

CHAPTER 6

ECOLOGICAL CONDITION

ECOLOGICAL CONDITION CHAPTER CONTENTS

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1 6.1 INTRODUCTION

2 Ecological condition refers to the state of the physical, chemical, and biological characteristics of the
3 environment, and the processes and interactions that connect them. Understanding ecological condition is
4 crucial, because humans depend on healthy ecological systems for food, fiber, flood control, and other
5 benefits,¹ and many Americans attribute deep significance and important intangible benefits to ecological
6 systems and their diverse flora and fauna.² As noted in the introduction to this report, this chapter focuses
7 on critical characteristics of ecosystems that are affected simultaneously by stressors in multiple media,
8 rather than those whose trends can be definitively shown to be the results of trends in particular air, water,
9 or land stressors. The ability to report on ecological condition remains significantly limited by the lack of
10 indicators, but this chapter at least provides a framework for examining those conditions.

11 EPA's mission, broadly stated, is "to protect human health and to safeguard the natural environment—air,
12 water, and land—upon which life depends."³ The translation of the mission into programs, initiatives, and
13 research efforts continues to evolve within the Agency and is reflected in program goals, regulatory
14 programs, and collaborative and educational efforts. EPA, other federal agencies, and state agencies
15 collectively bear responsibility for ensuring the protection of ecological systems, including forests, public
16 lands, oceans and estuaries, and particular species or groups of species. Trends in ecological conditions
17 provide insight into the degree to which the natural environment is being protected.

18 In this chapter, EPA seeks to assess trends in critical attributes of ecological condition on a national scale
19 using indicators to address five fundamental questions:

- 20 • ***What are the trends in the extent and distribution of the nation's ecological systems?*** This
21 section examines trends in the overall extent (e.g., area and location) of different kinds of
22 ecological systems (e.g. forests, undeveloped lands, and watersheds) and of spatial patterns in
23 the distribution of ecological systems that affect interactions of nutrients, energy, and
24 organisms.
- 25 • ***What are the trends in the diversity and biological balance of the nation's ecological***
26 ***systems?*** This section explores trends in the types and numbers of species that live within
27 ecological systems. The section also examines biological balance in terms of the proportional
28 distributions of species and the influence of interactions among native and invasive species
29 on the stability of ecological systems.
- 30 • ***What are the trends in the ecological processes that sustain the nation's ecological***
31 ***systems?*** This question focuses on trends in the critical processes that sustain ecological
32 systems, such as primary and secondary productivity, nutrient cycling, decomposition, and
33 reproduction.
- 34 • ***What are the trends in the critical physical and chemical attributes and processes of the***
35 ***nation's ecological systems?*** This question addresses trends in physical attributes such as

¹ Daily, G.C, ed. 1997. Nature's services: societal dependence on natural ecosystems. Washington, DC: Island Press.

² Norton, B. 1988. Commodity, amenity, and morality: the limits of quantification in valuing biodiversity. In: Wilson, E.O., ed. Biodiversity. Washington, DC: National Academy Press. p. 521.

³ U.S. EPA. About EPA. <<http://www.epa.gov/epahome/aboutepa.htm#mission>>

1 climatological patterns, hydrology, and light. This section also examines how chemical
2 processes influence conditions such as pH, oxidation-reduction potential, and nutrient cycles.

- 3 • ***What are the trends in biomarkers of exposure to common environmental pollutants in***
4 ***plants and animals?*** This question examines trends in biomarkers of exposure to pollutants
5 that are particularly important to the health of plants and animals as well as to humans who
6 consume such organisms.

7 These ROE questions are posed without regard to whether indicators are available to answer them. This
8 chapter presents the indicators available to answer these questions, and also points out important gaps
9 where nationally representative data are lacking.

10 While the ecological (and, in the previous chapter, human health) indicators may be more or less directly
11 influenced by pollutants, other environmental stressors, and complex interactions among these factors, the
12 indicators are not intended to confirm direct causal relationships.

13 **6.1.1 The Ecological Condition Paradigm**

14 Because ecological systems are dynamic assemblages of organisms that have more or less continuously
15 adapted to a variety of natural stresses over shorter (e.g., fire, windstorms) and longer (climate variations)
16 periods of time, measuring ecological condition is a complicated endeavor. It is not as straightforward as
17 monitoring water or air for temperature or concentrations of pollutants. The complexity of interactions
18 comprising ecological systems makes determination of the condition of a natural system difficult.⁴ In
19 addition, people have altered natural ecological systems to increase the productivity of food, timber, fish,
20 and game and to provide the infrastructure needed to support a modern society. How should the
21 ecological condition of these altered ecological systems be measured and against what reference points?

22 Ecological systems are not necessarily naturally occurring entities with well-defined, mutually exclusive
23 boundaries; rather, they are constructs with boundaries determined for human scientific or management
24 purposes. Consequently there are many ways to define ecological systems, including by the predominant
25 biota, spatial scales, and physical characteristics. These factors further complicate the definition and
26 measurement of ecological condition. Several recent reports by experts in the field have provided
27 guidance for current and future efforts, however.

28 The National Research Council (NRC) report *Ecological Indicators for the Nation*⁵ provides an
29 introduction to recent national efforts to measure ecological condition and a thoughtful discussion of the
30 rationale for choosing indicators. EPA's Science Advisory Board (SAB) also proposed a *Framework for*
31 *Assessing and Reporting on Ecological Condition*.⁶ The framework identified six essential ecological
32 attributes of ecological systems: landscape condition, biotic condition, chemical and physical
33 characteristics, ecological processes, hydrology and geomorphology, and natural disturbance regimes.

⁴ Ehrenfeld, D.H. 1992. Ecosystem health and ecological theories. In: Costanza, R., et al., eds. Ecosystem health: new goals for environmental management. Washington, DC: Island Press. pp. 135-143.

⁵ National Research Council. 2000. Ecological indicator for the nation. Washington, DC: National Academies Press.

⁶ U.S. EPA. 2002. A framework for assessing and reporting on ecological condition: an SAB report. EPA/SAB/EPEC-02/009. <<http://www.epa.gov/sab/pdf/epec02009a.pdf>>

1 The SAB report is organized around questions
2 about trends in each of these attributes,
3 consolidating the last three into a single attribute.
4 Neither report identifies specific methodologies,
5 network designs, or actual datasets. The SAB and
6 NRC documents provide the foundation for the
7 questions that are addressed within this chapter.

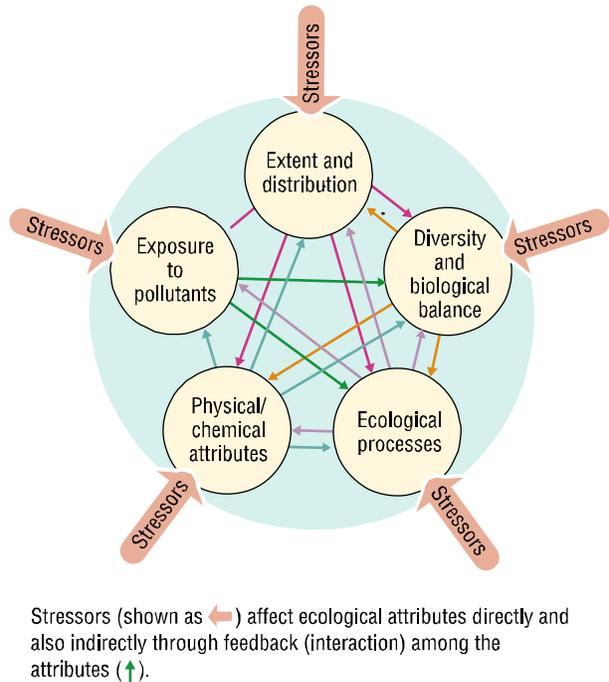
8 Exhibit 6-1 is a conceptual depiction of the events
9 that link environmental changes and ecological
10 outcomes in this paradigm. “Stressors,” indicated
11 by arrows, represent factors such as insect
12 outbreaks or
13 pollutants affecting the system. These act directly
14 on one or more of the "essential ecological
15 attributes" shown in the circles in the center of the
16 diagram. Most of these attributes can, in turn, act
17 on and be acted on by others. The web of arrows
18 among the indicators illustrates some of the
19 possible interactions. Effects on ecological
20 attributes can be direct or indirect. The diagram
21 illustrates the fact that changes in ecological
22 structure and processes provide important
23 feedback on the chemical and physical structure
24 of the environment in which these changes occur. The overall changes in the attributes result in altered
25 structure and function of ecological systems, which in turn lead to outcomes (positive or negative) about
26 which society is concerned.

27 There have been other notable efforts conducted by EPA and other federal agencies and institutions to
28 describe the ecological condition of the nation, either in total or by type of ecological systems. These
29 efforts include both indicator-based and integrative approaches. The indicator-based approaches, such as
30 this report, use indicators to assess ecological condition. The integrated assessments do not rely on
31 indicators; rather, they comprehensively assess a wide range of data in order to arrive at an overall picture
32 of the status and trends in ecological systems. Indicator approaches offer the advantage of drawing
33 attention to important trends and don't require an extensive background in ecology, but are not able to
34 capture the complex interactions that characterize ecological systems.

35 6.1.2 Overview of the Data

36 This chapter, like the others in this report, is not intended to be exhaustive. Rather, it provides a snapshot,
37 at the national level, of current indicators of U.S. ecological condition and the status based on important
38 endpoints and data sources with study design, quality assurance, and maturity. Because ecological
39 condition depends critically on the physical and chemical characteristics of land, air, and water, this
40 chapter draws on indicators from Chapters 2 through 4 of this report. Those chapters should be consulted
41 for the data sources of those indicators. Many of the indicators continue to be drawn from The H. John
42 Heinz III Center for Science, Economics, and the Environment (The Heinz Center) report, *The State of
43 the Nation's Ecosystems: Measuring Lands, Waters, and Living Resources of the United States* (2002;
44 Web update 2005).

Exhibit 6-1. Ecological condition paradigm



1 Most of the data relied upon come from surveillance and monitoring surveys. The key data sources for
2 this chapter reflect the fact that monitoring ecological condition is a multi-organizational task.
3 Organizations in addition to EPA that are responsible for collecting the data to support indicators in this
4 chapter include the U.S. Department of Commerce (National Oceanic and Atmospheric Administration),
5 National Aeronautics and Space Administration, U.S. Department of Agriculture (Forest Service,
6 Agricultural Research Service, National Agricultural Statistics Service, and Natural Resource
7 Conservation Service), U.S. Department of Interior (U.S. Geological Survey and U.S. Fish and Wildlife
8 Service), and NatureServe (a private foundation).

9 Programs such as the U.S. Department of Agriculture Forest Inventory and Analysis (FIA) program and
10 the Natural Resources Inventory (NRI) have a long history because they measure aspects of the
11 environment that are critical to multi-billion dollar industries (e.g., timber, crops, etc.). Programs with a
12 strictly “ecological” focus (e.g., the USDA Forest Service Forest Health Monitoring [FHM] Program, the
13 U.S. Geological Survey National Water Quality Assessment Program [NAWQA], the multi-agency
14 Multi-Resolution Land Characterization Consortium [MRLC], and EPA’s Environmental Monitoring and
15 Assessment Program [EMAP]) are more recent, but equally informative.

16 The major challenges involve adequate coverage of the diverse aspects that comprise ecological
17 condition. For example, there are numerous groups of animals and plants, but there are ROE indicators
18 for only some of these. Major groups known to be undergoing changes, such as the amphibians, are not
19 captured by the ROE indicators. These challenges and limitations are described in each of the subsections.

20 This chapter presents only data that meet the ROE indicator definition and criteria (see Chapter 1,
21 Introduction). Note that non-scientific indicators, such as administrative and economic indicators, are not
22 included in this definition. Thorough documentation of the indicator data sources and metadata can be
23 found online at [insert url]. All indicators were peer-reviewed during an independent peer review process
24 (see [insert url] for more information. Readers should not infer that the indicators included reflect the
25 complete state of knowledge on current indicators of U.S. ecological condition. Many other data sources,
26 publications, and site-specific research projects have contributed to the current understanding of status
27 and trends in indicators of U.S. ecological condition, but are not used in this report because they do not
28 meet some aspect of the ROE indicator criteria.

29 **6.1.3 Organization of This Chapter**

30 The remainder of this chapter is organized into five sections, corresponding to the five questions EPA is
31 seeking to answer regarding trends in ecological condition. Each section introduces the question and its
32 importance, presents the National Indicators selected to help answer the question, and discusses what the
33 indicators, taken together, say about the question. Some of the National Indicators presented are broken
34 down by EPA Regions or other appropriate regions. In addition, several Regional Indicators are presented
35 that capture regional trends of particular interest to EPA Regions. These Regional Indicators serve as
36 models that could potentially be expanded to other EPA Regions in the future. A map showing the EPA
37 regions (and states within each region) is provided in Chapter 1 (Exhibit 1-1). Each section concludes by
38 highlighting the major challenges to answering the question and identifying important gaps and emerging
39 issues.

40 The table below shows the indicators used to answer each question and the location where they are
41 presented.

1 **Table 6.1.1. Ecological Condition—ROE Questions and Indicators**

Question	Indicator Name	Section	Page #
<i>What are the trends in the extent and distribution of the nation's ecological systems?</i>	Land Cover (N/R)	4.2.2	4-11
	Forest Extent and Type (N/R)	6.2.2	6-14
	Forest Fragmentation (N/R)	6.2.2	6-17
	Wetland Extent, Change and Sources of Change (N)	3.4.2	3-53
	Land Use (N)	4.3.2	4-24
	Urbanization and Population Change (N)	4.3.2	4-31
	Land Cover in the Puget Sound/Georgia Basin (R)	4.2.2	4-17
	Ecological Connectivity in EPA Region 4 (R)	6.2.2	6-20
	Relative Ecological Condition of Undeveloped Land in EPA Region 5 (R)	6.2.2	6-22
<i>What are the trends in the diversity and biological balance of the nation's ecological systems?</i>	Coastal Benthic Communities (N/R)	3.5.2	3-71
	Benthic Macroinvertebrates in Wadeable Streams (N)	3.2.2	3-35
	Bird Populations (N)	6.3.2	6-32
	Fish Faunal Intactness (N)	6.3.2	6-34
	Submerged Aquatic Vegetation in the Chesapeake Bay (R)	3.5.2	3-74
	Harmful Algal Bloom Outbreaks Along the Western Florida Coastline (R)	3.5.2	3-81
	Non-Indigenous Species in the Estuaries of the Pacific Northwest (R)	6.3.2	6-37
<i>What are the trends in the ecological processes that sustain the nation's ecological systems?</i>	Carbon Storage in Forests (N)	6.4.2	6-45
<i>What are the trends in the critical physical and chemical attributes of the nation's ecological systems?</i>	U.S. and Global Mean Temperature and Precipitation (N)	6.5.2	6-53
	Sea Surface Temperature (N)	6.5.2	6-58
	High and Low Stream Flows (N)	3.2.2	3-14
	Streambed Stability in Wadeable Streams (N)	3.2.2	3-19
	Sea Level (N)	6.5.2	6-61
	Nitrogen and Phosphorus Discharge from Large Rivers (N)	3.2.2	3-28
	Nitrogen and Phosphorus in Wadeable Streams (N)	3.2.2	3-22
	Nitrogen and Phosphorus in Streams in Agricultural Watersheds (N)	3.2.2	3-25
	Lake and Stream Acidity (N)	2.2.2	2-62
	Extent of Hypoxia in the Northern Gulf of Mexico and in Long Island Sound (R)	3.5.2	3-77

Question	Indicator Name	Section	Page #
<i>What are the trends in biomarkers of exposure to common environmental pollutants in plants and animals?</i>	Coastal Fish Tissue Contaminants (N/R)	3.8.2	3-103
	Ozone Injury to Forest Plants (N)	2.2.2	2-37
	Contaminants in Lake Fish Tissue (N)	3.8.2	3-107

- 1 N = National Indicator
- 2 R = Regional Indicator
- 3 N/R = National Indicator displayed at EPA Regional scale
- 4

1 **6.2 WHAT ARE THE TRENDS IN THE EXTENT AND DISTRIBUTION OF THE**
2 **NATION'S ECOLOGICAL SYSTEMS?**

3 **6.2.1 Introduction**

4 Ecological systems,⁷ ranging from forests and watersheds to wetlands and coral reefs, are the foundation
5 of the environment. An ecological system can be defined as a spatially explicit unit of the Earth that
6 includes all of the organisms, along with all components of the abiotic environment, within its
7 boundaries. Ecological systems are not isolated but blend into and interact with other systems. The spatial
8 coverage and arrangement of ecological systems influence the types of animals and plants that are
9 present; the physical, chemical, and biological processes in the system; and the resiliency of the systems
10 to perturbations.⁸ Ecological systems influence water and nutrient cycles, the building of soils, the
11 production of oxygen, sequestration of carbon, and many other functions important for the health of the
12 planet and the people who depend on them.

13 This section examines trends in the extent and distribution of ecological systems. *Extent* refers to the
14 physical coverage of an ecological system. This may be reflected as area or percent compared to a
15 baseline or total area. *Distribution* includes the pattern or arrangement of the components of an ecological
16 system and is dependent on the scale of analysis. For example, the national distribution of forests can be
17 estimated by a percent coverage, but within a stand of trees the distribution may involve patterns of gaps,
18 species, and edge/interior ratios. As noted in Section 6.1.1, ecological systems can be defined by
19 predominant biota, spatial scales, and physical characteristics. Extent indicators typically are based on
20 physical and biological characteristics that are observable by remote sensing, with indistinct boundaries
21 operationally defined according to some scientific or resource management construct.⁹

22 As noted in Chapter 1 (Introduction), safeguarding the natural environment is an integral part of the
23 EPA's mission. EPA traditionally has been most concerned with maintaining the quality of air, water, and
24 land necessary to support balanced biological communities and the processes that support them; however,
25 the success of these efforts requires that ecological systems not be altogether lost or fragmented. The
26 potential influences of pollutants on the extent and distribution of ecological systems are a prime concern,
27 and, in turn, the extent and distribution of ecological systems has far-reaching influences on air and water
28 quality.

29 Apparent trends in extent and distribution of ecological systems depend on the temporal and spatial scale
30 of assessment. For this reason, National and Regional Indicators are particularly valuable. *Temporal*
31 changes occur naturally over long time scales, such as those associated with geological and climatological
32 forces (e.g., glaciation). Change can also occur more quickly as a result of direct shifts in land use (e.g.,
33 forest to development and historical filling of wetlands), alterations of nutrient and hydrological cycles

⁷ Likens, G. 1992. An ecosystem approach: its use and abuse. Excellence in ecology, book 3. Oldendorf/Luhe, Germany: Ecology Institute.

⁸ Wilson, E.O. 1992. The diversity of life. Cambridge, MA: Belknap Press, 1992.

⁹ The H. John Heinz III Center for Science, Economics, and the Environment. 2005. The state of the nation's ecosystems: measuring the lands, waters, and living resources of the United States. New York, NY: Cambridge University Press, September 2002. Web update 2005. <<http://www.heinzctr.org/ecosystems/forest/frgmt.shtml>>

1 (e.g., dam removal), introduction of invasive species (e.g., Asian carp), hazardous waste exposure (e.g.,
2 acid rain), or extreme weather events, which all act over comparatively short time periods. Thus, trends
3 can be the result of natural forces or may be accelerated by human activity.

4 The *spatial* scale of alterations also represents a significant factor in tracking ecological condition.
5 Alterations that are short in duration and local in nature (e.g., seasonal droughts or a windfall in a closed
6 forest canopy) may not have large-scale or lasting effects on ecological systems. Alterations that are
7 chronic in nature and occur over large areas may affect entire ecosystems over long periods of time,
8 especially if they affect soil formation, microclimate, refugia for recolonizing species, etc. Particularly
9 relevant discussions of the importance of scale in ecological processes, monitoring, and management can
10 be found in a number of relatively recent publications.^{10,11,12}

11 Different regions and different ecological systems respond to stressors in different ways, resulting in
12 unique regional distributions of species and habitats. The result is that across any slice of landscape the
13 extent and distribution of ecological systems may shift.¹³ In the case of habitat loss, large impacts may
14 occur and the extent of coverage may be reduced or eliminated altogether. More subtle changes in
15 ecological systems can occur which are not captured in simple metrics of extent and distribution. These
16 are discussed in later sections of this chapter.

17 Fragmentation, the division of previously uninterrupted habitat, can have either negative or positive
18 impacts on communities.¹⁴ Examples of fragmentation include building highways through a forest,
19 damming a river in a manner that limits migration of fish, or developing waterfronts in a manner that
20 splits apart bordering marshlands. Fragmentation and the increasing area of edge habitat may force
21 migrating species to find new transport corridors, may allow new species (e.g., competitors, pathogens,
22 weeds) to enter areas previously blocked from immigration, and in some cases may actually increase
23 biodiversity.¹⁵ Regardless of the impact, fragmentation likely will result in shifting distributions of
24 species.

¹⁰ Peterson, D.L., and V. Thomas Parker. 1998. Ecological scale: theory and applications. New York: Columbia University Press. 615 pp.

¹¹ Niemi, G., and M. McDonald. 2004. Application of ecological indicators. *Annu. Rev. Ecol. Evol. Syst.* 35:89-111.

¹² Findlay, C.S., and L. Zheng. 1997. Determining characteristic stressor scales for ecosystem monitoring and assessment. *J. Environ. Manage.* 50(3):265-281.

¹³ The H. John Heinz III Center for Science, Economics, and the Environment. 2005. Forest pattern and fragmentation. In: *The state of the nation's ecosystems: measuring the lands, waters, and living resources of the United States*. New York, NY: Cambridge University Press, September 2002. Web update 2005. <http://www.heinzctr.org/ecosystems/forest/frgmt.shtml>

¹⁴ Fahrig, L. 1997. Relative effects of habitat loss and fragmentation on population extinction. *J. Wildl. Manage.* 61(3):603-610.

¹⁵ Fahrig, L. 2003. Effects of habitat fragmentation on biodiversity. *Annu. Rev. Ecol. Syst.* 34:487-515.

1 Trends in ecological system extent and distribution are highly dependent on the evaluation scale. At one
 2 scale, coastal wetlands may appear to be uninterrupted and uniform. However, at a more refined scale,
 3 edges, patches, corridors associated with tidal creeks, and discontinuous distributions of species become
 4 evident. Defining systems in terms of local organization or predominant species facilitates discussion and
 5 analysis, but may also obscure the important linkages among systems across landscapes. Therefore, while
 6 it is helpful to discuss trends in the extent and distribution of systems such as wetlands or forests, each
 7 system is tied into global water, nutrient, carbon, and energy cycles.

8 The indicators discussed in this section fall into three broad categories: indicators of the extent and
 9 distribution of forests, indicators of the extent and distribution of wetlands, and indicators of land use.

10 **6.2.2 ROE Indicators**

11 In this question, trends in the extent and distribution of ecological systems are evaluated for a subset of
 12 systems including forests, wetlands, undeveloped lands, and developed lands.

13 To answer the question on extent and distribution of ecological systems, this report relies primarily on six
 14 National Indicators and three Regional Indicators (Table 6.2.1). Data on trends in extent and distribution
 15 of ecological systems come from a variety of sources, including satellite remote sensing, geographic
 16 information systems, and independent field studies. Information for the indicators discussed in this
 17 section is drawn from several national assessments including the USDA Forest Service Forest Inventory
 18 Analysis System, the National Wetlands Inventory Status and Trends Survey, the National Level Cover
 19 Data Set (NLDC) for 1992, and the USDA National Resources Inventory.

20 **Table 6.2.1. ROE Indicators of Trends in Extent and Distribution of the Nation’s Ecological**
 21 **Systems**

NATIONAL INDICATORS	LOCATION
Land Cover (N/R)	4.2.2 – p. 4-11
Forest Extent and Type (N/R)	6.2.2 – p. 6-14
Forest Fragmentation (N/R)	6.2.2 – p. 6-17
Wetland Extent, Change and Sources of Change	3.4.2 – p. 3-53
Land Use	4.3.2 – p. 4-24
Urbanization and Population Change	4.3.2 – p. 4-31
REGIONAL INDICATORS	
Land Cover in the Puget Sound/Georgia Basin	4.2.2 – p. 4-17
Ecological Connectivity in EPA Region 4	6.2.2 – p. 6-20
Relative Ecological Condition of Undeveloped Land in EPA Region 5	6.2.2 – p. 6-22

22 N/R = National Indicator displayed at EPA Regional scale

23

INDICATOR: Forest Extent and Type

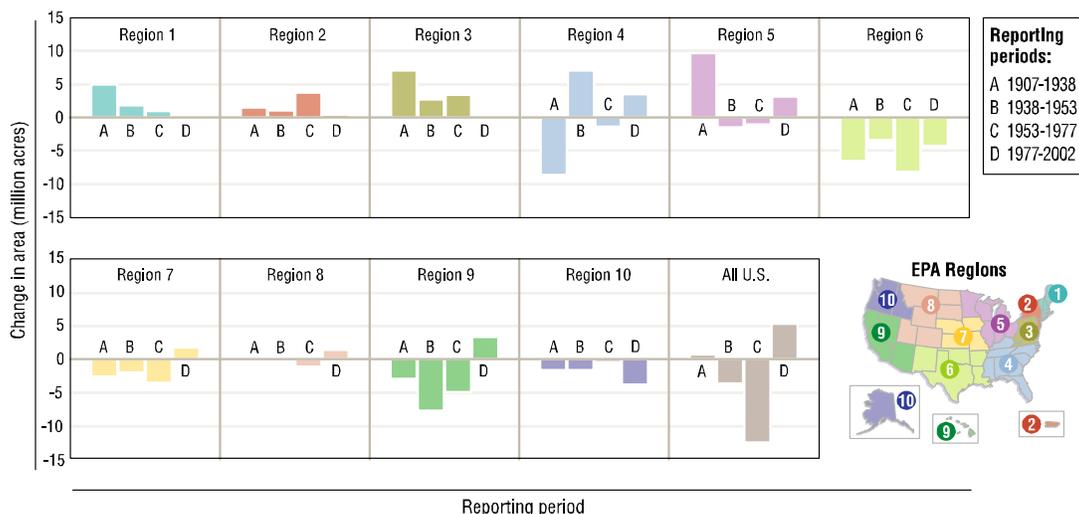
The forests of the U.S. cover extensive lands in both the eastern and western thirds of the country. While the amount of forest land has remained nearly unchanged since the beginning of the 20th century, regional changes both in amount and types of forest cover have occurred as a result of changing patterns of agriculture and development. The distribution of various forest cover types is a critical determinant of the condition of forest ecosystems.

This indicator is based on data from the USDA Forest Service Forest Inventory and Analysis (FIA) system. The FIA program, using a statistical survey design and comparable methods across the U.S., collects various data that help assess the extent, type, age, and health of forest land in the United States. Because the surveys are repeated over time, the FIA data provide an indication of trends in both the extent and composition of forest land. The extent data are collected for all forest lands across the nation, but species composition data over time are only available for *timberland* as defined by FIA data collection procedures (that is, forests capable of producing at least 20 cubic feet per acre per year of industrial wood and not withdrawn from timber utilization by statute or regulation). Timberland makes up 94 percent of the forest land area in the eastern U.S. and 39 percent of forest land in the western U.S. as of 2002 (Smith et al., 2004). Extent data are collected for individual states, but have been summarized by EPA Region for this indicator.

What the Data Show

After a slight increase in forest land nationwide between 1907 and 1938, forest acreage decreased by more than 16 million acres between 1938 and 1977, before increasing by 5.3 million acres over the past three decades (Exhibit 6-2). There are variations in trends in forest cover among the different EPA Regions. For example, between 1907 and 2002, forest land declined by roughly 22 million acres in Region 6 and more than 12 million acres in Region 9. Over the same period, forest land increased by 13 million acres in Region 3 and by 10 million acres in Region 5.

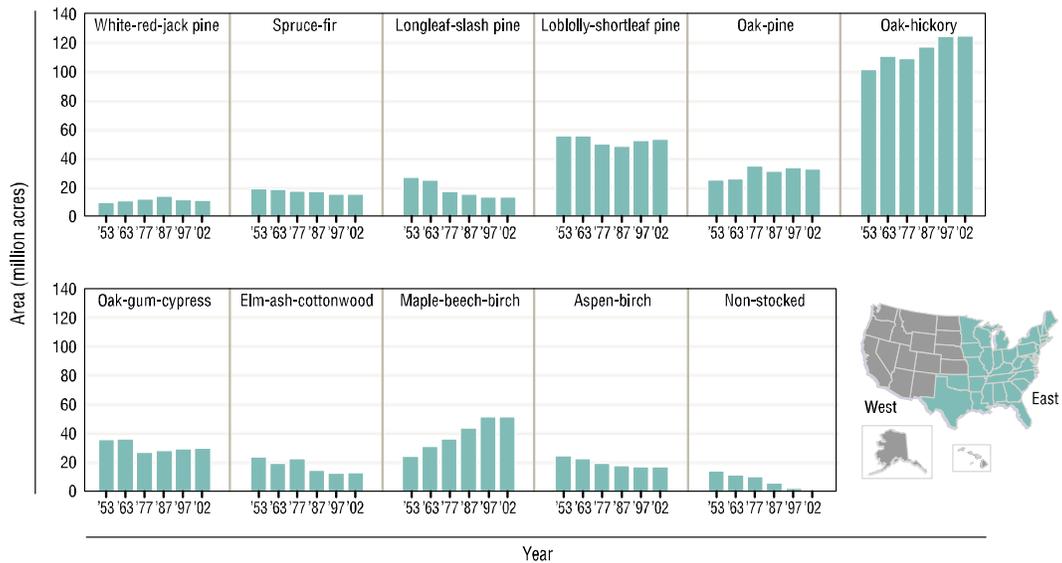
Exhibit 6-2. Changes in the extent of forest land in the U.S. by EPA Region, 1907-2002^a



^aCoverage: All 50 states.

Data source: Smith et al., 2004

Exhibit 6-3. Timberland area in the eastern U.S. by forest type, 1953-2002^a

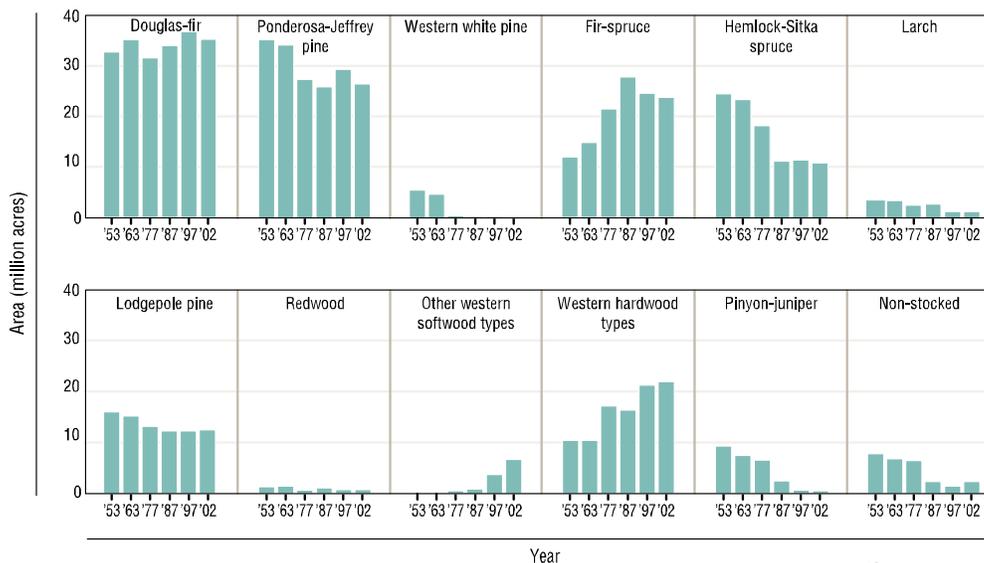


^a**Coverage:** States in the eastern U.S., based on USDA Forest Service reporting regions (see map at right). These data cover timberland, as defined by the Forest Service's Forest Inventory and Analysis (FIA) Program. Approximately 94% of the forest land in the eastern states is timberland.

Data source: Smith et al., 2001 and 2004

1

Exhibit 6-4. Timberland area in the western U.S. by forest type, 1953-2002^a



^a**Coverage:** States in the western U.S. (including Alaska and Hawaii), based on USDA Forest Service reporting regions (see map at right). These data cover timberland, as defined by the Forest Service's Forest Inventory and Analysis (FIA) Program. Approximately 39% of the forest land in the western states is timberland.

Data source: Smith et al., 2001 and 2004

1 In addition to changes in the extent of forest, there have been changes in the types of forests over time
2 (Exhibits 6-3 and 6-4). The largest changes in the eastern U.S. over the period 1953-2002 occurred in the
3 maple-beech-birch forest type and the oak-hickory forest type, gaining 27.5 million acres and 23 million
4 acres, respectively, since 1953. In the West, the fir-spruce type and Western hardwood type also have
5 increased (about 11.5 million acres each) since 1953, while the hemlock-Sitka spruce, pinyon-juniper, and
6 ponderosa-Jeffrey pine forest types have decreased by about 13.6 million, 8.8 million, and 8.7 million
7 acres respectively. The Western white pine forest type has decreased by 5.3 million acres, or about 96
8 percent of its 1953 acreage.

9 **Indicator Limitations**

- 10 • Data on extent of forest land have an uncertainty of 3 to 10 percent per million acres for data
11 reported since 1953. In 1998 Congress mandated that the FIA move to annual inventories.
12 While data now are collected more often, fewer data are collected in any given year. Because
13 area estimates now are based on a smaller sample size, the precision of the national estimates
14 may be reduced relative to pre-1998 dates.
- 15 • Most of the specific data related to species and age classes are only collected on lands
16 classified as timberland and not forest land in general.
- 17 • In addition to extent and species class, age class also influences the use of forest land as
18 habitat by different species. Younger and older stands of forest have increased over the past
19 half-decade, while middle-aged stands of more merchantable timber have decreased (Smith et
20 al., 2001, 2004).

21 **Data Sources**

22 This indicator is based on data from two USDA Forest Service reports (Smith et al., 2001, 2004), which
23 provide current and historical data on forest extent and type by state. Most data were obtained from the
24 2004 report; the 2001 report was consulted only for 1963 data, which were excluded from the more recent
25 report. Data were originally collected by the Forest Service's Forest Inventory and Analysis (FIA)
26 Program; original survey data are available from the FIA database (USDA Forest Service, 2005)
27 (<http://www.fia.fs.fed.us/tools-data/data/>).

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1 INDICATOR: Forest Fragmentation

2 The amount of forest land in the United States monitored by the USDA Forest Service has remained
3 nearly constant over the past century, but the patterns of human land-use have affected its distribution
4 from one region of the U.S. to another. Forest fragmentation involves both the extent of forest and its
5 spatial pattern, and is the degree to which forested areas are being broken into smaller patches and pierced
6 or interspersed with non-forest cover.

7 Forest fragmentation is a critical aspect of the extent and distribution of ecological systems. Many forest
8 species are adapted to either edge or interior habitats. When the degree or patterns of fragmentation
9 change, it can affect habitat quality for the majority of mammal, reptile, bird, and amphibian species
10 found in forest habitats (Fahrig, 2003). As forest fragmentation increases beyond the fragmentation
11 caused by natural disturbances, edge effects become more dominant, interior-adapted species are more
12 likely to disappear, and edge- and open-field species are likely to increase.

13 This indicator of forest fragmentation was developed by the USDA Forest Service and has appeared in
14 other recent reports (USDA Forest Service, 2004; Heinz Center, 2005). The indicator is based on the 1992
15 National Land Cover Dataset (NLCD), which was constructed from satellite imagery (Landsat) showing
16 the land area of the contiguous U.S. during different seasons (i.e., leaves-on and leaves-off) during the
17 early 1990s. In many locations, the best available Landsat images were collected between 1991 and 1993,
18 with data in a few locations ranging from 1986 to 1995. The USDA Forest Service's Southern Research
19 Station performed a re-analysis of NLCD, aggregating the four NLCD forest cover classes (coniferous,
20 deciduous, mixed, and wetland forest) into one forest class and the remaining land cover classes into two
21 classes: a non-forest class and a "missing" class consisting of water, ice/snow, and bare ground (Riitters et
22 al., 2002). Land cover in the "missing" class is not considered to fragment forest in this indicator because
23 no forest would be expected in those locations. A model that classifies forest fragmentation based on the
24 degree of forest land surrounding each forest pixel (a square approximately 30 meters on each edge) for
25 various landscape sizes (known as "windows") provides a synoptic assessment of forest fragmentation for
26 the contiguous United States by assessing each pixel's "forest neighborhood" within various distances.

27 Results are based on four degrees of forest cover: "core" if a subject pixel is surrounded by a completely
28 forested landscape (no fragmentation), "interior" if a subject pixel is surrounded by a landscape that is 90
29 to 100 percent forested, "connected" if a subject pixel is surrounded by a landscape that is 60 to 90
30 percent forest, and "patchy" if the subject pixel is surrounded by less than 60 percent forest. The window
31 (landscape) size used for this analysis was 9 by 9 pixels, 270 meters on each edge (percent forest was
32 resampled from 30 meter pixel data and aggregated by State to develop the EPA Region-specific
33 breakouts), or about 5900 hectares (14,500 acres). The window is shifted one pixel at a time over the map,
34 so the target population for the indicator is all forested pixels in the contiguous United States.

35 **What the Data Show**

36 Slightly more than 21 percent of the forested pixels in the U.S. represent "core" forest, which appear as
37 landscapes dominated by forest (Exhibit 6-5). However, the data for "interior" and "core" forests suggest
38 that fragmentation is extensive, with few large areas of complete, un-perforated forest cover. About 47
39 percent of forest pixels in the U.S. occur in a landscape where less than 60 percent of the "neighborhood"
40 is forest (i.e., forest cover is "patchy").

41 There is considerable regional variation in forest fragmentation (Exhibit 6-5). Regions 1, 2, 3, and 10
42 have more than 30 percent "core" forest pixels, while less than 10 percent of the forest pixels in Regions 7

1 and 9 are “core” forest. From the opposite perspective,
 2 fewer than 17 percent of forest pixels are surrounded by
 3 less than 60 percent forest in Region 1, while almost 80
 4 percent of the forest pixels in Region 7 are surrounded
 5 by less than 60 percent forest.

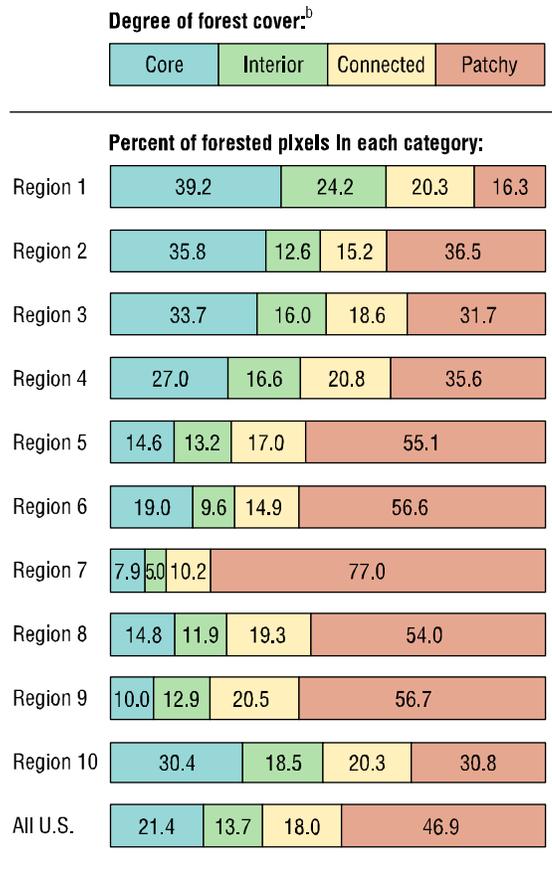
6 **Indicator Limitations**

- 7 • Trend information is not available for this
 8 indicator. The 1992 NLCD data on which
 9 this indicator is based derive from satellite
 10 imagery that is more than a decade old.
 11 Trends in this indicator will depend on the
 12 availability of NLCD data in the future.
- 13 • The degree of connectivity is dependent on
 14 the size of the window: Riitters (2003)
 15 determined that the percentages for all
 16 categories (especially “core” and
 17 “connected” forest pixels) decrease rapidly
 18 as the size of the window is increased
 19 progressively from 18 to 162, 1459, and
 20 13132 acres.
- 21 • Because the non-forest land cover classes
 22 were aggregated, this indicator does not
 23 distinguish between natural and
 24 anthropogenic fragmentation (although
 25 such a distinction has been made for global
 26 fragmentation by Wade et al., 2003).
- 27 • Fragmentation by roads is only partly
 28 captured using NLCD maps, which show
 29 some roads, but not all. Excluding such
 30 roads probably underestimates
 31 fragmentation on most public forest lands
 32 (Riitters et al., 2004).
- 33 • The 1992 NLCD data do not include
 34 Hawaii or Alaska, which account for about
 35 one out of every six acres of forest land in
 36 the United States.

37 **Data Sources**

38 This analysis was previously published in Riitters (2003) and Heinz Center (2005), with results presented
 39 for the nation as a whole or broken down by Forest Service RPA region. EPA calculated results by EPA
 40 Region based on the national map provided by Riitters (2003). The original analysis is based on land
 41 cover data from the National Land Cover Dataset (USGS, 2005).

Exhibit 6-5. Forest fragmentation in the contiguous U.S. by EPA Region, based on 1992 NLCD^a



^a**Coverage:** Areas of the contiguous 48 states classified as “forested” by the 1992 National Land Cover Dataset (NLCD).

^bSee text for definitions of forest cover categories.

Data source: Riitters, 2003



1 **References**

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1 INDICATOR: Ecological Connectivity in EPA Region 4

2 As part of their natural functioning, ecological systems remove particulate matter and carbon dioxide
3 from the air, purify surface and ground water, reduce flooding, and maintain biological diversity. These
4 functions depend on a connected ecological “framework” of high-quality land consisting of central hubs
5 interconnected by corridors that provide for the movement of energy, matter, and species across the
6 landscape. This framework of connectivity is threatened by agricultural and silvicultural practices, road
7 development, and “urban sprawl” that fragment the landscape and threaten the ecological framework.
8 Maintaining ecological connectivity protects the entire system.

9 The Ecological Connectivity Indicator (ECI) developed by EPA Region 4 (Durbrow et al., 2001) consists
10 of a framework that captures the connectivity of important natural areas and ecological systems across the
11 landscape of the Region (i.e., in Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South
12 Carolina, and Tennessee). Four ecological aspects contribute to the functionality of the ECI infrastructure
13 (see Carr et al., 2002, for additional details). The most important of the four, *Hub and Corridor*
14 *Connectivity*, forms the basis for this indicator. *Hub and Corridor Connectivity* shows the connections
15 among critical ecological systems in the Region. Hubs are large areas of important natural ecosystems
16 such as the Okefenokee National Wildlife Refuge in Georgia and the Osceola National Forest in Florida.
17 Connections, referred to as “corridors,” are links to support the functionality of the hubs (e.g., the
18 Pinhook Swamp which connects the Okefenokee and Osceola hubs). The ECI framework is based on land
19 cover data obtained from the 1992 National Land Cover Dataset (NLCD), which was constructed from
20 satellite imagery (Landsat) showing the land area of the contiguous U.S. during different seasons (i.e.,
21 leaves-on and leaves-off) during the early 1990s. In many locations, the best available Landsat images
22 were collected between 1991 and 1993, with data in a few locations ranging from 1986 to 1995.

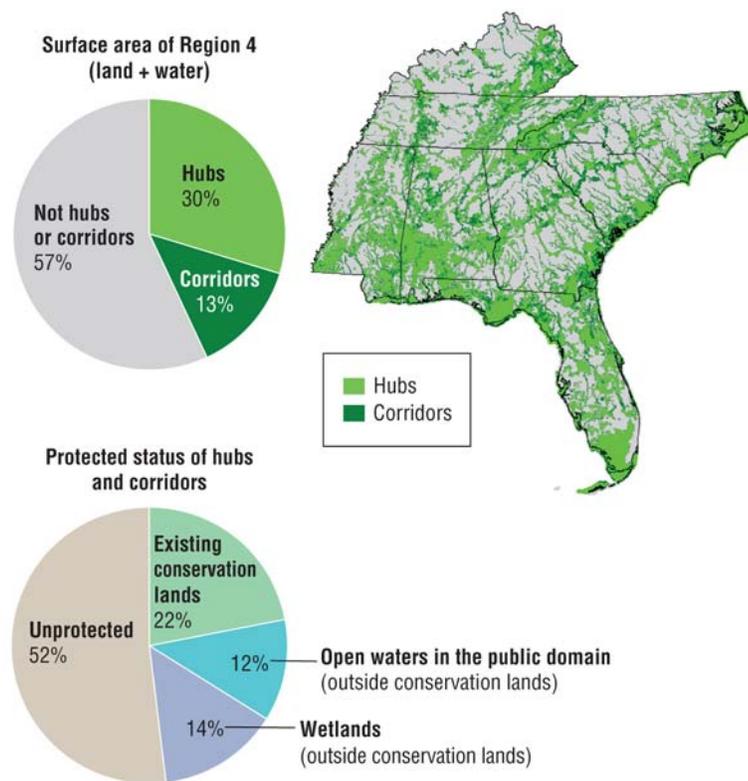
23 What the Data Show

24 The hub and connection framework covers 43 percent of the total land and water resources in EPA
25 Region 4—30 percent classified as hubs and 13 percent as corridors (Exhibit 6-6). Currently, 22 percent
26 of this framework area is protected as conservation land, 12 percent is in the public domain as open water,
27 and an additional 14 percent is classified as wetlands, for a total of 48 percent of hub and corridor acreage
28 being afforded some type of long-term protection.

29 Indicator Limitations

- 30 • Trend information is not available for this indicator. The most important data layer used in
31 the ECI development is the National Land Cover Data (NLCD) from 1992-93. Establishing
32 trends in the indicator will be limited by the availability of comparable land cover/land use
33 data for the period 2002 and beyond.
- 34 • Due to both the limited availability of data (ecological data not available or not in digital or
35 GIS format) and the Southeastern Ecological Framework (SEF) parameter that sets a size
36 threshold of 5,000 acres for ecological hubs, the results do not comprehensively include each
37 and every ecologically important area in the Southeast.

Exhibit 6-6. Ecological hubs and corridors in EPA Region 4, based on 1992 NLCD



Data source: U.S. EPA Region 4, Southeastern Ecological Framework project. Based on 1992 National Land Cover Dataset (NLCD) and other data described in Carr et al., 2002.

Data Sources

The hub and corridor map was provided by U.S. EPA Region 4’s Southeastern Ecological Framework (SEF) project, and is available as a GIS data layer from the SEF website’s data page (U.S. EPA, 2002) (<http://geoplan.ufl.edu/epa/data.html>). The summary statistics shown in the pie charts in Exhibit 6-6 are presented in Carr et al. (2002). This analysis was based on the 1992 NLCD (USGS, 2005) (<http://landcover.usgs.gov/natl/landcover.php>) and several additional datasets described in Carr et al. (2002); input data layers can be obtained on CD by following instructions on the SEF website (U.S. EPA, 2002).

References

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INDICATOR: Relative Ecological Condition of Undeveloped Land in EPA Region 5

Ecological condition in EPA's Report on the Environment is approached using questions broadly relating to landscape, biological diversity, ecological function, and the physical and chemical make-up of the environment, but no attempt is made at the national level to capture ecological condition in a small number of indices. In this indicator, the ecological condition of undeveloped land in EPA Region 5 (Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin) is characterized based on three indices derived from criteria representing diversity, self-sustainability, and the rarity of certain types of land cover, species, and higher taxa (White and Maurice, 2004).

Geographic units referred to as cells are used to quantify geographic information. A spatially explicit model using ecological theory and geographic information system (GIS) technology was used to create twenty data layers of 300m x 300m cells from the 1992 National Land Cover Dataset (NLCD), which was constructed from satellite imagery (Landsat) showing the land area of the contiguous U.S. during different seasons (i.e., leaves-on and leaves-off) during the early 1990s. In many locations, the best available Landsat images were collected between 1991 and 1993, with data in a few locations ranging from 1986 to 1995. For this indicator, NLCD data were used to generate three indices, which represent estimates of three criteria:

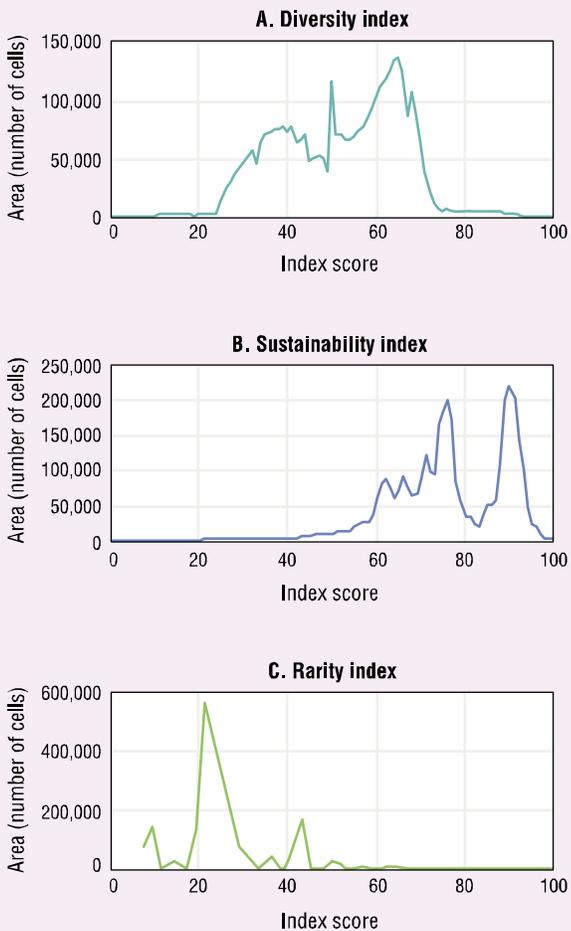
- Ecological Diversity - the relative diversities of populations (species), communities, and ecological systems in any given location on the landscape. Four data layers were used to derive this index.
- Ecological Self-Sustainability - the potential for an ecological system to persist for years without external management; it is negatively impacted by two factors: landscape fragmentation and the presence of chemical, physical, and biological stressors. Twelve data layers were used to derive this index.
- Rarity - the rarity of land cover, species, and higher taxa. Four data layers were used to derive this index.

The model produces composite layers that are statistically independent. The scores for each criterion are normalized from 1 to 100 and each layer contributes equally to the final index (all of the data layers are weighted equally). In all the data layers and the resultant criteria layers, scores are normalized from 0 to 100. Zero always indicates the lowest quality, the greatest stress, or the least valuable observation, and 100 indicates the highest quality, least stress, or most valuable observation. While it has not been done for this indicator, the three composite scores can be summed to result in a final "ecological condition" score for each cell (White and Maurice, 2004). Cell counts (a measure of geographic coverage) are used to indicate the distributions of scores associated with three index scores of ecological condition of undeveloped land: diversity, sustainability, and rarity.

What the Data Show

The frequency distributions of the 1992 baseline scores are quantified and plotted for each criterion (Exhibit 6-7), and these provide a baseline against which to track future landscape trends in diversity, sustainability, and rarity. Diversity scores generally run from 20 to 80 across the region, signifying that most areas are in the moderate diversity range. More than 90 percent of the region has sustainability scores above 50, but rarity scores above 50 are seldom encountered. The majority of undeveloped land in Region 5 (which has higher index scores) lies in the northern forests of Minnesota, Wisconsin, and Michigan and along the large rivers in Ohio, Indiana, and Illinois (Exhibit 6-8).

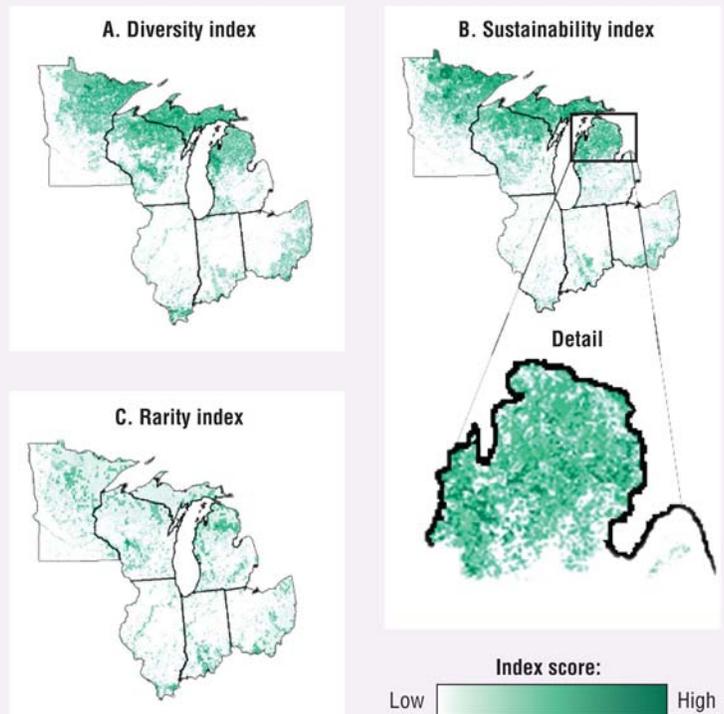
Exhibit 6-7. Distribution of index scores for the relative ecological condition of undeveloped land in EPA Region 5, 1990-1992^a



^a**Coverage:** Undeveloped land in EPA Region 5, based on the 1992 National Land Cover Dataset (NLCD). For this analysis, “undeveloped” land is any land that the NLCD classifies as bare rock/sand/clay, deciduous forest, evergreen forest, mixed forest, shrubland, grasslands/herbaceous, woody wetlands, emergent herbaceous wetlands, or open water.

Data source: U.S. EPA Region 5

Exhibit 6-8. Relative ecological condition of undeveloped land in EPA Region 5, 1990-1992^a



^a**Coverage:** Undeveloped land in EPA Region 5, based on the 1992 National Land Cover Dataset (NLCD). For this analysis, “undeveloped” land is any land that the NLCD classifies as bare rock/sand/clay, deciduous forest, evergreen forest, mixed forest, shrubland, grasslands/herbaceous, woody wetlands, emergent herbaceous wetlands, or open water.

Data source: U.S. EPA Region 5

Indicator Limitations

- Trend information is not available for this indicator. The ability to track trends will be dependent on the comparability of the 2001 round of the NLCD with the 1992 NLCD data used to develop this indicator.
- Although this indicator is designed to be comparable across undeveloped land within

9 Region 5, layers were ranked within ecoregions for some of the indicators in order to account
 10 for different geophysical, geochemical, or climatic features of each ecoregion.

11 • Aquatic systems and connectivity resulting from water flow paths are not adequately covered
 12 and small, but potentially keystone, systems are not a part of the analysis (U.S. EPA, 2005).

13 • Equal weighting of the data layers that contribute to each index may not be representative of
 14 the relative importance of each layer (U.S. EPA, 2005).

- 1 • The resolution and uncertainty of the results make comparing the ecosystem condition score
2 for one individual cell (300m x 300m) with another inappropriate, but this is not the case for
3 comparison between larger landscapes (U.S. EPA, 2005).
- 4 • Field validation of the model to insure that modeled results are reflective of actual ecosystem
5 condition will not be completed until the summer of 2006.

6 **Data Sources**

7 Maps and frequency distributions for the three indices were provided by EPA Region 5. An EPA report
8 available online contains several related maps produced by the Critical Ecosystem Assessment Model
9 (CrEAM), along with a list of the various datasets used as inputs for the model (White and Maurice, 2004
10 [appendices]). Results from the CrEAM model are no longer available as digital map layers.

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17 <http://www.epa.gov/region5/osea/science/CrEAM_appendices.pdf> (appendices)

1 **6.2.3 Discussion**

2 ***What These Indicators Say about Trends in Extent and Distribution of the***
3 ***Nation's Ecological Systems***

4 While ecological systems are interconnected and overlapping, it is useful to discuss trends in terms of
5 major types of systems. There are many ways to define ecological systems, including by the predominant
6 biota, spatial scales, and physical characteristics. Most terrestrial systems are defined by predominant
7 vegetation types. The current extent of these types has been assessed (see Land Cover indicator, p. 4-11).
8 Forests form the predominant land cover in the eastern and northwestern United States while grasslands,
9 shrublands, and agricultural lands are the predominant types of vegetation in the central and western parts
10 of the country. Trends in forest and wetland ecological systems are considered below. Trends in land
11 development also are discussed, as this influences trends in the extent of ecological systems.

12 *Trends in Extent and Distribution of Forested Ecological Systems*

13 At a national scale, the percentage of forest land has varied somewhat over the last century with some
14 decreases and some recent increases (see Forest Extent and Type indicator, p. 6-14, and Forest
15 Fragmentation indicator, p. 6-17). However, shifts in regional distribution, of tree species and age
16 structure have occurred. Forested ecological systems have decreased in extent in EPA Regions 6 and 9
17 but increased in extent in Regions 3 and 5. The complex of tree species within a forest can have a strong
18 influence on the community structure and functioning of a forested ecological system. These assemblages
19 change over time, however this change may not be detectable at a national scale. On the scale of broad
20 geographical regions, the Maple-Beech-Birch cover type has increased in acreage since 1992 in the
21 Northern U.S., while a number of spruce and pine species cover types have decreased in the West. These
22 compositional changes can be as important as changes in the overall extent of forested ecological systems.
23 Age structure differences are also apparent among regions with younger trees in the East and older trees
24 in the West.

25 At a finer regional scale, forest cover in the Puget Sound and Georgia Basin in the Pacific Northwest also
26 was relatively stable during the 1990s (see Land Cover in Puget Sound/Georgia Basin indicator, p. 4-17).
27 However, some of the forested watersheds experienced a conversion of small amounts of forest land to
28 some other cover type. As discussed below, urbanization of low-elevation forested watersheds is a change
29 that is receiving particular attention (see Land Cover in Puget Sound/Georgia Basin indicator, p. 4-17).

30 While extent and species composition are important aspects of forested ecological systems, the spatial
31 arrangement and contiguity of the systems also influence the functioning of the systems and the
32 distribution of wildlife species that use forests and adjacent areas for habitat. Fragmentation of forested
33 systems can reduce or redefine the interconnections within forests, modifying the scale of habitat and
34 shifting distributions of wildlife species. For example, increasing fragmentation due to forest clearing,
35 development, fires, or other activities creates more edge habitat and limits the acreage of interior habitat.
36 Groups of wildlife species may prefer one habitat over another and move to maximize the time spent in
37 the preferred habitat type. Nationwide, almost half of forests are highly fragmented or "patchy," although
38 more than 30 percent of the forests in the heavily forested Regions 1, 2, 3, and 10 are virtually
39 unfragmented "core" forest (see Forest Fragmentation indicator, p. 6-17). The majority of forest
40 fragmentation in the eastern United States is anthropogenic, while most fragmentation in the West is
41 caused by natural factors.

42 Ecosystem connectivity, the degree to which ecosystem "hubs" are connected to each other by "spokes"
43 that serve as corridors for the interaction of biota, was shown to account for about 40 percent of the land

1 cover in EPA Region 4, the southeastern United States (see Ecological Connectivity in Region 4
2 indicator, p. 6-20). In this indicator, connectivity includes not only forested land but also wetlands and
3 open water. It may be coincidental that the degree of forest fragmentation is broadly similar to the degree
4 of ecosystem connectivity, but in both indicators about half of the ecosystems in the Southeast are
5 fragmented or unconnected.

6 *Trends in Extent and Distribution of Wetland Ecosystems*

7 Wetlands are ecosystems of high biological diversity and support a number of ecological functions from
8 nursery and breeding areas to food and protection.¹⁶ Whether inland or coastal, freshwater or marine,
9 wetlands acreage has been declining over the past 50 years (see Wetlands indicator, p. 3-53). The extent
10 of the losses varies by type of wetland, with forested wetlands losing the most acreage and coastal
11 wetland loss slowing somewhat.

12 *Trends in Land Development*

13 Land use refers to the visible effects of human use (see Land Use indicator, p. 4-24). Changes in land use
14 from forested or wetland systems to urban or agricultural environments have a direct impact on the
15 ecological systems within which the change occurs as well as on systems that are interconnected with the
16 altered areas (e.g., watersheds and coastal areas). Some changes can create edge environments that are
17 favored by certain wildlife species. Therefore, trends in land development are important considerations
18 with respect to overall trends in the extent and distribution of ecological systems.

19 Changes in land use sometimes result in changes in land cover and conversion from one major ecosystem
20 type to another, but sometimes they do not. For example, gains in agricultural productivity have caused
21 significant changes in the extent and location of crop and pasture land uses. Some land that had been used
22 for crops or pasture has reverted to forest. Timber production may convert cropland to forest, or it may do
23 little more than substitute one forest type or age-class distribution for another. At the same time, growth
24 in population has driven an increase in the extent of developed land, much of which has converted crop or
25 pasture land to developed land.

26 At a national scale, crop and farm acreages have decreased, timberland (productive forest land) has
27 remained constant since 1997, and developed lands have increased between 1982 and 2002 (see Land Use
28 indicator, p. 4-24). Within the larger scale trends, many subtle shifts occur at smaller scales. The increase
29 in developed lands has received particular attention in National and Regional Indicators.

30 Increases in the numbers and changes in the spatial distribution of human populations explain part of the
31 increase in developed lands. However, developed land increased by almost two times the increase in
32 population from 1982 to 2002 suggesting that during this period people were making a proportionally
33 greater use of the landscape (see Urbanization and Population Change indicator, p. 4-31). Geographically,
34 the rate of development was four times the population growth rate in the Northeast, one to three times the
35 population growth rate in the South and Midwest, and nearly equal to the growth rate in the West.¹⁷ The

¹⁶ Dahl, T.E. 2000. Status and trends of wetlands in the conterminous United States 1986 to 1997. Washington, DC: U.S. Department of the Interior, U.S. Fish and Wildlife Service.

¹⁷ U.S. Census Bureau. 2004. Table 8: Annual Estimates of the Population for the United States, Regions, and Divisions: April 1, 2000 to July 1, 2004. NST-EST2004-08. U.S. Census, Population Division.

1 increases in developed land suggest there were comparable decreases in other types of lands. To the
2 extent that these other lands afford habitat to animals and plants, shifts in land use result in shifts in the
3 extent and distribution of ecological systems.

4 The degree of change in developed lands appears to be associated with types of locations which emerge
5 as focal points for increasing stress on ecological systems. For example, in the Puget Sound and Georgia
6 Basin area of the Pacific Northwest, forest conversion to other types of land use is occurring along the
7 coast while older growth forests are observed at higher elevations (see Land Cover in Puget
8 Sound/Georgia Basin indicator, p. 4-17). Further, trends indicate that impervious surface coverage is
9 increasing to the point where detrimental impacts to aquatic resources may occur.¹⁸ In the Great Lakes
10 region, most of the undeveloped lands occur in the northern forests or along the major rivers (see
11 Condition of Undeveloped Land in Region 5 indicator, p. 6-22). Proximity to developed areas has an
12 obvious effect on the quality of these ecological systems. The highest quality systems comprise about 3
13 percent of the total and are located in the most remote and/or protected areas. The potential for future land
14 use changes with increasing urbanization is the major determinant for judging potential fragmentation of
15 ecological systems in EPA Region 5 (Great Lakes area).

16 ***Limitations, Gaps, and Challenges***

17 While many of the indicators in this section provide baseline information, trend information is available
18 for only a few of the major types of systems—forests and wetlands. There are no ROE indicators for other
19 types of terrestrial or aquatic systems including grasslands, shrublands, and marine hard bottom
20 communities including coral reefs. Filling these gaps in information would help EPA to better evaluate
21 trends in ecological condition.

22 One of the challenges in capturing meaningful changes relates to location and scale. The importance of
23 location-specific changes is evident in some of the indices. For example, small changes in certain areas,
24 such as near-coastal areas of the Pacific Northwest, could have disproportionately large effects on coastal
25 waters relative to a similar change in the middle of an expansive prairie. In addition, the appearance of
26 fragmentation in ecological systems depends on the area over which data were extracted.¹⁹ Thus,
27 choosing locations and assessment areas have obvious impacts on trend assessment. Conversely, the
28 implications of trends are manifested at scales that are location- and area-specific. Important
29 consequences of changes can be captured or missed depending on how the information is aggregated and
30 presented.

31 Another challenge relates to understanding the factors underlying changes that occur over various time
32 scales and their effects on human health and ecological condition. Principal among these is recognizing
33 that natural cycles and natural variability bring about changes that may appear as “trends” over one time
34 scale but will appear as cycles or variations over longer time scales. Familiar examples include population
35 variations among predators and prey or temperature variations associated with the advance and retreat of
36 ice ages. Distinguishing these natural cycles and variations from trends caused by human-induced
37 perturbations is yet another challenge. In some cases the relationships may be evident, as in the influence
38 of urbanization on watersheds or the impact of lost sand dunes on subsequent beach erosion. In other

¹⁸ Klein, R.D. 1979. Urbanization and stream water quality impairment. *Water Resour. Bull.* 15(4):948-963.

¹⁹ USDA Forest Service. 2004. National report on sustainable forests—2003. FS-766. Washington, DC. p. 19.

1 cases factors influencing changes may be difficult to discern, such as long-term shifts in major plant
2 communities.

3

4

1 **6.3 WHAT ARE THE TRENDS IN THE DIVERSITY AND BIOLOGICAL BALANCE**
2 **OF THE NATION’S ECOLOGICAL SYSTEMS?**

3 **6.3.1 Introduction**

4 Trends in the *biological diversity* of the nation’s ecological systems can be viewed in terms of both the
5 numbers of species present in an ecological system and the extent to which some of the species are
6 threatened or endangered. *Biological balance* refers to the inter-relationships among organisms, including
7 the structure of food webs and the ability of ecological systems to maintain themselves over time. Balance
8 is a dynamic characteristic rather than a fixed state.

9 The biological diversity and balance within ecological systems are often used to judge the health of the
10 system, and their reduction often represents a response to pollutants or other stressors. Restoring
11 biodiversity and biological balance have constituted a focus of EPA’s attention over the past three
12 decades. Reversing declines of species such as the brown pelican caused by pesticides and brook trout
13 caused by acid rain, replacing nuisance algal blooms caused by excess nutrients with balanced
14 communities of phytoplankton, replacing beds of sludgeworms due to sewage discharges with balanced
15 communities of benthic invertebrates, and restoring biological communities previously decimated by
16 improper handling of toxic and hazardous wastes are well-known examples.

17 The significance of biological diversity also stems from the fact that, for many people, biological
18 diversity contributes to the quality of life.²⁰ Everyone recognizes the importance of species as
19 commodities (if those species produce products that can be bought and sold), and some argue that species
20 have moral value in and of themselves.

21 Diversity and biological balance are also of interest because of how they may influence the functioning
22 and stability of ecological systems.^{21,22} While scientists debate the exact relationship between the
23 diversity and the functioning and stability of ecological systems, it is generally agreed that as the number
24 of species in any particular type of ecological system declines, there is a potential loss of “resilience”
25 within that system.²³ It is also recognized that these relationships are not straightforward and can vary in
26 degree depending on the types of species introduced or removed from a system.²⁴

27 Diversity and balance have important time and space components. Diversity arises over time as
28 adaptation results in new species that fill available “niches” in the environment. This is a dynamic process
29 involving colonization, evolution of species adapted to new conditions, and extinction of species that are
30 less well adapted to a changing environment. This process has occurred over thousands or millions of

²⁰ Norton, B. 1988. Commodity, amenity, and morality: the limits of quantification in valuing biodiversity. In: Wilson, E.O., ed. Biodiversity. Washington, DC: National Academy Press. p. 521.

²¹ Chapin III, F.S., et al. 1997. Biotic control over the functioning of ecosystems. *Science* 277(5325): 500-504.

²² Wilson, E.O. 1992. *The diversity of life*. Cambridge: Belknap Press, 1992.

²³ McCann, K.S. 2000. The diversity-stability debate. *Nature* 405(11): 228-233.

²⁴ Srivastava, D.S. and M. Vellend. 2005. Biodiversity-ecosystem function research: Is it relevant to conservation? *Annu. Rev. Ecol. Syst.* 36: 267-294.

1 years over large geographic areas, punctuated occasionally by large events such as meteor strikes, periods
2 of intense volcanism, and ice ages. Ecological systems that are stable in the short term evolve into
3 different systems in the long term. Disturbances that reduce biological diversity or disrupt balance on a
4 small scale may not have an effect on a larger scale or over longer time periods.

5 Changes (decreases and increases) in biological diversity have likely occurred throughout the history of
6 the United States in response to regional land use changes (e.g., the reforestation of the Southeast during
7 the past century), water management, intentional and unintentional introductions of species, and
8 environmental pollution. Other changes in diversity and the composition of the biological community can
9 be rapid and dramatic. Introduced plants and plant pathogens can rapidly transform landscapes as some
10 species, such as the American chestnut, are lost and others, such as kudzu, thrive. Introduction of the sea
11 lamprey to the Great Lakes led to sweeping changes in the entire food chain, from lake trout all the way
12 down to the phytoplankton.²⁵ Declining sea otter populations led to loss of kelp forests, as sea urchins
13 formerly preyed upon by otters grazed the kelp down to the sea floor.²⁶ The decimation of grazers such as
14 the American Bison or predators such as grizzly bear or wolves has had cascading impacts on upland
15 vegetation, wetlands, fish, and other species.²⁷ Toxic chemical pollution can create wastelands where only
16 the most resistant species can survive, and nutrients and acid rain have had indirect effects on diversity
17 and balance by causing sweeping changes in the chemical habitat.

18 Indicators of diversity and biological balance incorporate information about primary producers and
19 invertebrate and vertebrate consumers, especially keystone species which play critical roles in structuring
20 habitat or serve major roles as primary producers, top predators, or important prey species. Indicators of
21 invasive species are also important with respect to assessing trends in the diversity and biological balance
22 because these species can alter the nation's ecological systems by displacing indigenous species,
23 potentially changing the structure of biological communities.

24 **6.3.2 ROE Indicators**

25 Trends in diversity and balance are evaluated from four National Indicators and three Regional Indicators
26 (Table 6.3.1). The focus for this question is on national- or regional-scale trends in biological diversity or
27 balance over time spans of one to three decades. The data on biological diversity and balance come from
28 a variety of sources, including both systematic monitoring and ad hoc data collection.²⁸ Systematic
29 probability surveys are now providing national pictures of the biological diversity of benthic communities
30 in estuaries and in rivers and streams. The Breeding Bird Survey is a private sector effort that provides
31 valuable national-level data on trends in bird populations.

²⁵ Eck, G.W., and L. Wells. 1987. Recent changes in Lake Michigan's fish community and their probable causes, with emphasis on the role of the alewife (*Alosa pseudoharengus*). *Can. J. Fish. Aquat. Sci.* 44(Suppl. 2):53-60.

²⁶ Estes, J.A., and J.F. Palmisano. 1974. Sea otters: their role in structuring nearshore communities. *Science* 185:1058-1060.

²⁷ Pritchard, J.A. 1999. *Preserving Yellowstone's natural conditions: science and the perception of nature*. Lincoln, NE: University of Nebraska Press. p. 370.

²⁸ There are no systematic national efforts to quantify trends in the diversity of other vertebrate, invertebrate, plant, or microbial species, but a private sector organization, NatureServe, working in concert with state Natural Heritage Programs, has done much to assimilate and integrate data from ad hoc studies to quantify populations of more than 200,000 species in the United States.

1 Trends involving longer-term effects associated with climate change are not included. Many issues
 2 regarding biodiversity at subregional and local scales (e.g., tall grass prairie or the Okefenokee Swamp)
 3 that cannot be covered here are no less important.

4 **Table 6.3.1. ROE Indicators of Trends in Diversity and Biological Balance of the Nation’s**
 5 **Ecological Systems**

NATIONAL INDICATORS	LOCATION
Coastal Benthic Communities (N/R)	3.5.2 – p. 3-71
Benthic Macroinvertebrates in Wadeable Streams	3.2.2 – p. 3-35
Bird Populations	6.2.2 – p. 6-32
Fish Faunal Intactness	6.2.2 – p. 6-34
REGIONAL INDICATORS	
Submerged Aquatic Vegetation (SAV) in the Chesapeake Bay	3.5.2 – p. 3-74
Harmful Algal Bloom Outbreaks Along the Western Florida Coastline	3.5.2 – p. 3-81
Non-Indigenous Species in the Estuaries of the Pacific Northwest	6.2.2 – p. 6-37

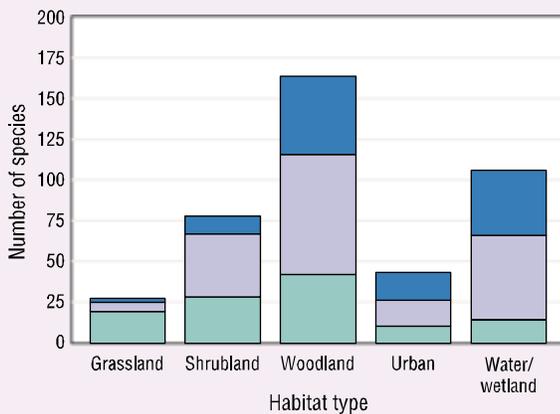
6 N/R = National Indicator displayed at EPA Regional scale
 7

1 INDICATOR: Bird Populations

2 Bird populations are among the most visible and important biological components of ecological systems
 3 and support a number of important ecological functions including seed dispersal, plant pollination and
 4 pest control. Some birds migrate over entire continents, while others have more restricted ranges and
 5 habitats, but in all cases trends in bird populations and in the abundance of species integrate the influences
 6 of changes in landscape and habitat, the availability and quality of food, toxic chemicals, and climate. The
 7 North American Breeding Bird Survey (BBS) began in 1966 with approximately 600 surveys conducted
 8 in the U.S. and Canada east of the Mississippi River. Today there are approximately 3,700 active BBS
 9 routes across the continental U.S. and southern Canada (Sauer et al., 1997).

10 Trends have been computed for observed population sizes of 418 bird species for the period 1966-2003
 11 (Sauer et al., 2004). The Audubon Society (2004) categorized each species according to its primary
 12 habitat: grassland, shrubland, woodland, urban, and water and wetlands. This indicator reflects the
 13 number of species with “significant” increases or decreases in the number of observations (not a change
 14 in the number of species) for which adequate trend data exist between 1996 and 2003. Significant
 15 increases or decreases were defined for this study as those in which the observed populations on BBS
 16 routes increased or decreased by more than two-thirds between 1966 and 2003 and does not necessarily
 17 imply a statistically significant trend.

Exhibit 6-9. Changes in bird populations in the contiguous U.S. and southern Canada, by habitat type, 1966-2003^a



^a**Coverage:** 418 bird species studied as part of the North American Breeding Bird Survey (BBS), which covers the contiguous U.S. and southern Canada.

^bIncreases or decreases are considered “substantial” if the observed population on BBS routes increased or decreased by more than two-thirds from 1966 to 2003.

Data source: Audubon Society, 2004

Population change^b

- Substantial increase
- No substantial change
- Substantial decrease

What the Data Show

The results point to dynamic changes in bird populations in all habitat types (Exhibit 6-9), although there were no consistent increases or decreases.

- Of 27 grassland species for which adequate data are available, only 2 species (7 percent) showed significant population increases and 19 species (70 percent) showed significant decreases.
- Of 78 shrubland species for which adequate data are available, 11 species (14 percent) showed significant increases, while 28 species (36 percent) showed significant declines.
- Of 164 woodland species for which adequate data are available, 48 species (29 percent) showed significant population increases and 42 species (26 percent) showed significant decreases.
- Of 43 primarily urban species for which adequate data are available, 17 species (40 percent) showed significant population increases and 10 species (23 percent) had significant decreases.

- 1 • Of 106 water and wetland bird species for which adequate data are available, 40 species (38
2 percent) showed significant increases and 14 species (13 percent) showed significant
3 decreases.

4 **Indicator Limitations**

- 5 • The BBS produces an index of relative abundance rather than a complete count of breeding
6 bird populations. The data analyses assume that fluctuations in these indices of abundance are
7 representative of the population as a whole.
- 8 • The BBS data do not provide an explanation for the causes of population trends. To evaluate
9 population changes over time, BBS indices from individual routes are combined to obtain
10 regional and continental estimates of trends. Although some species have consistent trends
11 throughout the history of the BBS, most do not. For example, populations of permanent
12 resident and short-distance migrant species (birds wintering primarily in the U.S. and
13 Canada) are adversely affected by periodic episodes of unusually harsh winter weather.
- 14 • Few species have consistent population trends across their entire ranges, so increases or
15 decreases in this indicator may not reflect the situation across the entire range of the species.

16 **Data Sources**

17 Trend data were obtained from the Audubon Society's 2004 "State of the Birds" report (Audubon
18 Society, 2004). Audubon's analysis used raw data from the National Breeding Bird Survey (USGS,
19 2004), which can be downloaded from <http://www.pwrc.usgs.gov/bbs/retrieval/menu.cfm>.

20 **References**

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22 <<http://www.audubon.org/bird/stateofthebirds/>>

23 Sauer, J.R., J.E. Hines, and J. Fallon. 2004. The North American Breeding Bird Survey, results and
24 analysis 1966-2003. Version 2004.1. Laurel, MD: USGS Patuxent Wildlife Research Center.
25 <<http://www.mbr-pwrc.usgs.gov/bbs/bbs.html>>

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27 Bird Survey, results and analysis. Version 96.4. Laurel, MD: USGS Patuxent Wildlife Research Center.
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29 USGS. 2004. North American breeding bird survey. Laurel, MD: USGS Patuxent Wildlife Research
30 Center. Accessed 2004. <<http://www.pwrc.usgs.gov/bbs/index.html>>

1 INDICATOR: Fish Faunal Intactness

2 Intactness, the extent to which ecological communities have retained their historical composition, is a
3 critical aspect of the biological balance of the Nation's ecological systems (NRC, 2000). It is of particular
4 importance in freshwater systems that are impacted by pollution, habitat alteration, fisheries management,
5 and invasive species.

6 This indicator tracks the intactness of the native freshwater fish fauna in each of the nation's major
7 watersheds by comparing the current faunal composition of those watersheds with their historical
8 composition. In this case, historical data are based on surveys conducted in 1970. The indicator
9 specifically measures the reduction in native species diversity in each 6-digit USGS hydrologic cataloging
10 unit (HUC) in the 48 contiguous states. Intactness is expressed as a percent based on the formula:

$$11 \quad \textit{reduction in diversity} = 1 - (\# \textit{ of current native species} / \# \textit{ of historic native species}).$$

12 The native species diversity indicator proposed by the NRC (NRC, 2000) compared expected native
13 species diversity (projected from species-area-curve models) with observed diversity. This "Fish Faunal
14 Intactness" indicator makes use of empirical, rather than modeled, data sets and focuses on a well-known
15 group of organisms with a fairly strong historical record.

16 Reductions in watershed diversity may be due either to the overall extinction of a species (at least 12 U.S.
17 freshwater fish species are known to be extinct and another 3 species are known only from historical
18 records and may be extinct) or, more commonly, to the extirpation of a species from selected watersheds.
19 In the case of regional extirpations, opportunities may exist for restoring the species to watersheds in its
20 historic range.

21 The fish distributional data underlying this indicator were gathered by NatureServe, a non-profit research
22 organization, and are derived from a number of sources, including species occurrence data from state
23 natural heritage programs, a broad array of relevant scientific literature (e.g., fish faunas), and expert
24 review in nearly every state. These data were assembled during the period 1997-2003. The underlying
25 data include distributions for 782 native freshwater fish species across small watersheds (8-digit HUC).
26 For this indicator, data were pooled and reported by larger 6-digit HUCs to reduce potential errors of
27 omission in the smaller watersheds.

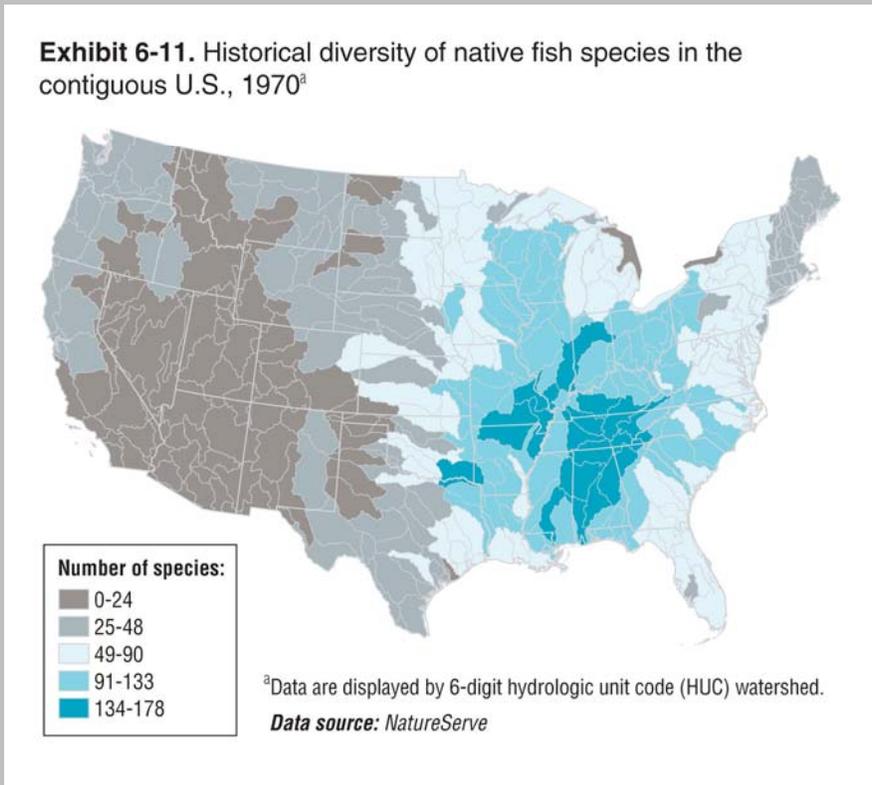
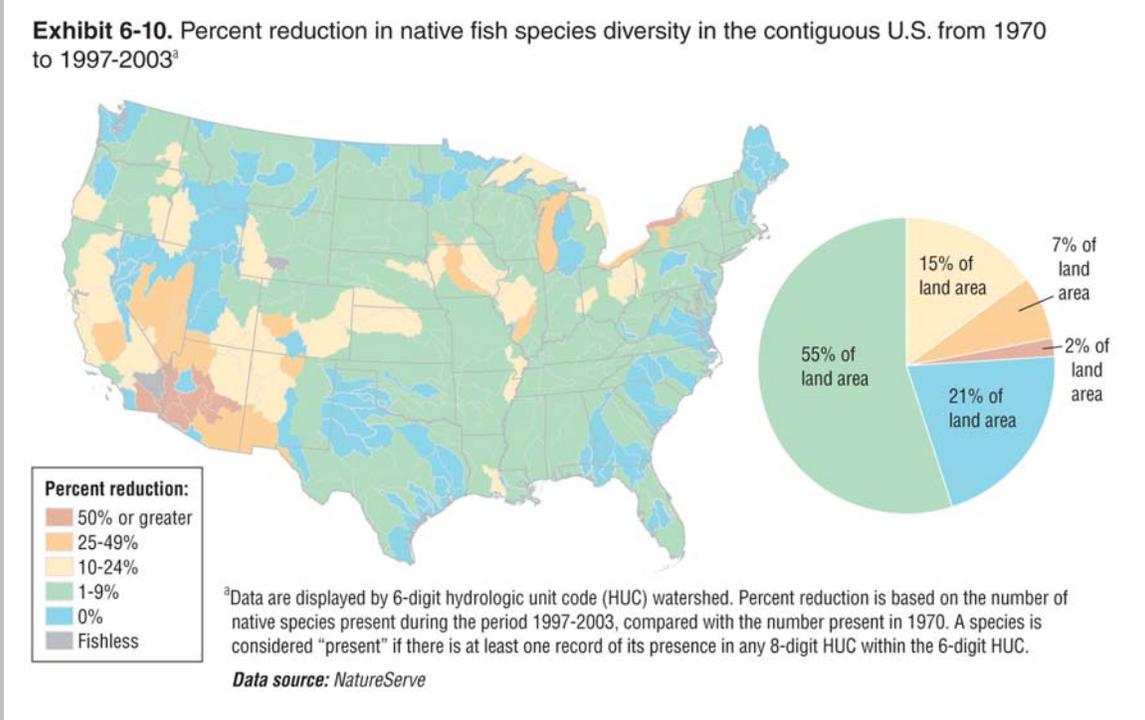
28 **What the Data Show**

29 Watersheds covering about one-fifth (21 percent) of land area in the contiguous United States are fully
30 intact, retaining their entire complement of fish species (Exhibit 6-10). Watersheds covering nearly a
31 quarter (24 percent) of land area, however, have lost 10 percent or more of their native fish fauna since
32 1970. Reductions in diversity are especially severe in the Southwest (e.g., the lower Colorado River
33 watershed) and the Great Lakes, with eight major watersheds (representing 2 percent of total land area)
34 having lost more than half of their native fish fauna.

35 Because the indicator is expressed as a ratio, it does not reflect the magnitude of species losses in a given
36 watershed. The southeastern United States, for instance, is far richer in numbers of freshwater fish species
37 by HUC than the southwestern United States (Exhibit 6-11). Although some southeastern HUCs have
38 experienced losses in the absolute number of species (e.g., in the state of Mississippi), due to the large

1 number of species overall, the fish fauna can still appear relatively intact when viewed on a percentage
2 rather than numeric basis.

3



1 **Indicator Limitations**

- 2 • The incomplete historical record for freshwater fish distributions and inconsistent inventory
3 records for contemporary fish distributions are sources of uncertainty.
- 4 • Although NatureServe has attempted to compile the most complete distributional information
5 possible for these species at the 8-digit HUC level, these data are dynamic; new records
6 frequently are added and existing records are revised as new information is received and as
7 taxonomic changes occur. Consequently, these distributional data could benefit from
8 additional quality control, updating, and expert review.

9 **Data Sources**

10 This indicator presents a summary of data available from the NatureServe Explorer database
11 (NatureServe, 2006) (<http://www.natureserve.org/getData/dataSets/watershedHucs/index.jsp>). The
12 identity and status (current vs. historical) of all native fish species recorded in each 8-digit HUC are
13 available from this database, along with species-by-species distribution maps at the 8-digit HUC level.
14 Analyses based on these data have previously been reported in Master et al. (1998), Master et al. (2003),
15 and Stein et al. (2000).

16 **References**

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25 Academy Press. <<http://www.nap.edu/openbook/0309068452/html/>>
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27 States. New York, NY: Oxford University Press.
28 <<http://www.natureserve.org/publications/preciousHeritage.jsp>>

1 INDICATOR: Non-Indigenous Species in the Estuaries of the Pacific Northwest

2 Non-indigenous species (NIS) are one of the greatest threats to aquatic ecosystems and can impact local
3 and regional economies (Lowe et al., 2000). The number of invasive species in estuaries of the Pacific
4 Northwest (including Puget Sound, Columbia Estuary, and Coos Bay) is rising and these areas can then
5 become sources of invasives to other locales. Coastal waters are particularly vulnerable to NIS
6 transported in ballast water and introduced via aquaculture (Puget Sound Action Team, 2002). It is
7 becoming apparent that NIS are capable of impacting estuaries along the west coast, even though they are
8 rarely addressed in routine monitoring studies. One limitation is the lack of standardized invasion metrics
9 and threshold values.

10 This indicator focuses on estuarine soft-bottom communities of the Columbian Biogeographic Province
11 located along the Pacific coast from Cape Mendocino, CA north to the Strait of Juan de Fuca at the
12 entrance to Puget Sound, WA. It is limited to sites with salinities ≥ 5 parts per thousand. The indicator is
13 based on the percent abundance of NIS individuals relative to the combined abundance of native and NIS
14 individuals in a benthic grab sample.

15 The data for this indicator were collected by the Environmental Monitoring and Assessment Program
16 (EMAP) using a probability design over the period 1999-2001 (Nelson et al., 2004; in review) and by a
17 special study focusing on estuaries not exposed to ballast water or aquaculture. Probability sampling
18 provides unbiased estimates of the percent abundance of natives and NIS in all estuaries in the study area,
19 but, because the data for the special study have not yet been statistically expanded, data for this indicator
20 is based on stations sampled rather than area.

21 Background levels for the indicator are based on observations in estuaries with minimal exposure to invasion
22 from ballast water discharges or to aquaculture of exotic oysters. Three threshold levels of invasion were
23 assigned to the indicator: “minimally invaded” or “background” when NIS constituted a small proportion
24 of the individuals (0-10 percent), “highly invaded” when the NIS were the numerical dominants (>50
25 percent of the individuals), and “moderately invaded” when the NIS were relatively abundant but not the
26 numerical dominants (10-50 percent of the individuals). Estuaries were further classified into “exposed”
27 and “minimally exposed. “Exposed” estuaries are those exposed to ballast water discharges from
28 international shipping and/or aquaculture of exotic oysters. “Minimally exposed” estuaries are those
29 without international shipping or oyster culture, though there may have been historical exposure as well
30 as exposure through regional fishing boats.

31 **What the Data Show**

32 Approximately 15 percent of the stations in the Columbian Province were highly invaded (abundance of
33 NIS $>$ abundance of natives) and another 20 percent were moderately invaded (Exhibit 6-12). The study
34 showed that non-indigenous species were among the most frequently occurring anthropogenic stressors in
35 this biogeographic region when compared to indicators of sediment contamination or eutrophication
36 (Nelson et al., 2004).

37 The extent of invasion was not uniform, however, among exposed and minimally exposed estuaries.
38 Estuaries with greater exposure to these invasion vectors were more invaded; 44 percent of the stations in
39 the exposed estuaries were moderately to highly invaded compared to only 21 percent of the stations in
40 minimally exposed estuaries (Exhibit 6-12). Nonetheless, the observation that 21 percent of the stations in
41 these “pristine” estuaries were at least moderately invaded indicates that non-indigenous species can

1 disperse widely once they are introduced into a region,
 2 so even estuaries with no direct exposure to ballast
 3 water or aquaculture are at risk of invasion.

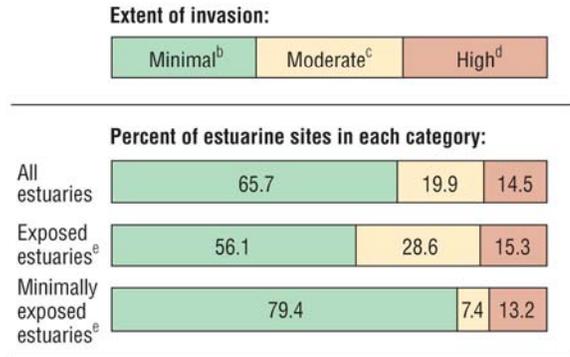
4 **Indicator Limitations**

- 5 • This indicator presents baseline data only;
 6 trend information is not yet available.
- 7 • Studies in the San Francisco Estuary (Lee
 8 et al., 2003) and in Willapa Bay, WA
 9 (Ferraro and Cole, in progress) have
 10 shown that the percent of NIS can vary
 11 substantially among communities so that
 12 regional background values for the
 13 Columbian Province as a whole may not
 14 be appropriate for specific community
 15 types.
- 16 • This indicator represents percent NIS in
 17 individual benthic grabs of the soft-bottom
 18 community, but does not characterize the
 19 total number of NIS in the estuaries. It
 20 also does not include fish or other taxa not
 21 collected by benthic grabs.
- 22 • The data for the indicator were only
 23 collected during the summer index period
 24 and thus do not capture seasonal
 25 variations.
- 26 • The threshold values for “minimal,”
 27 “moderately invaded,” and “highly
 28 invaded” are preliminary and require
 29 further research in order to establish their
 30 ecological significance. Specific values
 31 may differ in other biogeographic provinces.

32 **Data Sources**

33 Data for this indicator were collected by two different studies: EPA’s National Coastal Assessment
 34 (Coastal EMAP) and a special EPA study of minimally exposed estuaries. The complete results from
 35 these studies were not publicly available at the time this report went to press, but summary data from the
 36 1999 Coastal EMAP are available from Nelson et al. (2004; in review), and the underlying sampling data
 37 from 1999 can be obtained from EPA’s National Coastal Assessment database (U.S. EPA, 2005)
 38 (<http://www.epa.gov/emap/nca/html/data/index.html>). Coastal EMAP sampling data and summary
 39 statistics for 2000 and 2001 are expected to be made available in the near future. Results from the special
 40 study of minimally exposed estuaries will also be published in the near future. Until then, data for this
 41 indicator may be obtained from EPA’s Western Ecology Division.

Exhibit 6-12. Relative abundance of non-indigenous benthic species in estuaries of the Pacific Northwest, 1999-2001^a



^a**Coverage:** Soft-bottom estuaries between Cape Mendocino, CA, and the Strait of Juan de Fuca, WA (limited to sites with salinity >5 parts per thousand).

^b**Minimally invaded:** 0-10% of benthic organisms belong to non-indigenous species

^c**Moderately invaded:** >10-50% of benthic organisms belong to non-indigenous species

^d**Highly invaded:** >50% of benthic organisms belong to non-indigenous species

^e“Exposed” estuaries are exposed to ballast water discharges from international shipping and/or aquaculture of exotic oysters. “Minimally exposed” estuaries are not.

Data source: U.S. EPA, 2005



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1 **6.3.3 Discussion**

2 ***What These Indicators Say About Trends in the Diversity and Biological***
3 ***Balance of the Nation’s Ecological Systems***

4 Few national programs track diversity and biological balance. However, there are ROE indicators
5 available for invertebrate communities and select vertebrates (birds and fish) and regionally for invasive
6 species (as these can be important disruptors of ecosystem balance) and important communities of
7 submerged aquatic vegetation. Some of these indicators show reduced or declining diversity for particular
8 groups of animals and plants, but this is not consistent across all the ROE indicators. The particular trends
9 of available ROE indicators are discussed below by plant and animal groupings, followed by the
10 limitations of the available information and future challenges.

11 *Primary Producers*

12 Primary producers range from the microscopic plants of the oceans to the giant redwoods of California.
13 The types of plants and the biomass they produce are fundamental to ecological systems. There have also
14 been well-documented, modest shifts in forest types and age classes in the past decade, as discussed in
15 Section 6.2.3.

16 Submerged aquatic vegetation (SAV) is an important biological component of aquatic systems,
17 contributing to diversity and balance by providing habitat and food. While there is no National Indicator
18 of trends in SAV, the Chesapeake Bay provides a regional example of a potentially wider-spread
19 phenomenon (see SAV in Chesapeake Bay indicator, p. 3-74). SAV has increased in the Bay over the past
20 25 years, but remains below its historic coverage, and the species composition also has changed
21 somewhat. Contributing factors in the Bay include excessive nutrients, sediment loads, diseases, and
22 physical disturbance.

23 Too much plant growth can shift the balance within aquatic ecosystems, and blooms of algae can sap
24 aquatic systems of necessary oxygen and even create toxic conditions. Blooms of toxic algae in the Gulf
25 of Mexico occur annually, but there have been fewer blooms in recent years as compared to 1996 (see
26 HAB Outbreaks in Western Florida indicator, p. 3-81). Such “red tides” occur along other coastal waters
27 and appear to be influenced by a combination of physical, chemical, and biological factors.

28 *Invertebrates*

29 Invertebrates such as worms, insects, and crustaceans are among the most diverse group of organisms.
30 Collectively they comprise the largest component of animal biomass on the planet and are critical
31 components of aquatic and terrestrial food webs. Trends in the composition of invertebrate communities
32 can reflect important environmental changes.

33 In the nation’s coastal systems, baseline measures of invertebrate biodiversity and species composition
34 indicate that about one-fifth exhibit low biological condition (see Coastal Benthic Communities indicator,
35 p. 3-71). Because benthic invertebrates live on or in sediments, it is not surprising that most of these areas
36 also exhibit low sediment and/or water quality. For streams, the benthic macroinvertebrate Index of
37 Biological Integrity (IBI) exhibits a broad and even distribution from low to high values (see Benthic
38 Macroinvertebrates in Wadeable Streams indicator, p. 3-35). Exhibit 3-12 provides a basis for evaluating
39 future changes.

1 *Vertebrates*

2 The biodiversity of fish, amphibians, reptiles, birds, and mammals is influenced by available food
3 resources, the size and arrangement of suitable habitats, influxes of new species, climate and weather, and
4 the presence of contaminants. Vertebrates often receive much attention because they are highly visible
5 and are often near the top of the food chain.

6 Among vertebrates the most reliable indicator of national trends is for birds, which have been tracked
7 since 1966 (see Bird Populations indicator, p. 6-32). Bird populations are in dynamic flux. There appears
8 to be a net decline of populations most commonly found in the grassland and shrublands, comparable
9 increases and decreases in populations in woodlands, and some gains in populations inhabiting urban and
10 water/wetlands areas.

11 Fish are distributed throughout most of the nation’s aquatic and marine ecological systems. Comparisons
12 between current and historic species compositions (see Fish Faunal Intactness indicator, p. 6-34) indicate
13 that one-fifth of the watersheds retain their full complement of fish species, while about a quarter have
14 experienced of 10 percent or more loss in species. The losses occurred primarily in the Southwest and
15 Great Lakes.

16 *Invasive Species*

17 The infiltration of new species into areas is a natural phenomenon but can be accelerated through
18 intentional and unintentional introductions. Introduction of species such as kudzu, zebra mussels, grass
19 carp, starlings, and nutria have had profound effects on ecological systems.²⁹ Many newly introduced
20 species may lack predators or parasites that kept these species under control in their native habitats,
21 allowing them to out compete resident species and even dominate entire systems. While national data are
22 lacking, the Non-Indigenous Estuarine Species in Pacific Northwest indicator (p. 6-37) shows that in the
23 Columbian Biogeographic Province (from California to Washington), about one-third of the stations
24 sampled were highly or moderately invaded with non-indigenous invertebrates.

25 ***Limitations, Gaps, and Challenges***

26 A number of additional ROE indicators would help EPA better address the question of trends in diversity
27 and biological balance. While there are ROE indicators for the extent and distribution of vegetation types,
28 there remain gaps with respect to indicators of plant biodiversity in terrestrial and aquatic ecological
29 systems. There is no ROE indicator for threatened and endangered species. Also, there are no ROE
30 indicators for freshwater systems that are comparable to the coastal algal bloom indicator (e.g., for the
31 extent of nuisance aquatic plants such as the prolific growths of Eurasian milfoil and water chestnut in
32 lakes and ponds, which continue to create water management problems^{30,31}). ROE Indicators of climate-

²⁹ Lowe, S., M. Browne, S. Boudjelas, and M. De Poorter. 2000. 100 of the world’s worst invasive alien species: a selection from the Global Invasive Species Database. Auckland, New Zealand. World Conservation Union, Invasive Species Specialist Group. 12 pp.

³⁰ Madsen, J.D., J.W. Sutherland, J.A. Bloomfield, L.W. Eichler, and C.W. Boylen. 1991. The decline of native vegetation under dense Eurasian water-milfoil canopies. *J. Aquat. Plant Manage.* 29:94-99.

³¹ Lake Champlain Basin Program, Federal Agencies Work Group. 2005. Opportunities for federal action: managing aquatic non-native nuisance plants and animals. <http://nh.water.usgs.gov/champlain_feds/nonnative.htm>

1 related vegetation changes also are lacking (e.g., fluctuations in the extent of kelp beds on the west coast
2 related to El Nino events³²).

3 There are no ROE indicators for major groups of vertebrate biota including amphibians, reptiles, and
4 mammals. Because amphibians live both on land and in the water, their diversity and trends in their
5 abundance could be influenced by a wide range of stressors to air, water, and land. Recent reported
6 declines in amphibian populations worldwide indicate that losses are attributable in some areas primarily
7 to overharvesting, in others to loss of habitat, and in still others to unknown causes,³³ but at this time there
8 is no National Indicator that meets the criteria for this report. There also are no ROE indicators for trends
9 in important insect or freshwater shellfish species.

10 Modern transportation and international trade in biota for food have caused invasive species to remain a
11 potentially important but poorly quantified source of stress to the diversity and balance of native species.
12 While the Non-Indigenous Estuarine Species in Pacific Northwest indicator (p. 6-37) provides some
13 insight into the potential importance of invasive species, the full significance of accelerated species
14 introductions is not captured by any ROE indicator.

15 In addition to indicator gaps and limitations, there are challenges to developing indicators of biological
16 diversity and balance even if the data were available. For example, establishing an appropriate time scale
17 for assessing trends in diversity and balance poses a major challenge. Biological variation is expected at
18 annual, decadal, and even longer time scales. Because of the limited time frames over which observations
19 have been made, parsing “normal” fluctuations in diversity and balance from longer-term trends is
20 difficult. Also the level of interest and care of observation can also change with time, confounding the
21 determination of actual trends.

22 Appropriate spatial scales are equally important. Regional Indicators provide helpful insights into
23 stressors affecting diversity and biological balance in some kinds of ecological systems for which there
24 are no National ROE Indicators. In fact, because many ecological systems vary so much by geographic
25 region, compilations of Regional Indicators may provide the only rational approach for identifying
26 meaningful trends. Especially important examples for biological diversity are unique ecosystems such as
27 the Arctic and Pacific islands. Trends in physical characteristics and processes can have far-reaching
28 effects. For example, polar bears represent important keystone species in the nation’s arctic regions where
29 they are stressed by warming of coastal waters that limit the duration of ice formation and Pacific island
30 biota are stressed by invasive species and a number of other stressors.

31

32

³² Dayton, P.K., M. Tegner. 1984. Catastrophic storms, El Nino, and patch stability in a southern California kelp community. *Science* 224(4646):283-285.

³³ Stuart, S.N., et al. 2004. A global census shows that most of the 5743 known amphibian species are in decline and one-third are currently endangered. *Science* 306(5702):1783-1786.

1 **6.4 WHAT ARE THE TRENDS IN THE ECOLOGICAL PROCESSES THAT**
2 **SUSTAIN THE NATION’S ECOLOGICAL SYSTEMS?**

3 **6.4.1 Introduction**

4 Ecological systems are sustained by a number of biological processes that produce organic matter using
5 energy (photosynthesis and chemosynthesis), transfer carbon and nutrients (through food webs and
6 through decomposition), and enable the reproduction of organisms. Ecological systems are also shaped
7 and sustained by physical and chemical attributes and processes. These physical and chemical
8 components of ecological systems are considered in Section 6.5. Biological, physical, and chemical
9 processes collectively maintain ecological systems.

10 Ecological processes influence the extent, distribution, and biodiversity of systems. If primary production
11 declines, energy flow to higher trophic levels is diminished, potentially compromising the sustainability
12 of animal populations dependent on the plants for food. Primary production is influenced by the
13 availability of nutrients. Decreases and increases in nutrients can affect the amounts of primary
14 production as well as the types of plants with subsequent effects on animals. The successful reproduction
15 of plants and animals depends on the physical and chemical regimes of their environment.

16 Too much primary production can also cause problems, such as those that occur in eutrophic lakes that
17 experience an overload of nutrient inputs. Eutrophic conditions can alter the composition of the animal
18 and plant life and result in reduced oxygen levels due to decomposition of organic matter. For these
19 reasons, management of nutrient inputs is commonly driven by the potential for excessive plant growth.

20 Primary production and associated carbon cycling (which form the base of food webs), nitrogen cycling
21 (ammonification and nitrification), nutrient cycling (e.g., phosphorous and other essential elements for
22 sustainability of carbon-based life), and hydrogen/oxygen cycles (implicating hypoxic/anoxic conditions)
23 are fundamental ecological processes within systems. Processes related to the production, transfer, and
24 loss of biomass and the reproduction and death rates of individuals within populations are reflected in
25 various “end states” in time, snapshots of the outcomes of integrated processes. The standing stock of a
26 population or the amounts and types of carbon stored within an ecological system are measures of these
27 end states. While not processes themselves, trends in end states provide some insight into the relative
28 balance among processes. Carbon storage in forests, discussed in this section, is an example of such an
29 end state.

30 EPA has long been concerned with the impacts of human activities that can affect the rates, types, and
31 timing of ecological processes. In particular, activities that upset the balance between primary production
32 and respiration (e.g., biochemical oxygen demand, nutrients from fertilizers and human waste, and the
33 effects of UV-radiation) and activities that affect sediment erosion and transport are important factors in
34 water quality management. Many pesticides, chemicals used in industry, pollutants, and waste products
35 have the potential to interfere with species reproduction (one of the most important of ecological
36 processes). At local and regional scales, changes in land use that alter the extent and distribution of
37 ecological systems (Section 6.2) directly affect ecological processes within and adjacent to particular
38 areas. Concomitant changes often occur in primary production, nutrient cycling, and erosion and sediment
39 transport. For example, shifts from forested to urban or agricultural lands influence the amounts and types
40 of primary producers, the infiltration of water into soils, and the storage and cycling of carbon and
41 nutrients.

1 **6.4.2 ROE Indicators**

2 This section examines trends in the ecological processes that sustain ecological systems for one National
3 Indicator (Table 6.4.1). Information for this indicator comes from satellite remote sensing, geographic
4 information systems, and independent field studies. Sources include the USDA Forest Service Forest
5 Inventory and Analysis (FIA). It is important to note that the data presented for carbon storage in forests
6 includes only forests classified as “timberland” which excludes about one-third of the forest land cover.
7 Timberland is defined as forests capable of producing at least 20 cubic feet per acre per year and not
8 withdrawn from timber utilization by regulation or statute. This is an important distinction between
9 previously illustrated trends in forest extent and type with the following discussion of carbon inventories.

10 **Table 6.4.1. ROE Indicators of Trends in the Ecological Processes that Sustain the Nation’s**
11 **Ecological Systems**

NATIONAL INDICATORS	LOCATION
Carbon Storage in Forests	6.4.2 – p. 6-45

12

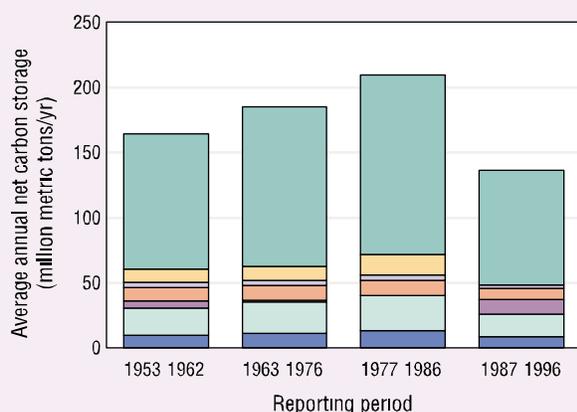
1 INDICATOR: Carbon Storage in Forests

2 After carbon dioxide is converted into organic matter by photosynthesis, carbon is stored in forests for a
 3 period of time in a variety of forms before it is ultimately returned to the atmosphere through the
 4 respiration and decomposition of plants, animals, and the paper and wood products that result from tree
 5 harvest. A substantial pool of carbon is stored in woody biomass (roots, trunks, and branches). Another
 6 portion eventually ends up as organic matter in the upper soil horizons. Carbon storage in forest biomass
 7 and forest soils is an essential physical and chemical attribute of stable forest ecosystems.

8 This indicator, developed by the USDA Forest Service (USDA Forest Service, 2004), tracks carbon
 9 storage in the pools of living and dead biomass in forests in the contiguous 48 states. The carbon pools for
 10 this indicator are estimated using USDA Forest Service Forest Inventory and Analysis (FIA) data from
 11 five historical periods (circa 1953, 1963, 1977, 1987, and 1997). These data cover 37 states, mostly east
 12 of the Mississippi, in the Rocky Mountains, or on the Pacific Coast (Smith et al., 2001, 2004). Alaska and
 13 Hawaii are not included because of limited historical data. Carbon storage is estimated by the FIA
 14 program using on-the-ground measurements of tree trunk size from many forest sites and statistical
 15 models that show the relationship between trunk size and the weight of branches, leaves, coarse roots
 16 (>0.1 inch in diameter), and forest floor litter combined with estimates of forest land area obtained from
 17 aerial photographs and satellite imagery. These values are converted into carbon storages based on the
 18 results of previous field studies (Smith and Heath, 2002; Smith et al., 2003; Birdsey, 1996). Forest floor

litter includes all dead organic matter above the mineral soil horizons, including litter, humus, small twigs, and coarse woody debris (branches and logs greater than 1.0 inches in diameter lying on the forest floor). Organic carbon in soil is not included.

Exhibit 6 13 Average annual net carbon storage in forests of the contiguous U S , by forest component, 1953 1996^a



^a**Coverage** Forest land classified as “timberland,” which accounts for approximately two thirds of the forest land of the contiguous 48 states. These data do not include carbon stored in forest soil.

Data source: USDA Forest Service, Forest Inventory and Analysis (FIA) Program

Aboveground live trees
 Aboveground standing dead trees
 Understory vegetation
 Down dead wood (including stumps)
 Forest floor litter
 Belowground live trees (roots)
 Belowground dead wood

What the Data Show

The change in carbon inventories from year to year represents the net growth of trees minus the amount of carbon removed in harvested timber. The average rates of net carbon storage in forests increased between the 1950s and the 1980s but declined somewhat during the 1990s (Exhibit 6-13). This trend varies among regions of the country, but net storage has been positive in all regions during the past two decades (Exhibit 6-14).

The rate of storage for the last period of record (1987-1996) decreased to 135 million metric tons of carbon per year (MtC/yr), with declining sequestration evident in live, dead, and understory pools (Exhibit 6-13). This decline is thought to be due to a combination of increased harvests relative to growth, more accurate data, and better accounting of emissions from dead wood (USDA Forest Service, 2004). The rate of storage over this

1 period is equivalent to approximately
2 9 to 10 percent of the U.S. carbon
3 dioxide emissions over a comparable
4 period (U.S. EPA, 2005).

5 During the last period of record
6 (1987-1996), the North region
7 sequestered the greatest amount of
8 carbon, followed by the Rocky
9 Mountain region (Exhibit 6-14). The
10 trend of decreasing sequestration
11 between 1953 and 1996 in the South is
12 due to the increase in harvesting
13 relative to growth. Some of the
14 harvested carbon is sequestered in
15 wood products (USDA Forest Service,
16 2004).

17 Indicator Limitations

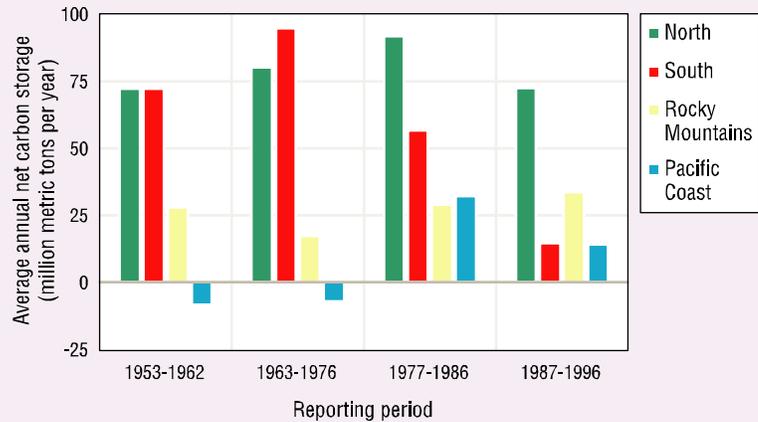
- 18 • The data include only
19 forest classified as
20 “timberland,” which
21 excludes about one-third
22 of U.S. forest land cover.
23 Historical data from
24 Alaska and Hawaii are
25 insufficient for inclusion in this indicator. Urban trees, agricultural soils, and yard trimming
26 are estimated by EPA to add about 15 percent to annual sequestration (U.S. EPA, 2005), but
27 these data are of much lower quality than the timberland data and are not included in the
28 indicator.
- 29 • Data are derived from state inventories that do not correspond exactly to the decades
30 identified in Exhibits 6-13 and 6-14.
- 31 • Carbon stored in forest soil is not included.
- 32 • Carbon pools are not measured, but are estimated based on inventory-to-carbon relationships
33 developed with information from ecological studies.

34 These limitations are discussed in detail in Smith and Heath (2000, 2001) and Heath and Smith (2000).

35 Data Sources

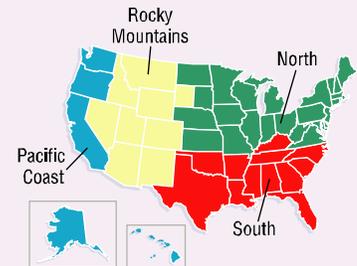
36 Exhibits 6-13 and 6-14 were previously published in the data supplement to USDA Forest Service (2004);
37 the numbers depicted in these figures have not been published, but were provided by the USDA Forest
38 Service. The raw measurements used as inputs in the carbon storage models can all be obtained from the
39 Forest Service’s Forest Inventory and Analysis (FIA) database (USDA Forest Service, 2005)
40 (<http://fia.fs.fed.us/tools-data/data/>).

Exhibit 6-14. Average annual net carbon storage in forests of the contiguous U.S. by region, 1953-1996^a



^a**Coverage:** Forest land classified as “timberland,” which accounts for approximately two-thirds of the forest land of the contiguous 48 states. These data do not include carbon stored in forest soil.

Data source: USDA Forest Service, Forest Inventory and Analysis (FIA) Program



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1 **6.4.3 Discussion**

2 ***What This Indicator Says About Trends in the Ecological Processes that***
3 ***Sustain the Nation’s Ecological Systems***

4 The ROE indicator provides data on trends in primary production and carbon cycles for terrestrial
5 systems. Primary producers capture, store, and supply solar derived energy to other species in the
6 system.³⁴ In the forest, the energy currency is organic matter. Primary producers convert carbon dioxide
7 into organic matter, which is then available to species throughout the ecological system as an energy
8 resource and ultimately returns to the atmosphere (see Carbon Storage in Forests indicator, p. 6-45). For
9 forests, the stability of the system may depend on the balance between carbon stored in standing stock and
10 carbon lost from the system due to logging. Net carbon storage increased between 1950 and 1980,
11 followed by declines through the 1990s. The decline is thought to be due to external “technologies”, i.e.
12 increased harvest, more accurate data, and improved dead wood assessment. During the 1987-1996 time
13 period, the greatest carbon storage occurred in the North and Rocky Mountain regions where there is
14 more tree growth (compared to harvesting), while the greatest decline in sequestration occurred in the
15 South where harvesting has been increasing relative to growth. The distribution of carbon has received
16 much attention not only from a biological point of view but also with respect to global cycles of carbon.
17 Increases and decreases in carbon storage suggest that other pools of carbon (e.g., within the aquatic and
18 atmospheric environments) are also changing. The distribution of carbon among all these pools reflects a
19 combination of processes and can also influence chemical, physical, and biological processes.

20 ***Limitations, Gaps, and Challenges***

21 A major limitation of the indicator presented here is that it provides very little insight into ecological
22 processes across the nation. Indicators are lacking for primary production in aquatic systems, nutrient
23 cycling, secondary production, and reproduction and growth rates of populations. EPA recognizes this as
24 a gap in understanding trends in ecological processes. To some degree, information presented in Sections
25 6.2 and 6.3 gives insight into the net result of ecological processes. Trends in the extent and distribution
26 of ecological systems and in the biodiversity and balance of those systems reflect underlying processes
27 that produce food, cycle nutrients, and sustain populations of plants and animals. Sections 6.2 and 6.3 can
28 be thought of as addressing “end states” that indicate the results of underlying ecological processes.
29 Trends in these end states may or may not pick up important trends in the underlying processes because
30 systems are dynamic and internal relationships are rarely linear.

31 While the indicators of trends in ecological processes offer insights into potential threats to ecological
32 systems, they are also subject to limitations, including natural variability, application to narrow selection
33 of land uses (e.g., U.S. Forest Service timberland versus all forest lands protected and active,
34 grassland/shrubland waterways compared to forested or urban waterways), human-induced impacts, and
35 uncertainty about findings. Trends may be identified, but should be viewed within the context of the
36 indicator design.

³⁴ Whitmarsh, J., and Govindjee. 1999. The photosynthetic process. In: Singhal, G.S., G. Renger, S.K. Sopory, K.-D. Irrgang, and Govindjee, eds. Concepts in photobiology: photosynthesis and photomorphogenesis. New Delhi, India: Narosa Publishers; Dordrecht, The Netherlands: Kluwer Academic Publishers. pp. 11-51.

1 A limitation in the assessment of trends in carbon storage in forests is that the National Indicator captures
2 above-ground carbon and does not include a very important carbon storage medium (soil). In addition,
3 statistical models are employed to estimate carbon storage relationships between different tree
4 components. Carbon storage trends are important for assessing the future viability of ecological systems
5 and have increasing utility in evaluating global carbon cycles and potential climate change.

6

1 **6.5 WHAT ARE THE TRENDS IN THE CRITICAL PHYSICAL AND CHEMICAL**
2 **ATTRIBUTES AND PROCESSES OF THE NATION'S ECOLOGICAL**
3 **SYSTEMS?**

4 **6.5.1 Introduction**

5 Numerous physical and chemical attributes and processes influence and sustain ecological systems.
6 Physical processes shape the physical conditions of ecological systems. Examples include soil generation,
7 erosion, sediment transport and deposition, changes in sea level, and physical disturbance regimes
8 involving periodic flooding and fires. Critical attributes are those that have shaped the evolutionary
9 history of species, govern the very nature of systems, and drive other processes. Critical physical
10 attributes include temperature, light, and hydrologic regimes (rainfall, soil moisture, flow rates). Critical
11 chemical attributes include oxygen, nutrients, pH, and salinity.³⁵

12 Species have evolved within particular physical and chemical environments. These are characterized by
13 mean (i.e., long-term average) conditions as well as by fluctuations on time scales of a day (e.g., tidal and
14 light/dark cycles), seasons (e.g., temperature and hydrological cycles), years (e.g., periodic climatic and
15 fire events), and longer time scales. The occurrence of ice ages every 40,000 to 100,000 years reflects one
16 of the longer time scales. Because critical attributes and processes influence so many aspects of ecological
17 systems, small changes in average conditions or changes in temporal variations can potentially have large
18 effects on the extent and distribution of ecological systems and on the biodiversity of these systems.

19 Average conditions and the degree and periodicity of fluctuations vary over the surface of the globe, and
20 species have evolved with specific niche requirements that reflect the physical and chemical states of the
21 ecological systems in which they live. For this reason, a species that has evolved in tropical waters would
22 have temperature requirements that are higher and narrower (the species is less able to tolerate
23 fluctuations) than a species that has evolved in temperate waters where temperatures are lower and more
24 variable. Reproduction and other activity patterns of species are often related to physical and chemical
25 cues such as temperature, light, and salinity. Because species have evolved coincident with the presence
26 (or absence) of physical disturbances, reproductive strategies may be linked with the occurrence of events
27 which otherwise appear destructive. Thus, disturbances such as periodic fires or flooding may be essential
28 for sustaining certain species and ecological systems where these disturbances have been present over
29 evolutionary time scales.

30 Critical physical attributes and processes reflect, in part, the influence of solar radiation. Solar radiation
31 warms land and water masses and drives hydrologic cycles. The amount of light reaching the surface of
32 the earth and penetrating into its waters determines levels of photosynthesis which is essential to the
33 support of biological systems. Other examples of physical, chemical, and biological processes that are
34 influenced by the amount and periodicity of light include temperature and weather conditions,
35 photoactivation of chemicals, mutations, and the timing of reproductive cycles. Solar radiation can also
36 have potential harmful effects on some species. Light regimes can be influenced by changes in solar
37 energy reaching the earth, changes in the transparency of water, and changes in sea level and these change

³⁵ Information on nutrients and potentially toxic chemicals is presented in Chapters 2, 3, and 4 of this report.

1 the degree of light penetration reaching the sea floor, coral reefs, and kelp forests. The implication of
2 climate change for changes in many aspects of ecological condition has received broad attention.³⁶

3 EPA has been actively involved over its three decades in assessing and managing factors that alter the
4 critical chemical and physical characteristics of ecological systems (e.g., temperature, pH,
5 electrochemical (redox) potential, and the transparency of air and water). For example, the use of water
6 for cooling purposes can result in temperature increases in receiving waters of a river, acid rain can lower
7 the pH levels of lakes in sensitive regions, and wastewater and fertilizer can lead to low redox potentials
8 which affect biological communities and the cycling of both toxic and non-toxic materials. Although EPA
9 is not directly involved in the control of hydrology, an important physical factor in the environment,
10 hydrology greatly influences the fate and transport of pollutants in aquatic ecosystems. Changes in the
11 amount of runoff can affect ground water levels as well as flows into streams and rivers. Flood control
12 efforts can alter flooding and sedimentation processes that sustain particular types of systems. Because
13 ground water is a primary source to surface water bodies in many parts of the nation, changes in the
14 quantity (water level) and quality of ground water influence ecological conditions not only in the
15 hyporheic zone (subsurface in sediments and soils) but also in surface waters. The potential impacts of
16 climate change (whether natural or human-induced) have important consequences for virtually every
17 aspect of ecological structure and function.

18 **6.5.2 ROE Indicators**

19 The evaluation of trends in the critical physical and chemical attributes of the nation's ecological systems
20 relies primarily on nine National Indicators and one Regional Indicator (Table 6.5.1). Information comes
21 from a variety of sources, including satellite remote sensing, geographic information systems, monitoring
22 programs, visual surveys, and independent field studies. Indicator data in this section are drawn from the
23 Heinz Center,³⁷ National programs such as the USDA Forest Service Forest Inventory and Analysis (FIA)
24 program, EPA's Wadeable Streams Assessment (WSA), National Research Council,³⁸ National
25 Atmospheric Deposition Program, National Aeronautics and Space Administration (NASA), National
26 Oceanic and Atmospheric Administration's (NOAA's) National Climate Data Center, U.S. Geological
27 Survey's National Water Quality Assessment Program, U.S. Geological Survey stream flow and water
28 quality monitors, and National Emissions Inventory.

³⁶ Millennium Ecosystem Assessment Board. 2005. Living beyond our means: natural assets and human well being.
<<http://www.maweb.org/en/products.aspx>>

³⁷ The H. John Heinz III Center for Science, Economics, and the Environment. 2005. Forest pattern and
fragmentation. In: The state of the nation's ecosystems: measuring the lands, waters, and living resources of the
United States. New York, NY: Cambridge University Press, September 2002. Web update 2005.
<<http://www.heinzctr.org/ecosystems/forest/frgmt.shtml>>

³⁸ National Research Council. 2001. Climate change science: an analysis of some key questions. Committee on the
Science of Climate Change. Washington, DC: National Academy Press.

1 **Table 6.5.1. ROE Indicators of Trends in the Critical Physical and Chemical Attributes of the**
 2 **Nation’s Ecological Systems.**

NATIONAL INDICATORS	LOCATION
U.S. and Global Mean Temperature and Precipitation	6.5.2 – p. 6-53
Sea Surface Temperature	6.5.2 – p. 6-58
High and Low Stream Flows	3.2.2 – p. 3-14
Streambed Stability in Wadeable Streams	3.2.2 – p. 3-19
Sea Level	6.5.2 – p. 6-61
Nitrogen and Phosphorus Discharge from Large Rivers	3.2.2 – p. 3-28
Nitrogen and Phosphorus in Wadeable Streams	3.2.2 – p. 3-22
Nitrogen and Phosphorus in Streams in Agricultural Watersheds	3.2.2 – p. 3-25
Lake and Stream Acidity	2.2.2 – p. 2-62
REGIONAL INDICATORS	
Extent of Hypoxia in the Gulf of Mexico and Long Island Sound	3.5.2 – p. 3-77

3

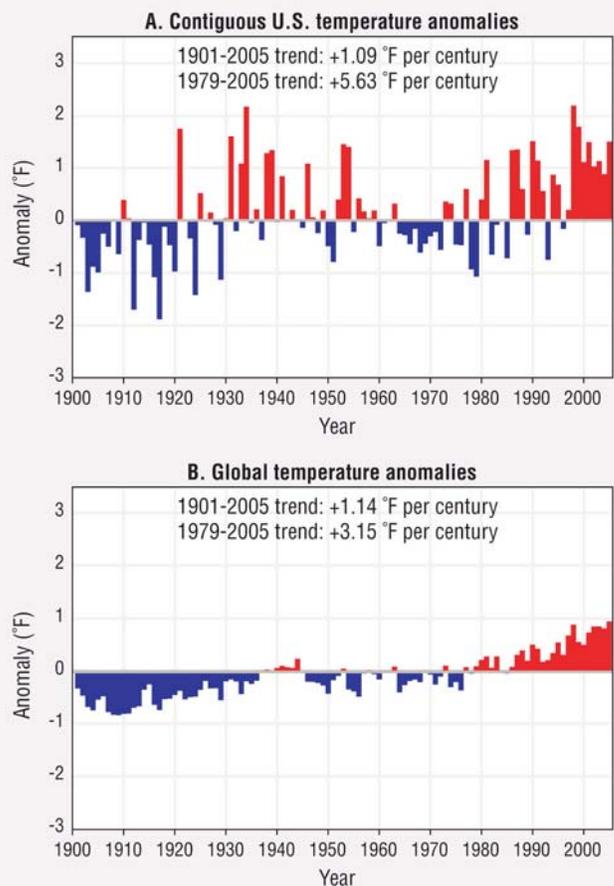
INDICATOR: U.S. and Global Mean Temperature and Precipitation

Air temperature and precipitation are two important properties of climate and are the most widely measured variables. Changes in these indicators may have wide-ranging direct or indirect effects on ecological condition and human health. These impacts may be positive or negative, depending on the effect, the magnitude of change, and the location. For example, changes in temperature can affect heat and cold-related mortality and illness due to altered frequency and magnitude of heat waves and cold spells. Changes in temperature may also change the range and distribution of animal and plant species. Precipitation changes affect water availability and quality which can have important effects on agricultural, forest, animal, and fisheries productivity, as well as human nutrition. Indirect effects of temperature and precipitation changes include changes in the potential transmission of vector-borne infectious diseases. These may result from alterations in the ranges and seasons of animals that carry disease or from accelerated maturation of certain infectious parasites.

This indicator shows trends in temperature and precipitation based on instrumental records from 1901 to 2005 (except for Alaska and Hawaii, where records begin in 1918 and 1905, respectively). Air temperature and precipitation trends are summarized for the contiguous U.S., as well as for eleven climate regions of the U.S., including Alaska and Hawaii (these climate regions are different from the ten EPA Regions). For context, this indicator also shows trends in global temperature (over land and sea) and global precipitation (over land) from 1901 to 2005.

Temperature and precipitation data are presented as trends in anomalies. An anomaly represents the difference between an observed value and the corresponding value from a baseline period. This indicator uses a 30-year baseline period of 1961 to 1990. To generate the temperature time series, measurements were converted into monthly anomalies, in degrees Fahrenheit. The monthly anomalies then were averaged to get an annual temperature anomaly for each year. Precipitation trends were calculated in similar fashion, starting with anomalies for total monthly precipitation, in millimeters. Monthly anomalies were added to get an annual anomaly for each year, which was then converted to a percent anomaly—i.e., the percent departure from the average annual precipitation during the baseline period. Trends in temperature and precipitation were calculated from the annual time series by ordinary least-squares regression. For each of the eleven climate regions, this indicator also

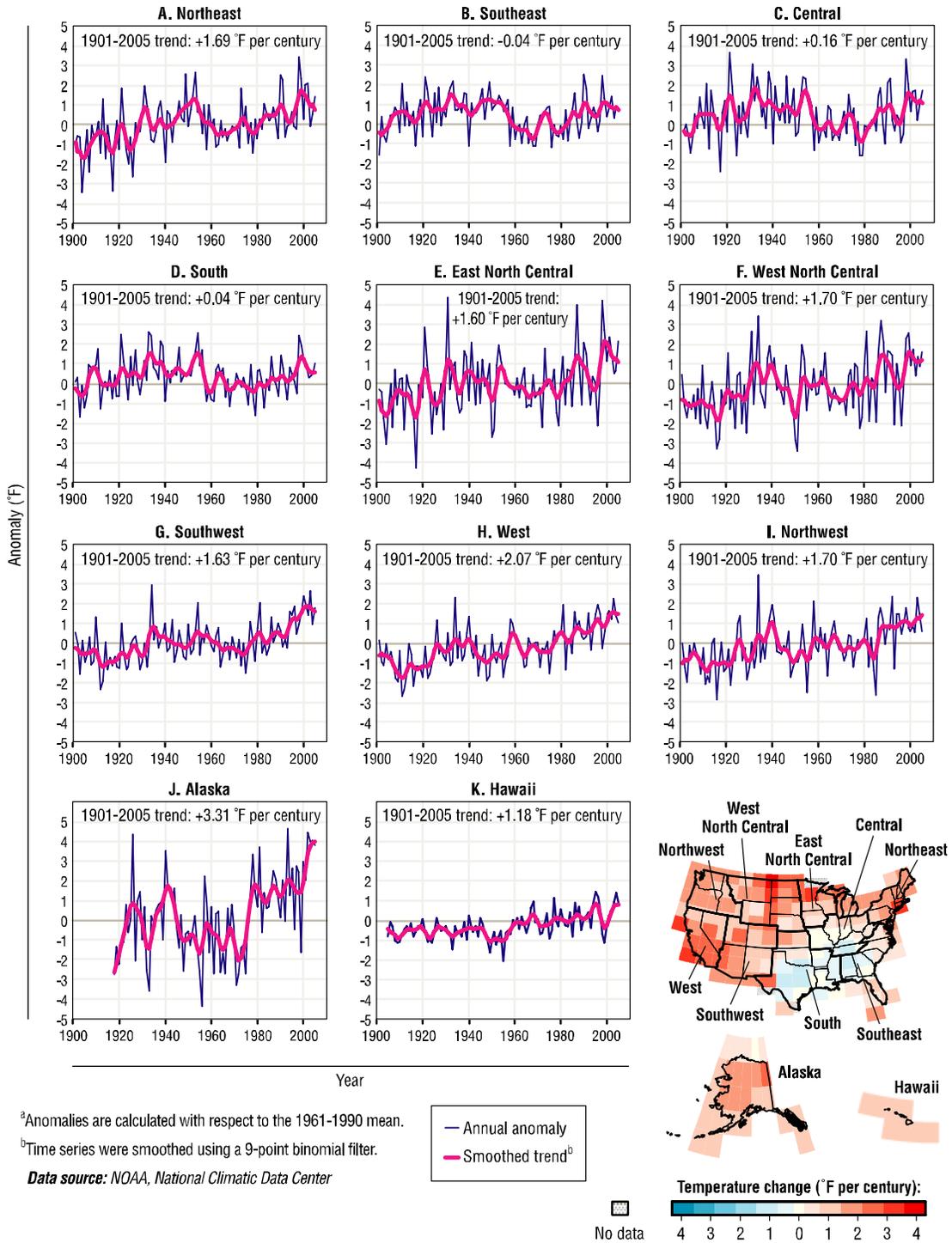
Exhibit 6-15. Annual temperature anomalies in the contiguous U.S. and worldwide, 1901-2005^a



^aAnomalies are calculated with respect to the 1961-1990 mean.

Data source: NOAA, National Climatic Data Center

Exhibit 6-16. Annual temperature anomalies in the U.S. by region, 1901-2005^a



1 shows a smoothed time series, which was created from the annual series using a nine-point binomial filter
2 (four years on each side, averaged with decreasing weights further from the center year).

3 **What the Data Show**

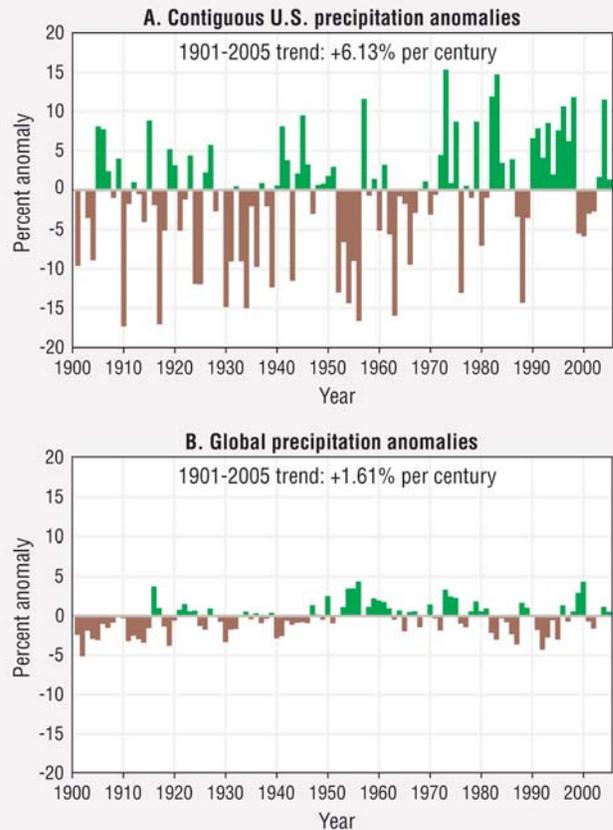
4 Over the past century, temperatures rose across the
5 contiguous United States at an average rate of 0.11
6 °F per decade (1.1 °F per century) (Exhibit 6-15,
7 panel A). Average temperatures rose at an
8 increased rate of 0.56 °F per decade from 1979 to
9 the present. For reference, the most recent eight-
10 year (1998–2005), nine-year (1997–2005), and ten-
11 year (1996–2005) periods have been the warmest
12 on record for the United States (NOAA, in
13 progress). Warming occurred throughout the U.S.,
14 with all but three of the eleven climate regions
15 showing an increase of more than 1 °F since 1901
16 (Exhibit 6-16). The greatest temperature increase
17 occurred in Alaska (3.3 °F per century).

18 Trends in global temperature and precipitation
19 provide a context for interpreting trends in
20 temperature and precipitation in the U.S.
21 Instrumental records from land stations and ships
22 indicate that global mean surface temperature
23 warmed by about 1.1 °F during the 20th century
24 (Exhibit 6-15, panel B), similar to the rate of
25 warming within the contiguous U.S. From 1979 to
26 present, however, the U.S. warmed at nearly twice
27 the global rate.

28 As global mean temperatures have risen, global
29 mean precipitation also has increased (Exhibit 6-
30 17, panel B). This is expected because evaporation
31 increases with increasing temperature, and there
32 must be an increase in precipitation to balance the
33 enhanced evaporation (IPCC, 2001). Precipitation
34 over land increased by 1.6 percent globally since 1901, but the trends vary spatially and temporally. Over
35 the contiguous U.S., total annual precipitation increased at an average rate of 6.1 percent per century since
36 1901 (Exhibit 6-17, panel A), although there was considerable regional variability (Exhibit 6-18). The
37 greatest increases came in the East North Central climate region (11.6 percent per century) and the South
38 (11.1 percent). Hawaii was the only region to show a decrease (-9.25 percent).

39

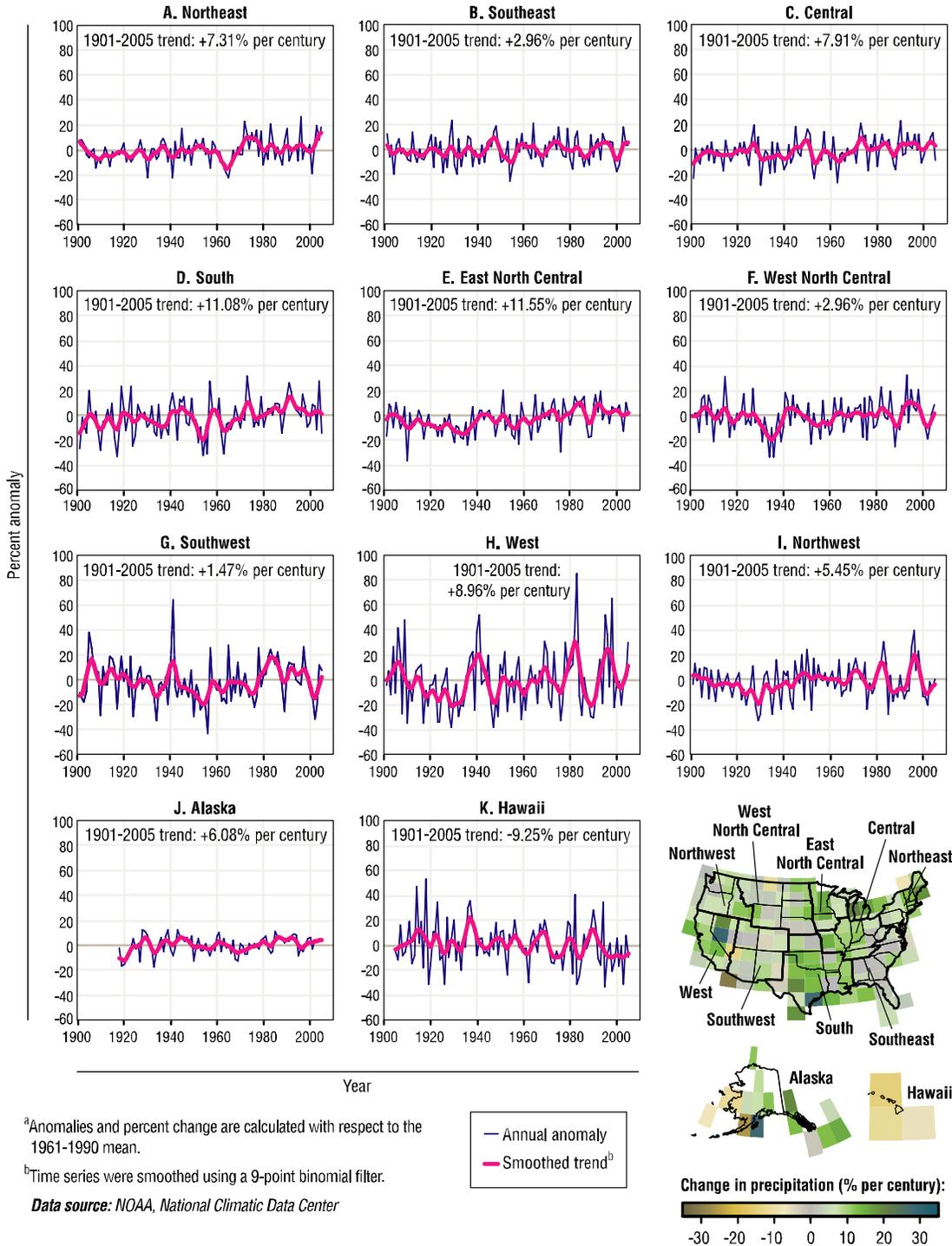
Exhibit 6-17. Annual precipitation anomalies in the contiguous U.S. and worldwide, 1901-2005^a



^aAnomalies and percent change are calculated with respect to the 1961-1990 mean.

Data source: NOAA, National Climatic Data Center

Exhibit 6-18. Annual precipitation anomalies in the U.S. by region, 1901-2005^a



1 **Indicator Limitations**

- 2 • Biases may have occurred as a result of changes over time in instrumentation, measuring
3 procedures (e.g., time of day), and the exposure and location of the instruments. Where
4 possible, data have been adjusted to account for changes in these variables.
- 5 • Uncertainties in both the temperature and precipitation data increase as one goes back in time,
6 as there are fewer stations early in the record. However, these uncertainties are not sufficient
7 to mislead the user about fundamental trends in the data.

8 **Data Sources**

9 Data were provided by NOAA’s National Climatic Data Center (NCDC), which calculated global, U.S.,
10 and regional temperature and precipitation time series based on monthly values from a network of long-
11 term monitoring stations. Data from individual stations were obtained from the U.S. Historical Climate
12 Network (USHCN) and the Global Historical Climate Network (GHCN), which are NCDC’s online
13 databases (NOAA, 2006).

14 **References**

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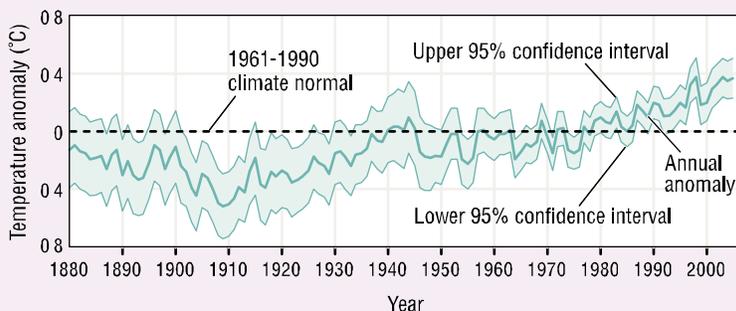
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22 <<http://www.ncdc.noaa.gov/oa/climate/research/ushcn/ushcn.html>> (U.S. Historical Climate Network);
23 <<http://www.ncdc.noaa.gov/oa/climate/research/ghcn/ghcn.html>> (Global Historical Climate Network)

INDICATOR: Sea Surface Temperature

Sea surface temperature (SST) is a critical physical attribute of the oceans and coastal ecological systems. Water temperature directly affects biological and physical process rates, water column stability, and the presence and functioning of species of plants (e.g., algae, sea grasses, marsh plants, and mangroves) and animals (e.g., microscopic animals, larger invertebrates, fish, and mammals). Increases in temperature have been associated with the timing of breeding in sea turtles (Weishampel et al., 2004), stress and bleaching of coral reefs (Brown, 1997; Woodbridge and Done, 2004), alteration of species migration patterns, changes in ecological system extent and composition (Helmuth et al., 2002), and changes in the frequency or extent of blooms of harmful algae (Ostrander et al., 2000). On longer time scales (decades to centuries), rising SST may result in decreases in the supply of nutrients to surface waters from the deep sea which could trigger a cascade of effects leading to decreases in primary production and declines in fish production (Pratchett et al., 2004), wetland loss, reductions in coastal storm buffering, and losses of local tourism. SST is both an indicator of, and a profound influence on, the climate system. Changes in SST may result from long-term cycles in ocean circulation, climate variability, or secular trends in climate (Committee on the Bering Sea Ecosystem et al., 1996).

This SST indicator, developed by the National Climate Data Center (NCDC) of the National Oceanic and Atmospheric Administration (NOAA) and the National Center for Atmospheric Research (NCAR), describes the long-term variability and change in global mean sea surface temperature for the period 1880 to 2005. This reconstruction provides consistent spatial and temporal data with their associated 95 percent confidence intervals. The data are compiled from in-situ measurements from the International Comprehensive Ocean-Atmosphere Data Set (ICOADS) release 2 (Slutz et al., 2002) and—in recent years—from satellite imagery. Data are available from multiple sources (e.g., ship reports, buoy monitors, oceanographic profiles) from as early as 1854 (Woodruff et al., 1998). By filtering and blending data sets that use alternative measurement methods and include redundancies in space and time, this reconstruction is able to fill spatial and temporal data gaps and correct for biases in the different measurement techniques (e.g., uninsulated buckets, intakes near warm engines, uneven spatial coverage, etc.). The extended reconstructed data are shown as anomalies, or differences, from the “normal” (i.e., average) SST from 1961 to 1990 (Smith and Reynolds, 1998). The long-term average change obtained by this method is very similar to those of the “unanalyzed” measurements and reconstructions developed by other researchers (e.g., Rayner et al., 2003).

Exhibit 6 19 Annual global sea surface temperature anomaly, 1880 2005^a



^a**Coverage** Anomaly with respect to the 1961 1990 climate normal, which is plotted as zero

Data source: NOAA, National Climatic Data Center

What the Data Show

The reconstruction of SST anomalies over all latitudes indicates that sea-surface temperatures during the past three decades are at their highest levels over the period of record (Exhibit 6-19). Warming has occurred through most of the twentieth century and appears to be independent of measured inter-decadal and short-term variability (Smith and Reynolds, 2005). The SST warming occurs in two parts, the first between

1 1910 and 1940 and the second after 1970, with a roughly stationary period between 1940 and 1970. SST
2 appears to have cooled between 1880 and 1910, but confidence intervals are wide for the indicator over
3 the early period of record. Despite that uncertainty, warming for the entire period of the indicator and for
4 the period from 1900 forward is statistically significant.

5 **Indicator Limitations**

- 6 • The 95 percent confidence interval is wider than other methods for long-term reconstructions
7 and in mean SSTs this interval tends to dampen anomalies.
- 8 • The geographic resolution is coarse for ecosystem analyses but reflects long-term and global
9 changes as well as variability.
- 10 • The reconstruction methods used to create this indicator remove almost all random “noise” in
11 the data. However, the anomalies are also dampened when and where data are too sparse for a
12 reliable reconstruction. The 95 percent confidence interval reflects this “damping” effect as
13 well as uncertainty caused by possible biases in the observations.
- 14 • Data screening results in loss of many observations at latitudes higher than 60° N and 60° S.
15 Although the effects of screening at high latitudes on the indicator are extremely small on the
16 global average, its main effect is to lessen anomalies and widen the confidence intervals.

17 **Data Sources**

18 This extended reconstruction of SST, called ERSST.v2, was recently published in Smith and Reynolds
19 (2004). Data are available from NOAA’s National Climate Data Center (NOAA, 2006b), which provides
20 access to monthly SST and error data from this reconstruction (<ftp://ftp.ncdc.noaa.gov/pub/data/ersst-v2/>)
21 as well as a mapping utility that allows the user to calculate average anomalies over time and space
22 (<http://nomads.ncdc.noaa.gov/#climatencdc>). Confidence intervals for the global average dataset were
23 provided by NOAA. The ERSST.v2 reconstruction is based on in-situ measurements and satellite data,
24 both of which are available from online databases. In-situ measurements are available from NOAA
25 (2006a) (<http://icoads.noaa.gov/products.html>), and satellite data from NASA (2006)
26 (<http://podaac.jpl.nasa.gov/sst/>).

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1 INDICATOR: Sea Level

2 Sea level is an indicator of global and local change and a factor that affects human welfare and coastal
3 ecosystem conditions. Coastal areas host a rich set of natural and economic resources and include some of
4 the most developed and rapidly growing population centers in the nation. More than 100 million people
5 globally live within 1 meter of the mean sea level and more than 40 percent of the U.S. population lives in
6 watersheds along U.S. ocean coasts (NOAA, 2005). Changing sea levels can inundate low lying wetlands
7 and dry lands (Burkett et al., 2005), erode beaches (U.S. Geological Survey, 1998), change rates of
8 sedimentation (Olf et al., 1997), and increase the salinity of marshes, estuaries, and aquifers (Condrey et
9 al., 1995; Williams et al., 1999). Documented consequences of sea level rise include loss of buffering
10 against storms and floods (Burkett et al., 2005), changes in bird populations (Erwin, 2005) and land cover
11 (Williams et al., 1999), property losses (Burkett et al., 2005), and infrastructure damage (Theiler and
12 Hammar-Klose, 1999; U.S. Department of Transportation, 2003).

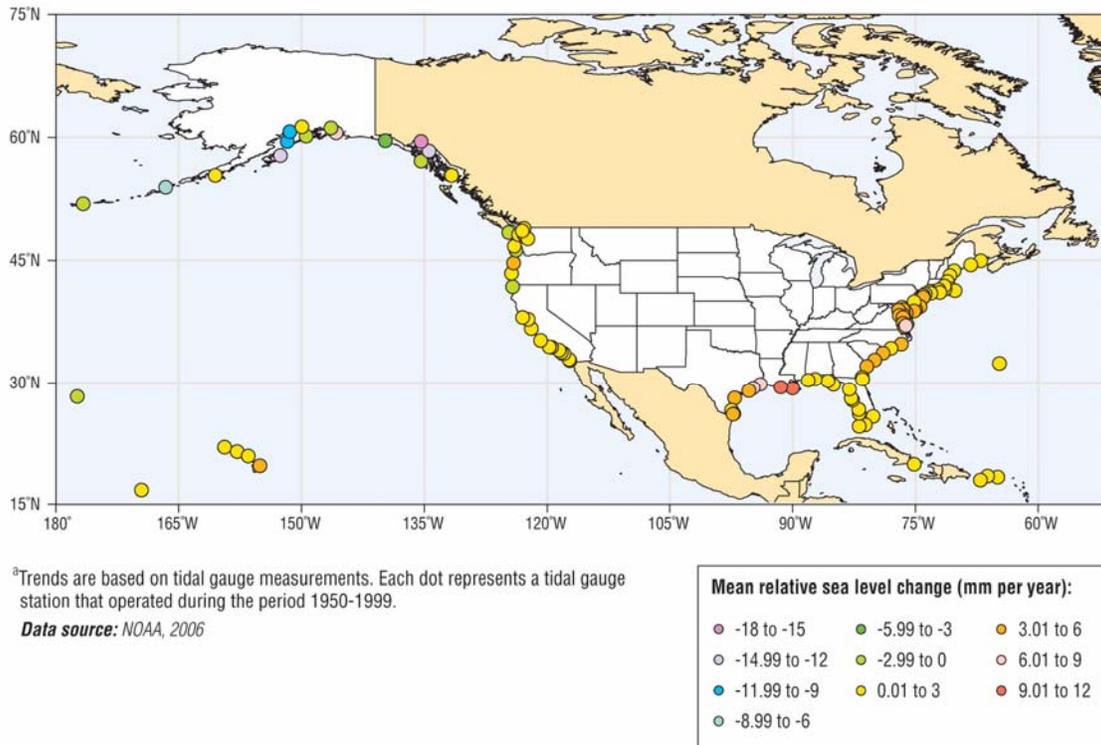
13 Approximately 58,000 km² of land in the contiguous U.S. lie less than 1.5 m above sea level, 80 percent
14 of which is in Louisiana, Florida, Texas, and North Carolina (Titus and Richman, 2001). Almost half the
15 shoreline studied along the U.S. Atlantic Coast was determined to be highly to very highly vulnerable to
16 effects of sea level rise (Theiler and Hammar-Klose, 1999). The areas of highest vulnerability are high-
17 energy coastlines where the coastal slope is low and the major landform type is a barrier island. The risks
18 may be minimal if wetlands accretion can match or outpace sea level rises, but accretion rates vary widely
19 (Hartig et al., 2000, Table 3).

20 A number of factors affect sea level, including, but not limited to, changes in sea temperature, salinity,
21 and total water volume and mass (e.g. from melting glaciers or changes in the amount of water stored on
22 land). Sea level moves up with warming sea temperatures and down with cooling. Changes in the total
23 volume and mass of ocean water also result from the melting or accumulation of Antarctic and Greenland
24 ice sheets and non-polar glaciers and changes in the amount of water stored in lakes, rivers, and
25 groundwater. As such, global average sea level change is an indicator of the physical and climatic
26 stability of the global environment.

27 Temporal scale is an important factor in interpreting sea level trends. Sea level changes may reflect
28 factors such as seasonality, inter-annual to decadal scale variability such as “El Nino,” and/or long-term
29 climate change (decades to centuries). Spatial scale also is important because absolute sea height does not
30 change uniformly around the globe.

31 This indicator presents trends in *absolute* and *relative* sea level. *Absolute* sea level represents only the sea
32 height, whereas *relative* sea level change is defined as sea height change plus land height changes (due to
33 subsidence or uplift and changes in natural land accretion). Relative sea level data are from the tidal
34 gauge measurements of the National Water Level Observation Network (NWLON) composed of
35 approximately 175 long-term, continuously operating stations located along the United States coast,
36 including the Great Lakes and along islands in the Atlantic and Pacific Oceans (Smith, 1980; Gill and
37 Schultz, 2001). Tidal gauge data are presented from 1950 to 1999, although a few locations have been
38 monitoring since the mid-1800s (NOAA, 2001). Absolute sea level data are from satellite measurements
39 from NASA’s TOPEX/Poseidon spacecraft, which uses radar to map the precise features of the ocean
40 surface, and the “Jason” satellite which monitors ocean circulation (Leuliette et al., 2006). The two
41 satellites use radar altimetry to collect sea level data globally. These data have been available since 1993.

Exhibit 6-20. Changes in relative sea level along U.S. coasts, 1950-1999^a



1

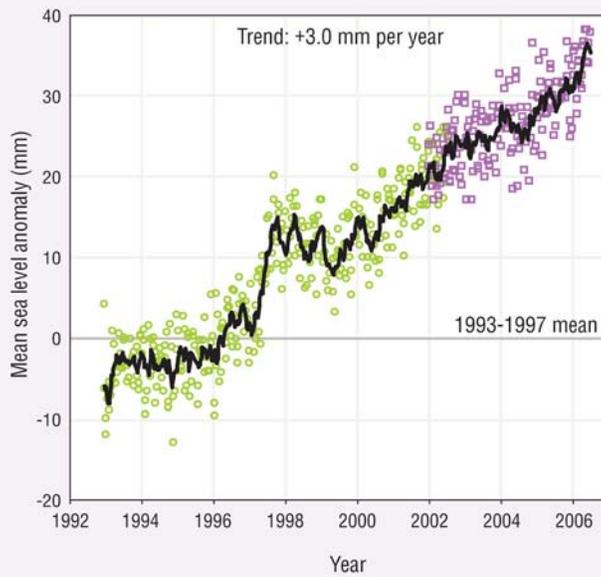
2 What the Data Show

3 Relative sea levels (combined land and sea movement) in many locations rose from 1950 to 1999,
4 typically at rates of 0-3 millimeters per year (mm/yr) (up to one foot per century) (Exhibit 6-20). Relative
5 sea level has risen more rapidly (3-6 mm/yr) along the mid-Atlantic coast from North Carolina to New
6 Jersey and at rates as high as 9-12 mm/yr at two stations in Louisiana. Other locations, such as the
7 southern coast of Alaska, show relative sea level *drop*, with a maximum decrease of 16 mm/yr. Average
8 relative sea level rise for all U.S. coasts was not calculated because the distribution of tidal gauge stations
9 is not spatially representative of aggregate trends, but for reference, an analysis of tidal gauge data
10 worldwide estimated that on average, relative sea level rose between 1.5 and 2.0 mm/yr during the 20th
11 century (Miller and Douglas, 2004).

12 The satellite record shows that global mean absolute sea level (i.e., independent of land movements) has
13 increased at a rate of 3 mm (0.12 inches) per year since 1993 (Exhibit 6-21). Absolute sea levels do not
14 change uniformly around the Earth, however. Around the U.S., areas with increasing absolute sea level
15 include the Gulf coast and portions of the Atlantic coast (Exhibit 6-22). Areas showing a decrease include
16 the southern part of the Pacific coast and the western Gulf of Alaska.

17

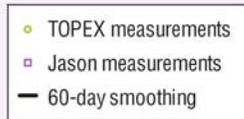
Exhibit 6-21. Global mean sea level, 1993-2006^{a,b}



^aValues are reported as anomalies with respect to the 1993-1997 mean.

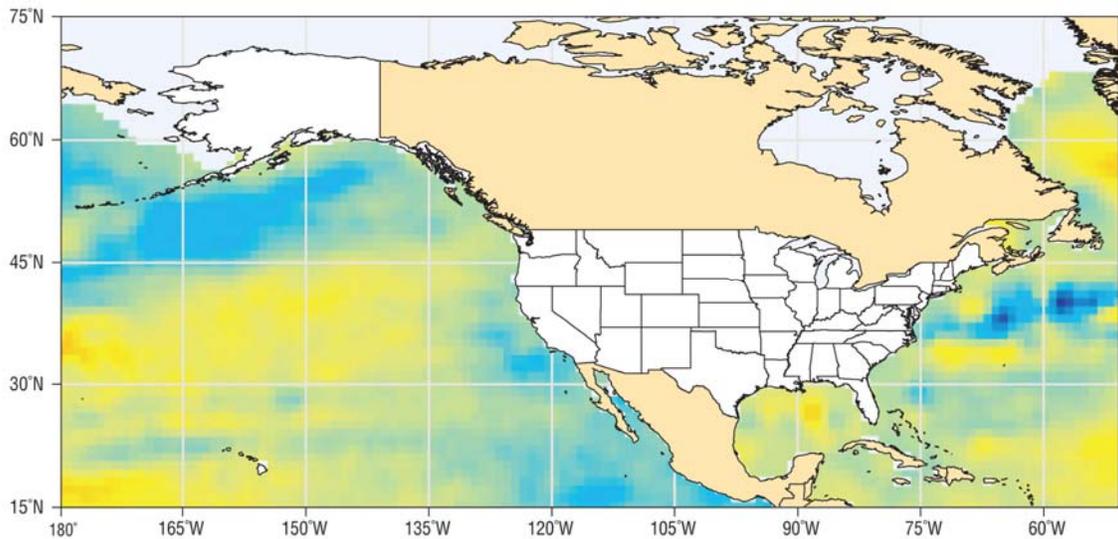
^bData were collected by the TOPEX/Poseidon and Jason 1 satellite altimeters. Data were adjusted by applying an inverse barometer (air pressure) correction and removing seasonal signals.

Data source: Leuliette et al., 2006



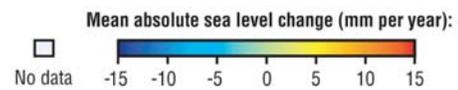
1

Exhibit 6-22. Changes in absolute sea level along U.S. coasts, 1993-2006^a



^aTrends are based on satellite measurements. Data were adjusted by applying an inverse barometer (air pressure) correction.

Data source: Leuliette et al., 2006



1 **Indicator Limitations**

- 2 • An estimated 50 to 60 years of data are required to obtain linear mean sea level trends having
3 a 1 mm/yr precision with a 95 percent statistical confidence interval.
- 4 • Tidal gauge measurements do not represent more generalized (i.e. average) relative sea level
5 change along US coasts (or globally).
- 6 • Most local tidal gauge measurements cannot indicate whether changes in relative sea level are
7 due to changing water level or land level.
- 8 • Satellite data are not available for a multi-decadal time series needed to separate out medium-
9 term variability from long-term change.
- 10 • Satellite data are not horizontally precise enough to resolve sea level trends for small water
11 bodies (such as many estuaries) or for localized interests (such as a particular harbor or
12 beach).

13 **Data Sources**

14 Exhibit 6-20 is based on a map and corresponding trend data published by NOAA's National Oceans
15 Service (NOAA, 2006) (<http://tidesandcurrents.noaa.gov/sltrends/sltrends.shtml>). These data also can be
16 found in NOAA (2001), along with a list of station coordinates (Appendix 1). Individual station
17 measurements are accessible through NOAA (2006).

18 Exhibits 6-21 and 6-22 were produced using data provided by Leuliette et al. (2006) (time series at
19 <http://sealevel.colorado.edu/results.php>; map at <http://sealevel.colorado.edu/maps.php>). Leuliette et al.'s
20 analysis was based on measurements from NASA's Ocean TOPography Experiment (TOPEX) and Jason
21 satellite altimeters; results were calibrated using a model documented in Leuliette et al. (2004). Satellite
22 measurements can be obtained from NASA's online database (NASA, 2006) ([http://topex-
www.jpl.nasa.gov/science/data.html](http://topex-
23 www.jpl.nasa.gov/science/data.html)).

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1 **6.5.3 Discussion**

2 ***What These Indicators Say About Trends in Critical Physical and Chemical***
3 ***Attributes of the Nation’s Ecological Systems***

4 *Critical Physical Attributes*

5 Information is available on trends in temperature and precipitation (see Temperature and Precipitation
6 indicator, p. 6-53). Across the contiguous U.S., mean temperature increased over the past century. The
7 rate of increase in the past 30 years was higher than in the previous part of the century, amounting to
8 more than 0.5 °F per decade. Some regional trends in temperature are evident, with the southeastern
9 United States exhibiting some cooling and the western part of the country and Alaska exhibiting a greater
10 warming trend than the rest of the country.

11 These general warming trends have occurred concurrently with rising atmospheric concentrations of
12 greenhouse gases (see Greenhouse Gas Concentrations indicator, p. 2-100). The Intergovernmental Panel
13 on Climate Change concluded that “[t]here is new and stronger evidence that most of the warming
14 observed over the last 50 years is attributable to human activities.”³⁹ The National Research Council
15 agreed that “[t]he changes observed over the last several decades are likely mostly due to human
16 activities,” but cautioned that “we cannot rule out that some significant part of these changes is also a
17 reflection of natural variability.”⁴⁰

18 Virtually every ecological system in the United States is potentially vulnerable to changes in temperature
19 regimes that might affect either biological or physical conditions. These include coastal and marine
20 areas,⁴¹ inland freshwater and wetland systems,⁴² and terrestrial systems.⁴³ The potential ecological
21 implications of a gradual warming trend have received much attention.^{44,45}

³⁹ IPCC (Intergovernmental Panel on Climate Change). 2001. Climate change 2001: the scientific basis. Contribution of Working Group I to: Houghton, J.T., Y. Ding, D.J. Griggs, M. Noguer, P.J. van der Linden, X. Dai, K. Maskell, and C.A. Johnson, eds. Third assessment report of the Intergovernmental Panel on Climate Change. Cambridge, United Kingdom, and New York, NY: Cambridge University Press.

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1 Temperature changes can influence the physical aspects of ecological systems, including regional and
2 global weather and oceanographic patterns. Impacts associated with warming include the global retreat of
3 mountain glaciers, reduction in snow-cover extent, earlier spring melting of ice on rivers and lakes, and
4 increases in sea surface temperatures and ocean heat content.⁴⁶ For example, sea surface temperature
5 increased throughout the past century, with the greatest increases occurring in the past three decades (see
6 Sea Surface Temperature indicator, p. 6-58). Changes in temperature also have shown variations
7 throughout the world's oceans.^{47,48}

8 Temperature changes also can influence the biological aspects of ecological systems. All species have
9 preferred ranges of temperature for survival, growth, and reproduction as well as lower and upper thermal
10 tolerance limits. Mean temperature, seasonal changes, and other temporal fluctuations constitute species
11 temperature regimes. As these regimes change, several types of stresses are placed on species. The first is
12 that the species may not be as well adapted to the new regime and may not be able to sustain its
13 populations. The second is that other species may be better adapted and able to extend their ranges into
14 new areas. Finally, because temperature can affect other biological and physical attributes of systems, the
15 ecological system itself may change in a way that is not favorable for the species.

16 Temperature patterns are interlinked with air and water circulation patterns, which are critical to the
17 dispersal of organisms, the movement of nutrients, and many other processes important to sustaining
18 ecological systems. The replenishment of water over land surfaces is particularly critical, as it is a major
19 determinant of the sustainability of the varied ecological systems that exist along a gradient of moisture
20 from wetlands to deserts. For example, in areas where precipitation is reduced, droughts can have a
21 pronounced and rapid influence on vegetation.⁴⁹

22 Overall, precipitation increased in the United States over the past century (see Temperature and
23 Precipitation indicator, p. 6-53). Regional differences are apparent, however, with the greatest increases in
24 the East North Central climate region and the South, very small increases in other regions, and a decrease
25 in Hawaii. It is difficult to assign causes to such local and regional changes in precipitation because of
26 natural climate variability (e.g., oscillations such as El Niño and others), complex interactions between
27 aerosols (from natural and industrial processes) and clouds, and the effects of urban and rural land use on
28 evaporation and transpiration.

⁴⁵ Millennium Ecosystem Assessment Board. 2005. Living beyond our means: natural assets and human well being.
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⁴⁷ Barry, J.P., C.H. Baxter, R.D. Sagarin, and S.E. Gilman. 1995. Climate-related, long-term faunal changes in a
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1 *Physical Processes*

2 Physical processes shape and sustain ecological systems. Stream flows carve and form aquatic habitats.
3 Whether moving sediment under high flow regimes or fostering sedimentation in lower flow regimes,
4 stream flow impacts ecological communities. The timing of seasonal flows coincides with the
5 reproductive cycles of some species. Trends revealing shifts in high and low flows and changes in no flow
6 periods forewarn of instability in ecological systems. Water cycles define habitat boundaries throughout
7 each year and across years. In some ecological systems streambeds may require an annual high flow event
8 to restore habitat that had been filled with debris and sediment during lower flow periods. The seasonal
9 trends are important for species depending on specific conditions (see Stream Flows indicator, p. 3-14).
10 Flow magnitude and timing and no-flow periods provide insights into trends in flow volumes that may
11 impact ecological processes, particularly the life cycles of species that depend on specific habitat
12 conditions.⁵⁰ In one-half of the streams and rivers there have been shifts in magnitude of high or low
13 flows of up to 75 percent and timing shifts of up to 60 days. The trend has been an increasing change in
14 magnitude from the 1970s to the present.⁵¹ The number and duration of no-flow periods are decreasing.
15 Information on the stability of streambeds (see Streambed Stability indicator, p. 3-19) can be used as a
16 baseline against which future can be measured.

17 In many locations along the U.S. coast, sea level has steadily risen, reflecting changes in water levels as
18 well as subsidence in land in some areas (see Sea Level indicator, p. 6-61). These changes can alter the
19 ecological conditions in coastal areas, especially where land elevations are low. The rise of sea levels
20 results in increased flooding that can be exacerbated during storm events. Rising sea level also can result
21 in increased salinity levels in coastal inland waters and soils thereby changing the chemical environment
22 of habitats. Freshwater ecological systems are progressively lost as they are transformed into more saline
23 inland waters or into open coastal waters.

24 *Critical Chemical Attributes*

25 Dissolved oxygen is critical to the support of aerobic animals and plants. In aquatic systems, dissolved
26 oxygen levels reflect a balance between that produced by plants, consumption by all biota, and physical
27 mixing processes. The spatial extent and timing of reduced oxygen conditions (hypoxia) and no oxygen
28 conditions (anoxia) affects the distribution and sustainability of populations of aerobic organisms. As
29 hypoxic and anoxic areas increase in size and persistence, animals such as mollusks (snails and clams),
30 arthropods (e.g., crabs and shrimp), and fish have proportionally less habitat within which they can thrive.
31 For these reasons, trends in oxygen affects the sustainability of populations as well as the overall
32 biodiversity of aquatic and marine systems.

⁵⁰ The H. John Heinz III Center for Science, Economics, and the Environment. 2005. Forest pattern and fragmentation. In: The state of the nation's ecosystems: measuring the lands, waters, and living resources of the United States. New York, NY: Cambridge University Press, September 2002. Web update 2005. <http://www.heinzctr.org/ecosystems/forest/frgmt.shtml>

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1 Regional information is available on baseline and trend hypoxic conditions in the Gulf of Mexico and
2 Long Island Sound (see Hypoxia in Gulf of Mexico and Long Island Sound indicator, p. 3-77). The size
3 of the hypoxic zones in both the Gulf of Mexico and Long Island Sound has been highly variable since
4 the mid-1980s, with no discernable trend in either area. In both cases, there remain substantial areas in the
5 latest year of record (2005) where low dissolved oxygen concentrations make the waters unsuitable to
6 support most fish and shellfish species.

7 Nutrient cycles are tightly interwoven into ecological processes. In stable, healthy ecological systems
8 these cycles supply the nutrients required to maintain and even expand populations. Aquatic systems,
9 receiving inputs throughout a watershed, are particularly susceptible to disrupted nutrient cycles. Nutrient
10 inputs within a watershed may impact ecological processes at a location far from the origin of the input
11 (e.g., input occurs upstream, but impact occurs at the mouth of a river). Indicators focusing on the most
12 active nutrients in aquatic systems- phosphorus and nitrogen- provide insights into trends in nutrient
13 loads, cycles, and transport.

14 Nutrient loads have been examined for the Mississippi, Columbia, St. Lawrence, and Susquehanna Rivers
15 (see N and P Discharge from Large Rivers indicator, p. 3-28). The largest of the monitored rivers, the
16 Mississippi River, contained more than 15 times the nitrate than the other rivers. The nutrient loads in this
17 river more than doubled from the 1950-1960s to the 1980-1990s. In contrast to the consistently upward
18 trend of nitrate discharge in the Mississippi River, the nitrate discharge in the Columbia River nearly
19 doubled in the 1990s compared to historic discharges, but returned to historic levels by 2002. Nitrate
20 levels increased in the St. Lawrence but did not exhibit a particular trend in the Susquehanna. Phosphorus
21 discharge trends are unclear in the Mississippi and Columbia Rivers and show a decrease in the St.
22 Lawrence and Susquehanna Rivers, likely due to phosphorus controls.

23 Baseline information for phosphorus and nitrogen is available for wadeable streams for the 1999 to 2004
24 period (see N and P in Wadeable Streams indicator, p. 3-22). The cumulative distributions exhibited
25 pronounced tails at the higher concentrations. The information indicates that for phosphorus 80 percent of
26 stream miles had concentration of 100 µg/L or less; the remaining 20 percent exhibited a broader range of
27 100 to about 900 µg/L. For nitrogen, 70 percent of the stream miles had nitrogen values of 1 mg/L or less;
28 the remaining 30 percent ranged from 1 to over 40 mg/L.

29 Agriculture-dominated watersheds are often characterized by higher loads of applied nitrogen and
30 phosphorus fertilizers to optimize crop development. Streams located within these areas provide an
31 indication of the extent of nutrient inputs. Baseline studies confirm that levels of nitrogen and phosphorus
32 are elevated in many of these water bodies (see N and P in Agricultural Streams indicator, p. 3-25).

33 The pH of air masses and waters is critical to biological functions, can directly affect the viability of
34 species, and can affect the bioavailability of chemicals (both nutrients and potential toxics). There has
35 been a decrease in wet deposition of sulfur and nitrogen compounds over the past 15 years, as discussed
36 in Chapter 2. Associated with the decrease in deposition has been an increase in the acid neutralizing
37 capability of water bodies (see Lake and Stream Acidity indicator, p. 2-62). Some sensitive regions—
38 New England and Blue Ridge—have not shown improvement from 1990 to 2000.

39 ***Limitations, Gaps, and Challenges***

40 There are ROE indicators for only a few of the critical physical and chemical attributes and processes.
41 EPA would like to have ROE indicators for solar radiation over land and water as well as penetration into
42 the nation's waters. In addition, there are no ROE indicators of disturbance regimes associated with

1 flooding and fire. Still, information is available for a few of the most critical attributes. Trends in
2 temperature provide insight into other trends that have important biological and physical ramifications.

3 The indicators of trends in chemical and physical life-sustaining parameters are influenced by uncertainty.
4 As technology changes, biases develop for data collected over long periods of time. Data collection tools
5 may improve, creating new uncertainties when comparing recent data to historic trend data. In historic
6 trend analyses, gaps in the record may emerge. Bridging the gaps between data series may require use of
7 estimation or interpolation methods, or those time periods may be excluded altogether. All indicators of
8 long-term trends are susceptible to changes in monitoring technology and historic data gaps. However, the
9 increase in temperature and precipitation is occurring and with the collection of additional data sets
10 longer-term trends can be confirmed or refuted.

11 Measuring trends in physical processes is subject to limitations. For the assessment of the indicator for
12 stream flow, establishing a baseline data set is challenging. In this case the baseline data do not represent
13 “natural” conditions because of the presence of dams and other human impacts on flow during the
14 baseline data years of 1930-1949. Also, the USGS gauging stations that generate the data for this
15 parameter are placed on the larger tributaries and may miss trends in the smaller waterways. However,
16 this indicator does provide valuable trend information regarding the general increase in high and low
17 flows for larger waterways. For the assessment of acidification, the focus is largely on areas where
18 previous studies revealed an impact. This may exclude areas that are impacted to a lesser extent by acid
19 rain.

20 While the large river surveys provide trend data for a watershed, it is not possible to identify the relative
21 contributions of different land uses in the river basin. More detailed studies focus on the most common
22 land use contributing to nutrient runoff. Each provides useful information regarding trends in the specific
23 system.

24 Information contained in the indicators represents baseline, decadal, and even century-level trends.
25 However, for hydrologic and temperature patterns, these time periods may be too short to assess long-
26 term changes. The field of paleoclimatology offers some promise for extending information to larger time
27 frames.⁵² In addition, the predictive capability of forecasting the extent of dissolved oxygen deficits in
28 regional and coastal water bodies is increasing.⁵³ Information is also available on the distribution of solar
29 energy over the surface of the United States. Over time, such information could be used to evaluate trends
30 in this physical attribute.

31

⁵² National Oceanic and Atmospheric Administration. 2003. North American drought: a paleo perspective. April 22, 2003.<http://www.ngdc.noaa.gov/paleo/drought/drght_home.html>

⁵³ Longstaff, B.J., D. Jasinski, and P. Tango. 2005. Ecological forecast—summer 2005. Monitoring and Analysis Subcommittee. Chesapeake Update.

1 **6.6 WHAT ARE THE TRENDS IN BIOMARKERS OF EXPOSURE TO COMMON**
2 **ENVIRONMENTAL POLLUTANTS IN PLANTS AND ANIMALS?**

3 **6.6.1 Introduction**

4 Chemicals can be introduced to the environment intentionally (e.g., fertilizers, pesticides, and herbicides),
5 unintentionally, and through accidental spillage or leaks of chemicals used in home and commercial
6 applications (e.g., in wastes from municipal and industrial operations). The extent to which the presence
7 of mixtures of chemicals influences human health and the environment has long been a focus of EPA
8 assessments.

9 Biomarkers of exposure can include measures of chemical concentrations in plant and animal tissue. Such
10 measures provide insight into the magnitude of chemical exposure that organisms receive from their
11 environment. Measures of biological response such as biochemical concentrations (e.g., enzymes and
12 ligands) that respond to chemical exposures can also serve as biomarkers of exposure. Examples include
13 histopathological anomalies such as plant tissue damage from ozone or tumors in fish exposed to PAH-
14 contaminated sediment. This evaluation examines the trends in biomarkers of exposures to common
15 environmental pollutants in plants and animals based on the ROE indicators. It also discusses challenges
16 in assessing trends in these biomarkers.

17 Chemical stressors can have a detrimental effect on plant and animal communities. Chemical stressor
18 exposure to plant and animals can lead to increases in tissue concentrations of the chemical stressor in the
19 plants and animals. Once stressor concentrations are above threshold levels, they can affect physiological
20 systems within the plants and animals and can begin to have toxic effects on individuals within the
21 population. These individual effects can lead to changes in plant and animal community structure when
22 chemical stressor concentrations in the environment reach levels that can affect one or more species or
23 when the population numbers of a key species are detrimentally affected. Biomarkers of exposure,
24 including concentrations of chemical stressors or key biomarkers collected over time within plants and
25 animals tissues, can help to gauge the health of a plant and animal communities over time. These
26 biomarkers of chemical exposure, when coupled with other information (e.g., toxicity testing results), can
27 provide a basis for estimating what levels of a chemical stress can and cannot be tolerated in the
28 environment by plant and animal communities. These biomarkers also help explain the recovery of
29 certain animal populations (e.g., Brown Pelican) that were once nearly driven to extinction by specific
30 chemical stressors. Tissue levels of pesticides, PCBs, and mercury have been used for many years to
31 evaluate exposures to such species as the Brown Pelican, Bald Eagle, and Lake Trout and a host of other
32 fish and wildlife. The Mussel Watch program relies on sampling lower trophic level organisms (mussels
33 and clams) for a broad range of chemicals to evaluate exposures in coastal areas. Therefore, measures of
34 bioaccumulative compounds in animal tissues provide an indication of exposure levels throughout food
35 webs.

36 **6.6.2 ROE Indicators**

37 Although trends in specific contaminants of concern in environmental media (e.g., sediments or air) have
38 been available for specific locations, the indicators to evaluate trends in biomarkers of exposure to
39 common environmental pollutants in plants and animals are mainly focused on national or regional
40 programs that have been measuring chemical stressor concentrations in fish tissue in lakes and coastal
41 regions of the United States over less than a decade. An example of such biomonitoring efforts is

1 summarized in the National Coastal Condition Report II,⁵⁴ which was completed as a collaborative effort
2 between EPA, NOAA, USFWS, and USGS.⁵⁵

3 Trends in biomarkers of exposure to common environmental pollutants in plants and animals are
4 evaluated using three National Indicators (Table 6.6.1). The focus of this question is on national- or
5 regional-scale trends in biomarkers of exposure over the period that measurements have occurred (i.e., the
6 last one to three decades, depending upon the biomarkers of exposure). While other subregional or local-
7 scale efforts concerning monitoring of biomarkers of exposure cannot be covered here, they are no less
8 important.

9 **Table 6.6.1. ROE Indicators of Trends in Biomarkers of Exposure to Common Environmental**
10 **Pollutants in Plants and Animals**

NATIONAL INDICATORS	LOCATION
Coastal Fish Tissue Contaminants (N/R)	3.8.2 – p. 3-103
Contaminants in Lake Fish Tissue	3.8.2 – p. 3-107
Ozone Injury to Forest Plants	2.2.2 – p. 2-37

11 N/R = National Indicator displayed at EPA Regional scale

12 **6.6.3 Discussion**

13 ***What These Indicators Say About Trends in Biomarkers of Exposure to*** 14 ***Common Environmental Pollutants in Plants and Animals***

15 The ROE indicators provide a baseline of recent conditions against which future trends can be assessed.
16 Lipophilic chemicals such as PCBs, DDT, and methyl mercury are present in fish tissues throughout most
17 of the nation’s freshwater lakes and coastal systems (Coastal Fish Tissue indicator, p. 3-103; Lake Fish
18 Tissue indicator, p. 3-107). This shows widespread exposure to these bioaccumulative compounds. Some
19 judgment concerning these levels can be made by reference to benchmarks that relate to tissue residues.
20 For example, contaminant levels in estuarine/marine fish were judged to be moderate with respect to
21 commonly used benchmarks. There are differences in exposure across EPA Regions. Six EPA Regions
22 exhibited what is considered to be poor conditions. The Southeast (Region 4) was the only Region with a
23 high (i.e., comparatively good) condition with respect to exposure levels of contaminants in fish. The
24 contaminants that were most responsible for varied conditions include polychlorinated biphenyls (PCBs),
25 mercury, and polycyclic aromatic hydrocarbons (PAHs).

⁵⁴ U.S. EPA. 2002. EMAP research strategy. EPA/620/R-02/002. Washington, DC.

⁵⁵ Within USGS, the Biomonitoring of Environmental Status and Trends (BEST) Program under the National Biological Service (NBS) is another example of a national program mandated to collect biomarkers of common contaminant exposure. Although monitoring of fish contaminant concentrations is a focus of this program, this program also monitors common pollutants in many other aquatic and terrestrial receptors, such as upper trophic level receptors (fish-eating birds like the bald eagle), and catalogs biomarkers data collected from many sources into an online database. [0]

1 Foliar injury from ozone pollution disrupts plant/tree physiology. Baseline data indicate that exposure of
2 forests to ozone levels varies geographically (Ozone Injury to Forest Plants indicator, p. 2-37). Severe
3 injury from ozone was observed in 9 percent of southern sites, 8 percent of northern sites, 1 percent of
4 Pacific Coast sites, and no Rocky Mountain sites. Rocky Mountain and Pacific Coast sites had the least
5 overall foliar injury (with 0 percent in the Rocky Mountain sites).

6 ***Limitations, Gaps, and Challenges***

7 Few national programs involve unbiased assessment that can support indicators of trends in national
8 conditions in biomarkers of exposure. While there are tissue-level ROE indicators for fish, there are no
9 similar indicators for plants (either aquatic or terrestrial) or wildlife species. This represents a gap in
10 EPA's ability to identify trends in biomarkers of exposure to common environmental pollutants in plants
11 and animals.

12 Some of the primary challenges that exist relating to monitoring biomarkers of exposure include the
13 following:

- 14 • To monitor a single biomarker of exposure on a national or regional scale requires a great
15 deal of planning, coordination, and resources. Biomarkers are more costly and time-
16 consuming to measure than chemical concentrations in other media (e.g., water, sediment,
17 air), because the living things that require measurement are more difficult to collect and/or
18 analyze for the chemical stressors.
- 19 • The biomarkers of exposure need to be clearly linked to biomarkers of effects to be useful for
20 predicting whether the function of plant or animal communities are being affected by the
21 concentrations of chemical in the environment. In many cases, capabilities are currently
22 lacking to link biomarkers of exposure with biomarkers of effects. In addition, most
23 monitoring focuses on the media within which plants and animal live (i.e., air and water), and
24 does not address the body burden of the chemical in the plant or animal or biomarkers of
25 effects.
- 26 • With a myriad of environmental contaminants in the environment, it is difficult to prioritize
27 which contaminants should be monitored in biological tissues. Classically, the organochlorine
28 pesticides (e.g., DDT), PCBs, and mercury have been monitored in fish tissues in the aquatic
29 environment. However, in the future, new chemicals may emerge as equally or more
30 important (see Afterword, Section 7.2).