| 1 | Evaluation of a regional air-quality model with bi-directional |
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| 2 | NH ₃ exchange coupled to an agro-ecosystem model |
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| 14 | Abstract |
| 15 | |
| 16 | Atmospheric ammonia (NH ₃) is the primary atmospheric base and an important precursor for |
| 17 | inorganic particulate matter and when deposited NH ₃ contributes to surface water eutrophication, |
| 18 | soil acidification and decline in species biodiversity. Flux measurements indicate that the air- |
| 19 | surface exchange of NH ₃ is bi-directional. However, the effects of bi-directional exchange, soil |
| 20 | biogeochemistry and human activity are not parameterized in air quality models. The U.S. |
| 21 | Environmental Protection Agency (EPA)'s Community Multiscale Air-Quality (CMAQ) model |
| 22 | with bi-directional NH ₃ exchange has been coupled with the United States Department of |
| 23 | Agriculture (USDA)'s Environmental Policy Integrated Climate (EPIC) agro-ecosystem model. |
| 24 | The coupled CMAQ-EPIC model relies on EPIC fertilization timing, rate and composition while |
| 25 | CMAQ models the soil ammonium (NH_4^+) pool by conserving the ammonium mass due to |
| 26 | fertilization, evasion, deposition, and nitrification processes. This mechanistically coupled |
| 27 | modeling system reduced the biases and error in NH_x ($NH_3 + NH_4^+$) wet deposition and in |

- ambient aerosol concentrations in an annual 2002 Continental U.S. (CONUS) domain simulation
- 29 when compared to a 2002 annual simulation of CMAQ without bi-directional exchange.
- 30 Fertilizer emissions estimated in CMAQ 5.0 with bi-directional exchange exhibits markedly
- 31 different seasonal dynamics than the U.S. EPA's National Emissions Inventory (NEI), with
- 32 lower emissions in the spring and fall and higher emissions in July.
- 33

34 1 Introduction

35 Ammonia (NH₃) is the primary atmospheric base and an aerosol precursor (Seinfeld and Pandis,

36 1998). Atmospheric particulate matter has been shown to have adverse affects on respiratory and

- 37 cardiovascular systems (Pope, 2000) and can exacerbate preexisting respiratory and
- 38 cardiovascular conditions (Pope and Dockery, 2006). The deposition of NH₃ and ammonium

 (NH_4^+) aerosols contributes to surface water eutrophication, soil acidification, and alters the soil

40 nitrogen geochemistry (Galloway et al. 2003). Vegetation in ecosystems can be damaged *via*

41 acute toxicity of nitrogen dioxide (NO₂), NH₃, and NH₄⁺ (Sutton et al., 2011) and long term

42 nitrogen deposition has been linked to declines in species biodiversity in nutrient-poor

43 ecosystems (Duprè et al. 2010). The total ecosystem and human health costs of nitrogen

44 pollution are cumulative because nitrogen cascades through the environment with multiple

45 human health and ecosystem costs (Birch et al., 2011; Sutton et al., 2011).

46 NH₃ emissions are challenging to estimate and concentrations are difficult to measure. It is

47 critical to understand the factors that lead to episodes of poor air quality and atmospheric

48 deposition for the development of effective mitigation strategies. As climate change leads to

49 increased variability in meteorology, relying on seasonal averages as the drivers of NH₃

50 emissions estimates, as is done in most air-quality models, adds additional uncertainty to

51 simulations. It is necessary to capture the dynamic and episodic nature of NH₃ emissions,

52 including the influences of meteorology, air-surface exchange, and human activity to reduce

53 uncertainty in model scenarios of NH₃ emissions mitigation strategies, agricultural food

54 production and the effects of climate change.

55 A reduction in oxides of nitrogen (NO_x) emissions in the U.S. over the past 15 to 20 years from

56 power plants and mobile sources has been documented (Gilliland et al. 2008), but NH₃ emissions

57 remain uncertain and are expected to increase with increased livestock production and crop

58 cultivation (Reis et al. 2009). NH₃ emission inventories for regional air-quality models have been 59 developed based on annual fertilizer sales and animal density with spatial resolution at the U.S. 60 county level and monthly temporal resolution (Goebes et al., 2003, Pinder et al., 2004), and from 61 mechanistic models based on reported agricultural data and semi-empirical relationships between 62 emissions and meteorological observations with spatial resolution as fine as 50km by 50km and hourly temporal resolution (Skjøth et al. 2011). The improvements in spatial and temporal 63 64 resolution and top down inverse modeling constraints on NH₃ emissions have improved airquality models' skill regarding the estimation of NH₃ and aerosol NH₄⁺ concentrations (Skjøth 65 et al. 2011, Pinder et al. 2006, Gilliland et al. 2006). Despite these model improvements, a 66 67 systematic difference between ambient NH₃ observations and model estimates on the order of 68 30% persists (Erisman et al. 2007). Underestimation of emissions and/or the overestimation of 69 dry deposition in agricultural areas have been proposed as explanations of the systematic 70 difference between model estimates and observed NH₃ concentrations (Erisman et al. 2007). 71 Measurements have shown that the air-surface flux of NH_3 is bi-directional and the direction of 72 the flux is dependent on the land use, land management, and ambient NH₃ concentrations 73 (Fowler et al., 2009; Sutton et al. 1993a,b). Fertilizer application to agriculturally managed land 74 is characterized by NH₃ emission peaks lasting a few days (Flechard et al. 2010). Bi-directional 75 NH₃ exchange is typically observed in flux measurements, but current regional and global scale 76 air-quality models do not include a mechanistic description of these processes. However, several 77 recent regional scale modeling studies have included canopy compensation points used to 78 parameterize bidirectional exchange (Wichink-Kruit et al. 2010, 2012; Dennis et al., 2010). The 79 NH₃ compensation point in this study is defined as a non-zero concentration in the canopy or 80 within the mesophyll or soil air-spaces. Ambient concentrations above this concentration will 81 result in deposition to the surface and ambient concentrations below this value will result in 82 evasion from the surface. For the first time on a regional scale, an agro-ecosystem model has 83 been coupled to a photochemical air-quality model to capture the dynamics of observed NH₃ 84 fluxes from fertilized and unfertilized land where NH₃ emissions and deposition from semi-85 natural vegetation and fertilizer application are modeled dynamically as a function of the soil and canopy NH_4^+ content and ambient NH_3 concentrations. This coupled modeling system was 86 87 evaluated against observations to better understand the importance of these processes. Cultivated 88 crops and pastures cover 22% of the land area of the continental U.S. (CONUS) and 38% of the

89 Earth's ice free land (Homer et al. 2007, Foley et al. 2011). The growing of crops represents a 90 large spatial area where the impact of agricultural management practices alters the balance of 91 atmospheric NH₃ sources and sinks. This manuscript describes an analysis in which the 92 Community Multiscale Air-Quality (CMAQ version 5.0) modeling system was modified to 93 include bi-directional NH₃ exchange and coupled to a soil nitrogen model (Cooter et al. 2012) 94 based on the routines in the United States Department of Agriculture's (USDA) Environmental 95 Policy Integrated Climate (EPIC) agro-ecosystem model (Williams et al. 2008) for the U.S. continental (CONUS) domain. The reduced nitrogen $(NH_x=NH_3+NH_4^+)$ wet deposition results of 96 97 this coupled modeling system were evaluated against the National Atmospheric Deposition 98 Program's (NADP) National Trends Network (NTN) NH_x observations. Nitrate ambient aerosol 99 concentration results were evaluated against observations from the Interagency Monitoring of 100 Protected Visual Environments (IMPROVE: Malm et al., 1994) and Speciation Trends Network 101 (STN: Chu, 2004).

102 **2 Methods**

103 CMAQ (Foley et al. 2010) version 5.0 with bi-directional exchange estimates NH₃ fluxes from 104 agricultural cropping activities, a simple inorganic nitrogen soil geochemistry parameterization, 105 and meteorological parameters. The agricultural cropping activity data required are fertilizer 106 application rates, depths, and timing. These variables are provided on a daily basis from CONUS EPIC simulations (Cooter et al, 2012). EPIC estimates of the NH_4^+ content in applied fertilizers 107 are used by CMAQ as an input to the soil NH_{+}^{4} pool. The CMAQ soil NH_{x} budget follows the 108 109 parameterization used in EPIC (Williams et al. 2008) and consists of solving for deposition and 110 evasion NH₃ fluxes and soil nitrification in CMAQ simultaneously to maintain the soil 111 ammonium mass balance in a 0.01 m and 0.05 m soil layer to represent surface and injected 112 fertilizer application. Evasive and deposition fluxes of NH_3 were modeled using a two layer 113 (vegetation canopy and soil) resistance model similar to Nemitz et al. (2001) based on CMAQ 114 dry deposition resistance parameterizations (Pleim and Ran, 2011) and the land surface model in 115 the Weather Research and Forecast (WRF) model (Pleim and Xiu, 1995, and Xiu and Pleim 116 2001). Soil NH_4^+ nitrification in CMAQ with bi-directional NH_3 exchange was modeled 117 following the parameterization in EPIC (Williams et al. 2008). NH₃ fluxes and 118 micrometeorological parameters were estimated for each sub grid cell land use category in

CMAQ with bi-directional NH₃ exchange and then aggregated up to the modeled 12 km gridcell.

121 **2.1** Soil and vegetation emission potentials

122 A NH₃ bi-directional exchange model was developed for the CMAQ modeling system using 123 field scale (~100 ha) observations taken at field sites in North Carolina, U.S.A. (Walker et al, 124 2012) and published NH_3 air-surface exchange parameterizations (Massad et al. 2010 and 125 references therein). To support bi-directional exchange estimates, two soil layers and a canopy compensation point model was incorporated into CMAQ. Soil NH₄⁺, pH, and the soil emission 126 potential (Γ_g , defined as [NH₄⁺]/[H⁺]) were modeled as a function of fertilizer application rate, 127 128 crop type, soil type, and meteorology. Agricultural practices including fertilizer application and 129 timing were modeled following Cooter et al. (2012) and Γ_g due to inorganic fertilization 130 application was calculated following Massad et al. (2010).

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(2)

- 144 where, $[NH_4^+]$ is the soil NH_4^+ concentration in moles l^{-1} , $[NH_3]_{soil}$ is the soil compensation point
- 145 in moles l^{-1} calculated from the solubility and Henry's Law equilibria from NH_4^+ in the soil
- 146 water solution, $[NH_3]_{C}$ is the in-canopy concentration (modeled as the canopy compensation

^{131 (1)}

where, N_{app} is the fertilizer application in g-N m⁻², θ_s is the soil volumetric water content in m³ 132 m⁻³, M_N is the molar mass of nitrogen (14 g mol⁻¹), d_s is the depth of the soil layer in m, and pH is 133 134 the pH of the soil water solution. Fertilizer application rates, dates and methods, injected to 0.05 135 m or surface applied, for 21 major U.S. crops were provide from a CONUS simulation of EPIC 136 (Cooter et al. 2012). Unlike Massad et al. (2010) who used an exponential decay function to adjust Γ_{g} as a function of time after fertilization, the atmosphere-soil NH₄⁺ budget was simulated 137 in CMAQ as being dynamically coupled to hourly soil NH₄⁺ losses due to evasion and 138 139 nitrification, and increases in soil NH_3 due to deposition. The soil NH_4^+ budget was simulated in 140 CMAQ by adding two soil layers, incorporating the EPIC nitrification routines into CMAQ, and 141 coupling the soil NH₄⁺ concentration to atmospheric reduced nitrogen deposition and evasion 142 following Ready et al. (1979).

- 147point) in mol Γ^1 calculated following Nemitz et al. (2001), R_{soil} is the resistance to diffusion148through the soil layer s m⁻¹, and K_N is the nitrification rate in s⁻¹ following Williams et al. (2008).149Deposition and evasion from the soil is represented in part 1 of Eq. 2, with evasion occurring150when $[NH_3]_{soil} > [NH_3]_C$, and nitrification represented according to part 2 of Eq. 2. K_N is
- 151 estimated from the soil water content, soil pH, and soil temperature according to Williams et al.
- 152 (2008). Note that NH_4^+ is readily absorbed on the soil cation exchange complex and should be
- 153 immobile, thus infiltration of NH_4^+ is not modeled (Sutton et al., 2011). Evasion of NH_3 from the
- soil NH_4^+ pool in CMAQ is modeling in parallel from both soil pools. This assumes that the rate
- 155 of gaseous diffusion between soil layers is negligible compared to the evasive and nitrification
- 156 losses of NH_4^+ from the soil pool.
- 157 Emission potentials from NH_4^+ in the vegetation's apoplastic solution ($\Gamma_s = [NH_4^+]/[H^+]$) and
- non-agricultural soils were modeled as a function of land cover type ranging from 10 to 160 with
 agricultural and heavily vegetated areas having the highest values similar to Zhang et al. (2010).
- 160 Γ_s values used for this simulation are on the low end of the values measured in the field. To
- 161 assess the models sensitivity to these values a simulation was run following the parameterization
- 162 of Massad et al (2010), where Γ_s was modeled as a function of the annual N deposition field and
- 163 fertilizer application. These changes increased Γ_s by approximately a factor of three in
- 164 background sites and by as much as a factor of 30 in agricultural regions (Dennis et al. in review
- and discussed in section 3).

166 **2.2 Emissions and deposition estimate**

167 The bi-directional exchange model in the regional scale air-quality model CMAQ 5.0 estimates a 168 net NH₃ flux. However the base case of this model and most other air-quality models separates 169 the air-surface exchange of NH_3 into emission and deposition fluxes. NH_3 emissions are typically 170 based on emissions factors that can vary seasonally (Goebes et al, 2003). Atmospheric deposition 171 is typically modeled as the product of a deposition velocity and the ambient concentration. In the 172 CMAQ bi-directional NH₃ exchange model, the NH₃ air-surface flux is modeled as a function of 173 the gradient between the ambient first layer model concentration at ~20 m to ~ 40 m and the 174 canopy compensation point modeled at 0.5 of the in-canopy resistance. Note, all ambient and compensation point concentrations in this section are in $\mu g m^{-3}$, all fluxes are in $\mu g m^{-2} s^{-1}$, and 175 all resistances are in s m⁻¹. 176

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where F_t the total air-surface exchange of NH₃, R_a is the aerodynamic resistance, R_{inc} is the incanopy aerodynamic resistance, C_c is the canopy NH₃ compensation point, and C_a is the atmospheric NH₃ concentration. C_c is a function of C_a , the stomatal compensation point, C_{st} , and the soil compensation point, C_g . All the compensation points are in the units of $\mu g m^{-3}$ and all resistances are in the units of s m⁻¹.

where R_b is the quasi laminar boundary layer resistance at the leaf surface, R_{st} is the stomatal resistance, R_{bg} is the quasi laminar boundary layer resistance at the ground surface, and R_w is the cuticular resistance. Note that R_w is a function of C_c similar to Jones et al. (2007), and that C_c and R_w are solved simultaneously. C_{st} and C_g are calculated following Nemitz et al (2001).

190 where M_n is the molar mass of NH₃ (1.7x10⁷ µg mol⁻¹), V_m is to convert l to m³ (1x10⁻³), T_c and 191 T_s are the canopy and soil temperature in K. The following modification to Eq. 3 was used in 192 order to estimate an emission from soil NH₄⁺ comparable to the estimates used by emissions 193 models where gradient processes are not modeled. This was done to be consistent with NH₃ 194 emissions estimates from fertilizer application due to agricultural management practices used in 195 most air-quality models.

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196 — (7)
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where $C_c[C_a=0]$ is the compensation point calculated assuming that there is no NH₃ present in the atmosphere and F_{emis} is the emission flux. Likewise, the deposition flux is estimated by modifying Eq. 3, assuming that there is no atmospheric compensation point.

200 (8)

- 201 where C_c is calculated as a function of the ambient atmospheric NH₃ concentration alone, the
- 202 emission potentials from the soil and apoplast are set to zero and F_{dep} is the deposition
- 203 component of the flux. Note, that F_{emis} and F_{dep} was used to provide for a more direct comparison
- with the base model and $F_{emis} + F_{dep} = F_t$. The net flux was used to model the soil NH₄⁺ budget.

205 2.3 Model simulation

206 Two CMAQ model cases were run to evaluate the application of this bi-directional NH₃

- 207 exchange model on a regional scale (1000-5000 km). The bi-directional case modeled dynamic
- 208 NH₃ emissions and deposition depending on Γ_s and Γ_g and a base case in which NH₃ emissions
- and deposition were modeled separately. In the bi-directional case, NH₃ deposition increased and
- 210 evasion decreased the values of Γ_{g} . The base case used the EPA National Emissions Inventory
- 211 (NEI) fertilizer emissions estimates and CMAQ's unidirectional deposition velocity
- 212 parameterization for NH₃. Annual 2002 simulations on the 12km horizontal grid cell resolution
- 213 CONUS domain use 2002 NEI emissions (<u>http://www.epa.gov/ttnchie1/net/2002inventory.html</u>)
- and Weather Research Forecasting (WRF v3.1, Skamarock et al. 2008) meteorology using the
- 215 Pleim-Xiu land surface scheme (Pleim and Xiu, 1995) and Asymmetric Convective Model
- 216 version 2 (Pleim 2007). CMAQ was run with 24 vertical layers using terrain following
- 217 coordinates with a surface layer thickness of approximately 40 m. The upper most layer was
- located at 7500 Pa.

219 Emissions were identical between the two cases except for NH₃ emissions from agricultural 220 fertilizer application. Agricultural fertilizer emissions in the base case are the product of annual 221 fertilizer activity (applications) following the Carnegie-Mellon University (CMU) emissions 222 model (Goebes et al. 2003) and fixed emission factors. The total annual NH₃ emissions (fertilizer 223 emissions plus animal husbandry) were seasonally allocated using inverse modeling techniques 224 that relied on wet deposition (Gilliland et al. 2006). The seasonally adjusted animal operation 225 emissions were kept the same in the base case and the bi-directional case. The NEI fertilizer 226 emissions were removed from the bi-directional case and fertilizer emissions were estimated 227 dynamically within CMAQ using the EPIC agricultural management output. Information 228 regarding inorganic fertilizer application amounts and timing were simulated for bi-directional 229 CMAQ using EPIC (Williams, et al., 2008; Izaurralde et al., 2006) as described above and in

230 Cooter et al., (2012). The vegetation apoplastic emission potential, Γ_s , was parameterized as a 231 function of the land cover type.

232 The model simulations were evaluated on a domain-wide basis for the CONUS on annual and 233 monthly time frames against NH_x wet deposition observations and $PM_{2.5}$ ammonium (NH_4^+), nitrate (NO₃⁻) and sulfate (SO₄²⁻) aerosol monitoring network observations. NH_x wet deposition 234 235 and precipitation were measured by the National Acid Deposition Program's (NADP) National 236 Trends Network (NTN). CMAQ modeled deposition and WRF modeled precipitation were 237 evaluated against the NTN wet deposition and precipitation observations to quantify the 238 precipitation biases as part of the wet deposition evaluation at NTN sites. Spatially interpolated 239 Parameter-elevation Regression on Independent Slopes Model (PRISM, Daly et al. 1994) 240 gridded data are available for the entire CONUS domain on a monthly frequency and compare 241 quite well with the NADP precipitation site data. Assuming that the errors in precipitation and 242 deposition are linear, an adjustment of the observed to estimated precipitation was applied to the 243 CMAQ wet deposition fields to evaluate precipitation biases on a monthly deposition basis using 244 PRISM interpolated observations (Eq. 9) to generate CONUS NH_x wet deposition fields 245 following Appel et al., (2011).

246

_____ (9)

where $F_{ba,WD}$ is the bias adjusted wet deposition, P_{PRISM} is the monthly total PRISM precipitation, P_{Model} is the monthly total WRF precipitation, and F_{WD} is the monthly model wet deposition. Modeled inorganic NO₃⁻, and SO₄²⁻ aerosol concentrations were evaluated against speciated particulate matter (PM) measurements from the mostly rural Interagency Monitoring of Protected Visual Environments (IMPROVE: Malm et al., 1994) sites and NH₄⁺, NO₃⁻, and SO₄²⁻ measurements from the mostly urban Speciation Trends Network (STN: Chu, 2004) sites.

253

- **3 Results and Discussion**
- 255 **3.1 Model Nitrogen Budget**

The annual domain-wide 2002 fertilizer emissions estimated using CMAQ with bi-directional exchange and EPIC simulated fertilizer applications were lower than the totals reported in the 258 2002 NEI, Table 1. The bi-directional case estimated lower NH_3 emissions in the spring and fall 259 and higher emissions in January and July (Fig. 1). Spring and fall NH₃ emissions in the NEI have 260 a higher degree of uncertainty due to compensating errors related to a lower number of 261 observations than other seasons and low observed precipitation in high NH₃ emission regions 262 when inverse modeling techniques were used to determine the seasonal emissions based on wet 263 deposition observations used in the NEI fertilizer and CAFO emissions (Gilliland et al. 2006). 264 Inverse modeling of NH3 emissions was sensitive to the lack of observations in these agricultural 265 regions and resulted in a likely overestimation of emissions in the spring and fall (Gilliland et al. 266 2006). The total NH₃ emissions were lowered by as much as 45% in March and increased by as 267 much as 7% in July. A portion of these emission differences stems from the EPIC simulated 268 cropland fertilization rates being ~12% lower than total agricultural and non-agricultural 269 inorganic fertilizer sales-based activity values used by the NEI (Cooter et al., 2012). A much 270 larger portion of these differences likely relates to the dynamic emissions response to local 271 temperature conditions present in bi-directional CMAQ (see Section 2.2).

272 The dry deposition of NH_3 was decreased by 45% across the CONUS domain in the bi-273 directional case. The reduced modeled NH₃ emissions from fertilized crops were more than 274 offset by the reduction in the dry deposition sinks resulting in a net increase in atmospheric NH₃ 275 in most areas. Overall, there was an increase in NH_x wet deposition of 14% and an increase of 276 10% in NH₃ and decrease of 7% in NH₄ ambient concentrations in the CONUS domain. The total 277 NH_x deposition was reduced by 15%, total N deposition was reduced by 5% over the base case 278 primarily due to the reduction in NH₃ dry deposition, and bi-directional total NH₃ emissions 279 were reduced by 16%. Thus, the export of NH_x off the continent was increased by 2%. A June 280 2006 sensitivity run using the apoplast compensation point of Massad et al. (2010) resulted in a 281 17% increase in June bi-directional NH₃ emissions from agricultural cropping operations and a 282 5% increase in the domain wide total NH₃ emissions (Dennis et al, in review).

283 **3.2** NH_x wet deposition evaluation

1284 NH_x wet deposition estimated using the bi-directional exchange parameterization increased the model bias over the base case by 8% at NADP sites on an annual bases (Table 2). However the 2002 modeled precipitation was biased by 18.1%. The precipitation biases were highest in the summer when convective precipitation was highest with a peak mean bias in the precipitation of 288 49.8% at NADP sites during July resulting in a July correction factor of -36.0% and -35.1% in 289 the modeled base and bi-directional wet deposition cases respectively. The monthly biases in the 290 bi-directional wet deposition correlated well with the monthly meteorological precipitation biases ($r^2 = 0.581$, p < 0.05) while the base case NH_x wet deposition biases did not significantly 291 correlate with precipitation biases ($r^2 = 0.08$, p = 0.373). When the annual wet deposition is 292 293 corrected for precipitation using PRISM interpolated precipitation data following Appel et al. 294 (2011), the absolute magnitude of the normalized bias in the bi-directional case is slightly 295 reduced from 10.2% to -9.8% and the absolute magnitude of the normalized bias in the base case 296 increases from 1.9% to -16% (Table 2). This indicates that the relatively unbiased wet deposition 297 in the base case was likely due to meteorological model precipitation errors. The model 298 precipitation biases are greatest during periods of summertime convective precipitation. These 299 precipitation biases are well documented and will be difficult to resolve in mesoscale models due 300 to the localized/small scale nature of convective precipitation (Tost et al. 2010). Precipitation 301 post processing techniques are necessary to account for potential precipitation biases in chemical 302 transport models to illuminate the differences between biases propagated from errors in the 303 simulated precipitation field and errors in the emissions, transport and fate in the chemical 304 transport model (Appel et al. 2011). The bias introduced in NH_x wet deposition estimates by the 305 bi-directional model parametrization is largely mitigated if one accounts for the biases in the 306 modeled precipitation of the driving meteorological model.

307 Bi-directional surface exchange improved the model seasonal and spatial comparisons to NH_x

308 wet deposition observations. The underestimation in the NH_x wet deposition in the upper

309 Midwest was reduced in the bi-directional case (Fig. 2). Both models under estimated the wet

310 deposition in the spring/early summer observed at NADP sites (Fig. 3). The bi-directional case

311 NH_x wet deposition in June, July and August was biased high by 21.8%, 42.3% and 29.6%

312 respectively (Fig. 3) as a result of model biases in the precipitation during these periods of

44.7%, 69.3% and 37.8% NMB, respectively. The application of bi-directional exchange in

314 CMAQ, together with the precipitation correction, increased the bias and error in wet deposition

315 from the Mid-Atlantic to the Northeastern U.S. states where the base case was relatively

316 unbiased (Fig. 2). However, improvements in the domain wide model wet deposition

317 performance with the bi-directional NH₃ parameterization offset localized degradation in the

318 model performance and resulted in a net improvement in the regional scale simulation in NH_x 319 wet deposition.

320 **3.3 Ambient aerosol evaluation**

 NH_3 preferentially partitions to SO_4^{2-} aerosol and in sulfate poor conditions excess NH_3 will 321 react with other species (Nenes et al. 1998). Thus, large differences in the total sulfate aerosol 322 323 were not observed nor expected. However, changes in the ambient NH_3 were expected and these 324 changes affected the modeled NO_3^- aerosol concentrations. CMAQ NO_3^- PM_{2.5} aerosol estimates 325 were compared to STN PM_{2.5} observations located primarily in urban sites and IMPROVE observations PM2.5 located primarily in rural sites. At STN sites the mean annual domain base 326 327 case PM_{2.5} NO₃⁻ concentration was nearly unbiased (0.2% NMB) while the bi-directional case 328 introduced a -10.5% negative bias (under prediction). At the more rural IMPROVE monitoring 329 sites, the normalized mean annual bias was reduced from 18.2% in base case to 0.6% in the bi-330 directional case. The over prediction in PM_{2.5} NO₃⁻ aerosol concentrations in Ohio Valley and 331 Midwest in the base case is reduced in the bi-directional case (Fig. 4). Incorporation of the bi-332 directional exchange model in CMAQ improved the annual mean PM_{2.5} NO₃⁻ concentrations at 333 almost all IMPROVE sites, but both model cases still underestimated annual mean PM_{2.5} NO₃⁻ 334 concentrations at many Western U.S. STN sites (Fig. 4). The positive bias in modeled PM_{2.5} 335 NO3⁻ concentrations at IMPROVE sites was reduced in the bi-directional case for almost the 336 entire distribution of the observed concentrations, while the bi-directional case reduced the over 337 prediction in PM2.5 NO3⁻ aerosol concentrations at STN sites for observed concentrations below approximately 3 μ g m⁻³ and both models under estimated observations with concentrations above 338 $5 \,\mu g \,m^{-3}$ (Fig. 5). Both model cases overestimated the PM_{2.5} NO₃⁻ concentrations in the Mid 339 340 Atlantic region of the U.S. The bi-directional case underestimate of PM_{2.5} NO₃⁻ aerosol 341 concentrations at STN sites was due to an over-reduction of the winter (7.2%) base and -10.2%342 bi-directional NMB) and fall (7.2% base and -3.9% bi-directional NMB) base case 343 concentrations. On the other hand, the bi-directional case reduced the summertime base case 344 under prediction (-15.1% base and -4.5% bi-directional NMB) in PM_{2.5} NO₃⁻ aerosol 345 concentrations (Fig. 6). The bi-directional case reduced the over estimation of $PM_{2.5}NO_3^-$ aerosol 346 observations at rural IMPROVE sites during the winter and fall (from 44.6% to 9.9% NMB and 347 29.5% to 12.8% NMB respectively) and reduced the summertime under prediction of the nitrate 348 aerosol by 15.8% percentage points (from a NMB of 29.2% to 13.4%). At both STN and

349 IMPROVE sites, the under prediction of $PM_{2.5} NO_3^-$ aerosol during the spring was increased in 350 the bi-directional case (from -7.2% to -23.7% and -6.6% to -23.5% respectively).

351 These results show that ambient $PM_{25}NO_3^{-1}$ aerosol concentrations are sensitive to NH_3 352 emissions and that the reduction in the seasonal aerosol biases (Fig. 6), have similar patterns as 353 the change in NH₃ emissions, Fig. 1. However, it is not clear if the reduction in the bias was due 354 to an underestimation of the fertilizer application and, subsequently, an under estimation of the 355 NH₃ emission, or due to the bi-directional exchange parameterization. To evaluate this question, 356 March 2002 was rerun with the bi-directional exchange model with approximately a seven fold 357 increase in fertilizer application. This introduced a positive bias in the $PM_{2.5}NO_3^-$ aerosol 358 evaluation in these bi-directional simulations, but this bias was still approximately half of the 359 bias in the base (82.0% NBM at IMPROVE sites and 35.2% NMB at STN sites) case for March 360 2002. The increase in NH₃ evasion in March 2002 was not as sensitive to increases in fertilizer 361 applications as the June 2006 simulations reported in Dennis et al. (in review). Thus it appears 362 that the reduction in the NH_3 emissions and, therefore, the bias in the winter aerosol 363 observations were likely due to the parameterization of NH₃ bi-directional exchange and the 364 exponential temperature function of the NH₃ compensation point (Eq. 6).

365 The gap in observed and modeled $PM_{2.5} NO_3^{-1}$ aerosol concentrations at STN sites may be 366 partially closed by adopting the Massad et al. (2010) apoplast compensation point model. The June 2006 simulation with this parameterization resulted in proportionally (relative to the change 367 368 in emissions) higher PM_{2.5} NO₃⁻ aerosol concentrations than resulted from increases in modeled 369 fertilization rates (Dennis et al. in review). The increase in the PM_{2.5} NO₃⁻ aerosol concentrations 370 using the Massad et al. (2010) compensation point model may be from the parameterization of Γ_s 371 as a function of the annual total N deposition field. This increased the apoplastic compensation 372 point and atmospheric NH_3 in urban areas where there was often abundant ambient NO_x , 373 sufficient HNO₃ for PM_{2.5} NO₃⁻ aerosol formation, and higher oxidized N deposition rates 374 relative to the total N deposition budget resulting in an increased Γ_s . The Ammonia Monitoring 375 Network (AMoN; Purchalski et al. 2011) began sampling in the continental U.S. in the fall of 376 2007, thus long term monitoring data of NH_3 was not available to compare against these 2002 377 annual simulations. However, simulations are planned to evaluate CMAQ modeled NH₃

378 concentrations to AMoN observations as well as satellite derived and aircraft observations.

Additionally, multiyear simulations are planned to evaluate how NH₃ bi-directional exchange
 may alter estimated trends in total N deposition to sensitive ecosystems.

381 4 Conclusions

382 A photochemical air-quality model has been coupled with an agro-ecosystem model in CMAQ 383 version 5.0 to simulate the bi-directional exchange of NH₃. This allows for the direct estimation 384 of NH₃ emissions, transport and deposition from agricultural practices from the 385 parameterizations of soil geochemistry, transport and dynamic NH₃ compensation point 386 processes. This coupled modeling system improved the simulations of NH_x wet deposition 387 (when compensating precipitation biases were accounted for) and improved the simulation of 388 ambient nitrate aerosol concentrations. The largest improvements in the aerosol simulations were 389 during the spring and fall. NEI estimates at these times are particularly uncertain since 390 significant precipitation prediction biases were incorporated in the inverse modeling adjustments 391 to the seasonality (Gilliland et al. 2006, Pinder et al. 2006, Henze et al. 2009). The CMAQ bi-392 directional model can likely be improved with additional soil and vegetation geochemical and 393 ambient NH₃ concentration and flux data to enhance and evaluate these parameterizations. The 394 coupled CMAQ-EPIC model estimates dynamic bi-directional NH₃ fluxes from semi-natural and 395 agricultural ecosystems connecting air-quality and nitrogen deposition to agricultural 396 management practices and variability in meteorology and climate.

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- 544

| | | Fertilizer Emissions 10 ¹² g NH ₃ year ⁻¹ | % Change from NEI | Total Emissions 10 ¹² g NH ₃ year ⁻¹ | % Change from NEI | % of emissions from fertilizer |
|-----|-----------------------|---|----------------------|--|----------------------|--------------------------------------|
| | NEI | 1.0 | 0% | 3.5 | 0 | 30% |
| | Bi-directional | 0.4 | -66% | 2.8 | -20% | 13% |
| 546 | | | | | | |
| 547 | | | | | | |

545 Table 1, Annual 2002 NEI and bi-directional NH₃ emissions estimated for the CONUS domain

548 Table 2, Annual base and bi-directional Pearson's correlation coefficient, NMB and NME

549 compared to annual NADP observations

| | Correlation (r) | NMB | NME |
|-----------------------------|-----------------|-------|-------|
| Base | 0.766 | 1.9% | 30.6% |
| Bi-directional | 0.791 | 10.2% | 30.3% |
| Base PRISM | 0.750 | -16% | 33.8% |
| Bi-directional PRISM | 0.777 | -9.8% | 31.3% |
| WRF vs. PRISM | 0.851 | 18.1% | 25.5% |
| precipitation | | | |

550

551 Figure Captions:



553

Figure 1, Total domain wide NH₃ emissions estimated by the NEI and CMAQ with bi-directional exchange coupled to the EPIC agro-ecosystem model (top panel) and the fractional difference in the NH₃ fertilizer emissions (bottom panel) on the CONUS domain from the NEI estimated in CMAQ 5.0 with bi-directional exchange.



Annual 2002 Bi–directional NH_x Wet Dep.



Annual 2002 Bi-directional NH_x Wet Dep.

560 561 Figure 2, Maps of bi-directional and base annual NH_x wet deposition fields top two panels respectively, annual NH_x deposition maps scaled by annual 2002 PRISM precipitation fields for 562 563 bi-directional and base cases bottom two panels respectively. Mean annual NADP observations 564 at each measurement site (N = 243) are plotted in the same color scales over the modeled results.

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Monthly Variability in 2002 $\ensuremath{\mathsf{NH}}_x$ Wet Deposition



Monthly Variability in 2002 NH_x Wet Deposition

568 569 Figure 3, Box plots of NH_x wet deposition monthly model bias (top two panels) and total 570 simulated wet deposition (bottom two panels) paired in space and time with NADP observations 571 (N = 560 to 863 per month) for the CMAQ base case estimates (red) and CMAQ with bi-572 directional case (blue). Monthly biases and total deposition for the raw model output are presented in the top panel of each set and precipitation corrected biases are presented in the 573 bottom panel of each set. The boxes enclose the 25th to 75th percentiles, the whiskers represent 574 the 5th and 95th precentiles, the horizontal bar represents the median, and the black diamond 575 576 represents the mean.



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Figure 4, 2002 annual mean NO_3^- aerosol concentration in the bi-directional (top panel) and base case (bottom panel). Mean annual STN observations (N = 208) are plotted over the map as diamonds and IMPROVE observations (N = 156) for each measurement site are plotted over the map as circles. Note that the color scale has been plotted on a log axis.

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Figure 5, density scatter plots of monthly mean NO3 aerosol observations paired with base and bi-directional CMAQ modeled values at IMPROVE sites, N = 1745 (top panels), and STN sites, N = 2320 (Bottom panels). Annual data were aggregated into 20 bins on a log₁₀ scale spanning the range of the observations and model results, from 1×10^{-4} to 10 µg m⁻³ for the IMPROVE data and a 5×10^{-3} to 25 µg m⁻³ for the STN data. The dashed line represents a least squares local polynomial regression fit of the model data to the observations.

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Monthly Seasonality at IMPROVE sites NO₃



Monthly Seasonality at STN sites NO₃

596 597 Figure 6, Box plots of modeled monthly ambient NO₃ aerosol biases and concentrations at STN 598 (top two panels; N = 871 to 1236 per month) and IMPROVE sties (bottom two panels; N = 691599 to 1135 per month). The base case is in red, the bi-directional case is in blue and STN and IMPROVE observations is in grey. The boxes enclose the 25th to 75th percentile, the whiskers 600 represent the 5th and 95th percentiles, the horizontal bar represents the median, and the filled 601 602 diamond represents the mean.