

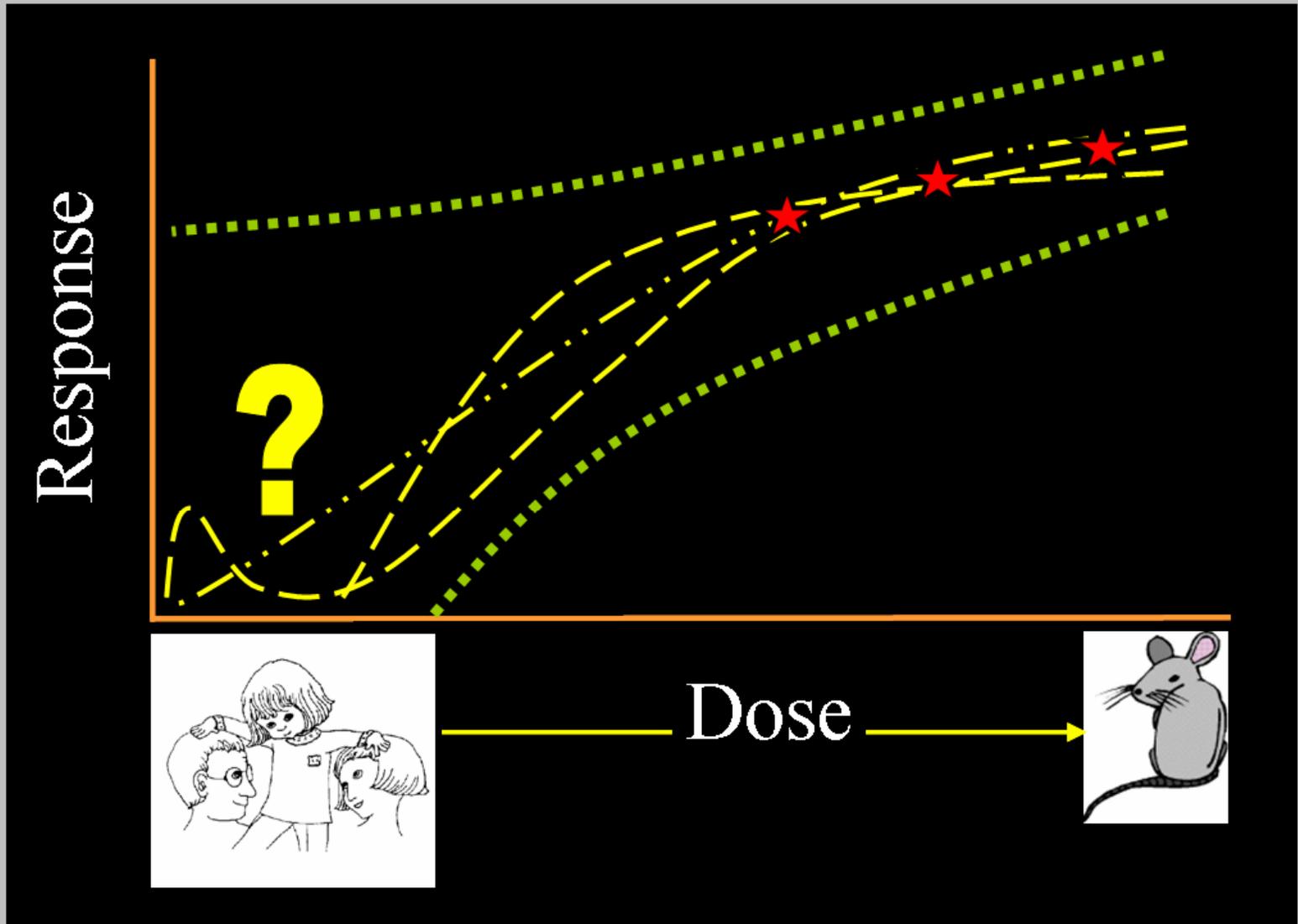
*Integration of biomarkers in risk  
assessment; a toxicological perspective*

Rory B. Conolly, Sc.D.

Presented at the ENCIS Workshop “Integration  
of Biomarkers in Cancer Risk Assessment”,  
Mitland Hotel Utrecht, Arienslaan 1, 3573 PT  
Utrecht, The Netherlands  
Thursday, October 19, 2006

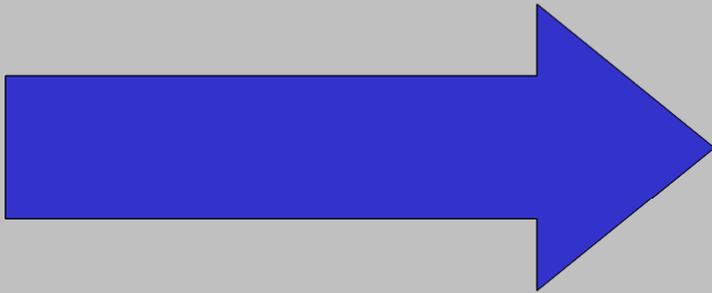
# *Outline*

- Using mechanistic data to reduce uncertainty in risk assessment
- Formaldehyde nasal SCC in rats
- Mechanistic studies of the rat tumors
- Risk assessment driven by the data
- IARC

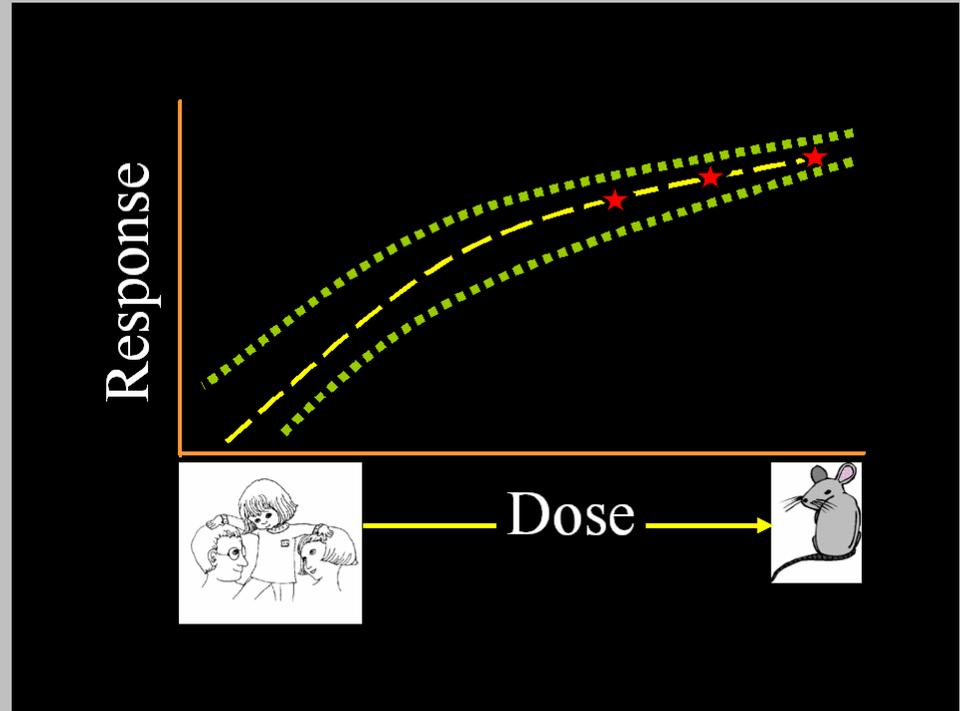


*The problem*

Mode-of-action analysis



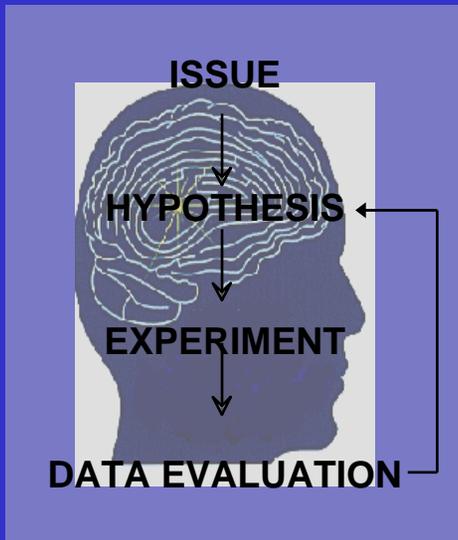
Biologically-based  
computational modeling



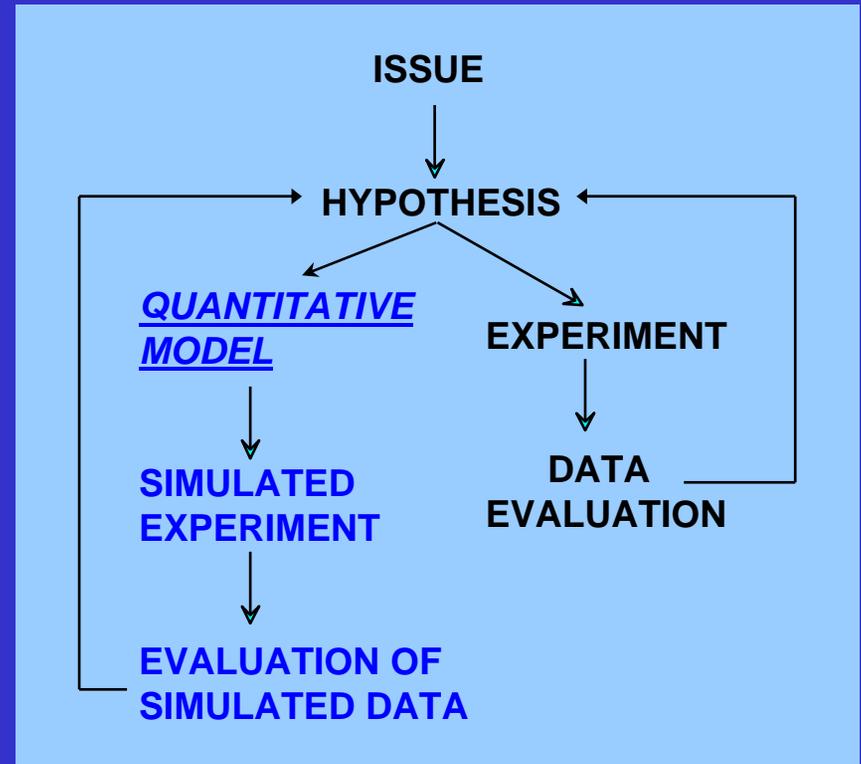
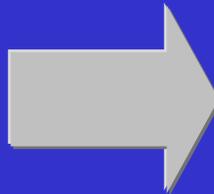
*The solution*

# *Approach*

Quantitative modeling of the mode or mechanism of action to predict dose-response and time-course behaviors

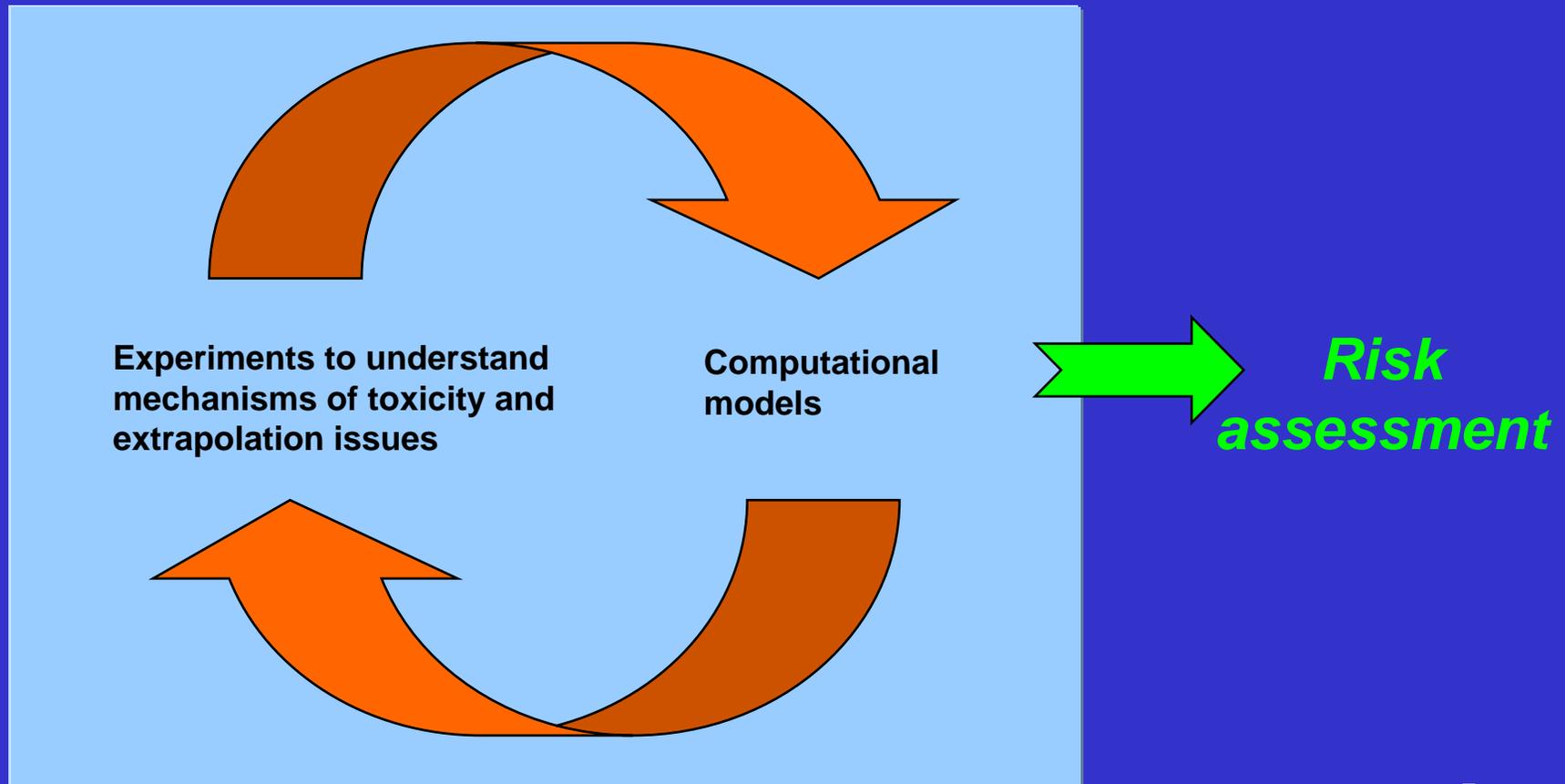


*(Intuitive modeling)*



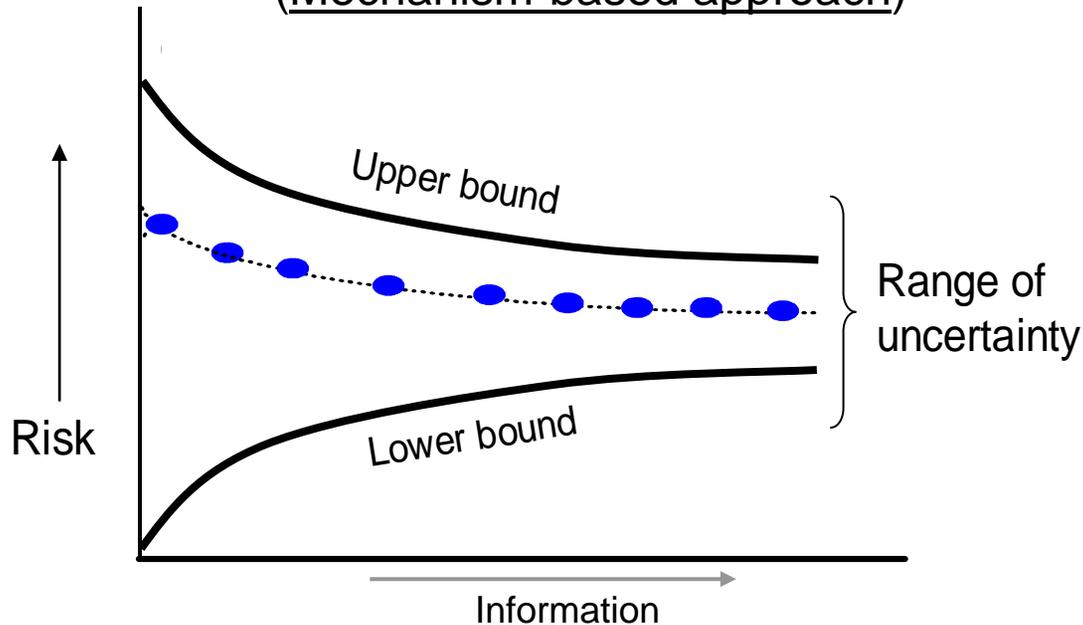
*(Formal + intuitive modeling)*

*Biologically based computational models  
form natural bridges between research and  
risk assessment*

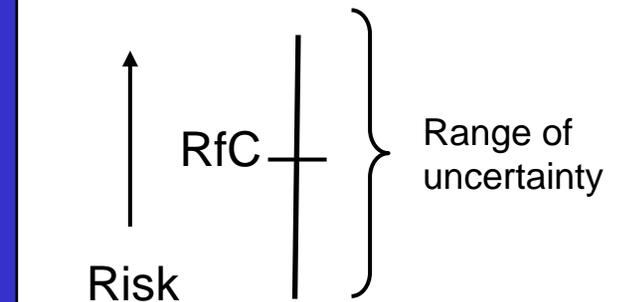


# *Reduction of uncertainty in risk assessment*

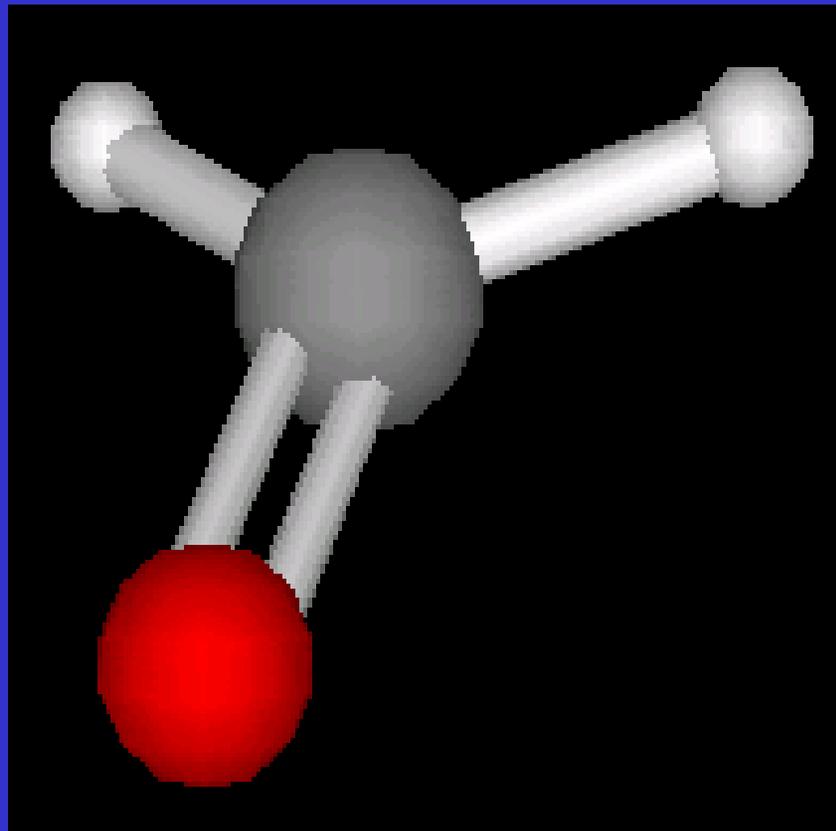
(Mechanism-based approach)

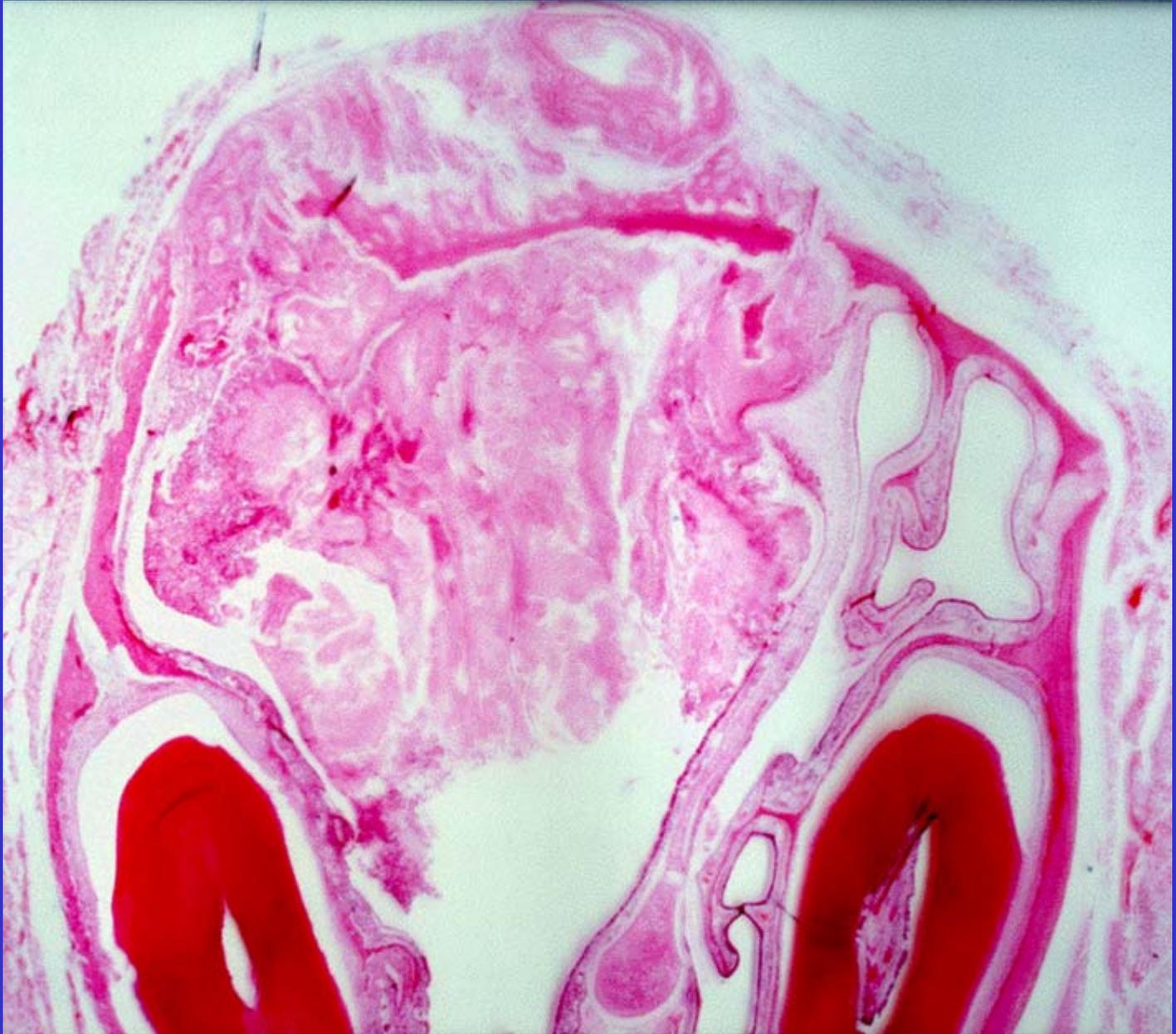


(Policy-based approach)

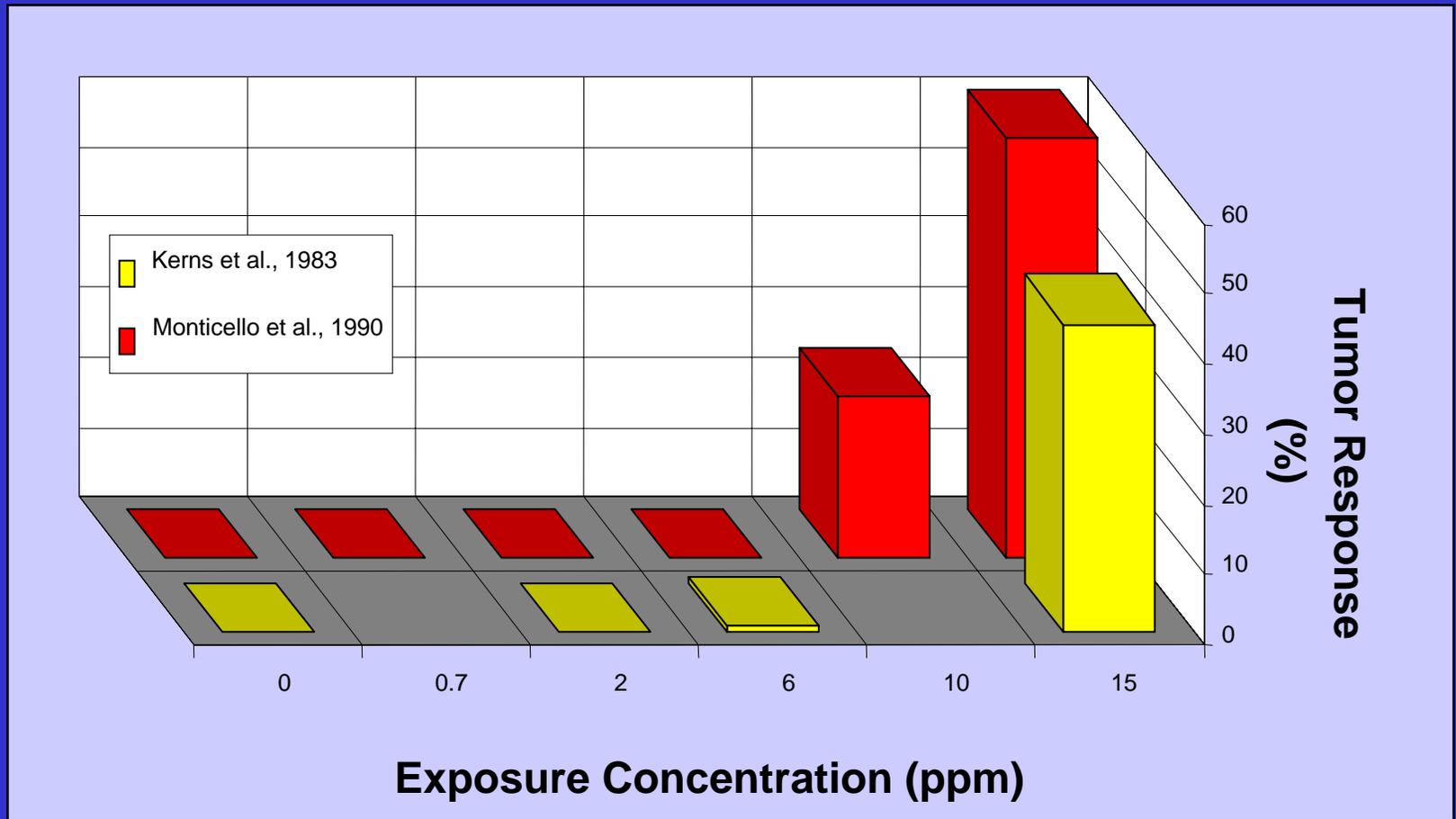


*Dose-response assessment for  
formaldehyde*

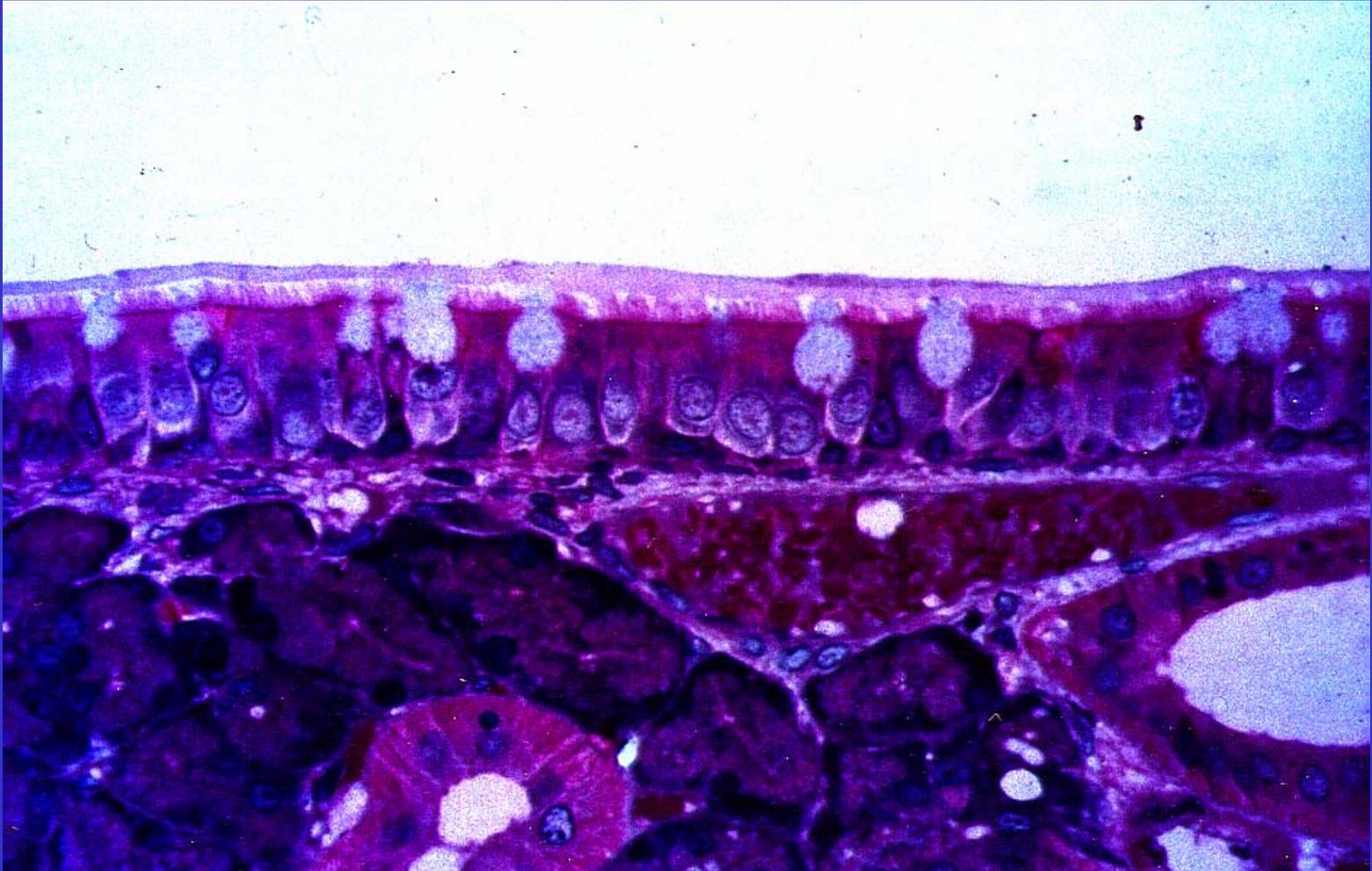




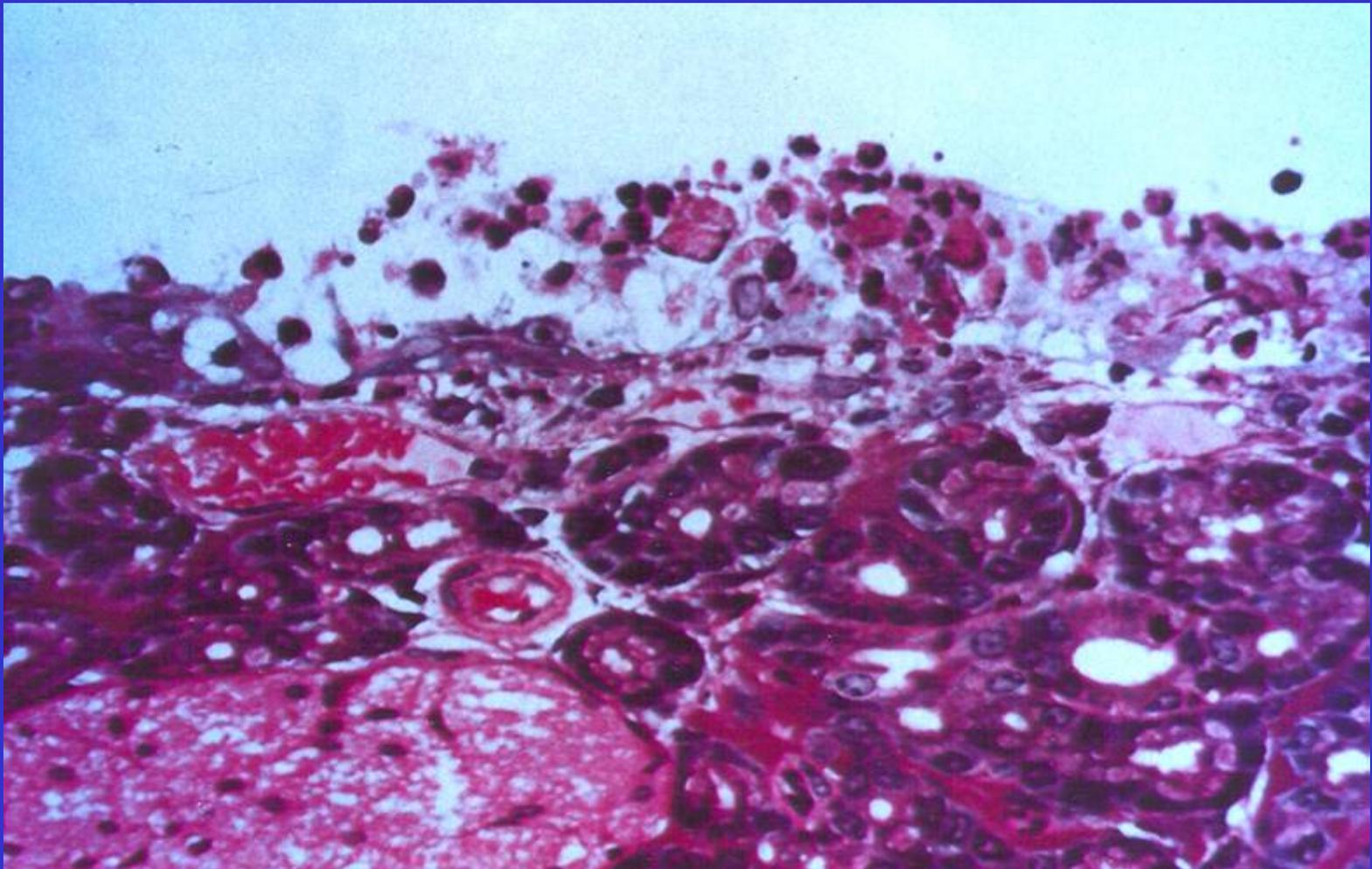
# Formaldehyde bioassay results



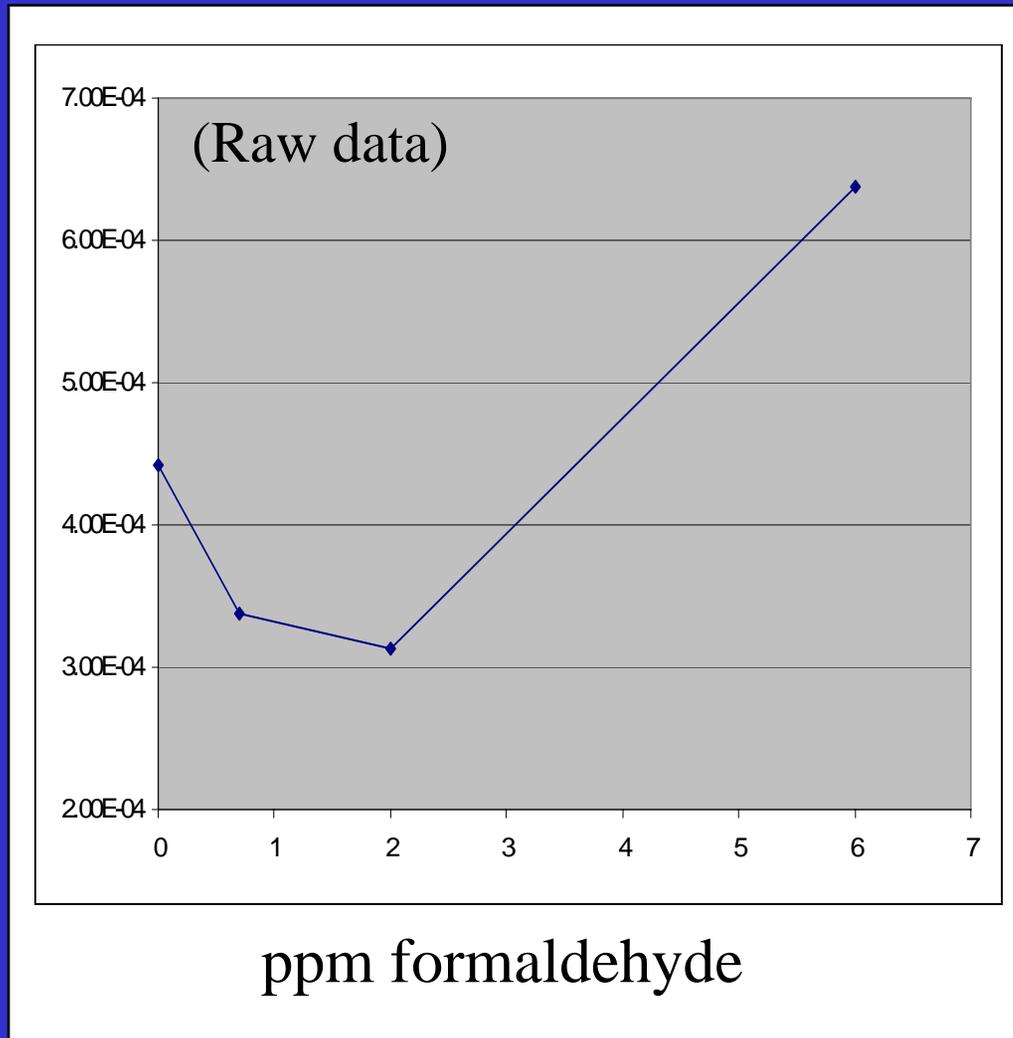
*Normal respiratory epithelium  
in the rat nose*



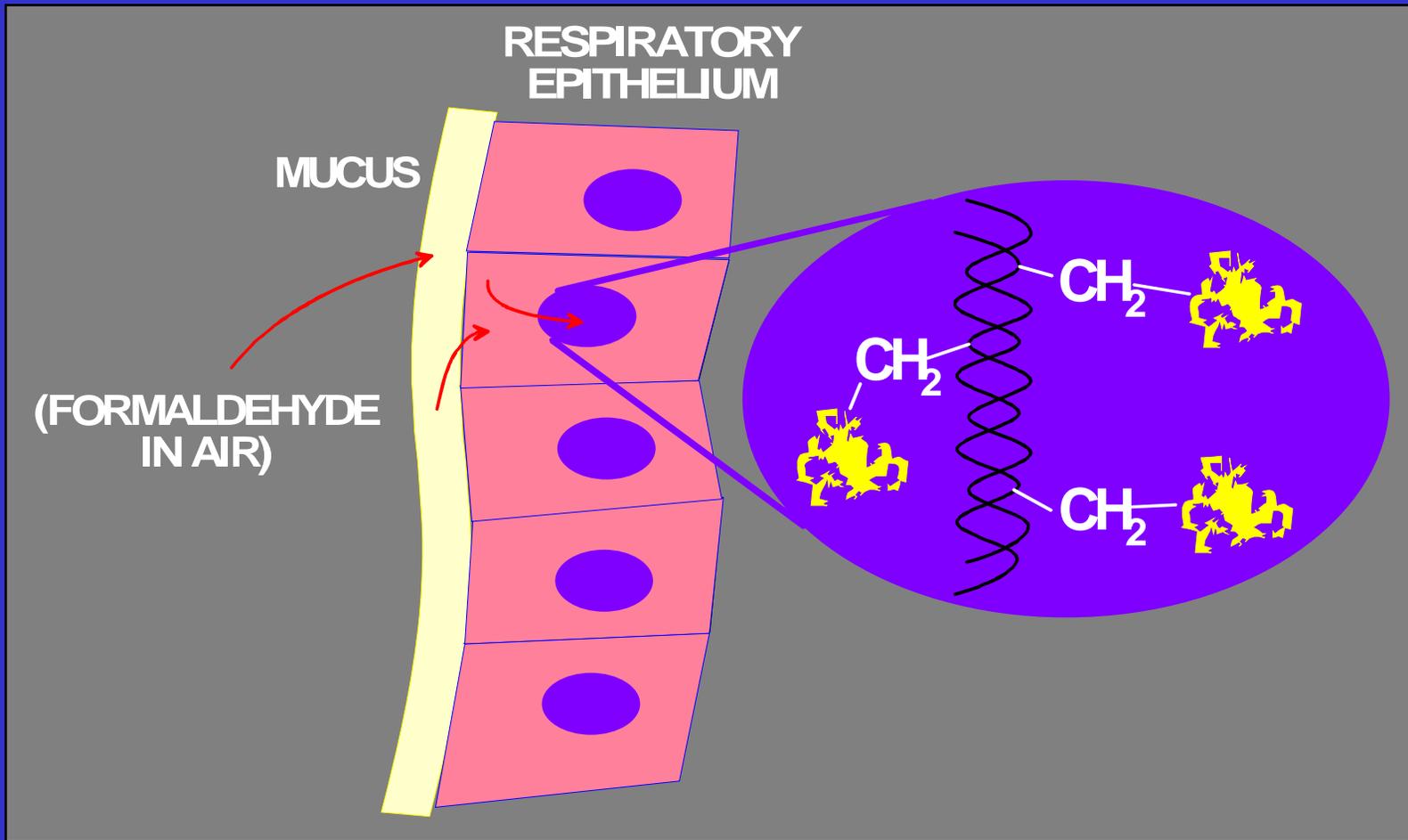
*Formaldehyde-exposed respiratory epithelium  
in the rat nose (10+ ppm)*



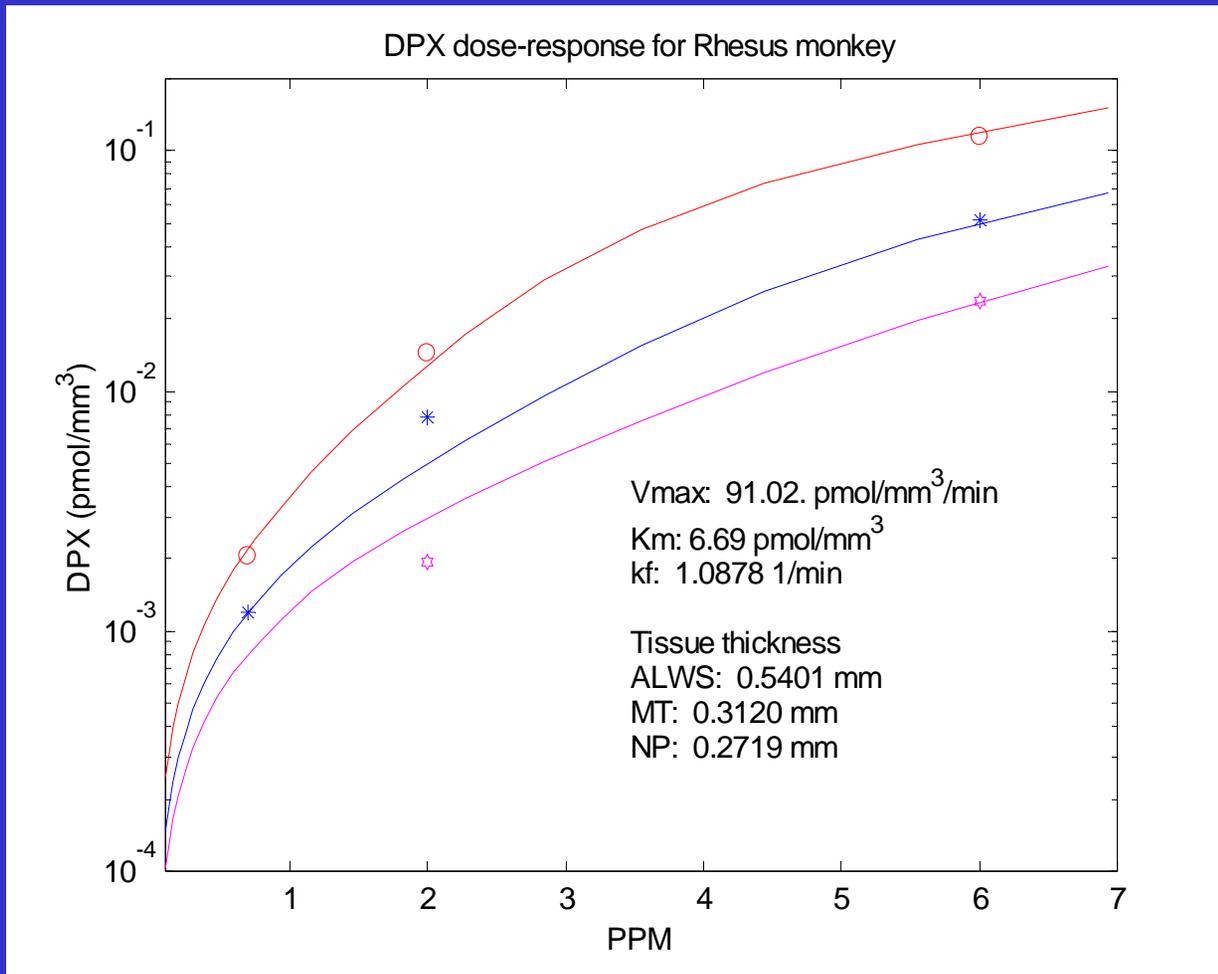
# *Dose-response for cell division rate*



# DPX



# *DPX submodel – simulation of rhesus monkey data*



## *DPX and direct mutation*

- Direct mutation is assumed to be proportional to the amount of DPX:

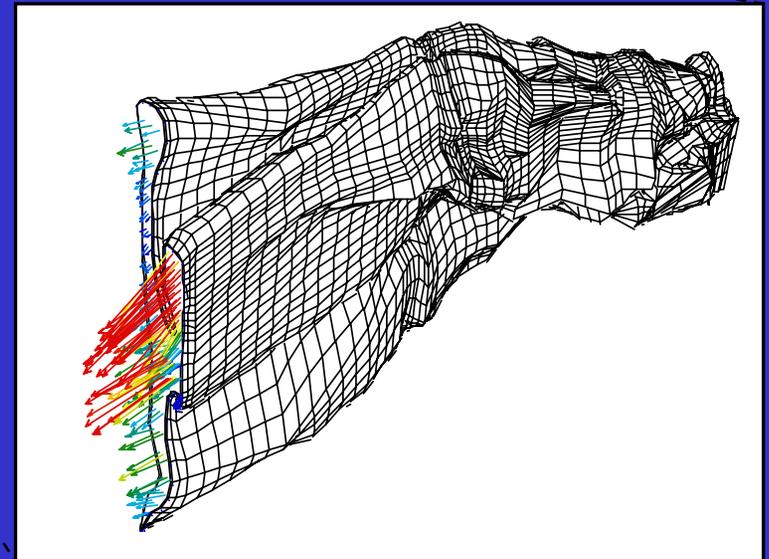
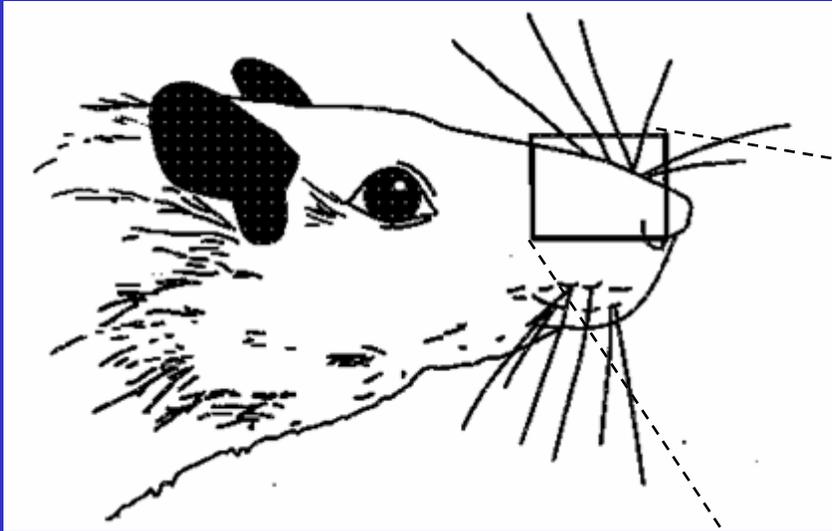
$$\textit{mutation} = \textit{KMU} \cdot \textit{DPX}$$

- Low-dose linear!
  - Is KMU big or small?

# *Summary of biomarker dose-response inputs to the clonal growth model*

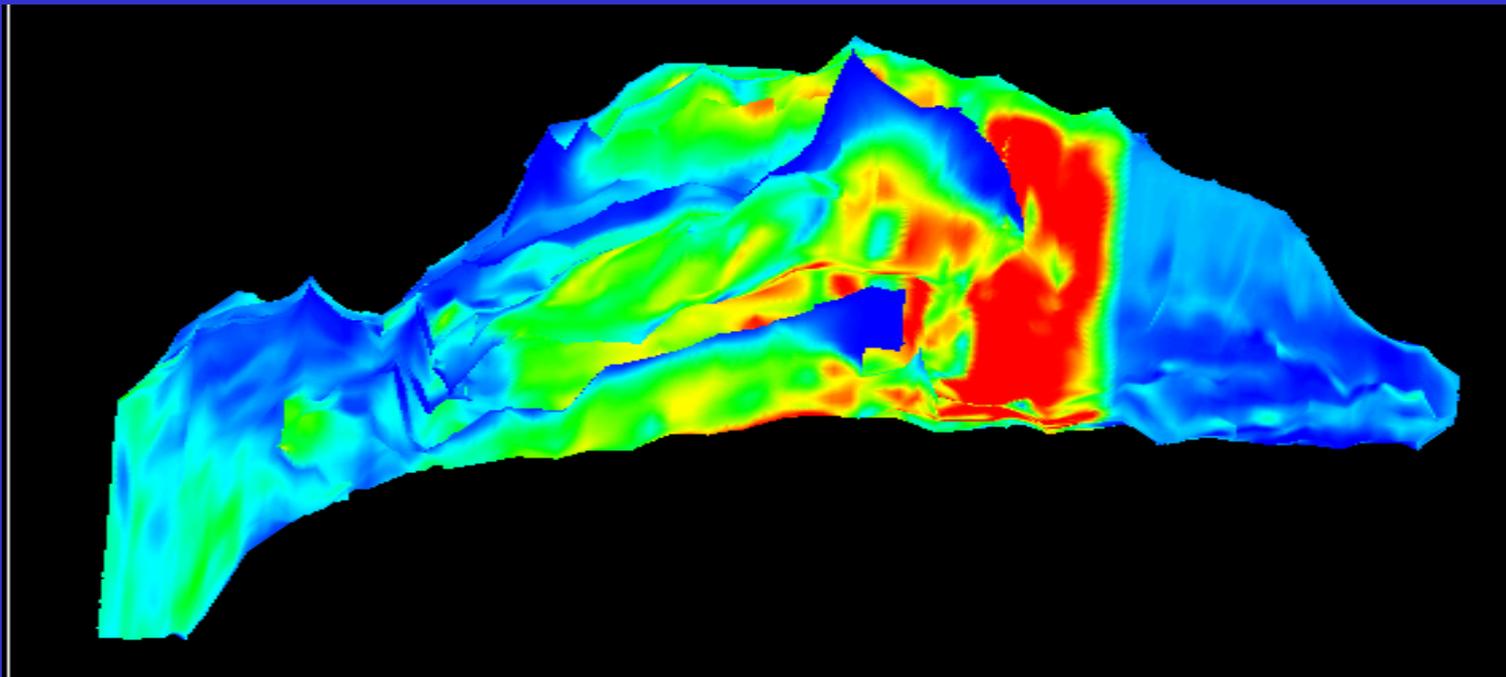
- Cell replication
  - J-shaped
- DPX
  - Low dose linear

# *CFD Simulation of Nasal Airflow* *(Kimbell et. al)*

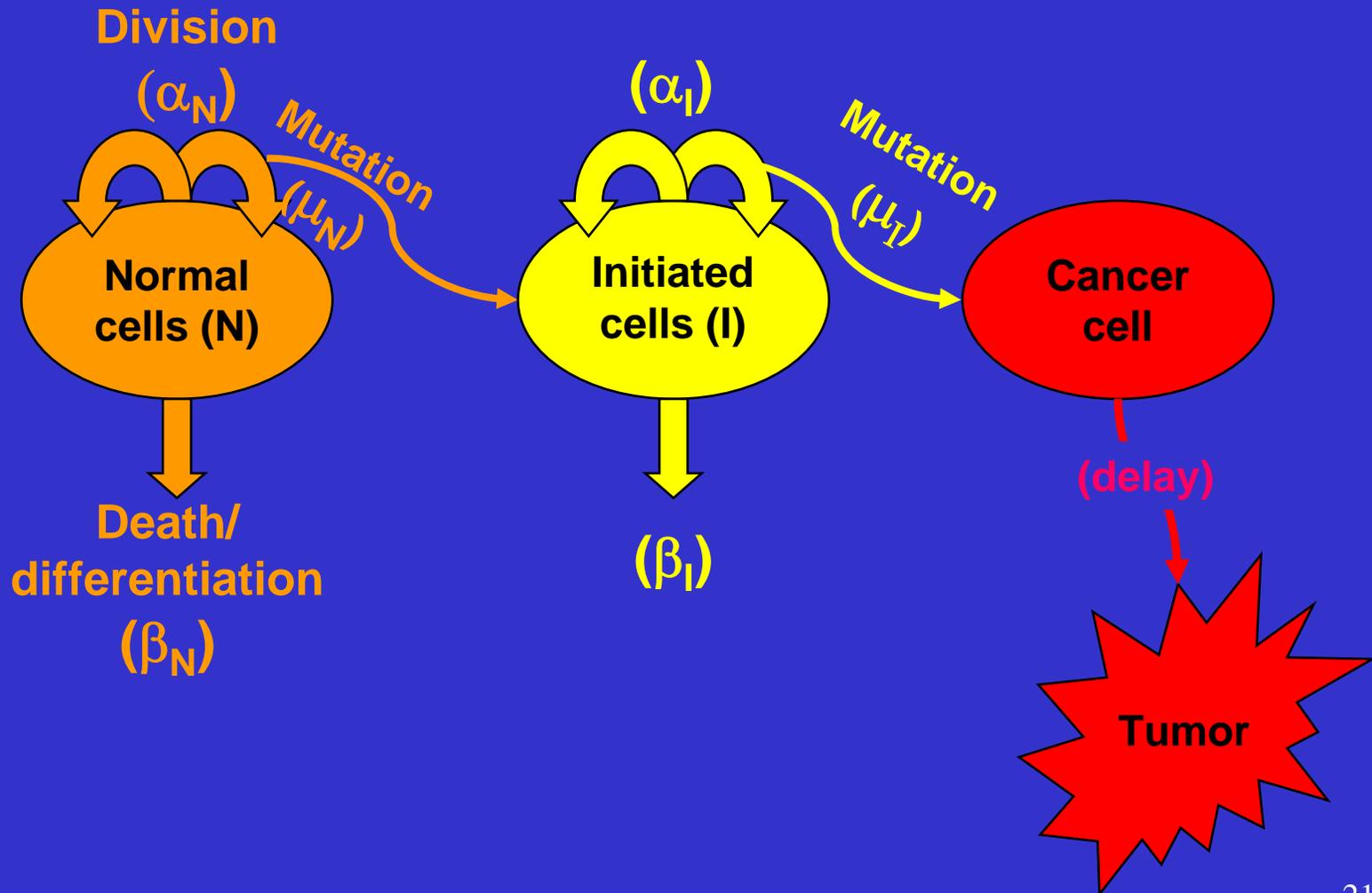


## *Flux bins*

- Nasal surface area partitioned into 20 bins ranked according to flux of formaldehyde predicted by the CFD model



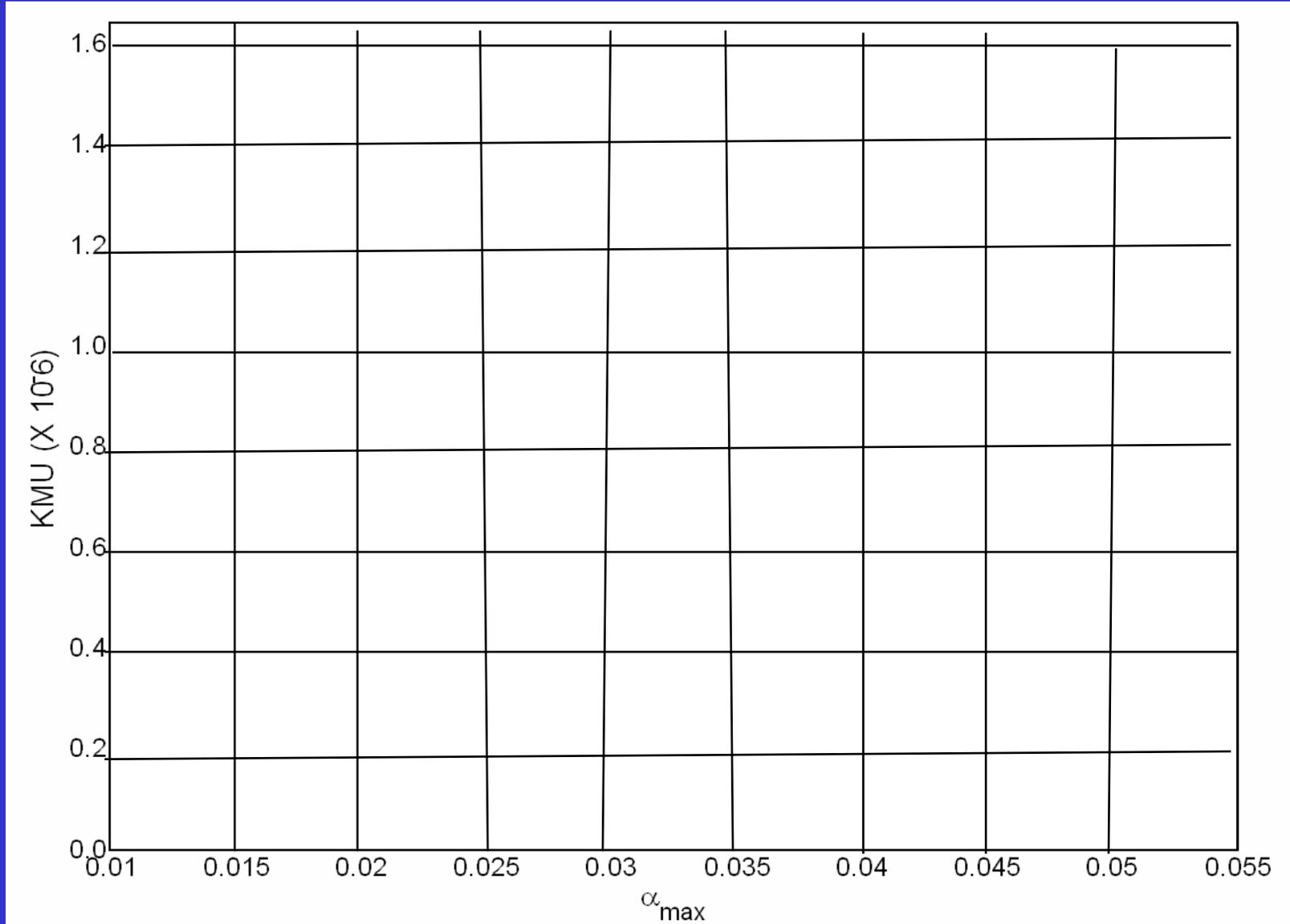
# 2-Stage clonal growth model (MVK model)



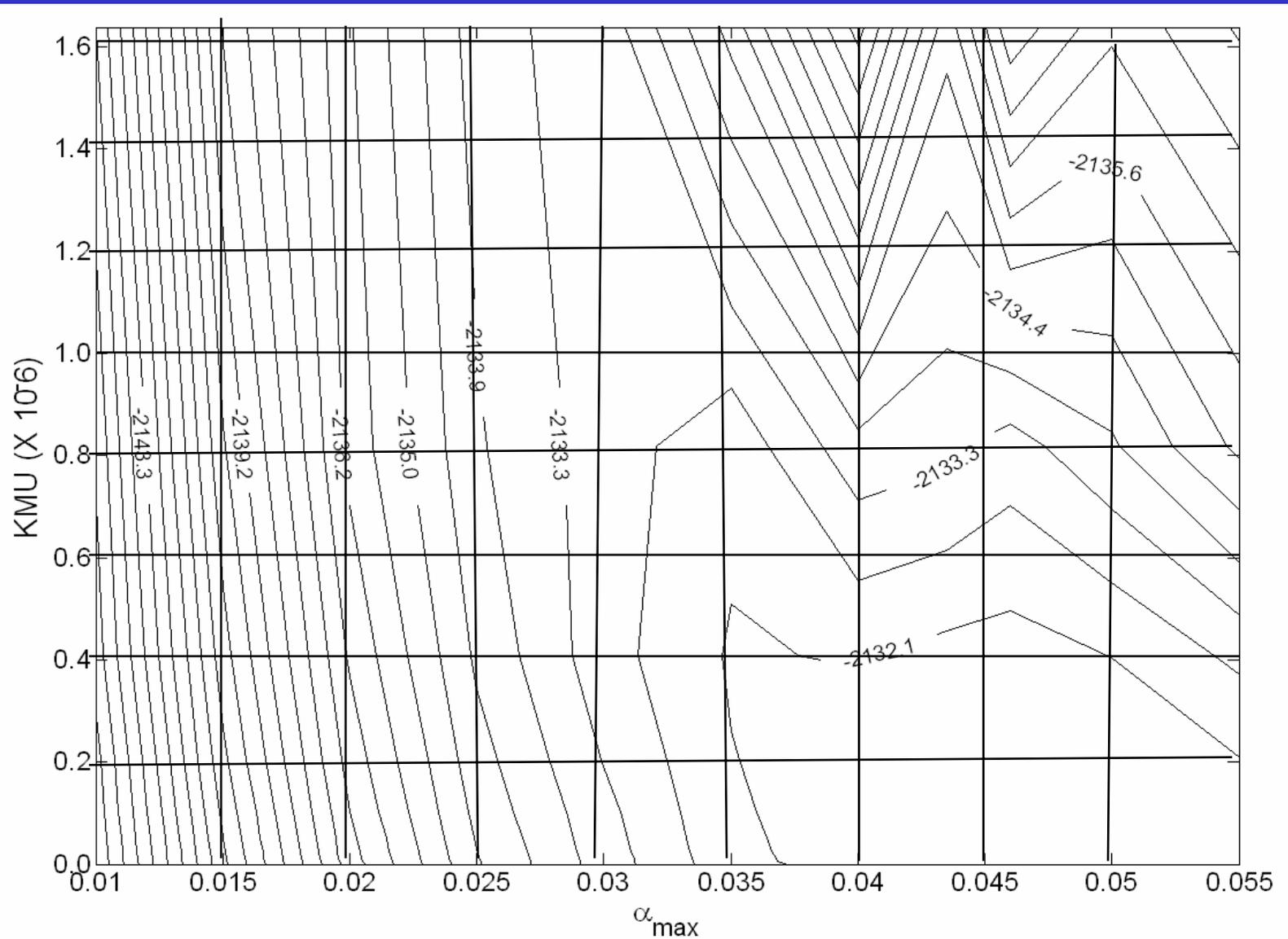
## *Calculation of the value of KMU*

- Grid search
- Optimal value of KMU was zero
  - Modeling predicts that direct mutation is not a significant action of formaldehyde
- 95% upper confidence limit on KMU was estimated

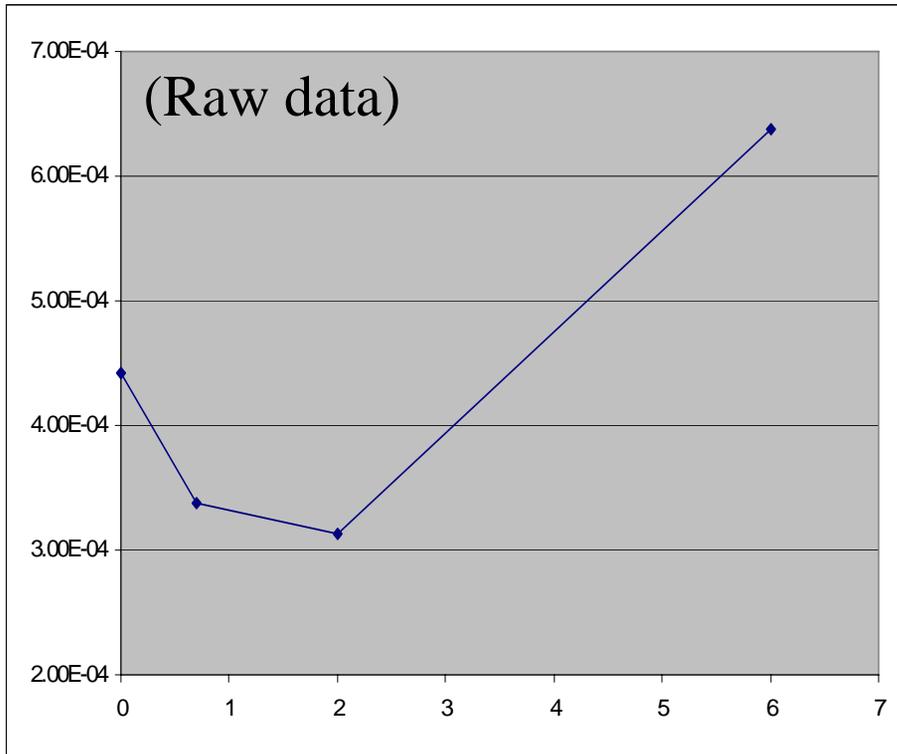
# *Maximum likelihood grid search*



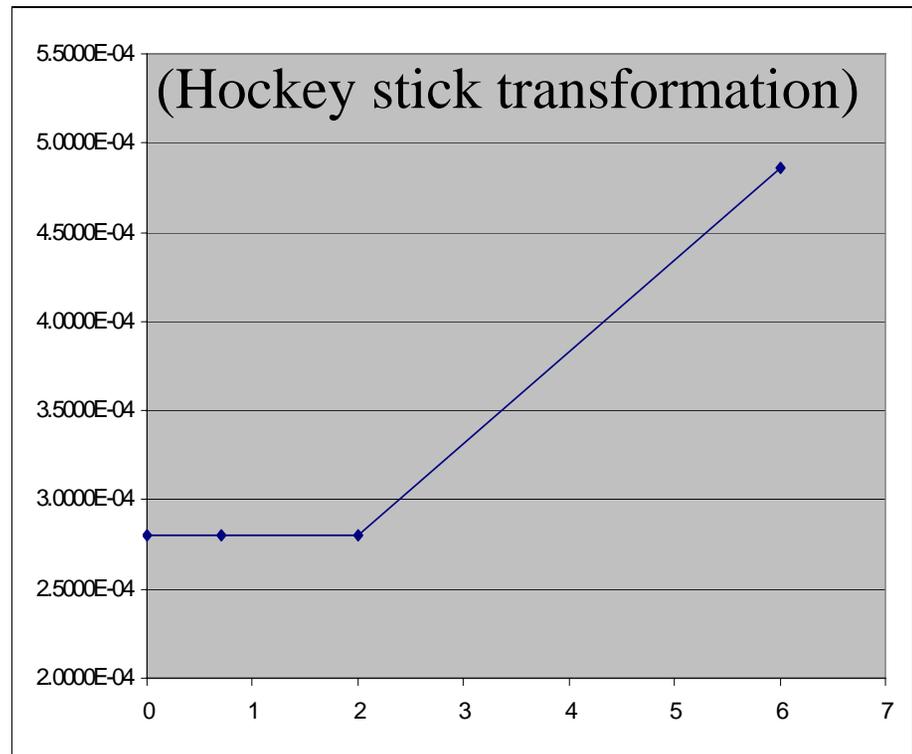
# *Optimal value of K<sub>MU</sub> is zero*



# *Hockey stick model fit to raw data to cell division dose-response*

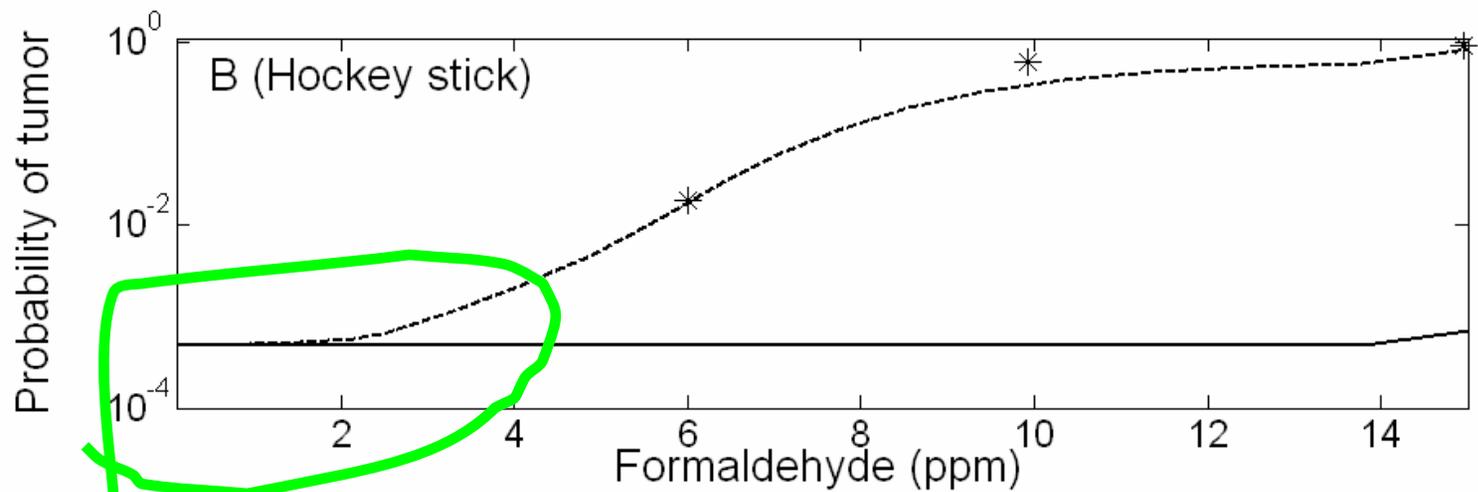
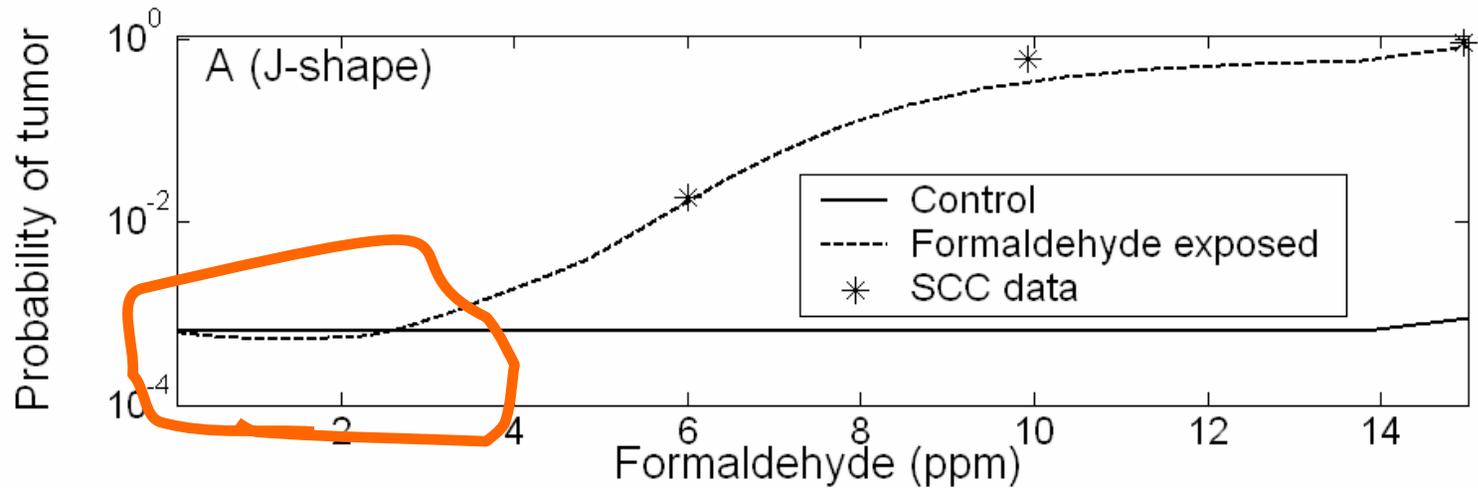


ppm formaldehyde



ppm formaldehyde

# *Simulation of tumor response in rats*

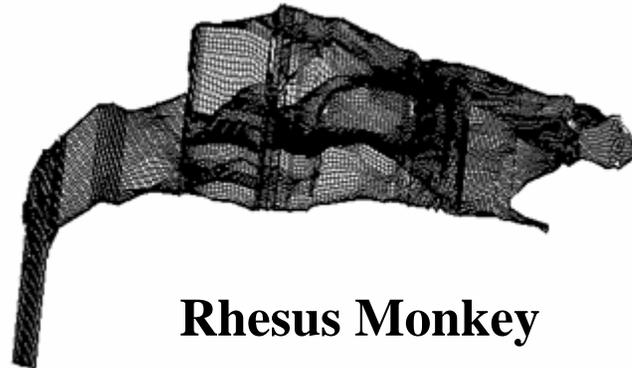


*From rats to humans*

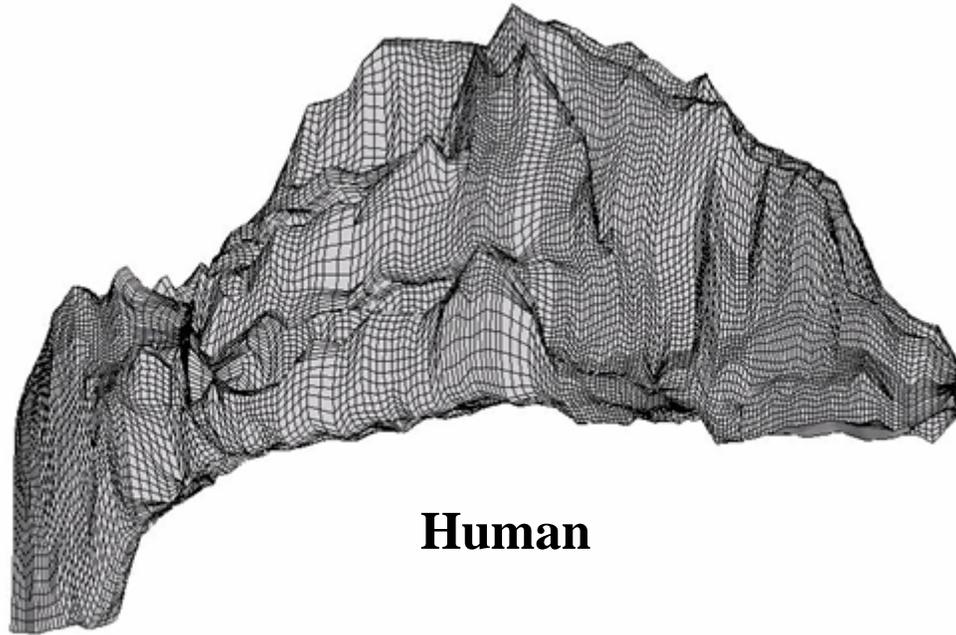
# *Computational fluid dynamics models of the nasal airways*



**F344 Rat**

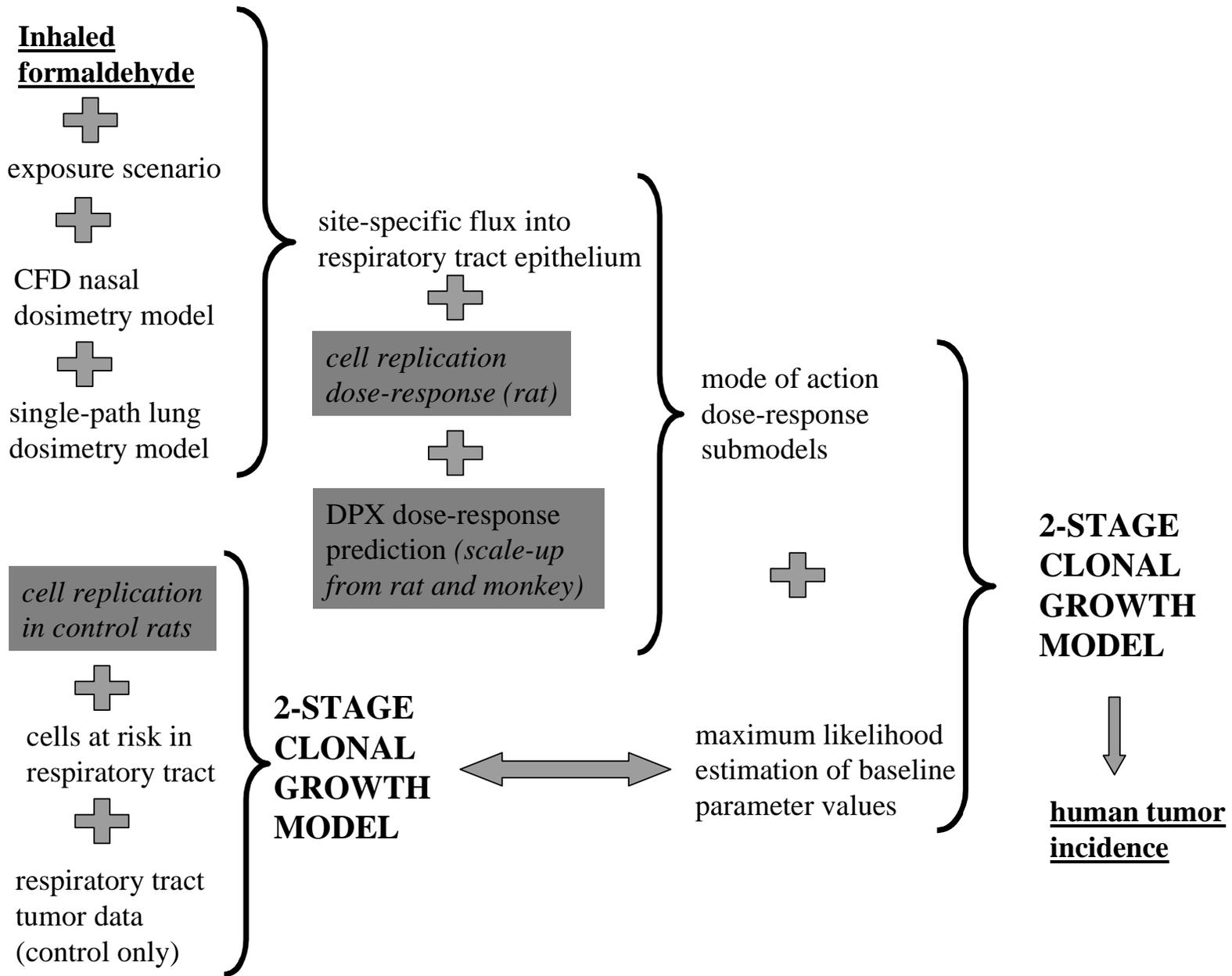


**Rhesus Monkey**

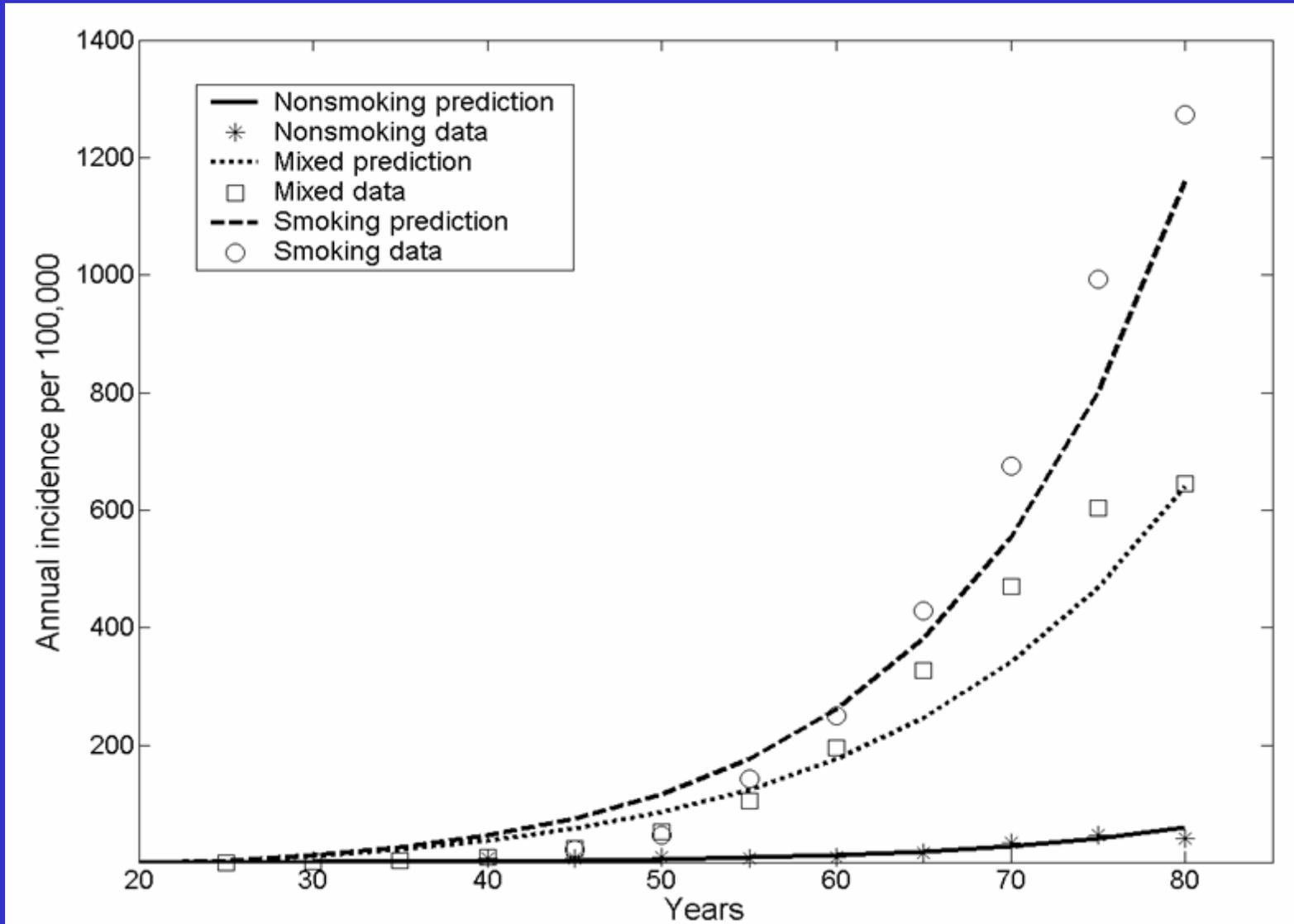


**Human**

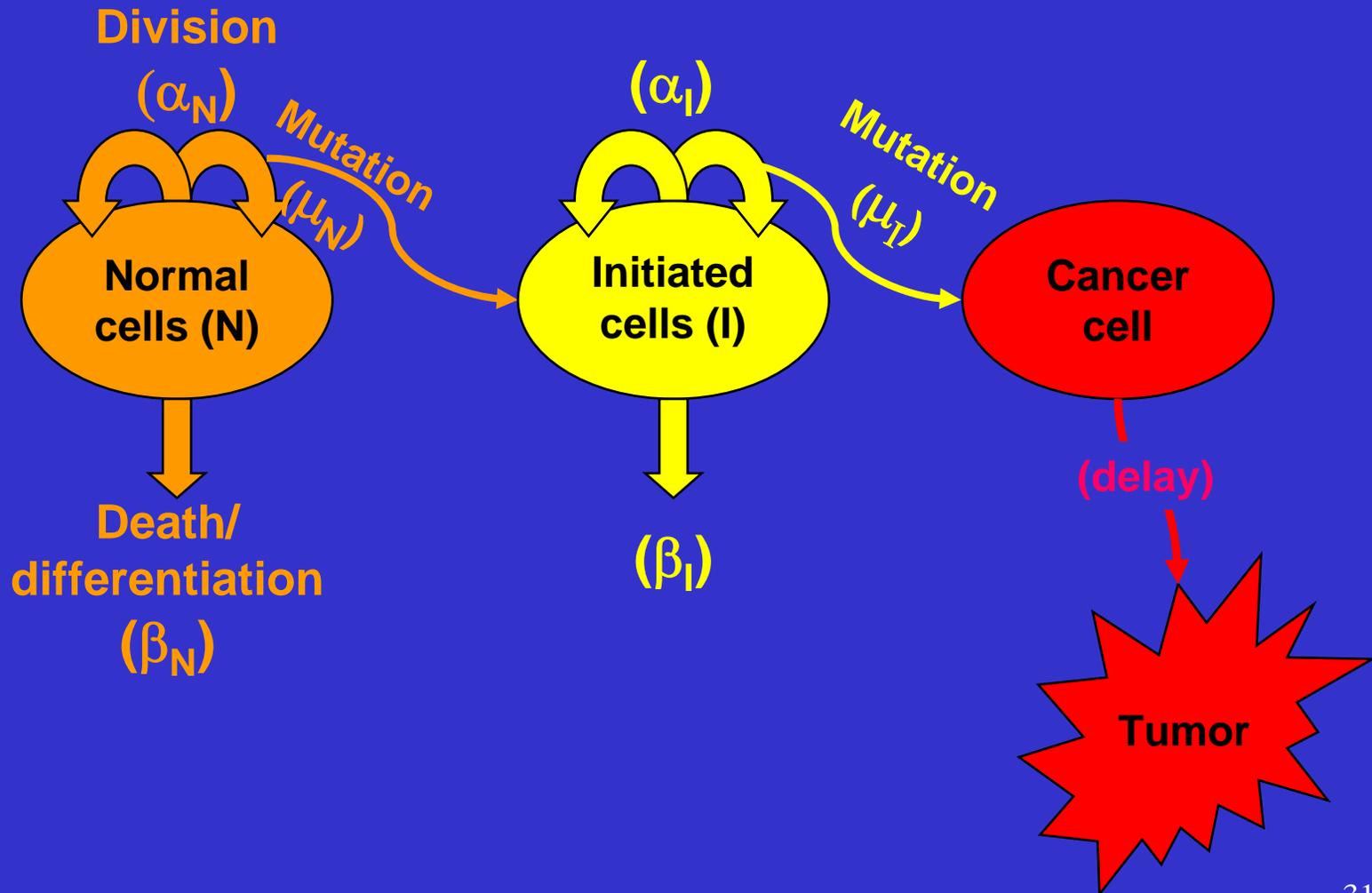
# Human assessment



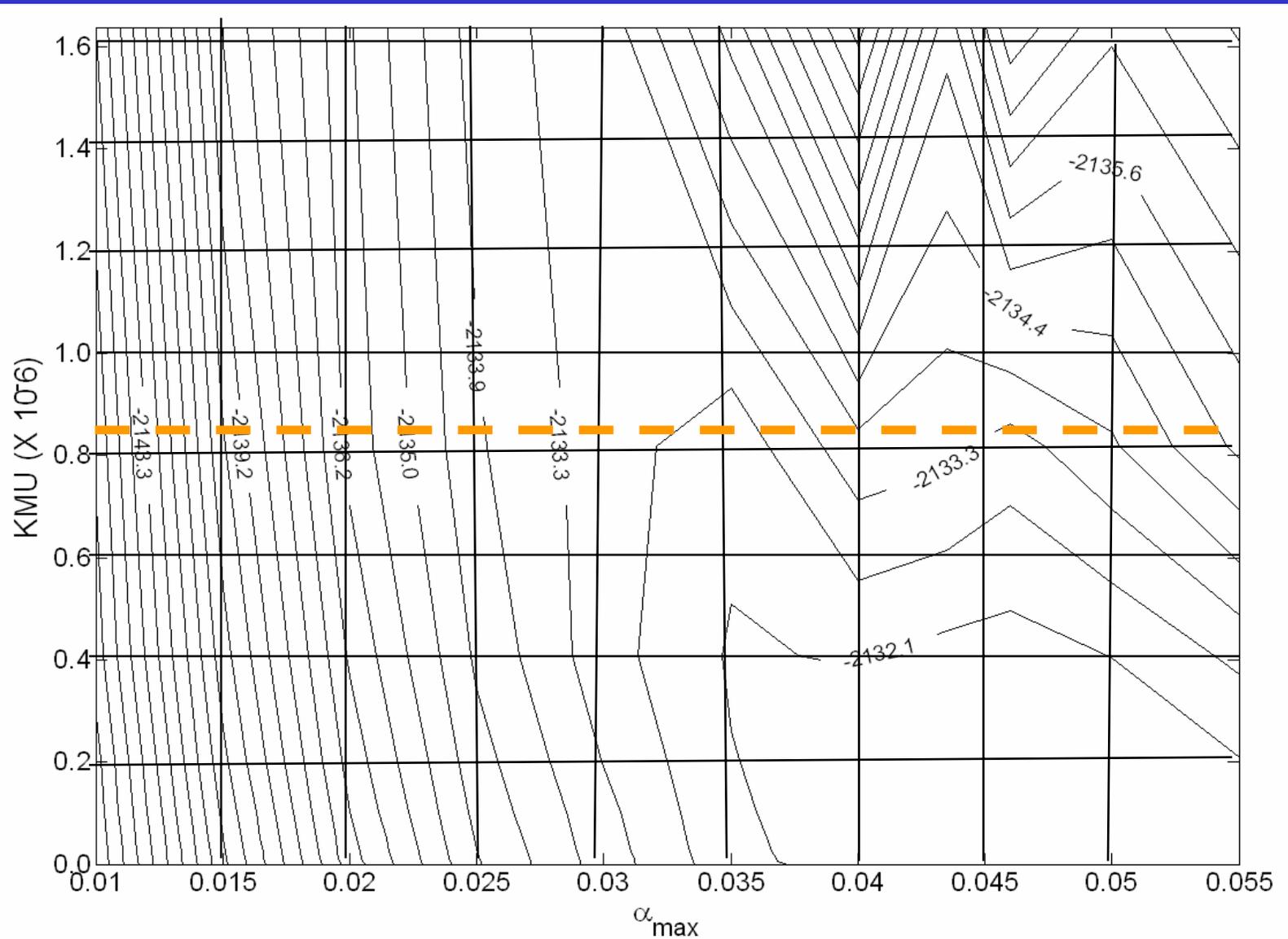
# Baseline calibration against human lung cancer data



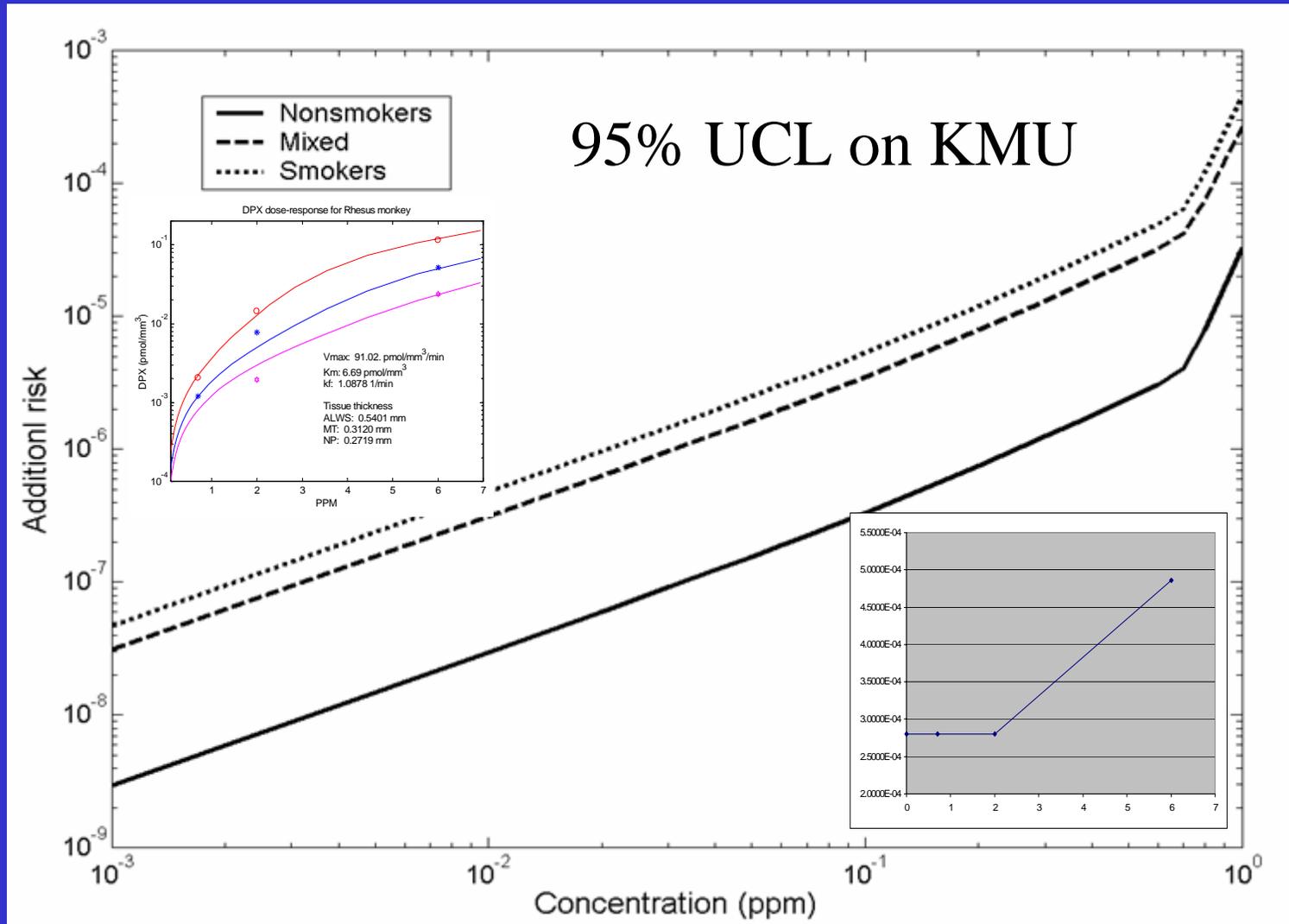
# Human risk modeling



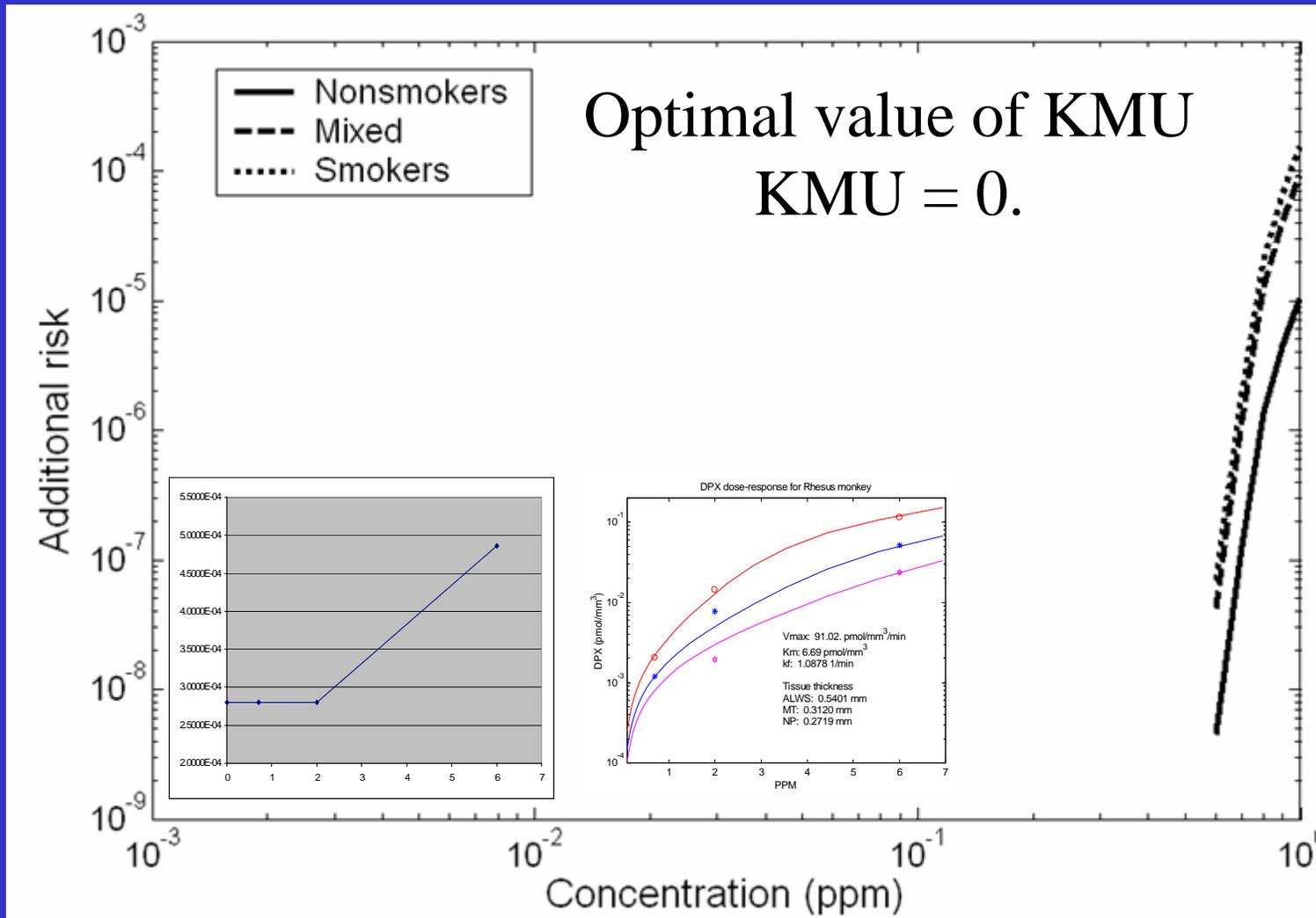
# Upper bound on KMU



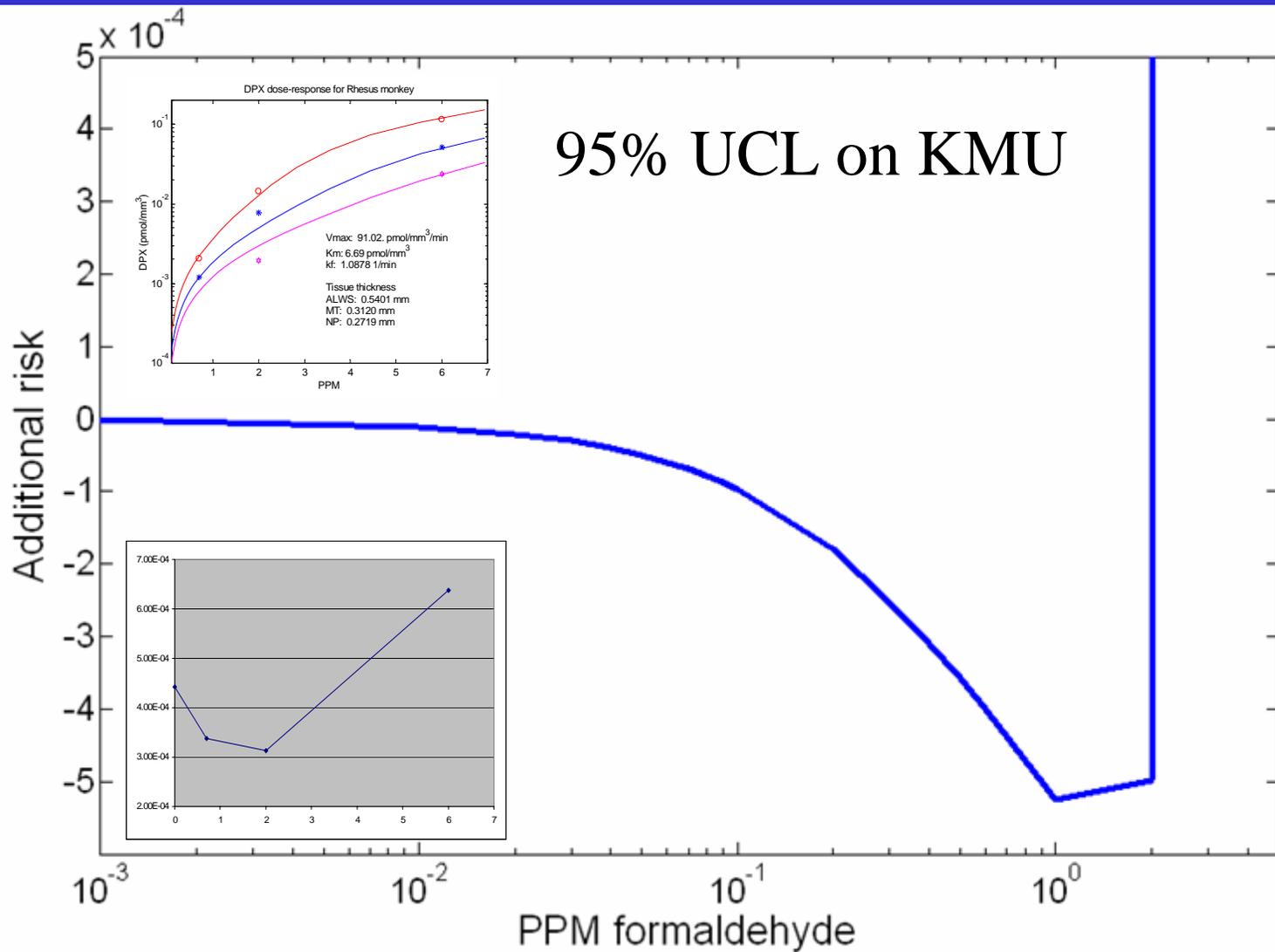
# Final model: Hockey stick and 95% upper confidence limit on value of KMU



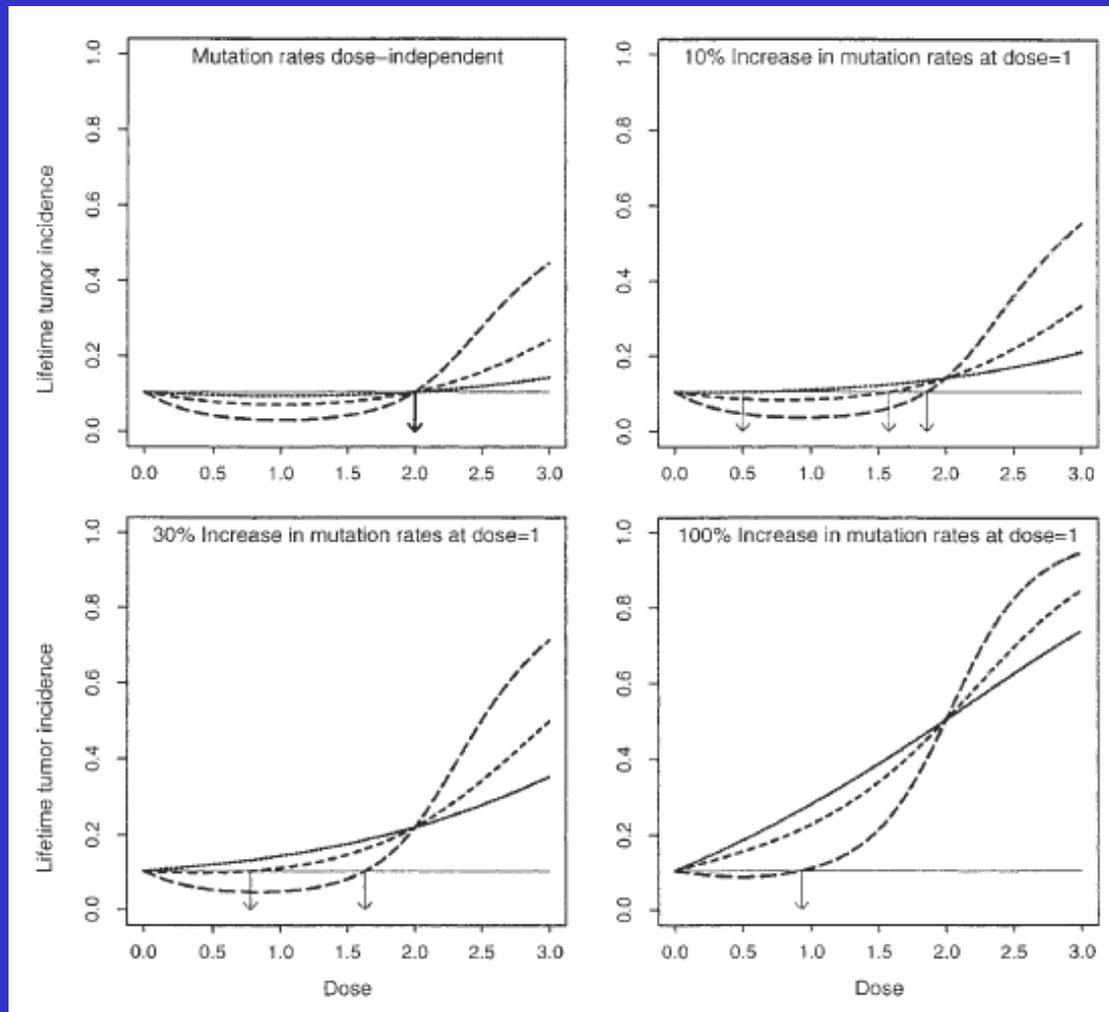
# Predicted human cancer risks (hockey stick-shaped dose-response for cell replication; optimal value for KMU)



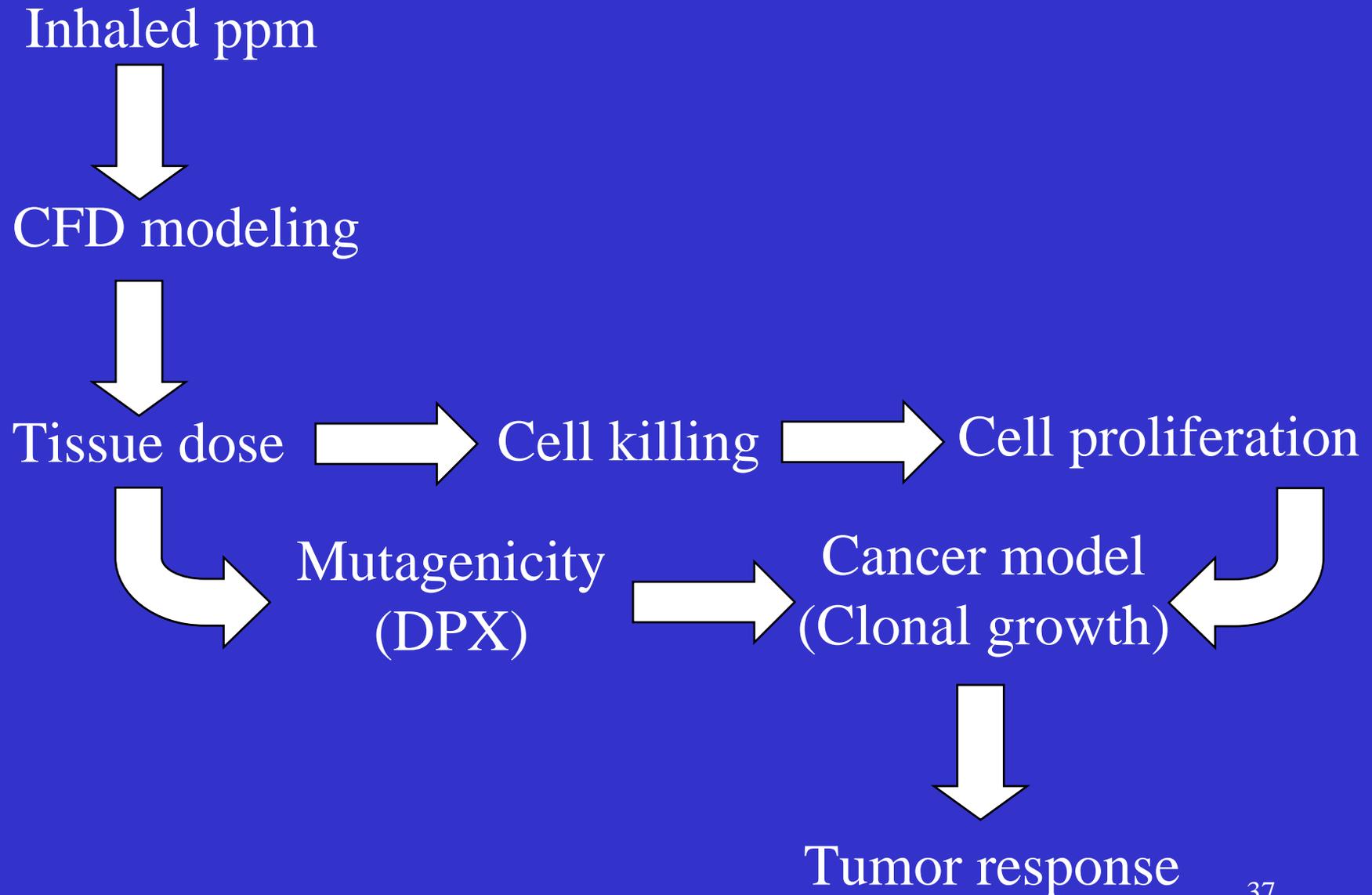
# “Negative risk” using raw dose-response for cell replication



# *Lutz & Kopp-Schneider: Tumor incidence with J-shaped cell replication & linear mutation*



# 1999 - 2004 CIIT



# *1987 U.S. EPA*

Inhaled ppm



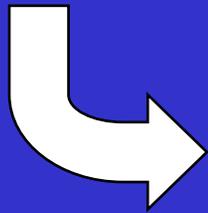
Cancer model  
(LMS)



Tumor response

# *1991 U.S. EPA*

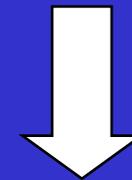
Inhaled ppm



Tissue dose  
(DPX)



Cancer model  
(LMS)



Tumor response

# *Make conservative choices when faced with uncertainty*

- Use hockey stick-shaped cell replication
- Use a 95% upper bound on the dose-response for the directly mutagenic mode of action
  - Statistically optimal model has 0 (zero) slope
- Risk model predicts low-dose linear risk.
- Optimal, data based model predicts negative risk at low doses

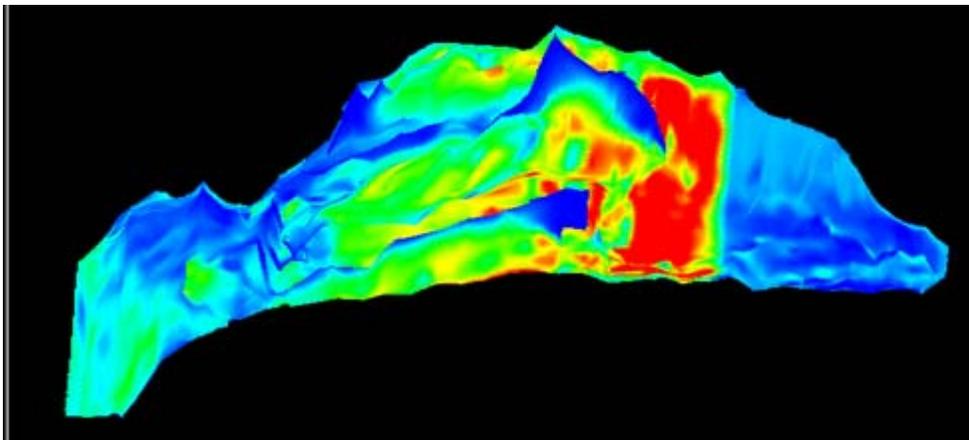
# *Summary: CIIT assessment of formaldehyde cancer risk*

- Either no additional risk or a much smaller level of risk than previous assessments
- Consistent with mechanistic database
  - Direct mutagenicity
  - Cell replication

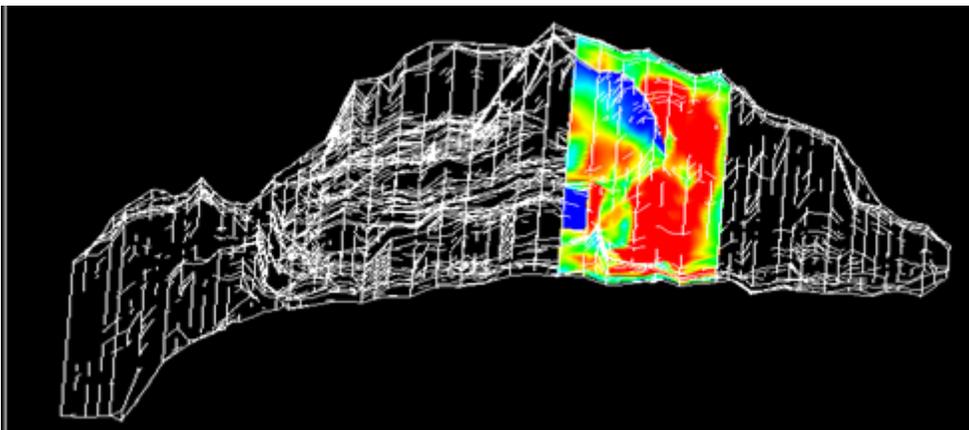
## *IARC 2004*

- Classified 1A based on nasopharyngeal cancer
- Myeloid leukemia data suggestive but not sufficient
  - Concern about mechanism
  - British study negative
- Reclassification driven by epidemiology
- *In my opinion* inadequate consideration of regional dosimetry and mechanistic data from rat studies

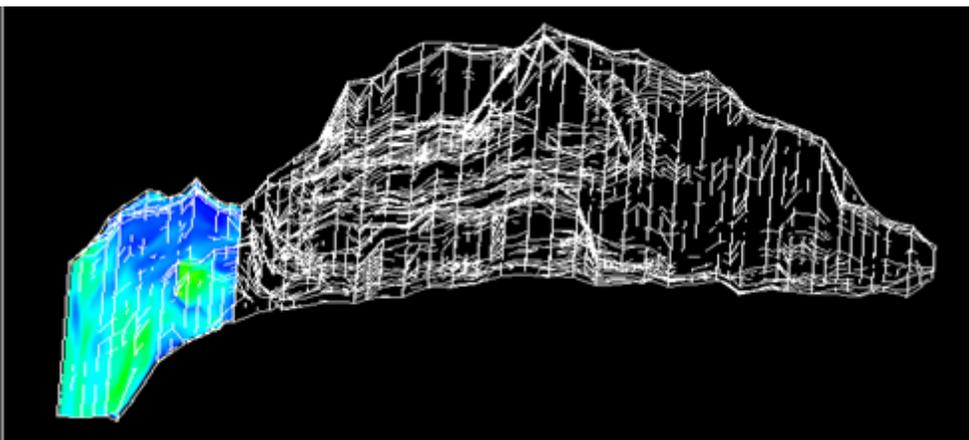
Whole  
nose



Anterior  
nose



nasopharynx

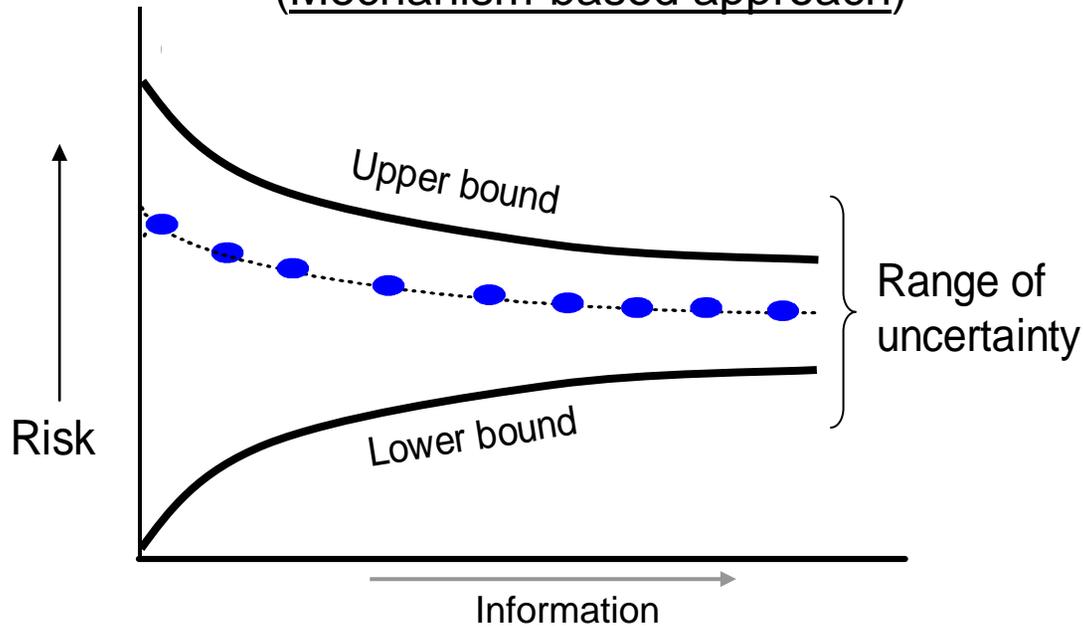


## *Formaldehyde summary*

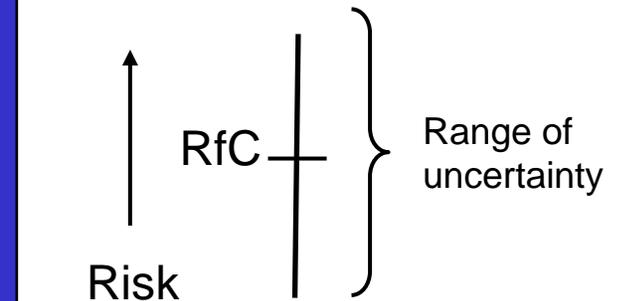
- Using mechanistic data to reduce uncertainty in risk assessment
- Formaldehyde nasal SCC in rats
- Mechanistic studies of the rat tumors
- Risk assessment driven by the data
- IARC

# *Reduction of uncertainty in risk assessment*

(Mechanism-based approach)



(Policy-based approach)



# *Disclaimer*

*EPA has sponsored Dr. Conolly's attendance at this meeting. This presentation is not a statement of official policy of the United States Environmental Protection Agency.*

## *Acknowledgements (I)*

- Many, many investigators at CIIT (and elsewhere) who have studied formaldehyde.

## *Acknowledgements (II)*

- Colleagues who worked on the clonal growth risk assessment
  - Fred Miller, Julian Preston, Paul Schlosser, Julie Kimbell, Betsy Gross, Suresh Moolgavkar, Georg Luebeck, Derek Janszen, Mercedes Casanova, Henry Heck, John Overton, Steve Seilkop

*End*