of anthropogenic emissions reductions were observed among industrial processes and on-road mobile sources. Combined, these two

- 1 source categories accounted for 84 percent of the total nationwide estimated anthropogenic VOC
- 2 emissions in 1990 (excluding wildfires and prescribed burns), but accounted for only 76 percent of the
- 3 nationwide anthropogenic emissions in 2002.
- 4 Trends in estimated anthropogenic VOC emissions among the ten EPA Regions were consistent with the
- 5 overall decline seen nationally from 1990 to 2002 (Exhibit 2-12). VOC emissions decreased in every EPA
- 6 Region, with the percent decrease ranging from 12 percent (Region 10) to 44 percent (Region 9).

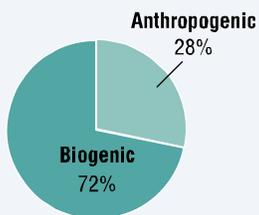
**Exhibit 2-11. VOC emissions in the U.S., 1990 and 1996-2002**



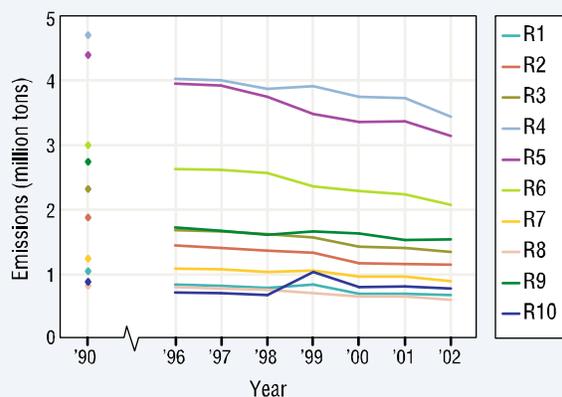
<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**B. Relative amounts of VOC emissions from anthropogenic and biogenic sources, 2002**



**Exhibit 2-12. VOC emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a</sup>**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005



## 7 Indicator Limitations

- 8 • Comparable VOC emissions estimates through the NEI are available only for 1990 and 1996-
- 9 2002. Data for 1991-1995 are not provided due to differences in emission estimation
- 10 methodologies from other inventory years which could lead to improper trend assessments.
- 11 • VOC emissions from “miscellaneous sources” are not included in the total emissions. Details
- 12 on emissions from miscellaneous sources can be found at
- 13 <http://www.epa.gov/ttn/chief/einformation.html>.
- 14 • VOC emissions data are largely based on estimates that employ emission factors generated
- 15 from empirical and engineering studies, rather than on actual measurements of VOC
- 16 emissions. Although these estimates are generated using well-established approaches, the

1 estimates have uncertainties inherent in the emission factors and emission models used to  
2 represent sources for which emissions have not been directly measured.

- 3 • The methodology for estimating emissions is continually reviewed and is subject to revision.  
4 Trend data prior to any revisions must be considered in the context of those changes.
- 5 • Not all states and local agencies provide the same data or level of detail for a given year.

## 6 **Data Sources**

7 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
8 based on raw VOC emissions data in EPA's National Emissions Inventory (NEI) (U.S. EPA, 2006)  
9 (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by  
10 source type (anthropogenic or biogenic), source category, and EPA Region.

## 11 **References**

12 U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.  
13 <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>

14 U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
15 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
16

17 U.S. EPA. 2003a. Requirements for preparation, adoption, and submittal of implementation plans:  
18 definitions. Code of Federal Regulations 40CFR51.100(s).

19 U.S. EPA. 2003b. National air quality and emissions trends report—2003 special studies edition.  
20 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

## 1 INDICATOR: Ambient Concentrations of Ozone

2 Ozone is a gas found in different parts of the atmosphere. Ozone in the upper atmosphere, or stratosphere,  
3 helps protect the Earth from the sun's harmful rays. (The Ozone Levels over North America indicator, on  
4 page 2-79, describes trends in stratospheric ozone levels over the U.S.) In the lowest level of the  
5 atmosphere, or troposphere, ozone is harmful to both human health and the environment. For this reason,  
6 ozone is often described as being "good up high and bad nearby" (U.S. EPA, 2003a). Although some  
7 industrial sources release ozone directly into the environment, most ground-level ozone forms in the air  
8 from chemical reactions involving nitrogen oxides (NO<sub>x</sub>), volatile organic compounds (VOCs), and  
9 sunlight. Ozone levels are typically highest during the afternoon hours of the summer months when the  
10 influence of direct sunlight is the greatest. These highest levels occur during what is known as the "ozone  
11 season" which typically occurs from May 1 to September 30, but the time frame of the ozone season  
12 varies by state (U.S. EPA, 2003b).

13 Variations in weather conditions play an important role in determining ozone levels. Daily temperatures,  
14 relative humidity, and wind speed can affect ozone levels. In general, warm dry weather is more  
15 conducive to ozone formation than cool wet weather. Wind can affect both the location and concentration  
16 of ozone pollution. NO<sub>x</sub> and VOC emissions can travel hundreds of miles on air currents, forming ozone  
17 far from the original emission sources. Ozone also can travel long distances, affecting areas far  
18 downwind. High winds tend to disperse pollutants and can dilute ozone concentrations. However,  
19 stagnant conditions or light winds allow pollution levels to build up and become more concentrated.

20 Inhalation exposure to ozone has been linked to numerous respiratory health effects, including acute  
21 reversible decrements in lung function, airway inflammation, cough, and pain when taking a deep a  
22 breath. Ozone exposure can aggravate lung diseases such as asthma, leading to increased medication use  
23 and increased hospital admission and visits to emergency rooms. In addition, evidence is highly  
24 suggestive that ozone directly or indirectly contributes to non-accidental and cardiopulmonary-related  
25 mortality, but the underlying mechanisms by which such effects occur have not been fully established  
26 (U.S. EPA, 2006a). Although people with lung disease are most susceptible to the effects of ozone, even  
27 healthy people who are active outdoors can suffer from ozone-related health effects. Further, evidence  
28 suggests that older adults (greater than 65 years old) appear to be at excess risk of ozone-related mortality  
29 or hospitalization (U.S. EPA, 2006a). Elevated concentrations of ozone can also affect vegetation and  
30 ecosystems, as the Ozone Injury to Forest Plants indicator (p. 2-37) describes further (U.S. EPA, 1996).

31 This indicator presents ambient ground-level ozone concentrations in parts per million (ppm) from 1978  
32 to 2004. Data are shown for 1-hour and 8-hour averaging times, based on continuous ozone monitoring  
33 data and consistent with this pollutant's National Ambient Air Quality Standards (NAAQS). The 1-hour  
34 standard is useful in indicating potential effects during short-term "spikes" in concentrations. The longer  
35 8-hour standard is indicative of exposures occurring over a more sustained period of time (e.g., an  
36 outdoor worker's exposure over the course of a work day). Trends for this indicator represent 213 sites  
37 that have data for the period of record in the National Air Monitoring Stations (NAMS), the State and  
38 Local Air Monitoring Stations (SLAMS) network, and other special purpose monitors. The indicator also  
39 displays trends in ozone measurements in each EPA Region. This indicator's exhibits display the  
40 corresponding NAAQS as points of reference, but the fact that the national or regional concentrations fall  
41 below the standards does not mean that all monitoring sites nationally or in any EPA Region also are  
42 below the standard. The indicator displays trends in the number of the 213 sites nationwide at which  
43 ozone concentrations exceeded standards, but these statistics are not displayed for each EPA Region.

1 Trends in ozone concentrations can be difficult to discern because of the year-to-year variations in the  
2 concentrations. By presenting data on a rolling 3-year time period, this indicator smooths out the “peaks”  
3 and “valleys” in the trend, making it easier to read without changing the overall trend statistic. Three  
4 years is consistent with the 3-year period used to assess compliance with the ozone standards. For the 1-  
5 hour trends in this report, the second highest daily maximum over a 3-year period is used to be consistent  
6 with the 1-hour ozone standard. For the 8-hour trends in this report, a 3-year average of the fourth highest  
7 daily maximum in each year is used to be consistent with the 8-hour ozone standard. The 3-year statistic  
8 is assigned to the last year in each 3-year period. For example, 1980 is based on 1978-1980, and 2004 is  
9 based on 2002-2004. Thus, when endpoint comparisons are used in this report to describe long-term  
10 changes (i.e., 1978-2004), they are based on the first 3-year period and the last 3-year period.

## 11 **What the Data Show**

12 Between the 1978-1980 and 2002-2004 averaging periods, nationwide 1-hour average ambient ozone  
13 levels decreased by 26 percent (Exhibit 2-13, panel A). In addition, among the 213 monitoring sites used  
14 to estimate the 1978-2004 trend, the number measuring ozone concentrations above the 1-hour NAAQS  
15 (National Ambient Air Quality Standard) declined 77 percent over the same period (Exhibit 2-13, panel  
16 B).

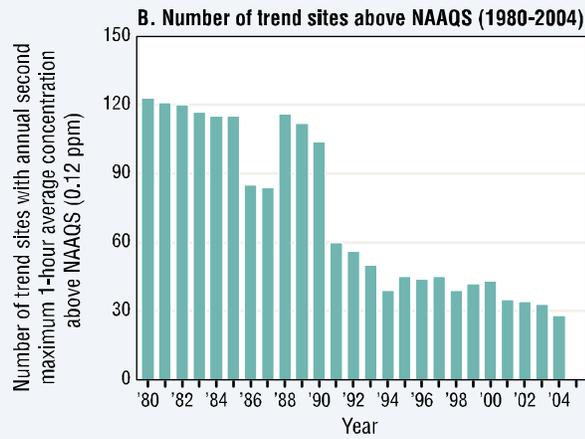
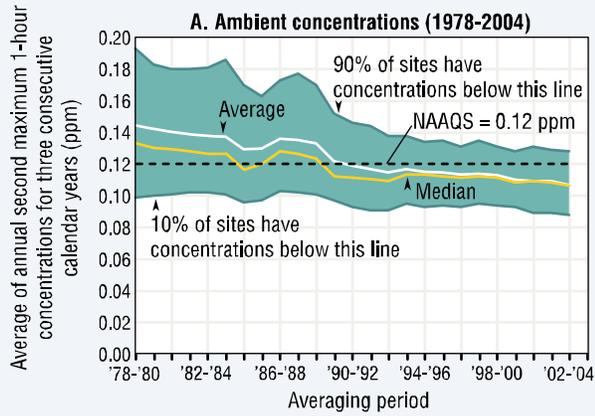
17 All ten EPA Regions also experienced some decline in 1-hour average ozone levels dating back to the  
18 1978-1980 averaging period (Exhibit 2-14). The greatest percent reductions in 1-hour ozone  
19 concentrations were observed in the two EPA Regions (Regions 1 and 9) that had the highest ozone levels  
20 in 1980, although the South Coast area in EPA Region 9 continues to have some of the highest ozone  
21 levels in the nation. EPA Region 10 showed the lowest ambient ozone concentrations over most of the  
22 period of record.

23 Between the 1978-1980 and 2002-2004 averaging periods, nationwide 8-hour average ambient ozone  
24 concentrations decreased by 21 percent (Exhibit 2-15, panel A). Although the 8-hour ozone levels in 2004  
25 ranked among the lowest on record and the number of trend sites measuring ozone concentrations above  
26 the 8-hour NAAQS decreased by 84 percent over the time frame covered in this indicator (Exhibit 2-15,  
27 panel B), ambient air monitoring data collected in 2005 and reported to EPA’s Air Quality System  
28 indicates that approximately 118 million people live in 47 metropolitan areas where 8-hour average ozone  
29 concentrations do not meet EPA’s health-based air quality standards. Among the ten EPA Regions, the  
30 most substantial declines in 8-hour levels were again observed in EPA Regions that originally had the  
31 highest ozone concentrations (EPA Regions 1 and 9) (Exhibit 2-16). Most other Regions showed less  
32 pronounced declines (e.g., EPA Regions 6 to 10). Region 10 again consistently showed the lowest  
33 Regional ozone levels.

34 Also shown in Exhibits 2-13 and 2-15 (panel A, in both cases) are the 90<sup>th</sup> and 10<sup>th</sup> percentiles based on  
35 the distribution of annual statistics at the monitoring sites. This provides additional graphical  
36 representation of the variability of measured concentrations across the monitoring sites for a given year.  
37 Thus, the graphic displays the concentration range where 80 percent of measured values occurred for that  
38 year.

39 In summary, despite reductions in ambient concentrations of ozone over the past quarter century and  
40 decreases in the emissions of ozone precursors since 1990 (the Nitrogen Oxides Emissions indicator, p. 2-  
41 23; the VOC Emissions indicator, p. 2-29), ozone remains one of the most persistent and ubiquitous air  
42 pollution issues in the U.S.

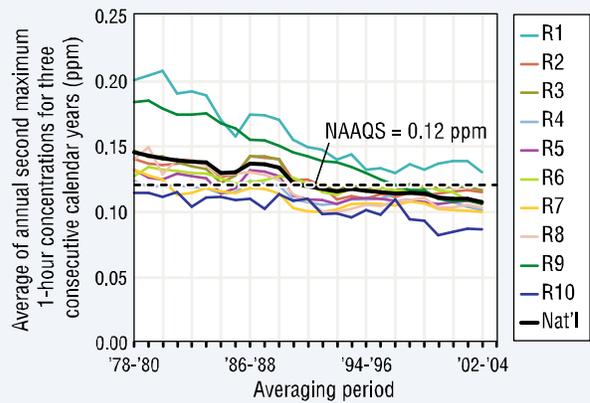
**Exhibit 2-13. Ambient 1-hour ozone concentrations in the U.S., 1978-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 213 monitoring sites nationwide (out of a total of 1,203 sites measuring ozone in 2004) that have sufficient data to assess ozone trends since 1978.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-14. Ambient 1-hour ozone concentrations in the contiguous U.S. by EPA Region, 1978-2004<sup>a</sup>**

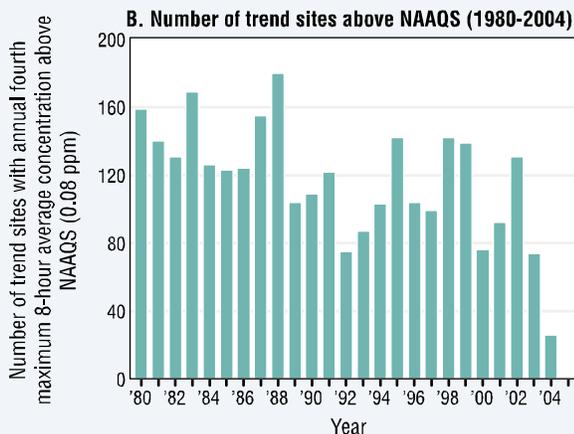
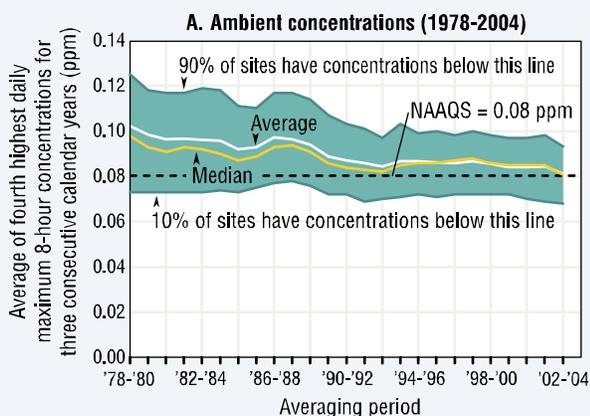


<sup>a</sup>**Coverage:** 213 monitoring sites in the EPA Regions (out of a total of 1,203 sites measuring ozone in 2004) that have sufficient data to assess ozone trends since 1978.

**Data source:** U.S. EPA, Air Quality System, 2005



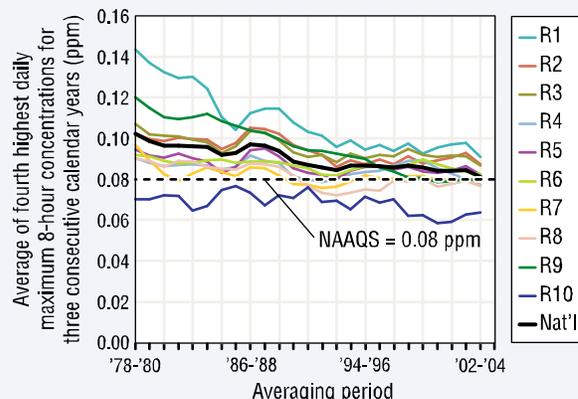
**Exhibit 2-15. Ambient 8-hour ozone concentrations in the U.S., 1978-2004<sup>a</sup>**



<sup>a</sup> **Coverage:** 213 monitoring sites nationwide (out of a total of 1,203 sites measuring ozone in 2004) that have sufficient data to assess ozone trends since 1978.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-16. Ambient 8-hour ozone concentrations in the contiguous U.S. by EPA Region, 1978-2004<sup>a</sup>**



<sup>a</sup> **Coverage:** 213 monitoring sites in the EPA Regions (out of a total of 1,203 sites measuring ozone in 2004) that have sufficient data to assess ozone trends since 1978.

**Data source:** U.S. EPA, Air Quality System, 2005



### Indicator Limitations

- Short-term trends in ozone concentrations are often highly dependent on meteorological conditions. This complicates efforts to interpret data for any given year. Air quality trends over the longer term are far less likely to be influenced by unusual meteorological conditions.

- 10 • Because most of the monitoring sites are located in urban areas, the trends might not
- 11 accurately reflect conditions outside the immediate urban monitoring areas.
- 12 • Because of the relatively small number of trend sites in some EPA Regions, the regional
- 13 trends are subject to greater uncertainty than the national trends. Some EPA Regions with low
- 14 average concentrations may include areas with high local concentrations, and vice versa.
- 15 • To ensure that long-term trends are based on a consistent set of monitoring sites, selection
- 16 criteria were applied to identify the subset of ozone monitoring sites with sufficient data to
- 17 assess trends since 1978. Monitoring sites without sufficient data are not included in the trend
- 18 analysis. Some excluded monitoring sites reported ozone concentrations above the ozone
- 19 standard over the time frame covered by this indicator.

1    **Data Sources**

2    Summary data in this indicator were provided by EPA’s Office of Air Quality Planning and Standards,  
3    based on raw ozone ambient air monitoring data in EPA’s Air Quality System (AQS) (U.S. EPA, 2006b)  
4    (<http://www.epa.gov/ttn/airs/airsaqs/>). National and regional trends in this indicator are based on the  
5    subset of ozone monitoring stations that have sufficient data to assess trends since 1978.

6    **References**

7    U.S. EPA. 2006a. Air quality criteria for ozone and related photochemical oxidants. EPA/600/R-  
8    05/004aF-cF. Research Triangle Park, NC.

9    U.S. EPA. 2006b. Data from the Air Quality System. Accessed 2006.  
10    <<http://www.epa.gov/ttn/airs/airsaqs/>>

11   U.S. EPA. 2003a. Ozone: good up high, bad nearby. EPA/451/K-03/001. Washington, DC.

12   U.S. EPA. 2003b. Latest findings on national air quality—2002 status and trends. EPA/454/K-03/001.  
13   Research Triangle Park, NC.

14   U.S. EPA. 1996. Air quality criteria for ozone and related photochemical oxidants. EPA/600/P-93/004F-  
15   cF. Research Triangle Park, NC.

## 1 INDICATOR: Ozone Injury to Forest Plants

2 Air pollution can have noteworthy cumulative impacts on forested ecosystems by affecting regeneration,  
3 productivity, and species composition (U.S. EPA, 1996). In the U.S., ozone in the lower atmosphere is  
4 one of the pollutants of primary concern. Ozone injury to forest plants can be diagnosed by examination  
5 of plant leaves. Foliar injury is usually the first visible sign of injury to plants from ozone exposure and  
6 indicates impaired physiological processes in the leaves (Grulke, 2003).

7 This indicator is based on data from the U.S. Department of Agriculture Forest Service Forest Health  
8 Monitoring (FHM) Program (USDA, 2004). The FHM Program, now known as Phase 3 of the Forest  
9 Inventory Analysis, examines ozone injury to ozone-sensitive plant species at ground monitoring sites in  
10 forest land across the country. For this indicator, forest land does not include woodlots and urban trees.  
11 Sites are selected using a systematic sampling grid, based on a global sampling design (White et al., 1992;  
12 Smith et al., 2003). At each site that has at least 30 individual plants of at least three ozone-sensitive  
13 species and enough open space to ensure that sensitive plants are not protected from exposure by the  
14 forest canopy, FHM looks for damage on the foliage of ozone-sensitive forest plant species. Because  
15 ozone injury is cumulative over the course of the growing season, examinations are conducted in July and  
16 August, when ozone injury is typically highest.

17 Monitoring of ozone injury to plants in the FHM program has expanded over the last 10 years from  
18 monitoring sites in ten states in 1994 to nearly 1,000 monitoring sites in 41 states in 2002. The data  
19 underlying this indicator are based on averages of all observations collected in 2002, the latest year for  
20 which data are publicly available, and are broken down by EPA Region. The ozone damage to forest  
21 plants is classified using a subjective five-category biosite index based on expert opinion, but designed to  
22 be equivalent from site to site. Ranges of biosite values translate to no injury, low or moderate foliar  
23 injury (visible foliar injury to highly sensitive or moderately sensitive plants, respectively), and high or  
24 severe foliar injury, which would be expected to result in tree-level or ecosystem-level responses,  
25 respectively (Coulston et al., 2004; U.S. EPA, 1996).

### 26 **What the Data Show**

27 There is considerable regional variation in ozone injury to sensitive plants (Exhibit 2-17). The highest  
28 percentages of observed high and severe foliar injury, which are most likely to be associated with tree or  
29 ecosystem-level responses, are primarily found in the Mid-Atlantic and Southeast Regions. In EPA  
30 Region 3, 12 percent of ozone-sensitive plants showed signs of high or severe foliar damage, and in  
31 Regions 2 and 4, the values were 10 percent and 7 percent, respectively. High and severe ozone injury  
32 ranged from 2 percent to 4 percent in EPA Regions 1, 7, and 9, and no high or severe foliar damage was  
33 observed in EPA Regions 5, 6, 8, and 10. The percentage of sites showing no damage was greater than 50  
34 percent in every EPA Region, and no ozone-related foliar damage was observed at any of the 129 biosites  
35 in EPA Regions 8 and 10.

1 **Indicator Limitations**

- 2
- 3 • Field and laboratory studies were
- 4 reviewed to identify the forest plant
- 5 species in each region that are highly
- 6 sensitive to ozone air pollution. Other
- 7 forest plant species, or even genetic
- 8 variants of the same species, may not
- 9 be harmed at ozone levels that cause
- 10 effects on the selected ozone-sensitive
- 11 species.
- 12 • Because species distributions vary
- 13 regionally, different ozone-sensitive
- 14 plant species were examined in
- 15 different parts of the country. These
- 16 target species could vary with respect
- 17 to ozone sensitivity, which might
- 18 account for some of the apparent
- 19 differences in ozone injury among
- 20 EPA Regions.
- 21 • Ozone damage to foliage is
- 22 considerably reduced under conditions
- 23 of low soil moisture, but most of the
- 24 variability in the index (70 percent)
- 25 was explained by ozone concentration
- (Smith et al., 2003).
- 26 • Ozone may have other adverse
- 27 impacts on plants (e.g., reduced
- 28 productivity) that do not show signs of
- 29 visible foliar injury (U.S. EPA, 1996).
- 30 • Though the FHM has extensive spatial
- 31 coverage based on a robust sample
- 32 design, not all forested areas in the
- 33 U.S. are monitored for ozone injury.
- 34 • Even though the biosite data have
- 35 been collected over a seven year
- 36 period, most biosites were not
- 37 monitored over the entire period, so
- 38 these data cannot provide more than a
- 39 baseline for future trends.

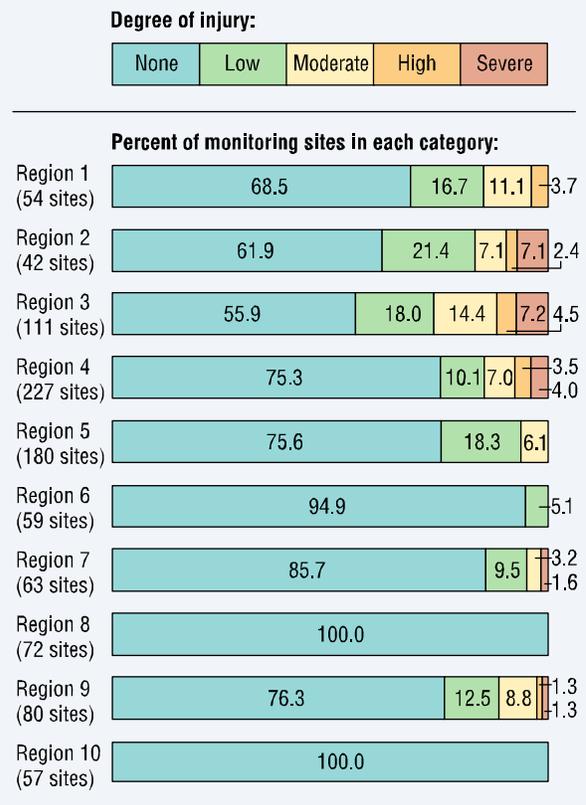
40 **Data Sources**

41 Data were provided by the USDA Forest Service’s Ozone Biomonitoring Program, which maintains a

42 database of plant injury statistics by state (USDA Forest Service, 2006)

43 (<http://www.fiaozone.net/tabular.html>). This indicator aggregates the state data by EPA Region.

**Exhibit 2-17. Ozone injury to forest plants in the U.S. by EPA Region, 2002<sup>a</sup>**



<sup>a</sup>**Coverage:** 945 monitoring sites, located in 41 states.

**Data source:** USDA Forest Service, Forest Health Monitoring (FHM) Program database



1 **References**

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3 indicators of air pollution for the United States. *Environ. Monit. Assess.* 95:57-74.
- 4 Grulke, N.E. 2003. The physiological basis of ozone injury assessment attributes in Sierran conifers. In:  
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- 7 Smith, G., J. Coulston, E. Jepsen, and T. Prichard. 2003. A national ozone biomonitoring program—  
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15 93/004aF-cF (NTIS PB96-185582, PB96-185590, PB96-185608). Research Triangle Park, NC.  
16 <[http://www.epa.gov/ttn/naaqs/standards/ozone/s\\_o3\\_cr\\_cd.html](http://www.epa.gov/ttn/naaqs/standards/ozone/s_o3_cr_cd.html)>
- 17 White, D., A.J. Kimerling, and W.S. Overton. 1992. Cartographic and geometric component of a global  
18 sampling design for environmental monitoring. *Cartogr. Geograph. Info. Sys.* 19:5-22.

## 1 INDICATOR: Particulate Matter Emissions

2 Particulate matter (PM) is the general term used to describe solid particles and liquid droplets found in the  
3 air. The composition and size of these airborne particles and droplets vary. Some particles are large  
4 enough to be seen as dust or dirt, while others are so small they can only be seen using a powerful  
5 microscope. Two size ranges, known as PM<sub>10</sub> and PM<sub>2.5</sub>, are widely monitored, both at major emissions  
6 sources and in ambient air. PM<sub>10</sub> includes particles that have aerodynamic diameters less than or equal to  
7 10 microns (µm), approximately equal to one-seventh the diameter of human hair. PM<sub>2.5</sub> is the subset of  
8 PM<sub>10</sub> particles that have aerodynamic diameters less than or equal to 2.5 µm.

9 Particles within the two size ranges behave differently in the atmosphere. PM<sub>2.5</sub>, or fine particles, can  
10 remain airborne for long periods and travel hundreds of miles. Coarse particles, or the subset of PM<sub>10</sub> that  
11 is larger than 2.5 µm, do not remain airborne as long and their spatial impact is typically limited because  
12 they tend to deposit on the ground downwind of emissions sources. Larger coarse particles are not readily  
13 transported across urban or broader areas because they are generally too large to follow air streams and  
14 they tend to be removed easily on contact with surfaces. In short, as the particle size increases, the amount  
15 of time the particles remain airborne decreases. The PM Concentrations indicator (p. 2-44) describes the  
16 various ways PM can harm human health and the environment (U.S. EPA, 2004).

17 PM can be emitted directly or formed in the atmosphere. “Primary” particles refer to those released  
18 directly to the atmosphere. These include dust from roads and soot from combustion sources. In general,  
19 coarse PM is composed largely of primary particles. “Secondary” particles, on the other hand, are formed  
20 in the atmosphere from chemical reactions involving primary gaseous emissions. Thus, these particles can  
21 form at locations distant from the sources that release the precursor gases. Examples include sulfates  
22 formed from sulfur dioxide emissions from power plants and industrial facilities and nitrates formed from  
23 nitrogen oxides released from power plants, mobile sources, and other combustion sources. Unlike coarse  
24 PM, a much greater portion of fine PM (PM<sub>2.5</sub>) contains secondary particles (U.S. EPA, 2004).

25 This indicator presents trends in annual average primary PM emissions data tracked by the National  
26 Emissions Inventory (NEI). The NEI tracks emission rate data, both measured and estimated, for primary  
27 particles only. Because secondary particles are not released directly from stacks, the NEI instead tracks  
28 the precursors that contribute to formation of secondary particles. These precursors include nitrogen  
29 oxides, sulfur dioxide, ammonia, and other gases (e.g., particle-producing organic gases), some of which  
30 are addressed in separate indicators (the Nitrogen Oxides Emissions indicator, p. 2-23; the Sulfur Dioxide  
31 Emissions indicator, p. 2-53). Particles formed through secondary processes are not included in this  
32 indicator.

33 Primary emissions of particulate matter can exist as solid or liquid matter (the “filterable” portion) or as  
34 gases (the “condensable” portion). Data for the condensable portion exist only for the years 1999 to 2002.  
35 To allow for a valid comparison of emission trends from 1990 to 2002, only data for the filterable portion  
36 of PM<sub>10</sub> and PM<sub>2.5</sub> are included in the trend graphs. Condensables are, however, included in the  
37 anthropogenic sources displayed in the inset pie charts in Exhibits 2-18 and 2-20.

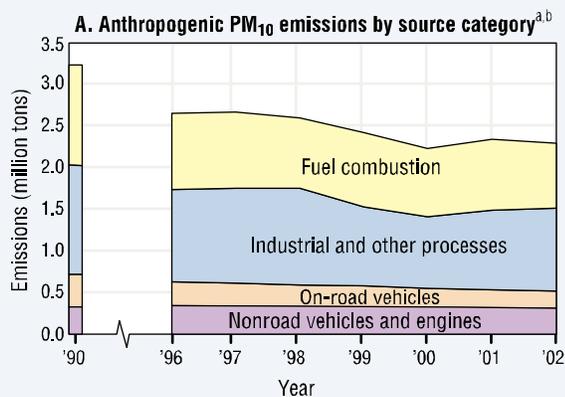
38 NEI data are presented for the traditionally inventoried anthropogenic source categories including:  
39 1) “Fuel combustion,” which includes emissions from coal, gas, and oil-fired power plants, industrial,  
40 commercial, and institutional sources, as well as residential heaters and boilers; 2) “Industrial and other  
41 processes,” which includes chemical production, petroleum refining, and metals production; 3) “On-road  
42 vehicles” which includes cars, trucks, buses, and motorcycles; and 4) “Nonroad vehicles and engines,”

1 such as farm and construction equipment, lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft,  
 2 and others. This indicator includes a comparison of these anthropogenic sources with emissions from  
 3 miscellaneous and natural sources, such as agriculture and forestry, wildfires and managed burning, and  
 4 fugitive dust from paved and unpaved roads. The indicator also includes estimates of miscellaneous and  
 5 natural sources in 2002 which were obtained using the Biogenic Emissions Inventory System (BEIS)  
 6 Model, Version 3.12.

7 The NEI is a composite of data from many different data sources, including industry and numerous state,  
 8 tribal, and local agencies. Different data sources use different data collection methods, and many of the  
 9 emissions data are based on estimates rather than actual measurements. For most fuel combustion sources  
 10 and industrial sources, emissions are estimated using emission factors. Emissions from on-road and  
 11 nonroad sources were estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

12 NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S.  
 13 territories of Puerto Rico and Virgin Islands, and some of the territories of federally-recognized American  
 14 Indian nations. Data are presented for 1990 and the years from 1996 to 2002; prior to 1996, only the 1990  
 data have been updated to be comparable to the more recent inventories.

**Exhibit 2-18. PM<sub>10</sub> emissions in the U.S., 1990 and 1996-2002**

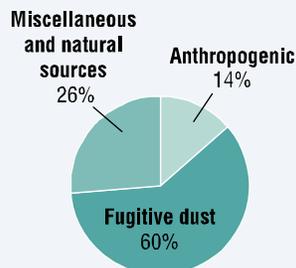


<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

<sup>b</sup>Starting in 1999, EPA began tracking condensable particulate emissions separately from filterable particulate emissions. In order to display data generated using a consistent methodology, emissions of condensable particulate from 1990 to 2002 are not included in Panel A. However, condensable particulate emissions are included in Panel B.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**B. Relative amounts of PM<sub>10</sub> emissions from anthropogenic and other sources, 2002<sup>b</sup>**



### What the Data Show

#### PM<sub>10</sub> Emissions Trends

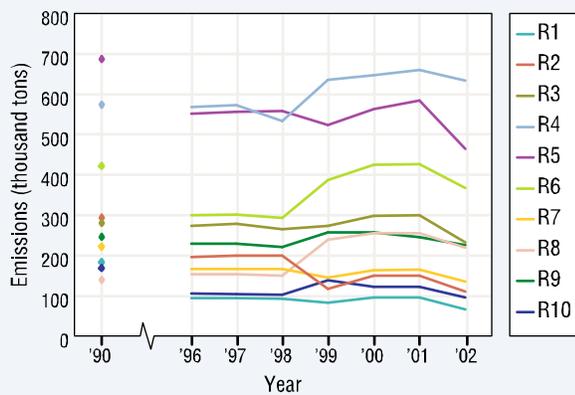
Estimated PM<sub>10</sub> emissions from anthropogenic sources decreased 29 percent nationally between 1990 and 2002 (Exhibit 2-18, panel A). Of these sources, the fuel combustion category saw the largest absolute decrease in emissions (422,000 tons; 35 percent), and the on-road vehicle sector showed the largest relative decrease (47 percent; 183,000 tons). PM<sub>10</sub> emissions from the group of sources including miscellaneous and natural sources and fugitive dust were estimated to account for 86 percent of total PM<sub>10</sub> emissions (including condensables from stationary and mobile sources) in 2002, the majority of which was attributable to fugitive dust from roads (Exhibit 2-18, panel B).

Changes in estimated anthropogenic PM<sub>10</sub> emissions from 1990 to 2002 varied widely among EPA Regions, ranging from an increase of 56 percent (Region 8) to a decrease of 64 percent (Region 1) (Exhibit 2-19).

#### PM<sub>2.5</sub> Emissions Trends

Estimated PM<sub>2.5</sub> emissions from anthropogenic sources decreased 27 percent nationally between 1990 and 2002 (Exhibit 2-20, panel A). Like PM<sub>10</sub>, the largest absolute decline in PM<sub>2.5</sub> was seen in the

**Exhibit 2-19. PM<sub>10</sub> emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a,b</sup>**



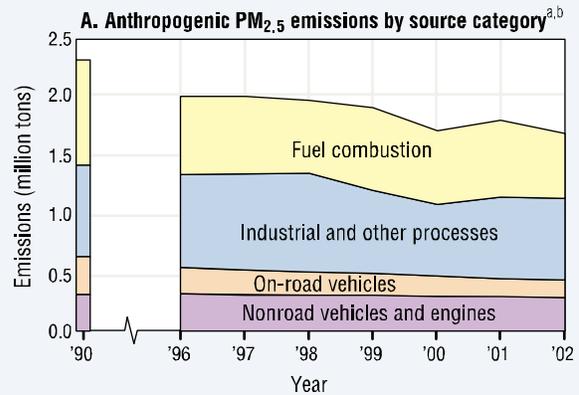
<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.



<sup>b</sup>Starting in 1999, EPA began tracking condensable particulate emissions separately from filterable particulate emissions. In order to display data generated using a consistent methodology, emissions of condensable particulate from 1999 to 2002 are not included in this figure.

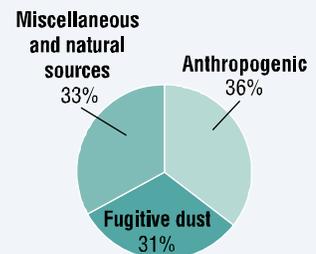
**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**Exhibit 2-20. PM<sub>2.5</sub> emissions in the U.S., 1990 and 1996-2002**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

**B. Relative amounts of PM<sub>2.5</sub> emissions from anthropogenic and other sources, 2002<sup>b</sup>**



<sup>b</sup>Starting in 1999, EPA began tracking condensable particulate emissions separately from filterable particulate emissions. In order to display data generated using a consistent methodology, emissions of condensable particulate from 1990 to 2002 are not included in Panel A. However, condensable particulate emissions are included in Panel B.

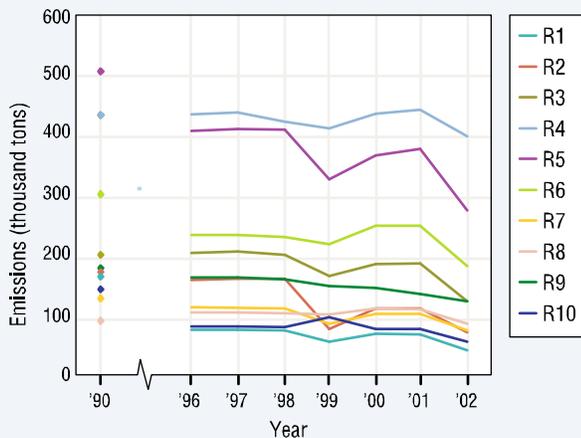
**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

- 1 fuel combustion source category (347,000 tons; 38
- 2 percent), and the largest relative decline was in the
- 3 on-road vehicle category (54 percent; 175,000 tons).
- 4 Emissions from the group of miscellaneous and natural sources were estimated to account for 64 percent
- 5 of the total PM<sub>2.5</sub> emissions (including condensables from stationary and mobile sources) nationally in
- 6 2002 (Exhibit 2-20, panel B).
- 7 Anthropogenic PM<sub>2.5</sub> emissions decreased in all ten EPA Regions from 1990 to 2002, with percent
- 8 reductions ranging from 6 percent (Region 8) to 71 percent (Region 1) (Exhibit 2-21).

### 9 Indicator Limitations

- 10 • Comparable PM emissions estimates through the NEI are available only for 1990 and 1996-
- 11 2002. Data for 1991-1995 are not provided due to differences in emission estimation
- 12 methodologies from other inventory years which could lead to improper trend assessments.
- 13 • Because the emissions indicators focus on sources of anthropogenic origin, PM emissions
- 14 from miscellaneous sources (e.g., wildfires) are not included in the trend line. Details on

**Exhibit 2-21. PM<sub>2.5</sub> emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a,b</sup>**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.



<sup>b</sup>Starting in 1999, EPA began tracking condensable particulate emissions separately from filterable particulate emissions. In order to display data generated using a consistent methodology, emissions of condensable particulate from 1999 to 2002 are not included in this figure.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

emissions from these sources can be found at <http://www.epa.gov/ttn/chief/eiinformation.html>.

- The emissions data for PM are largely based on estimates that employ emission factors generated from empirical and engineering studies, rather than on actual measurements of PM emissions. Although these estimates are generated using well-established approaches, the estimates have uncertainties inherent in the emission factors and emission models used to represent sources for which emissions have not been directly measured.
- The methodology for estimating emissions is continually reviewed and is subject to revision. Trend data prior to these revisions must be considered in the context of those changes.
- The indicator tracks primary PM emissions. Particles that form in the air through secondary processes are not included in this indicator, but are considered in the PM Concentrations indicator (p. 2-44).
- Not all states and local agencies provide the same data or level of detail for a given year.

### 30 Data Sources

31 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards, based on raw PM emissions data in EPA's National Emissions Inventory (NEI) (U.S. EPA, 2006) (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by source type (anthropogenic or biogenic), source category, and EPA Region.

### 35 References

36 U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.  
37 <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>

38 U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
39 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
40

41 U.S. EPA. 2004. Air quality criteria for particulate matter (October 2004). EPA 600/P-99/002aF-bF.  
42 Research Triangle Park, NC.

## 1 INDICATOR: Ambient Concentrations of Particulate Matter

2 Particulate matter (PM) is the general term used for a mixture of solid particles and liquid droplets found  
3 in the air. Airborne PM comes from many different sources. Primary particles are released directly into  
4 the atmosphere from sources such as cars, trucks, heavy equipment, forest fires, and burning waste.  
5 Primary particles also consist of crustal material from sources such as unpaved roads, stone crushing,  
6 construction sites, and metallurgical operations. Secondary particles are formed in the air from reactions  
7 involving precursor chemicals such as sulfates (which are formed from sulfur dioxide emissions from  
8 power plants and industrial facilities), nitrates (which are formed from nitrogen dioxide emissions from  
9 cars, trucks, and power plants) and carbon (which comes from reactive organic gas emissions from cars,  
10 trucks, industrial facilities, forest fires, and biogenic sources such as trees).

11 Ambient air monitoring stations throughout the country measure air concentrations of two size ranges of  
12 particles: PM<sub>2.5</sub> and PM<sub>10</sub>. PM<sub>2.5</sub> consists of “fine particles” with aerodynamic diameters less than or  
13 equal to 2.5 μm. PM<sub>10</sub> includes both fine particles (PM<sub>2.5</sub>) and “coarse particles,” which is the subset of  
14 PM<sub>10</sub> that is larger than 2.5 μm. The chemical makeup of particles varies across the U.S. For example,  
15 fine particles in the eastern half of the U.S contain more sulfates than those in the West, while fine  
16 particles in southern California, for example, contain more nitrates than those in other areas of the U.S.  
17 Carbon is a substantial component of fine particles everywhere (U.S. EPA, 2004a).

18 Fine particles also have a seasonal pattern. PM<sub>2.5</sub> values in the eastern half of the U.S. are typically higher  
19 in the third calendar quarter (July-September) when sulfates are more commonly formed from sulfur  
20 dioxide emissions from power plants in that part of the country. Fine particle concentrations tend to be  
21 higher in the fourth calendar quarter in many areas of the West, in part because fine particle nitrates are  
22 more readily formed in cooler weather, and wood stove and fireplace use produces more carbon.

23 Many recent epidemiologic studies show statistically significant associations of various ambient PM  
24 indicators (e.g., coarse or fine particulate, short-term or long-term concentrations) with a variety of  
25 cardiovascular and respiratory health endpoints, including mortality, hospital admissions, emergency  
26 department visits, other medical visits, respiratory illness and symptoms, and physiologic changes in  
27 pulmonary function (U.S. EPA 2004b). Sensitive groups that appear to be at greatest risk to such PM  
28 effects include older adults, individuals with cardiopulmonary disease such as asthma or congestive heart  
29 disease, and children (U.S. EPA, 2004b). Unlike other criteria pollutants, PM is not a single specific  
30 chemical entity, but rather a mixture of particles from different sources with different sizes and chemical  
31 compositions. Toxicological studies suggest that some airborne particles are more toxic than others, due  
32 to differences in their chemical composition—a topic that is thoroughly reviewed in other publications  
33 (e.g., U.S. EPA, 2004b).

34 PM also can cause adverse impacts to the environment. Fine particles are the major cause of reduced  
35 visibility in parts of the U.S., including many National Parks and Wilderness Areas (the Regional Haze  
36 indicator, p. 2-50). PM deposition affects vegetation and ecosystems by altering nutrient and chemical  
37 cycles in soils and surface water. For example, deposition of particles containing nitrogen and sulfur may  
38 change the nutrient balance and acidity of aquatic environments so that species composition and buffering  
39 capacity change (the Lake and Stream Acidity indicator, p. 2-62). Some particles that deposit onto plant  
40 leaves can corrode leaf surfaces or interfere with plant metabolism. PM also causes soiling and erosion  
41 damage to materials, including monuments, statues, and other objects of cultural importance (U.S. EPA,  
42 2004b).

1 This indicator presents trends in PM<sub>10</sub> and PM<sub>2.5</sub> concentrations, using averaging times consistent with the  
2 pollutants' corresponding National Ambient Air Quality Standards (NAAQS). For PM<sub>10</sub>, trend data from  
3 1990 to 2004 are presented for the second maximum 24-hour average concentrations measured at the  
4 trend sites during each calendar year. For PM<sub>2.5</sub>, trend data from 1999 to 2004 are presented for  
5 seasonally-weighted annual average concentrations and for the 98<sup>th</sup> percentiles of 24-hour average  
6 concentrations measured at the trend sites during each calendar year. Trend data are based on  
7 measurements from two nationwide networks of monitoring stations: the National Air Monitoring  
8 Stations (NAMS) and the State and Local Air Monitoring Stations (SLAMS). For both PM<sub>10</sub> and PM<sub>2.5</sub>,  
9 the indicator displays trends for the entire nation and for the ten EPA Regions.

10 The indicator's exhibits display the pollutants' NAAQS as points of reference. However, the fact that the  
11 national values or those shown for EPA Regions fall below the standards does not mean that all  
12 monitoring sites nationally or in any particular EPA Region also are below the standards. The indicator  
13 displays trends in the number of the 489 PM<sub>10</sub> monitoring sites and 707 PM<sub>2.5</sub> monitoring sites nationwide  
14 that exceed the standards, but these statistics are not displayed for each EPA Region.

## 15 **What the Data Show**

### 16 *PM<sub>10</sub> Concentration Trends*

17 (1988-1989 PM<sub>10</sub> data will be added)

18 In 2004, the national 24-hour PM<sub>10</sub> concentration was 31 percent lower than the average 1990 level  
19 (Exhibit 2-22, panel A). Additionally, of the 489 sites comprising this trend (out of 808 total monitoring  
20 sites that were operating in 2004), the number reporting PM<sub>10</sub> concentrations above the 24-hour standard  
21 declined 88 percent between 1990 and 2004 (Exhibit 2-22, panel B). All EPA Regions experienced a  
22 steady decrease in 24-hour PM<sub>10</sub> levels over this period (Exhibit 2-23). EPA Regions 9 and 10 showed the  
23 greatest decreases since 1990.

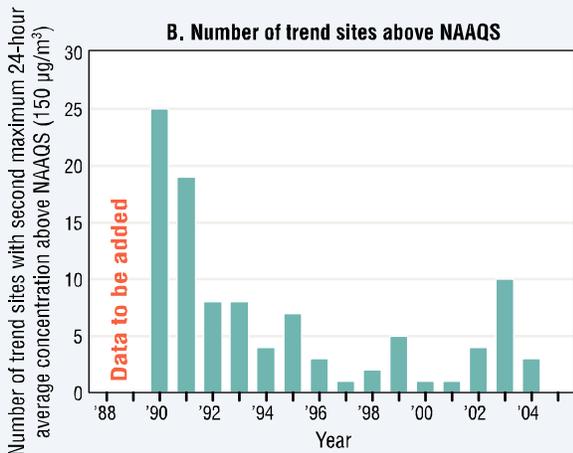
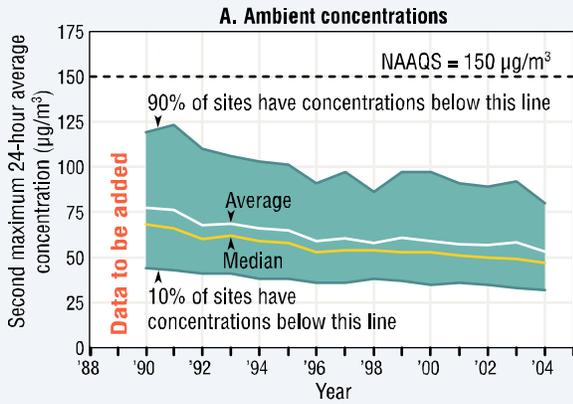
24 Also shown in Exhibit 2-22 (panel A) are the 90<sup>th</sup> and 10<sup>th</sup> percentiles based on the distribution of annual  
25 statistics at the monitoring sites. This provides additional graphical representation of the distribution of  
26 measured concentrations across the monitoring sites for a given year. Thus, the graphic displays the  
27 concentration range where 80 percent of measured values occurred for that year. (Note, this presentation  
28 style also applies to panel A in Exhibits 2-24 and 2-26, discussed below.)

### 29 *PM<sub>2.5</sub> Concentration Trends*

30 Annual average PM<sub>2.5</sub> concentrations in 2004 were the lowest since nationwide monitoring began in 1999  
31 (Exhibit 2-24, panel A). The trend is based on measurements collected at 707 monitoring stations that  
32 have sufficient data to assess trends over that period (U.S. EPA, 2003). The seasonally-weighted annual  
33 average concentrations decreased 14 percent between 1999 and 2004. The number of monitoring sites in  
34 this trend (707 out of 781 total sites that were operating in 2004) reporting violations of the annual  
35 average PM<sub>2.5</sub> standard declined 69 percent over this period (Exhibit 2-24, panel B).

36 Regional declines were greatest in portions of the West (EPA Region 9) and the Southeast (EPA Region  
37 4), where annual average PM<sub>2.5</sub> levels in 2004 were 21 percent and 18 percent lower than those in 1999,  
38 respectively (Exhibit 2-25). However, annual average PM<sub>2.5</sub> levels in the Rocky Mountains (EPA Region  
39 8) were essentially unchanged over the period.

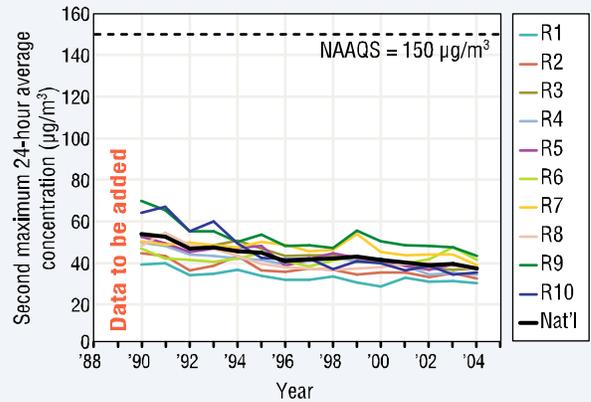
**Exhibit 2-22. Ambient 24-hour PM<sub>10</sub> concentrations in the U.S., 1988-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 489 monitoring sites nationwide (out of a total of 808 sites measuring PM<sub>10</sub> in 2004) that have sufficient data to assess PM<sub>10</sub> trends since 1988.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-23. Ambient 24-hour PM<sub>10</sub> concentrations in the contiguous U.S. by EPA Region, 1988-2004<sup>a</sup>**

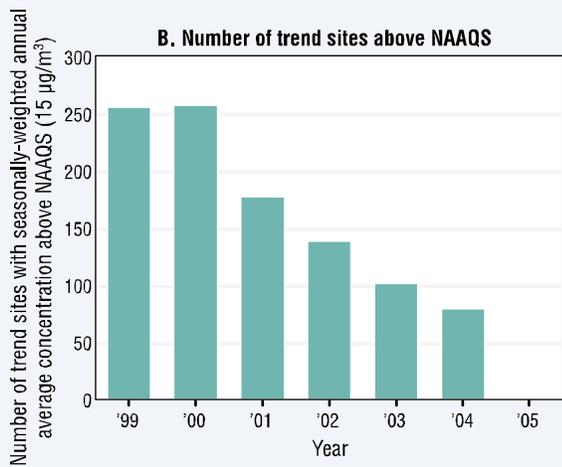
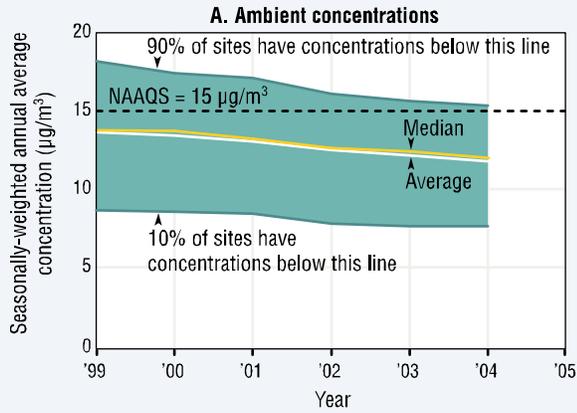


<sup>a</sup>**Coverage:** 477 monitoring sites in the EPA Regions (out of a total of 808 sites measuring PM<sub>10</sub> in 2004) that have sufficient data to assess PM<sub>10</sub> trends since 1988.

**Data source:** U.S. EPA, Air Quality System, 2005



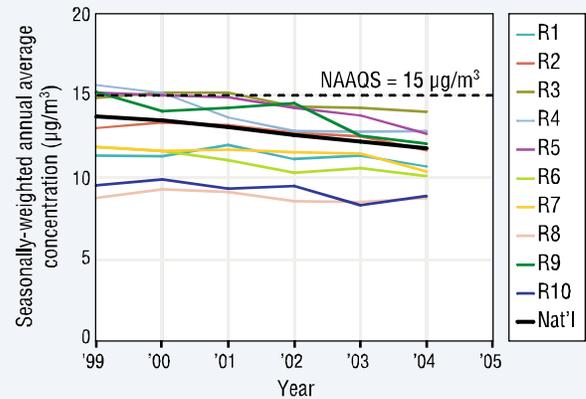
**Exhibit 2-24. Ambient annual PM<sub>2.5</sub> concentrations in the U.S., 1999-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 707 monitoring sites nationwide (out of a total of 781 sites measuring PM<sub>2.5</sub> in 2004) that have sufficient data to assess PM<sub>2.5</sub> trends since 1999.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-25. Ambient annual PM<sub>2.5</sub> concentrations in the contiguous U.S. by EPA Region, 1999-2004<sup>a</sup>**



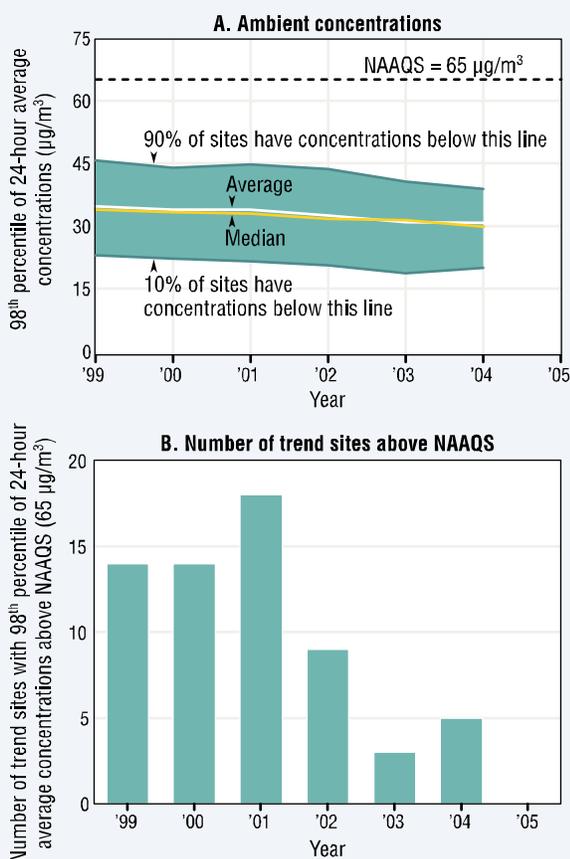
<sup>a</sup>**Coverage:** 691 monitoring sites in the EPA Regions (out of a total of 781 sites measuring PM<sub>2.5</sub> in 2004) that have sufficient data to assess PM<sub>2.5</sub> trends since 1999.

**Data source:** U.S. EPA, Air Quality System, 2005



1 In 2004, the average of 98<sup>th</sup> percentiles of 24-hour PM<sub>2.5</sub> concentrations at the 707 monitoring sites used  
 2 for the trend was 11 percent lower than the average 1999 level (Exhibit 2-26, panel A). The number of  
 3 monitoring sites in this trend (707 out of 781 total sites that were operating in 2004) reporting violations  
 4 of the 24-hour PM<sub>2.5</sub> standard declined 64 percent over this period (Exhibit 2-26, panel B). All EPA  
 5 Regions except Region 8 experienced decreasing 24-hour PM<sub>2.5</sub> levels, and Regions 9 and 4 again showed  
 6 the largest declines (25 percent and 17 percent, respectively) (Exhibit 2-27).

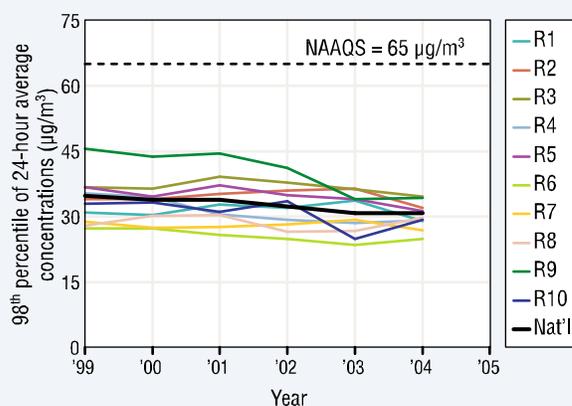
**Exhibit 2-26. Ambient 24-hour PM<sub>2.5</sub> concentrations in the U.S., 1999-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 707 monitoring sites nationwide (out of a total of 781 sites measuring PM<sub>2.5</sub> in 2004) that have sufficient data to assess PM<sub>2.5</sub> trends since 1999.

**Data source:** U.S. EPA, Air Quality System, 2005

**Exhibit 2-27. Ambient 24-hour PM<sub>2.5</sub> concentrations in the contiguous U.S. by EPA Region, 1999-2004<sup>a</sup>**



<sup>a</sup>**Coverage:** 691 monitoring sites in the EPA Regions (out of a total of 781 sites measuring PM<sub>2.5</sub> in 2004) that have sufficient data to assess PM<sub>2.5</sub> trends since 1999.

**Data source:** U.S. EPA, Air Quality System, 2005



1 **Indicator Limitations**

- 2 • Because there are far more PM<sub>10</sub> and PM<sub>2.5</sub> monitors in urban areas than in rural areas, the  
3 trends might not accurately reflect conditions outside the immediate urban monitoring areas.
- 4 • Potential biases may exist for some PM<sub>2.5</sub> ambient concentration measurements due to losses  
5 from volatilization of nitrates and other semi-volatile materials and retention of particle-  
6 bound water associated with hygroscopic species.
- 7 • Due to the relatively small number of monitoring sites in some EPA Regions, the regional  
8 trends are subject to greater uncertainty than the national trends. Some EPA Regions with low  
9 average concentrations may include areas with high local concentrations, and vice versa.
- 10 • To ensure that long-term trends are based on a consistent set of monitoring sites, selection  
11 criteria were applied to identify the subset of PM monitoring sites with sufficient data to  
12 assess trends over the time frames covered by this indicator. Monitoring sites without  
13 sufficient data are not included in the trend analysis. Some excluded monitoring sites reported  
14 PM concentrations above the PM standard during the years covered by this indicator.

15 **Data Sources**

16 Summary data in this indicator were provided by EPA’s Office of Air Quality Planning and Standards,  
17 based on raw PM ambient air monitoring data in EPA’s Air Quality System (AQS) (U.S. EPA, 2006)  
18 (<http://www.epa.gov/ttn/airs/airsaqs/>). National and regional trends in this indicator are based on the  
19 subset of PM monitoring stations that have sufficient data to assess trends over the period of record (i.e.,  
20 since 1990 for PM<sub>10</sub> and since 1999 for PM<sub>2.5</sub>).

21 **References**

- 22 U.S. EPA. 2006. Data from the Air Quality System. Accessed 2006.  
23 <<http://www.epa.gov/ttn/airs/airsaqs/>>
- 24 U.S. EPA. 2004a. The particulate pollution report: current understanding of air quality and emissions  
25 through 2003. EPA 454/R-04/002. Research Triangle Park, NC.
- 26 U.S. EPA. 2004b. Air quality criteria for particulate matter (October 2004). EPA 600/P-99/002aF-bF.  
27 Research Triangle Park, NC.
- 28 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
29 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

30

## INDICATOR: Regional Haze

Regional haze is the term describing visibility impairment that results from fine particles and their precursors emitted by a variety of sources and activities (U.S. EPA, 2004a). Visibility impairment is observed not only in urban areas, but also in many of the best known and most treasured National Parks and Wilderness Areas, such as the Grand Canyon, Great Smoky Mountains, Mount Rainier, Shenandoah, Yellowstone, and Yosemite National Parks (U.S. EPA, 2003). Visibility impairment occurs when air pollution, both particles and gases, scatter and absorb light. Haze not only limits the distance one can see, but also degrades the color, clarity, and contrast of scenes. As the PM Concentrations indicator (p. 2-44) describes further, the same pollutants that impair visibility are also linked to serious health effects.

The particles that impair visibility include both primary and secondary pollutants. The primary pollutants of concern are particles that are emitted directly into the atmosphere, such as dust from roads or soot (elemental carbon) from combustion sources, such as wood combustion. Secondary pollutants of concern are particles that form in the atmosphere from chemical reactions and physical processes, such as sulfates (formed from sulfur dioxide emissions from power plants and other industrial facilities) and nitrates (formed from nitrogen oxides emitted from power plants, automobiles, and other types of combustion sources).

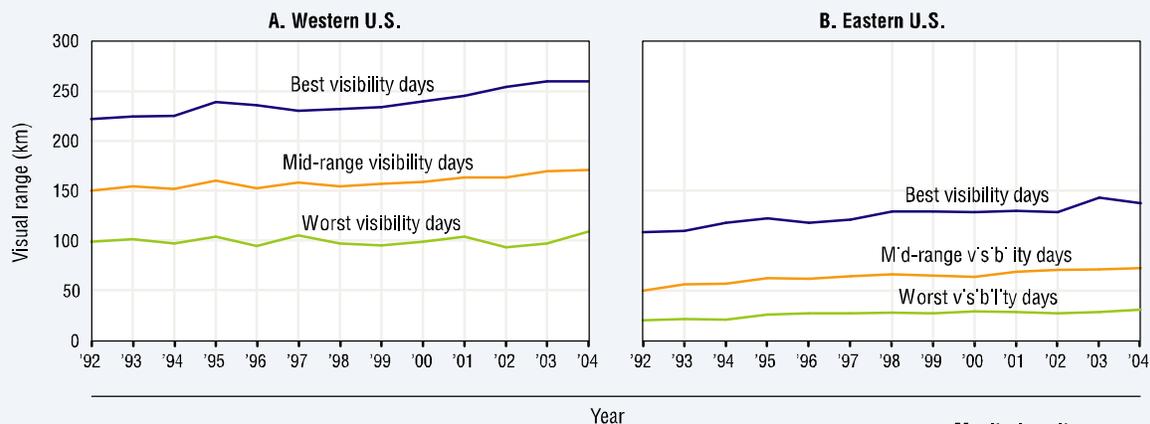
Humidity can increase the effect of pollution on visibility, causing some particles to become more efficient at scattering light and impairing visibility (U.S. EPA, 2003). In the eastern U.S., where annual average relative humidity levels are between 70 percent and 80 percent, reduced visibility mainly results from secondarily formed sulfates and high humidity, along with a somewhat lower contribution from organic carbon and nitrates (U.S. EPA, 2004b). The effect of humidity is particularly strong in summer. Humidity is less of a factor in the West, as average values are generally between 50 percent and 60 percent. In western states, primary emissions from sources like wood smoke and nitrates contribute a large percentage of the total particulate loading, though secondarily formed sulfates also contribute to visibility impairment. Without the effects of anthropogenic sources of pollution, the natural visual range in the U.S. would vary with location, and is estimated to range from 75 to 150 km (45 to 90 miles) in the East and from 200 to 300 km (120 to 180 miles) in the West (U.S. EPA, 2003).

This indicator reports visibility data calculated from measurements of particulate matter constituents collected at 38 monitoring sites between 1992 and 2004 at National Parks, Wilderness Areas, and other protected sites under the Interagency Monitoring of Protected Visual Environments (IMPROVE) network. Data are presented for 10 Eastern (east of 100° W longitude) sites and 28 Western (west of 100° W longitude) sites. Visibility, or visual range, is calculated from the measured levels of different components within airborne particles and these components' light extinction efficiencies. The algorithm includes an adjustment for ammonium sulfate and ammonium nitrate to account for their adsorption of water vapor from the atmosphere under elevated relative humidity conditions. The IMPROVE particle data are generated by laboratory analysis of 24-hour duration filter samples collected at each site on a one-day-in-three schedule. The indicators track visibility in three categories: worst visibility conditions refer to the mean of the 20 percent worst visibility days; best visibility conditions refer to the mean of the 20 percent best visibility days; and mid-range visibility conditions refer to the mean of the remaining 60 percent of days.

### What the Data Show

On average, the best visibility in the East, as calculated from the measured concentrations of components of PM, is only slightly better than the worst visibility in the West (Exhibit 2-28). In 2004, the mean visual

**Exhibit 2-28. Visibility in selected National Parks and Wilderness Areas in the U.S., 1992-2004<sup>a,b</sup>**



<sup>a</sup>**Coverage:** 28 monitoring sites in the western U.S. and 10 monitoring sites in the eastern U.S. with sufficient data to assess visibility trends from 1992 to 2004.

<sup>b</sup>Visual ranges are calculated from the measured levels of different components within airborne particles and these components' light extinction efficiencies.

**Data source:** *Interagency Monitoring of Protected Visual Environments (IMPROVE) network, 2006*



1 range for the worst days in the East was 31 km (19.3 miles), compared to 137 km (85.1 miles) for the best  
 2 visibility days. In the West, the mean visual range extended from 109 km (67.7 miles) on the worst days  
 3 to 260 km (161.6 miles) on the best days. In both regions, the average visual range increased since 1992  
 4 for worst, mid-range, and best visibility days. The increased visual ranges between 1992 and 2004 for  
 5 mid-range visibility days were 46 percent in the East and 14 percent in the West.

## 6 Indicator Limitations

- 7 • These data represent visibility in a sampling of selected National Parks and Wilderness Areas  
 8 and are not representative of other rural or urban areas.

## 9 Data Sources

10 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
 11 based on raw ambient air monitoring data collected as part of the Interagency Monitoring of Protected  
 12 Visual Environments (IMPROVE) network (IMPROVE, 2006)  
 13 ([http://vista.cira.colostate.edu/improve/Data/IMPROVE/summary\\_data.htm](http://vista.cira.colostate.edu/improve/Data/IMPROVE/summary_data.htm)). Visibility trends in this  
 14 indicator are derived from the subset of IMPROVE monitoring stations outside of urban areas that have  
 15 sufficient data to assess trends between 1992 and 2004.

## 16 References

17 IMPROVE. 2006. Data from the Interagency Monitoring of Protected Visual Environments (IMPROVE)  
 18 network. Accessed 2006. <[http://vista.cira.colostate.edu/improve/Data/IMPROVE/summary\\_data.htm](http://vista.cira.colostate.edu/improve/Data/IMPROVE/summary_data.htm)>

- 1 U.S. EPA. 2004a. The particulate pollution report: current understanding of air quality and emissions  
2 through 2003. EPA/454/R-04/002. Research Triangle Park, NC.
- 3 U.S. EPA. 2004b. The ozone report: measuring progress through 2003. EPA/454/K-04/001. Research  
4 Triangle Park, NC.
- 5 U.S. EPA. 2003. Latest findings on national air quality—2002 status and trends. EPA/454/K-03/001.  
6 Research Triangle Park, NC.

## 1 INDICATOR: Sulfur Dioxide Emissions

2 Sulfur dioxide (SO<sub>2</sub>) belongs to the family of sulfur oxide (SO<sub>x</sub>) gases. These gases are formed when fuel  
3 containing sulfur (mainly coal and oil) is burned (e.g., for electricity generation) and during metal  
4 smelting and other industrial processes. High concentrations of SO<sub>2</sub> are associated with multiple health  
5 and environmental effects (U.S. EPA, 2003). The highest concentrations of SO<sub>2</sub> have been recorded in the  
6 vicinity of large industrial facilities. Although relatively few people live in areas where SO<sub>2</sub>  
7 concentrations exceed the National Ambient Air Quality Standards (NAAQS), a much larger number of  
8 people live in PM<sub>2.5</sub> non-attainment areas, and SO<sub>2</sub> is a major precursor to PM<sub>2.5</sub> which also has human  
9 health and ecological effects (the PM Concentrations indicator, p. 2-44).

10 Health effects associated with SO<sub>2</sub> depend on the exposure concentrations and durations, and on the  
11 susceptibility of exposed populations. Asthmatics are much more susceptible to SO<sub>2</sub> exposure than people  
12 who do not have asthma (U.S. EPA, 1986). Effects associated with longer-term exposures to high  
13 concentrations of SO<sub>2</sub>, in conjunction with high levels of PM, include respiratory illness, alterations in the  
14 lungs' defenses, and aggravation of existing heart or lung disease. The most susceptible populations under  
15 these conditions include individuals with cardiovascular disease or chronic lung disease, children, and  
16 older adults (U.S. EPA, 1982).

17 Many other environmental concerns are associated with high concentrations of SO<sub>2</sub>. For example,  
18 airborne SO<sub>2</sub>, along with NO<sub>x</sub>, contribute to acidic deposition (the Acid Deposition indicator, p. 2-56);  
19 SO<sub>2</sub> is a major precursor to PM<sub>2.5</sub> (the PM Concentrations indicator, p. 2-44); and SO<sub>2</sub> contributes to  
20 impaired visibility (the Regional Haze indicator, p. 2-50). SO<sub>2</sub> exposure also can harm vegetation by  
21 increasing foliar injury, decreasing plant growth and yield, and decreasing the number and variety of plant  
22 species in a given community. Finally, SO<sub>2</sub> can accelerate the corrosion of natural and man-made  
23 materials (e.g., concrete and limestone) that are used in buildings, statues, and monuments that are part of  
24 the nation's cultural heritage (U.S. EPA, 1982).

25 This indicator presents SO<sub>2</sub> emissions from traditionally inventoried anthropogenic source categories:  
26 1) "Fuel combustion: selected power generators," which includes emissions from coal, gas, and oil-fired  
27 power plants that are required to use continuous emissions monitors (CEMs) to report emissions as part of  
28 the Acid Rain Program (ARP); 2) "Fuel combustion: other sources," which includes industrial,  
29 commercial, and institutional sources, as well as residential heaters and boilers not required to use CEMs;  
30 3) "Other industrial processes," which include chemical production and petroleum refining; 4) "On-road  
31 vehicles," which includes cars, trucks, buses, and motorcycles; 5) "Nonroad vehicles and engines," such  
32 as farm and construction equipment, lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft, and  
33 others. Since a substantial portion of airborne SO<sub>2</sub> comes from fossil fuel combustion in electric utilities,  
34 this indicator includes the separate "Fuel combustion: selected power generators" category in addition to  
35 the four categories presented in the other emissions indicators.

36 SO<sub>2</sub> emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite of  
37 data from many different data sources, including industry and numerous state, tribal, and local agencies.  
38 Different data sources use different data collection methods, and many of the emissions data are based on  
39 estimates rather than actual measurements. For major electric generating units, most data come from  
40 continuous emissions monitors that measure actual emissions. For other fuel combustion sources and  
41 industrial processes, data are estimated using emission factors. Emissions from on-road and nonroad  
42 sources were estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

1 NEI data have been collected since 1990 and cover all  
 2 50 states and their counties, D.C., the U.S. territories  
 3 of Puerto Rico and Virgin Islands, and some of the  
 4 territories of federally-recognized American Indian  
 5 nations. Data are presented only for 1990 and the years  
 6 from 1996 to 2002; prior to 1996, only the 1990 data  
 7 have been updated to be comparable to the more recent  
 8 inventories.

9 **What the Data Show**

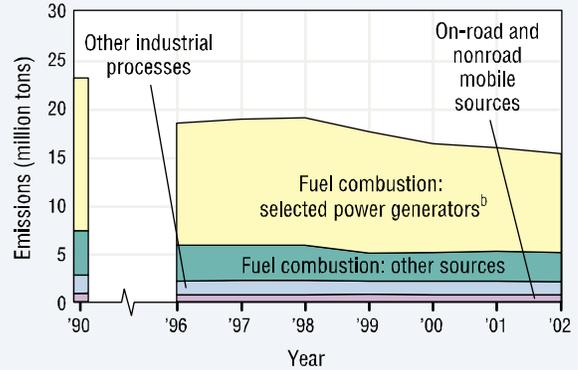
10 National estimated SO<sub>2</sub> emissions decreased 34  
 11 percent between 1990 and 2002 (from 23,060,000 to  
 12 15,260,000 tons) (Exhibit 2-29). This downward trend  
 13 resulted primarily from emissions reductions at  
 14 electrical utilities. Between 1990 and 2002, air  
 15 emissions from electric utilities have consistently  
 16 accounted for roughly two-thirds of the nationwide  
 17 SO<sub>2</sub> emissions.

18 Net SO<sub>2</sub> emissions declined in all EPA Regions  
 19 between 1990 and 2002 except in Region 6 where  
 20 there was a slight increase (Exhibit 2-30). Since 1996,  
 21 the largest percent reductions in SO<sub>2</sub> emissions  
 22 were seen in Regions 9 (33 percent), 10 (30  
 23 percent), 1 (29 percent), and 5 (25 percent), and the  
 24 smallest reductions were observed in Regions 6 (7  
 25 percent) and 3 (10 percent).

26 **Indicator Limitations**

- 27 • Though emissions from most electric  
 28 utilities are measured directly using  
 29 continuous monitoring devices, SO<sub>2</sub>  
 30 emissions data for other source types  
 31 are based on estimates that employ  
 32 emission factors generated from  
 33 empirical and engineering studies.  
 34 Although these estimates are generated  
 35 using well-established approaches, the  
 36 estimates have uncertainties inherent in  
 37 the emission factors and emission  
 38 models used to represent sources for  
 39 which emissions have not been directly  
 40 measured.
- 41 • Comparable SO<sub>2</sub> emissions estimates  
 42 through the NEI are available only for  
 43 1990 and 1996-2002. Data for 1991-  
 44 1995 are not provided due to

**Exhibit 2-29. SO<sub>2</sub> emissions in the U.S. by source category, 1990 and 1996-2002<sup>a</sup>**

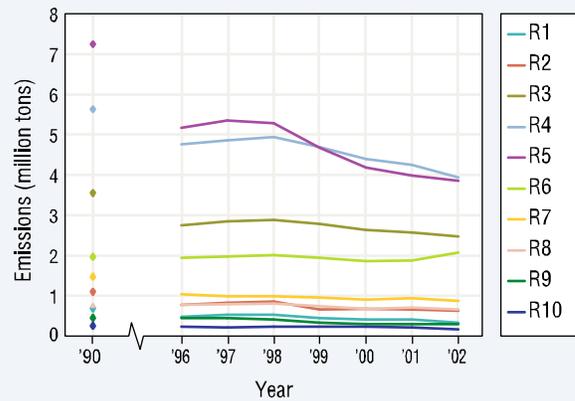


<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.

<sup>b</sup>This category includes emissions from only those power plants required to use continuous emissions monitors under the Acid Rain Program.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

**Exhibit 2-30. SO<sub>2</sub> emissions in the U.S. by EPA Region, 1990 and 1996-2002<sup>a</sup>**



<sup>a</sup>Data are presented for 1990 and 1996-2002, as datasets from these inventory years are fully up-to-date. Data are available for inventory years 1991-1995, but these data have not been updated to allow comparison with data from 1990 and 1996-2002.



**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

1 differences in emission estimation methodologies from other inventory years which could  
2 lead to improper trend assessments.

- 3 • SO<sub>2</sub> emissions from “miscellaneous sources” are not included in the total emissions. Details  
4 on emissions from miscellaneous sources can be found at  
5 <http://www.epa.gov/ttn/chief/einformation.html>.
- 6 • The methodology for estimating emissions is continually reviewed and is subject to revision.  
7 Trend data prior to these revisions must be considered in the context of those changes.
- 8 • Not all states and local agencies provide the same data or level of detail for a given year.

## 9 **Data Sources**

10 Summary data in this indicator were provided by EPA’s Office of Air Quality Planning and Standards,  
11 based on raw SO<sub>2</sub> emissions data in EPA’s National Emissions Inventory (NEI) (U.S. EPA, 2006)  
12 (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by  
13 source category and EPA Region.

## 14 **References**

15 U.S. EPA. 2006. Data from the National Emissions Inventory. Accessed 2006.  
16 <<http://www.epa.gov/ttn/chief/net/2002inventory.html>>

17 U.S. EPA. 2005. Documentation for the final 2002 mobile National Emissions Inventory.  
18 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
19 <[d.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>

20 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.  
21 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

22 U.S. EPA. 1986. Second addendum to the air quality criteria for particulate matter and sulfur oxides  
23 (1982): assessment of newly available health effects information. EPA/450/S-86/012. Research Triangle  
24 Park, NC.

25 U.S. EPA. 1982. Air quality criteria for particulate matter and sulfur oxides. EPA/600/P-82/020a-c.  
26 Research Triangle Park, NC.

## 1 INDICATOR: Acid Deposition

2 Every year, millions of tons of sulfur dioxide and nitrogen oxides are emitted to the atmosphere as a result  
3 of the burning of fossil fuels and from other high temperature sources (the Sulfur Dioxide Emissions  
4 indicator, p. 2-53; the Nitrogen Oxides Emissions indicator, p. 2-23). These gases react with water,  
5 oxygen, and oxidants to form acidic compounds, which may be carried hundreds of miles by the wind—  
6 even across state or national borders. Acid deposition occurs when these compounds fall to the Earth in  
7 one of two forms: wet (dissolved in rain, snow, and fog) or dry (solid and gaseous particles deposited on  
8 surfaces during periods of no precipitation). While wet deposition is the more widely recognized form  
9 (more commonly referred to as “acid rain”), dry deposition can account for 20 percent to 80 percent of  
10 total acid deposition depending on location and climate (MACTEC Engineering and Consulting, 2005). In  
11 the environment, acid deposition causes soils and water bodies to acidify, which can make the water  
12 unsuitable for some fish and other wildlife. Some types of ecosystems, those with less “buffering”  
13 capacity, are more sensitive to acid deposition than others.

14 Scientists often use acid neutralizing capacity (ANC), a measure of the amount of anions, protons, and  
15 non-proton cations in the water, as an indicator of which lakes and streams are most sensitive to  
16 acidification (NAPAP, 1991). Most surface waters in the West do not exhibit many symptoms of  
17 acidification, because relatively small amounts of acid deposition occur in acid-sensitive regions. In the  
18 Northeast and along the Appalachian Mountains, however, relatively high levels of acid deposition occur  
19 in acid-sensitive regions, or regions without enough geochemical buffering capacity to prevent  
20 acidification of surface waters by acid deposition (the Lake and Stream Acidity indicator, p. 2-62).  
21 Therefore, reductions in acid deposition have the largest impact on acidification of lakes and streams in  
22 those areas.

23 Acid deposition damages some trees, particularly at high elevations, and speeds the decay of buildings,  
24 statues, and sculptures that are part of our national heritage (U.S. EPA, 2003). The nitrogen portion of  
25 acid deposition also contributes to eutrophication in coastal ecosystems, the symptoms of which include  
26 potentially toxic algal blooms, fish kills, and loss of plant and animal diversity. Acidification of lakes and  
27 streams can increase the amount of methylmercury available in aquatic systems (Winfrey and Rudd,  
28 1990). Finally, increased levels of sulfate in ground-level air, a phenomenon related to dry deposition, can  
29 contribute to decreased visibility as well as a variety of human health problems (U.S. EPA, 2003).

30 Total acid deposition in this indicator is determined using wet deposition measurements and dry  
31 deposition calculated from ambient air concentration measurements. Wet deposition is measured through  
32 chemical analysis of rainwater collected at sites across the U.S. The primary source of wet deposition  
33 information comes from the National Atmospheric Deposition Program/National Trends Network  
34 (NADP/NTN). The chemical components of wet deposition include sulfate, nitrate, and ammonium. Dry  
35 deposition is not measured directly. EPA’s Clean Air Status and Trends Network (CASTNET) determines  
36 dry deposition inferentially by measuring ambient air concentrations of acidic compounds and then  
37 calculating deposition rates using a multi-layer model that depends on meteorological data collected at the  
38 sites as well as local vegetative conditions (<http://www.epa.gov/castnet/>). Chemicals measured include  
39 components of particulate matter (sulfate (SO<sub>4</sub>) and nitrate (NO<sub>3</sub>)), gaseous nitric acid (HNO<sub>3</sub>), sulfur  
40 dioxide (SO<sub>2</sub>), and ammonium (NH<sub>4</sub>). This indicator uses the three-year average from 1989-1991 as a  
41 baseline, as this period immediately predates controls on sulfur and nitrogen oxide emissions mandated  
42 by the 1990 Clean Air Act Amendments. Use of three-year average data helps ensure that trends reflect  
43 actual changes in acid deposition, instead of shorter-term fluctuations in meteorological conditions.

1 **What the Data Show**

2 Analyses of long-term monitoring data from the National Atmospheric Deposition Program (NADP)  
3 show that *wet deposition* of both sulfur and nitrogen compounds has decreased over the last 15 years  
4 (Exhibits 2-31 and 2-32).

5 Wet sulfate deposition decreased across much of the U.S. during the 1990s (Exhibit 2-31). The greatest  
6 reductions in wet sulfate deposition occurred in the Mid-Appalachian region (Maryland, New York, West  
7 Virginia, Virginia, and most of Pennsylvania) and the Ohio River Valley. Less dramatic reductions were  
8 observed across much of New England and portions of the Southern Appalachians. Average regional  
9 decreases in wet deposition of sulfate between the periods 1989-1991 (panel A) and 2002-2004 (panel B)  
10 were approximately 36 percent in the Northeast, 32 percent in the Midwest, 24 percent in the Mid-  
11 Atlantic and 19 percent in the Southeast.

12 Wet nitrate deposition decreased approximately 16 percent across the Northeast and 8 percent in the Mid-  
13 Atlantic between the periods 1989-1991 (Exhibit 2-32, panel A) and 2002-2004 (panel B). Wet deposition  
14 of inorganic nitrogen has not changed substantially in the rest of the country over this period.

15 As with wet deposition, *total deposition* (the sum of wet and dry deposition) decreased between 1989-  
16 1991 and 2002-2004, and reductions were more substantial for sulfur compounds than for nitrogen  
17 compounds (Exhibits 2-33 and 2-34). Note that total nitrogen deposition in this indicator does not include  
18 nitrogen components, such as ammonia, which can be a significant portion of the dry deposition.

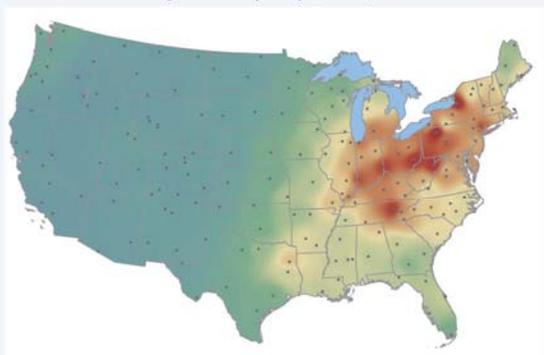
19

1

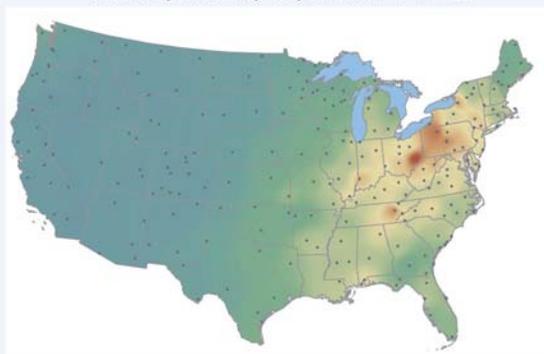
2

**Exhibit 2-31.** Wet sulfate ( $\text{SO}_4^{2-}$ ) deposition in the contiguous U.S., 1989-1991 and 2002-2004<sup>a</sup>

**A.** Average wet  $\text{SO}_4^{2-}$  deposition, 1989-1991

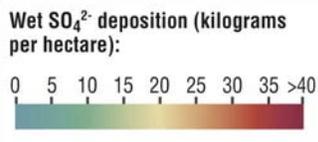


**B.** Average wet  $\text{SO}_4^{2-}$  deposition, 2002-2004



<sup>a</sup>**Coverage:** 184 monitoring sites in 1989-1991 and 235 monitoring sites in 2002-2004.

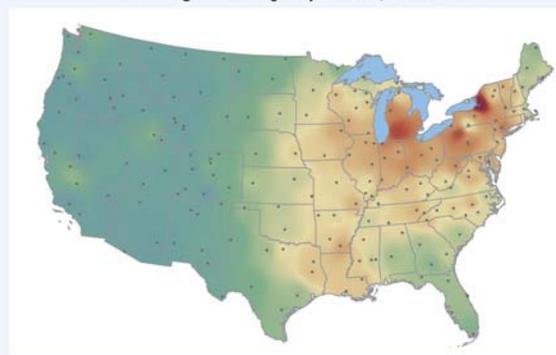
**Data source:** National Atmospheric Deposition Program (NADP), 2005



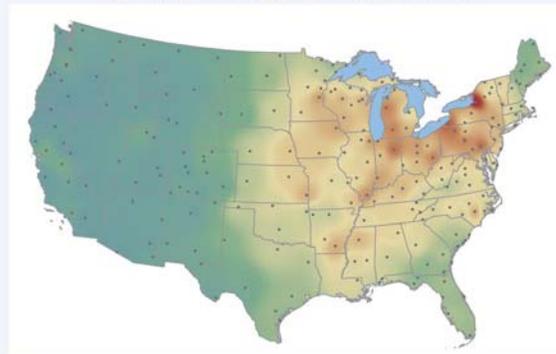
• Monitoring site

**Exhibit 2-32.** Wet nitrate ( $\text{NO}_3^-$ ) deposition in the contiguous U.S., 1989-1991 and 2002-2004<sup>a</sup>

**A.** Average wet  $\text{NO}_3^-$  deposition, 1989-1991

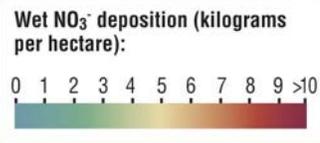


**B.** Average wet  $\text{NO}_3^-$  deposition, 2002-2004



<sup>a</sup>**Coverage:** 184 monitoring sites in 1989-1991 and 235 monitoring sites in 2002-2004.

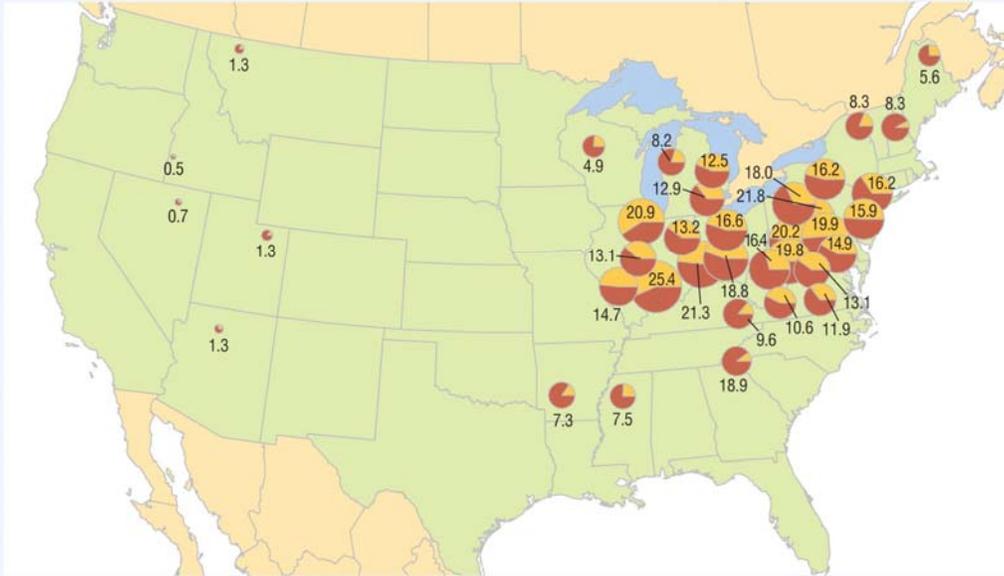
**Data source:** National Atmospheric Deposition Program (NADP), 2005



• Monitoring site

**Exhibit 2-33. Total sulfur deposition in the contiguous U.S., 1989-1991 and 2002-2004<sup>a</sup>**

**A. Average total sulfur deposition, 1989-1991**

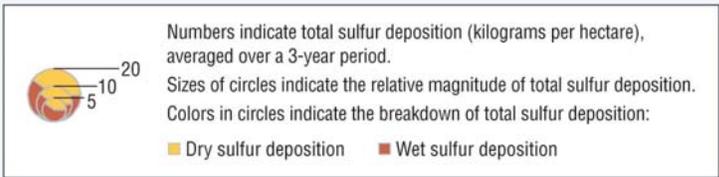


**B. Average total sulfur deposition, 2002-2004**



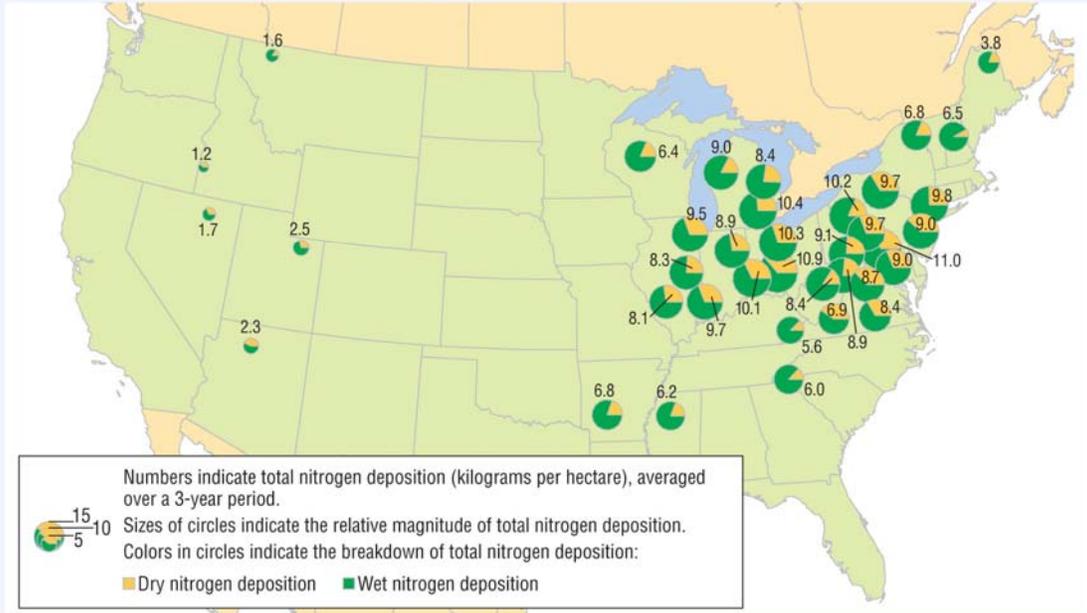
<sup>a</sup>**Coverage:** 37 monitoring sites in 1989-1991 and 70 monitoring sites in 2002-2004.

**Data source:** National Atmospheric Deposition Program (NADP), 2005, and U.S. EPA, Clean Air Status and Trends Network (CASTNet), 2005

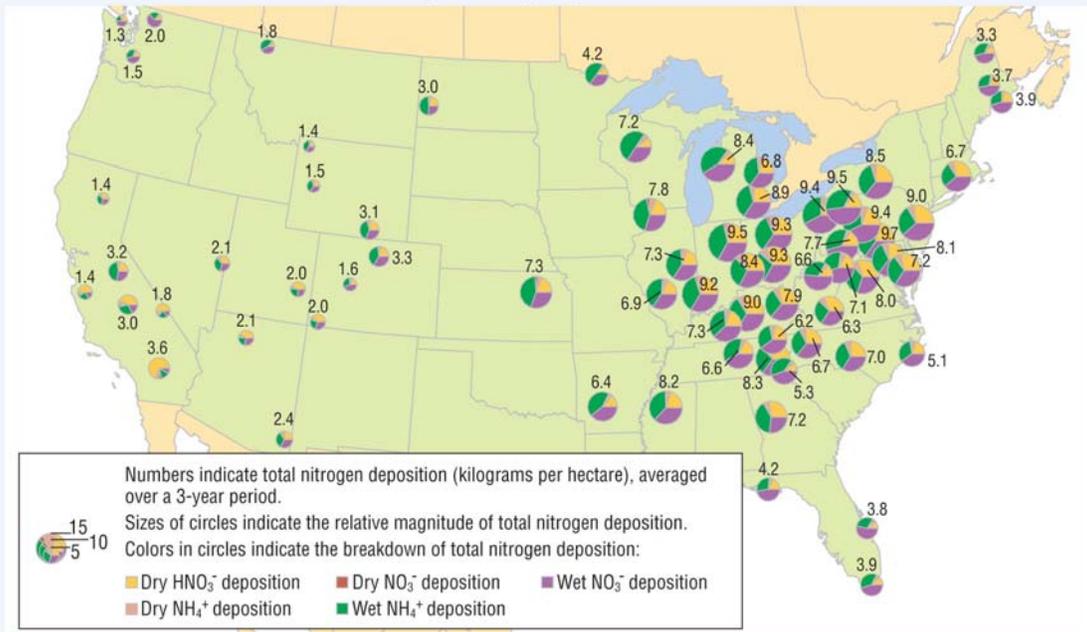


**Exhibit 2-34. Total nitrogen deposition in the contiguous U.S., 1989-1991 and 2002-2004<sup>3</sup>**

**A. Average total nitrogen deposition, 1989-1991**



**B. Average total nitrogen deposition, 2002-2004**



<sup>3</sup>Coverage: 37 monitoring sites in 1989-1991 and 70 monitoring sites in 2002-2004.

Data source: National Atmospheric Deposition Program (NADP), 2005, and U.S. EPA, Clean Air Status and Trends Network (CASTNet), 2005

1 **Indicator Limitations**

- 2           • Geographic coverage is limited, particularly for dry deposition (and thus total deposition as  
3 well), but the concentration of sites in the Midwest and Northeast is justified by the fact that  
4 acid rain is much more of a problem in those regions than it is in the West, Great Plains, or  
5 Southeast.
- 6           • Measurement techniques for dry deposition have improved substantially, but characterization  
7 of dry deposition still requires a combination of measurements and modeling, which has  
8 inherent uncertainties. Further, dry deposition presented in this indicator does not include  
9 contributions from deposition of gaseous ammonia.

10 **Data Sources**

11 Summary data in this indicator were provided by EPA's Office of Atmospheric Programs, based on raw  
12 deposition data from two sources. Raw wet deposition data are from the National Atmospheric Deposition  
13 Program/National Trends Network (NADP, 2006) (<http://nadp.sws.uiuc.edu/>), and raw dry deposition  
14 data are from the Clean Air Status and Trends Network (U.S. EPA, 2006) (<http://www.epa.gov/castnet>).  
15 This indicator aggregates raw data across 3-year periods to avoid influences from short-term fluctuations  
16 in meteorological conditions, and wet deposition data were interpolated among monitoring stations to  
17 generate the maps shown in Exhibits 2-31 and 2-32.

18 **References**

19 MACTEC Engineering and Consulting, Inc. 2005. Clean Air Status and Trends Network (CASTNET):  
20 2004 annual report. Prepared for U.S. EPA, Office of Air and Radiation.

21 NADP. 2006. Data from the National Atmospheric Deposition Program/National Trends Network.  
22 Accessed 2006. <<http://nadp.sws.uiuc.edu>>

23 NAPAP (National Acid Precipitation Assessment Program). 1991. 1990 integrated assessment report.  
24 Washington, DC.

25 U.S. EPA. 2003. Latest findings on national air quality: 2002 status and trends. EPA/454/K-03/001.  
26 Research Triangle Park, NC. <[http://www.epa.gov/air/airtrends/aqtrnd02/2002\\_airtrends\\_final.pdf](http://www.epa.gov/air/airtrends/aqtrnd02/2002_airtrends_final.pdf)>

27 U.S. EPA. 2006. Data from the Clean Air Status and Trends Network. Accessed 2006.  
28 <<http://www.epa.gov/castnet/>>

29 Winfrey, M.R., and J.W.M. Rudd. 1990. Environmental factors affecting the formation of methyl mercury  
30 in low pH lakes. Environ. Toxicol. Chem. 9(7):853-869.

## INDICATOR: Lake and Stream Acidity

Acid deposition can have serious effects on aquatic ecosystems. For example, aquatic organisms in acidified waters can develop calcium deficiencies that weaken bones and exoskeletons and cause eggs to be weak or brittle. Acidified waters can impair the ability of fish gills to extract oxygen from water and change the mobility of certain trace metals (e.g., aluminum, cadmium, manganese, iron, arsenic, mercury), which in turn can place fish and other species sensitive to these metals at risk (NAPAP, 1991). The Acid Deposition indicator (p. 2-56) explains the factors that contribute to acid deposition and describes how acid deposition patterns have changed over the last 15 years.

The susceptibility of a water body to acidification depends on the ability of the water and watershed soils to neutralize the acid deposition it receives. The best measure of this ability is acid neutralizing capacity (ANC), which characterizes the amount of dissolved compounds that will counteract acidity. All bodies of water have a measurable acid neutralizing capacity. ANC depends largely on the surrounding watershed's physical characteristics, such as geology, soils, and size. The ANC of a body of water reflects the relative proportions of positive and negative ions entering the water from sources such as atmospheric inputs and the soil and bedrock surrounding and underlying the water body. The higher the ANC, the more acid a water body can neutralize and the less susceptible it is to acidification. As ANC approaches zero, the ability to neutralize acidity decreases. Surface water with an ANC greater than 200 micro equivalents per liter ( $\mu\text{eq/L}$ ) is usually considered non-acidic; surface water with an ANC less than 50  $\mu\text{eq/L}$  is considered highly sensitive to acidification (is often seasonally acidic); and surface water with an ANC less than 0  $\mu\text{eq/L}$  is considered chronically acidic, meaning the watershed no longer has the capacity to neutralize further acid deposition (U.S. EPA, 2003). ANC can be negative when anions exceed non-proton cations (i.e., when there are free protons [ $\text{H}^+$  ions] in solution).

The National Acid Precipitation Assessment Program identified several regions in the U.S. as containing many of the surface waters sensitive to acidification (Exhibit 2-35). Where soil buffering capacity is poor, lakes and streams may be vulnerable to acidification (NAPAP, 1991).

This indicator is derived from ANC measurements on probability survey samples representing 5,617 lakes and 72,000 stream miles in the five geographic regions shown in Exhibit 2-36. These measurements were collected as part of the Temporally Integrated Monitoring of Ecosystems (TIME) project and on 120 additional acid-sensitive lakes and 78 acid-sensitive streams in the Long-Term Monitoring (LTM) project, for which data were available between 1990 and 2000 (U.S. EPA, 2003). The lakes sampled include only those in areas potentially sensitive to acidification with areas greater than 4 hectares. Smaller lakes generally are not used in this type of assessment because they are more likely to be naturally acidic, although acid deposition can cause them to become further acidified. This indicator focuses only on the northeastern U.S.; because monitoring

**Exhibit 2-35.** Areas with acid-sensitive waters in the contiguous U.S.



*Data source: National Acid Precipitation Assessment Program (NAPAP), 1991*

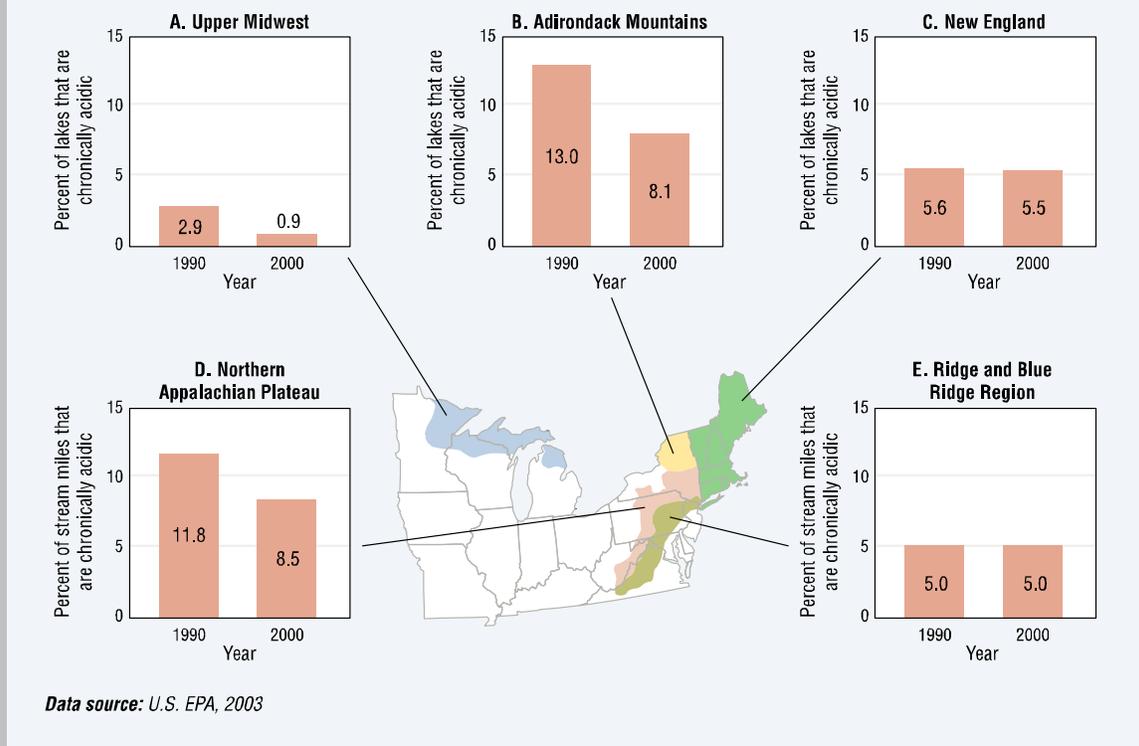
1 is not ongoing for western and southeastern water bodies, trend data for those parts of the country are not  
2 available.

### 3 What the Data Show

4 Between 1990 and 2000, ANC in lakes in the Upper Midwest (northeastern Minnesota, northern  
5 Wisconsin, and northern Michigan), in lakes in the Adirondack Mountains, and in streams in the Northern  
6 Appalachians (southern New York, west-central Pennsylvania, and eastern West Virginia) increased to a  
7 degree where many water bodies that were considered “chronically acidic” in 1990 were no longer  
8 classified as such in 2000 (Exhibit 2-36, panels A, B, and D). Specifically, between 1990 and 2000, the  
9 percent of chronically acidic water bodies decreased in the Adirondack Mountains (from 13.0 percent to  
10 8.1 percent), in the Upper Midwest (from 2.9 percent to 0.9 percent), and in the Northern Appalachian  
11 Plateau (from 11.8 percent to 8.5 percent). This trend suggests that surface waters in these areas are  
12 beginning to recover from acidification, though acidic surface waters are still found in these regions.

13 The trend of increasing ANC in the Adirondack Mountains, the Upper Midwest, and the Northern  
14 Appalachian Plateau during the 1990s corresponds with a decrease in acid deposition in each of these  
15 regions (the Acid Deposition indicator, p. 2-56) and reduced air emissions of the main precursors to acid  
16 deposition, which are sulfur dioxide (the Sulfur Dioxide Emissions indicator, p. 2-53) and nitrogen oxides  
17 (the Nitrogen Oxides Emissions indicator, p. 2-23).

**Exhibit 2-36.** Lake and stream acidity in selected acid-sensitive regions in the U.S., 1990 and 2000



1 The ANC in lakes in New England and streams in the Ridge and Blue Ridge Region (east-central  
2 Pennsylvania, western Maryland, and western Virginia) have not risen from their 1990 levels (Exhibit 2-  
3 36, panels C and E). Therefore, the number of water bodies classified as “chronically acidic” in these  
4 regions remained essentially unchanged between 1990 and 2000.

## 5 **Indicator Limitations**

- 6 • ANC sampling is limited to five regions, concentrated in the Northeast. There is no coverage  
7 in the Southeast, West, or much of the Midwest. These regions were chosen for sampling  
8 because previous research has shown that they are among the most sensitive to acid  
9 deposition due to the soils and other watershed characteristics. In addition, as the Acid  
10 Deposition indicator (p. 2-56) shows, many of these regions receive the highest rates of acid  
11 deposition in the U.S. For these two reasons the waters sampled are likely to be at the greatest  
12 risk of becoming acidified.
- 13 • Interpreting trends for this indicator is complicated because multiple factors contribute to  
14 changes in ANC levels. For example, in areas where watershed soil characteristics are  
15 changing (e.g., decreases in concentrations of base cations in the soil), even dramatic  
16 reductions in acid deposition will not necessarily result in large rebounds in ANC levels.

## 17 **Data Sources**

18 Summary data in this indicator were provided by EPA’s Office of Atmospheric Programs and are taken  
19 from a publication documenting how surface waters have responded to reduced air emissions of acid rain  
20 precursors (U.S. EPA, 2003). Trends are based on data collected in two networks: the Temporally  
21 Integrated Monitoring of Ecosystems (TIME) project and the Long-Term Monitoring project. Because  
22 both networks are operated by numerous collaborators in state agencies, academic institutions, and other  
23 federal agencies, the raw monitoring data are not available in a single publication or database. The trend  
24 data in this indicator are based on observations documented in several publications (see pages 15-17 of  
25 U.S. EPA, 2003).

## 26 **References**

- 27 NAPAP (National Acid Precipitation Assessment Program). 1991. Acid deposition: state of science and  
28 technology, volume II, aquatic processes and effects. Washington, DC.
- 29 U.S. EPA. 2003. Response of surface water chemistry to the Clean Air Act Amendments of 1990.  
30 EPA/620/R-03/001. Research Triangle Park, NC.

## 1 INDICATOR: Percent of Days with Air Quality Index Values Greater Than 100

2 The Air Quality Index (AQI) provides information on pollutant concentrations of ground level ozone,  
3 particulate matter, carbon monoxide, sulfur dioxide, and nitrogen dioxide. Formerly known as the  
4 Pollutant Standard Index (PSI), the nationally uniform AQI is used by state and local agencies for  
5 reporting daily air quality and air quality related health advisories to the public.

6 In 1999, the AQI was updated to reflect the latest science on air pollution health effects and to make it  
7 more appropriate for use in contemporary news media (U.S. EPA, 2003a). It also serves as a basis for  
8 community-based programs that encourage the public to take action to reduce air pollution on days when  
9 levels are projected to be of concern. The index has been adopted by many other countries (e.g., Mexico,  
10 Singapore, and Taiwan) to provide the public with information on air quality.

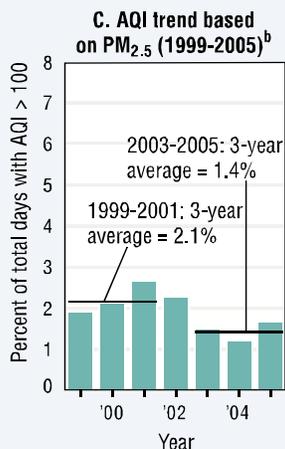
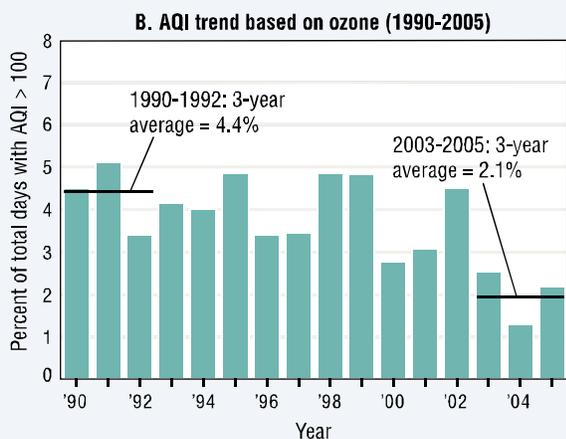
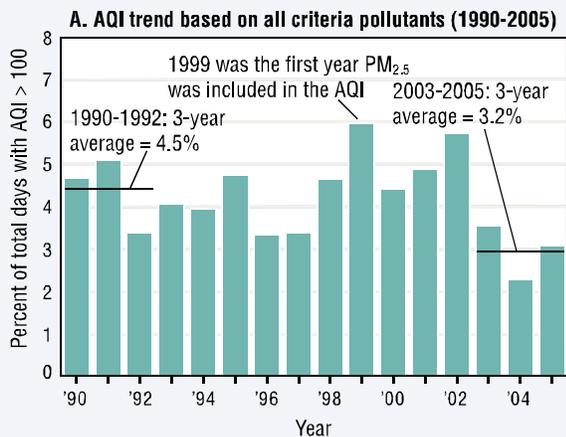
11 The AQI is based on pollutant concentration data measured by the State and Local Air Monitoring  
12 Stations (SLAMS). The AQI is monitored in city groupings known as metropolitan statistical areas  
13 (MSAs) which are defined by the Office of Management and Budget. For most pollutants in the index, the  
14 concentration is converted into index values between 0 and 500, “normalized” so that an index value of  
15 100 represents the short term, health-based standard for that pollutant as established by EPA (U.S. EPA,  
16 1999). The higher the index value, the greater the level of air pollution and health risk. An index value of  
17 500 reflects a risk of imminent and substantial endangerment to public health. The level of the pollutant  
18 with the highest index value is reported as the AQI level for that day. An AQI value greater than 100  
19 means that at least one criteria pollutant has reached levels at which people in sensitive groups may  
20 experience health effects. A complete description of how AQI values are calculated and what they  
21 represent is documented in many publications (e.g., U.S. EPA, 2003b).

22 This indicator is based on the percent of days across 93 large MSAs (500,000 people or more) during the  
23 year that recorded an AQI greater than 100 at one or more monitoring sites in the MSA. While the AQI  
24 indicator is calculated from ambient concentration data for criteria pollutants, this indicator’s trends  
25 should not be expected to mirror the trends in the other ambient concentration indicators, due to the  
26 differing spatial coverage of monitoring stations across the various indicators. The percent of days with  
27 AQI greater than 100 was calculated in two steps: (1) For each year, the total number of days with  
28 AQI>100 in each of the 93 MSAs was summed in order to get a national total. (2) The national total was  
29 then divided by the total number of days in the annual sample (365 x 93) to obtain the percentage of days  
30 with AQI>100 in a year. Data are presented from 1990 to 2005. However, because meteorology can  
31 strongly influence AQI values in a given year, the change in AQI over time is evaluated by comparing the  
32 3-year average observation at the beginning of the period of record (i.e., 1990-1992) to the 3-year average  
33 at the end (i.e., 2003-2005). Comparing 3-year averages reduces the potential for biases introduced by  
34 years with unique meteorological conditions. The air quality data that go into the index consist of daily  
35 (24-hour) measurements for PM<sub>10</sub> and PM<sub>2.5</sub> and continuous (1-hour) measurements for CO, NO<sub>2</sub>, ozone,  
36 and SO<sub>2</sub>. However, of these pollutants, only four (CO, ozone, PM, and SO<sub>2</sub>) usually exhibit AQI values  
37 greater than 100. The data come from a subset of ambient monitoring sites that meet the trends  
38 requirements in Appendix B of the 2003 National Air Quality and Emissions Trends Report (U.S. EPA,  
39 2003a).

### 40 What the Data Show

41 The percent of days with AQI greater than 100 in 93 large MSAs based on all criteria pollutants decreased  
42 from 4.5 percent over the 1990-1992 time frame to 3.2 percent over the 2003-2005 time frame (Exhibit 2-  
43 37, panel A). The AQI data based on all criteria pollutants are not directly comparable over this time

**Exhibit 2-37.** Percent of days with Air Quality Index (AQI) greater than 100 in selected U.S. metropolitan areas, 1990-2005<sup>a</sup>



<sup>a</sup>Coverage: 93 metropolitan areas.

<sup>b</sup>Data for 1990-1998 are not shown because 1999 was the first year that PM<sub>2.5</sub> was included in the AQI.

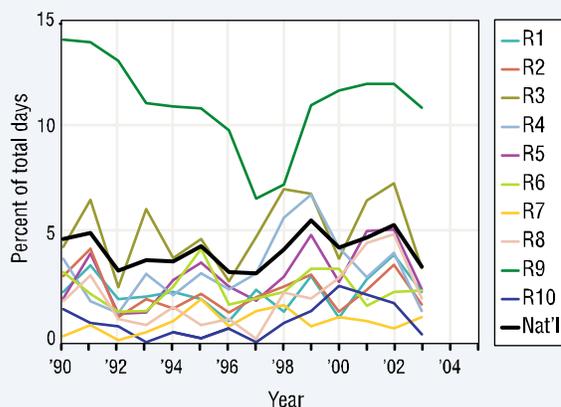
Data source: U.S. EPA, Air Quality System, 2006

frame, because PM<sub>2.5</sub> measurements started to factor into the index in 1999. For this reason, the indicator also presents AQI trends based strictly on ozone and PM<sub>2.5</sub> measurements.

For the same subset of MSAs and time frame, the percent of days with AQI values greater than 100 decreased from 4.4 percent to 2.1 percent (Exhibit 2-37, panel B). Before PM<sub>2.5</sub> became part of the index in 1999, ozone typically accounted for more than 90 percent of the days with AQI greater than 100.

Between 1999 and 2005, PM<sub>2.5</sub> accounted for some of the days with AQI greater than 100. From 1999 to 2001, 2.1 percent of days with AQI greater than 100 occurred due to PM<sub>2.5</sub> concentrations, with this contribution decreasing to 1.4 percent from 2003 to 2005.

**Exhibit 2-38.** Percent of days with Air Quality Index (AQI) greater than 100 in selected U.S. metropolitan areas by EPA Region, 1990-2003<sup>a,b</sup>



<sup>a</sup>Coverage: 93 metropolitan areas.

<sup>b</sup>1999 was the first year that PM<sub>2.5</sub> was included in the AQI.

Data source: U.S. EPA, Air Quality System, 2005



1 Trends in AQI between 1990 and 2003 varied across the ten EPA Regions (Exhibit 2-38). In EPA  
2 Regions 2, 6, and 9, the percent of total days with AQI greater than 100 averaged over 2001-2003 was  
3 lower than the percent of total days with AQI greater than 100 averaged over the 1990-1992 time frame.  
4 In the other seven EPA Regions, the percent of total days with AQI greater than 100 increased between  
5 these two time frames. However, as noted above, the AQI values for 1990-1992 and 2001-2003 are not  
6 directly comparable, because PM<sub>2.5</sub> measurements did not factor into AQI prior to 1999.

## 7 **Indicator Limitations**

- 8 • The AQI does not address hazardous air pollutants (HAPs).
- 9 • Air quality may vary across a single MSA. In assigning a single number for each pollutant in  
10 each MSA, the AQI does not reflect this potential variation.
- 11 • The data for this indicator are limited to MSAs comprising urban and suburban areas with  
12 populations greater than 500,000. Thus, this indicator does not reflect MSAs smaller than  
13 500,000 or rural areas.
- 14 • The AQI does not show which pollutants are causing the days with an AQI of more than 100,  
15 or distinguish between days >100 and days with much higher AQIs.
- 16 • This composite AQI indicator does not show which specific MSAs, or how many MSAs,  
17 have problems—a specific number of days could reflect a few areas with persistent problems  
18 or many areas with occasional problems.

## 19 **Data Sources**

20 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
21 based on AQI values computed from raw ambient air monitoring data for criteria pollutants found in  
22 EPA's Air Quality System (U.S. EPA, 2006). Spreadsheets with the processed AQI data for the 93 MSAs  
23 considered in this indicator are publicly available (<http://epa.gov/air/airtrends/factbook.html>). This  
24 indicator aggregates the processed AQI data nationally and by EPA Region.

## 25 **References**

- 26 U.S. EPA. 2006. Data from the Air Quality System. Accessed 2006.  
27 <<http://www.epa.gov/ttn/airs/airsaqs/>>
- 28 U.S. EPA. 2003a. National air quality and emissions trends report—2003 special studies edition.  
29 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>
- 30 U.S. EPA. 2003b. Air Quality Index: a guide to air quality and your health. EPA-454/K-03-002.  
31 <[http://www.epa.gov/airnow//aqibroch/AQI\\_2003\\_9-3.pdf](http://www.epa.gov/airnow//aqibroch/AQI_2003_9-3.pdf)>
- 32 U.S. EPA. 1999. Air quality index reporting, 40 CFR part 58.  
33 <[http://www.epa.gov/ttn/oarpg/t1/fr\\_notices/airqual.pdf](http://www.epa.gov/ttn/oarpg/t1/fr_notices/airqual.pdf)>

## 1 INDICATOR: Mercury Emissions

2 Mercury is an element that occurs naturally in the environment. However, many industrial processes, such  
3 as coal combustion, medical and hazardous waste incineration, municipal waste combustion, gold mining,  
4 and certain chemical manufacturing operations have increased the amount of mercury released to the air.  
5 What happens to mercury after it is emitted depends on several factors: the form of mercury emitted, the  
6 location of the emission sources, how high above the landscape the mercury is released (e.g., the height of  
7 the stack), the surrounding terrain, and the weather. Depending on these factors, atmospheric mercury can  
8 be transported over a range of distances before it is deposited, potentially resulting in deposition on a  
9 local, regional, continental, or global scale. While some domestic anthropogenic mercury emissions are  
10 deposited within the contiguous U.S., the majority of such emissions combine with anthropogenic  
11 emissions from other countries and natural emissions worldwide to form a pool of mercury that circulates  
12 globally (Seigneur et al., 2004; U.S. EPA, 1996).

13 Because it does not degrade in the environment, most mercury emitted to the atmosphere eventually  
14 deposits onto land or water bodies. Through a series of chemical transformations and environmental  
15 transport processes, airborne mercury that deposits to the Earth's surface can eventually accumulate in the  
16 food web (the Lake Fish Tissue indicator, p. 3-107), most profoundly in those species near the top of the  
17 food web (e.g., shark, swordfish). The Blood Mercury indicator (p. 5-79) describes the human health  
18 effects associated with mercury exposure.

19 This indicator presents mercury emissions from the following categories: 1) "Industrial processes: gold  
20 mining"; 2) "Industrial processes: hazardous waste incineration"; 3) "Industrial processes: electric arc  
21 furnaces"; 4) "Industrial processes: chlorine production"; 5) "Industrial processes: medical waste  
22 combustors"; 6) "Industrial processes: municipal waste combustors"; 7) "Industrial processes: other  
23 sources," which includes chemical production and other miscellaneous industrial processes; 8) "Fuel  
24 combustion: industrial, commercial, and institutional boilers"; and 9) "Fuel combustion: utility coal  
25 boilers." In order to better characterize mercury emissions, this indicator presents different source  
26 categories than other emissions indicators in the Report on the Environment, including separate categories  
27 for utility coal boilers and various industrial processes that release mercury (e.g., medical waste  
28 incineration, municipal waste combustion, hazardous waste incineration, gold mining).

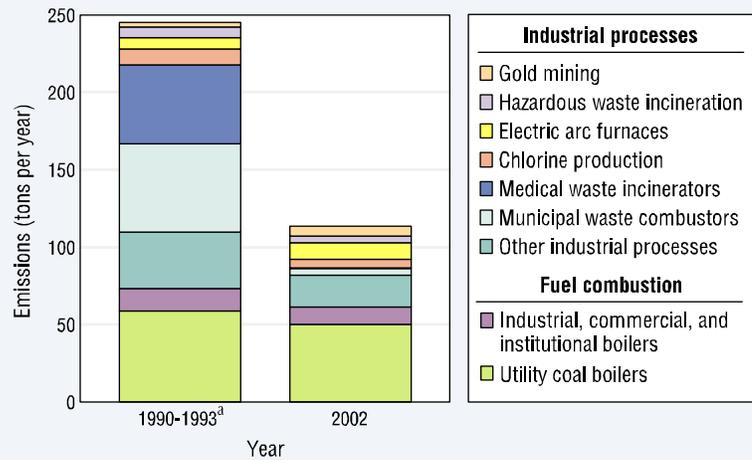
29 Mercury emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite  
30 of data from many different data sources, including industry and numerous state, tribal, and local  
31 agencies. Different data sources use different data collection methods, and many of the emissions data are  
32 based on estimates rather than actual measurements. For most fuel combustion sources and industrial  
33 processes emissions are estimated using emission factors.

34 NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S.  
35 territories of Puerto Rico and Virgin Islands, and some of the territories of federally-recognized American  
36 Indian nations. Data are presented for the baseline year (1990-1993) and the latest period for which data  
37 are available (2002). The baseline period 1990 to 1993 represents a mix of years depending on data  
38 availability for various source types. While NEI data for air toxics (including mercury) were also  
39 compiled for 1996 and 1999, the methodology used in those years for air toxics differs considerably from  
40 the methodology used in 1990-1993 and 2002 and therefore cannot be compared directly to those data.

1 **What the Data Show**

2 Between 1990-1993 and 2002, annual  
3 nationwide air emissions of mercury  
4 decreased from 245 tons per year to  
5 114 tons per year, a decrease of 54  
6 percent (Exhibit 2-39). The decline in  
7 mercury emissions is attributed  
8 primarily to decreased emissions from  
9 medical waste incinerators and  
10 municipal waste combustors. In 2002,  
11 coal-burning power plants were the  
12 largest anthropogenic source of  
13 mercury emissions to the air in the  
14 U.S., accounting for 44 percent of all  
15 domestic anthropogenic mercury  
16 emissions.

**Exhibit 2-39.** Mercury emissions in the U.S. by source category, 1990-1993 and 2002



<sup>3</sup>1990-1993 is considered the baseline period for mercury emissions. The baseline period spans multiple years due to the availability of emissions data for various source categories. The data presented for the baseline period are annual emissions (tons per year) and are therefore comparable to the 2002 data.

**Data source:** U.S. EPA, *National Emissions Inventory (NEI)*, 2005

17 **Indicator Limitations**

- 18 • The emissions data in this  
19 indicator are primarily  
20 based on estimates, not  
21 direct measurements. Although these estimates have inherent uncertainties, the data have  
22 been generated using well-established estimation methods.
- 23 • The trend shown is based on nationwide aggregate data. Regional and state trends may be  
24 different.
- 25 • Not all states and local agencies provide the same data or level of detail for a given year.

26 **Data Sources**

27 Summary data in this indicator were provided by EPA’s Office of Air Quality Planning and Standards,  
28 based on raw mercury emissions data in EPA’s National Emissions Inventory (NEI) (U.S. EPA, 2006)  
29 (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by  
30 source category.

31 **References**

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33 attribution for mercury deposition in the United States. *Environ. Sci. Technol.* 38:555-569.
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37 DC. <<http://www.epa.gov/mercury/report.htm>>

## 1 INDICATOR: Air Toxics Emissions

2 Toxic air pollutants, also known as air toxics or hazardous air pollutants (HAPs), are those pollutants that  
3 are known or suspected to cause cancer or are associated with other serious health (e.g., reproductive  
4 problems or birth defects) or ecological effects. Examples of air toxics include benzene, found in  
5 gasoline; perchloroethylene, emitted from some dry cleaning facilities; and methylene chloride, used as a  
6 solvent by a number of industries. Most air toxics originate from anthropogenic sources, including mobile  
7 sources (e.g., cars, trucks, construction equipment), stationary sources (e.g., factories, refineries, power  
8 plants), and indoor sources (e.g., building materials, cleaning solvents). Some air toxics are also released  
9 from natural sources such as volcanic eruptions and forest fires. Secondary formation of certain air toxics,  
10 such as acetaldehyde and formaldehyde, can also occur when precursor chemicals react in the  
11 atmosphere. The Clean Air Act identifies 188 air toxics associated with industrial sources. Twenty of  
12 these air toxics also are associated with mobile sources (U.S. EPA, 2003).

13 People who inhale certain air toxics at sufficient concentrations may experience various health effects,  
14 including cancer, damage to the immune system, and neurological, reproductive (e.g., reduced fertility),  
15 developmental, or respiratory health problems (CDC, 2005). Air toxics also can present risks through  
16 other exposure pathways. For example, air toxics may deposit onto soils or surface waters, where they can  
17 then enter the food web and may eventually be ingested by humans. Plants and animals also may be  
18 harmed by exposures to air toxics (U.S. EPA, 2003).

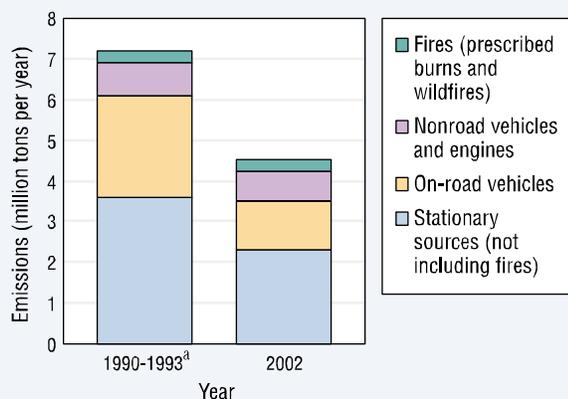
19 Air toxics emissions data are tracked by the National Emissions Inventory (NEI). The NEI is a composite  
20 of data from many different data sources, including industry and numerous state, tribal, and local  
21 agencies. Different data sources use different data collection methods, and many of the emissions data are  
22 based on estimates rather than actual measurements. For most fuel combustion sources and industrial  
23 sources, emissions are estimated using emission factors. Emissions from on-road and nonroad sources  
24 were estimated using EPA-approved modeling approaches (U.S. EPA, 2005).

25 NEI data have been collected since 1990 and cover all 50 states and their counties, D.C., the U.S.  
26 territories of Puerto Rico and the Virgin Islands, and some of the territories of federally-recognized  
27 American Indian nations. The NEI includes baseline air toxics data for the period 1990-1993 and since  
28 then has been updated every 3 years. The baseline period 1990 to 1993 represents a mix of years  
29 depending on data availability for various source types. While NEI data for air toxics were also compiled  
30 for 1996 and 1999, the methodology used in those years for air toxics differed considerably from the  
31 methodology that was used in 2002. Therefore, the 1996 and 1999 data are not presented because  
32 comparing the two inventories might lead to invalid conclusions.

33 This indicator first presents emissions data for all air toxics combined, both at the national level and  
34 broken down into the ten EPA Regions. Consistent with the other emissions indicators, the national data  
35 are organized into the following source categories: 1) "Stationary sources," which include fuel  
36 combustion sources (coal, gas and oil-fired power plants, industrial, commercial, and institutional  
37 sources, as well as residential heaters and boilers) and industrial processes (chemical production,  
38 petroleum refining, and metals production) categories; 2) "Fires: prescribed burns and wildfires," for  
39 insights on contributions from some natural sources; 3) "On-road vehicles" which include cars, trucks,  
40 buses, and motorcycles; and 4) "Nonroad vehicles and engines," such as farm and construction  
41 equipment, lawnmowers, chainsaws, boats, ships, snowmobiles, aircraft, and others.

42 In addition to presenting emissions data aggregated across all 188 air toxics, the indicator presents  
43 emissions trends for five individual air toxics: acrolein, benzene, 1,3-butadiene, ethylene dibromide, and

**Exhibit 2-40. Air toxics emissions in the U.S. by source category, 1990-1993 and 2002**



<sup>a</sup>1990-1993 is considered the baseline period for air toxics emissions. The baseline period spans multiple years due to the availability of emissions data for various source categories. The data presented for the baseline period are annual emissions (tons per year) and are therefore comparable to the 2002 data.

**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

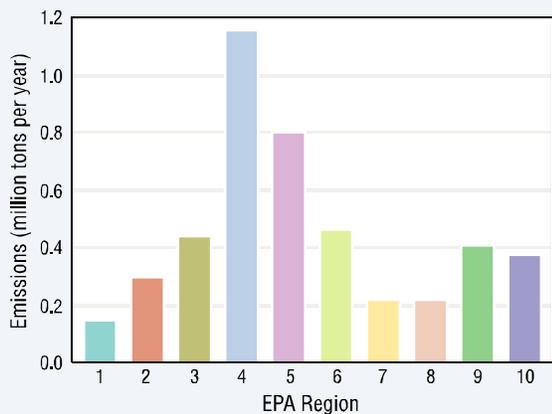
hydrazine. These compounds were selected for display because EPA’s 2002 National Air Toxics Assessment estimates that these air toxics present the greatest nationwide health risks (whether for cancer or non-cancer endpoints) among the subset of air toxics for which available emissions and toxicity data supported an evaluation (U.S. EPA, 2006a). This indicator breaks the emissions data for these five air toxics into multiple source categories, with the most appropriate categories for display purposes differing from one air toxic to the next.

**What the Data Show**

*Trends Aggregated Across All 188 Air Toxics*

According to NEI data, estimated annual emissions for the 188 air toxics combined decreased 29 percent from 7.2 million tons per year in the baseline period of 1990-1993 to 4.5 million tons per year in 2002 (Exhibit 2-40). This downward trend resulted primarily from reduced emissions from stationary sources and on-road mobile sources.

**Exhibit 2-41. Air toxics emissions in the U.S. by EPA Region, 2002**



**Data source:** U.S. EPA, National Emissions Inventory (NEI), 2005

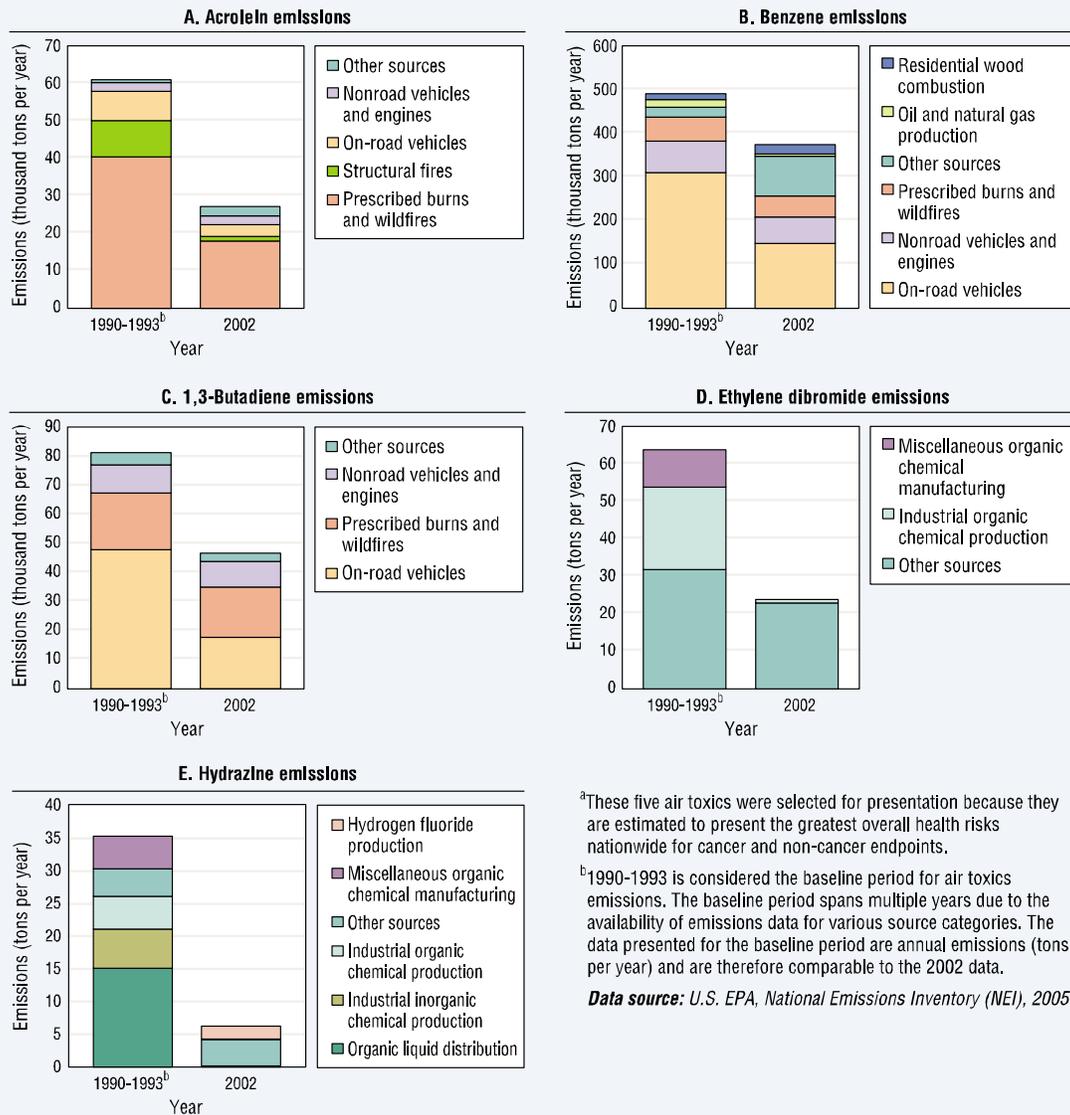


In 2002, air toxics emissions in the ten EPA Regions ranged from 153,000 tons per year in Region 1 to 1,150,000 tons per year in Region 4 (Exhibit 2-41). Regional trends cannot be quantified, because a complete set of state and local air toxics emissions data are not available for the 1990-1993 baseline period.

*Trends for Selected Air Toxics*

Exhibit 2-42 shows emissions trends for five compounds believed to account for the greatest health risks that are attributed to air toxics, according to a recent modeling study (U.S. EPA, 2006a). The five plots in this exhibit show how emissions trends vary from compound to compound. Estimated emissions decreased between the baseline period (1990-1993) and 2002 for all five selected air toxics: acrolein (55 percent decrease; see panel A), benzene (24 percent; panel B), 1,3-butadiene (43 percent; panel C), ethylene dibromide (62 percent; panel D), and hydrazine (82 percent; panel E).

**Exhibit 2-42. Emissions of selected air toxics in the U.S. by source category, 1990-1993 and 2002<sup>a</sup>**



**1 Indicator Limitations**

- 2 • The emissions data are largely based on estimates. Although these estimates are generated
- 3 using well-established approaches, the estimates have inherent uncertainties. The
- 4 methodology for estimating emissions is continually reviewed and is subject to revision.
- 5 Trend data prior to any revisions must be considered in the context of those changes.
- 6 • The indicator is an aggregate number that represents contributions from 188 different
- 7 chemicals with widely varying toxicities and human exposures. Therefore, the nationwide
- 8 trend for total air toxics and the resulting health effects likely differs from emissions trends
- 9 for specific chemicals. Similarly, because the indicator is a nationwide aggregate statistic, the
- 10 trend may not reflect emissions trends for specific locations.
- 11 • Not all states and local agencies provide the same data or level of detail for a given year.

- 1           • There is uncertainty associated with identifying which air toxics account for the greatest  
2 health risk nationwide. Toxicity information is not available for every compound, and  
3 emissions and exposure estimates used to characterize risk have inherent uncertainties.  
4 Additional limitations associated with the National Air Toxics Assessment are well  
5 documented (U.S. EPA, 2006a).

## 6 **Data Sources**

7 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
8 based on raw air toxics emissions data in EPA's National Emissions Inventory (NEI) (U.S. EPA, 2006b)  
9 (<http://www.epa.gov/ttn/chief/net/2002inventory.html>). This indicator aggregates the raw NEI data by  
10 source category, EPA Region, and selected air toxics.

## 11 **References**

12 CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
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20 <[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_onroadandnonroad.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_onroadandnonroad.pdf)>  
21

22 U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.

23 EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

24

## INDICATOR: Ambient Concentrations of Benzene

Benzene is an air toxic emitted from gasoline service stations, motor vehicle exhaust, and the burning of coal and oil. In addition to being a common air pollutant, benzene may also contaminate water. Urban areas generally have higher concentrations of benzene than other areas.

People exposed to benzene at sufficient concentrations may experience various health effects, including cancer, damage to the immune system, as well as neurological, reproductive (e.g., reduced fertility), developmental, respiratory, and other health problems. Plants and animals may also be harmed by exposures to benzene (U.S. EPA, 2003).

Benzene is the most widely monitored air toxic. Data from the National Air Toxics Trends Sites (NATTS) network is expected to provide trends information for other air toxics pollutants in the next Report on the Environment.

This indicator reflects ambient concentrations in micrograms per cubic meter ( $\mu\text{g}/\text{m}^3$ ) of benzene from 1994 to 2004, based on the annual arithmetic average. This indicator displays trends averaged over 35 urban monitoring sites that have consistent data for the period of record in Photochemical Assessment Monitoring Stations (PAMS), Urban Air Toxics Monitoring (UATMP) Stations, and Non-Methane Organic Compound (NMOC) Monitoring Stations.

### What the Data Show

Benzene concentrations declined 61 percent from 1994 to 2004 (Exhibit 2-43).

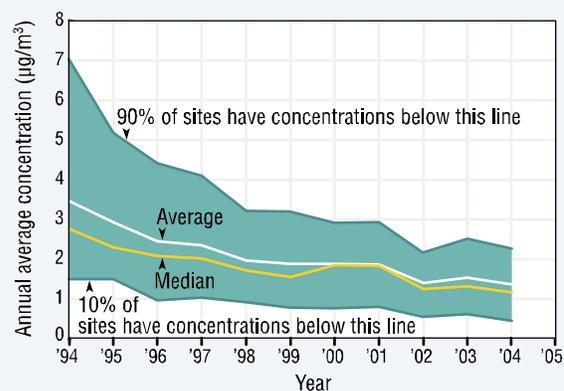
### Indicator Limitations

- Benzene data represent only 35 urban sites in the U.S.
- Because of the limited number of sites that are primarily located in urban areas, Exhibit 2-43 does not necessarily represent an overall national trend in benzene concentrations.
- Benzene, while an important air toxic, represents only one of many air toxics that may occur in air.

### Data Sources

Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards, based on raw benzene ambient air monitoring data in EPA's Air Quality System (AQS) (U.S. EPA, 2006) (<http://www.epa.gov/ttn/airs/airsaqs/>). National trends in this indicator are based on the subset of benzene monitoring stations that have sufficient data to assess trends since 1994.

**Exhibit 2-43.** Ambient benzene concentrations in the U.S., 1994-2004<sup>a</sup>



<sup>a</sup>**Coverage:** 35 monitoring sites nationwide (out of a total of 251 sites measuring benzene in 2004) that have sufficient data to assess benzene trends since 1994.

**Data source:** U.S. EPA, Air Quality System, 2005

1   **References**

2   U.S. EPA. 2006. Data from the Air Quality System. Accessed 2006.

3   <<http://www.epa.gov/ttn/airs/airsaqs/>>

4

5   U.S. EPA. 2003. National air quality and emissions trends report—2003 special studies edition.

6   EPA/454/R-03/005. Research Triangle Park, NC. <<http://www.epa.gov/air/airtrends/aqtrnd03/>>

## 1 INDICATOR: Concentrations of Ozone-Depleting Substances

2 Ozone, a gas present throughout the Earth's atmosphere, is a pollutant at the Earth's surface but forms a  
3 protective layer in the stratosphere, helping shield the Earth from the sun's ultraviolet (UV) radiation.  
4 Exposure to UV-rays is associated with skin cancer, cataracts, and other human health and ecological  
5 problems (U.S. EPA, 1996).

6 Starting in the late 1970s, stratospheric ozone levels were observed to be declining due to worldwide  
7 releases of various human-produced chemicals referred to as ozone-depleting substances (ODS),  
8 particularly halocarbons such as the long-lived chlorofluorocarbons (CFCs), bromine-containing halons,  
9 and methyl bromide. Through rapid catalytic reactions with ozone, the chlorine and bromine from these  
10 chemicals have depleted the protective ozone layer (the Ozone Levels over North America indicator, p. 2-  
11 79).

12 Worldwide production and consumption of ODS is being progressively eliminated under the provisions of  
13 the 1987 Montreal Protocol on Substances that Deplete the Ozone Layer. Over time, reducing the  
14 atmospheric loading of ODS is expected to result in global increases in stratospheric ozone. However,  
15 because some ODS gases have long atmospheric lifetimes, and because of pre-phaseout ODS stockpiling  
16 for post-phaseout use, ambient concentrations of ODS have only recently begun to stabilize and in some  
17 cases begun to decline. While some gases, like methyl chloroform, decay quickly in the atmosphere, other  
18 gases, like CFCs and halons, have atmospheric lifetimes on the order of hundreds or thousands of years.

19 Measures of effective equivalent troposphere chlorine (EECl) and effective equivalent stratospheric  
20 chlorine (EESC) are commonly used to represent atmospheric concentrations of ODS. Both represent  
21 ODS concentrations weighted by their potential to catalyze the destruction of stratospheric ozone relative  
22 to the ability of chlorine to do so. EECl is the equivalent effective chlorine in the troposphere. (EESC is  
23 typically derived by adding a 3-year time lag to EECl to account for the time it takes for emissions of  
24 ODS at the Earth's surface to migrate to the stratosphere and cause stratospheric ozone depletion.)

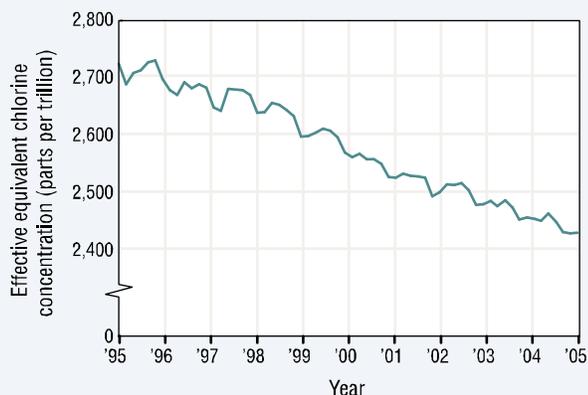
25 This indicator presents trends in concentrations of tropospheric ODS as EECl. The EECl trend is based on  
26 measurements from the National Oceanic and Atmospheric Association (NOAA) Climate Monitoring and  
27 Diagnostics Laboratory and estimates of halocarbon emissions from industrial and international sources  
28 from 1995 to 2004. Concentrations of EECl are presented as weighted averages based on ground-based  
29 measurements of mixing ratios<sup>5</sup> since 1995 at the following remote locations: Alert, North West  
30 Territories, Canada; Barrow, Alaska; Niwot Ridge, Colorado; Mauna Loa, Hawaii; American Samoa;  
31 Cape Grim, Tasmania, Australia; and the South Pole (NOAA CMDL, 2003). Data on total EECl are also  
32 available for 1992 and 1994, but these years' of monitoring are only presented in the chemical-specific  
33 graphs because the monitoring did not include methyl bromide, a quantitatively important ODS. Because  
34 most ODS have long atmospheric half-lives, the ODS concentrations shown in this indicator reflect past  
35 and recent contributions from emissions sources within the U.S. and worldwide.

36

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<sup>5</sup> The mixing ratio is the ratio of the partial pressure of a gas to the total atmospheric pressure.

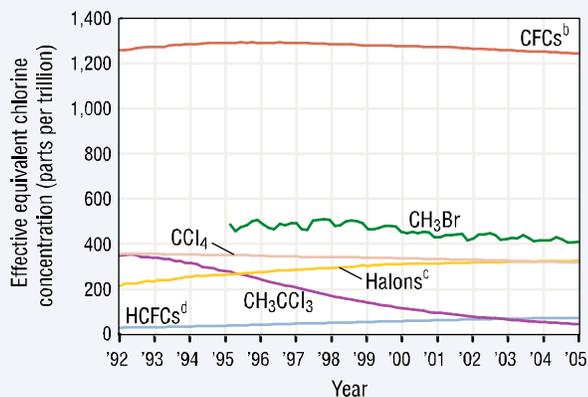
**Exhibit 2-44. Global effective equivalent chlorine concentrations, 1995-2005<sup>a</sup>**



<sup>a</sup>Effective equivalent chlorine (EECI) is typically used to represent atmospheric concentrations of ozone-depleting substances. The EECI reflects contributions from multiple ozone-depleting substances, weighted by their potential to catalyze the destruction of stratospheric ozone.

**Data source:** NOAA/ESRL/GMD, 2006

**Exhibit 2-45. Global effective equivalent chlorine concentrations of selected ozone-depleting substances, 1992-2005<sup>a</sup>**



<sup>a</sup>Effective equivalent chlorine (EECI) is typically used to represent atmospheric concentrations of ozone-depleting substances. The EECI of ozone-depleting substances is calculated from the substances' atmospheric concentrations and their potential to catalyze the destruction of stratospheric ozone.

<sup>b</sup>The chlorofluorocarbons (CFCs) considered in this figure are CFC-11, CFC-12, and CFC-113.

<sup>c</sup>The halons considered in this figure are halon 1311 and halon 1201.

<sup>d</sup>The hydrochlorofluorocarbons (HCFCs) considered in this figure are HCFC-22, HCFC-141b, and HCFC-142b.

**Data source:** NOAA/ESRL/GMD, 2006

## What the Data Show

Total effective equivalent chlorine (EECI) resulting from ODS emissions reached its peak concentration in the mid-1990s at slightly over 2,700 parts per trillion of air by volume (pptv) and has slowly declined by approximately 11 percent since then (Exhibit 2-44). Although concentrations of CFCs and several other individual ODS compounds have begun to decline, concentrations of halons and hydrochlorofluorocarbons (HCFCs) have not yet stabilized.

Declines in EECI abundances of several ODSs in the troposphere between 1992 and 2005 have contributed to the decline in total EECI (Exhibit 2-45). EECI attributed to methyl chloroform has decreased more than 80 percent over this period due to decreased emissions as well as its short atmospheric lifetime. EECI associated with CFCs has decreased more slowly—more than 3 percent from its peak tropospheric concentration in 1995-1996. The slow decay of CFCs is a result of continued emissions of CFCs from stockpiles in developed countries, continued use in developing countries, and their longer atmospheric lifetimes. EECI from methyl bromide (CH<sub>3</sub>Br) has decreased nearly 20 percent from its peak in 1998; however, continued use of methyl bromide in developing countries and in developed countries through critical use exemptions slows the decrease in EECI associated with this compound. EECI from methyl bromide exhibits seasonal variations, which likely results from the seasonal use of this chemical as a soil fumigant.

Despite declines in concentrations of some tropospheric ODS, others, including halons and HCFCs, continue to increase (Exhibit 2-45). EECI estimated from halon emissions has increased by more than 50 percent from 1992 to 2005, and EECI attributed to HCFCs in 2005 is more than 2.5 times higher than that from 1992. These trends reflect continued emissions of these ODS from stockpiles in developed countries and continued production and consumption in developing countries (and developed countries for HCFCs), as well as the longer atmospheric lifetimes of halons.

1 **Indicator Limitations**

- 2 • The calculation of EECl depends on the understanding of the interactions and atmospheric  
3 residence times of many different gases; incorrect knowledge about these factors could affect  
4 trends in the EECl.
- 5 • EECl is calculated by weighing individual ODS concentrations by the substances' abilities to  
6 catalyze destruction of stratospheric ozone, or the ozone destruction potential. The ozone  
7 destruction potentials used to transform the data have inherent uncertainties, which can affect  
8 the trend analyses.
- 9 • Factors additional to trends in halocarbons affect trends in stratospheric ozone, including  
10 changes in climate (e.g., temperature, winds), changes in emissions and concentrations of  
11 trace gases like nitrous oxide and methane, and changes in aerosol loading such as occurs  
12 after an explosive volcanic eruption.

13 **Data Sources**

14 Tropospheric concentrations of ODSs presented in this indicator are based on measurements made by  
15 NOAA's Global Monitoring Division and summarized at an online data repository (NOAA, 2006)  
16 ([ftp://ftp.cmdl.noaa.gov/hats/Total\\_Cl\\_Br/](ftp://ftp.cmdl.noaa.gov/hats/Total_Cl_Br/)). The trend in this indicator was developed from a 2006 data  
17 file available from the repository, which updates tropospheric ODS concentrations previously reported in  
18 the peer-reviewed literature (Montzka et al. 1999, 2003).

19 **References**

- 20 Montzka, S.A., J.H. Butler, B.D. Hall, D.J. Mondeel, and J.W. Elkins. 2003. A decline in tropospheric  
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28 <<http://www.cmdl.noaa.gov/publications/annrpt27/>>
- 29 U.S. EPA. 1996. Air quality criteria for ozone and related photochemical oxidants. EPA/600/P-93/004F-  
30 cF. Research Triangle Park, NC.

## INDICATOR: Ozone Levels over North America

Ozone (O<sub>3</sub>) is a gas present throughout the Earth's atmosphere; 90 percent resides in the stratosphere, the layer of the atmosphere that starts about 6 to 9 miles above the Earth's surface at mid-latitudes, and the rest is located in the troposphere, the atmospheric layer that lies between the stratosphere and the Earth's surface. The environmental and human health implications of ground-level ozone are very different from those of ozone higher in the atmosphere, leading to the maxim: "Good up high, bad nearby" (U.S. EPA, 2003). In the troposphere, ozone poses both health and ecological risks, but the natural layer of ozone in the stratosphere shields and protects the Earth's surface from the sun's harmful ultraviolet (UV) rays which can in turn lead to more cases of skin cancer, cataracts, and other health problems (U.S. EPA, 1996).

Increases in surface UV radiation have been associated with reductions in total column ozone levels based on spectral measurements at a number of sites in Europe, North America, South America, Antarctica, and New Zealand (Kerr and McElroy, 1993; Booth and Madronich, 1994; WMO et al., 2003). For example, measurements between 1989 and 1993 over Toronto indicated that for every 1 percent decrease in total column ozone, after accounting for seasonal and daily variables not related to ozone, there was a corresponding increase between 1.1 percent and 1.3 percent in erythemally active UV-B radiation (Kerr and McElroy, 1993).

Ozone in the stratosphere is constantly being produced naturally from dissociation of oxygen molecules by highly energetic UV solar radiation. While this ozone is being transported poleward and downward through the natural motions of air in the stratosphere, it also is being naturally destroyed through catalytic reactions involving primarily nitrogen and hydrogen oxides.

Releases of various human-produced chemicals, such as the long-lived chlorofluorocarbons, bromine-containing halons, and methyl bromide (the Concentrations of Ozone-Depleting Substances indicator, p. 2-76) have depleted the levels of protective stratospheric ozone starting in the late 1970s, particularly at mid- to high latitudes. The U.S. has been a major contributor to the global emissions of these halocarbons, accounting for about a quarter of total worldwide emissions before the major ozone-depleting substances (ODS) were banned in the 1990s. It takes about 3 years for emissions of ODS at the Earth's surface to migrate to the stratosphere and cause stratospheric ozone depletion (WMO et al., 2003).

This indicator tracks trends in the deviation from pre-1980 levels in total annually-averaged ozone values integrated over the 35° to 60°N latitude belt (the latitudes roughly corresponding to North America) from 1965 to 2005. The estimates are based on data from several different sources including ground-based and satellite measurements. The data on total ozone from ground-based measurements are from a network of surface stations, which are equipped with spectrophotometers. These instruments measure how thick the ozone layer would be if compressed in the Earth's atmosphere (at sea level and at 0°C), where one Dobson Unit (DU) is defined to be 0.01 mm thickness at standard temperature and pressure. Reliable data from regular measurements at these stations are available extending back to the 1960s, although geographical coverage is limited before the 1970s (Fioletov et al., 2002; WMO et al., 2003).

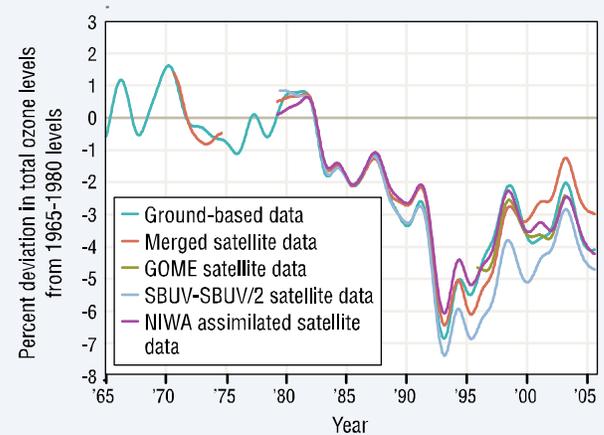
Near-continuous global total ozone data are available from satellite measurements beginning in 1979. The first set of satellite data are obtained from the Total Ozone Mapping Spectrometer (TOMS). The TOMS instrument measures total ozone using the "backscattered albedo," which is the ratio of the radiance backscattered from the Earth's atmosphere to the extraterrestrial solar irradiance. The second dataset consists of total ozone measurements from the Solar Backscatter Ultraviolet (SBUV) spectrometer (Fioletov et al., 2002; WMO et al., 2003).

1 In addition to the ground-based and satellite data, two merged datasets are presented for this indicator.  
2 The Goddard merged ozone dataset and the National Institute of Water and Atmospheric Research  
3 (NIWA) ozone dataset were derived using measurements from a number of satellite instruments (Fioletov  
4 et al., 2002; WMO et al., 2003).

### 5 What the Data Show

6 There was little ozone change (beyond natural  
7 variations such as those resulting from the 11-year  
8 solar sunspot cycle) before the late 1970s, but  
9 decreases in stratospheric ozone began to occur after  
10 1979 (Exhibit 2-46). The ground-based data and  
11 satellite data (TOMS, SBUV, Goddard and NIWA)  
12 have similar ozone variations, with differences  
13 typically less than 0.5 percent. The mid-latitude  
14 decline of approximately 6 percent between 1979  
15 and 1995 is in general agreement with previous  
16 profile trend estimates from satellite and ground-  
17 based records. For the mid-latitudes of the Northern  
18 Hemisphere, the average of the total ozone levels for  
19 the 3-year period from 1998 to 2001 is about 3  
20 percent lower than the average for the 3-year period  
21 from 1977 to 1980, and average total ozone levels  
22 have not changed considerably between 2001 and  
23 2005. While this indicator covers the entire 35° to  
24 60°N latitude belt, ozone varies little by longitude  
25 and the estimated 3 percent change in total ozone  
26 levels can be taken to apply to North America.

**Exhibit 2-46.** Total ozone levels over North America, 1965-2005<sup>a,b</sup>



<sup>a</sup>Total ozone refers to the total ozone concentration in a column of air between the Earth's surface and the top of the atmosphere.

<sup>b</sup>Trend data are representative of latitudes ranging from 35 degrees North to 60 degrees North.

**Data source:** 1965-2003 data from WMO et al., 2003, and 2004-2005 data from unpublished results provided by WMO

27 This 3 percent change over North America is very similar to the statistically significant globally-averaged  
28 decrease in total ozone over the 1979 to 2001 period (WMO et al., 2003). The decrease in the mid-  
29 latitudes of the Southern Hemisphere, by contrast, has been twice as high, associated with the springtime  
30 "ozone hole" over Antarctica. The trends in this indicator are consistent with well understood seasonal  
31 variations in ozone, and with natural variations such as those due to the 11-year solar cycle and the effects  
32 of volcanic eruptions, suggesting that the long-term trends are those resulting from the emissions of ODS.

### 33 Indicator Limitations

- 34 • Fioletov et al. (2002) used estimates of ozone changes from several different, independent  
35 sources to derive the figure used for this indicator. Differences in the calibration of  
36 instruments used to obtain the ground-based and satellite datasets together with interruptions  
37 in the observational records produce datasets with measurement errors typically around a few  
38 percent (WMO et al., 2003). The figure presented does, however, show good overall  
39 agreement among the different data sources for changes in total ozone.

### 40 Data Sources

41 Summary data for this indicator were provided by the World Meteorological Organization (WMO). The  
42 1965-2003 data in this indicator are taken from WMO's 2002 Scientific Assessment of Ozone Depletion  
43 (WMO et al. 2003), which presents ozone data based on multiple sets of measurements (e.g., Fioletov et

1 al., 2002). The 2004-2005 data in this indicator were not publicly available at the time this report went to  
2 press, but they will be published in WMO's upcoming 2006 Scientific Assessment of Ozone Depletion.

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## INDICATOR: Ozone and Particulate Matter Concentrations for U.S. Counties in the U.S./Mexico Border Region

The border between the U.S. and Mexico spans approximately 2,000 miles, from the Pacific Ocean to the Gulf of Mexico. The area is subjected to a unique blend of increased industrial development (especially on the Mexico side of the border), intense pressures because of the shifting and growing population related to this development, and an arid climate that can exacerbate many air quality problems. Ozone and particulate matter are air pollutants of particular concern. Rapid population growth in urban areas of the (U.S./Mexico) border has resulted in unplanned development, greater demand for land and energy, traffic congestion, increased waste generation, overburdened or unavailable waste treatment and disposal facilities, increased frequency of chemical emergencies, and had had an adverse impact on air quality (U.S. EPA, 2003).

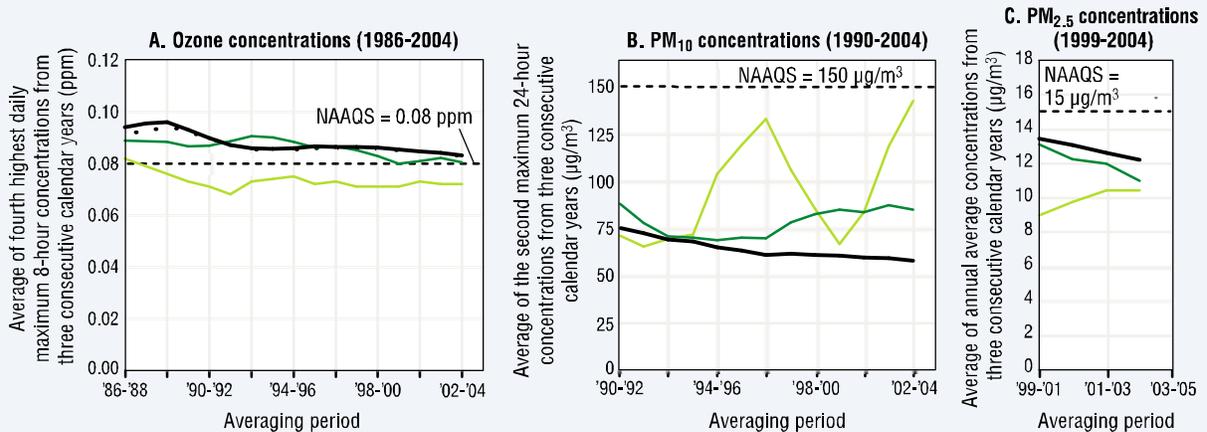
Ground-level ozone is harmful to both human health and the environment (the Ozone Concentrations indicator, p. 2-32). Although some industrial sources release ozone directly into the environment, most ground-level ozone forms from chemical reactions involving nitrogen oxides (NO<sub>x</sub>), volatile organic compounds (VOCs), and sunlight. Ozone levels are typically highest during the afternoon hours of the summer months, when the influence of direct sunlight is the greatest (U.S. EPA, 2006a).

Particulate matter (PM) is the general term used for a mixture of solid particles and liquid droplets found in the air. Primary PM is released directly from emissions sources into the atmosphere, while secondary PM is formed in the air from reactions involving precursor chemicals (e.g., nitrogen oxides, sulfur dioxide, particle-producing organic gases). Ambient air monitoring stations measure air concentrations of two size ranges of particles: PM<sub>2.5</sub> (fine particles with aerodynamic diameter less than or equal to 2.5 micrometers (μm)) and PM<sub>10</sub> (both fine particles (PM<sub>2.5</sub>) and coarse particles with aerodynamic diameters between 2.5 and 10 μm). Exposure to coarse particles can aggravate respiratory conditions such as asthma, and exposure to fine particles is associated with various additional human health effects (the PM Concentrations indicator, p. 2-44) (U.S. EPA, 2004).

This indicator shows trends in the design values for ozone and particulate matter in the U.S. counties at the U.S./Mexico border area in comparison to U.S. national trends. These trends are shown for the longest duration of time supported by the underlying monitoring data. The ozone design value is defined as a 3-year average of the fourth highest daily maximum in each year. The design value for PM<sub>10</sub> is the 3-year average of the second maximum 24-hour concentrations, and the design value for PM<sub>2.5</sub> is the 3-year average of the seasonally-weighted annual mean concentration. This indicator establishes a baseline for measuring against future air quality levels and is based on all monitoring stations that operated on the U.S. side of the border during this time period.

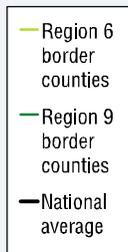
In EPA Region 6, ozone monitoring data from border locations were collected in Dona Ana County in New Mexico, and El Paso, Brewster, Webb, Hidalgo, and Cameron Counties in Texas. In EPA Region 9, ozone monitoring data from border locations were collected in the Counties of Cochise, Pima, and Yuma in Arizona and Imperial and San Diego in California. PM<sub>10</sub> sampling data for EPA Region 6 are from Cameron, Hidalgo, Webb and El Paso Counties in Texas and Dona Ana, Luna, and Grant Counties in New Mexico. PM<sub>2.5</sub> data were available for all of the above counties except for Luna County, New Mexico. For EPA Region 9, PM<sub>10</sub> monitoring data were collected in the Counties of Cochise, Pima, Santa Cruz, and Yuma in Arizona and Imperial and San Diego in California. For EPA Region 9, PM<sub>2.5</sub> monitoring data were collected in the Counties of Cochise, Pima, and Santa Cruz in Arizona and Imperial and San Diego in California.

**Exhibit 2-47. Ambient ozone, PM<sub>10</sub>, and PM<sub>2.5</sub> concentrations in U.S. counties in the U.S.-Mexico border area, 1986-2004<sup>a</sup>**

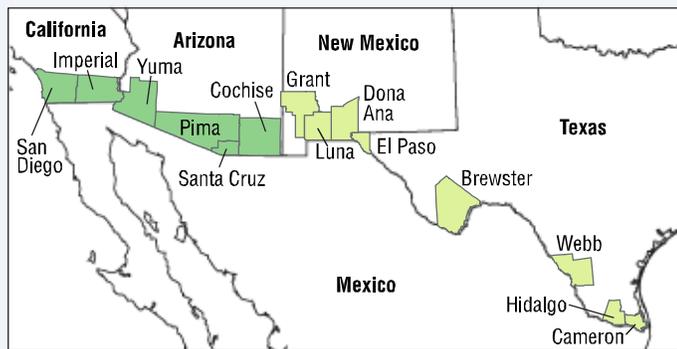


<sup>a</sup>**Coverage:** 27 ozone monitoring sites, 51 PM<sub>10</sub> monitoring sites, and 16 PM<sub>2.5</sub> monitoring sites located in U.S. counties along the U.S.-Mexico border that have sufficient data to assess trends over the corresponding time frames that these pollutants were monitored.

**Data source:** U.S. EPA, Air Quality System, 2005



**Counties with ambient air monitoring sites included in this indicator**



1 **What the Data Show**

2 *Trends for 8-Hour Ozone Concentrations*

3 In EPA Region 6, average border ozone concentrations decreased by 11 percent between the 1986-1988  
 4 and 1992-1994 time periods and by 3 percent between the 1993-1995 and 2002-2004 periods, which was  
 5 similar to the national decreases of 9 percent and 3 percent, respectively (Exhibit 2-47, panel A). In EPA  
 6 Region 9, however, border ozone concentrations increased by 2 percent between the 1986-1988 and 1992-  
 7 1994 time periods but decreased by 11 percent between the 1993-1995 and 2002-2004 periods.

8 *Trends for 24-Hour PM<sub>10</sub> Concentrations*

9 In EPA Region 6, the average second maximum 24-hour PM<sub>10</sub> concentrations at border monitoring sites  
 10 varied considerably from year to year, most likely due to variation in meteorological conditions (e.g.,  
 11 rainfall, wind speed) and soil erosion (Exhibit 2-47, panel B). In EPA Region 9, on the other hand,  
 12 corresponding PM<sub>10</sub> concentrations at border monitoring sites did not exhibit such strong temporal  
 13 variations, and the average second maximum 24-hour concentration at border monitoring sites for the  
 14 2002-2004 time frame was only slightly lower than that for the 1990-1992 time frame.

1 *Trends for Annual Average PM<sub>2.5</sub> Concentrations*

2 Mean annual ambient PM<sub>2.5</sub> concentrations increased by 16 percent in the border counties of EPA Region  
3 6 between 1999-2001 and 2002-2004, but decreased by 16 percent in the border counties of EPA Region  
4 9 (Exhibit 2-47, panel C). Mean annual ambient PM<sub>2.5</sub> concentrations decreased 9 percent nationwide  
5 over the same period.

6 **Indicator Limitations**

- 7
- 8 • Many counties along the U.S./Mexico border do not have ambient air quality monitors; these  
9 counties are not characterized by this indicator.
  - 10 • This indicator does not include data from the Mexican side of the border. When the technical  
11 review concludes the quality of this data is appropriate for the intended use, the indicator will  
12 be updated.
  - 13 • Short-term trends in PM<sub>10</sub> concentrations are often highly dependent on meteorological  
14 conditions. The maximum concentration for a given site can be influenced by wind-blown  
15 dust and will exhibit considerable variations from day to day. Trends over the longer term are  
16 far less likely to be influenced by unusual meteorological conditions.
  - 17 • The long-term ozone trends are derived from an increasing number of monitors over the  
18 course of time from 1986-2004, but an analysis of the limited number of border sites that  
19 have full periods of record show that the slopes of the trends are similar to those in this  
20 indicator.
  - 21 • Mean air pollutant concentrations may mask higher values in some areas along the border and  
22 in the nation.
  - 23 • Because most of the monitoring sites are located in urban areas, the trends might not  
24 accurately reflect conditions outside the immediate urban monitoring areas.

24 **Data Sources**

25 Summary data in this indicator were provided by EPA's Office of Air Quality Planning and Standards,  
26 Region 6, and Region 9. These summaries were based on raw ozone and PM ambient air monitoring data  
27 in EPA's Air Quality System (U.S. EPA, 2006b) (<http://www.epa.gov/ttn/airs/airsaqs/>). Trends in this  
28 indicator are based on the subset of ozone and PM monitoring stations located in counties along the  
29 U.S./Mexico border that have sufficient data to assess trends over the period of record.

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2 DC.

## INDICATOR: Ambient Concentrations of Manganese Compounds in EPA Region 5

Manganese is a naturally occurring metal that is ubiquitous in the environment. Exposure to low levels of manganese in the diet is considered to be nutritionally essential for people and animals (ATSDR, 1997). However, exposures to elevated concentrations of manganese are harmful to human health and have been associated with subtle neurological effects, such as slowed eye-hand coordination. Manganese compounds are hazardous air pollutants emitted by iron and steel production plants, power plants, coke ovens, and many smaller metal processing facilities. Manganese also may be contributed in border communities by vehicles using Canadian fuel with the additive methylcyclopentadienyl manganese tricarbonyl (MMT).

Although manganese compounds are air pollutants of concern nationwide, they are of special concern in EPA Region 5. The 1999 National Emissions Inventory showed that Region 5 had the highest manganese emissions of all EPA Regions, contributing 36.6 percent of all manganese compounds emitted nationwide (U.S. EPA, 2005a). Emissions from industrial sources in Region 5 occurred from various facilities, such as those that manufacture steel or process iron ores and alloys for steelmaking. Between 1988 and 2003, manganese emissions from point sources declined both nationally (26.2 percent) and in EPA Region 5 (36.7 percent). Year-to-year variability in manganese emissions is high, however, and recent emissions data (1996-2003) suggest a weaker trend: emissions dropped 7.6 percent and 12.4 percent nationwide and in EPA Region 5, respectively (U.S. EPA, 2005b).

EPA's National-Scale Air Toxics Assessment (NATA) is intended to provide a better understanding of the health risks resulting from inhalation exposure to air toxics. Based on 1999 emission inventories, the most recent NATA results (U.S. EPA, 2006a) identify manganese compounds as the largest contributor to neurological non-cancer health risk in the U.S. Modeled estimates of ambient manganese compounds in all 3,222 U.S. counties show that among the 50 counties with the highest concentrations nationwide, 20 are located in EPA Region 5.

This indicator presents ambient concentrations of manganese compounds measured as total suspended particulates (TSP) by direct monitoring. This indicator addresses manganese in the TSP fraction (not  $PM_{10}$  or  $PM_{2.5}$ ) because it is the most complete dataset in EPA Region 5 in terms of geographic and temporal coverage. TSP metals data have been commonly used in human health risk assessments. EPA recently has begun to recommend  $PM_{10}$  as the most appropriate fraction for evaluating people's exposure to toxic metals (U.S. EPA, 2002), but  $PM_{10}$  metals data are sparse at this time, both nationally and in EPA Region 5. Data from a limited number of sites in AQS with collocated  $PM_{10}$  and TSP speciation monitors suggest that the proportion of manganese in  $PM_{10}$  versus TSP is about 50 percent at most sites and can be as high as 75 percent. TSP manganese data therefore should be considered a conservative estimate of  $PM_{10}$  manganese exposures.  $PM_{2.5}$  metals data are plentiful since the establishment of the Speciation Trends Network (STN) in 2000, but this size fraction is believed to underestimate human exposures.

Data were considered for 53 monitoring sites in EPA Region 5 that had a complete year of data reported to the Air Quality System (AQS) national database in 2004. Average manganese concentrations were calculated for each monitoring site. A concentration trend was determined using a subset of 21 of the monitoring sites with four or more complete years of data between 2000 and 2004. As annual average concentrations are representative of long-term inhalation exposures, the ambient monitoring data are displayed in comparison with the manganese Reference Concentration (RfC). The RfC is an estimate of a chronic inhalation exposure that is likely to be without appreciable risk of adverse non-cancer effects during a lifetime. The RfC for manganese is 0.05 micrograms per cubic meter ( $\mu\text{g}/\text{m}^3$ ), based on

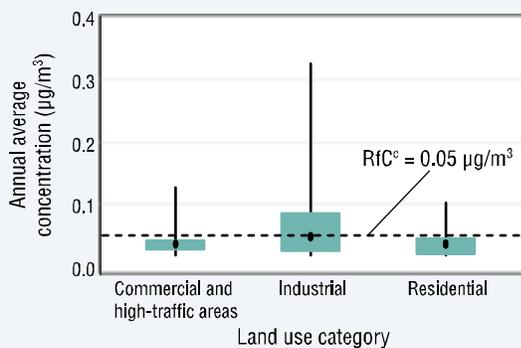
1 impairment of neurobehavioral function in people. At exposures increasingly greater than the RfC, the  
 2 potential for harmful effects increases (ATSDR, 1997; U.S. EPA, 1999). Monitoring sites were classified  
 3 into different categories based on land use as defined in AQS.

4 **What the Data Show**

5 In 2004 the median average annual ambient concentrations of manganese as TSP in EPA Region 5 were:  
 6  $0.035 \mu\text{g}/\text{m}^3$  at the 16 residential sites,  $0.036 \mu\text{g}/\text{m}^3$  at the 14 sites in commercial or high-traffic areas, and  
 7  $0.049 \mu\text{g}/\text{m}^3$  at the 19 industrial sites (Exhibit 2-48). The median average annual ambient concentration of  
 8 manganese at 4 predominantly agricultural and forest sites in EPA Region 5 was  $0.02 \mu\text{g}/\text{m}^3$ , but this is  
 9 not depicted in the figure due to the limited number of monitoring sites to characterize a distribution.  
 10 Greater concentration differences were observed in the 90<sup>th</sup> percentile values, with average concentrations  
 11 ranging from  $0.10 \mu\text{g}/\text{m}^3$  at the residential sites to  $0.33 \mu\text{g}/\text{m}^3$  at the predominantly industrial sites.  
 12 Eighteen of the 53 sites had average manganese concentrations higher than the RfC; 10 of these sites were  
 13 categorized as industrial, 4 commercial or mobile, and 4 residential.

14 The median annual manganese concentration averaged across 21 trend sites showed a 15 percent decline  
 15 between 2000 and 2004 (Exhibit 2-49). Additional years of data will be needed to confirm this apparent  
 16 trend. The trend sites had the following land use designations: commercial and mobile (6 sites), industrial  
 17 (9 sites), residential (6 sites), and agricultural and forest (no sites).

**Exhibit 2-48.** Ambient manganese concentrations in EPA Region 5 by land use category, 2004<sup>a,b</sup>

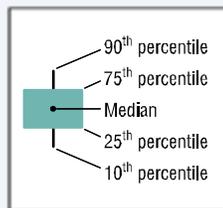


<sup>a</sup>**Coverage:** 53 monitoring sites in EPA Region 5, with 14 sites in commercial or high-traffic land use areas, 19 sites in industrial areas, and 16 sites in residential areas.

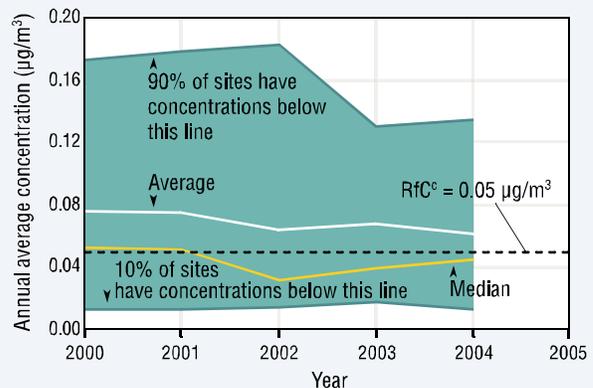
<sup>b</sup>Concentrations are for manganese in total suspended particulate (TSP) matter.

<sup>c</sup>The reference concentration (RfC) is an estimate of a continuous inhalation exposure to the human population (including sensitive subgroups) that is likely to be without an appreciable risk of deleterious effects during a lifetime.

**Data source:** U.S. EPA, Air Quality System, 2005



**Exhibit 2-49.** Ambient manganese concentrations in EPA Region 5, 2000-2004<sup>a,b</sup>



<sup>a</sup>**Coverage:** 21 monitoring sites in EPA Region 5 (out of a total of 53 sites measuring manganese in 2004) that have sufficient data to assess manganese trends since 2000.

<sup>b</sup>Concentrations are for manganese in total suspended particulate (TSP) matter.

<sup>c</sup>The reference concentration (RfC) is an estimate of a continuous inhalation exposure to the human population (including sensitive subgroups) that is likely to be without an appreciable risk of deleterious effects during a lifetime.

**Data source:** U.S. EPA, Air Quality System, 2005

1 **Indicator Limitations**

- 2 • AQS data represent several sites per state, but do not have full geographic or temporal  
3 coverage. Some emissions “hotspots” are included, while others may exist that have not been  
4 monitored.
- 5 • The land use categories are only generally indicative of the area represented by an ambient air  
6 monitor. For example, a site categorized as “industrial” may adjoin a densely populated  
7 community where many residents are exposed to ambient pollution.

8 **Data Sources**

9 Summary data in this indicator were provided by EPA Region 5, based on raw ambient air monitoring  
10 data for manganese compounds reported in EPA’s Air Quality System (U.S. EPA, 2006b)  
11 (<http://www.epa.gov/ttn/airs/airsaqs/>). Trends in this indicator are based on the subset of monitoring  
12 stations located in EPA Region 5 that have sufficient manganese concentration data to assess trends over  
13 the period of record.

14 **References**

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1 **2.2.3 Discussion**

2 ***What These Indicators Say About Trends in Outdoor Air Quality and Their***  
3 ***Effects on Human Health and the Environment***

4 *Criteria Pollutants and Their Precursors*

5 Because of statutory monitoring and reporting requirements, criteria pollutants have some of the most  
6 extensive data available to support National Indicators for emissions and ambient air concentrations.  
7 Nationwide, air emissions of every criteria pollutant (or their corresponding precursors) have decreased  
8 between 1990 and 2002—the period of record covered by the National Emissions Inventory. During that  
9 time frame, substantial decreases in air emissions were observed for carbon monoxide, nitrogen oxides,  
10 particulate matter, sulfur dioxide, and volatile organic compounds. Even more pronounced emissions  
11 reductions occurred for lead, but this decrease extends back to the 1970s. With few exceptions, downward  
12 trends in criteria pollutant emissions were observed in the 10 EPA Regions, similar to the corresponding  
13 national trends.

14 Consistent with the emissions trends, every criteria pollutant showed decreasing ambient air  
15 concentrations based on aggregate measurements from the nation’s ambient air monitoring system, which  
16 measures levels of air pollution primarily in urban and suburban areas. The magnitude of air quality  
17 improvements, observed both nationally and in all 10 EPA Regions, varies across pollutants. Carbon  
18 monoxide, lead, and nitrogen dioxide concentrations decreased considerably between 1980 and the  
19 present, and ambient concentrations of these three pollutants in most or all areas in the U.S. are now  
20 below the corresponding air quality standards that protect human health and the environment. In contrast,  
21 air quality improvements for ozone and particulate matter (particularly PM<sub>2.5</sub>) were less pronounced; and,  
22 based on monitoring data collected in 2005 and reported in EPA’s Air Quality System, ambient  
23 concentrations in 68 metropolitan statistical areas where approximately 128 million people live did not  
24 meet the health-based NAAQS for ozone, particulate matter, or both pollutants. In short, every criteria  
25 pollutant has showed improving air quality over the past one or two decades, but the progress has been  
26 slowest for the two pollutants—ozone and PM<sub>2.5</sub>—most influenced by meteorology and secondary  
27 formation processes.

28 The nationwide trends and those presented for the 10 EPA Regions are based on aggregate statistics  
29 across numerous monitoring stations and may not reflect air quality trends at finer scales or for different  
30 subsets of monitoring stations. For example, the significant downward trend in ozone in EPA Region 9 is  
31 largely influenced by air quality improvements in Los Angeles and other metropolitan areas in southern  
32 California. In other urban areas in EPA Region 9, ozone improvements have been more modest or even  
33 different directionally.<sup>6</sup> Similarly, PM<sub>2.5</sub> concentrations have increased over the last 5 years at selected  
34 monitoring stations near the border between U.S. and Mexico (the Ozone and PM Concentrations Along  
35 U.S./Mexico Border indicator, p. 2-82), even though the national trend for this pollutant is downward.

36 The ROE indicators on criteria pollutants’ environmental effects are limited to three issues. First, long-  
37 term monitoring data show that wet deposition of acidic sulfates and nitrates decreased between 1989 and  
38 2004, consistent with the decreased emissions for sulfur dioxide and nitrogen oxides over roughly the

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<sup>6</sup> U.S. EPA. 2004. The ozone report: measuring progress through 2003. EPA/454/K-04-001. Research Triangle Park, NC.

1 same time frame. As a result of the decreased acid deposition, many surface waters throughout the Upper  
2 Midwest, the Adirondack Mountains, and the Northern Appalachian region have begun to recover from  
3 past acidification (the Lake and Stream Acidity indicator, p. 2-62). Second, data on ozone injury to forest  
4 plants are sufficient for establishing national and regional baseline conditions against which future data  
5 can be compared. These baseline conditions show considerable variation in ozone damage across EPA  
6 Regions (the Ozone Injury to Forest Plants indicator, p. 2-37). Third, visibility in protected areas (e.g.,  
7 National Parks, Wilderness Areas) has remained relatively unchanged between 1992 and 2004 (the  
8 Regional Haze indicator, p. 2-50).

9 Overall, for criteria pollutants, the ROE indicators provide fairly complete information on outdoor air  
10 quality trends, but limited insights on associated health and environmental effects. As expected, emissions  
11 trends are generally consistent with trends observed among corresponding ambient concentrations and,  
12 where data are available, effects.

### 13 *Air Toxics and Other Air Pollutants*

14 Between 1990 and 2002, nationwide emissions aggregated across 188 air toxics (hazardous air pollutants)  
15 decreased (the Air Toxics Emissions indicator, p. 2-70), and decreased emissions were also observed for  
16 two air toxics of particular interest: benzene and mercury (the Mercury Emissions indicator, p. 2-68).  
17 However, sufficiently complete and consistent monitoring data currently cannot support ROE indicators  
18 for ambient concentration of air toxics, with two exceptions. First, ambient air concentrations of benzene  
19 at 35 monitoring sites across the nation decreased 61 percent between 1994 and 2004—a decrease  
20 reasonably consistent with corresponding emissions reductions. Second, ambient air concentrations of  
21 manganese compounds measured at 21 monitoring sites in EPA Region 5 decreased between 2000 and  
22 2004, but the period of record evaluated may be too short to consider this decrease an actual air quality  
23 trend.

### 24 *Stratospheric Ozone Issues*

25 Since 1990, the U.S. phased out most production and import of ozone-depleting substances.  
26 Consequently, consumption of ozone-depleting substances in the U.S. decreased during this last decade,<sup>7</sup>  
27 along with globally representative ambient air concentrations of ozone-depleting substances in the lower  
28 atmosphere (the Concentrations of Ozone-Depleting Substances indicator, p. 2-76). While such decreases  
29 are expected to help restore the stratospheric ozone layer, stratospheric ozone levels over North America  
30 actually decreased slightly in the last decade (the Ozone Levels over North America indicator, p. 2-79)  
31 due to various factors, including ongoing use of ozone-depleting substances worldwide and the fact that  
32 ozone-depleting substances are extremely long-lived in the atmosphere.

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<sup>7</sup> U.S. EPA. 2005. Ozone depleting substances (ODS) allowance tracking system.

## 1            ***Limitations, Gaps, and Challenges***<sup>8</sup>

2    The 23 ROE indicators in this section characterize trends for numerous important outdoor air quality  
3    issues, but also have notable limitations. All emissions indicators, for instance, are partly based on  
4    estimates. Although these estimates have inherent uncertainties, the emissions inventory data are believed  
5    to be of high quality and are periodically updated to remain consistent with the current scientific  
6    understanding of emissions from different source categories. The main limitation of the ambient  
7    concentration indicators is the monitoring sites' limited spatial coverage. These indicators are composites  
8    of air quality measurements taken across the country, but primarily in populated areas and may not totally  
9    characterize trends for rural settings. While the national trends for criteria pollutants and benzene are  
10   toward improved air quality, ambient concentrations for these pollutants can vary greatly on a local scale.  
11   In some areas, such as those experiencing rapid population growth or near newly constructed point  
12   sources, ambient air concentrations of selected pollutants are increasing, contrary to the national trends;  
13   conversely, ambient air concentration in other parts of the country are decreasing more rapidly than the  
14   national trends depict.

15   Though the emissions and ambient concentration indicators are reasonably complete for the criteria  
16   pollutants, gaps in nationally representative indicators remain for most air toxics and other air pollutants.  
17   However, a large number of these air toxics and other air pollutants are released by a small number of  
18   sources nationwide, and these pollutants' emissions and ambient concentrations are more appropriately  
19   tracked at the local level, rather than with National Indicators. Another gap in National Indicators is for  
20   air toxics and other air pollutants that are ubiquitous in the nation's outdoor air (e.g., mobile source air  
21   toxics). Although nationwide trends in air toxics concentrations have been estimated with models,  
22   nationally representative ambient air monitoring data on air toxics would provide EPA a more direct  
23   measure of important outdoor air quality trends. Many local-scale monitoring networks have tracked  
24   trends for some of these pollutants, but nationwide indicators could not be developed for pollutants other  
25   than benzene due to limited spatial coverage of monitoring sites, use of differing sampling and analytical  
26   methods over the years, inconsistent application of quality assurance and quality control practices, and  
27   other factors.

28   ROE indicators for ambient concentrations of some common air toxics are expected to be developed in  
29   coming years, based on measurements currently being collected at National Air Toxics Trends Stations.  
30   This network of monitoring sites is specifically designed to characterize long-term trends in several air  
31   toxics believed to account for the greatest health risks nationwide.<sup>9</sup> Additionally, ongoing operation of the  
32   Mercury Deposition Network (part of the National Atmospheric Deposition Program) is gathering data to  
33   support trends analysis on atmospheric deposition of mercury—an issue of particular significance when  
34   evaluating contamination levels in fish and shellfish.

35   National-level exposure and effects indicators can help EPA better characterize nationwide trends in  
36   outdoor air quality and their effects, but key challenges complicate efforts to develop these. For example,  
37   ambient concentration data do not quantify exposures, because ambient air monitoring equipment

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<sup>8</sup> While the ROE indicators provide valuable information about trends in outdoor air quality, the indicators are more limited in their ability to describe trends in associated effects on human health and the environment. As described in Chapter 1, it is difficult to establish causal relationships between specific stressors and outcomes. In the case of outdoor air, there are few “effects” indicators with clear causal linkages.

<sup>9</sup> U.S. EPA. 2004. National monitoring strategy: air toxics component. Final draft. July.

1 measures air quality at fixed outdoor locations, while people breathe air in multiple indoor and outdoor  
2 settings during a typical day. Actual human exposure to air pollution can be measured through use of  
3 personal monitoring devices, which sample the air that people breathe as they move through different  
4 microenvironments. Some researchers have used such devices to quantify exposures to specific pollutants  
5 in some locations.<sup>10</sup> However, conducting such studies on a national scale over an extended time frame  
6 would be an extremely resource-intensive task. Consequently, no nationally representative studies  
7 currently support ROE indicators that characterize exposure to outdoor air pollutants. Another gap  
8 pertaining to effects attributed to outdoor air quality is that the scientific understanding of how all air  
9 pollutants, whether acting alone or in combination, can affect human health and the environment is  
10 incomplete and continues to evolve.

11 While the indicators document what is currently known about selected outdoor air quality issues, ongoing  
12 scientific research continues to broaden the knowledge base on many important topics, ranging from  
13 designing innovative emission control technologies to enhancing atmospheric fate and transport modeling  
14 to developing metrics that better connect air quality to public health and ecological outcomes.

15

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<sup>10</sup> Jantunen, M., O. Hanninen, K. Koistinen, and J.H. Hashim. 2002. PM measurements: personal and indoor air monitoring. *Chemosphere* 49:993-1007.

1 **2.3 WHAT ARE THE TRENDS IN GREENHOUSE GAS EMISSIONS AND**  
2 **CONCENTRATIONS?**

3 **2.3.1 Introduction**

4 Greenhouse gases, such as carbon dioxide, methane, nitrous oxide, and certain synthetic chemicals, trap  
5 some of the Earth’s outgoing energy, thus retaining heat in the atmosphere.<sup>11</sup> Changes in the radiative  
6 balance of the Earth—the balance between energy received from the sun and emitted from Earth—as a  
7 result of this heat trapping alter weather patterns and climates at global and regional scales.<sup>12</sup> Natural  
8 factors, such as variations in the sun’s output, volcanic activity, the Earth’s orbit, the carbon cycle, and  
9 others also affect the radiative balance.<sup>13</sup> However, increasing concentrations of greenhouse gases due to  
10 human activity are likely affecting various aspects of climate, such as surface air temperature and  
11 subsurface ocean temperature; while these and other changes observed over the past few decades are  
12 likely mostly due to human activities, scientists cannot rule out the possibility that some significant part  
13 of these changes is also a reflection of natural variability.<sup>14</sup> Human health, agriculture, water resources,  
14 forests, wildlife, and coastal areas all are vulnerable to climate change.<sup>15</sup> The purpose of this section is to  
15 evaluate long-term trends in air emissions and ambient concentrations of greenhouse gases that are  
16 contributing to climate change, but not to evaluate the effects that these emissions and concentrations  
17 cause.<sup>16</sup>

18 Though the focus of this question is on greenhouse gases, related factors can also alter the Earth’s  
19 climate. Certain radiatively important substances, like black carbon (soot), are technically not greenhouse  
20 gases due to their physical state, but they nonetheless affect the flow of energy through the atmosphere.  
21 Some of these substances, such as sulfate aerosols, have negative radiative forcings that can lead to  
22 cooling effects. Another related factor is albedo (the reflectivity of the Earth’s surface), which affects the  
23 portions of absorbed and outgoing energy. Natural and human factors can affect albedo on a global scale  
24 through changes in large-scale features like the polar ice caps or on a local or regional scale (e.g., by  
25 increased amounts of dark paved surfaces that absorb energy). Although this question does not address  
26 radiatively important substances that are not greenhouse gases or non-chemical factors like albedo, these

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<sup>11</sup> National Research Council, Radiative Forcing of Climate Change: Expanding the Concept and Addressing Uncertainties, March 2005. p. 1, 9, vii, and others.

<sup>12</sup> Op. cit. p. 11.

<sup>13</sup> Op cit. p. 13.

<sup>14</sup> National Research Council, Climate Change Science: An Analysis of Some Key Questions, 2001. p. 1.

<sup>15</sup> NRC op. cit., p. 4 and p. 19-20.

<sup>16</sup> In a general sense, climate change is conceptually connected to every other theme in this report. The broadest discussion of potential effects associated with greenhouse gases in this report is in Section 6.5, which discusses critical physical and chemical attributes of ecosystems, including ROE indicators that track changes in air temperature, precipitation, sea-surface temperature, and sea level—all of which affect ecosystems.

1 influences are also important to understanding the planet’s energy balance and the ways human activities  
2 may affect that balance.<sup>17</sup>

3 Some greenhouse gases are emitted exclusively from human activities (e.g., synthetic halocarbons).  
4 Others occur naturally but are found at elevated levels due to human inputs (e.g., carbon dioxide). The  
5 anthropogenic sources result from energy-related activities (e.g., combustion of fossil fuels in the  
6 electrical utility and transportation sectors), agriculture, land-use change, waste management and  
7 treatment activities, and various industrial processes. Major greenhouse gases and emission sources  
8 include:

- 9 • **Carbon dioxide (CO<sub>2</sub>)**, which occurs naturally as part of the global carbon cycle, but human  
10 activities have increased atmospheric loadings through combustion of fossil fuels and other  
11 emissions sources.<sup>18</sup> Natural sinks that remove CO<sub>2</sub> from the atmosphere (e.g., oceans, plants)  
12 help regulate CO<sub>2</sub> concentrations, but human activities can disturb these processes (e.g.,  
13 deforestation) or enhance them.
- 14 • **Methane (CH<sub>4</sub>)**, which comes from many sources, including human activities such as coal  
15 mining, natural gas distribution, waste decomposition in landfills, and digestive processes in  
16 livestock and agriculture.<sup>19</sup> Natural sources include wetlands and termite mounds.
- 17 • **Nitrous oxide (N<sub>2</sub>O)**, which is emitted during agricultural and industrial activities, as well as  
18 during combustion of solid waste and fossil fuels.
- 19 • **Various synthetic chemicals**, such as hydrofluorocarbons (HFCs), perfluorocarbons (PFCs),  
20 sulfur hexafluoride (SF<sub>6</sub>), and other synthetic gases, which are released as a result of  
21 commercial, industrial, or household uses.
- 22 • **Many other gases** that are known to trap heat in the atmosphere. Examples include water  
23 vapor, which occurs naturally as part of the global water cycle, and ozone, which occurs  
24 naturally in the stratosphere and is found in the troposphere largely due to human activities.

25 Each gas has a different ability to absorb heat in the atmosphere, due to differences in its atmospheric  
26 half-life and the amount and type of energy that it absorbs. For example, it would take thousands of  
27 molecules of CO<sub>2</sub> to equal the warming effect of a single molecule of sulfur hexafluoride—the most  
28 potent greenhouse gas in terms of ability to absorb heat evaluated by the Intergovernmental Panel on  
29 Climate Change (IPCC).<sup>20</sup> To facilitate comparisons between gases that have substantially different

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<sup>17</sup> Detailed information on these related factors may be found in various scientific publications, such as those prepared by the Intergovernmental Panel on Climate Change, a panel formed by the Worldwide Meteorological Organization to compile and synthesize the growing body of scientific literature on climate change.

<sup>18</sup> U.S. EPA. 2006. Inventory of U.S. greenhouse gas emissions and sinks: 1990-2004. EPA/430/R-06/002. Washington, DC.

<sup>19</sup> National Research Council. 2001. Climate change science: an analysis of some key questions. Washington, DC: National Academy Press.

<sup>20</sup> Intergovernmental Panel on Climate Change. 2001. Climate change 2001: the scientific basis (third assessment report). Cambridge, UK: Cambridge University Press.

1 properties, IPCC has developed a set of scaling factors called “global warming potentials” (GWPs), as  
2 discussed further in the indicator write-ups.

### 3 **2.3.2 ROE Indicators**

4 To characterize trends in greenhouse gases, this chapter presents two indicators—one describing  
5 emissions from U.S. sources and the other describing concentrations (Table 2.3.1).

6 The U.S. Greenhouse Gas Emissions indicator covers the period 1990-2003, with data from EPA’s  
7 Inventory of U.S. Greenhouse Gas Emissions and Sinks. This inventory is a database that tracks both  
8 greenhouse gas emissions directly attributable to human activities and greenhouse gas sinks (e.g.,  
9 sequestration of carbon in forests). The indicator stratifies emissions into trends for different gases and  
10 source categories. Emissions are weighted by “global warming potentials” to facilitate comparison among  
11 the gases.

12 The Atmospheric Concentrations of Greenhouse Gases indicator summarizes both direct measurements of  
13 ambient air concentrations from the last half-century and observations for earlier time frames based on  
14 chemical analyses of air bubbles found in ice core samples. The gases in these bubbles represent the  
15 outdoor air that was trapped in ice at the time the ice was formed. Combined, these two measurements  
16 provide extensive historical coverage for the atmospheric concentrations of greenhouse gases.

17 Because many greenhouse gases are extremely long-lived in the atmosphere, with some remaining  
18 airborne for tens to hundreds of years after being released, these long-lived greenhouse gases become  
19 globally mixed in the atmosphere and their concentrations reflect past and recent contributions from  
20 emissions sources worldwide. This context is an important backdrop for the two greenhouse gas  
21 indicators in this section: increasing atmospheric concentrations of greenhouse gases is a global issue,  
22 resulting from emissions from sources in the U.S. combined with emissions from sources in other  
23 countries.

24 **Table 2.3.1. ROE Indicators of Trends in Greenhouse Gas Emissions and Concentrations**

<b>NATIONAL INDICATORS</b>	<b>LOCATION</b>
U.S. Greenhouse Gas Emissions	2.3.2 – p. 2-96
Atmospheric Concentrations of Greenhouse Gases	2.3.2 – p. 2-100

25

## INDICATOR: U.S. Greenhouse Gas Emissions

The Earth's climate is determined by the balance between energy received from the sun and emitted back to space from the Earth and its atmosphere. Certain gases in the atmosphere, such as carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O), water vapor and others, trap some of the outgoing energy, retaining heat in the Earth's atmosphere. These are the so-called "greenhouse gases" (GHG). The best understood greenhouse gases emitted by human activities are CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, and certain fluorinated compounds.

Changes in GHG emissions are influenced by many long-term factors, including population and economic growth, land use, energy prices, technological changes, and inter-annual temperatures. On an annual basis, consumption of fossil fuels, which accounts for most GHG emissions in the U.S., generally fluctuates in response to changes in general economic conditions, energy prices, weather, and the availability of non-fossil alternatives (U.S. EPA, 2005).

This indicator uses data and analysis from the Inventory of U.S. Greenhouse Gas Emissions and Sinks (U.S. EPA, 2005), an assessment of the anthropogenic sources and sinks of greenhouse gas emissions for the U.S. and its Territories for the period 1990 through 2003. The inventory constitutes estimates derived from direct measurements, aggregated national statistics, and validated models in most source categories. An extensive discussion of the methods for each source type and gas and the uncertainties inherent in the calculations is available in EPA (2005) and its Annex 7.

The indicator is expressed in terms of CO<sub>2</sub> equivalents, meaning that emissions of different gases are weighted by their "global warming potential" (GWP). A GWP is a measure of how much a given mass of GHG is estimated to contribute to radiative forcing that contributes to global warming over a selected period of time, compared to the same mass of carbon dioxide, for which the GWP is 1.0. EPA is mandated to use the GWPs documented in the Intergovernmental Panel on Climate Change's Second Assessment Report (IPCC, 1996) and these characterize GWP for a 100 year time horizon—the effect of the gas on radiative forcing over 100 years. Annex 6 of the U.S. GHG Inventory includes extensive information on GWPs and how they relate to emissions estimates (U.S. EPA, 2005).

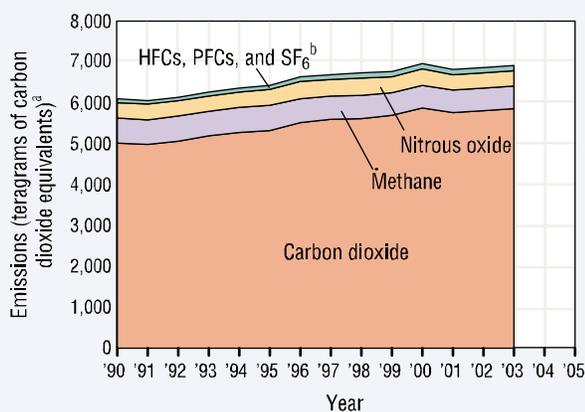
This indicator focuses on the six types of compounds currently covered by agreements under the United Nations Framework Convention on Climate Change. These compounds are CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>). This indicator does not include emissions estimates for substances such as chlorofluorocarbons (CFCs), methyl bromide, sulfates, black carbon, and organic carbon. These substances are excluded primarily because either their emissions have not been quantified in the U.S. GHG Inventory or they have different types of effects on climate than those of the six GHG included in the U.S. inventory and, therefore, most cannot be compared directly to the GHG. Combined, these excluded substances may account for a considerable portion of climate change, but their omission cannot be scientifically quantified in comparable terms.

This indicator presents emissions data in units of teragrams of CO<sub>2</sub> equivalents (Tg CO<sub>2</sub> Eq). These units are conventionally used in GHG inventories prepared worldwide. For reference, one teragram (Tg) is equal to one million metric tons.

1 **What the Data Show**

2 In 2003, total U.S. greenhouse gas emissions were 6,900 Tg CO<sub>2</sub> Eq, up 13 percent from 1990 (Exhibit 2-  
 3 50). CO<sub>2</sub> is the primary greenhouse gas emitted by human activities, representing approximately 85  
 4 percent of greenhouse gas emissions in 2003. From 1990 to 2003, total emissions of CO<sub>2</sub> increased by  
 5 832 Tg CO<sub>2</sub> Eq (17 percent). Methane (CH<sub>4</sub>) represents the second largest U.S. GHG emission,  
 6 accounting for 8 percent of net emissions in 2003. Methane emissions declined about 10 percent from  
 7 1990 to 2003, due largely to reduced emissions from landfills and coal mining operations. The primary  
 8 sources of methane emissions include decomposition of wastes in landfills, coal mine seepage, natural gas  
 9 systems, and enteric fermentation in domestic livestock. Nitrous oxide (N<sub>2</sub>O) constituted about 5 percent  
 10 of net U.S. GHG emissions in 2003; these emissions declined by about 1 percent from 1990 to 2003. The  
 11 main anthropogenic activities producing N<sub>2</sub>O are agricultural soil management, fuel combustion in motor  
 12 vehicles, manure management, nitric acid production, human sewage, and stationary fuel combustion.  
 13 Emissions of HFCs, PFCs, and SF<sub>6</sub> accounted for the remaining 2 percent of GHG emissions in 2003, and  
 14 the aggregate weighted emissions of this group of gases rose by 46 Tg CO<sub>2</sub> Eq (50 percent) since 1990.  
 15 Despite being emitted in smaller quantities relative to the other principal greenhouse gases, emissions of  
 16 HFCs, PFCs, and SF<sub>6</sub> are important because many of them have extremely high global warming  
 17 potentials and, in the cases of PFCs and SF<sub>6</sub>, atmospheric lifetimes of 700 to 50,000 years. The emissions  
 18 in this indicator are a continuation of the trend of increasing GHG emissions observed over many  
 19 decades, with total CO<sub>2</sub>-equivalent emissions increasing by about one fifth since 1970 (U.S. EPA, 2005;  
 20 RIVM/TNO, 2003). CO<sub>2</sub> has constituted a slightly growing portion, while CH<sub>4</sub> has been a declining  
 21 component of the total.

**Exhibit 2-50. Greenhouse gas emissions in the U.S. by gas, 1990-2003**

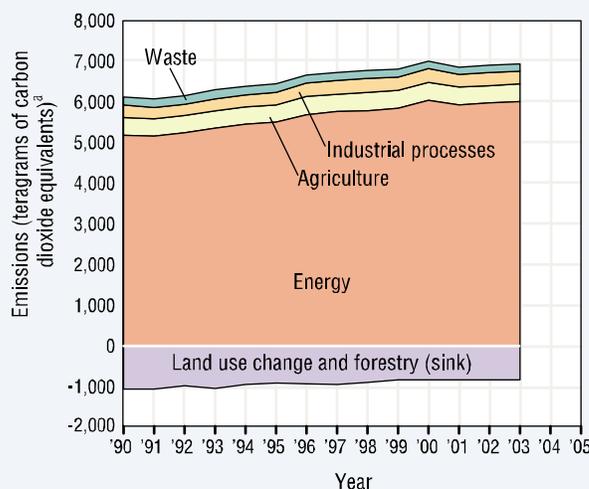


<sup>a</sup>Teragrams of carbon dioxide equivalents are the units conventionally used in greenhouse gas inventories prepared worldwide. For reference, one teragram equals one million metric tons.

<sup>b</sup>HFCs are hydrofluorocarbons, PFCs are perfluorocarbons, and SF<sub>6</sub> is sulfur hexafluoride.

**Data source:** U.S. EPA, 2005

**Exhibit 2-51. Greenhouse gas emissions in the U.S. by industrial sector, 1990-2003**



<sup>a</sup>Teragrams of carbon dioxide equivalents are the units conventionally used in greenhouse gas inventories prepared worldwide. For reference, one teragram equals one million metric tons.

**Data source:** U.S. EPA, 2005

1 Looking at GHG emissions by source shows that energy use (i.e., fuel combustion and gas leakage) has  
2 accounted for about 84 percent of net U.S. emissions since 1990 (Exhibit 2-51). Emissions due to energy  
3 use have increased 16 percent between 1990 and 2003. Agriculture is the second largest source of GHG  
4 emissions, accounting for 6 percent of the total in 2003. U.S. GHG emissions are partly offset by uptake  
5 of carbon and “sequestration” in forests, trees in urban areas, agricultural soils, and landfilled yard  
6 trimmings and food scraps. In aggregate, these removals of CO<sub>2</sub> from the atmosphere offset about 12  
7 percent of U.S. emissions in 2003 (Exhibit 2-51).

8 With one-twentieth of the world’s population (U.S. Bureau of the Census, 2006), the U.S. currently emits  
9 about one-fifth of global greenhouse gases, CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HFCs, PFCs, and SF<sub>6</sub> (Baumert et al., 2005).

## 10 **Indicator Limitations**

- 11 • This indicator does not yet include emissions of greenhouse gases or other radiatively  
12 important substances that are not explicitly covered by the United Nations Framework  
13 Convention on Climate Change and its subsidiary protocol. Thus, it excludes such gases as  
14 those controlled by the Montreal Protocol and its Amendments, including CFCs and  
15 hydrochlorofluorocarbons (HCFCs). Although the U.S. reports the emissions of these  
16 substances as part of the U.S. GHG inventory (see Annex 6.2 of the U.S. GHG Inventory),  
17 the origin of the estimates is fundamentally different from those of the other GHG and  
18 therefore cannot be compared directly with the other emissions discussed in this indicator.
- 19 • This indicator does not include aerosols and other emissions that do affect radiative forcing  
20 and that are not well-mixed in the atmosphere, such as sulfate, ammonia, black carbon and  
21 organic carbon. Emissions of these compounds are highly uncertain and have qualitatively  
22 different effects than the six types of emissions in this indicator.
- 23 • This indicator does not include emissions of other compounds such as CO, NO<sub>x</sub>, nonmethane  
24 volatile organic compounds (VOCs), and substances that deplete the stratospheric ozone  
25 layer, which indirectly affect the Earth’s radiative balance, for example, by altering  
26 greenhouse gas concentrations, changing the reflectivity of clouds, or changing the  
27 distribution of heat fluxes.
- 28 • The U.S. GHG Inventory does not account for “natural” emissions of greenhouse gases, such  
29 as from wetlands, tundra soils, termites, and volcanoes. These excluded sources are discussed  
30 in Annex 5 of the U.S. GHG Inventory (U.S. EPA, 2005). The U.S. GHG Inventory does  
31 include, in its Land Use and Land Use Change and Forestry categories, emissions from  
32 changes in the forest inventory due to fires, harvesting, and other activities, and from  
33 agricultural soils.

## 34 **Data Sources**

35 The data used for this indicator were published in EPA’s inventory of greenhouse gas emissions and sinks  
36 for years 1990-2003 (U.S. EPA, 2005). Specifically, emissions by GHG shown in Exhibit 2-50 are taken  
37 from Table ES-2 of that reference, and emissions by industrial sector are taken from Table ES-4.

## 38 **References**

39 Baumert, K., T. Herzog, and J. Pershing. 2005. Navigating the numbers: greenhouse gas data and  
40 international climate policy. Washington DC: World Resources Institute.  
41 <[http://pdf.wri.org/navigating\\_numbers\\_chapter2.pdf](http://pdf.wri.org/navigating_numbers_chapter2.pdf)>

- 1 IPCC (Intergovernmental Panel on Climate Change). 1996. Climate change 1995: the science of climate
- 2 change. Cambridge, United Kingdom: Cambridge University Press.
  
- 3 RIVM/TNO. 2003. Emission database for global atmospheric research. Version 3.2. 2003. (Olivier,
- 4 J.G.J., and J.J.M. Berdowski. 2001. Global emission sources and sinks.) <<http://www.mnp.nl/edgar/>>
  
- 5 U.S. Bureau of the Census. 2006. World POPClock; USPOPClock.
- 6 <<http://www.census.gov/ipc/www/popclockworld.html>>
  
- 7 U.S. EPA. 2005. Inventory of U.S. greenhouse gas emissions and sinks: 1990-2003.
- 8 <[http://www.epa.gov/climatechange/emissions/usgginv\\_archive.html](http://www.epa.gov/climatechange/emissions/usgginv_archive.html)>

## 1 INDICATOR: Atmospheric Concentrations of Greenhouse Gases

2 The Earth's temperature depends mainly on the amount of energy received from the sun, the portion  
3 reflected back into space, and the extent to which the atmosphere retains heat. Natural forces (e.g.,  
4 volcanoes, changes in the Earth's orbit) and human activities (e.g., emissions of so-called "greenhouse  
5 gases," land use change) affect the amount of energy held in the Earth-atmosphere system and therefore  
6 affect the Earth's climate. Human activities in all countries have altered the chemical composition of the  
7 atmosphere by the emissions and accumulation in the atmosphere of greenhouse gases. The primary gases  
8 that retain heat in the atmosphere are water vapor, carbon dioxide, methane, nitrous oxide, and certain  
9 manufactured gases such as chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs), and sulfur  
10 hexafluoride (SF<sub>6</sub>).

11 Once emitted, gases remain in the atmosphere for varying amounts of time. Very "short-lived"  
12 compounds, such as particulate matter, may remain airborne on average for only hours or days. Methane  
13 also has a relatively short average lifetime, though much longer than PM, remaining in the atmosphere for  
14 roughly 12 years. The half-life of CO<sub>2</sub> emissions is roughly 100 years (5 to 200 years: IPCC, 2001), but  
15 about a quarter of emissions today will still be in the atmosphere after hundreds of years and about one-  
16 tenth for hundreds of thousands of years (Archer, 2005; Archer et al., 1998). Finally, many of the  
17 synthetic gases such as halocarbons are extremely long-lived, remaining in the atmospheric for hundreds  
18 or even tens of thousands of years. When emissions—from the U.S. (the U.S. Greenhouse Gas Emissions  
19 indicator, p. 2-96) as well as other countries—remain in the atmosphere over long periods, they  
20 accumulate and are measured as atmospheric concentrations. U.S. GHG emissions from 1890 to 2000 are  
21 estimated to have contributed about one-fifth of the increase in global GHG concentrations (den Elzen et  
22 al., 2005).

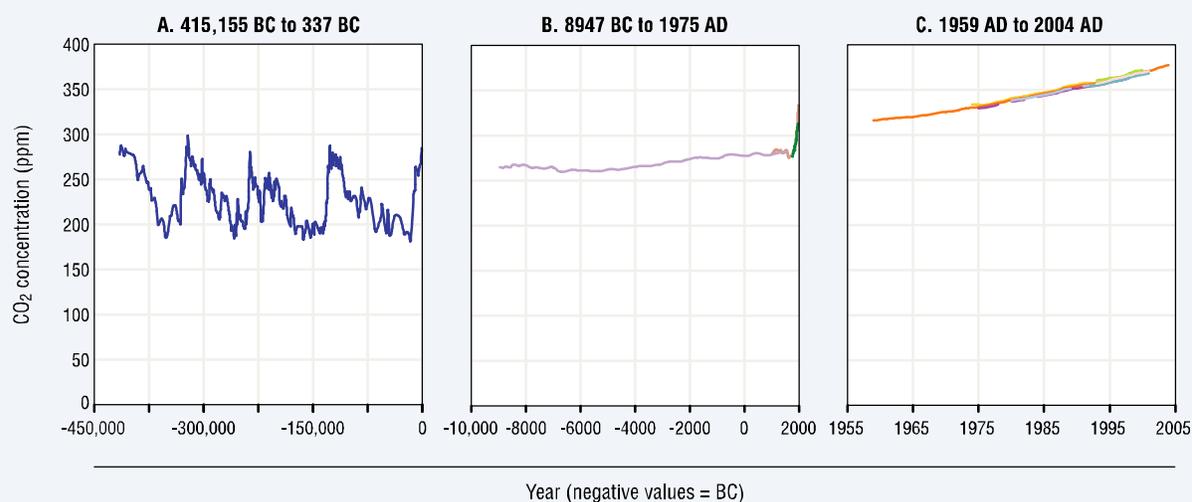
23 This indicator shows trends in the accumulation of the following principal greenhouse gases in the  
24 atmosphere: CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, and selected halocarbons. Recent data are from global networks that monitor  
25 the concentrations of these gases in the atmosphere. Geologic data result from gas measurements made of  
26 air trapped in ice cores at the time the ice was formed. Because the gases shown in this indicator remain  
27 in the atmosphere for long periods, they are well-mixed, so that measurements at individual locations are  
28 globally representative. This indicator summarizes greenhouse gas concentration measurements reported  
29 in a collection of studies published in the peer-reviewed literature. In order to provide the most extensive  
30 temporal coverage, this indicator aggregates comparable, high quality data from individual studies that  
31 each focused on different time frames. None of the data in this indicator is based on modeled  
32 concentrations.

### 33 **What the Data Show**

34 Exhibits 2-52 through 2-54 show the evolution of concentrations of three principal greenhouse gases in  
35 the atmosphere over three intervals: geologic time (hundreds of thousands of years), the past 11,000  
36 years, and in recent decades. The exhibits represent data sets covering a wide range of latitudes, showing  
37 some latitudinal differences in concentrations, but also showing a high level of consistency—indicating  
38 that the gases are well-mixed and that the sampling can be considered spatially representative. The graphs  
39 show patterns of large cycles of concentrations over geologic time, but also increases in concentrations  
40 since the Industrial Revolution that exceed concentrations over the past hundreds of thousands of years.

41 The concentration of CO<sub>2</sub> has varied considerably over geological time (Exhibit 2-52). Over the past  
42 420,000 years, CO<sub>2</sub> concentrations have cycled over several thousand year periods from highs around  
43 285-300 ppm to lows around 180-185 ppm. From at least 900 A.D. to 1800 A.D., CO<sub>2</sub> concentrations

**Exhibit 2-52.** Global atmospheric concentrations of carbon dioxide (CO<sub>2</sub>) over geological time and in recent years



**Trend lines and data sources:**

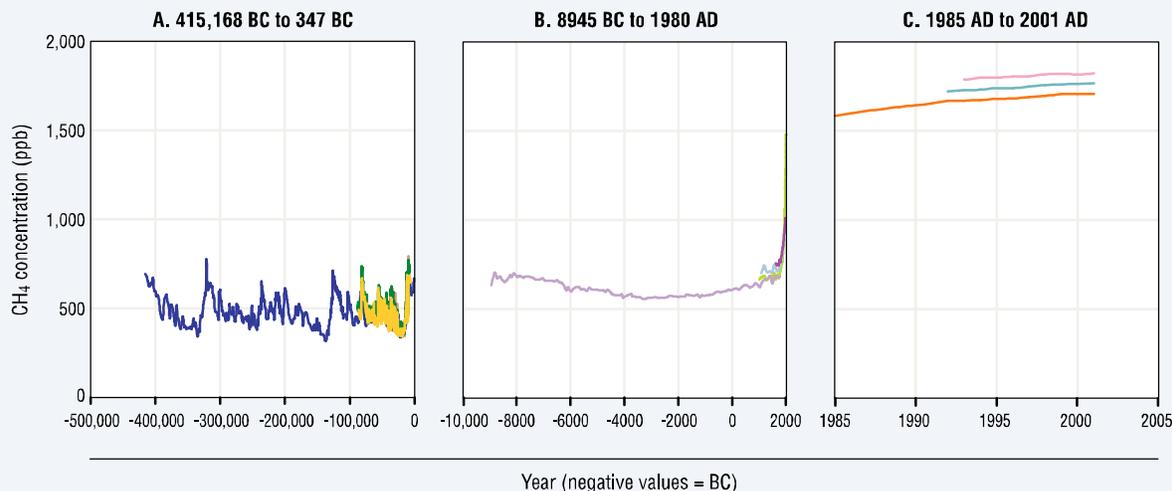
415,155 BC to 337 BC	8947 BC to 1975 AD	1959 AD to 2004 AD
<ul style="list-style-type: none"> <li>Vostok Station, Antarctica (<i>Barnola et al., 2003</i>)</li> </ul>	<ul style="list-style-type: none"> <li>Law Dome, East Antarctica 75-year smoothed (<i>Etheridge et al., 1998</i>)</li> <li>Siple Station, West Antarctica (<i>Neftel et al., 1994</i>)</li> <li>Antarctica (<i>Petit et al., 2001</i>)</li> </ul>	<ul style="list-style-type: none"> <li>Barrow, Alaska (<i>Steele et al., 2002</i>)</li> <li>Cape Matatula, American Samoa (<i>Thoning et al., 2000</i>)</li> <li>South Pole, Antarctica (<i>Thoning et al., 2000</i>)</li> <li>Mauna Loa, Hawaii (<i>Keeling et al., 2005</i>)</li> <li>Lampedusa Island, Italy (<i>Chamard et al., 2001</i>)</li> <li>Shetland Islands, Scotland (<i>Steele et al., 2002</i>)</li> <li>Cape Grim, Australia (<i>Steele et al., 2002</i>)</li> </ul>

1 stayed relatively constant at about 270-290 ppm (panel B). Over the past 150 years, CO<sub>2</sub> concentrations  
 2 have increased by 31 percent; from 1958 to 2002, they have increased by about 18 percent (plus or minus  
 3 1 percent) to 374 ppm (panels B and C).

4 Methane (CH<sub>4</sub>) concentrations also cycled widely over the past 420,000 years but peaks remained below  
 5 800 ppb until after 1800 A.D. (Exhibit 2-53). Essentially no increase occurred from 1000 A.D. to 1730  
 6 A.D. (panel B). It then took approximately 175 years (c. 1905) to add 200 ppb to atmospheric CH<sub>4</sub>  
 7 concentrations, 40 years (c. 1945) to add the next 200 ppb, 20 years to add the next 200 ppb (c. 1965), and  
 8 10 years (c. 1975) to add the next 200 ppb (panels B and C). The rates of methane increase began to slow  
 9 by the late 1970s, with less than 200 ppb added to atmospheric concentrations between 1978 and the  
 10 present (panel C). Overall, global methane concentrations more than doubled in the past 150 years. The  
 11 most recent data show that there is a significant difference in methane concentrations across latitudes,  
 12 with a pattern of peak concentrations in the most northern latitudes decreasing toward the southern  
 13 latitudes, which suggests net sources of methane in northern latitudes. Yet, despite the latitudinal  
 14 differences in concentrations, the pattern over the past two centuries shows a common trend in all  
 15 locations.

16

**Exhibit 2-53.** Global atmospheric concentrations of methane (CH<sub>4</sub>) over geological time and in recent years



**Trend lines and data sources:**

**415,168 BC to 347 BC**

- Vostok Antarctica ice core (*Petit et al., 2001*)
- Greenland GRIP ice core (*Blunier et al., 2001*)
- Greenland GISP2 ice core (*Blunier et al., 2001*)
- Antarctica Byrd Station ice core (*Blunier et al., 2001*)

**8945 BC to 1980 AD**

- Law Dome, Antarctica (*Etheridge et al., 2002*)
- Various Greenland locations (*Etheridge et al., 2002*)
- Greenland Site J (*Hashida et al., 2005*)
- Antarctica (*Petit et al., 2001*)

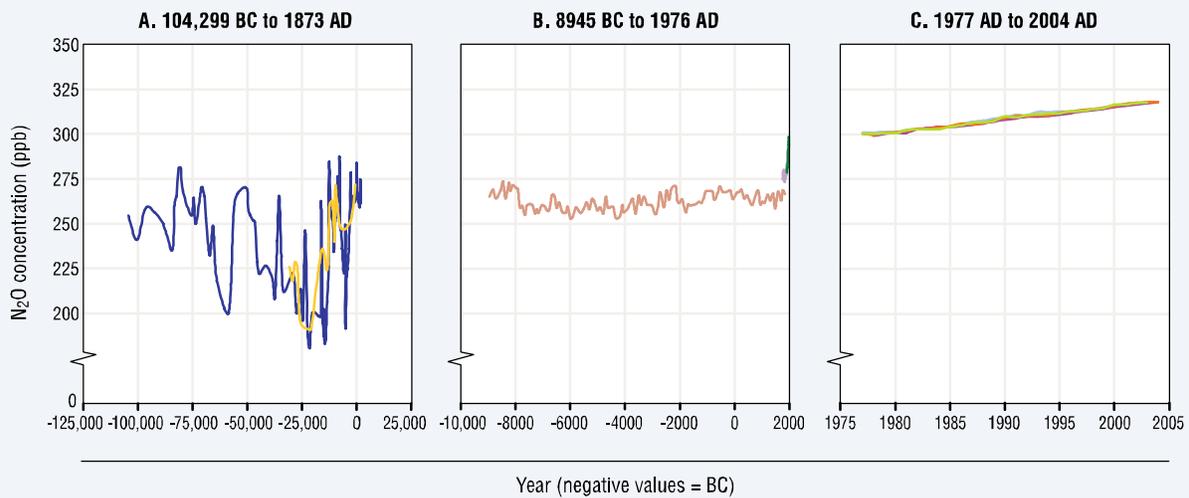
**1985 AD to 2001 AD**

- Cape Grim, Australia (*Steele et al., 2002*)
- Shetland Islands, Scotland (*Steele et al., 2002*)
- Mauna Loa, Hawaii (*Steele et al., 2002*)

1 Nitrous oxide (N<sub>2</sub>O) concentrations (Exhibit 2-54) vacillated widely through geologic time, with ice  
 2 sample measurements ranging from as low as 180 ppb to above 280 ppb. Despite considerable inter-  
 3 decadal variability, N<sub>2</sub>O stayed mostly below 280 ppb from 1756 A.D. until the 1920s (panel B), from  
 4 which point levels began to rise rapidly to approximately 318 ppb in 2004 (panels B and C), the highest  
 5 level ever recorded.

6 Concentrations of the halocarbons (or gases that contain the halogens chlorine, fluorine, bromine, or  
 7 iodine) were essentially zero a few decades ago, but have increased rapidly as they were incorporated into  
 8 industrial products and processes (Exhibit 2-55). Concentrations of hydrochlorofluorocarbons HCFC-  
 9 141b and HCFC-142b increased sharply through 2005 (panel A), but are expected to gradually stabilize  
 10 over this decade as they are phased out in industrialized countries as part of the Montreal Protocol on  
 11 Substances that Deplete the Ozone Layer. The concentration of HFC-23, which is a by-product of HCFC-  
 12 22 production, has increased more than five-fold between 1978 and 2005 (panel B). HFCs that are not  
 13 controlled by the Protocol (because they do not contribute to stratospheric ozone losses) mostly continue  
 14 to increase because of their widespread use as substitutes for the Montreal Protocol gases.

**Exhibit 2-54.** Global atmospheric concentrations of nitrous oxide (N<sub>2</sub>O) over geological time and in recent years



**Trend lines and data sources:**

**104,299 BC to 1873 AD**

- GISP II Greenland (*Sowers et al., 2003*)
- Taylor Dome (*Sowers et al., 2003*)

**8945 BC to 1976 AD**

- Dome C, Antarctica (*Fluckiger et al., 2002*)
- Antarctica (*Machida et al., 1995*)
- Antarctica (*Battle et al., 1996*)

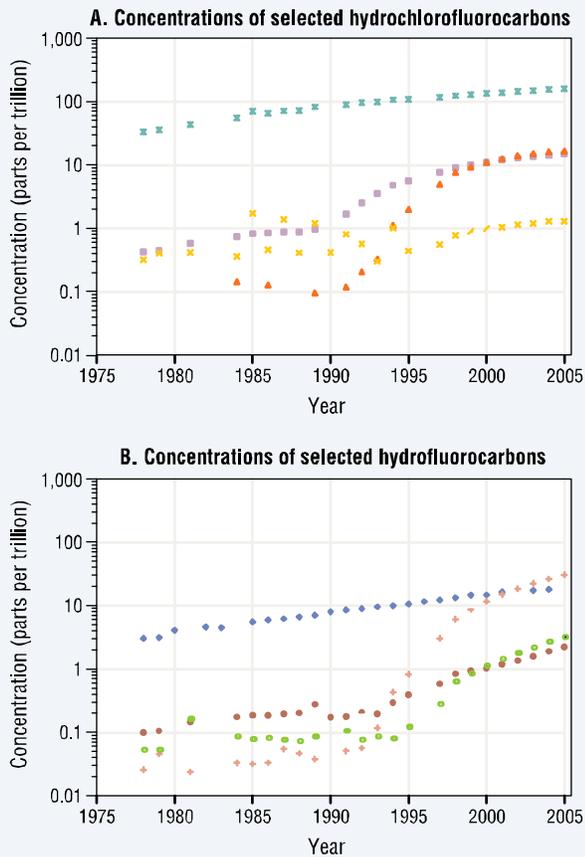
**1977 AD to 2004 AD**

- Barrow, Alaska (*Elkins et al., 2005*)
- Cape Grim, Australia (*Elkins et al., 2005*)
- Mauna Loa, Hawaii (*Elkins et al., 2005*)
- South Pole, USA station (*Elkins et al., 2005*)

**1 Indicator Limitations**

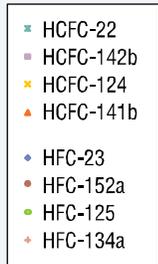
- 2 • Water vapor is not tracked in this indicator as it is generally accepted that human activities
- 3 have not increased the concentration of water vapor in the atmosphere.
- 4 • Some radiatively important atmospheric constituents that are substantially affected by human
- 5 activities such as tropospheric ozone, black carbon, aerosols, and sulfates are not included in
- 6 this indicator because of their spatial and temporal variability and the inadequacy of available
- 7 data to characterize long-term averages or trends.
- 8 • Concentration data are not available for all the halogenated compounds that are potentially
- 9 important greenhouse gases. For instance, global concentration data are not sufficient yet to
- 10 track trends in concentrations of SF<sub>6</sub> and PFCs.
- 11 • Ice core measurements are not taken in real time, which introduces some error into the date of
- 12 the sample. Dating accuracy for the ice cores ranged up to ±20 years (often less), depending
- 13 on the method used and the time period of the sample. Diffusion of gases from the samples,
- 14 which would tend to reduce the measured values, may also add a small amount of
- 15 uncertainty. More information on the accuracy of measurements of ice samples and other
- 16 measurement methods can be found at:
- 17 [http://cdiac.esd.ornl.gov/by\\_new/bysubjec.html#atmospheric](http://cdiac.esd.ornl.gov/by_new/bysubjec.html#atmospheric).

**Exhibit 2-55. Global atmospheric concentrations of selected halocarbons, 1978-2005<sup>a</sup>**



<sup>a</sup>Trends are presented for hydrochlorofluorocarbons (HCFCs) and hydrofluorocarbons (HFCs) with sufficient data to support long-term trend analysis.

**Data source:** IPCC, 2001, and Australia Commonwealth Scientific and Industrial Research Organisation (CSIRO), *Marine and Atmospheric Research, 2006*



## Data Sources

The data in this indicator come from multiple sources. Summary global atmospheric concentration data for CO<sub>2</sub> (Exhibit 2-52), CH<sub>4</sub> (Exhibit 2-53), and N<sub>2</sub>O (Exhibit 2-54) were provided by EPA's Office of Atmospheric Programs, based on greenhouse gas concentration measurements reported in a collection of studies published in the peer-reviewed literature. Citations for these studies and links to the corresponding underlying data can be found online at:

[http://www.epa.gov/climatechange/science/recentac\\_majorghg.html](http://www.epa.gov/climatechange/science/recentac_majorghg.html).

Summary global atmospheric concentration data for selected halocarbons (Exhibit 2-55) were provided by the Australia Commonwealth Scientific and Industrial Research Organisation (CSIRO). The 1975-2001 data in this exhibit are taken from IPCC's third assessment report on climate change (IPCC, 2001). The 2002-2005 data in this exhibit were not publicly available at the time this report went to press, but they will be published in IPCC's upcoming fourth assessment report on climate change.

## References

Exhibits 2-52, 2-53, and 2-54 refer to 16 data sources. Complete citations for these references and links to the corresponding underlying data can be found online at:

[http://www.epa.gov/climatechange/science/recentac\\_majorghg.html](http://www.epa.gov/climatechange/science/recentac_majorghg.html).

Archer, D., and A. Ganopolski. 2005. A movable trigger: fossil fuel CO<sub>2</sub> and the onset of the next glaciation. *Geochim Geophys Geosys* 6(Q05003) <[http://geosci.uchicago.edu/~archer/reprints/archer\\_ms.next\\_ice\\_age.pdf](http://geosci.uchicago.edu/~archer/reprints/archer_ms.next_ice_age.pdf)>

37 Archer, D., H. Keshgi, and E. Maier-Reimer. 1998. Dynamics of fossil fuel neutralization by Marine  
38 CaCO<sub>3</sub>. *Global Biogeochem Cycles* 12:259-276.  
39 <[http://geosci.uchicago.edu/~archer/reprints/gbc98/neutral\\_gbc.pdf](http://geosci.uchicago.edu/~archer/reprints/gbc98/neutral_gbc.pdf)>

40 den Elzen, M., J. Fuglestvedt, N. Höhne, C. Trudinger, J. Lowe, B. Matthewso, B. Romstadv, C. Pires de  
41 Campos, and N. Andronova. 2005. Analysing countries' contribution to climate change: scientific and  
42 policy-related choices. *Env Sci percent Policy* June 05.

- 1 IPCC (Intergovernmental Panel on Climate Change). 2001. Climate change 2001: the scientific basis
- 2 (third assessment report). Cambridge, UK: Cambridge University Press.

1 **2.3.3 Discussion**

2 ***What These Indicators Say About Trends in Greenhouse Gas Emissions***  
3 ***and Concentrations***

4 The nation’s estimated combined emissions of several greenhouse gases that are directly attributable to  
5 human activity have increased 13 percent between 1990 and 2003 (the U.S. Greenhouse Gas Emissions  
6 indicator, p. 2-96). Emissions sources occur from several sectors of the U.S. economy, with the highest  
7 contribution—and the greatest recent growth—attributed to energy use, which primarily includes  
8 electricity generation and transportation sources. This indicator also compares contributions of different  
9 greenhouse gases by normalizing for each gas’s ability to affect the Earth’s energy balance. The results  
10 show that CO<sub>2</sub> makes up the bulk of the nation’s anthropogenic greenhouse gas emissions. Both  
11 observations demonstrate that fossil fuel combustion is clearly the country’s major source of  
12 anthropogenic greenhouse gas emissions.

13 Data on atmospheric concentrations of greenhouse gases have extraordinary temporal coverage (the  
14 Greenhouse Gas Concentrations indicator, p. 2-100). For CO<sub>2</sub>, methane, and N<sub>2</sub>O, concentration data span  
15 several hundred thousand years; and for selected halocarbons, concentration data span virtually the entire  
16 time frame that these synthetic gases were widely used. Thus, these concentration data provide an  
17 excellent basis for answering the question regarding trends in greenhouse gas concentrations. The  
18 historical data for CO<sub>2</sub>, methane, and N<sub>2</sub>O show considerable temporal variability in these gases’  
19 concentrations; however, concentrations observed in the past 50 years are higher than those over the  
20 entire period of record evaluated—even when considering natural fluctuations. In short, the historical  
21 context provided by ice cores shows that present concentrations of these three greenhouse gases are  
22 unprecedented over the last 420,000 years, and strongly suggest that the recently increasing levels mostly  
23 reflect the influence of human activity. For the various halocarbons considered, concentrations have  
24 increased between 1980 and 2000, with some increases spanning more than two orders of magnitude, but  
25 the rate at which these concentrations is increasing has slowed in recent years.

26 Taken together, the well-documented long-term trends in concentrations of greenhouse gases, along with  
27 corresponding increases in emissions from anthropogenic sources, show that human activity is causing  
28 increased concentrations of greenhouse gases in the Earth’s atmosphere—a finding echoed in many  
29 prominent reviews on the science of climate change.<sup>21, 22</sup>

30 ***Limitations, Gaps, and Challenges***

31 Although they provide extensive insights into greenhouse gas emissions and concentrations, the two  
32 greenhouse gas indicators have limitations and gaps that should be acknowledged. The emissions trends,  
33 for instance, are based largely on estimates, which have uncertainties inherent in the engineering  
34 calculations and estimation methodologies developed for the U.S. greenhouse gas emissions inventory.  
35 Uncertainty of the magnitude of the emissions vary among the gases and sources, though estimated  
36 emissions from some of the largest sources (e.g., CO<sub>2</sub> emissions from fossil fuel combustion) are

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<sup>21</sup> National Research Council. 2001. Climate change science: an analysis of some key questions. Washington, DC: National Academy Press.

<sup>22</sup> Intergovernmental Panel on Climate Change. 2001. Climate change 2001: the scientific basis (third assessment report). Cambridge, United Kingdom: Cambridge University Press.

1 considered highly accurate.<sup>23</sup> One gap in the emissions indicator is that EPA's GHG inventory does not  
2 track every greenhouse gas or every emissions source. Examples of greenhouse gases not included in the  
3 inventory are ozone and selected chlorofluorocarbons. The most notable sources not tracked in the  
4 inventory are natural sources, such as CH<sub>4</sub> from wetlands, CO<sub>2</sub> and CH<sub>4</sub> from thawing permafrost, and  
5 multiple emissions from volcanoes. Although worldwide emissions of greenhouse gases from natural  
6 sources actually far outweigh inputs attributed to human origin,<sup>24</sup> the incremental increases of  
7 anthropogenic emissions are environmentally noteworthy because they upset the balance of natural  
8 sources and sinks of greenhouse gases. Though not necessarily a limitation or a gap, it is important to  
9 note that EPA's Greenhouse Gas Inventory, by design, tracks only this nation's anthropogenic emissions  
10 of greenhouse gases. For perspective on how the nation's emissions compare to those from other  
11 countries, recent data estimate that the U.S. emits approximately 20 percent of the total worldwide  
12 amounts of selected greenhouse gases.<sup>25</sup> Having national emissions indicators on a more complete set of  
13 greenhouse gases and emissions sources would further improve EPA's ability to track pressures that  
14 affect climate change.

15 The Greenhouse Gas Concentrations indicator (p. 2-100) tracks trends in measured airborne levels of  
16 greenhouse gases regardless of the anthropogenic or natural sources that released them, which helps  
17 account for some of the inherent limitations and uncertainties in the emissions indicator. However, the  
18 concentration data have limitations and gaps of their own. Historical concentrations from ice core samples  
19 are not measured in real time, which introduces some minor uncertainty into the data set; consistency  
20 among measurements made by multiple laboratories at different locations suggests this uncertainty is  
21 relatively low.<sup>26</sup> A gap in the concentration data, as with the emissions data, is that not all greenhouse  
22 gases have been monitored. Long-term trend data for ozone, for instance, are currently not available.  
23 Measuring globally representative trends in tropospheric ozone concentrations presents technical  
24 challenges, because ozone is a short-lived gas (which does not lend well to ice core measurements) with  
25 concentrations that exhibit tremendous spatial variations (which would require extensive monitoring to  
26 characterize worldwide trends). Another gap is the lack of ROE indicators for radiatively important  
27 substances, such as soot and aerosols. Though these substances technically are not greenhouse gases,  
28 tracking trends in these substances' concentrations is important due to their ability to alter the Earth's  
29 energy balance.

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<sup>23</sup> U.S. EPA. 2003. Inventory of U.S. greenhouse gas emissions and sinks: 1990-2003. EPA/430/R-05/003. Washington, DC.

<sup>24</sup> U.S. EPA. 2000. Global warming and our changing climate: answers to frequently asked questions. EPA/430/F-00/011. Washington, DC.

<sup>25</sup> den Elzen, M., J. Fuglestedt, N. Höhne, C. Trudinger, J. Lowe, B. Matthews, B. Romstad, C. Pires de Campos, and N. Andronova. 2005. Analysing countries' contribution to climate change: scientific and policy-related choices. *Env. Sci. Policy* 8(6):614-636.

<sup>26</sup> Barnola, J., D. Raynaud, C. Lorius, and N.I. Barkov. 2003. Historical CO<sub>2</sub> record from the Vostok ice core. In: *Trends: a compendium of data on global change*. Oak Ridge, TN: U.S. Department of Energy.

1 **2.4 WHAT ARE THE TRENDS IN INDOOR AIR QUALITY AND THEIR EFFECTS**  
2 **ON HUMAN HEALTH?**

3 **2.4.1 Introduction**

4 Indoor air quality refers to the quality of the air in a home, school, office, or other building environment.  
5 Most pollutants affecting indoor air quality come from sources inside buildings, although some originate  
6 outdoors. Typical pollutants of concern include combustion products such as carbon monoxide,  
7 particulate matter, and environmental tobacco smoke; substances of natural origin such as radon;  
8 biological agents such as molds; pesticides; lead; asbestos; ozone (from some air cleaners); and various  
9 volatile organic compounds (VOCs) from a variety of products and materials. Indoor concentrations of  
10 some pollutants have increased in recent decades due to such factors as energy-efficient building  
11 construction and increased use of synthetic building materials, furnishings, personal care products,  
12 pesticides, and household cleaners.

13 The potential impact of indoor air quality on human health nationally is considerable, for several reasons.  
14 Americans, on average, spend approximately 90 percent of their time indoors,<sup>27</sup> where the concentrations  
15 of some pollutants are often 2 to 5 times higher than typical outdoor concentrations.<sup>28</sup> Moreover, people  
16 who are often most susceptible to the adverse effects of pollution (e.g., the very young, older adults, and  
17 people with cardiovascular or respiratory disease) tend to spend even more time indoors.<sup>29</sup> Health effects  
18 that have been associated with indoor air pollutants include irritation of the eyes, nose, and throat;  
19 headaches, dizziness, and fatigue; respiratory diseases; heart disease; and cancer.

20 Indoor air pollutants originate from many sources. These sources can be classified into two general  
21 categories:

- 22 • **Indoor sources (sources within buildings themselves).** Combustion sources in indoor  
23 settings, including tobacco, heating and cooking appliances, and fireplaces, can release  
24 harmful combustion by-products such as carbon monoxide and particulate matter directly into  
25 the indoor environment. Cleaning supplies, paints, insecticides, and other commonly used  
26 products introduce many different chemicals, including volatile organic compounds, directly  
27 into the indoor air. Building materials are also potential sources, whether through degrading  
28 materials (e.g., asbestos fibers released from building insulation) or from new materials (e.g.,  
29 chemical off-gassing from pressed wood products). Other substances in indoor air are of  
30 natural origin, such as mold and pet dander.

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<sup>27</sup> U.S. EPA. 1989. Report to Congress on indoor air quality: volume 2. EPA/400/1-89/001C. Washington, DC.

<sup>28</sup> U.S. EPA. 1987. The total exposure assessment methodology (TEAM) study: summary and analysis. EPA/600/6-87/002a. Washington, DC.

<sup>29</sup> U.S. EPA. 1997. Exposure factors handbook: volume 3—activity factors. EPA/600/P-95/002Fa. Washington, DC.

- 1           • **Outdoor sources.** Outdoor air pollutants can enter buildings through open doors, open  
2 windows, ventilation systems, and cracks in structures. Some pollutants come indoors  
3 through building foundations. For instance, radon forms in the ground as naturally occurring  
4 uranium in rocks and soils decays. The radon can then enter buildings through cracks or gaps  
5 in structures. In areas with contaminated ground water or soils, volatile chemicals can enter  
6 buildings through this same process. Finally, when people enter buildings, they can  
7 inadvertently bring in soils and dusts on their shoes and clothing from the outdoors, along  
8 with pollutants that adhere to those particles.

9           In addition to pollutant sources, the air exchange rate with the outdoors is an important factor in  
10 determining indoor air pollutant concentrations. The air exchange rate is affected by the design,  
11 construction, and operating parameters of buildings and is ultimately a function of infiltration (air that  
12 flows into structures through openings, joints, and cracks in walls, floors, and ceilings and around  
13 windows and doors), natural ventilation (air that flows through opened windows and doors), and  
14 mechanical ventilation (air that is forced indoors or vented outdoors by ventilation devices, such as fans  
15 or air handling systems). Outdoor climate and weather conditions combined with occupant behavior can  
16 also affect indoor air quality. Weather conditions influence whether building occupants keep windows  
17 open or closed, or whether occupants operate air conditioners, humidifiers, or heaters, all of which can  
18 impact indoor air quality. Weather also has a large effect on infiltration. Certain climatic conditions can  
19 increase the potential for indoor moisture and mold growth if not controlled by adequate ventilation or air  
20 conditioning.

21           The link between some common indoor air pollutants and health effects is very well established. Radon is  
22 a known human carcinogen and is the second leading cause of lung cancer.<sup>30,31</sup> Carbon monoxide is toxic  
23 and short-term exposure to elevated carbon monoxide levels in indoor settings can be lethal.<sup>32</sup> Episodes of  
24 “Legionnaires’ Disease,” a form of pneumonia caused by exposure to the *Legionella* bacterium, have  
25 been associated with buildings with poorly maintained air conditioning or heating systems.<sup>33,34</sup> In  
26 addition, numerous indoor air pollutants—dust mites, mold, pet dander, environmental tobacco smoke,  
27 cockroach allergens, and others—are “asthma triggers,” meaning that some asthmatics might experience  
28 asthma attacks following exposure.<sup>35</sup>

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<sup>30</sup> U.S. EPA. 2003. EPA assessment of risks from radon in homes. EPA/402/R-03/003. Washington, DC.

<sup>31</sup> National Research Council. 1999. Health effects of exposure to indoor radon: biological effects of ionizing radiation (BEIR), report VI. Washington, DC: National Academy Press.  
<<http://www.epa.gov/iaq/radon/beirvi.html>>

<sup>32</sup> Raub, J.A., M. Mathieu-Nolf, N.B. Hampson, S.R. Thom. 2000. Carbon monoxide poisoning—a public health perspective. *Toxicology* 145:1-14.

<sup>33</sup> Allan, T., et al. 2001. Outbreak of legionnaires’ disease among automotive plant workers—Ohio, 2001. *MMWR* 50(18):357-359.

<sup>34</sup> Fields, B.S., R.F. Benson, and R.E. Besser. 2002. *Legionella* and legionnaires’ disease: 25 years of investigation. *Clin. Microbiol. Rev.* 15(3):506-526.

<sup>35</sup> U.S. Institute of Medicine. 2000. Clearing the air: asthma and indoor air exposures. Washington, DC: National Academy Press.

1 While these and other adverse health effects have been attributed to specific pollutants, the scientific  
2 understanding of some indoor air quality issues continues to evolve. One example is “sick building  
3 syndrome,” which occurs when building occupants experience similar symptoms after entering a  
4 particular building, with symptoms diminishing or disappearing after leaving the building, and these  
5 symptoms are increasingly being attributed to a variety of building indoor air attributes.

6 Researchers also have been investigating the relationship between indoor air quality and important issues  
7 not necessarily related to health, such as student performance in the classroom and productivity in  
8 occupational settings.<sup>36</sup> Another evolving area is research in “green building” design, construction,  
9 operation, and maintenance that achieves energy efficiency and enhances indoor air quality.

## 10 **2.4.2 ROE Indicators**

11 Two National Indicators that fully meet the indicator criteria are discussed in this section. These  
12 indicators address two specific issues and do not cover the wide range of issues associated with indoor air  
13 quality. The two indicators focus on radon and environmental tobacco smoke. The indicator on radon  
14 levels in homes is based on EPA’s 1992 National Residential Radon Survey, 2000 U.S. Census data, and  
15 production and sales statistics for radon mitigation systems. Environmental tobacco smoke is addressed  
16 using biomarker data for cotinine, a metabolite linked exclusively to chemicals found in tobacco smoke.  
17 The Centers for Disease Control and Prevention (CDC) have reported these biomarker data in multiple  
18 releases of its National Health and Nutrition Examination Survey.

19 **Table 2.4.1. ROE Indicators of Trends in Indoor Air Quality and Their Effects on Human Health**

NATIONAL INDICATORS	LOCATION
U.S. Homes Above EPA’s Radon Action Level	2.4.2 – p. 2-111
Blood Cotinine Level	2.4.2 – p. 2-114

20

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<sup>36</sup> U.S. EPA. 2003. Indoor air quality and student performance. EPA/402/K-03/006. Washington, DC.

## INDICATOR: U.S. Homes Above EPA's Radon Action Level

Radon is a radioactive gas. It comes from the decay of uranium that is naturally occurring and commonly present in rock and soils. It typically moves up through the ground to the air above and into a home through pathways in ground contact floors and walls. Picocuries per liter of air (pCi/L) is the unit of measure for radon in air (the metric equivalent is becquerels per cubic meter of air, or Bq/m<sup>3</sup>).

Each year radon is associated with an estimated 21,100 lung cancer deaths in the U.S. with smokers at an increased risk; radon is the second leading cause of lung cancer after smoking, and 14.4 percent of lung cancer deaths in the U.S. are believed to be radon-related (U.S. EPA, 2003). To reduce the risk of lung cancer, EPA has set a recommended "action level" of 4 pCi/L for homes. At that level, it is cost-effective for occupants to reduce their exposure by implementing preventive measures in their homes.

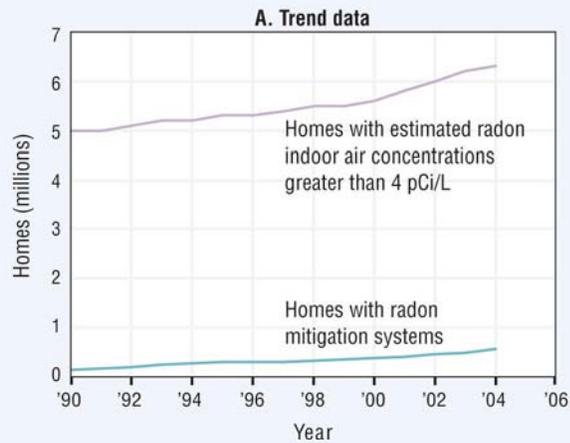
This indicator presents: (1) the number of U.S. homes estimated to be at or above the EPA recommended radon action level of 4 pCi/L; and (2) the number of homes with an operating radon mitigation system. The gap between the homes in (1) and in (2) is the number of homes that have not yet been mitigated (generally, homes are only mitigated if the EPA recommended radon action level of 4 pCi/L or more is measured). The data for this indicator were extracted from the National Residential Radon Survey (U.S. EPA, 1992a) which estimated radon levels in the U.S. housing stock. The number of homes at or above 4 pCi/L was estimated by applying: (1) the results of the National Residential Radon Survey (U.S. EPA, 1992a) to (2) 2000 U.S. Census data on the number of U.S. households. The 1992 National Residential Radon Survey was based on the housing stock that would be covered by EPA's radon testing policy (i.e., homes that should test). This included only homes intended for regular (year-round) use but covers single-family homes, mobile homes, multi-unit and group quarters (U.S. EPA, 1992b). The 1992 residential survey estimated that about one in fifteen homes in EPA's "should test" category would have a radon level of 4 pCi/L or more. The measure of the number of homes with an operating mitigation system (HOMS) was developed from radon vent fan (RVF) sales data provided voluntarily by fan manufacturers.

### What the Data Show

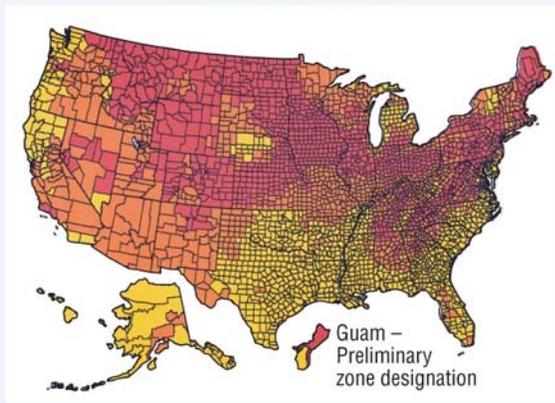
There was a 272 percent increase in the number of homes with an operating mitigation system from 1990 to 2004, going from 155,000 to 577,000 homes over 15 years; but during the same period, there has been a 26 percent increase in the estimated number of homes with radon levels greater than 4 pCi/L, from about 5 million to 6.3 million (Exhibit 2-56, panel A). Panel B of Exhibit 2-56 shows radon potential in homes at the county level based on indoor radon measurements, geology, aerial radioactivity, soil permeability, and foundation type. Zone 1 is the highest radon potential area, followed by Zone 2 (medium), and Zone 3 (low).

It has been reported anecdotally that radon vent fans and mitigation systems are also being used to control for soil gases/intrusion in homes in the vicinity of RCRA, Superfund, UST/AST and similar sites as an element of corrective action plans. While fans used in this way may provide a radon reduction benefit, they could be considered a subtraction from the number of homes with an operating mitigation system, thus reducing slightly the slope of the trend line.

**Exhibit 2-56.** Homes above EPA’s radon action level and homes with operating mitigation systems in the U.S., 1990-2004



**B. EPA map of radon zones**



- **Zone 1:** Counties with predicted average indoor radon screening levels greater than 4 pCi/L
- **Zone 2:** Counties with predicted average indoor radon screening levels from 2 to 4 pCi/L
- **Zone 3:** Counties with predicted average indoor radon screening levels less than 2 pCi/L

**Data source:** Number of homes above 4 pCi/L provided by U.S. EPA, 1992b, updated with 2000 U.S. Census data. Mitigation system data provided by U.S. EPA, unpublished industry data on mitigation system sales, 2005. Radon map provided by U.S. EPA, 1999.

### Indicator Limitations

- The indicator presumes that radon vent fans are used for their intended purpose; the available information supports this premise.
- Homes with an operating mitigation system are presumed to have a vent fan with an average useful life of 10 years. Each year the total of HOMS is adjusted to reflect new additions and subtractions (i.e., fans added 11 years earlier).
- The number of homes with radon levels at or greater than 4 pCi/L is an estimate based on one year of measurement data extrapolated for subsequent years based on population data, rather than on continuing measurements.
- This indicator does not track the number of homes designed and built with radon-resistant new construction features, which can prevent radon from entering homes. Thus, more people are likely being protected from elevated indoor air exposures to radon than suggested by the trends in radon mitigation systems alone.

### Data Sources

Summary data in this indicator were provided by EPA’s Office of Radiation and Indoor Air, based on two types of information. The number of homes with estimated radon indoor air concentrations above EPA’s radon action level was derived from the National Residential Radon Survey (EPA, 1992a) and U.S. Census data; and the number of homes with radon mitigation systems was developed from unpublished sales data provided by radon vent fan manufacturers.

1    **References**

- 2    U.S. EPA. 2003. EPA assessment of risks from radon in homes. EPA/402/R-03/003. Washington, DC.  
3    <<http://www.epa.gov/radiation/docs/assessment/402-r-03-003.pdf>>
- 4    U.S. EPA. 1992a. National residential radon survey: summary report. EPA/402/R-92/011. Washington,  
5    DC. October.
- 6    U.S. EPA. 1992b. Technical support document. EPA/400/R-92/011.

## 1 INDICATOR: Blood Cotinine Level

2 Environmental tobacco smoke (ETS) contains a mixture of toxic chemicals, including known human  
3 carcinogens. Persistent exposure to ETS is associated with numerous health related disorders or  
4 symptoms, such as coughing, chest discomfort, reduced lung function, acute and chronic coronary heart  
5 disease, and lung cancer (IARC, 2004; NTP, 2002; U.S. EPA, 1992; CDC, 2005). Children are at  
6 particular risk from exposure to ETS, which may exacerbate existing asthma among susceptible children  
7 and also greatly increase the risk for lower respiratory tract illness, such as bronchitis and pneumonia,  
8 among younger children (CDC, 2005). Younger children appear to be more susceptible to the effects of  
9 ETS than are older children (U.S. EPA, 1992).

10 Household ETS exposure is an important issue because many people, especially young children, spend  
11 much time inside their homes. Based on data reported from the 1994 National Health Interview Survey,  
12 the Department of Health and Human Services (DHHS) estimates that 27 percent of children aged 6 years  
13 and younger are exposed to ETS in the home (U.S. DHHS, 2000).

14 Exposure to ETS leaves traces of specific chemicals in people's blood, urine, saliva, and hair. Cotinine is  
15 a chemical that forms inside the body following exposure to nicotine, an ingredient in all tobacco  
16 products and a component of ETS. Following nicotine exposures, cotinine can usually be detected in  
17 blood for at least 1 or 2 days (Pirkle et al., 1996). Active smokers almost always have blood cotinine  
18 levels higher than 10 nanograms per milliliter (ng/mL), while non-smokers exposed to low levels of ETS  
19 typically have blood concentrations less than 1 ng/mL (CDC, 2005). Following heavy exposure to ETS,  
20 non-smokers can have blood cotinine levels between 1 and 10 ng/mL.

21 This indicator reflects blood cotinine concentrations in ng/mL among non-smokers for the U.S.  
22 population, aged 3 years and older, as measured in the 1999-2000 and 2001-2002 National Health and  
23 Nutrition Examination Survey (NHANES). NHANES is a series of surveys conducted by CDC's National  
24 Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional status of  
25 the civilian, non-institutionalized U.S. population using a complex, stratified, multistage, probability-  
26 cluster design. Blood cotinine also was monitored in non-smokers aged 4 years and older as part of  
27 NHANES III, between 1988 and 1991. CDC's National Center for Environmental Health (NCEH)  
28 conducted the laboratory analyses for the biomonitoring samples. Beginning in 1999, NHANES became a  
29 continuous and annual national survey.

### 30 **What the Data Show**

31 As part of NHANES III (1988-1991), CDC estimated that the median blood serum level (50<sup>th</sup> percentile)  
32 of cotinine among non-smokers in the general U.S. population was 0.20 ng/mL. In NHANES 1999-2000,  
33 the estimated median serum level among non-smokers nationwide was 0.06 ng/mL. During the 2001-  
34 2002 survey, the estimated blood cotinine levels for the U.S. population were very similar to 1999-2000,  
35 with the median concentration actually below the limit of detection, and the geometric mean 0.06 ng/mL  
36 (see Exhibit 2-57). This marks a 70 percent decrease from levels measured in the 1988-1991 NHANES III  
37 survey—a reduction that suggests a marked decrease in exposure to ETS.

**Exhibit 2-57.** Blood cotinine concentrations for the non-smoking U.S. population age 3 years and older by selected demographic groups, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles for blood cotinine concentrations (ng/mL) <sup>a, b, c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Total, age 3 years and older</b>	1999-2000	5,999	NC	0.06	0.24	1.02	1.96
	2001-2002	6,813	0.06	<LOD	0.16	0.93	2.19
<b>Sex</b>							
Male	1999-2000	2,789	NC	0.08	0.30	1.20	2.39
	2001-2002	3,149	0.08	<LOD	0.23	1.17	2.44
Female	1999-2000	3,210	NC	<LOD	0.18	0.85	1.85
	2001-2002	3,664	0.05	<LOD	0.12	0.71	1.76
<b>Race and ethnicity<sup>d</sup></b>							
Black, non-Hispanic	1999-2000	1,333	NC	0.13	0.51	1.43	2.34
	2001-2002	1,599	0.16	0.13	0.57	1.77	3.12
Mexican American	1999-2000	2,241	NC	<LOD	0.14	0.51	1.21
	2001-2002	1,877	0.06	<LOD	0.16	0.73	2.11
White, non-Hispanic	1999-2000	1,950	NC	0.05	0.21	0.95	1.92
	2001-2002	2,845	0.05	<LOD	0.12	0.80	1.88
<b>Age group</b>							
3-11 years	1999-2000	1,174	NC	0.11	0.50	1.88	3.37
	2001-2002	1,414	0.11	0.07	0.57	2.23	3.21
12-19 years	1999-2000	1,773	NC	0.11	0.54	1.65	2.56
	2001-2002	1,902	0.09	0.05	0.35	1.53	3.12
20+ years	1999-2000	3,052	NC	<LOD	0.17	0.63	1.48
	2001-2002	3,497	0.05	<LOD	0.11	0.62	1.38

<sup>a</sup> NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup> <LOD = below the limit of detection (LOD) of the analytical method. The LOD varied by year and by individual sample: 83 percent of measurements had a LOD of 0.015 ng/mL, and 17 percent of measurements had a LOD of 0.05 ng/mL.

<sup>c</sup> Refer to CDC, 2005, for confidence intervals for reported values.

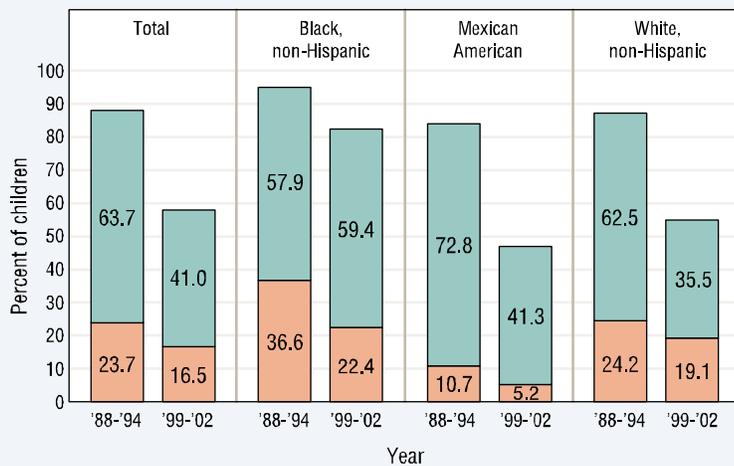
<sup>d</sup> Other racial and ethnic groups are included in the "total" only.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

1 Exhibit 2-57 also shows the results of the NHANES 1999-2000 and 2001-2002 survey, for different  
 2 subpopulations. Similar decreasing trends in blood cotinine levels between NHANES III (1988-1991) and  
 3 the most recent 2001-2002 survey were observed in each of the population groups defined by age, sex,  
 4 and race/ethnicity (CDC, 2005). These data reveal three additional observations: (1) non-smoking males  
 5 have higher cotinine levels than non-smoking females; (2) of the ethnic groups presented, non-Hispanic  
 6 blacks had the highest cotinine levels; and (3) on average, people below age 20 have higher levels of  
 7 blood cotinine than people aged 20 years and older.

8 Exhibit 2-58 shows the percentage of children between the ages of 4 and 17 with specified blood cotinine  
 9 levels, for the total age group and by selected race and ethnicity breakdowns within the specified age  
 10 group. Among the three subgroup populations presented, Mexican American children had the lowest  
 11 percentage of blood cotinine levels greater than 1.0 ng/mL and this was evident for both 1988-1994 and  
 12 1999-2002 time periods (10.7 percent and 5.2 percent, respectively). Black, non-Hispanic children had the  
 13 largest decline of the three subgroups in the percentage of blood cotinine levels greater than 1.0 ng/mL,  
 14 however, this population also started off with the highest percentage above 1.0 ng/mL (36.6 percent)  
 15 (Federal Interagency Forum on Child and Family Statistics, 2005).

**Exhibit 2-58.** Blood cotinine concentrations in U.S. children age 4 to 17 by race and ethnicity, 1988-1994 and 1999-2002<sup>a</sup>



<sup>a</sup>Cotinine concentrations are reported for non-smoking children only.

<sup>b</sup>Concentrations below 0.05 ng/mL are not presented here because this was the detection limit for many of the samples.

**Concentration:<sup>b</sup>**

- 0.05 to 1.0 ng/mL
- More than 1.0 ng/mL

**Data source:** Federal Interagency Forum on Child and Family Statistics, 2006. Data collected by the National Health and Nutrition Examination Survey (NHANES).

**Indicator Limitations**

- The measurement of an environmental chemical in a person's blood or urine does not by itself mean that the chemical has caused or will cause harmful effects.
- Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey periods, changes in estimates between the two time periods do not necessarily reflect a trend. Earlier data sets are available (e.g., NHANES III), but the data are not directly comparable to NHANES 1999-2002. When CDC releases additional survey results (e.g., 2003-2004) it will become possible to more fully evaluate trends (CDC, 2002; 2004).

**26 Data Sources**

27 Data used for this indicator were extracted from two reports that present results of the ongoing National  
 28 Health and Nutrition Examination Survey (NHANES): the data shown in Exhibit 2-57 were obtained  
 29 from a CDC report (2005), and the data shown in Exhibit 2-58 were obtained from a report by the Federal  
 30 Interagency Forum on Child and Family Statistics (2005). The underlying laboratory data supporting both  
 31 reports are available online in SAS<sup>®</sup> transport file formats at:  
 32 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

**33 References**

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 36 <<http://www.cdc.gov/exposurereport/report.htm>>

37 CDC (Centers for Disease Control and Prevention). 2004. NHANES analytic guidelines. June 2004  
 38 version. Accessed October 21, 2005.  
 39 <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

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### 1 **2.4.3 Discussion**

#### 2 ***What These Indicators Say About Trends in Indoor Air Quality and Their*** 3 ***Effects on Human Health***

4 The two indoor air quality indicators provide insights into issues of very different origin: radon is a  
5 substance from a natural source, while environmental tobacco smoke (as evaluated by the presence of  
6 blood cotinine) is linked entirely to human behavior.

7 The Homes Above EPA's Radon Action Level indicator (p. 2-111) tracks two statistics: the number of  
8 homes estimated to be at or above EPA's action level (4 pCi/L) for radon, and the number of homes with  
9 operating radon mitigation systems. Evaluating trends in radon mitigation systems is relevant because  
10 properly operated systems are expected to reduce radon to levels below the action level, and therefore  
11 also are expected to reduce radon-related health risks among building occupants. Between 1990 and 2004,  
12 the number of homes with radon mitigation systems increased nearly four-fold, but these homes account  
13 for less than 10 percent of the nation's homes currently believed to have radon levels greater than EPA's  
14 action level. Some residents are being protected against radon exposures through radon-resistant new  
15 construction techniques used when a home is built. Estimates of radon-resistant new construction  
16 practices are not included in the indicator, however, because while they substantially reduce radon levels  
17 in homes with high radon potential, they do not always reduce the levels below the action level.

18 The Blood Cotinine indicator (p. 2-114) tracks blood concentrations of cotinine, a metabolite of nicotine,  
19 and shows that exposure to environmental tobacco smoke among non-smokers decreased considerably in  
20 the last decade. This decrease was observed for all population groups, defined by age (including children),  
21 sex, and ethnicity. However, children's blood cotinine levels, on average, are still more than twice the  
22 levels observed in adults. A logical explanation for the downward trend in blood cotinine levels is  
23 modified behavior, whether reduced smoking prevalence or more widespread practices of restricting areas  
24 in which individuals are allowed to smoke.

25 Taken together, these indicators show an increasing number of Americans have reduced indoor air  
26 exposures to two known carcinogens. Though these improvements are encouraging, both radon and  
27 environmental tobacco smoke remain important indoor air quality issues.

#### 28 ***Limitations, Gaps, and Challenges***

29 The two indicators in this section provide extensive, but not comprehensive, information on the  
30 corresponding indoor air quality issues that they characterize. An important limitation of the Homes  
31 Above EPA's Radon Action Level indicator, for instance, is that it does not track the number of homes  
32 designed and built with radon-resistant new construction features, which can prevent radon from entering  
33 homes and therefore reduce radon exposures and associated health risks. Thus, more people are likely  
34 being protected from elevated indoor air exposures to radon than suggested by trends in radon mitigation  
35 systems alone.

36 The Blood Cotinine indicator also has certain limitations. For example, exposure to environmental  
37 tobacco smoke does not occur exclusively indoors: some proportion of blood cotinine levels measured in  
38 non-smokers reflects exposures that occurred outdoors. In addition, nationally representative blood  
39 cotinine data are not available for children under 3 years old—an age group with documented

1 susceptibilities to environmental tobacco smoke.<sup>37</sup> Nonetheless, none of these limitations call into  
2 question this indicator's main finding: nationwide, exposures to environmental tobacco smoke among  
3 non-smokers are decreasing. Moreover, reliable survey data on smoking behavior corroborates this  
4 downward trend.<sup>38</sup>

5 The two indoor air quality indicators provide useful insights into trends for radon and environmental  
6 tobacco smoke, but they leave some gaps that EPA would like to fill to better answer the overarching  
7 question on nationwide indoor air quality trends and associated health effects. For example, ROE  
8 indicators could not be developed for indoor air quality trends for molds, some combustion products,  
9 chemicals found in common household cleaners and building materials, and certain persistent pollutants  
10 and endocrine disruptors that have been identified in household dust.<sup>39,40</sup> There is no quantitative,  
11 nationally representative inventory of emission sources in indoor environments, nor is there a nationwide  
12 monitoring network that routinely measures air quality inside homes, schools, and office buildings. These  
13 gaps in nationwide indicators do not mean that nothing is known about the broad range of indoor air  
14 quality issues and associated health effects. Rather, information on these issues can be gleaned from  
15 numerous publications by governmental agencies and in the scientific literature. Data from these other  
16 publications and information resources, though valuable in understanding indoor air quality, are not  
17 presented in this report as indicators because they are not sufficiently representative on a national scale or  
18 because they do not track an issue over time.

19 The challenges associated with filling these indicator gaps are well known. Although methods to monitor  
20 indoor air quality are available, there is considerable variability among building types, occupants'  
21 behaviors, climate conditions, and ventilation systems for indoor environments in the U.S. This  
22 variability, combined with access issues and the number of different pollutants to address, makes a  
23 statistically based evaluation of our nation's millions of residences, thousands of office buildings, and  
24 more than 100,000 schools a challenging and resource-intensive task. Further, it is difficult to directly  
25 measure how changes in indoor air quality translate into corresponding changes in human health effects,  
26 because many health outcomes attributed to poor indoor air quality (e.g., asthma attacks) have numerous  
27 environmental and non-environmental risk factors.

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<sup>37</sup> U.S. EPA. 1992. Respiratory health effects of passive smoking: lung cancer and other disorders. EPA/600/6-90/006F. Washington, DC.

<sup>38</sup> U.S. Department of Health and Human Services. 2000. Healthy people 2010: understanding and improving health. 2nd ed. Washington, DC: U.S. Government Printing Office. November. <<http://www.health.gov/healthypeople/>>

<sup>39</sup> Rudel, R.A., D.E. Camann, J.D. Spengler, L.R. Korn, and J.G. Brody. 2003. Phthalates, alkylphenols, pesticides, polybrominated diphenyl ethers, and other endocrine-disrupting compounds in indoor air and dust. *Env. Sci. Tech.* 37(20):4543-4553.

<sup>40</sup> Stapleton, H.M., N.G. Dodder, J.H. Offenber, M.M. Schantz, and S.A. Wise. 2005. Polybrominated diphenyl ethers in house dust and clothes dryer lint. *Env. Sci. Tech.* 39(4):925-931.