

4 Fecal Coliform and *E. coli* Concentrations in Effluent-Dominated 5 Streams of the Upper Santa Cruz Watershed

6 Emily C Sanders, Yongping Yuan *, and Ann Pitchford

7 U.S. EPA ORD/NERL/ESD, 944 East Harmon Avenue, Las Vegas, NV 89119, USA;

8 E-Mails: sanders.emily@epamail.epa.gov (E.S); Pitchford.Ann@epamail.epa.gov (A.P.)

9 * Author to whom correspondence should be addressed; E-Mail: yuan.yongping@epamail.epa.gov;
10 Tel.: +1-702-798-2112; Fax: +1-702-798-2208.

11 Received: / Accepted: / Published:
12

13 **Abstract:** This study assesses the water quality of the Upper Santa Cruz Watershed in
14 southern Arizona in terms of fecal coliform and *E. coli* bacteria concentrations discharged
15 as treated effluent and from nonpoint sources into the Santa Cruz River and surrounding
16 tributaries. The objectives were to (1) assess the water quality in the Upper Santa Cruz
17 Watershed in terms of fecal coliform and *E. coli* by comparing the available data to the
18 water quality criteria established by Arizona, (2) to provide insights into FIB response to
19 the hydrology of the watershed and (3) to identify if point sources or nonpoint sources are
20 the major contributors of the fecal indicator bacteria (FIB) in the stream. Assessment of the
21 available wastewater treatment plant treated effluent data and in-stream sampling data
22 indicate that water quality criteria for *E. coli* and fecal coliform in recreational waters are
23 exceeded at all locations of the Santa Cruz River. For the wastewater discharge, 13 to 15
24 percent of sample concentrations exceeding the 800 colony forming units (cfu) per 100 mL
25 sample maximum for fecal coliform and 29 percent of samples exceeding the full body
26 contact standard of 235 cfu/100 mL established for *E. coli*; while for the in-stream grab
27 samples, 16 to 34 percent of sample concentrations exceeding the 800 cfu/per 100 mL
28 sample maximum for fecal coliforms and 34 to 75 percent of samples exceeding the full
29 body contact standard of 235 cfu/100 mL established for *E. coli*. Elevated fecal coliform
30 and *E. coli* concentrations were positively correlated with periods of increased streamflow
31 from rainfall. Fecal indicator bacteria concentrations observed in-stream are significantly
32 greater (p -value < 0.0002) than wastewater treatment plants effluent concentrations;
33 therefore, water quality managers should focus on nonpoint sources to reduce overall fecal
34 indicator loads. Findings indicate that fecal coliform and *E. coli* concentrations are highly
35 variable, especially along urban streams and generally increase with streamflow and
36 precipitation events. Occurrences of peaks in FIB concentrations during baseflow
37 conditions indicate that further assessment of ecological factors such as interaction with
38 sediment, regrowth, and source tracking are important to watershed management.

Keywords: fecal indicator bacteria; *E. coli*; fecal coliforms; water reuse; Santa Cruz River; Upper Santa Cruz watershed, effluent-dominated

1. Introduction

In the semi-arid southwest, rapid urbanization and population growth have led to increased use of treated effluent to augment and maintain hydrologic conditions in the watershed resulting in both positive and negative consequences in terms of overall watershed quality [1,2]. Planned water reuse is a common occurrence globally and began as early as 1918 in California and Arizona in order to provide irrigation water for crops [3]. Discharge of treated effluent into stream channels helps to recharge the groundwater aquifers, supports riparian habitation, and enhances ecosystem services and is commonly implemented by state agencies for this reason [4,5]. For example, natural perennial and ephemeral flows in the Upper Santa Cruz River are artificially augmented by treated effluent from the cities of Nogales and Tucson where, historically, portions of the Santa Cruz River near the city of Tucson were pumped dry as early as 1910 [6].

However, reliance on treated effluent for perennial streamflow potentially endangers human health due to recreational exposure and possible contamination of domestic water supplies by increased microbial pathogen concentrations in surface and ground waters [4,7-9]. Common sources of potential pathogenic contamination in surface waters include storm runoff from urban and agricultural landscapes, wild animal wastes, wastewater treatment plant discharges, and failing septic system drainage [8,10,11]. Monitoring stream networks for all potential pathogenic agents is expensive and not feasible; therefore, methodologies for monitoring fecal indicator bacteria (FIB) and determining acceptable risk have been established [12-15]. Current ambient water quality criteria for FIB in fresh waters are aimed to protect human health from gastroenteritis due to pathogenic exposure based on the estimated relative risk of 8 cases of gastroenteritis per 1000 swimmers [12]. The appropriateness of the methods used and FIB capability for correlating and identifying human health risk from pathogens has been debated in the literature [16-19]. Despite the ongoing debate, most states monitor for total coliforms, fecal coliforms, *Escherichia coli* (*E. coli*), fecal streptococci, or enterococci as indicators of potential pathogens in water resources. In Arizona, *E. coli* has replaced fecal coliform as the preferred FIB in stream networks [20,21].

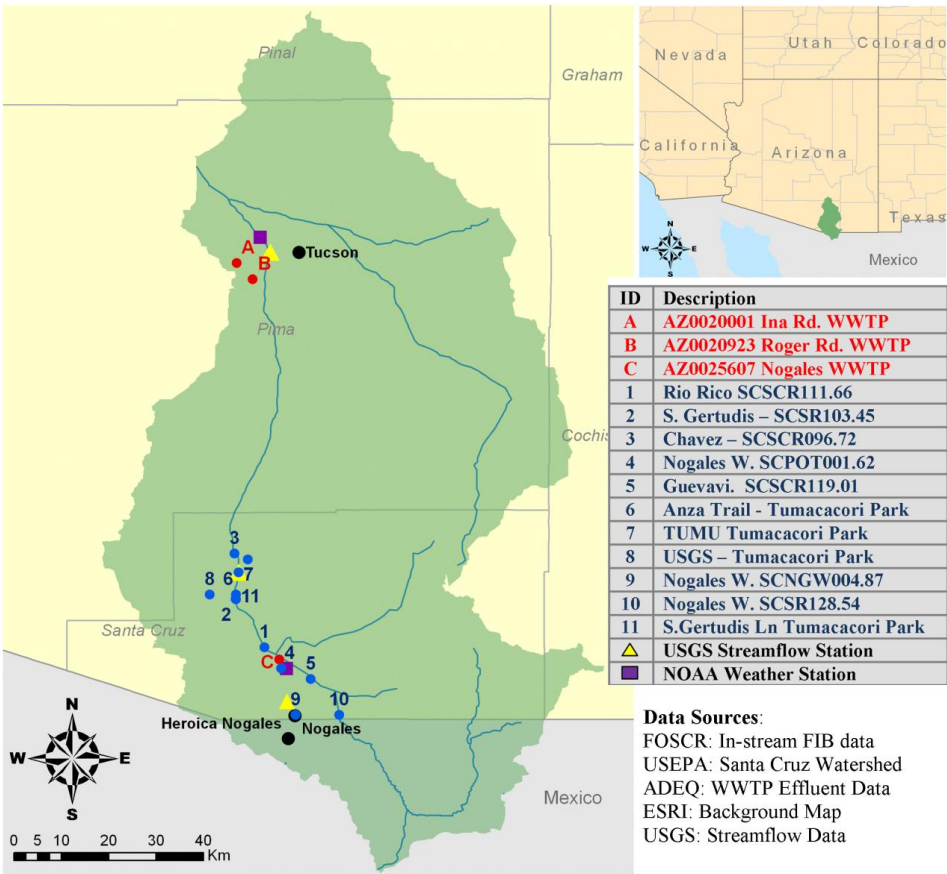
To minimize the potential risk of wastewater to public health and the environment, state agencies regulate and permit planned wastewater reclamation and reuse facilities [3]. In many cases, these facilities, regardless if the intended reuse is for recharge or irrigation, achieve a high degree of consistent water quality, and the removal of microbial and other contaminants associated with human waste are of paramount concern [22,23]. As this case study will show, additional research and assessment of the fate and transport of pollutants released indirectly into effluent-dominated and/or effluent dependent stream networks are critical to controlling overall FIB loading in the watershed. The objectives of this study are (1) to assess the water quality in the Upper Santa Cruz Watershed in terms of fecal indicator bacteria (FIB) by comparing the available data to the water quality criteria established by Arizona, (2) to provide insights into FIB response to the hydrology of a semi-arid

watershed and (3) to identify major FIB contributors (point sources *versus* nonpoint sources) to the stream.

2. Study Location: Santa Cruz Watershed

The entire Santa Cruz Watershed is composed of approximately 28,749 km², roughly 10% of the state of Arizona; land ownership is approximately 40% tribal, 25% federal, 20% private and 15% state [20]. The Santa Cruz River has its headwaters in Arizona’s San Rafael Valley, which is in the southeast/central part of the state. The river flows south and makes a 40 km loop through Mexico before returning to the U.S. about eight kilometers east of Nogales, Arizona. The river then flows north from the U.S.-Mexican border and converges with the Gila River, just southwest of Phoenix. According to the ADEQ, grazing is the dominant land use while irrigated crop production is limited to areas near streams, but restricted land uses have been established near several wilderness areas, national forests, and national monuments. In addition, mining operations, both active and abandoned, are located throughout the watershed [20]. Annual precipitation ranges from 280 to 860 mm (valley to mountain, respectively). This study focuses on the sub watersheds containing the Santa Cruz River south of Tucson, Arizona.

Figure 1. The Santa Cruz Watershed, fecal coliform and *E. coli* sampling locations, weather stations, and USGS streamflow stations.



Most of the population in the Upper Santa Cruz Watershed is found in the city of Tucson (population 530,000), the state’s second largest city after Phoenix [24]. There is also a population of

370,000 located on the US-Mexico border in the sister border cities of Heroica Nogales, Sonora, Mexico and Nogales, Arizona, U.S. According to the US Census Bureau (2005), the population in the state of Arizona is projected to increase by approximately 52 percent over 30 years from 2000 to 2030 which is expected to increase the urban water demand by approximately 45 percent despite sustainable development efforts [25,26]. The growth in Sonora, Mexico is expected to increase at an even higher rate which is anticipated to increase the urban water demand by 18 percent by 2030 [25]. As more demand from urban growth and land use is placed on the system, understanding the fate and transport of pollutants released and how treated effluent impacts the overall watershed quality, especially water supplies designated for human consumption, is necessary.

Water quantity and quality issues in the Upper Santa Cruz watershed are confounded by the quality of waters flowing from areas of Mexico which has less regulated infrastructure to handle wastewater treatment [27]. Continuous efforts are being made by both countries to provide wastewater service in rural areas and to enhance wastewater treatment and reclamation infrastructure to meet future needs [28]. The Groundwater Storage, Savings, and Replenishment Program managed by the Arizona Department of Water Resources (ADWR) permits groundwater and surface water recharge facilities to discharge reclaimed waters into infiltration basins and, in some cases, directly into the Santa Cruz River [5]. The ADEQ permits 22 facilities, each issued an Arizona Pollution Discharge Elimination System (AZPDES) permit, to discharge treated effluent into the Santa Cruz River and its tributaries [29]. These facilities, not all of which are actively discharging, include wastewater treatment plants (WWTP), wastewater reclamation facilities, and water pollution control facilities. The Central Arizona Project (CAP) canal allocates 185,022 hectare-feet of Colorado River water per year to Pima, Pinal, and Maricopa counties to supplement domestic water supplies and also to maintain aquifer levels [30]. In 2010, Pima County, Arizona produced approximately 8,486 hectare meters of treated effluent of which about 7,672 hectare meters was discharged from facilities located in Tuscon, Arizona [31]. In Santa Cruz County, Arizona, the newly expanded Nogales International Wastewater Treatment Plant (NIWTP) (see Figure 1 Map ID C) treats more than 56781 m³/day, approximately 2,072 hectare meters annually, of wastewater from both Nogales, Arizona and Heroica Nogales, Sonora and discharges it to the Santa Cruz River after advanced biological treatment [32].

3. Data Collection and Analysis

Monthly *E. coli* and fecal coliform monitoring data from both point sources such as WWTP discharge pipes and nonpoint sources from numerous stream segments throughout the Upper Santa Cruz Watershed as shown in Figure 1 were used in this study. The *E. coli* and fecal coliform data used in this study are from numerous sampling records including ADEQ in conjunction with Friends of the Santa Cruz River (FOSCR), National Park Service at Tumacacori National Historical Park and Sonoran Desert Network, Sonoran Institute, United States Geological Survey (USGS), and USEPA Envirofacts permit compliance system (PCS) database. For the point sources data, a custom search on the Envirofacts PCS database was preformed to assess indicator bacteria concentrations from WWTP monthly discharge monitoring reports (DMR) prepared by AZPDES permitted facilities which discharge treated effluent into the Santa Cruz River and surrounding washes and tributaries (Figure 1, Map ID A-B) [29]. These grab samples show a snap shot in time and space of the FIB activity for a

given location and were collected to either fulfill the AZPDES monitoring requirements or for water quality assessment purposes. The available data for the watershed are organized by location and vary in regard to sample frequency, period of record, sampling method, and FIB assessed (fecal coliforms or *E. coli*). The WWTP DMRs data collected were summarized into a monthly report. For nonpoint source data, in-stream samples were collected primarily on a quarterly or monthly basis unless no sample could be obtained due to low or no streamflow conditions; several gaps in the sampling record exist at each location. The geometric mean and sample maximum for each WWTP DMR and each in-stream sampling location available are summarized in the results section below. Variations in the targeted FIB disallow direct comparison of each sampling location for the entirety of the sampling record and the reported concentrations have differences in terms of method quantification limits and the lab methods used. The lab method reported for *E. coli* samples is listed as SM9223B and fecal coliform concentrations were determined using direct plating methods (SM9222E) or the Most Probable Number (MPN) method [13,15]. For the raw in-stream sampling data, a geometric mean and maximum concentration are calculated for the FIB reported at each location. The results are presented in the Tables 2-5 below.

The available data at each sampling location are compared to regulatory water quality criteria for FIB established in Arizona as summarized in Table 1. According to the regulatory standards listed in Table 1, wastewater dischargers report bacteria concentrations as a geometric mean of all the test results obtained during a reporting period, which is helpful when analyzing bacteria concentrations that may vary anywhere from 10 to 10,000 fold over a given period. The single sample maximum value is also needed to ensure that public health is protected from unusually high microbial loads.

Table 1. Water Quality Standards for *E. coli* and Fecal Coliforms. Units are cfu/100 mL.

<i>E. coli</i> ^a		
<i>Water Quality Criteria</i>	<i>FBC</i> ^d	<i>PBC</i> ^e
Geometric Mean ^c	126	126
Single sample maximum	235	575
Fecal Coliform ^b		
<i>Water Quality Criteria</i>	<i>FBC</i> ^d	<i>Other Designated Uses</i> ^f
Geometric Mean ^c	200	1000
10% of samples over 30 days	400	2000
Single Sample Maximum	800	4000

^a Source: USEPA (2003). Bacterial Water Quality Standards for Recreational Waters: Status Report (EPA-823-R-03-008). Washington D.C., Office of Water.

^b Source: ADEQ (1999). Pathogen TMDL in Slide Rock State Park, Oak Creek Canyon, Arizona[33].

^c minimum of four samples in 30 days

^d “Full-body contact (FBC)” means the use of a surface water for swimming or other recreational activity that causes the human body to come into direct contact with the water to the point of complete submergence.

^e “Partial-body contact (PBC)” means the recreational use of a surface water that may cause the human body to come into direct contact with the water, but normally not to the point of complete submergence (for example, wading or boating).

^f “other designated uses” may include fish consumption, aquatic and wildlife, agricultural irrigation or livestock watering.

Average daily baseflow conditions were determined using the web based hydrograph analysis tool (WHAT) and the local minimum method for daily streamflow from March 1, 1996 to April 30, 2008 at two USGS stations (09481740 and 09480500) within close proximity of the sampling locations[34]. Since the local minimum method generally overestimates baseflow during storm events, the WHAT results were compared to precipitation data for a better estimation of actual baseflow conditions. Then, the correlation between streamflow/precipitation and in-stream fecal coliform/*E. coli* concentrations was analyzed to identify potential factors impacting the in-stream fecal coliform/*E. coli* concentrations. Precipitation data was obtained from weather stations maintained by the National Oceanic and Atmospheric Administration (NOAA). Streamflow data was collected from gage stations maintained by the USGS. Finally, data collected from point source WWTPs were compared with nonpoint in-stream grab samples and statistical tests were performed to see if fecal coliform/*E. coli* concentrations were significant different between WWTPs and nonpoint sources. In instances where the sample value was reported as greater than the upper method detection limit or less than the lower method detection limit, the detection limit was used in the statistical comparison.

4. Results

4.1. Fecal Coliform and *E. coli* Concentrations from Point Source WWTP Effluent

Consistent concentration data was found for three permitted locations (Map ID A-C in Figure 1) in the Upper Santa Cruz watershed from approximately 1988 to 2008 for fecal coliform and approximately 2008 to 2011 for *E. coli*. The values represented in Table 2 and Table 3 were obtained from the DMRs filed with the USEPA as required by the AZPDES permit for each facility. It is important to note that the following tables reflect the number of reported average and maximum values for all reported monitoring periods for each facility and not the actual number of grab samples collected at each facility location. Table 2 summarizes the maximum grab sample value reported in each DMR period and represents the ‘worst case’ fecal coliform concentrations released from these facilities into the Santa Cruz River and its tributaries. Table 3 summarizes the averaged values reported for each DMR period for each facility. The values were then compared to the current WQ standards shown in Table 1 for fecal coliform and *E. coli*.

Table 2 shows instances in which maximum DMR values exceed the maximum allowable concentration of 800 colony forming units (cfu) per 100 mL for fecal coliform for the facilities with available data from about 1988 to 2008. Thirteen percent of the DMR periods at Pima County Rd WWTP and 15% of the DMR periods at Roger Road WWTP contained fecal coliform concentrations which exceeded the 800 cfu/100 mL single sample maximum standard. These facilities are located near Tucson where surface water withdrawals are used for municipal water supplies. At the Nogales International WWTP, *E. coli* levels in the treated effluent exceed the maximum concentration of 235 cfu/100 mL for FBC associated with recreational use in 29% of the DMR periods. The single sample maximum of 575 cfu/100 mL for PBC was exceeded in 18% of the maximum concentrations reported for each DMR period. Table 3 indicates that the mean concentration values for the monitoring periods are below the WQ standards for fecal coliforms. The geometric mean of 126 cfu/100 mL for *E. coli* is exceeded in 11% of the monitoring periods available for assessment from the Nogales International

WWTP. The treated effluent from WWTP facilities appears to have a minor contribution to the fecal coliform and *E. coli* concentrations found within the watershed.

Table 2. Summary of the maximum concentrations reported for discharge monitoring reports (DMRs) period compared to the fecal coliform maximum standard of 800 cfu/100 mL for a single sample value or to the *E. coli* full body contact (FBC) maximum standard of 235 cfu/100 mL and to the 575 cfu/100 mL for partial body contact (PBC) for a single sample value.

Facility Name Permit ID	# of Reporting Periods ^a	The highest value of Maximum concentrations reported by the facility during DMRs period	Mean of the Maximum Concentrations reported during DMRs period	Reporting Periods >800 cfu/100 mL (Fecal)	Reporting Periods >235 cfu/100 mL (FBC- <i>E. coli</i>)	Reporting Periods >575 cfu/100 mL (PBC – <i>E. coli</i>)
Pima County Ina Road WWTP AZ0020001	94	1600	231	13%	----	----
Roger Road WWTP AZ0020923	98	1600	269	15%	----	----
Nogales International WWTP AZ0025607	27	2400	330	----	29%	18%

^a # of reporting periods represent the number of DMRs submitted and not the actual number of raw sample data collected at the facility. DMRs represent monthly data.

Table 3. Summary of the averaged concentrations reported during each DMRs period compared to the fecal coliform geometric mean standard of 200 cfu/100 mL or the *E. coli* geometric mean standard of 126 cfu/100 mL for FBC and PBC.

Facility Name Permit ID	# of Reporting Periods ^a	The highest value of Average Concentrations ^b reported by the facility during DMRs period	Mean of the Average Concentrations ^b reported by the facility during DMRs period	Reporting Periods >200 cfu/100 mL (Fecal)	Reporting Periods >126 cfu/100 mL (<i>E. coli</i>)
Pima County Ina Road WWTP AZ0020001	94	79	16.2	0	---
Roger Road WWTP AZ0020923	98	104	17.4	0	---
Nogales International WWTP AZ0025607	27	229	41.6	----	11%

^a # of reporting periods represent the number of DMRs submitted and not the actual number of raw sample data collected at the facility. DMRs represent monthly data.

^b Average concentration represents the value reported on the Discharge Monitoring Report (DMR) as the geometric mean grab sample value for the given monitoring period.

4.2. In-stream Fecal Coliform and *E. coli* Data Analysis

4.2.1. Fecal Coliform and *E. coli* Concentrations from Nonpoint In-Stream Sources

Data used in this study from in-stream monitoring locations (Map ID 1-11 in Figure 1) for the Upper Santa Cruz River was obtained primarily via coordination between ADEQ and nonprofit organizations such as the FOSCR. Fecal coliform grab sampling results were organized by location; the geometric mean and sample maximum for each location for the entire period of record available was summarized in Table 4. An extremely large range of individual sample values exists for all locations; however, the geometric mean standard of 200 cfu/100 mL for fecal coliform was not exceeded at any location. The single sample maximum of 800 cfu/100 mL for fecal coliform is exceeded during several sampling events at each location as shown in the last column of Table 4.

E. coli grab sampling results were organized by location; the geometric mean and sample maximum for each location for the entire period of record available was summarized into Table 5. *E. coli* concentrations at all in-stream sampling locations indicate the geometric mean standard of 126 cfu/100 mL is exceeded by more than double at all sampling locations. In addition, the maximum standards for a single sample value (235 cfu/100 mL for partial body contact and 575 cfu/100 mL for full body contact) are also exceeded at every location in at least 33% and up to 75% of the samples evaluated. The *E. coli* concentrations reported consistently exceed those concentration reported for fecal coliforms, which is likely due to differences in the methods of analysis for the specific indicator species targeted [17,18].

Table 4. Fecal coliform concentration (cfu/100 mL) summary from in-stream sampling locations in the Upper Santa Cruz Watershed.

Reach ID ADEQ ID	# of samples	Start Date	End Date	Single Sample Max	Geometric Mean	%>800 (Fecal)
Rio Rico SCSCR111.66 ADEQ 100238	112	3/1988	12/2008	139,000	161	19%
S. Gertudis SCSCR103.45 ADEQ 100247	98	2/1993	12/2008	27,100	149	21%
Chavez SCSCR096.72 ADEQ 100244	89	11/1992	12/2008	49,200	99	15%
Nogales W. (Portero Creek) SCPOT001.62 ADEQ 100571	70	3/1996	12/2008	24,000	146	24%
Nogales Guevavi SCSCR119.01 ADEQ 100246	32	11/1992	7/2001	79,000	39	13%

Tables 4 and 5 show that in-stream concentrations of *E. coli* and fecal coliform are much higher than that observed in the point source effluent discharges. The in-stream data available for assessment was limited to stream segments along the Santa Cruz River except in two locations at Nogales W. Portero Creek and USGS Tumacacori Park (Map ID 4 and 8 in Figure 1, respectively). Samples collected from these tributary washes at Portero Creek and Tumacacori Park exceeded the FBC water

quality standards for *E. coli* in approximately 61% and 75% of samples collected, respectively (see Table 5). Additional sampling from contributing effluent-dominated washes and tributaries would allow better estimates of the true fecal coliform and *E. coli* indicator concentrations in the Santa Cruz River from point and nonpoint sources.

Table 5: *E. coli* concentration (cfu/100 mL) summary from in-stream sampling locations in the Upper Santa Cruz Watershed

Reaches ID ADEQ ID	# of samples	Start Date	End Date	MAX	Geometric Mean	%>235 (FBC – <i>E. coli</i>)	%> 575 (PBC – <i>E. coli</i>)
Santa Gertudis Lane - Tubac Basin Tumacacori Park (NPS)	159	6/2007	9/2010	547,500	668	61%	45%
Anza Trail River Crossing - Tubac Basin Tumacacori Park (NPS)	64	6/2007	9/2010	173,290	316	53%	33%
TUMA Educational Site - Tubac Basin Tumacacori Park (NPS)	88	7/2007	9/2010	241,960	609	57%	42%
Rio Rico – SCSCR111.66 ADEQ 100238	29	2/2008	5/2011	241,920 ^a	306	34%	24%
S. Gertudis - SCSCR103.45 ADEQ 100247	22	2/2008	5/2011	241,920 ^a	367	41%	18%
Chavez – SCSCR096.72 ADEQ 100244	19	2/2008	4/2011	141,300	491	52%	26%
Nogales W. (Portero Creek) SCPOT001.62 ADEQ 100571	21	2/2008	5/2011	241,920 ^a	792	61%	38%
USGS - Tumacacori Tubac	16	6/2/2010	9/8/2010	210,000	2265	75%	56%

^a Laboratory reported value is greater than the method quantification level (Method SM9223B)

4.2.2. Correlation of In-stream Fecal Coliform and *E. coli* Concentrations to Streamflow and Precipitation

Daily streamflow and baseflow vary significantly in this watershed and are often near zero during low flow periods. For USGS station 09481740 near Tubac, Arizona, average baseflow is approximately 0.40 m³/s and between September 1995 to 2012, a zero average daily flow was recorded on 152 days predominantly in the months of June and July. Further upstream at USGS station 09480500 near Nogales, Arizona average baseflow is approximately 0.02 m³/s and experienced zero average daily flow on 4,052 days and in all months of the year. Based on the sampling location and baseflow estimates, 25% to 60% of the fecal coliform samples which exceeded the 800 cfu/100 mL standard in Table 4 and zero to 12% of the *E. coli* samples which exceeded the 235 cfu/100 mL standard in Table 5 were collected during periods of above average baseflow. From this comparison,

exceedances typically occur during average baseflow or lower than average streamflow; however, approximately 85 percent of all in-stream samples were collected during less than average streamflow conditions.

In-stream fecal coliform and *E. coli* concentrations fluctuate based on seasonal streamflow and precipitation trends with the greatest concentrations experienced predominantly during the summer months. In-stream fecal coliform and *E. coli* concentrations generally increase in response to increased streamflow as shown in Figure 2 and 3, respectively. The range of the raw data set is 0 to 76,000 cfu/100 mL for fecal coliform sampled between March 1996 and August 2001 and 0 to 241,920 cfu/100 mL for *E. coli* sampled between February 2008 and September 2010. The daily mean in-stream fecal coliform concentrations for all locations collected on the same day was compared to the average daily streamflow from USGS gage station 9481740 corresponding to that sample date, as shown graphically in Figure 2. The range of the mean data included in Figure 2 is 0 to 37,366 cfu/100 mL and includes the same locations listed in Table 4. In Figure 3, the daily mean in-stream *E. coli* concentration for all *E. coli* sampling locations was compared to the average daily streamflow recorded on that date from USGS gage station 9481740, which is located in the mid to southern portion of the watershed near Tubac, Arizona. The range of the mean data included in Figure 3 is 28 to 118,470 cfu/100 mL, and no month had zero *E. coli* concentration simultaneously at all locations. The sampling location data included in Figure 3 are those listed in Table 5 and additional *E. coli* data from Nogales Wash SCNGW004.87 and Nogales Wash at Johnsons Ranch SCSCR128.54 (these locations were not included in Table 5 due to limited sample availability). No samples were collected on days of zero streamflow thus daily streamflow shown in the below figures does not reflect the periods of no flow conditions.

Figure 2. In-stream fecal coliform concentrations along the Upper Santa Cruz River compared to average daily streamflow at USGS station 9481740 from March 1996 to August 2001. The Arizona WQ standard for fecal coliforms is 800 cfu/100 mL for a single sample maximum.

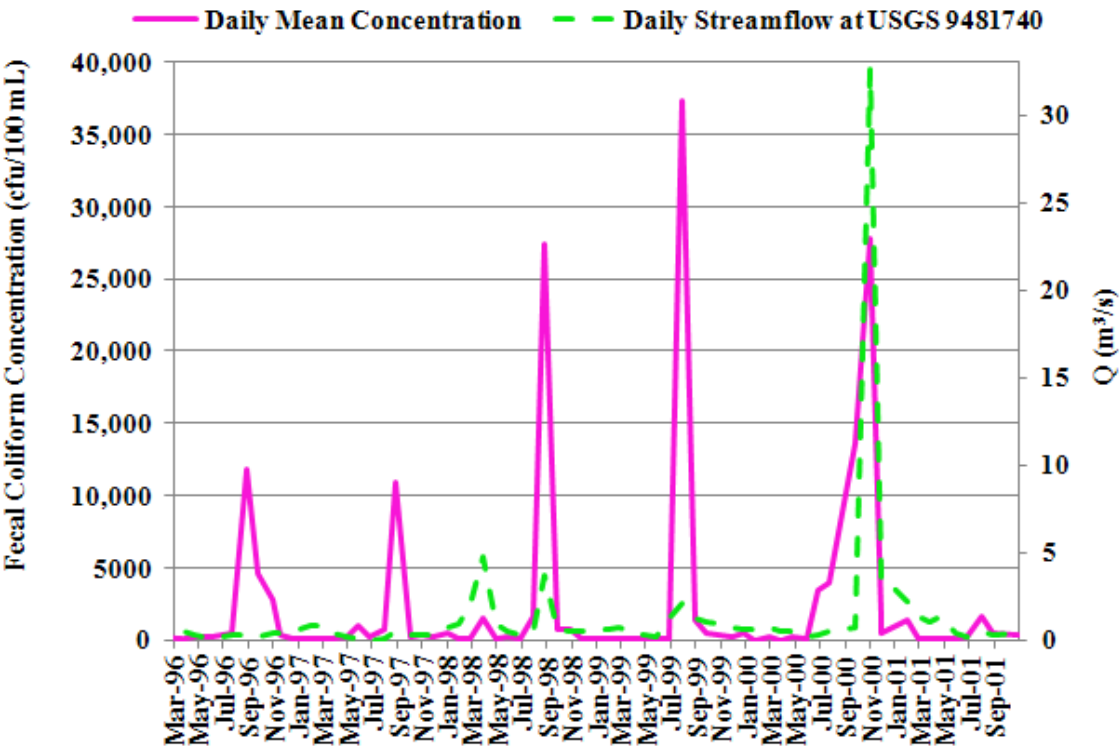
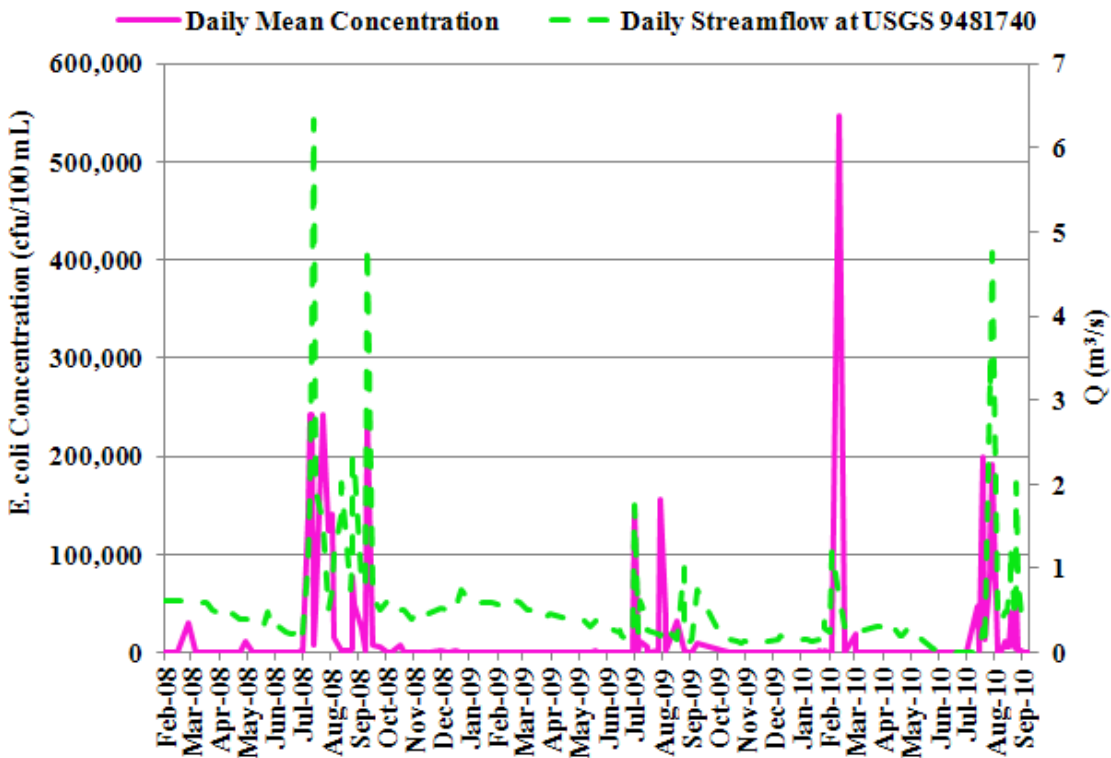


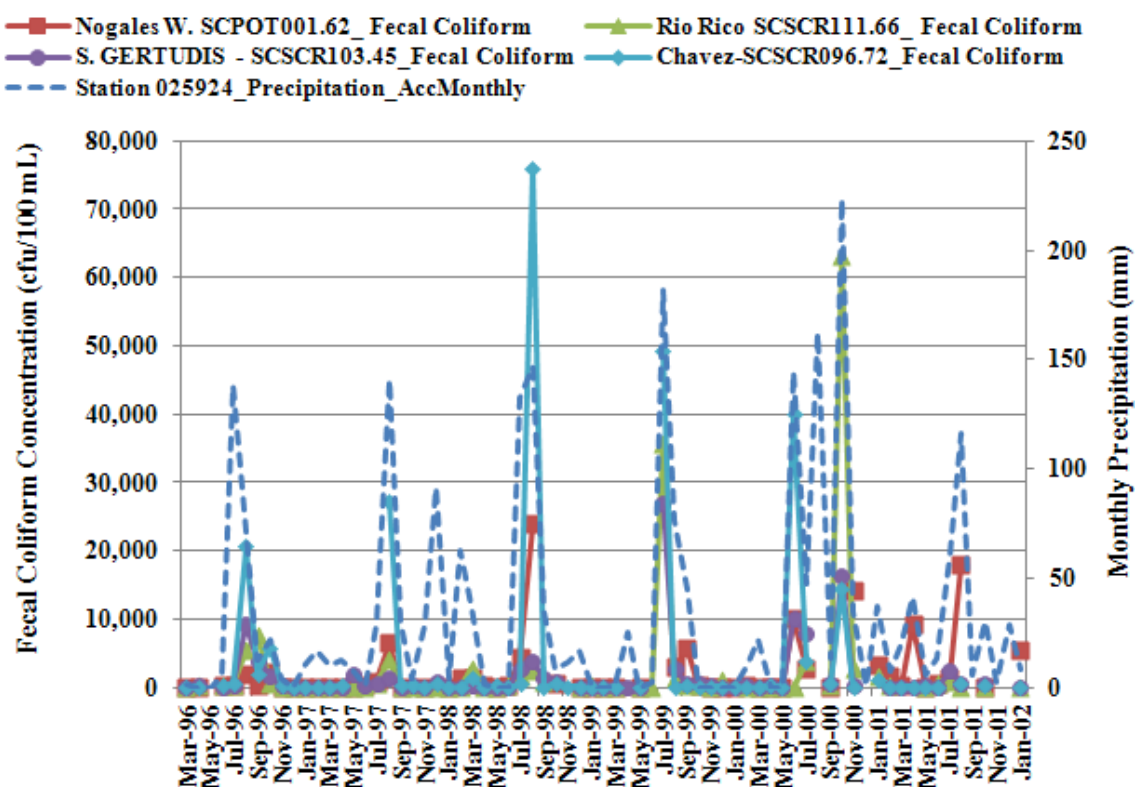
Figure 3. Mean in-stream *E. coli* concentrations in the Upper Santa Cruz River compared to daily streamflow at USGS station 9481740 from February 2008 to September 2010. The Arizona WQ standard for *E. coli* is 235 cfu/100 mL (FBC) and 575 cfu/100 mL (PBC) for a single sample maximum.



In Figure 4, the in-stream fecal coliform concentrations from multiple locations are graphically compared to monthly accumulated rainfall for the years 1996 to 2001. Weather Station 025924 (Nogales 6N) had the most complete record of precipitation data for comparison to the fecal coliform data. In-stream fecal coliform loads fluctuate in response to precipitation amount. An overall increase in fecal coliform concentrations occurs during increased periods of precipitation.

The strength of the positive correlation observed between the response of in-stream *E. coli* concentrations and streamflow (Figure 3); and in-stream fecal coliform concentrations and streamflow (Figure 2)/precipitation (Figure 4) was tested using linear regression. The resulting R-square (R^2) values were 0.31 and 0.32 for correlation of *E. coli* to daily streamflow and fecal coliform to daily streamflow, respectively. The R^2 value for fecal coliform concentration correlation to monthly accumulated rainfall was 0.43. While a correlation exists between streamflow and FIB concentrations, the relationship is convoluted by other factors. Since many hydrological and ecological processes [35] would affect the relationship, the degree of correlation is dependent on factors such as antecedent soil moisture conditions, seasonal changes, sediment loads, proximity of point and nonpoint runoff sources, microbial life cycles.

Figure 4. Impact of monthly accumulated rainfall on in-stream fecal coliform concentrations from March 1996 to December 2001



4.3. In-Stream Concentrations versus WWTP Effluent Concentrations

The in-stream fecal coliform concentrations range from <1.0 to 2519 and the WWTP effluent fecal coliform DMR maximums range from 3 to 1600; in-stream *E. coli* concentrations range from <1.0 to 139,000 and WWTP effluent *E. coli* concentrations range from < 1.0 to 2400. As shown in Figure 5 and 6, the nonpoint source in-stream fecal coliform and *E. coli* concentrations are compared to the maximum

concentration reported in each point source WWTP DMR period. The maximum concentration was used because it represents the ‘worse case’ situation during that period of measure. In-stream sampling locations have mean concentrations that are significantly different than the WWTP effluent maximum DMR grab sample values at the 0.05 alpha level of significance as shown in Table 6. Figures 5 and 6 and the statistical summary in Table 6 show that the in-stream fecal coliform and *E. coli* concentrations are significantly greater than the concentrations found in WWTP effluent. Regardless of sample location or type, a high degree of variability occurs in all data sets. Table 6 also shows the range of the data in each category for the entire period of record.

Figure 5. Comparison of fecal coliform maximum WWTP effluent discharge concentrations to in-stream sampling locations. Only values exceeding the 800 cfu/100 mL standard are shown.

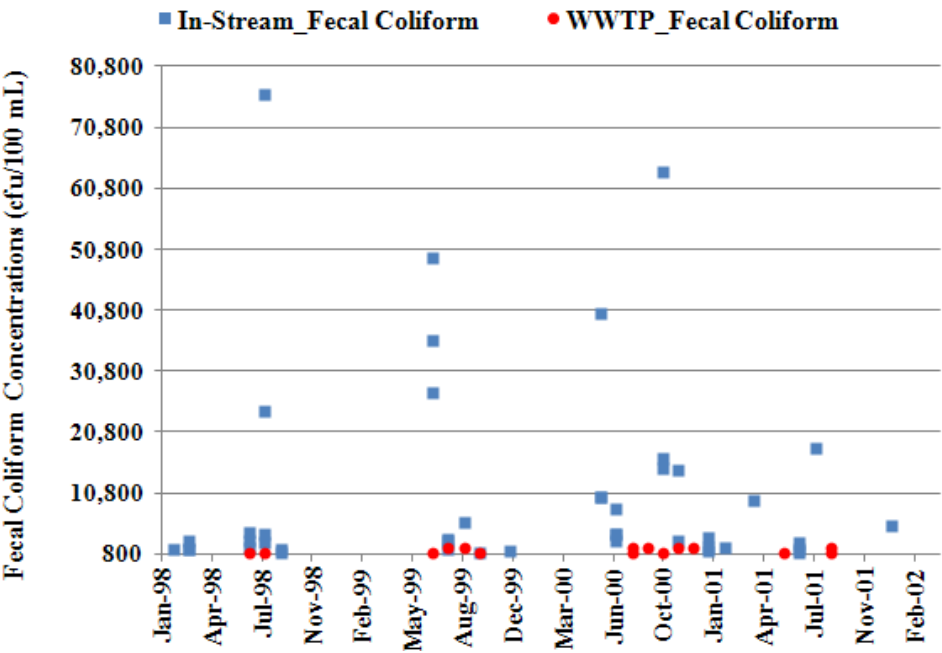


Figure 6. Comparison of *E. coli* from WWTP effluent discharge to in-stream sampling locations. Only values exceeding the 235 cfu/100 mL standard are shown.

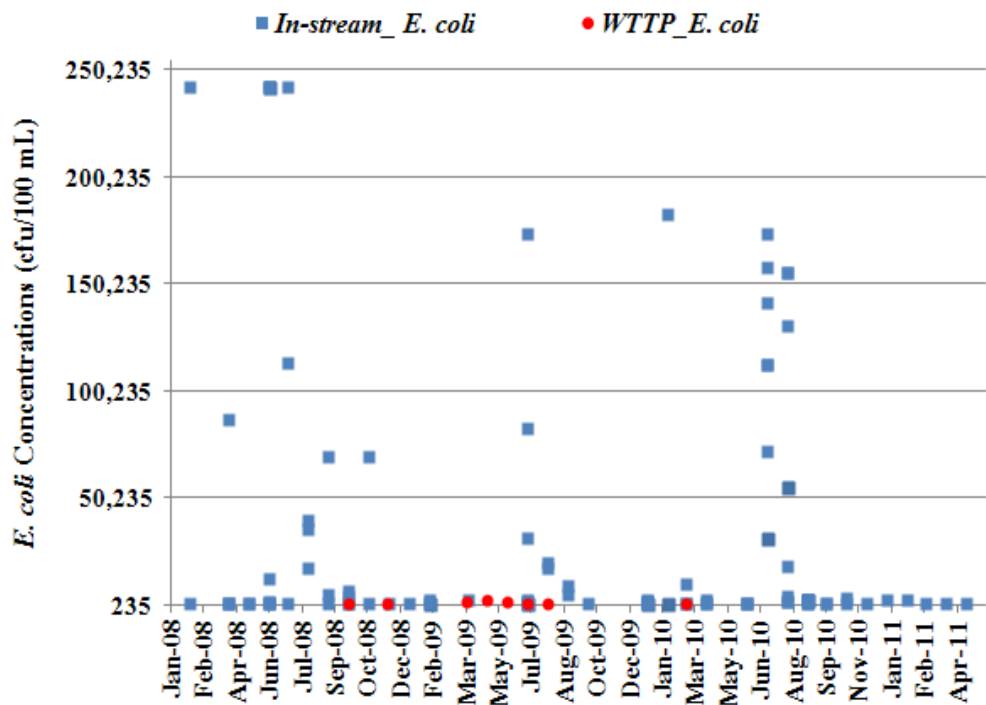


Table 6. Statistical summary of in-stream and WWTP effluent fecal coliform and *E. coli* concentrations. All units are cfu/100 mL.

Data Set	Mean Concentration (cfu/100 mL)	Minimum Concentration	Maximum Concentration	<i>p</i> -value*
WWTP Effluent E.coli	330	<1.0	2,400	0.000002
In-stream E.coli	1745	<1.0	139,000	
WWTP Effluent Fecal Coliform (DMR Maximums**)	285	3	1,600	0.0002
In-stream Fecal Coliform	2519	<1.0	139,000	
* statistical test used: two tailed T-test, unequal variance				
** Maximum values reported from each DMR period reflect 'worse case' concentrations				

5. Discussion

As this study verifies, significant surface water impairment is a result of nonpoint source pollution in Arizona. In-stream concentrations of fecal coliform and *E. coli* are significantly greater than those concentrations discharged in the treated effluent from WWTPs, as shown in Figures 5 and 6. Nonpoint sources such as faulty septic systems, agricultural and urban runoff, unregulated

discharges to stream washes, land use practices, and in-stream fate and transport processes contribute a significant portion of the pollution load to the Santa Cruz River; the statistical data reported in Table 6 supports this finding. According to the ADEQ 2006/2008 statewide summary report, point source contributions to stream pollution impacted 46 miles of streams while nonpoint sources contributed to pollution to 3,245 miles of the statewide stream network [20]. The data presented in this study indicate all sampling locations assessed in the Upper Santa Cruz watershed, both point and nonpoint, exceed the water quality criteria established by Arizona to protect human and aquatic health. DMRs submitted to regulatory agencies have several occurrences of FIB concentrations in the treated effluent exceeding the established water quality criteria. Depending on the specifics of the facility permit and wastewater class, these exceedances may be acceptable in some cases.

Studies have shown that FIB survival in surface waters varies from hours to days or even months if protected by sediments which make identifying the source of the FIB concentrations difficult [36,37]. The decay rate of FIB in surface water is a function of many ecological influences; therefore, water quality management, best management plan (BMP) development, watershed modeling, and risk assessment practices need to incorporate better methods as to how FIB interact with the environment, and furthermore, how well FIB accurately model true pathogenic concentrations in the watershed [16,17]. Researchers and regulators continuously question which pathogen indicators are appropriate to determine safe exposure levels in recreational waters. USEPA has approved several detection tests for evaluating FIB in water samples, and comparisons of these methods indicate high variability in sample results [17]. Field et al, 2007[19] evaluates the application of fecal source tracking as a better method for human health risk assessments and managing water quality compared to current reliance on FIB criteria. Litton et al, 2010 [38] further identifies fecal markers and source tracking tools which could vastly change the approach to FIB monitoring and regulation. These studies and the one presented here provide data on FIB concentrations in selected streams with respect to concentration, relationship to recreational water-quality standards, and influence of environmental factors such as streamflow, rainfall, sediment, and runoff [35]. Findings indicate that FIB concentrations are highly variable, especially along urban streams even in the absence of significant rainfall. Though FIB generally increase with streamflow and precipitation events as show in Figures 2-4, there are occurrences of peaks in FIB concentrations during baseflow conditions.

In figures 2-4, it is important to provide insight into the data to reach sound conclusions. Overall, trends and correlations show that increased fecal coliform and *E. coli* concentration generally correspond to increased streamflow from rainfall and concentrations are generally higher in the summer months as shown in other similar studies [35]. However, there were instances of increased fecal coliform or *E. coli* concentrations observed during months of little precipitation or streamflow. The data also show that in months of little to no streamflow, several locations were noted as “no sample collected due to no-flow conditions” on the day of sampling. Opportunities for consistent sample collection are limited due to the ephemeral nature of the streamflow, especially at tributary locations. It is likely that in-stream sample collection was done during periods of higher streamflow than average during little or zero baseflow conditions; however, most sample collection was done during low flow conditions and not as a result of precipitation events. As shown in figure 4, peaks in fecal coliform concentrations positively correlate ($R^2 = 0.43$) to months of high rainfall. The data compiled for this study provides insight into the water quality conditions related to pathogen indicators

in the watershed; however, the underlying conditions which could affect the grab sample concentrations – such as the sample collection and analysis method, agricultural activity, grazing activity, seasonal hydrology, and stream ecology – were not always clear in this assessment. The variation of the analysis methods and the FIB of interest disallows direct comparison of each sampling location for the entirety of the sampling record and may over or under estimate the actual value.

Efforts to mitigate nonpoint sources are mostly voluntary yet very active across the nation. Watershed managers encourage stakeholders to participate in watershed management groups, volunteer monitoring programs, BMP development and implementation, and education. Examples of successful BMPs for FIB mitigation in effluent dominated systems include engineered wetlands, bioretention areas, and filter strips [39,40]. In addition, improvements in watershed modeling capabilities allow better fate and transport for remediation studies and TMDL development [41,42]. In Arizona, the ADEQ adopted a suspended sediment concentration (SSC) standard of 80 mg/L in 2002 to replace its turbidity standard [20] which is closely linked to FIB concentrations released into the surface waters. Suspended sediment reduction is a priority in many watersheds in order to enhance water quality and to protect fish and aquatic communities. Hindering this progress is the lack of monitoring data in many watersheds which delays efforts to develop, implement, and assess the effectiveness of watershed control strategies such as the SSC standard.

6. Conclusions and Recommendations

Like much of the southwest, Arizona uses recycled waters for groundwater and surface water recharge to balance the supply and demand of a growing population. However, continuous monitoring of the fate and transport of FIB and their associated pathogens is an area needing further assessment. To fully assess the water quality in the Upper Santa Cruz watershed, a detailed analysis is needed which allows for FIB monitoring, source tracking, and reduction of nonpoint sources of pollution. This study assesses the influence of WWTP discharges and nonpoint sources on the indicator bacteria concentrations in the Santa Cruz River and surrounding tributaries. The results of this assessment find that the Upper Santa Cruz watershed is impaired with fecal coliform and *E. coli* at levels which exceed the established water quality criteria in Arizona. This assessment indicates that a risk to human health exists especially during the summer months when concentration trends increase and water contact is most likely to occur. Fecal coliform and *E. coli* levels from the WWTP effluent assessed in this study are significantly lower than the in-stream samples assessed which indicates that nonpoint sources play a significant role in the water quality conditions. Regardless of the sample type (effluent or in-stream), all sampled locations with available data exceeded the water quality criteria for fecal coliform and *E. coli* indicators. Water quality issues in the Upper Santa Cruz watershed are confounded by the quality of waters flowing from urbanized areas of Mexico with less regulated infrastructure to handle wastewater treatment.

Using natural vegetation filters, stabilization of stream banks, improvement of riparian zones, and urban runoff reduction in order to reduce erosion and sedimentation, are effective watershed control strategies. Updating septic systems is another method of source reduction of potential pathogens to the aquatic environment. Sediment is linked to pollutants such as pathogens and nutrients, and suspended sediment reduction should be a priority in this watershed. Management practices aimed to reduce

urban runoff and thus sediment could markedly reduce nonpoint sources of FIB in the stream network. Though likely a more expensive option, infrastructure improvements that eliminate faulty septic systems and combined sewer overflows would also reduce FIB concentrations released into the stream system. Advanced treatment of wastewater effluent and industrial discharges is another option to consider for reducing FIB concentrations within the watershed; the state of the art wastewater treatment at the Nogales plant is a good example of the current and ongoing efforts to achieve such objectives in Arizona. These recommendations could only be truly beneficial to the managers and regulators once TMDL values are established for impaired waterways and more data has been collected to assess how pathogens cycle through the entire watershed. As urbanization and population growth continues in the Santa Cruz watershed, water regulators, managers, and development planners will have to assess the impact of effluent-dominated stream sections in order to meet not only water quantity objectives, but also to maintain water quality standards.

Conflict of Interest

The authors declare no conflict of interest.

Acknowledgments

The authors acknowledge and thank Claire Zugmeyer, ecological research specialist with the Sonoran Institute, for compiling and sharing the data and the following groups for collection of the in-stream sampling data used in this assessment: National Park Service at Tumacácori, National Historical Park; Friends of the Santa Cruz River, Riverwatch program; US Geological Survey; National Park Service Sonoran Desert Network; and Arizona Department of Environmental Quality. The authors are also grateful for Juanita Francis-Begay and Dr. Ann Pitchford who provided many valuable comments to improve the manuscript. Although this work was reviewed by USEPA and approved for publication, it may not necessarily reflect official Agency policy.

References

1. Fayer, R.; Speer, C.A.; Dubey, J.P., *Cryptosporidium and Cryptosporidiosis*. 2nd ed.; CRC Press: Boca Raton, FL, 1997; p 1-42.
2. Gaffield, S.J.; Goo, R.L.; Richards, L.A.; Jackson, R.J., Public Health Effects of Inadequately Managed Stormwater Runoff. *American Journal of Public Health* **2003**, *93*, 1527-1533.
3. Asano, T.; Levine, A.D., Wastewater reclamation, recycling and reuse: past, present, and future. *Water Science and Technology* **1996**, *33*, 1-14.
4. Asano, T.; Cotruvo, J.A., Groundwater recharge with reclaimed municipal wastewater: health and regulatory considerations. *Water Research* **2004**, *38*, 1941-1951.
5. ADWR Recharge. <http://www.azwater.gov/AzDWR/WaterManagement/Recharge/default.htm> (July 6, 2011).
6. Schladweiler, J. Tracking Down the Roots of Our Sanitary Sewers. www.sewerhistory.org (August 8, 2011,

- 1 7. Simon, T., Reuse of effluent water—benefits and risks. *Agricultural Water Management* **2006**,
2 80, 147-159.
- 3 8. Brooks, B.; Riley, T.; Taylor, R., Water Quality of Effluent-dominated Ecosystems:
4 Ecotoxicological, Hydrological, and Management Considerations. *Hydrobiologia* **2006**, 556,
5 365-379.
- 6 9. Gannon, J.; Busse, M., *E. coli* and enterococci levels in urban stormwater, river water and
7 chlorinated treatment plant effluent. *Water Res.* **1989**, 23, 1167-1176.
- 8 10. USEPA 5.11 Fecal Bacteria. <http://water.epa.gov/type/rsl/monitoring/vms511.cfm> (July 26,
9 2011).
- 10 11. USEPA, Review of Published Studies to Characterize Relative Risks From Different Sources
11 of Fecal Contamination in Recreational Water. Water, O.o., Ed. U.S. Environmental Protection
12 Agency: 2009.
- 13 12. USEPA, Ambient Water Quality Criteria for Bacteria - 1986. Office of Water: Washington,
14 DC, 1986; Vol. EPA 440/5-84-002.
- 15 13. USEPA, Test methods for *Escherichia coli* and enterococci in water by the membrane filter
16 procedure (Method #1103.1). U.S. Environmental Protection Agency, Environmental
17 Monitoring and Support Laboratory: Cincinnati, OH, 1985; Vol. EPA 600/4-85-076.
- 18 14. USEPA *Bacterial Water Quality Standards for Recreational Waters: Status Report (EPA-823-
19 R-03-008)*; Office of Water: Washington D.C., 2003.
- 20 15. APHA, *Standard methods for the examination of water and wastewater*. 18th ed.; American
21 Public Health Association: Washington, DC, 1992.
- 22 16. Gronewold, A.D.; Borsuk, M.E.; Wolpert, R.L.; Reckhow, K.H., An Assessment of Fecal
23 Indicator Bacteria-Based Water Quality Standards. *Environmental Science & Technology* **2008**,
24 42, 4676-4682.
- 25 17. Harwood, V.J.; Levine, A.D.; Scott, T.M.; Chivukula, V.; Lukasik, J.; Farrah, S.R.; Rose, J.B.,
26 Validity of the Indicator Organism Paradigm for Pathogen Reduction in Reclaimed Water and
27 Public Health Protection. *Appl. Environ. Microbiol.* **2005**, 71, 3163-3170.
- 28 18. Leclerc, H.; Mossel, D.A.A.; Edberg, S.C.; Struijk, C.B., Advances in the bacteriology of the
29 Coliform Group: Their suitability as markers of microbial water safety. *Annu. Rev. Microbiol.*
30 **2001**, 55, 201-234.
- 31 19. Field, K.G.; Samadpour, M., Fecal source tracking, the indicator paradigm, and managing
32 water quality. *Water Research* **2007**, 41, 3517-3538.
- 33 20. ADEQ, 2006/2008 Status of Ambient Surface Water Quality in Arizona: Arizona's Integrated
34 305(b) Assessment and 303(d) Listing Report. (ADEQ), A.D.o.E.Q., Ed. 2009; p 596.
- 35 21. USEPA, Bacteriological criteria for those states not complying with Clean Water Act section
36 303(i)(1)(A). In *Title 40: Part 131.41 Arizona*, Agency, U.S.E.P., Ed. 2010.
- 37 22. Marino, R.; Gannon, J., Survival of fecal coliforms and fecal streptococci in storm drain
38 sediment. *Water Research* **1991**, 25, 1089-1098.

- 1 23. National Research Council, *Issues in Potable Reuse - the viability of augmenting drinking water*
2 *supplies with reclaimed water*. National Academy Press: Washington, DC, 1998.
- 3 24. USEPA Watershed Priorities: Santa Cruz River Watershed, AZ.
4 <http://www.epa.gov/region9/water/watershed/santacruz.html> (September 15, 2011).
- 5 25. Scott, C.; Pasqualetti, M.; Hoover, J.; Garfin, G.; Varady, R.; Guhathakurta, S. *Water and*
6 *Energy Sustainability with Rapid Growth and Climate Change in the Arizona-Sonora Border*
7 *Region*; A Report to the Arizona Water Institute: 2009; p 16.
- 8 26. U.S. Census Bureau Table A1: Interim Projections of the Total Population for the United States
9 and States: April 1, 2000 to July 1, 2030.
10 <http://www.census.gov/population/projections/SummaryTabA1.pdf> (September 14, 2011).
- 11 27. Sprouse, T.W., Water Issues on the Arizona - Mexico Border: The Santa Cruz, San Pedro, and
12 Colorado Rivers. Water Resources Research Center, C.o.A.a.L.S., Ed. The University of
13 Arizona: Tucson, Arizona, 2005; p 38.
- 14 28. USEPA US-Mexico Border 2012. <http://www.epa.gov/usmexicoborder/index.html> (September
15 30, 2011).
- 16 29. USEPA Envirofacts Database. <http://www.epa.gov/enviro/> (September 7, 2011).
- 17 30. ADWR Active Management Area Water Supply - Central Arizona Project Water.
18 <http://www.azwater.gov/azdwr/StatewidePlanning/WaterAtlas/ActiveManagementAreas/PlanningAreaOverview/WaterSupply.htm> (September 30, 2011).
- 19 31. Pima County Regional Wastewater Reclamation Department *2010 Effluent Generation and*
20 *Utilization Report*; Pima County, Arizona, 2011; p 28.
- 21 32. CH2MHILL *Nogales International Wastewater Treatment Plant Maximum Allowable*
22 *Headworks Loading Development*; IBM04D0005, Task Order IBM08T0035; United States
23 Section, International Boundary and Water Commission (USIBWC): 2009; p 37.
- 24 33. ADEQ *Total Maximum Daily Load For: Oak Creek- Slide Rock State Park Parameters:*
25 *Escherichia coliform*; Open File Report 09-08; June, 1999.
- 26 34. Lim, K.J.; Engel, B.A.; Tang, Z.; Choi, J.; Kim, K.-S.; Muthukrishnan, S.; Tripathy, D.,
27 Automated Web GIS Based Hydrograph Analysis Tool (WHAT). *JAWRA Journal of the*
28 *American Water Resources Association* **2005**, *41*, 1407-1416.
- 29 35. Lipp, E.; Kurz, R.; Vincent, R.; Rodriguez-Palacios, C.; Farrah, S.; Rose, J., The effects of
30 seasonal variability and weather on microbial fecal pollution and enteric pathogens in a
31 subtropical estuary. *Estuaries and Coasts* **2001**, *24*, 266-276.
- 32 36. Kinnaman, A.; Surbeck, C.Q.; Usner, D., Coliform Bacteria: The Effect of Sediments on Decay
33 Rates and on Required Detention Times in Stormwater BMPs. *Journal of Environmental*
34 *Protection* **2012**, *3*, 787-797.
- 35 37. Easton, J.H.; Gauthier, J.J.; Lalor, M.M.; Pitt, R.E., Die-off of Pathogenic *E. coli* O157:H7 in
36 Sewage Contaminated Waters. *JAWRA Journal of the American Water Resources Association*
37 **2005**, *41*, 1187-1193.
- 38

38. Litton, R.M.; Ahn, J.H.; Sercu, B.; Holden, P.A.; Sedlak, D.L.; Grant, S.B., Evaluation of Chemical, Molecular, and Traditional Markers of Fecal Contamination in an Effluent Dominated Urban Stream. *Environmental Science & Technology* **2010**, *44*, 7369-7375.
39. Hunt, W.; Smith, J.; Jadlocki, S.; Hathaway, J.; Eubanks, P., Pollutant Removal and Peak Flow Mitigation by a Bioretention Cell in Urban Charlotte, N.C. *Journal of Environmental Engineering* **2008**, *134*, 403-408.
40. van der Valk, A.G.; Jolly, R.W., Recommendations for research to develop guidelines for the use of wetlands to control rural nonpoint source pollution. *Ecological Engineering* **1992**, *1*, 115-134.
41. Baffaut, C.; Sadeghi, A., Bacteria Modeling with SWAT for Assessment and Remediation Studies: A Review. **2010**, *53*, 1585-1594.
42. Benham, B.L.; Baffaut, C.; Zeckoski, R.W.; Mankin, K.R.; Pachepsky, Y.A.; Sadeghi, A.M.; Brannan, K.M.; Soupir, M.L.; Habersack, M.J., Modeling Bacteria Fate and Transport in Watersheds to Support TMDLs. **2006**, *49*, 987-1002.

© 2012 by the authors; licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution license (<http://creativecommons.org/licenses/by/3.0/>).