

1 **An observation-based investigation of nudging in WRF for**
2 **downscaling surface climate information to 12-km grid**
3 **spacing**

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17 **Abstract**

18 Previous research has demonstrated the ability to use the Weather Research and Forecasting
19 (WRF) model and contemporary dynamical downscaling methods to refine global climate
20 modeling results to a horizontal grid spacing of 36 km. Environmental managers and urban
21 planners have expressed the need for even finer resolution in projections of surface-level weather
22 to take in account local geophysical and urbanization patterns. In this study, the WRF model as
23 previously applied at 36-km grid spacing is used with 12-km grid spacing with one-way nesting
24 to simulate the year 2006 over the central and eastern United States. The results at both
25 resolutions are compared to hourly observations of surface air temperature, humidity and wind
26 speed. The 12- and 36-km simulations are also compared to precipitation data from three
27 separate observation and analysis systems.

28 The results show some additional accuracy with the refinement to 12-km horizontal grid
29 spacing, but only when some form of interior nudging is applied. A positive bias in precipitation
30 found previously in the 36-km results becomes worse in the 12-km simulation, especially
31 without the application of interior nudging. Model sensitivity testing shows that 12-km grid
32 spacing can further improve accuracy for certain meteorological variables when alternate physics
33 options are employed. However, the strong positive bias found for both surface-level water
34 vapor and precipitation suggests that the WRF model as configured here may have an
35 unbalanced hydrologic cycle that is returning moisture from land and/or water bodies to the
36 atmosphere too quickly.

38 **1. Introduction**

39 Many previous efforts to estimate future climate on finer scales have employed dynamical
40 downscaling where coarsely-resolved global-scale climate simulations were used to provide
41 temporal and spatial boundary information for fine-scale meteorological models (Giorgi 1990).
42 A climate downscaling study was recently conducted using the Weather Research and
43 Forecasting (WRF) model (Skamarock et al. 2008) on a nested 108-/36-km modeling grid (Otte
44 et al. 2012; Bowden et al. 2013). These studies demonstrated some optimization of the WRF
45 model in this regard by using the NCEP-Department of Energy Atmospheric Model
46 Intercomparison Project (AMIP-II) Reanalysis data (Kanamitsu et al. 2002) as a surrogate for
47 global climate model information and then comparing the WRF model outputs to finer-scale re-
48 analysis products. The use of historical meteorological data to provide forcing fields for the
49 dynamical modeling and to provide data with which to evaluate the results is the only way to test
50 dynamical climate downscaling methods since there are no future observations with which to
51 evaluate downscaling results from future climate simulations.

52 While the previous dynamical downscaling at 108-km and 36-km grid spacing was
53 successful in providing added detail and accuracy, environmental managers and urban planners
54 have expressed a desire for future climate projections at even finer scales. By taking into
55 account the effect of local geophysical features on surface air temperature, humidity, wind and
56 precipitation, fine-scale dynamical downscaling has the potential to provide more useful
57 information to guide local officials in their climate change adaptation efforts.

58 To take the previous downscaling effort one step further, this work applies one-way nesting
59 in WRF to provide information on a 12-km horizontal grid for calendar year 2006. This study
60 period was chosen based on the availability of over 11 million hourly observations of surface

61 temperature, water vapor mixing ratio and wind speed with which to evaluate model
62 performance. We restricted our simulations to one year to allow testing of various model
63 configurations with regard to interior nudging type and nudging strength. Longer-term (~20 yr)
64 simulations are anticipated based on the results of this study. In the course of our investigation
65 we also tested some alternate physics options. The WRF model was applied in three modes.
66 The first is the standard WRF application where the simulation is constrained only by the
67 provision of meteorological data at the lateral boundaries and surface conditions (e.g.,
68 topography, land surface type, sea-surface temperatures). For the other two modes, internal
69 forcing of meteorological variables is also applied. This internal forcing, also called interior
70 nudging, is applied in two different ways, “analysis nudging” and “spectral nudging”. As in Otte
71 et al. (2012), the basis for all interior nudging was the AMIP-II reanalysis data with
72 approximately 200-km horizontal grid spacing, hereafter referred to as the R-2 data.

73 While analysis nudging on a fine grid based on coarser information is known to damp high-
74 resolution features desired from the fine-scale simulation (Stauffer and Seaman, 1994), analysis
75 nudging was found to be generally superior to spectral nudging at the 36-km scale when
76 appropriate nudging coefficients were chosen to adjust the strength of the nudging force in the
77 WRF governing equations (Otte et al. 2012). This study investigates further adjustments to those
78 coefficients for 12-km WRF applications. Spectral nudging, when applied with appropriate
79 options for the 12-km WRF domain, should not damp high resolution features in the 12-km
80 simulation the way analysis nudging can. This study also investigates adjustments to the spectral
81 nudging strength coefficients to achieve optimal performance.

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83 **2. Model Description**

84 The WRF-ARW model version 3.3.1 (WRF) was used in a number of different
85 configurations as outlined in Table 1. All simulations were initialized at 0000 UTC 2 December
86 2005 to provide a 30-day spin-up time before the calendar year 2006 test period. The model was
87 run continuously through 0000 UTC 1 January 2007 with no re-initialization. The 108- and 36-
88 km horizontal domains used in Otte et al. (2012) and the 12-km domain used here are shown in
89 Fig. 1. WRF was run on the 12-km domain with the same 34-layer configuration and 50 hPa
90 model top used in Otte et al. (2012). Initial and lateral boundary data were derived from their
91 36-km analysis-nudged (“AN”) simulation using standard WRF input data processing software
92 with a one-hour update interval for the lateral boundaries. The input data for the lower boundary
93 and for interior nudging (when applied) were the global T62 Gaussian analyses from the R-2
94 data which provide a six-hour history interval.

95 Regarding the lower boundary definitions, we noticed an issue with inland lake surface
96 temperatures similar to that was recently described by Gao et al. (2012). Unrealistic
97 discontinuities in temperature between inland lakes and their surrounding land surfaces were
98 produced from the water surface temperature data available from the R-2 analysis. When inland
99 lakes are far removed from the closest sea-surface temperature data available in the lower
100 boundary input file, WRF normally uses a nearest-neighbor approach to estimate their surface
101 skin temperature. The R-2 data resolve the five Great Lakes with only three data points, and all
102 other inland lakes in our 12-km WRF domain are not resolved at all. An alternative method for
103 setting inland lake water temperatures was tested (“alternate lakes” cases in Table 1) whereby 2-
104 m air temperatures from R-2 were averaged over the previous month and used to set inland lake
105 surface temperatures. This alternate lakes method was applied without any nudging and with
106 spectral nudging. In neither case were we able to simulate realistic lake surface temperatures and

107 ice cover. The Great Lakes could be better resolved by higher-resolution global climate models
108 or corresponding reanalysis products, but smaller inland lakes will continue to remain
109 unresolved. We believe that adding a capability in WRF to realistically simulate the exchanges
110 of energy between inland lakes and the atmosphere above could significantly improve future
111 fine-scale dynamical downscaling efforts.

112 In regard to the WRF physics options used in this study, we generally used the same options
113 as did Otte et al. (2012). These include the Rapid Radiative Transfer Model for Global climate
114 models (RRTMG; Iacono et al. 2008) for longwave and shortwave radiation, the Yonsei
115 University planetary boundary layer (PBL) scheme (Hong et al. 2006), and the Noah land-
116 surface model (Chen and Dudhia 2001). Soil temperature and moisture in the land-surface model
117 were initialized by interpolating from the 36-km parent domain via the WRF “ndown” program.
118 For this study, the initialization time was 18 years into the 36-km simulation. We also used the
119 WRF single-moment 6-class microphysics scheme (Hong and Lim 2006) in most of the 12-km
120 simulations, but instead applied the Morrison double-moment scheme (Morrison et al. 2009) in
121 two separate sensitivity tests as indicated in Table 1. We also used the Grell-3 convective
122 parameterization scheme (Grell and Dévényi 2002) in most of our 12-km simulations, but as
123 Table 1 shows, we applied the Kain-Fritsch scheme (Kain 2004) two different ways to test
124 sensitivity to sub-grid convective parameterization.

125 All simulations applied nudging towards the lateral boundary values using a 5-point sponge
126 zone (Davies and Turner 1977). Regarding interior nudging, three options were used: no
127 nudging, analysis nudging and spectral nudging. Simulation test cases for which no interior
128 nudging was used are designated with “NN”, cases where analysis nudging was used are
129 designated with “AN”, and cases where spectral nudging was used are designated with “SN”.

130 Both forms of interior nudging have been shown to reduce errors in WRF-based regional climate
131 modeling (Lo et al. 2008; Bowden et al. 2012).

132 Analysis nudging in WRF is thought to be most appropriate when the target data fields have
133 a similar spatial resolution as the model grid (Stauffer and Seaman 1990; Deng et al. 2007). In
134 this study the target data for nudging was of considerably coarser resolution than the 12-km
135 model grid. It was expected that some adjustments to the analysis-nudging coefficients used by
136 Otte et al. (2012) for their 36-km simulations might be necessary to optimize model
137 performance. In general, weaker nudging is recommended for finer-resolved model grids
138 (Stauffer and Seaman 1994). Therefore we tested the analysis-nudging technique at 12-km grid
139 spacing with nudging strengths varied between one-fourth and equal to the base values used by
140 Otte et al. (2012) in their 36-km modeling. Analysis nudging was applied to horizontal wind
141 components, potential temperature, and water vapor mixing ratio. This interior nudging was
142 only applied above the planetary boundary layer (PBL).

143 Spectral nudging (Miguez-Macho et al. 2004) differs from analysis nudging in that its effect
144 is scale selective so that fine scale features in the model simulation can be preserved. Spectral
145 nudging is based on a spectral decomposition of the same difference field (model solution versus
146 reference analysis) used in analysis nudging. By using only the longer spectral waves (lower
147 wave numbers) to reconstitute the difference field used to nudge the simulation, the effect of
148 nudging on finer-scale features in the simulation is avoided. A maximum wave number of two
149 (i.e., two full waves across the simulation domain) was selected for both horizontal dimensions
150 to account for the size of the 12-km domain and the limited resolution power of the R-2 data.
151 Spectral nudging in public releases of WRF can only be applied to the horizontal wind
152 components, potential temperature, and geopotential. There is currently no capability to apply

153 spectral nudging to water vapor mixing ratio as can be done with analysis nudging. As with our
154 analysis nudging tests, spectral nudging was only applied above the PBL in this study. The
155 scale-selective effects of spectral nudging should reduce model sensitivity to the nudging
156 coefficients. Nonetheless, sensitivity to the spectral nudging coefficients was tested with
157 simulations using one-half and twice the base values chosen for 12-km modeling.

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159 **3. Evaluation of WRF Simulations against hourly surface observations**

160 Previous dynamical downscaling to 36-km grid spacing by Otte et al. (2012) used North
161 American Regional Reanalysis (NARR) data with 32-km grid spacing to evaluate WRF
162 simulation results. For our 12-km results, more highly resolved “ground-truth” data were
163 required. Instead of using a meteorological reanalysis product, hourly observations of
164 temperature, humidity and wind speed from the NOAA Meteorological Assimilation Data Ingest
165 System (MADIS) were used. To assure data quality, we only used METAR and SAO reports
166 from the MADIS data repository. These reports provided over 11,000,000 hourly observations
167 across the 12-km WRF modeling domain during 2006. Comparisons of simulated and observed
168 data were made using the Atmospheric Model Evaluation Tool (AMET) described in Appel et al.
169 (2011).

170 The first evaluations performed were intended to gauge the improvements offered by 12-km
171 WRF modeling over the previous 36-km results. As mentioned previously, the 36-km WRF
172 results obtained with analysis nudging were deemed to be generally superior and were used in a
173 one-way nesting operation to define all lateral boundary values for the 12-km modeling. Figure
174 2 shows monthly evaluations of mean bias and mean absolute error for the parent 36-km WRF
175 simulation (36AN) and our base-case 12-km nested simulations with no interior nudging (NN),

176 with analysis nudging (AN), and with spectral nudging (SN) compared against hourly surface
177 data from MADIS. These analyses were produced with AMET which allows the area of
178 comparison to be specified in longitude and latitude space. The area specified for all AMET
179 products in this study was 25-48°N and 67-108°W, which covers the 12-km model domain to the
180 greatest extent possible. The WRF model version and physics options used in these base-case
181 12-km simulations were the same used in the previous 36-km simulation. However, it should be
182 noted that WRF version 3.3.1 was used for the present study while Otte et al. (2012) used version
183 3.2.1. Tables 2, 3 and 4 show annual evaluation statistics for temperature, water vapor mixing
184 ratio and wind speed, respectively, for all four of these WRF simulations. The equations used to
185 calculate the evaluation statistics are shown in Appendix A.

186 In general, the 12-km simulation with no interior nudging has a larger annual mean absolute
187 error than the parent 36-km simulation. However, using either analysis or spectral nudging at
188 12-km grid spacing reduces the mean absolute errors for temperature and wind speed from those
189 from the 36-km simulation. 12-km simulations with either type of interior nudging improve
190 anomaly correlation over the 36-km results in all cases, except for water vapor mixing ratio from
191 spectral nudging where the scores are the same. This improvement in 12-km accuracy when
192 WRF is applied with interior nudging is consistent with the results of Bowden et al. (2012), who
193 found that nudging on the 108-/36-km nested interior domain was beneficial. A positive bias in
194 water vapor is apparent in all runs and this bias is stronger in all of the 12-km simulations. This
195 suggests that some physics options used at 36-km grid spacing might not be optimal for 12-km
196 modeling. This issue is addressed to some degree in sensitivity tests described below.

197 Figure 3 shows spatial maps of the annual mean bias in 2-m temperature for all four test
198 cases across the latitude/longitude area of the statistical evaluations described above. The 36-km

199 parent simulation shows a positive bias in temperature over the Plains states and into the
200 northern Ohio Valley and southern Great Lakes regions. There is also an indication of positive
201 bias along the immediate coastline of the Gulf of Mexico and in Atlantic coastal areas. A
202 negative temperature bias is seen over the Appalachian and Rocky Mountain regions and over
203 the northern Great Lakes region. The 12-km simulation performed without any interior nudging
204 shows generally the same pattern in temperature bias, but the positive bias areas are diminished
205 and the negative bias areas are noticeably expanded. The analysis-nudged and spectral-nudged
206 simulations both show temperature bias patterns that are more similar to the 36-km results, with
207 a lesser shift towards negative bias than in the no-nudge case.

208 Figures 4 and 5 show similar spatial maps for bias in water vapor mixing ratio and wind
209 speed, respectively. For water vapor, the 12-km simulations all show an obvious shift towards a
210 positive bias in nearly all areas relative to the parent 36-km simulation. The areas of greatest
211 shift appear to be in the Plains and Midwest states. There is some indication that spectral
212 nudging reduces the positive bias in water vapor, but only slightly so. The analysis nudging
213 coefficient for water vapor is an order of magnitude less than the coefficient for temperature and
214 wind and water vapor is not nudged at all in the spectral method. Also, when nudging is applied
215 it is only done so above the PBL. Interior nudging does not appear to offer much help in
216 overcoming what appears to be a basic model bias toward too much moisture near the surface,
217 especially in 12-km simulations. For wind speed, there is very little change in the pattern of bias
218 between the 36-km and 12-km simulations. Figure 2 indicates a general decrease in the positive
219 bias in wind speed for all months in the 12-km simulations, more so when nudging is applied.
220 But this is poorly evident in the spatial maps of the annual mean (Figure 5). It is interesting to

221 note that the model bias is generally small in areas of the Great Plains where wind instrument
222 exposure is less likely to be a factor.

223

224 **4. Evaluation of WRF Simulations of Precipitation**

225 Because of the positive bias that was found for surface-level water vapor, we believed it was
226 important to also investigate simulated precipitation amounts. We obtained precipitation data
227 from three separate sources, gridded analyses from the Multisensor Precipitation Estimator
228 (MPE) and the Parameter-elevation Regressions on Independent Slopes Model (PRISM), and
229 site-specific data from the National Atmospheric Deposition Program's National Trends
230 Network (NTN)

231 The MPE is a precipitation analysis system developed by the NWS Office of Hydrology in
232 March 2000. It is used by National Weather Service River Forecast Centers to produce gridded
233 precipitation estimates for various hydrological applications. Observational data sources include
234 weather radar data, automated rain gauges and satellite remote sensors. We obtained "Stage IV"
235 data sets from the Earth Observing Laboratory at the National Center for Atmospheric Research
236 (<http://data.eol.ucar.edu/codiac/dss/id=21.093>). These provided hourly precipitation analyses at
237 4-km horizontal grid spacing that we re-analyzed to our 12-km and 36-km modeling domains
238 using the program "metgrid" which is part of the standard WRF Preprocessing System (WPS).
239 Specifically, we used the grid-cell average interpolator (option "average_gcell" in
240 METGRID.TBL) which is described in Chapter 3 of the online WRF User's Guide
241 (http://www.mmm.ucar.edu/wrf/users/docs/user_guide_V3/users_guide_chap3.htm). We
242 restricted our WRF evaluations based on MPE data to non-oceanic areas because of the limited
243 precipitation information available over oceans. We also restricted our evaluations of monthly

244 total precipitation to those areas where the hourly MPE data were at least 90% complete for each
245 month. Where the MPE data were not 100% complete, we scaled the monthly totals linearly to
246 100%.

247 Figure 6a shows a graph of average monthly precipitation from the WRF simulations
248 compared to the MPE data. 36-km WRF simulation results (from Otte et al. 2012) were
249 trimmed to match the 12-km modeling domain to allow for proper comparison. All of the WRF
250 simulations produced more precipitation than the MPE data indicate, with only one exception
251 being the 36-km results for October. The greatest exceedances were in the spring and summer
252 months. The 12-km simulations show higher positive bias than the 36-km case in nearly all
253 instances. The positive bias is most obvious for the no-nudge 12-km case. We also calculated
254 monthly mean absolute error versus MPE (not shown) and found only slight differences between
255 the WRF simulations. However, the 12-km cases did show slightly larger error, especially when
256 no nudging was applied.

257 The PRISM precipitation data (Daly et al., 1994) provide a second gridded analysis product
258 with which to evaluate WRF performance. These high-resolution (0.04167° lat/lon) monthly
259 precipitation data are fully documented at <http://www.prism.oregonstate.edu/docs/>. We used
260 software from the R Project for Statistical Computing (<http://www.r-project.org/>) to perform
261 area-weighted grid-to-grid mapping to upscale the PRISM data to the 12-km and 36-km
262 modeling grids. Figure 6b shows a graph of average monthly precipitation from the WRF
263 simulations compared to PRISM. Precipitation data from PRISM are only available over land
264 areas so the results in Figs. 6a and 6b both exclude oceanic areas. The PRISM results confirm
265 what was found in our comparisons to MPE. The lines showing WRF simulated precipitation in
266 Figs. 6a and 6b are nearly identical, but there are some small differences because the MPE data

267 did not cover all land areas of the 12-km WRF domain for some months. It is interesting to note
268 how similar the MPE and PRISM values are throughout the entire year. In the PRISM
269 evaluation, all WRF simulations exceeded the indicated precipitation for every month with no
270 exceptions and the exceedances were greatest during the spring and summer.

271 The NTN is described at <http://nadp.sws.uiuc.edu/ntn/>. We obtained weekly NTN
272 precipitation data at 209 sites within the 12-km WRF modeling domain. The spatial distribution
273 of NTN monitors is generally homogeneous across land areas of the 12-km WRF domain with
274 slightly higher network density in the central and eastern sections. NTN samples were grouped
275 by month based on the end of their sampling period. Most months had four weekly sampling
276 periods in this analysis, but April, July and September had five. WRF-simulated precipitation
277 was compared to NTN samples based on the exact period for each sample. We calculated the
278 mean of WRF-simulated and NTN-observed weekly totals for each month, then scaled those 7-
279 day means to match the actual number of days in each month to provide monthly average values
280 for NTN that could be directly compared to the monthly MPE and PRISM results above. These
281 monthly totals based on the WRF-NTN comparisons are shown in Fig. 6c. Here, as with the
282 MPE and PRISM comparisons, WRF-simulated precipitation generally exceeded the observed
283 amounts with the worst excesses generally coming from the 12-km simulation with no interior
284 nudging. Because of the higher NTN station density in the central and eastern parts of the study
285 domain where more precipitation normally falls, the average monthly NTN precipitation values
286 are slightly higher than indicated for the MPE and PRISM data. But the average WRF-simulated
287 precipitation is also higher at the NTN station locations and once again the WRF results exceed
288 observations in nearly all instances. The exceedances are again especially large in the warm
289 months and more so for the 12-km WRF when no nudging is used.

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5. Testing Adjustments to Nudging Strength

The results shown above demonstrate that the physics options for WRF employed in previous dynamical downscaling to 36-km grid spacing can be used at 12-km grid spacing to provide some additional accuracy for temperature, humidity and wind speed when interior nudging is applied with reductions in nudging strength to account for finer horizontal resolution. However, the reductions we applied were rather arbitrary. To test model sensitivity to the choice of analysis-nudging and spectral-nudging coefficients, values of one-half and twice the base values were also applied.

Figure 7 shows monthly mean absolute error and mean bias for all three analysis nudging cases (ANlow,AN,ANhigh) and all three spectral nudging cases (SNlow,SN,SNhigh) for temperature, water vapor mixing ratio and wind speed. Generally, the differences in mean absolute error were quite small throughout the year, especially for wind speed. For temperature, the differences in mean absolute error are quite small throughout the year. Nonetheless, the base-value coefficients for both analysis and spectral nudging produced the lowest errors in temperature for nearly every month. However, water vapor error increased during the summer months as nudging strength increased for both nudging methods. Nudging of water vapor has been somewhat controversial because doing so adds or subtracts mass from the simulated atmosphere. For this reason, we chose our strength for analysis nudging of water vapor to be one-tenth the strength of the other variables in all cases. Nudging of water vapor is not performed at all with spectral nudging in published WRF codes. Nonetheless, there are still discernible differences in the mean absolute error for water vapor between the spectral nudging cases. For wind speed, increasing the nudging strength nearly always resulted in a very small

313 increase in mean absolute error. However, this effect was so small as to be nearly undetectable
314 in Fig. 7.

315 Figure 7 shows some interesting changes in model bias as nudging strengths are changed.
316 For temperature, bias is increased with stronger analysis nudging in all months except November
317 and December. Model biases were already positive in all months except June, so stronger
318 analysis nudging generally degraded the temperature results. This could indicate a positive bias
319 in the R-2 temperature data the model is being nudged towards. Temperature bias was only
320 slightly affected by changes in the strength of spectral nudging with no definite relation of
321 nudging strength to bias correction. The positive model bias in water vapor mixing ratio is
322 improved by stronger analysis nudging and by stronger spectral nudging in every month.
323 Because water vapor is directly nudged in the analysis-nudging method, we might expect to see
324 improvement from that form of nudging. However, the link between stronger spectral nudging
325 and improved bias in water vapor is not direct and suggests complex interactions of model
326 physics. Wind speed bias was improved to a small degree by stronger analysis nudging, but
327 changes to spectral nudging strength had little effect.

328 We also tested the effect of nudging strength on the amount of precipitation simulated by the
329 12-km WRF. Figure 8a shows the average monthly total precipitation for all 12-km WRF model
330 cells over land when analysis nudging strength is varied up and down by a factor of two. Figure
331 8b shows similar results for spectral nudging. The 12-km precipitation behavior is much more
332 sensitive to changes in the strength of analysis nudging than spectral nudging. The strongest
333 analysis nudging reduces the simulated precipitation by about 5 to 10% with the greatest effect in
334 the spring and summer months. Variations in the strength of spectral nudging have little effect in
335 any month. Unlike analysis nudging, spectral nudging is designed to preserve smaller-scale

336 features of the simulation. The lack of sensitivity to spectral nudging strength suggests that the
337 positive precipitation bias is due more to smaller-scale phenomena. Analysis nudging strength
338 has its greatest effect on precipitation amount in the spring and summer when convection is more
339 dominant. The evidence here points to small-scale circulations and convection being a critical
340 component to the large positive bias in precipitation simulated by the 12-km WRF.

341

342 **6. Testing alternate physics options**

343 Because of the positive biases found in both water vapor and precipitation, we wanted to see
344 if alternate choices for convective parameterization and cloud microphysics might reduce these
345 biases. The tests we conducted are in no way conclusive, but a brief discussion of their results
346 are worthy of presentation.

347 Our physics options based on the previous 36-km modeling included use of the Grell-3 sub-
348 grid convection scheme. To test model sensitivity to this choice, we conducted simulations with
349 and without spectral nudging using the Kain-Fritsch (K-F) scheme instead. The differences we
350 found in mean absolute error and mean bias for temperature, water vapor and wind speed were
351 all quite small. The strong positive biases in water vapor and precipitation remained. Alapaty et
352 al. (2012) identified a weakness in many convective parameterization schemes where the effects
353 of sub-grid convective clouds on radiation are not taken into account. Their treatment for the
354 radiative effects of sub-grid convection significantly reduced simulated precipitation. Our
355 research group at the U.S. EPA is also working to modify convective parameterizations in other
356 ways so as to be applicable at finer scales where current formulations may not be appropriate and
357 may be contributing to the type of positive precipitation bias we found here. In the future, we
358 plan to test these developing techniques for 12-km dynamical downscaling with WRF.

359 The WRF configuration for the previous work at 36-km grid spacing and for the base case
360 12-km simulations performed here used the WRF Single-Moment 6-Class microphysics scheme.
361 To test model sensitivity, we instead applied the Morrison Double-Moment scheme with and
362 without spectral nudging. We found mixed results in terms of model error and bias. There was a
363 reduction in surface temperature during the warmer months (May through September) which led
364 to a negative bias and a general increase in model error. During these same warm months we
365 found a decrease in water vapor which reduced model error and bias for that variable.

366 Obviously, there are other WRF model options that could influence the simulation of water
367 vapor and precipitation (e.g., land surface model, radiation model). Correcting the positive bias
368 in water vapor and precipitation that we found in nearly all of our 12-km WRF simulations will
369 likely require a follow-on investigation of the entire hydrologic cycle as it is simulated by all
370 model processes.

371

372 **7. Summary**

373 This work has applied a dynamical downscaling technique previously developed for WRF at
374 36-km horizontal grid spacing to a finer 12-km grid. Our one-way nesting technique does
375 provide more accurate information for surface-level temperature and wind speed as long as
376 proper adjustments are made to the interior nudging coefficients. Water vapor and precipitation
377 remain problems to be addressed. Mean absolute error in water vapor is not so much degraded in
378 going from 36-km to 12-km grid spacing as is the mean bias which becomes more positive.
379 Stronger interior nudging of either type, analysis or spectral, can provide some improvement to
380 the positive bias in water vapor at the surface. Stronger analysis nudging can reduce the positive
381 bias in precipitation, but stronger spectral nudging does not have much effect. The overall

382 optimum adjustments depend somewhat on the time of year and meteorological variables of most
383 interest, but the base nudging strengths chosen for this study were found to be generally
384 appropriate when both mean absolute error and mean bias are considered. The evaluation
385 against observations demonstrates that interior nudging is required in order to provide additional
386 accuracy from downscaling to 12-km grid spacing.

387 Optimum simulation of water vapor mixing ratio and precipitation in 12-km simulations may
388 require a change in physics options from those applied previously with 36-km grid spacing.
389 Previously identified positive biases in water vapor and precipitation from 36-km WRF
390 simulations (Otte et al., 2012) became more pronounced in our 12-km simulations when the
391 same physics options were used. Changing to an alternate convective parameterization scheme
392 had little effect on precipitation bias. We suspect that at this finer horizontal resolution, some
393 larger convective elements in the atmosphere may be resolvable by the model and sub-grid
394 convective parameterizations might be accounting for their precipitation a second time. But
395 investigation of this conjecture is beyond the scope of this study. Besides, surface-level water
396 vapor was also positively biased. We are left with a sort of “chicken or egg” conundrum. Which
397 came first, too much water vapor or too much precipitation? Understanding why our surface-
398 level water vapor and precipitation are both too high requires an investigation of the entire
399 hydrologic cycle that is also beyond the scope of this study.

400 We intend to move forward with long-term (10-20 year) applications of 12-km dynamical
401 downscaling with WRF once we have addressed the issues of inland lake surface temperatures
402 and sub-grid cloud radiation effects. The required computational and data storage resources are
403 also a concern. However, more spatially refined climate projections have been identified as a
404 critical need by hydrologic and urban air quality managers.

405

406 **Appendix A. Definition of Statistics**

407 The following statistics are calculated as shown with X representing model simulation values
408 and Y representing observed values.

409

410 Correlation (Pearson):

$$\frac{\sum (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum (X_i - \bar{X})^2 \sum (Y_i - \bar{Y})^2}}$$

411

412 Mean Absolute Error:

413

414 Mean Bias:

415

416 Root Mean Squared (RMS) Error:

$$\sqrt{\frac{1}{n} \sum (Y_i - \hat{Y}_i)^2}$$

417

418 Anomaly Correlation:

$$\frac{\sum (X_i - \bar{X})(Y_i - \bar{Y})}{\sqrt{\sum (X_i - \bar{X})^2 \sum (Y_i - \bar{Y})^2}}$$

419

420

421 **Acknowledgments**

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424 review and approved for publication.

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426 the presentation of our research findings.

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490 Table 1. Specifications for all 12-km WRF test simulations conducted.

491

| Case Name | Nudging Type | Nudging Coefficient (sec ⁻¹) | | | | Spectral wave number | |
|--------------------|--------------|--|----------------------|--------------------------|----------------------|----------------------|---|
| | | Potential Temperature | U,V wind components | Water Vapor Mixing Ratio | Geopotential Height | X | Y |
| Base NN | None | - | - | - | - | - | - |
| Base AN | Analysis | 5.0×10^{-5} | 5.0×10^{-5} | 5.0×10^{-6} | - | - | - |
| Base SN | Spectral | 1.0×10^{-4} | 1.0×10^{-4} | - | 1.0×10^{-4} | 2 | 2 |
| Base AN Low | Analysis | 2.5×10^{-5} | 2.5×10^{-5} | 2.5×10^{-6} | - | - | - |
| Base AN High | Analysis | 1.0×10^{-4} | 1.0×10^{-4} | 1.0×10^{-5} | - | - | - |
| Base SN Low | Spectral | 5.0×10^{-5} | 5.0×10^{-5} | - | 5.0×10^{-5} | 2 | 2 |
| Base SN High | Spectral | 2.0×10^{-4} | 2.0×10^{-4} | - | 2.0×10^{-4} | 2 | 2 |
| Alternate Lakes NN | None | - | - | - | - | - | - |
| Alternate Lakes SN | Spectral | 1.0×10^{-4} | 1.0×10^{-4} | - | 1.0×10^{-4} | 2 | 2 |
| Morrison NN | None | - | - | - | - | - | - |
| Morrison SN | Spectral | 1.0×10^{-4} | 1.0×10^{-4} | - | 1.0×10^{-4} | 2 | 2 |
| Kain-Fritsch NN | None | - | - | - | - | - | - |
| Kain-Fritsch SN | Spectral | 1.0×10^{-4} | 1.0×10^{-4} | - | 1.0×10^{-4} | 2 | 2 |

492

493 Table 2. Annual Evaluation Statistics for Temperature (K)

| | 36-km AN | 12-km NN | 12-km AN | 12-km SN |
|---------------------|----------|----------|----------|----------|
| Correlation | 0.9660 | 0.9601 | 0.9690 | 0.9692 |
| Mean Absolute Error | 2.2121 | 2.3452 | 2.0752 | 2.0543 |
| Mean Bias | 0.6287 | 0.2146 | 0.4052 | 0.2968 |
| RMS Error | 2.9017 | 3.0574 | 2.7260 | 2.7021 |
| Anomaly Correlation | 0.9644 | 0.9599 | 0.9683 | 0.9688 |

494

495

496 Table 3. Annual Evaluation Statistics for Water Vapor Mixing Ratio (g/kg)

| | 36-km AN | 12-km NN | 12-km AN | 12-km SN |
|---------------------|----------|----------|----------|----------|
| Correlation | 0.9441 | 0.9396 | 0.9520 | 0.9477 |
| Mean Absolute Error | 1.1932 | 1.3029 | 1.2014 | 1.2021 |
| Mean Bias | 0.3488 | 0.6185 | 0.6277 | 0.5559 |
| RMS Error | 1.6802 | 1.8223 | 1.6831 | 1.6871 |
| Anomaly Correlation | 0.9418 | 0.9325 | 0.9449 | 0.9418 |

497

498

499 Table 4. Annual Evaluation Statistics for Wind Speed (m/s)

| | 36-km AN | 12-km NN | 12-km AN | 12-km SN |
|---------------------|----------|----------|----------|----------|
| Correlation | 0.5890 | 0.5492 | 0.6071 | 0.5976 |
| Mean Absolute Error | 1.7036 | 1.7159 | 1.5482 | 1.6038 |
| Mean Bias | 0.8586 | 0.7233 | 0.5792 | 0.6546 |
| RMS Error | 2.2116 | 2.2362 | 2.0271 | 2.0991 |
| Anomaly Correlation | 0.5527 | 0.5238 | 0.5875 | 0.5745 |

500

501 **Figure Caption List**

502

503 FIG. 1. Modeling domains used for previous 108- and 36-km dynamical downscaling and 12-km
504 domain (d03) used for this study.

505

506 FIG. 2. Monthly evaluations of mean absolute error and mean bias for the 36-km parent
507 simulation (36AN) and the 12-km no-nudge (NN), analysis-nudge (AN) and spectral-nudge (SN)
508 simulations.

509

510 FIG. 3. Annual mean bias of 2-m temperature (C) for the 36-km parent simulation and the three
511 12-km simulations with no nudging, analysis nudging and spectral nudging.

512

513 FIG. 4. Annual mean bias of 2-m water vapor mixing ratio (g kg^{-1}) for the 36-km parent
514 simulation and the three 12-km simulations with no nudging, analysis nudging and spectral
515 nudging.

516

517 FIG. 5. Annual mean bias of 10-m wind speed (m s^{-1}) for the 36-km parent simulation and the
518 three 12-km simulations with no nudging, analysis nudging and spectral nudging.

519

520 FIG. 6. Average monthly precipitation from WRF simulations compared to observational data
521 from; (a) the Multisensor Precipitation Estimator (MPE), (b) the Parameter-elevation
522 Regressions on Independent Slopes Model (PRISM), and (c) the National Trends Network

523 (NTN). The WRF simulations are 36-km resolution with analysis nudging (36AN) and 12-km
524 resolution with no-nudging (NN), analysis nudging (AN) and spectral nudging (SN).

525

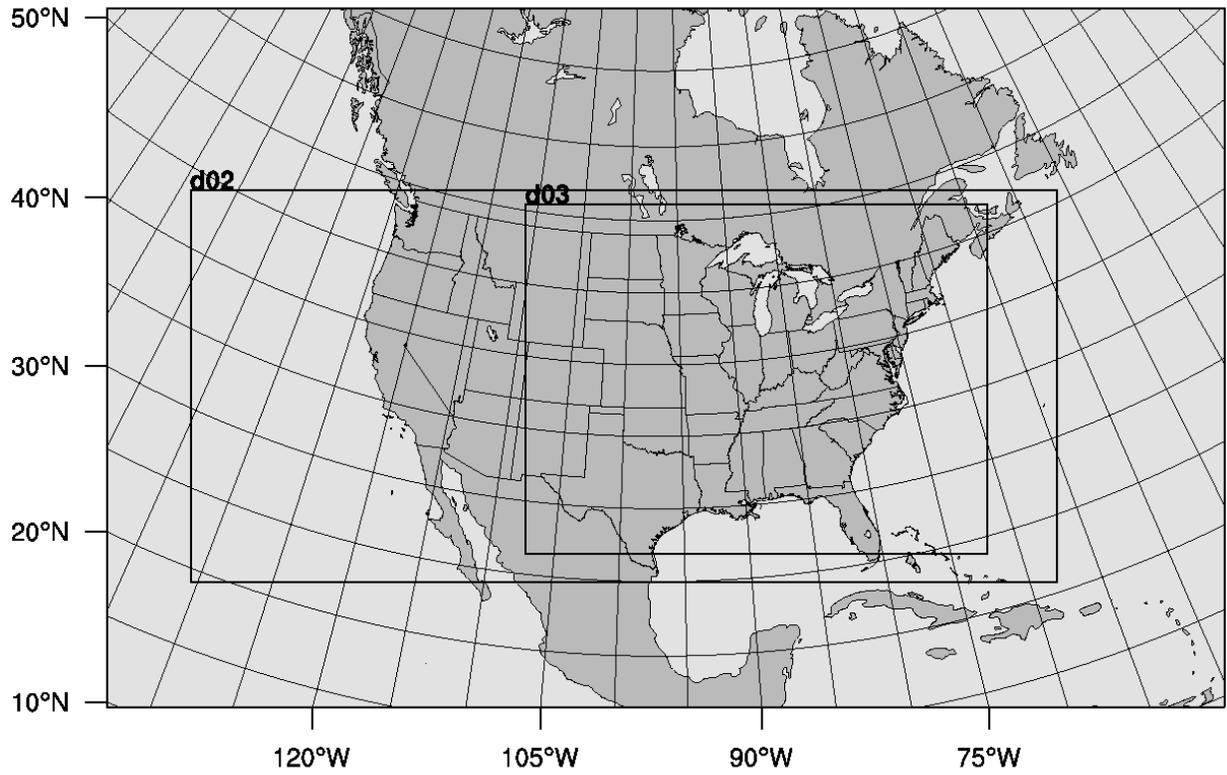
526 FIG. 7. Monthly mean absolute error and mean bias for WRF simulations testing nudging
527 strength for analysis nudging (AN) and spectral nudging (SN). Low nudging strength is one-half
528 the base value. High nudging strength is twice the base value.

529

530 FIG. 8. Average of the monthly total precipitation (mm) simulated by the 12-km WRF over land
531 with high, base, and low nudging strengths for; (a) analysis nudging, and (b) spectral nudging.

532

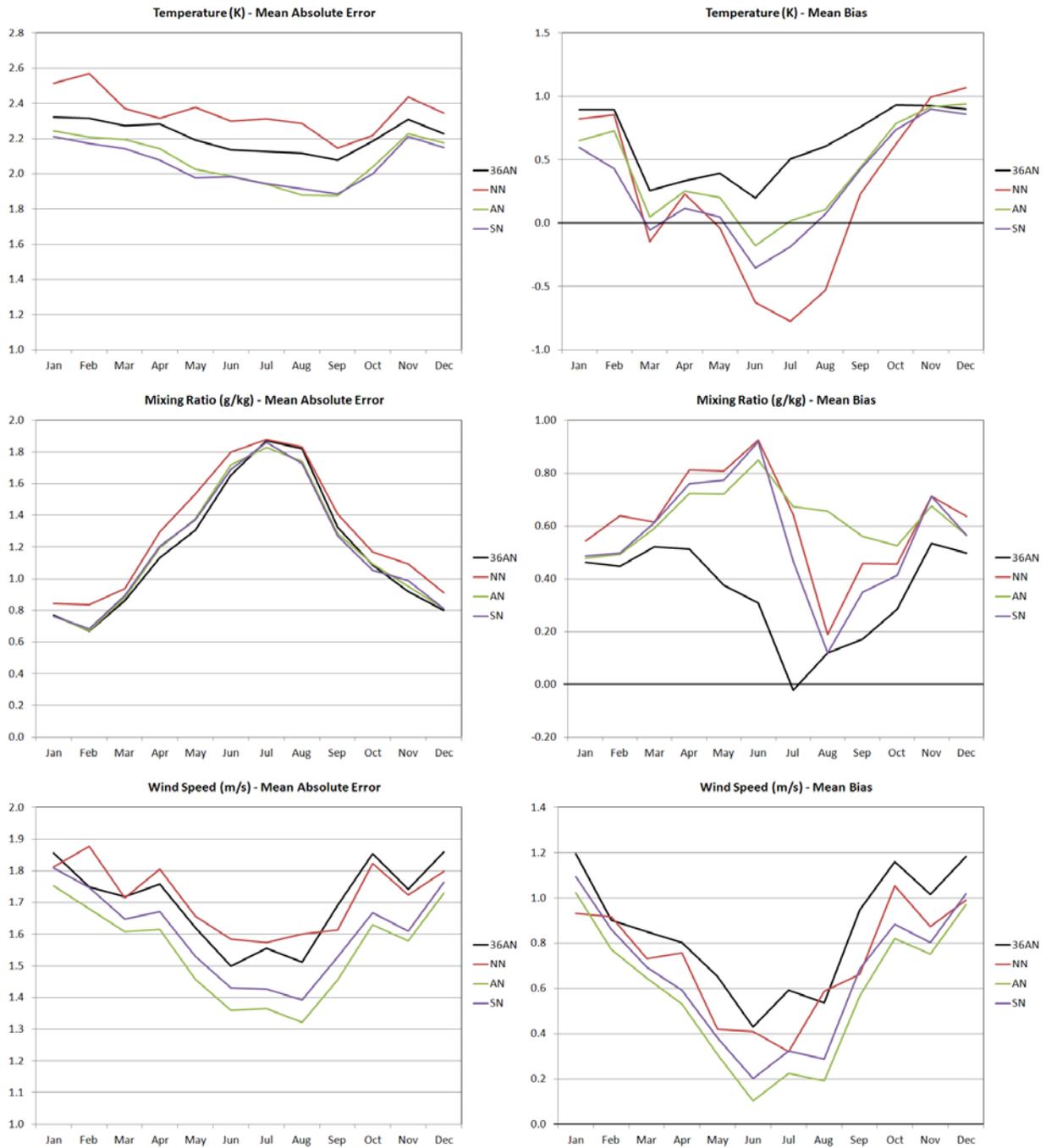
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534 domain (d03) used for this study.



535

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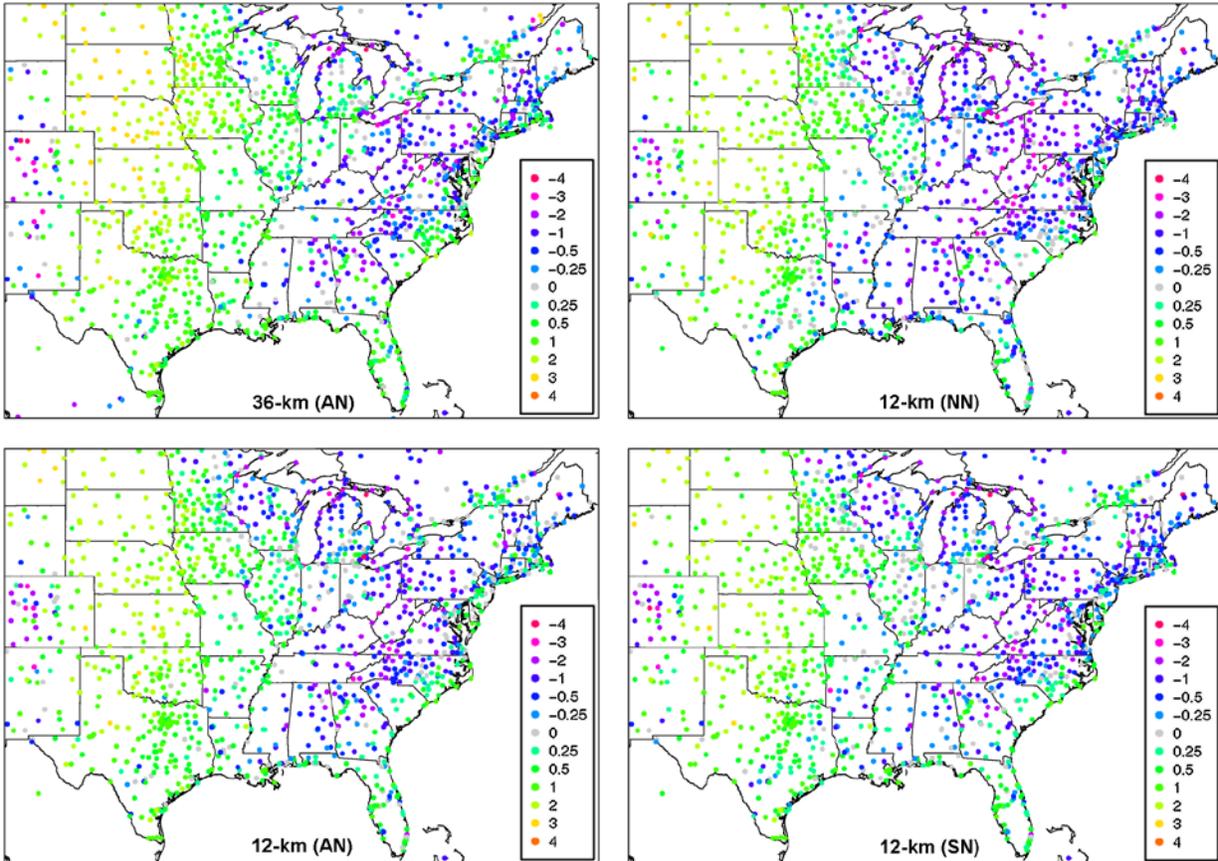
537 FIG. 2. Monthly evaluations of mean absolute error and mean bias for the 36-km parent
 538 simulation (36AN) and the 12-km no-nudge (NN), analysis-nudge (AN) and spectral-nudge (SN)
 539 simulations.



540

541

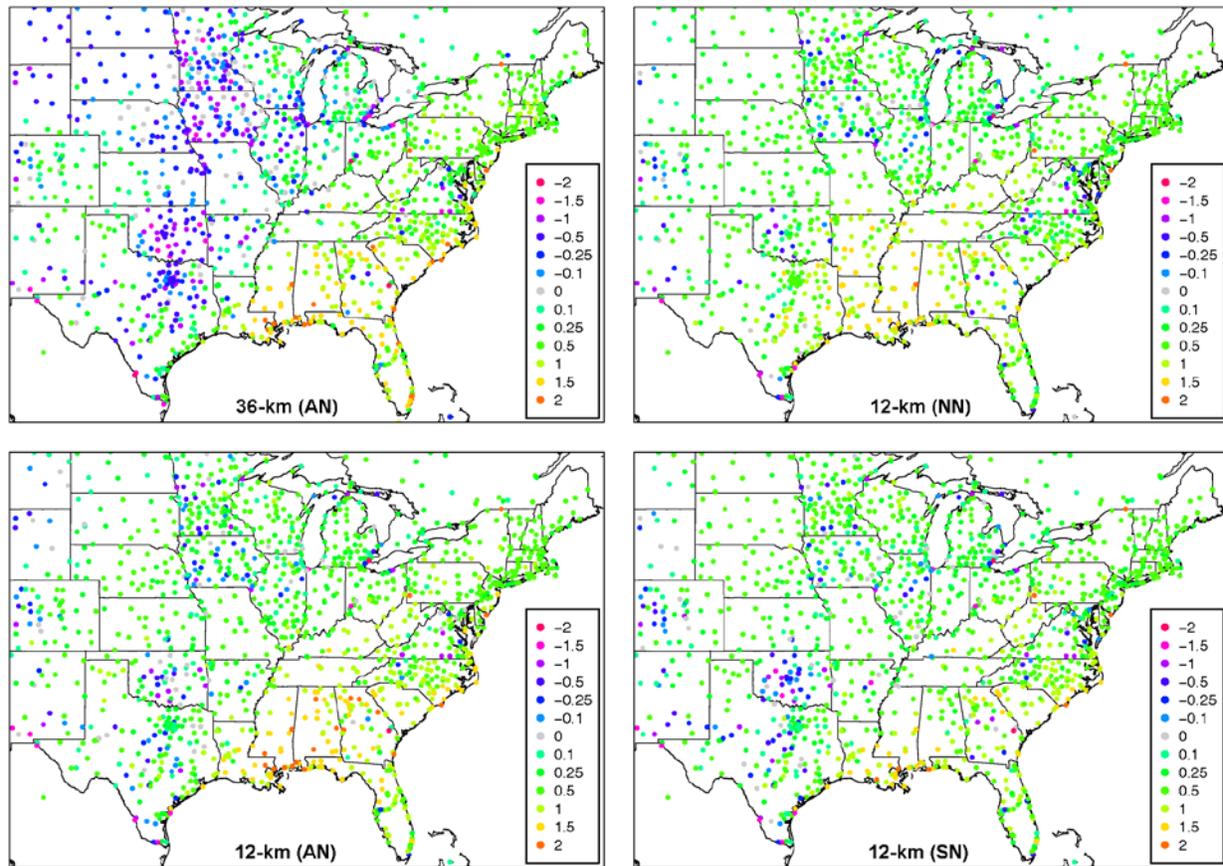
542 FIG. 3. Annual mean bias of 2-m temperature (C) for the 36-km parent simulation and the three
543 12-km simulations with no nudging, analysis nudging and spectral nudging.



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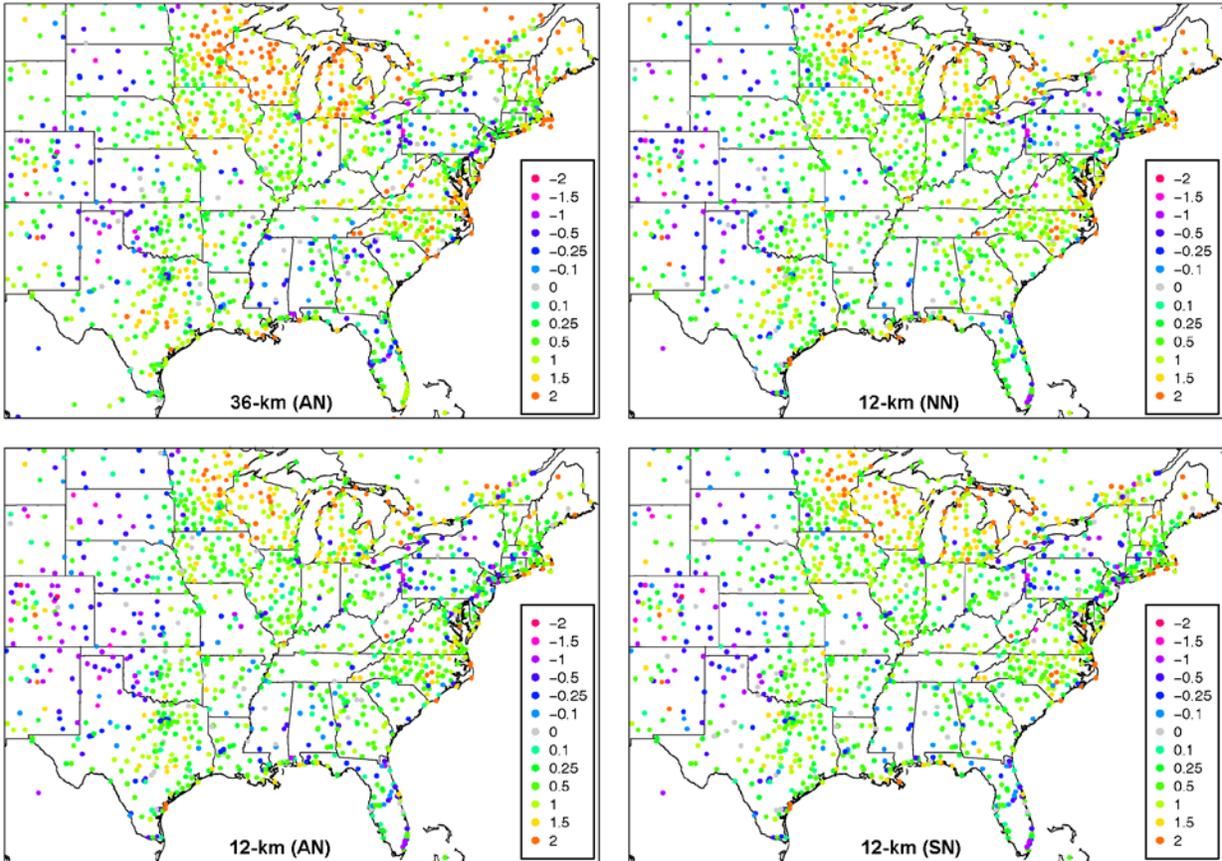
545

546 FIG. 4. Annual mean bias of 2-m water vapor mixing ratio (g kg^{-1}) for the 36-km parent
547 simulation and the three 12-km simulations with no nudging, analysis nudging and spectral
548 nudging.



549
550

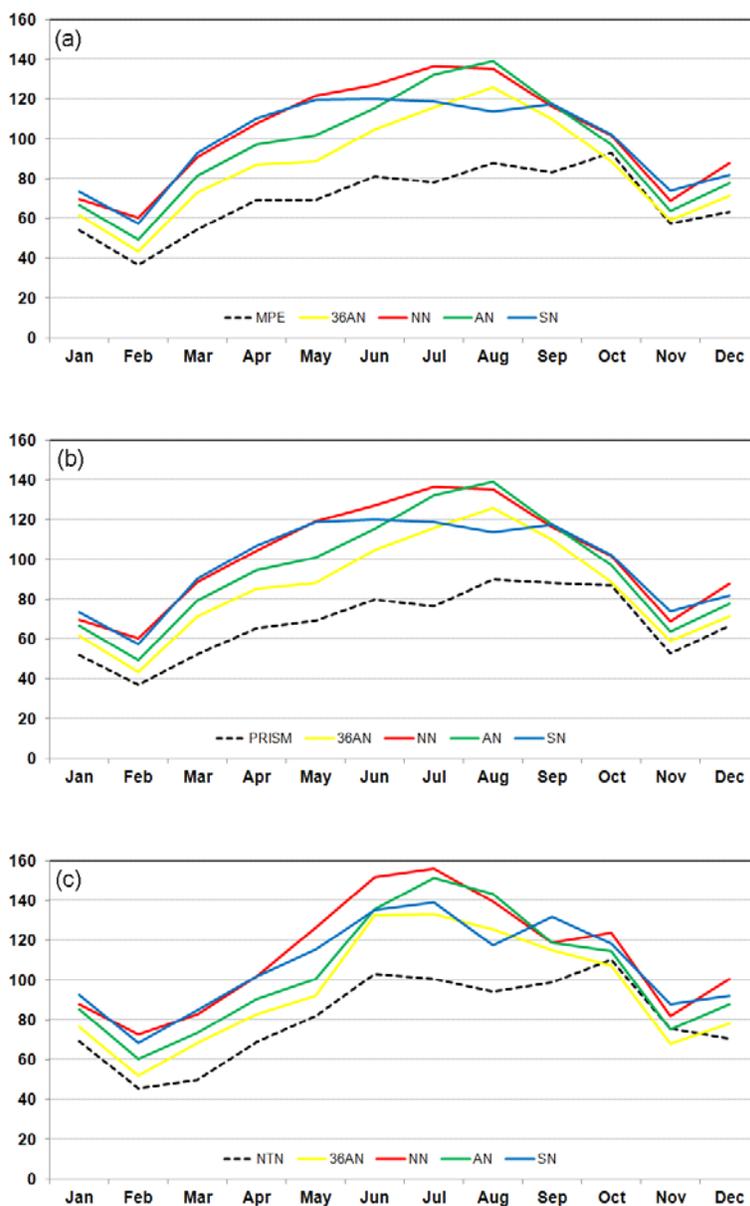
551 FIG. 5. Annual mean bias of 10-m wind speed (m s^{-1}) for the 36-km parent simulation and the
552 three 12-km simulations with no nudging, analysis nudging and spectral nudging.



553

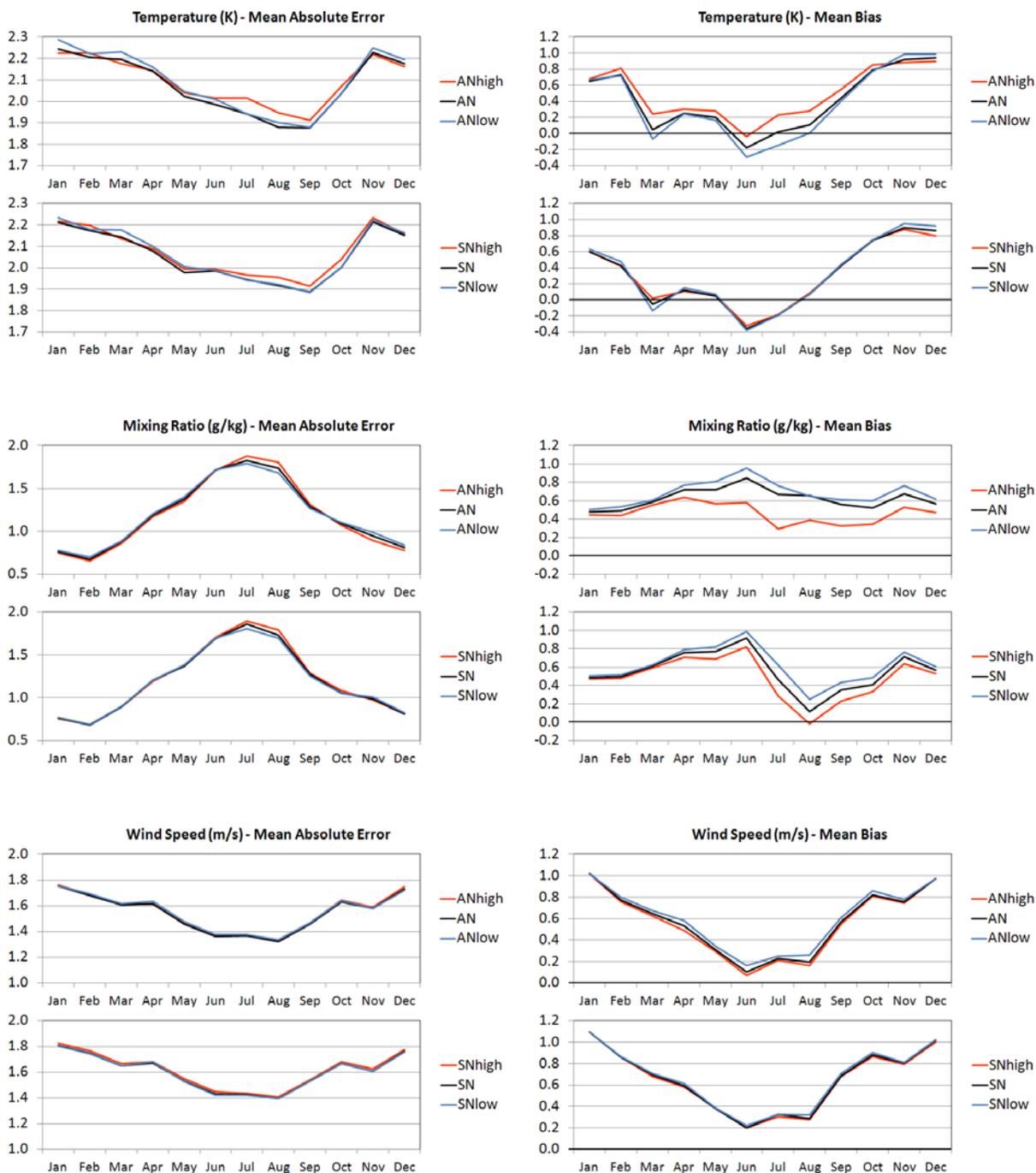
554

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 557 Regressions on Independent Slopes Model (PRISM), and (c) the National Trends Network
 558 (NTN). The WRF simulations are 36-km resolution with analysis nudging (36AN) and 12-km
 559 resolution with no-nudging (NN), analysis nudging (AN) and spectral nudging (SN).



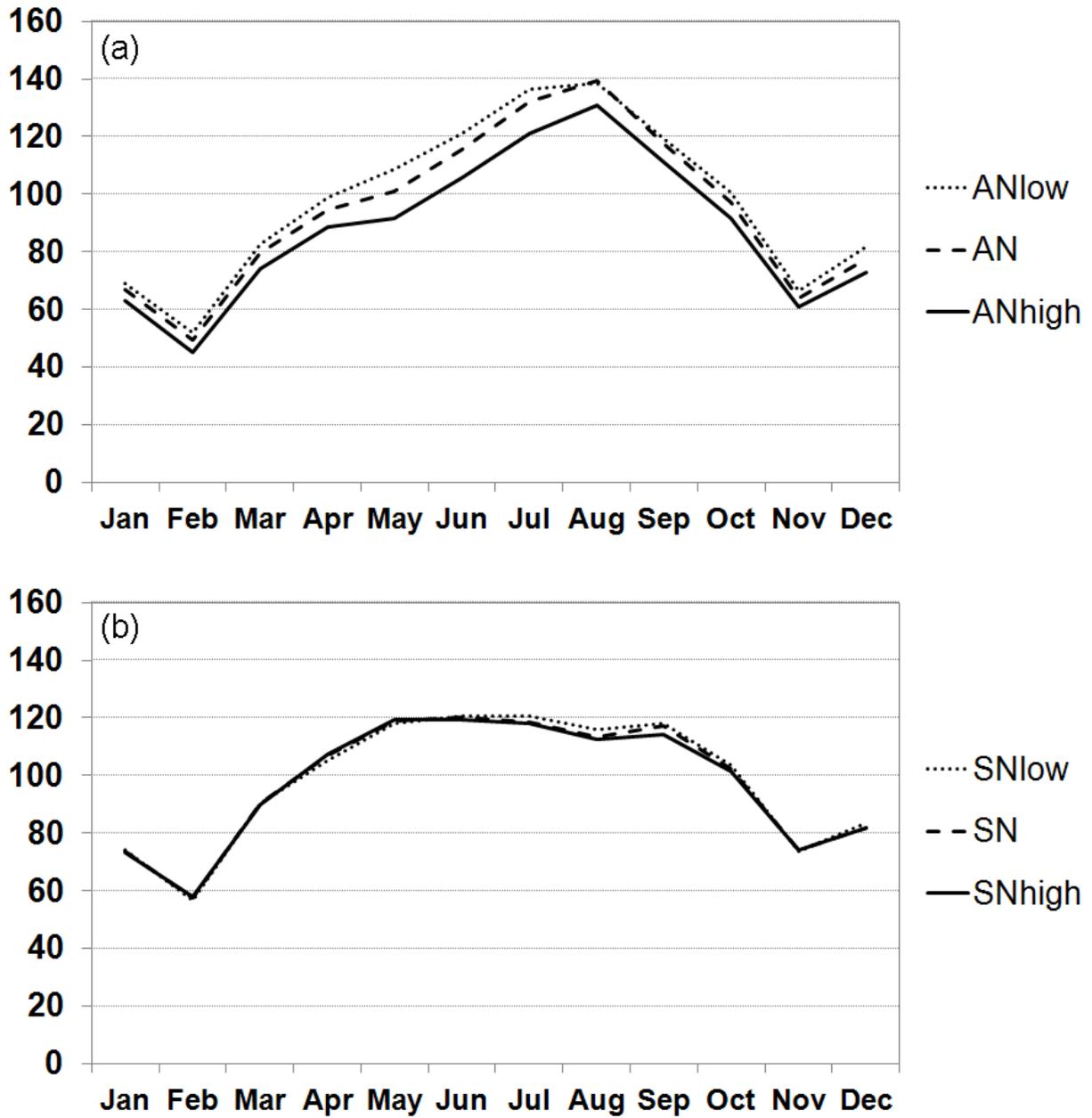
560

561 FIG. 7. Monthly mean absolute error and mean bias for WRF simulations testing nudging
 562 strength for analysis nudging (AN) and spectral nudging (SN). Low nudging strength is one-half
 563 the base value. High nudging strength is twice the base value.



564

565 FIG. 8. Average of the monthly total precipitation (mm) simulated by the 12-km WRF over land
566 with high, base, and low nudging strengths for; (a) analysis nudging, and (b) spectral nudging.



567