

2 **Assessing the Effects of Exposure Timing on Biomarker Expression**  
4 **Using 17 $\beta$ -Estradiol**

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**Abstract**

14 Temporal and spatial variability in estrogenicity has been documented for many  
treated wastewater effluents with the consequences of this variability on the  
16 expression of biomarkers of endocrine disruption being largely unknown. Laboratory  
exposure studies usually utilize constant exposure concentrations which may  
18 produce biological effects that differ from those observed in organisms exposed in  
natural environments. In this study, we investigated the effects of differential timing  
20 of exposures with 17 $\beta$ -estradiol (E2) on a range of fathead minnow biomarkers to  
simulate diverse environmentally relevant exposure profiles. Two 21-day, replicate  
22 experiments were performed exposing mature male fathead minnows to E2 at time-  
weighted mean concentrations (similar average exposure to the contaminant during  
24 the 21 day exposure period; 17 ng E2/L experiment 1; 12 ng E2/L experiment 2)  
comparable to E2 equivalency values (EEQ) reported for several anthropogenically  
26 altered environments. A comparable time-weighted mean concentration of E2 was  
applied to five treatments which varied in the daily application schema: E2 was  
28 either applied at a steady rate (ST), in a gradual decreasing concentration (HI), a  
gradual increasing concentration (LO), applied intermittently (IN), or at a randomly  
30 varying concentration (VA). We assessed a range of widely used physiological  
(vitellogenin mRNA induction and plasma concentrations), anatomical (body and  
32 organ indices, secondary sex characteristics, and histopathology), and behavioral  
(nest-holding) biomarkers reported to change following exposure to endocrine active  
34 compounds (EACs). All treatments responded with a rise in plasma vitellogenin

concentration when compared with the ethanol carrier control. Predicatively,  
36 vitellogenin mRNA induction, which tracked closely with plasma vitellogenin  
concentrations in most treatments was not elevated in the HI treatment, presumably  
38 due to the lack of E2 exposure immediately prior to analysis. The ability of treatment  
male fish to hold nest sites in direct competition with control males was sensitive to  
40 E2 exposure and did yield statistically significant differences between treatments  
and carrier control. Other biological endpoints assessed in this study  
42 (Organosomatic indices, secondary sex characteristics) varied little between  
treatments and controls. This study indicates that a broad suite of endpoints is  
44 necessary to fully assess the biological consequences of fish exposure to estrogens  
and that for at least field studies, a combination of vitellogenin mRNA and plasma  
46 vitellogenin analysis are most promising in deciphering exposure histories of wild  
caught and caged fishes.

*Keywords*

17 $\beta$ -estradiol (E2), vitellogenin, mRNA, histopathology, behavior, fathead minnow

**1. Introduction**

54 Endocrine active compounds (EACs) in aquatic environments have been a  
growing concern due to evidence indicating disruption of normal reproductive function in  
56 male fish. Studies have shown increased vitellogenin production (Hemmer et al., 2002),  
impaired testicular growth (Jobling et al., 2002), disrupted gonadal morphology (Purdom  
58 et al., 1994; Harries et al., 1997; Jobling et al., 1998), and impaired reproductive  
behavior (Bayley et al., 1999; Bjerselius et al., 2001; Schoenfuss et al., 2002) in fishes  
60 exposed to EACs.

In most laboratory based chemical exposure studies, fish are subjected to  
62 continuous, steady concentrations of EACs throughout the entire study (Fig 1a).  
However, steady exposure conditions may not be entirely realistic for wild fish.  
64 Martinovic et al. (2008) measured estrogenic activity of wastewater treatment plant  
effluent discharge and found daily, even hourly, variations in the range of 10-100 ng  
66 17 $\beta$ -estradiol (E2) equivalents/L (EEQs) (Fig 1b). Several other studies in the US  
(Hemming et al., 2001; Vajda et al., 2008) and Europe (Rogers-Grey et al., 2000;  
68 Ternes et al., 1999) also reported both spatial and temporal variability in the  
estrogenicity of wastewater effluents. Furthermore, the timing of exposure may alter the

70 expression of biomarkers when compared with an exposure to a steady concentration of  
a compound. Panter et al. (2000) demonstrated that an intermittent exposure to E2  
72 increased effects observed in male fathead minnows when compared to those effects  
elicited through a steady concentration exposure. Studies on other chemicals have also  
74 shown that temporally variable exposures alter effects compared to steady exposures  
(Diamond et al., 2005; Handy et al., 1994).

76 Despite mounting evidence that estrogenicity in many environments exhibits  
significant temporal and spatial variability, few studies have assessed the potential for  
78 differential effects in fish exposed through temporally varying EAC concentrations  
profiles. One study used a variable exposure profile where the concentration of EACs  
80 varied randomly throughout the experiment (Martinovic et al., 2008). Another study  
employed an intermittent approach where the concentration of EACs was pulsed every  
82 few days (Panter et al., 2000). Other plausible exposure profiles have not been  
investigated through laboratory studies. For example, prolonged precipitation may  
84 result in the dilution of EAC concentration in an aquatic environment receiving treated  
wastewater effluent and effectively diminishes the fishes' exposure to EACs over time  
86 (Fig 1a). Alternatively, a lack of precipitation may result in reduced dilution of treated  
wastewater effluent in receiving waters and through evaporation results in a gradual  
88 increase of EACs in the aquatic ecosystem (Fig 1b). In this study, an attempt was  
made to mimic these exposure profiles while keeping the total mass of E2 delivered per  
90 treatment the same (similar time-weighted mean concentrations per treatment over the  
21 day duration of the study). This approach allowed for an assessment of the overall  
92 accumulated response to different exposure profiles.

E2 was used as a model EAC in this study, because the knowledge base on this  
94 chemical is extensive and it has been used as a surrogate for many EACs when  
investigating exposure effects in fish. An approximate, time-weighted mean nominal  
96 concentration of 15 ng E2/L was used across treatments because this concentration is  
known to induce vitellogenin production in fish and it approximates relevant  
98 concentrations of the sum of EACs as reported in previous studies (Martinovic et al.,  
2008; Vajda et al., 2008). Thus, each exposure scenario received the same mass of E2  
100 during the 21 day exposure period with the timing of E2 concentrations varied between  
treatments.

102 A range of physiological, anatomical, and behavioral endpoints were assessed in  
this study as we expected effects to vary between exposure profiles. Vitellogenin  
104 production in male fishes, measured through a mRNA induction assay or by  
quantification of the protein in fish plasma, has become a standard endpoint for acute  
106 exposure to estrogenic EACs. Among the anatomical endpoints, changes in secondary  
sex characteristics, organ indices, and histopathological changes (including intersex)  
108 have all been noted in conjunction with EAC exposures in field and/or laboratory studies  
(Jobling et al., 2002; Purdom et al., 1994; Harries et al., 1997). In previous studies,  
110 behavioral endpoints were often found to be sensitive biomarkers of the effects of EACs  
and several studies have demonstrated impaired reproductive behaviors following  
112 exposure to EACs (Bayley et al., 1999; Bjerselius et al., 2001; Schoenfuss et al., 2002).

By combining a range of relevant exposure profiles, using comparable time-  
114 weighted mean E2 concentrations that fall within the range of total EEQ concentrations  
measured in several previous studies, we tested the hypothesis that biological

116 responses in aquatic vertebrates will vary with differing E2 exposure profiles. In  
addition, we evaluated whether an endpoint matrix that included physiological,  
118 anatomical, and behavioral endpoints may be used to decipher exposure histories of  
wild-caught and caged fishes from field studies.

120

## 2. Materials and Methods

122

### 2.1 *Experimental Design*

124 Two comparable exposure experiments were conducted at the St. Cloud State  
University Aquatic Toxicology Laboratory in St. Cloud, Minnesota. In both experiments  
126 groups of male fathead minnows were exposed to comparable time-weighted  
concentrations of E2 for 21 days. Following the exposures a subset of 20 fish from  
128 each treatment were sacrificed and assessed for a range of anatomical and  
physiological endpoints while the remaining treatment males were paired up with  
130 solvent control males for a behavioral assay. The behavioral assay challenged the  
treatment fish to acquire and defend a nest site in direct competition with a control male  
132 fish.

### 134 2.2 *Exposure Chemicals*

A common stock solution of E2 (Sigma, St. Louis, USA) in 100% ethanol was  
136 prepared at a concentration of 40 mg E2/L. Chemical preparations and additions to the

exposure system are described in detail in Barber et al. 2007. Treatment specific daily  
138 spikes were drawn from this stock solution, topped to 2mL with 100% ethanol (to assure  
similar carrier concentrations in all treatments and control), and stored at 4 °C until use.  
140 For all treatments, fresh aqueous exposure solutions were prepared daily by mixing a  
spike of the appropriate concentrated stock solution in 10 L of deionized water in an  
142 amber glass bottle. Solvent concentrations did not exceed 1.8 µL ethanol/L, which is  
well below solvent concentrations used in previous experiments (Schoenfuss et al.  
144 2002; Bistodeau et al. 2006; Barber et al. 2007). After spike addition, each amber bottle  
was gently agitated for 10 seconds, and the neck of the bottle was covered with  
146 aluminum foil. A stainless steel tube was used to draw the daily exposure solution into  
a stainless steel mixing chamber located above the treatment aquaria at a nominal rate  
148 of 0.008 L/min using a Cole-Palmer Masterflex 7523-40 peristaltic pump (Vernon Hills,  
IL). In the stainless steel mixing chamber ground water from a dedicated well was  
150 added to the continuous flow of the daily exposure solution to achieve the final  
aquarium concentration of E2. This solution was then gravity fed to the treatment  
152 aquaria at a rate of 0.2L/min/aquarium. The flow rate resulted in approximately 18 water  
exchanges each day. Consequently, each aquarium, independent of the treatment,  
154 received a total nominal mass of 91 µg E2 during the 21 day exposure experiment (16L  
aquarium x 18 exchanges per day x 21 days x 15ng E2/L). It is important to note that  
156 the purpose of the experiments was not to assess the effects of E2 *per se*, but rather to  
compare the effects of different exposure profiles and to establish whether endpoint  
158 matrices could be utilized to decipher the exposure history of fishes, thus rendering  
absolute E2 concentrations of lesser significance in this study.

### 2.3 Exposure Organisms

162 For both experiments, adult male fathead minnows were obtained from a  
laboratory fish supplier (Environmental Testing and Consulting, Superior, WI). Fish  
164 were maintained following US EPA guidelines (Denny 1987) throughout the  
experiments at constant environmental conditions (16:8 h light:dark, 21 °C water  
166 temperature) and fed frozen brine shrimp (*Artemia franciscana*, San Francisco Bay  
Brand, Inc., Newark, CA) twice daily *ad libitum*. Animal use and care in all experiments  
168 was approved by the St. Cloud State University Animal Use and Care Committee  
(IACUC).

170 In both experiments, mature male fathead minnows were randomly assigned to  
solvent control or exposure aquaria at 10 fish per 16 L aquarium. Four aquaria were  
172 assigned to each of the five exposure scenarios in the first study (40 fish/treatment) and  
three aquaria per scenario in the second study (30 fish/treatment). Eight aquaria were  
174 assigned to control fish in both studies study to provide males for the competitive  
spawning assay. Following the 21 day exposure, 20 males in each treatment (five fish  
176 randomly netted from each aquarium within a treatment) were sacrificed in MS-222  
(Argent Chemical Laboratories, Redmond, WA) and assessed for physiological and  
178 anatomical endpoints. The remaining E2 exposed males were individually paired with  
solvent control males, based on similar secondary sexual characteristics, to compete for  
180 reproductive opportunities in the behavioral challenge assay (see Section 2.4.3). The  
five day behavioral challenge assay, therefore, represents a depuration period for the

182 previously E2 exposed male fish. Following the behavioral challenge assay, these  
fathead minnows were sacrificed and analyzed for plasma vitellogenin concentrations,  
184 organosomatic indices, and secondary sexual characteristics to determine whether the  
deuration period altered any biomarkers when compared to fish in the same treatment  
186 analyzed immediately following the 21 day exposure.

## 188 *2.4 Analysis*

### *2.4.1 Water Quality*

190 Water samples were collected daily (VA), every second day (HI, LO), or every  
third day (ST, IN) from the outflow of the stainless steel mixing chambers where ground  
192 water and the treatment specific E2 concentrations were mixed prior to delivery to the  
four aquaria of a treatment. E2 concentrations were measured via an antibody-capture  
194 ELISA (Kit 582251.1) purchased from Cayman Chemicals (Ann Arbor, MI). Briefly,  
microtiter plates were coated with mouse anti-rabbit IgG at a constant concentration per  
196 well. Samples or standards were mixed with estradiol tracer and estradiol antiserum  
and incubated in the microtiter wells. The estradiol tracer was a conjugate of estradiol-  
198 acetylcholinesterase (AChE) and the antibody was a rabbit polyclonal anti-estradiol  
antiserum. The resulting antibody-estradiol complexes were bound to the coated  
200 mouse anti-rabbit IgG, incubated for 1 hour at room temperature, washed three times  
and detected by the addition of Ellman's reagent, containing a substrate for AChE.  
202 Absorbance was read at 405nm on a Multiskan EX (Thermo Electron). Standard curves  
were constructed and sample values calculated using the accompanying Multiskan

204 software. The standards were prepared as a seven step 2.5-fold serial dilution, with a  
range of 640pg/mL to 2.6pg/mL. The standard curves were accepted with r-squared  
206 values of >0.99. The samples were prepared undiluted, and upper and lower limits of  
detection were 640pg/mL and 2.6pg/mL, respectively.

208 As it was not feasible to sample each treatment every day in both replicates of  
the experiment (over 250 total samples), the following sampling schema was applied:  
210 the HI and LO treatments were measured every second day during the 16 days these  
treatments received E2 (and one more time thereafter to assure that no E2 was left in  
212 the exposure system). Water samples from the ST and IN treatments were collected  
every third day. The VA treatment was measured daily and needed no adjustment.

214

#### *2.4.2 Biological Endpoints*

##### *2.4.2.1 Plasma Vitellogenin Analysis*

After fish were deeply anaesthetized in 0.1% MS-222, fish tails were severed to harvest  
218 blood using a heparinized micro hematocrit tube. Blood was immediately centrifuged to  
isolate plasma (3,400 rpm for 5 min), and the plasma was placed on ice and transferred  
220 to a -80 °C freezer until analysis. Plasma vitellogenin levels were measured via a  
competitive antibody-capture ELISA. Microtiter wells were coated with fathead minnow  
222 vitellogenin at an approximate concentration of 4 µg/mL in coating buffer (0.35M sodium  
bicarbonate, 0.15M sodium carbonate, pH 9.6). A pre-incubation binding step was  
224 carried out where plasma samples or standards were diluted and mixed 1:1 (1:20000  
final dilution) with a polyclonal anti-fathead minnow vitellogenin antibody (provided by

226 Gerald LeBlanc, NC State University) and incubated at 37 °C for two hours. Just prior  
to the completion of the pre-incubation, plates were washed three times with wash  
228 buffer in an automated plate washer and 200µL of each sample or standard was added.  
After a 1 hour incubation at room temperature, plates were again washed and 200µL of  
230 horseradish peroxidase labeled anti-rabbit IgG secondary antibody was added and  
incubated for 1 hour at room temperature. After washing plates, 200µL of TMB  
232 substrate (Sigma, St. Louis, USA) was added and the plates were incubated in the dark  
for 15 minutes. Absorbance was read at 620nm on a Multiskan EX (Thermo Electron).  
234 Standard curves were constructed and sample values calculated using the  
accompanying Multiskan software. The standards were prepared as a seven step two-  
236 fold serial dilution with a range of 4.8ug/mL to 0.075ug/mL. The standard curves  
produced were robust, with r-squared values routinely higher than 0.99. Periodically the  
238 lowest standard (0.075ug/mL) was removed from the curve to keep the r-squared above  
0.99. The upper and lower limits of detection for the assay were 5mg/mL and  
240 3.75ug/mL, respectively. Each plate contained an internal standard control of  
vitellogenin at three dilutions within the standard curve range as well.

242

#### 2.4.2.2 *Vitellogenin mRNA Analysis*

244 RNA was isolated from frozen liver tissue following the TriReagent protocol (MRC,  
Cincinnati, OH). Reverse transcription reactions were done using 250 mg of total RNA  
246 according to Biales et al. (2007). Following reverse transcription, cDNA was diluted 1:5  
with DEPC water (Ambion, Austin, TX). QPCR reactions were constructed with 2 µL

248 diluted cDNA, 1X Fast Sybr green PCR Master Mix (AB Inc, Foster City, CA) and 0.5  
mM each of either vitellogenin or 18S (Ambion) gene specific primers each in a 20 µL  
250 total reaction volume. All samples were done in at least duplicate. QPCR cycling  
parameters were as follows: 95°C for 20s; followed by 40 cycles of 95°C for 1s, 60°C  
252 for 20s, followed by a fluorescent data acquisition. After amplification, a melting-curve  
analysis ranging from 60 to 95°C was completed for each reaction to confirm the  
254 amplification of a single product of the correct melting temperature. To aid in inter-plate  
comparison a vitellogenin or 18S standard was run in at least duplicate on each reaction  
256 plate. Ct values were averaged among technical replicates and data were quantified  
using the equation proposed by Pfaffl et al. (2002). All data were normalized to 18S  
258 expression and calibrated to the standard on each reaction plate.

#### 260 2.4.2.3 *Organosomatic Index*

Whole body weights were measured for each male fish at the time of analysis  
262 (0.01 g precision, Acculab Vicon, Edgewood, NY). Body weight and total length was  
used to calculate the body condition index ( $BCI = \text{body weight}/\text{total length}^3$ ). Gonads  
264 and livers from each male were excised and immediately weighed (0.001 g precision,  
Mettler Toledo AG245, Columbus, OH). Liver and whole body weights were used to  
266 calculate the hepatosomatic index ( $HSI = \text{liver weight}/\text{whole body weight}$ ). Gonad and  
whole body weights were used to calculate the gonadosomatic index ( $GSI = \text{gonad}$   
268  $\text{weight}/\text{whole body weight}$ ).

270 *2.4.2.4 Secondary Sex Characteristics*

272 Prior to organ excision, secondary sex characteristics were evaluated. This  
274 evaluation used a simple, blind scoring system modified after Smith (1978). The  
276 prominence of the tubercles was scored on a scale of 0 to 3 with 0 indicating no  
278 expression and 3 prominent expression of the secondary sex characteristic. The dorsal  
pad and color/banding intensity was evaluated by a similar method and scored on a  
scale of 0 to 3. For statistical analysis, the sum of all three secondary sex  
characteristics was calculated and compared between treatments.

*2.4.2.5 Histopathology*

280 Following removal, gonads were fixed in 10% neutral buffered formalin for 24  
282 hours (Gabe, 1976). After fixation, tissues were dehydrated in a series of ethanol and  
284 xylene baths before being embedded in paraffin. Embedded tissues were sectioned at  
286 approximately 1/3 and 2/3 of the length of the testis using a Reichert-Jung cassette  
288 microtome (4 to 6  $\mu\text{m}$  sections). Sectioned tissues were stained using a standard  
Haematoxylin and eosin counter stain protocol modified after Gabe (1976) and Carson  
(1997). Histological slides were scored on a 0 (absent) to 4 (abundant) scale for the  
presence of spermatozoa (mature sperm), spermatogonia (developing sperm) and liver  
adipocytes presence. To assess the overall stage of spermatogenesis in the testis of  
the fathead minnows, a ratio of spermatozoa:spermatogonia abundance was calculated  
and used for the statistical comparison of treatments.

292 2.4.3. *Behavioral Challenge Assay*

294 Once the 21-day exposure period was completed, a subsample of 20 fish per  
treatment was sacrificed for assessment of physiological and anatomical endpoints.  
The remaining E2 exposed male fathead minnows were paired with solvent control  
296 males based on similar secondary sex characteristics for the behavioral challenge  
assay (Bistodeau et al. 2006; Barber et al, 2007; Martinović et al. 2007). Exposed and  
298 control males received small caudal fin clips (a corner of either the superior or inferior  
portion of the caudal fin was removed) so that the observer could distinguish fish from  
300 the two treatments. Fin clips were assigned based on the flip of a coin to create "blind  
observations," whereas the observer was unaware of the exposure history of the males.  
302 In addition, alternating fin clips avoided bias between control and exposed males as  
both groups received comparable numbers of upper and lower fin clips. One treated  
304 male and one control male of comparable size and secondary sex characteristics  
(judged only visually, as it was important for the experimental integrity to avoid extended  
306 periods of stress for the fish) were then simultaneously placed into a 7-L aquarium.  
Based on the number of available treatment and control fish, nine to 16 competitive  
308 spawning assays were setup for each treatment (an insufficient number of control males  
prevented the assessment of the VA treatment in experiment 1). Each spawning  
310 aquarium contained one nest site, made of a short section of 8 cm diameter PVC pipe  
cut in half. Twice daily (between 8 and 10 AM and 2 and 4 PM), for the following five  
312 days, the nest holding male was identified by its respective fin clip. The times of  
observation coincide with the highest reproductive activity (usually in the morning) and  
314 after most reproductive activity for the day was completed (afternoon). A successful

male was defined as one that exhibited aggressive behavior towards other fish in the  
316 aquarium, while clearly protecting the nest site. This behavior typically includes butting  
using the newly formed tubercles, and chasing other fish away from the nest site (Unger  
318 1983).

## 320 *2.5 Statistical Analysis*

The assumption of normality for all data sets was tested with the Kolmogorov-  
322 Smirnov test for normality prior to any additional analysis (Prism 4.01 statistical  
package, GraphPad Software Inc., Oxnard, CA). As the majority of data were found not  
324 to meet standards of homogeneity, data were analyzed using a Kruskal-Wallis analysis  
followed by a Dunn's post-test. For data sets with subsequent sampling (after exposure  
326 and following the five day behavioral assay), changes in individual treatments were  
assessed using a Mann-Whitney U test. Vitellogenin mRNA data were log<sub>2</sub>  
328 transformed and analyzed using a one-way ANOVA followed by Bonferroni post-test.  
Nest holding abilities of the exposed and control males in the competitive spawning  
330 assays were assessed using a Fisher's Exact Test (contingency table). A probability of  
p < 0.05 was set as level of significance for all comparisons.

332

## **3. Results**

334

### *3.1 Survival Rates and Aqueous 17-β Estradiol Concentrations*

336 Survival rates of greater than  $\geq 95\%$  were observed during both experiments in all  
treatments (lowest survival rate in a treatment was 95% for EtOH in experiment 1).  
338 Environmental conditions were stable throughout the experiments (experiment 1:  
temperature =  $21.8 \pm 0.5$ ; pH =  $7.7 \pm 0.2$ ; dissolved oxygen:  $5.6 \pm 0.2$  mg/L; hardness  
340 as CaCO<sub>3</sub> =  $19 \pm 1.4$  mg/L; conductivity =  $0.92 \pm 0.01$  mS/cm<sup>3</sup>; experiment 2:  
temperature  $20.6 \pm 0.7^\circ\text{C}$ ; pH =  $7.8 \pm 0.1$ ; dissolved oxygen =  $6.2 \pm 0.2$ ; hardness as  
342 CaCO<sub>3</sub> =  $21 \pm 2.4$  mg/L; conductivity =  $0.86 \pm 0.01$  mS/cm<sup>3</sup>). These conditions are  
reflective of rearing conditions described by Denny (1987) and environmental conditions  
344 for fathead minnows during the reproductive season. Concentrations and time-  
weighted means (Table 1) varied somewhat between treatments and experiments,  
346 however, the overall goal of establishing diverse exposure profiles was accomplished.  
Mean time-weighted mean concentrations across all five treatments was 17 ng E2/L in  
348 experiment 1 and 12 ng E2/L in experiment 2. E2 was detected once in the solvent  
control in both experiments, likely through aerial transport, but did not elicit a  
350 measurable biological response in either experiment.

### 352 *3.2 Physiological Endpoints*

After the 21 day exposure, vitellogenin mRNA (Fig 2a,b) expression was  
354 consistent for each treatment between experiments with the exception of LO (E2  
concentrations rising through the course of the exposure), which was significantly  
356 greater in the second experiment when compared with the first ( $p < 0.01$ , Mann-Whitney  
U). The IN (intermittent), VA (variable), and LO treatments all had significantly

358 increased vitellogenin mRNA induction compared to ETOH and HI (E2 concentrations  
slowly decreasing through the course of the experiment) groups ( $p < 0.01$ , Kruskal-Wallis  
360 with Dunn's post-test). Male fathead minnows in the solvent control (EtOH) and HI  
treatment had no mRNA induction and were statistically different from all other  
362 treatments ( $p \leq 0.001$ , Kruskal-Wallis with Dunn's post-test).

In both experiments, plasma vitellogenin concentrations (Fig 3a,b) were  
364 significantly increased for all treatments compared to the ETOH solvent control  
( $p < 0.001$ , Kruskal-Wallis with Dunn's post-test). Plasma vitellogenin concentrations  
366 remained unchanged within E2 exposed fish ( $p > 0.05$ , Mann-Whitney U) even following  
the five day depuration period of the behavioral challenge assay.

368

### *3.3 Anatomical Endpoints*

370 Body condition index and the organosomatic indices (hepatosomatic index,  
gonadosomatic index) did not differ between treatments and experiments (data not  
372 shown). The sum of secondary sex characteristics (nuptial tubercles, dorsal pad,  
banding coloration) varied between treatments and experiments (Fig. 4a,b ; black bars)  
374 and was generally more pronounced, albeit not to the level of statistical significance  
( $p > 0.05$ , Mann-Whitney U), after the competitive spawning assay (white bars) in  
376 experiment 2 (Fig. 4b). Testis histology did not reveal any statistically significant  
differences in spermatogenesis stage between treatments ( $p > 0.05$ , Kruskal-Wallis, data  
378 not shown). No widespread histopathological changes or ovarian tissues were  
observed in male fathead minnows in any treatment or experiment. Similarly, the

380 abundance of liver adipocytes did not vary among treatments or experiments ( $p > 0.05$ ,  
Kruskal-Wallis, data not shown).

382

### *3.4 Behavioral Challenge Assay*

384 The ability of E2 exposed male fathead minnows to directly compete in a paired  
behavioral challenge assay varied between treatments and experiments (Fig. 5a,b). In  
386 the first experiment, male fathead minnows from the ST treatment were significantly ( $p <$   
0.001, Fisher's Exact Test) out-competed by control males for access to nest sites, with  
388 control males holding about 65% of all nest sites in both experiments. In contrast, in the  
second experiment (Fig. 5b), ST treatment males were more successful than their  
390 solvent control competitors to hold and defend a nest site while HI treatment male  
fathead minnows were significantly ( $p \leq 0.01$ ) out-competed by control males, which  
392 held 65% of the nest sites.

## 394 **4. Discussion**

Two experiments were conducted to investigate how the timing of E2 exposures  
396 (Fig 1a,b) affect the expression of commonly used physiological, anatomical, and  
behavioral biomarkers of EAC exposure in fish. Although some differences in time-  
398 weighted mean concentrations were found between treatments and between  
experiments, the biological responses observed were largely conserved across the two  
400 experiments and the observed patterns of biomarker expression was consistent with the

available published literature. As expected, we found significantly increased plasma  
402 vitellogenin concentrations for all treatments compared to the solvent control in both  
experiments. Measurable concentrations of plasma vitellogenin after a 21-day  
404 exposure to E2 have been reported in numerous studies and at steady concentrations  
as low as 25 ng/L (Liao et al., 2009). However, vitellogenin concentrations did not differ  
406 statistically between treatment groups regardless of the timing of the exposure  
suggesting that the time-weighted mean E2 concentration of as little as 5.9 ng/L (HI,  
408 experiment 2) may be sufficient to result in a ceiling effect as has been reported in other  
studies (Thorpe et al., 2007; Schmid et al., 2002; Panter et al., 2000; Korte et al., 2000).  
410 These and other studies also indicate that once a ceiling plasma vitellogenin  
concentration has been attained, concentrations remain near the maximum for  
412 prolonged depuration periods. Hemmer et al (2002) reported a roughly 14 day  $t_{1/2}$   
clearance rate of plasma vitellogenin in male sheepshead minnows after E2 exposure,  
414 which did not differ for two treatments despite an almost 10-fold difference in E2  
exposure concentrations (89 ng/L and 710 ng/L). The consistent clearance rate  
416 calculated in that study (Hemmer et al., 2002), when applied to the current investigation  
with much lower E2 exposure concentrations (5.9 - 17 ng/L time-weighted mean  
418 concentrations), would suggest that even for fish in the HI treatment, where exposure  
stopped seven days prior to analysis, plasma vitellogenin concentrations would remain  
420 significantly elevated for weeks thereafter. This extrapolation is supported by the  
analysis of E2 exposed fathead minnows following the five day behavioral challenge  
422 assay (amounting to a depuration period) with all treatments still exhibiting plasma

vitellogenin concentrations comparable to fish in the same treatment analyzed  
424 immediately following the exposures.

Results for vitellogenin mRNA expression were similar for the two experiments.  
426 The ETOH solvent control and HI treatments for both experiments had no measurable  
vitellogenin mRNA expression. The ST treatment had moderate induction of  
428 vitellogenin mRNA while the IN, VA, and LO treatment groups had multifold higher  
mean vitellogenin mRNA expression than even the ST treatment. This pattern of  
430 vitellogenin mRNA induction appears to track tightly with the timing of E2 exposure  
during the two experiments. Treatments with the greatest E2 exposure in the days just  
432 prior to the end of each experiment experienced the greatest vitellogenin mRNA  
induction, while the steady, but lower daily concentration ST treatment resulted in a  
434 significantly lower vitellogenin expression. Of particular interest is a comparison of the  
LO treatments between experiment 1 and 2 with vitellogenin mRNA expression being  
436 lower in the first experiment, which also achieved lower maximum E2 concentrations  
when compared to the second experiment (Table 1). These differences in the same  
438 treatment between the two experiments suggests that maximum vitellogenin mRNA  
induction was not reached by the end of the experiment, in contrast to the observations  
440 for the plasma vitellogenin data. For treatments not exposed to E2 (EtOH), or not for  
the last 7 days of the exposure (HI), no vitellogenin mRNA expression was measured,  
442 suggesting that any previous activity had ceased. These findings are consistent with a  
previous laboratory exposure study with  $17\alpha$ -ethinylestradiol where vitellogenin mRNA  
444 expression began to decrease three days into a depuration period and attained control  
levels after 7 days of depuration (Schmid et al. 2002). Korte and colleagues (2000)

446 documented maximum vitellogenin mRNA expression within 48 hours of intraperitoneal  
injections of E2 in male fathead minnows and returned to baseline levels after 6 days.  
448 Hemmer et al. (2002) also assessed vitellogenin mRNA expression during the  
depuration period following a 15 day exposure to 89 ng/L and 710 ng/L E2 and found  
450 rapid reduction in vitellogenin mRNA expression within days of exposure cessation.  
Gordon et al. (2006) induced near maximum vitellogenin mRNA expression within 24  
452 hours of aqueous addition of a nominal dose of 20 ng/L ethynylestradiol. In contrast to  
the HI treatment in the study presented in this manuscript, Gordon et al. (2006)  
454 documented elevated vitellogenin mRNA expression even 20 days after the dosing with  
ethynylestradiol occurred. It is noteworthy that the ST treatment in both experiments  
456 resulted in lower mean vitellogenin mRNA induction, even though this treatment had the  
highest (experiment 1) or second highest (experiment 2) time-weighted mean E2  
458 exposure of all treatments. In contrast to the plasma vitellogenin concentrations, no  
ceiling effect was observed for vitellogenin mRNA expression. This characteristic of the  
460 vitellogenin mRNA assay suggests its usefulness not only as an indicator of acute  
exposure to E2, but also as a potential tool to determine the relative degree of  
462 estrogenic exposure in either wild or caged fish. Furthermore, the combination of  
plasma vitellogenin and vitellogenin mRNA assays may provide some resolution to the  
464 recent exposure history of wild fish (Table 2).

In contrast to the unequivocal physiological response in all E2 exposure  
466 treatments, anatomical endpoints were less sensitive to the low ng/L exposures to E2  
independent of the timing of exposure. The body condition index did not vary between  
468 treatments, suggesting that the two exposures did not result in any metabolic

impairment. The hepatosomatic index, which is a measure of the relative size of the  
470 liver, the main detoxifying organ of the body, also varied little among treatments and  
control. This result is consistent with the histopathological analysis of the liver which did  
472 not reveal any significant alteration in the abundance of liver adipocytes, which are  
thought to increase in abundance as the liver is assaulted by pollutants. The  
474 gonadosomatic index, a measure of the relative size of the testis also did not vary  
between treatments or between treatments and control in either experiment. The lack  
476 of alteration in gonadosomatic indices among treatments and experiments is paralleled  
by a lack of changes in spermatogenic cell abundance, suggesting that  
478 spermatogenesis was not affected by any of the E2 exposures. These findings suggest  
that the occurrence of intersex, a hallmark of EAC exposure in wild fish, may require a  
480 longer exposure period that accomplished in this study.

The behavioral challenge assay produced statistically significant, but conflicting  
482 results when experiment one and two are compared. The objective of the assay is to  
asses the ability of exposed male fathead minnows to establish and defend a nest site  
484 in direct competition with a control male fathead minnow. The assumption underlying  
this assay is that the androgen-driven nest defense may be muted in fish exposed to  
486 estrogens which may depress androgen expression (Trudeau et al., 1993). In  
experiment one, control males out-competed ST treatment males at a 3:1 ratio  
488 ( $p < 0.001$ , Fisher's Exact test). However, the same treatment in experiment 2 resulted in  
ST males out-competing control males at a 2:1 ratio ( $P < 0.001$ ). Also in experiment two,  
490 but not experiment one, control males out-competed HI males at a rate of 3:1 ( $p < 0.001$ ).  
For all other treatments in both experiments, ETOH solvent control fish either competed

492 similarly or slightly out-competed treatment males. Contradictory results in behavioral  
assays have been reported in previous studies using this, or similar competitive  
494 challenge assays (Martinovic et al., 2007; Schoenfuss et al., 2008; Barber et al., 2007)  
and may reflect the complexity of internal and exogenous stimuli that precede  
496 reproductive behaviors.

The results of this study indicate the danger of *Type I* (false positive) and *Type II*  
498 (false negative) errors toxicological studies by not taking into account the timing of  
exposure to EACs. For example, an assessment of acute exposure to estrogenic EACs  
500 in male wild-caught fish may result in a *Type I* error if plasma vitellogenin concentrations  
were found to be high even if the source of the exogenous estrogen may have been  
502 transient. Lagoon-type wastewater treatment often results in semi-annual discharge of  
treated effluent that may increase estrogenicity in a river stretch for several days and,  
504 based on the results of this study (Table 2), may result in elevated plasma vitellogenin  
concentrations in male fish weeks after the discharge has ceased.

506 The results of this study also indicate that the selection of a fish species may  
have ramifications for the interpretation of results especially in field studies (Table 2). A  
508 fish species that inhabits a larger geographic area (for example many piscivore fishes)  
or engages in seasonal migrations may exhibit high plasma vitellogenin concentrations  
510 without vitellogenin mRNA expression if caught a distance from the exposure site or  
may exhibit only vitellogenin mRNA expression without measurable plasma vitellogenin  
512 if exposure was transient during migration or predatory searches. The use of caged  
fishes (for example Hemming et al., 2001, 2004; Kolok et al., 2007) could alleviate some

514 of the uncertainty associated with the exposure history of individual wild-caught fish and  
may provide a reference sample for such field studies.

516 Factors such as the length of exposure (stationary vs. migratory species),  
knowledge of the organisms exposure history (laboratory vs. field studies) and control of  
518 exposure concentrations (individual compounds vs. temporally complex effluents) need  
to be considered in the development of experimental designs when assessing putative  
520 *in situ* estrogenic exposures. This study highlights the complexity of organismal  
responses to endocrine active compounds by active vertebrates and emphasizes the  
522 need to consider a multitude of biological endpoints when designing exposure studies.

524

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644 **Table 1.** Summary of time-weighted mean 17 $\beta$ -estradiol concentrations (ng/L) across treatments in experiment 1 and 2. Daily time-weighted means were calculated by dividing the daily exposure concentrations by the 21 day duration of the study.

		Range of concentrations				
			daily time-weighted mean $\pm$	max conc	min conc	
		n <sup>1</sup>	stand. err. <sup>2</sup>	(sample day)	(sample day)	
Experiment 1	Steady	ST	7	17 $\pm$ 1.4	26 (day 7)	9 (day 16)
	Intermittent	IN	7	15 $\pm$ 2.4	28 (day 1)	<DL <sup>3</sup> (days 10, 16)
	High $\rightarrow$ Low	HI	7	7.8 $\pm$ 2.5	35 (day 1)	<DL (day 11)
	Low $\rightarrow$ High	LO	8	6.5 $\pm$ 1.5	11 (day 17)	<DL (day 5)
	Variable	VA	20	12 $\pm$ 1.9	34 (day 20)	1 (days 7, 15)
Experiment 2	Steady	ST	7	12 $\pm$ 0.9	18 (day 1)	6 (day 10)
	Intermittent	IN	7	16 $\pm$ 2.7	30 (day 1)	<DL (days 7, 10)
	High $\rightarrow$ Low	HI	7	5.9 $\pm$ 1.9	19 (days 1, 5)	<DL (days 11, 13)
	Low $\rightarrow$ High	LO	8	7.8 $\pm$ 2.2	27 (day 17)	<DL (day 5, 9)
	Variable	VA	19	11 $\pm$ 1.8	30 (day 1)	<DL ( day 8)

646 <sup>1</sup>Sample number (n) indicates the actual number of water samples that were taken and analyzed for each treatment.

648 <sup>2</sup>In order to calculate a meaningful time-weighted average concentration, concentrations from each treatment sample were extrapolated to days for which no sampling occurred. Variable  
650 treatment was sampled daily.

<sup>3</sup>Detection Limit (DL) for 17 $\beta$ -E2 = 1 ng/L.

652 **Table 2.** Assessment of estrogenic EAC exposure history of wild caught fish based on  
 vitellogenin induction patterns. Exposure scenarios, as applied in this study, that could account  
 654 for a pattern of vitellogenin presence and are listed in parenthesis.

		<i>plasma vitellogenin</i>	
		<b>low</b>	<b>high</b>
<i>vitellogenin mRNA</i>	<b>low</b>	no recent exposure to estrogenic EACs (EtOH solvent control)	recent, but not acute estrogenic EAC exposure (HI treatment; potentially VA and IN treatments)
	<b>high</b>	acute, short-term estrogenic EAC exposure (LO treatment; potentially VA, IN, and ST treatments)	sustained estrogenic EAC exposure (possible for all treatments except HI)

656

## Figure Legends

658 **Figure 1.** Hypothetical environmental estrogenicity profiles replicated through  
laboratory experiments in this study. (a) time course of estrogenicity for steady (ST),  
660 intermittent (IN), and high to low (HI) estrogenicity profiles; (b) time course of  
estrogenicity for low to high (LO) and variable (VA) estrogenicity profiles. "x" indicates  
662 the water sampling frequency for each treatment.

664 **Figure 2.** Vitellogenin mRNA expression in solvent control and five 17 $\beta$ -estradiol  
treatments. Mean  $\pm$  standard error; letters indicate statistically significant differences  
666 between treatments (Kruskal-Wallis with Dunn's post test) ; number above each bar  
indicates sample size. Differences in sample size between treatments were due to  
668 insufficient amount of tissue for processing from some fish. See Table 1 for  
abbreviations. (a) experiment 1; (b) experiment 2.

670

**Figure 3.** Plasma vitellogenin concentrations ( $\mu\text{g/mL}$ ) in solvent control and five 17 $\beta$ -  
672 estradiol treatments. Bar indicates median concentrations, symbols represent individual  
sample results; number indicates sample size (the appearance of a second bar below  
674 the median concentration in the ETOH solvent controls and above the median  
concentrations in the ST, IN, HI, LO, and VA treatments is an artifact of the large  
676 number of plasma samples below or above the detection limit of the assay,  
respectively). Differences in sample size between treatments were due to insufficient

678 plasma recovery for processing. See Table 1 for abbreviations. (a) experiment 1; (b)  
experiment 2.

680

**Figure 4.** Sum of secondary sex characteristics in solvent control and five 17 $\beta$ -estradiol  
682 treatments. Mean  $\pm$  standard error; number indicates sample size. Differences in  
sample size between treatments following the behavioral challenge assay are due to  
684 mortality and/or the number of control fish available for pairing with treatment fish. See  
Table 1 for abbreviations. (a) experiment 1; (b) experiment 2.

686

**Figure 5.** Nest holding observations (% of total observations). Nest holding frequency  
688 by EtOH solvent control in black, treatment in white; number indicates total number of  
nest holding observations. Significant statistical differences noted with p value (two-  
690 tailed Fisher's Exact test) above the respective bars. Differences in the number of nest  
holding observations between treatments are due to unoccupied nest sites, nest sites  
692 occupied by both males, and mortality. See Table 1 for abbreviations. (a) experiment  
1; (b) experiment 2.

694