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Ambient Particulate Pollutants in the Ultrafine Range Promote Early Atherosclerosis and Systemic Oxidative Stress

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Abstract—Air pollution is associated with significant adverse health effects, including increased cardiovascular morbidity and mortality. Exposure to particulate matter with an aerodynamic diameter of <2.5 μm (PM$_{2.5}$) increases ischemic cardiovascular events and promotes atherosclerosis. Moreover, there is increasing evidence that the smallest pollutant particles pose the greatest danger because of their high content of organic chemicals and prooxidative potential. To test this hypothesis, we compared the proatherogenic effects of ambient particles of <0.18 μm (ultrafine particles) with particles of <2.5 μm in genetically susceptible (apolipoprotein E–deficient) mice. These animals were exposed to concentrated ultrafine particles, concentrated particles of <2.5 μm, or filtered air in a mobile animal facility close to a Los Angeles freeway. Ultrafine particle–exposed mice exhibited significantly larger early atherosclerotic lesions than mice exposed to PM$_{2.5}$ or filtered air. Exposure to ultrafine particles also resulted in an inhibition of the antiinflammatory capacity of plasma high-density lipoprotein and greater systemic oxidative stress as evidenced by a significant increase in hepatic malondialdehyde levels and upregulation of Nrf2-regulated antioxidant genes. We conclude that ultrafine particles concentrate the proatherogenic effects of ambient PM and may constitute a significant cardiovascular risk factor. (Circ Res. 2008;102:589-596.)

Key Words: air pollution ■ ultrafine particles ■ atherosclerosis ■ oxidative stress ■ HDL
that the proatherogenic effects of PM are clinically relevant. Air pollution has also been linked to the triggering of acute coronary ischemic events in humans, including myocardial infarction.

We have demonstrated that ambient PM exerts proinflammatory effects in target cells such as endothelial cells, macrophages, and epithelial cells through the generation of reactive oxygen species (ROS) and oxidative stress. These prooxidative effects are mediated, in part, by redox-cycling organic chemicals and transition metals that are present on the particle surface. Ambient PM can synergize with oxidized phospholipids in the induction of a wide array of genes involved in vascular inflammatory processes such as atherosclerosis. Moreover, when comparing concentrated ambient particles (CAPs) of various sizes in the Los Angeles basin, UFPs were shown to have the highest content of prooxidative effects, and are associated with the largest decrease in HDL protective activity. These data are of considerable significance from a regulatory perspective.

Materials and Methods

Detailed methods about histology, immunohistochemistry, blood chemistry, monocyte chemotactic assays, lipid peroxidation assay, RNA extraction, and real-time RT-PCR can be found in the online data supplement at http://circres.ahajournals.org.

Animals and Diet

The Animal Research Committee at The University of California at Los Angeles (UCLA) approved all animal protocols. ApoE-Null (C57BL/6j background) male mice were obtained from The Jackson Laboratory (Bar Harbor, Me). Animals were brought to the UCLA animal facility at 4 weeks of age. Mice were fed a regular chow diet (NIH-31 modified 6% diet; Harlan Teklad, Madison, Wis). Both water and food were administered ad libitum. Animals were randomly assigned to 3 groups (n=17/group) that were sent to a mobile laboratory located in downtown Los Angeles. Values shown are means(SEM). *This ratio was obtained by reducing the particle no. in the FP chamber by 15%, which represents the contribution of particles in the 0.18–2.5 μm range. This also translates into an ~2-fold increase in surface area if a spherical particle shape is assumed.

Particle concentrator technology was used to deliver the CAP exposures. Three animal groups were simultaneously exposed to atmospheres containing concentrated particles of <2.5 μm (FPs), particles of <0.18 μm (UFPs), and filtered air (FA). Briefly, ambient air was drawn through an aluminum duct into the FACETS (Versatile Aerosol Concentration Enrichment System) and delivered to whole-body exposure chambers. The FP and UFP aerosol concentrations delivered 0.01- to 2.5-μm and 0.01- to 0.18-μm aerosols, respectively (Table). The FP atmosphere included sub-18 μm particles that were ~40% fewer particles than in the UFP chamber. Temperature and airflow were controlled to ensure adequate ventilation and minimize buildup of animal-generated dander, ammonia, CO₂, and thermal stress. Mobilization of mice between the Hazleton chamber and the exposure chambers was performed over the shortest time period possible to limit the exposure to ambient air PM in the trailer. CAP number concentrations were measured with a TSI 3022 Condensation Particle Counter, and particle mass concentration was assessed with a DataRAM Model DK-2000.

Particle mass concentration and elemental CAP composition were measured by particle collection on 37-mm Teflon filters (PTFE 2-μm pore, Gelman Science, Ann Arbor, Mich). Concentrations of inorganic ions (sulfate and nitrate), elemental carbon, organic carbon (OC), polycyclic aromatic hydrocarbon (PAH) content, and particle-bound trace elements and metals was performed as previously described.

Statistical Analysis

All data were expressed as means±SEM unless indicated otherwise. Differences between experimental groups were analyzed by 1-way ANOVA with a 1-tailed Fisher protected least-significance difference (PLSD) post hoc analysis test. Differences were considered statistically significant at P<0.05.
Results

UFP Exposures Are Enriched in OC Substances Such As PAHs

Six-week-old male apoE-null mice were exposed in a mobile inhalation toxicology laboratory in downtown Los Angeles to CAPs in the size range of <2.5 μm (FP exposures) or <0.18 μm (UFP exposures). Controls consisted of mice exposed to FA (Figure 1A). Animals were simultaneously exposed to UFPs, FPs, and FA for a total of 75 hours over a 40-day time period while being kept on a chow diet. The atmospheric conditions and particle characteristics in the FP and UFP chambers are summarized in the Table. Because the FP atmosphere included particles of <0.18 μm (UFPs) that accounted for up to 85% of the total particle number, the actual number of these sub-0.18 μm particles was ≈44% greater in the UFP chamber (Table), despite a total UFP mass that was approximately one-quarter of the FP mass. Assuming a roughly spherical shape for the particles, this 44% increase in sub-0.18 μm particle numbers in the UFP chamber translates into an ≈2-fold increase in the particle surface area. This was also accompanied by an ≈2-fold increase in fractional OC content (Figure 1B and 1C), which is theoretically more bioavailable than the smaller organic fraction on FPs (Figure 1B). Thus, the increased particle number, greater surface area, and higher fractional carbon composition could combine to deliver a much higher biological effective dose of the injurious components in the UFP compared with the FP chamber. In fact, measurement of a set of signature PAHs in filter samples that were collected concurrently with the CAP exposures, demonstrated that the PAH content of the UFPs was roughly twice as high as the FP content when corrected for a per mass basis (Figure 2). Although there is no definitive evidence that PAHs are those responsible for adverse cardiovascular effects, we have previously demonstrated that their abundance is a good proxy for the prooxidant potential of PM.13

UFP Exposure Promotes Atherosclerosis

Exposure to the UFP atmosphere for 75 hours over a 40-day interval resulted in 55% greater aortic atherosclerotic lesion development (33 011±3741, n=15) as compared with FA controls (21 362±2864, n=14; P=0.002) (Figure 3). Exposure to the FP atmosphere resulted in a similar trend but of lesser magnitude (P=0.1). Interestingly, UFP mice exhibited a 25% increase in atherosclerotic lesions in comparison with FP mice (26 361±2275, n=16, P=0.04), which suggests that the smallest particles are indeed more proatherogenic.

Histological analysis revealed that lesions were predominantly comprised of macrophage infiltration with intracellular lipid accumulation (foam cells) (Figure 4). These cells contributed, on average, >85% of the total lesional area in all the groups (supplemental Table 1). UFP-exposed animals developed more extensive as well as thicker atherosclerotic plaques that showed the same relative abundance of macrophages and smooth muscle cells, as determined by MOMA-2 and α-actin immunohistochemical staining (Figure 4 and supplemental Table 1).

Exposure to Ambient CAPs Results in Loss of HDL Antiinflammatory Properties

FP but not UFP exposures resulted in a small but significant increase in plasma total cholesterol in comparison to other groups (supplemental Table II). Although all animals displayed similar levels of plasma HDL cholesterol (supplemental Table II), we did observe a change in HDL antiinflammatory properties. This was demonstrated by comparing the antiinflammatory protective capacity of HDL against LDL-
induced chemotaxis (Figure 5). Plasma HDL from both FP and UFP animals exhibited significantly less protective effect than HDL from the FA group (Figure 5). Moreover, the antiinflammatory effect of HDL from the UFP group was significantly decreased compared with the FP group. These results are in good agreement with the extent of vascular lesions in the different animal groups, suggesting that a PM-induced decrease in the HDL antiinflammatory protective capacity could contribute to atherogenesis.

UFP Exposure Leads to the Expression of Systemic Biomarkers of Oxidative Stress and Activation of the Unfolded Protein Response

One of the major mechanistic hypotheses regarding PM injury is the ability of the particles to induce ROS production and oxidative stress. To probe for the presence of oxidative stress, we explored whether CAP exposure could result in lipid peroxidation in the liver. We observed statistically significant increases in the hepatic malondialdehyde (MDA) levels in the UFP compared with the FA group ($P < 0.02$) (Figure 6). FP mice also demonstrated increases in lipid peroxidation compared with the FA group ($P < 0.03$). These data suggest that CAP exposure leads to systemic oxidative stress.

We also explored whether differences in lipid peroxidation were accompanied by phase II antioxidant responses that are mediated via the p45-NFE2–related transcription factor 2, Nrf2.11 This constitutes one of the most sensitive oxidative stress effects that can be traced to prooxidative PM in vitro and in vivo.11,20 UFP mice exhibited a significant increase in the expression of Nrf2 as well as genes that are secondarily regulated by this transcription factor (Figure 7). Indeed, UFP mice displayed Nrf2 mRNA levels that were 68% greater than FA and FP mice ($P < 0.01$). Likewise, as compared with the FA group, UFP mice displayed significantly greater levels of catalase (3.7-fold), glutathione S-transferase Ya (5.3-fold), NAD(P)H-quinone oxidoreductase 1 (1.8-fold), and superoxide dismutase 2 (1.4-fold) (Figure 7). Interestingly, increased tissue oxidative stress was also accompanied by the activation of the unfolded protein response.
of the unfolded protein response in the liver because the UFP-exposed mice displayed 41% and 37% greater expression of activating transcription factor 4 than FP mice (P=0.01) and FA controls (P=0.02) (Figure 7).

Discussion

We demonstrate that atherosclerotic plaque formation in apoE-null male mice is enhanced by exposure to sub-0.18 μm particles. Mice exposed to UFPs alone exhibited greater and more advanced lesions compared with FA- or FP-exposed animals. UFP mice also showed a comparatively greater decline in the antiinflammatory capacity of plasma HDL as well as increased phase II enzyme mRNA expression in the liver. These results support the hypothesis that exposure to UFPs may enhance atherosclerosis via the promotion of systemic prooxidant and proinflammatory effects.

Our study significantly extends previous data showing that PM potentiates atherosclerotic lesion development in animals. The fact that FP mice displayed a nonstatistically significant trend to develop more atherosclerotic lesions than FA controls could be attributable to the relatively short duration of our exposure (40 days), which stands in contrast to the 5- to 6-month exposure period that was previously used to demonstrate a 45% to 58% increment in atherosclerotic lesion development during PM exposure. Of interest, our UFP animals exhibited a similar 55% increment over FA controls despite an exposure duration that was 4 to 5 times shorter, indicating the greater proatherogenic toxicity of sub-0.18 μm particles. This supports the notion that the adverse cardiovascular effects of PM are exaggerated by a small particle size.

A number of injury mechanisms have been proposed to explain the adverse health effects of PM, including its ability to stimulate oxidative stress and inflammation, alter blood clotting, stimulate autonomic nervous system activity, or act as a carrier for endotoxin. A key injury mechanism appears to be the generation of inflammation as a direct consequence of the ability of ambient particles and their adsorbed chemicals to induce ROS and oxidative stress.

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Figure 6. UFP exposure increases liver lipid peroxidation. MDA was assessed in liver homogenates as described in Materials and Methods. Values are expressed as the means±SEM of MDA (nmol/g) in animals from the FA (n=16), FP (n=15), and UFP (n=14) groups. Statistical analysis was performed by 1-way ANOVA (1-tailed Fisher PLSD).

Figure 7. UFP exposure leads to upregulation of antioxidant genes in the liver. mRNA levels for antioxidant genes were determined by quantitative PCR in the livers of chow-fed mice exposed to CAPs for 40 days. Values are expressed as the means±SEM of mRNA levels normalized by β-actin mRNA. Ten samples per group were assayed in duplicate. Statistical analysis was performed by 1-way ANOVA (1-tailed Fisher PLSD; *P<0.05). ATF4 indicates activating transcription factor 4; GST-Ya, glutathione S-transferase Ya; NQO-1, NAD(P)H-quinone oxidoreductase 1; SOD2, superoxide dismutase 2.
systemic circulation by directly penetrating the alveolar/capillary barrier. However, this possibility is still controversial. Although reports of the systemic translocation of 99mTc-labeled ultrafine carbon particles or albumin nanocolloid particles of <80 nm have appeared in the literature, skepticism has been expressed about the stability of the labeling procedures. Moreover, the same has not been demonstrated for ambient air nanoparticles.

The particles or their chemicals may generate ROS systematically via a number of different pathways, including redox cycling of quinones, metabolism and functionalization of PAHs, activation of leukocyte NADPH oxidase and myeloperoxidase, or interference in 1-electron transfers in the mitochondrial inner membrane. It is also possible that the particles themselves or their chemical components may synergize with oxidized LDL in promoting endothelial cell dysfunction. Indeed, we have shown that ambient PM can synergize with oxidized phospholipids in the induction of a large number of genes in a human microvascular endothelial cell line, many of which belong to antioxidant, proinflammatory, unfolded protein response, or proapoptotic pathways.10 ROS generation and antioxidant responses constitute a dynamic equilibrium. The greater prooxidant stimulus delivered by the UFPs could be more prone to overwhelm the concomitant generation of a protective antioxidant response. On the other hand, it is interesting that no differences were noted between the FP and UFP exposures in the MDA assay. Although the methodology used is sensitive and specific for the determination of MDA, there are several limitations in this assay in reflecting the degree of lipid peroxidation, as reviewed by Janero et al.,29 such as: (1) MDA yield as a result of lipid peroxidation varies with the nature of the polyunsaturated fatty acids peroxidized (especially its degree of unsaturation) and the peroxidation stimulus; (2) only certain lipid oxidation products decompose to yield MDA; (3) MDA is only one of several (aldehydic) end products of fatty peroxide formation and decomposition; (4) the peroxidation environment influences both the formation of lipid-derived MDA precursors and their decomposition to MDA; (5) MDA itself is a reactive substance that can be oxidatively and metabolically degraded; (6) oxidative injury to nonlipid biomolecules has the potential to generate MDA. Thus, if FP and UFP exposures impacted these factors in a different extent, it may explain a greater degree of lipid peroxidation not reflected by the MDA measurements.

PM-induced systemic inflammation and oxidative stress could also adversely affect lipoprotein function, including interfering in the beneficiary effects of HDL on reverse cholesterol transport and the antiinflammatory effects of this lipoprotein fraction. Indeed, both FP and UFP mice exhibited the development of dysfunctional HDL, which was more severe in the latter group in terms of its proinflammatory potential (Figure 5). Such proinflammatory effects were also supported by the greater expression of activating transcription factor 4 in liver, an unfolded protein response component that we have shown to exert proinflammatory effects in endothelial cells by inducing the expression of interleukin-6, interleukin-8, and monocyte chemotactic protein 1. Likewise, we have also shown that prooxidative diesel exhaust particle chemicals induce an unfolded protein response in bronchial epithelial cells. Changes in HDL function were observed in the absence of changes on HDL quantitative levels. On the other hand, FP exposures did result in greater total cholesterol levels in the FP versus FA mice, whereas UFP levels were unaffected. These higher cholesterol levels in the FP mice may have resulted in narrowing of the differences in atherosclerosis in between FP and UFP mice that otherwise could have been larger than the 23% observed difference. Consistent with our results, it has been reported that the HDL antiinflammatory profile can be hampered by environmental factors such as the exposure to prooxidative chemicals present in cigarette smoke. For example, mice exposed to second-hand smoke develop dysfunctional HDL. A possible mechanism could be interference with paraoxonase and lecithin cholesterol acyltransferase activities by redox-active chemical compounds. In particular, prooxidative PM chemicals may affect critical thiol groups that are responsible for the catalytic activity of paraoxonase, leading to increased susceptibility to atherosclerosis.

The fact that the FP atmosphere contains both UFPs and particles of >0.18 μm makes interpretation of those data complex. However, we have shown that the 25% difference in atherosclerotic lesion scores could be explained by the 44% increase in UFP particle number (Table and Figure 3). Total particle mass was clearly not a determining factor because the FP atmosphere had ≈3.9-fold greater mass than the UFP aerosol. What is likely significant is that UFPs have an ≈2-fold increase in the OC and PAH content on a per mass basis (Figures 1 and 2). It is possible that these prooxidative components could be delivered from a surface area that is twice as big in particles associated with the UFP atmosphere. Although we cannot claim that the PAHs are actually responsible for the lesion development, these organic chemical compounds are a good proxy for the prooxidative potential of UFPs.

How do our experimental atmospheres relate to real life exposures? The particle numbers in our study were 2- to 6-fold higher than the in-vehicle exposures that commuters may encounter while traveling on Los Angeles freeways. It was not logistically feasible to perform detailed dose–time–response studies; this type of data will be important to obtain in future studies. Although it would clearly be advantageous to know the minimum exposure that is required for proatherogenic effects, previous epidemiological studies have shown that cardiovascular morbidity and mortality increase linearly without a threshold effect. Differences in the physiology of genetically susceptible animals and humans also have to be taken into consideration when extrapolating this work to cardiovascular disease in humans.

In conclusion, we demonstrate that UFP exposures have a higher proatherogenic potential than FP exposures. These effects could be linked to a greater propensity of UFPs to generate systemic oxidative stress and to interfere with the antiinflammatory capacity of plasma HDL. Our findings are important in explaining how ambient PM may contribute to daily total and cardiovascular mortality. Although such an association has been established previously for PM10 and PM2.5, we demonstrate that UFP exposure could be of
even greater relevance. Further epidemiological and experimental data collection are required to determine the critical physicochemical and toxicological properties of UFPs in humans.

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Disclosures

None.

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