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3     **Comparing Emission Inventories and Model-Ready Emission Datasets between Europe**

4                 **and North America for the AQMEII Project**

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# Comparing Emission Inventories and Model-Ready Emission Datasets between Europe and North America for the AQMEII Project

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32

33 Abstract

This paper highlights the similarities and differences in how emission inventories and datasets were developed and processed across North America and Europe for the Air Quality Model Evaluation International Initiative (AQMEII) project and then characterizes the emissions for the two domains. We focus specifically on the creation of “model-ready” gridded emission datasets for 2006 across the two continental study domains. The practice of creating and processing the two inventories is discussed with a focus on emission factors, spatial allocation, temporal variability, speciation of PM and VOCs, and the mechanics of distributing the data and supporting emission algorithms to the modeling community. The spatial and temporal distribution on common scales is compared for the pollutants of primary concern: NO<sub>x</sub>, VOCs, SO<sub>2</sub>, PM<sub>2.5</sub>, CO, and NH<sub>3</sub>. Because of differences of population distribution, emissions across North America tend to be more heterogeneous in spatial coverage than in Europe. The temporal

45 patterns in the estimated emissions are largely the result of assumptions used to characterize  
46 human activity, with the exception of “natural” emissions, which are modulated by  
47 meteorological variability, and emissions from large electric generating units in the U.S., which  
48 have the benefit of continuous emission monitors that provide hourly resolved profiles.  
49 Emission estimates in both study domains are challenged by several important but poorly  
50 characterized emission source sectors, notably road dust, agricultural operations, biomass  
51 burning, and road transport. Finally, this paper provides insight on the strengths and weaknesses  
52 of emission inventory preparation practices on both continents. One important outcome of this  
53 comparison of 2006 emissions between Europe and North America is the greater understanding  
54 provided into how the emission estimates developed for the AQMEII project impact regional air  
55 quality model performance.

56

57 Key words: AQMEII, regional air quality models, emission inventories

58

59 **1. Introduction**

60

61 To support the goals of the Air Quality Model Evaluation International Initiative (AQMEII),  
62 emission datasets have been created for the European and North American study domains.  
63 Guiding principles for constructing these datasets were as follows: (1) that they be based on  
64 model inventories used by the air quality management community; (2) that they be distributed to  
65 the AQMEII participants in a timely fashion using the (limited) resources available for the  
66 project; and, (3) that the datasets be constructed such that their size and format could be  
67 conveniently distributed to the international community for use in regional air quality model

68 simulations with a minimum amount of preprocessing. While the construction and delivery of  
69 these datasets are the obvious motivators for this effort, having emission datasets for the same  
70 period for two different continental study domains offers the opportunity to investigate and  
71 compare how emission inventories and datasets are developed and processed across North  
72 America and Europe for regional air quality model application.

73

74 In this paper, we focus on the creation of “model-ready” gridded emission datasets for 2006  
75 across the two continental study domains. The practice of creating and processing the two  
76 inventories will be discussed with a focus on spatial and temporal allocation. The spatial and  
77 temporal distribution on common scales will be compared for the pollutants of primary concern:  
78 nitrogen oxides ( $\text{NO}_x$ ), volatile organic compounds excluding methane (VOCs), sulfur dioxide  
79 ( $\text{SO}_2$ ), particulate matter 2.5 microns or less ( $\text{PM}_{2.5}$ ), carbon monoxide (CO), and ammonia  
80 ( $\text{NH}_3$ ). It is hoped that this paper will provide insight on the strengths and weaknesses of  
81 emission inventory preparation practices on both continents. One important outcome of this  
82 comparison of 2006 emissions between Europe and North America will be the greater  
83 understanding on how the emission estimates developed for the AQMEII project might impact  
84 regional air quality model performance.

85

## 86 2. How the emissions were assembled

87

88 In this section, we describe the basis of model-ready inventory datasets created for the  
89 European and North American domains.

90

91    2.1 European domain  
92  
93       The AQMEII inventory is based on the TNO (Nederlandse Organisatie voor Toegepast  
94       Natuurwetenschappelijk Onderzoek or Netherlands Organization for Applied Scientific  
95       Research) inventory (Denier van der Gon et al., 2010) that consists of a gridded emission  
96       database for the year 2005 across the European region. The dataset is a follow-on to the widely  
97       used “Global and regional Earth-system Monitoring using Satellite and in-situ data” (GEMS)  
98       emission database by Visschedijk et al. (2007) constructed by TNO in the framework of the  
99       GEMS project. The dataset consists of European anthropogenic emissions by country for the ten  
100      Source Nomenclature for Air Pollution (SNAP) sectors: energy transformation, small  
101      combustion sources, industrial combustion, industrial processes, extraction of fossil fuels,  
102      solvent and product use, road transport, non road transport, waste handling, and agriculture. The  
103      TNO 2005 emission inventory is not a bottom-up emission inventory combining activity data  
104      and emission factors but is set up using official reported emissions at the source sector level, to  
105      the extent possible without reducing the overall quality of the inventory. Emissions were  
106      downloaded from the European Environment Agency ([http://www.eea.europa.eu/data-and-](http://www.eea.europa.eu/data-and-maps/data)  
107      [maps/data](#)). However, the reported emissions by an individual country may contain gaps and  
108      errors, therefore various consistency checks were made as described in detail by Denier van der  
109      Gon et al. (2010). If necessary, gaps and unreliable data were replaced by emissions estimated  
110      from the IIASA-GAINS (International Institute for Applied Systems Analysis - Greenhouse Gas  
111      and Air Pollution Interactions and Synergies) model (<http://gains.iiasa.ac.at/>) or TNO’s own  
112      default emission database. The advantage of this approach is that the inventory made optimal  
113      use of national emission experts (who are responsible for reporting emissions to EMEP). The

114 country emissions and international shipping emissions were spatially distributed on a 0.125 by  
115 0.0625 degree longitude-latitude resolution. Source sector total emissions were broken down into  
116 contributions from approximately 200 source categories. Each source category was linked, when  
117 possible, to spatial distribution proxies such as population density, power plant capacity and  
118 location, road network and traffic intensity (Denier van der Gon et al., 2010).

119

120 In the framework of the European Integrated Project MACC ([http://www.gmes-](http://www.gmes-atmosphere.eu)  
121 atmosphere.eu) the base year 2005 emission inventory was extended to the years 2003-2007.  
122 This was done by developing a set of scaling factors as described in detail by Kuenen et al.  
123 (2011). Similar consistency checks and gap filling as done for the year 2005 database was  
124 performed for each year. For countries where no emission data for 2005 were available, linear  
125 interpolation was used between available years. The result was a set of scaling factors relative to  
126 2005 emission by individual source category by country by year. The international shipping  
127 emission totals for the individual years in the European domain were taken from the EMEP  
128 Centre on Emission Inventories and Projections (CEIP; <http://www.ceip.at/>). The AQMEII  
129 emission inventory is the year 2006 out of this so-called European TNO-MACC inventory.

130

131 The dataset distributed to the AQMEII community includes CH<sub>4</sub>, CO, NO<sub>x</sub>, SO<sub>2</sub>,  
132 NMVOC, NH<sub>3</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub>. The black carbon (BC) and organic carbon (OC) contributions  
133 to primary PM were supplied as well. While the data consist of annual total emissions, temporal  
134 factors from the Eurodelta Modelling Intercomparison Exercise (EURODELTA - Stuttgart  
135 University/TNO GENEMIS), were made available for calculating hourly inputs for the regional  
136 air quality models. The database distinguishes area and point sources. The dataset included

137 example VOC splits for the CBM-IV and CBM-V mechanisms, but it was assumed (as is  
138 common practice in Europe) that chemical speciation would be performed internally by each  
139 modeling system. While biomass burning emissions have been developed by researchers in  
140 Finland, they were not available for distribution with this dataset. An important limitation in the  
141 emission inventories is that semi-natural sources like wind-blown dust and re-suspension  
142 emissions were not included in either the anthropogenic and natural emissions data set.

143

144 2.2 North American domain

145

146 The 2006 AQMEII modeling inventory for the North American domain is comprised of data  
147 from the United States, Canada, and Mexico. The primary basis for the inventory is U.S. EPA's  
148 2005 National Emission Inventory, version 3 (NEIv3), which has been translated onto the 2005  
149 modeling platform described in EPA's Technology Transfer Network Clearinghouse for  
150 Inventories and Emissions Factors (TTN/CHIEF) ([www.epa.gov/ttn/chief/eiinformation.html](http://www.epa.gov/ttn/chief/eiinformation.html)).  
151 Pollutants in the inventory include CO, NH<sub>3</sub>, VOC, NO<sub>x</sub>, PM<sub>2.5</sub>, and SO<sub>2</sub>. For AQMEII, the  
152 2005 dataset was updated for the United States to include 2006 Continuous Emission Monitoring  
153 (CEM) data of SO<sub>2</sub> and NO<sub>x</sub> from major point sources; 2006 episodic wildland fire emissions;  
154 integration of criteria and hazardous air pollutant inventories for benzene, acetaldehyde,  
155 formaldehyde, methanol, chlorine, and HCL; and, the allocation of large marine vessel emissions  
156 as near-surface, grid cell area-averaged emissions. Fire emissions were based on 2006 daily fire  
157 estimates using the Hazard Mapping System fire detections from the National Oceanic and  
158 Atmospheric Administration (NOAA) and Sonoma Technology's SMARTFIRE emission  
159 processing system (Raffuse et. al, 2009). Criteria emissions were integrated with EPA's

160 Hazardous Air Pollutant (HAP) inventory for benzene, acetaldehyde, formaldehyde, methanol  
161 while chlorine and HCL emissions were obtained directly from the HAP inventory. Version 2 of  
162 the 2005 point source inventory was used, which included aircraft and airport emissions.  
163 Ethanol-related updates were not included because they were not yet available. Oil and gas  
164 emissions in the nonpoint sector were updated for states in the western U.S. Continuous  
165 Emission Monitoring (CEM) data from 2006 were used for the Electric Generating Units (EGU)  
166 sector. Environment Canada supplied a 2006 inventory with updated surrogates for the domain  
167 but no biomass burning. No additional information was available for Mexico, which comes from  
168 a 1999 emission inventory (<http://www.epa.gov/ttn/chief/net/mexico.html>), in which population  
169 was the only spatial surrogate used to allocate emissions across the model domain.

170

171 The Sparse Matrix Operational Kernel for Emissions (SMOKE) processor  
172 (<http://www.smoke-model.org/version2.6/html/>) was used to process the emissions into a “model  
173 ready” format. Use of SMOKE allowed the emissions to be tabulated by source categories,  
174 allocated into grid cells, temporally resolved, and output into two different chemical  
175 mechanisms. Emissions were separated into the 15 source categories shown in Table 1. These  
176 source categories were chosen because of their use in regulatory modeling

177

178 To reduce space, the data were distributed in a two-dimensional format rather than in a three-  
179 dimensional format. This was accomplished by providing, for major point sources and location-  
180 specific wildland fires, the plume top and bottom (in units of meters, above ground level) on an  
181 hourly basis. These sources were denoted by latitude/longitude rather than grid cell id.  
182 Emissions for all other sources were given as a grid-cell average value.

183

184        As noted above, all the major point sources and wildland fire emission sources were output  
185        on an hourly basis. For the remaining grid-cell average emission sources (except for biogenics),  
186        to save space and to enable distribution among the AQMEII participants, monthly emissions  
187        were provided for a single week, as emissions for most sectors vary little on a week-to-week  
188        basis (within a month). Sectors allocated in this manner included afdust, ag, alm\_no\_c3, nonpt,  
189        nonroad, on\_nmim2moves, on\_nmim2moves, othar, othon, and othpt. In addition, holidays were  
190        not accounted for in this modeling inventory, since typically the first full week in the month is  
191        assumed. The weekly emissions did account for weekends. Within the SMOKE processing  
192        system, hourly time resolution is achieved by using the system's source-category specific  
193        temporal allocation factors.

194

195        Day-specific emissions were developed for the following sectors: ptnonipm, ptipm, othpt,  
196        beis, ptfire. Data in these files include (1) hourly continuous emissions monitoring (CEM)  
197        information from electrical generating units (EGU); (2) biogenic emissions based on hourly  
198        meteorology; (3) hourly point source emissions and plume rise calculations (based on hourly  
199        meteorology and stack operating characteristics); and (4) daily fire emissions for the ptfire  
200        sector. For the point source sectors (ptnonipm, ptipm, othpt), three files are provided for each  
201        day: (1) layer 1 emissions that are considered "minor" sources with little/no plume rise; (2) a  
202        locations file that includes the grid cell locations including the latitude/longitude, row and  
203        column, and the location in the grid relative to the origin of the point sources; and, (3) emissions  
204        per source "matched" with the location file and including the estimated plume rise based on the

205 hourly meteorology. To reduce data size and ease transferability, the data were stored in the  
206 IOAPI/NETCDF format (<http://www.baronams.com/products/ioapi/AA.html>).

207

208 Gridded biogenic VOC and NO emissions were computed on an hourly resolution for the  
209 same chemical species as the anthropogenic emissions. Emissions were based on version 3.14 of  
210 the Biogenic Emissions Inventory System (BEISv3.14). Environmental correction factors were  
211 derived from the meteorological data generated by the WRF model (see Gilliam et al, this issue).  
212 Like the European inventory, it was assumed that sea salt would be calculated internal to most  
213 chemical transport models. Currently, lightning, wind blown dust, and geogenic sources are not  
214 included in the North American inventory. As indicated above, biomass burning emissions are  
215 included in the “anthropogenic” emissions although in most cases, these emissions should be  
216 considered natural in origin.

217

218 The manner in which emissions are processed for modeling in North America involves an  
219 emissions processing system, in our case the SMOKE system, which includes a speciation  
220 module to take total VOC and PM emissions and to allocate those emissions to specific chemical  
221 classes. For the North American domain, emission data were developed for the CB05 (Yarwood  
222 et al., 2005) and SAPRC07 (Carter, 2010) chemical mechanisms. These mechanisms are  
223 commonly used in regional air quality model simulations in the United States, and the lumping  
224 assumed for VOCs with SAPRC07 enables its emissions to be translated conveniently to other  
225 chemical mechanisms in use by the international community.

226

227 A few possible limitations of the North American dataset include the following:

228 (1) Some area source estimates were based on an older 2002 inventory.

229 (2) Mobile source emissions were based on a 2005 estimate using EPA's NMIM model, which

230 included the MOBILE6 system for on-road emissions. Monthly state-level temperatures were

231 used to create monthly county-level mobile source emission estimates.

232 (3) It did not include emissions from wind blown dust or NO generated from lightning.

233 (4) Aircraft emissions were limited to takeoffs/landings/ground operations.

234 (5) Information from Mexico is limited and all spatial allocations used a single population

235 surrogate.

236

237 **3. Comparison of emission estimates**

238

239 To compare emissions between the two modeling domains requires a harmonization of the

240 two source categorization systems: the Source Nomenclature for Air Pollution (SNAP) in Europe

241 and the Source Classification Codes (SCC) in North America. Given that the two systems differ

242 significantly at the fully coded classification level, comparisons are made at a high level using

243 the 11 SNAP sectors and one or two leading digits of the North American Source Classification

244 Code (SCC) system. Table 2 provides a “high level” cross-walk between the two systems that is

245 used for comparing emissions between the two study domains.

246

247 Tables 3 and 4 summarize the annual emission estimates for the European and North

248 American domains by pollutant and broad emission categories. For the European and North

249 American domains, we have chosen not to include international shipping estimates in the

250 overview tables but they are present in the emission databases and have included them in the

251 spatial analysis. Since the European reported totals did not include biogenic or biomass burning  
252 emissions, we have not included these in the North American totals to allow for a consistent  
253 comparison. Therefore the comparisons in tables 3 and 4 include only land-based anthropogenic  
254 sources. A first remarkable and coincidental feature is that the overall total emissions are the  
255 same order of magnitude across both inventories within less than 15%; only CO and NH<sub>3</sub> differ  
256 more (Table 3 and 4). For example, SO<sub>2</sub> is about 15,000 Gg per year for both domains. Carbon  
257 monoxide and NO<sub>x</sub> are dominated by mobile and combustion sources as expected. VOCs are  
258 dominated by non-combustion and mobile sources (also as expected). PM<sub>2.5</sub> is dominated by  
259 combustion (because biomass burning has been excluded from this comparison). PM10 is not  
260 included in the comparison because total emissions for the North American domain are  
261 dominated by fugitive (road) dust which is not included in the European domain inventory  
262 because it is not seen as a primary emission source in Europe and falls outside of any reporting  
263 or inventory obligation.

264

265 To better understand the differences and similarities between the two inventories, we have  
266 calculated the relative percentage of each pollutant by sector for each domain. These percentages  
267 are shown in Tables 5 and 6. We have further highlighted the pollutant/sector combinations  
268 where the significant differences were found to exist between the two inventories.

269

270 Similarities between the two inventories include the following: The relative contribution of  
271 source categories to the total emissions is highly similar for all pollutants except for CO. The  
272 ratio of pollutant emissions differs between source categories but for each source category is  
273 rather similar in both domains (e.g., the VOC/NO<sub>x</sub> ratio or PM<sub>2.5</sub>/NO<sub>x</sub> ratio are similar for the

274 five categories). Of the five categories, combustion sources have the smallest VOC/NO<sub>x</sub> ratio  
275 for both domains. Ammonia (NH<sub>3</sub>) is dominated by the miscellaneous category (agriculture)  
276 (94% for Europe and 86% for North America).

277

278 The following differences are noteworthy: While the overall total of CO is similar, the  
279 relative differences in combustion and mobile are quite large; CO from combustion is a factor of  
280 4 higher in the North American domain, while CO from mobile is a factor of 3 higher in the  
281 European domain. The VOC/ NO<sub>x</sub> ratio is much lower in the NA domain than the EU domain  
282 (0.13 vs 0.26) for combustion sources, suggesting different assumptions about combustion  
283 between the two domains. In Tables 5 and 6, we see that 85% of the CO inventory comes from  
284 mobile sources for North America, but for Europe it is 43%. This suggests that either the  
285 inventory methods for CO are significantly different between the two domains or the emission  
286 standards and emission limit values are different, and we might expect different model  
287 performance for CO in the two domains. An explanation, but only partial, is the higher fraction  
288 of gasoline passenger cars in the NA domain. Gasoline fuelled cars emit substantially more CO  
289 per vehicle km than diesel fueled cars. Tables 5 and 6 suggest two additional pollutant/source  
290 combinations of large relative differences: SO<sub>2</sub> from non-combustion sources and PM<sub>2.5</sub> from  
291 combustion sources. The discrepancy for SO<sub>2</sub> from non-combustion sources is not too surprising  
292 as this category covers a wide range of activities (Table 2) that may be quite different between  
293 the two domains. More interesting is the difference for the category combustion sources. NOx  
294 and SO<sub>2</sub> emissions are quite similar in both domains but PM2.5, VOC and CO emissions are  
295 relatively enhanced in the European domain (Table 3 and 4). In a future study, it would be

296 worthwhile to explore if this is due to different emission factors or reflects a real difference in  
297 type of combustion devices, fuels and fuel qualities.

298

299 Figures 1 through 6 show the spatial patterns of the annual emission estimates for each  
300 modeling domain normalized by the grid resolutions. In these figures, we have included  
301 international commercial shipping since this information was available for both domains.  
302 However, we have excluded biogenic and biomass burning (wildfire) emissions. Emission  
303 estimates are displayed on the same logarithmic coloring scale to capture the spatial ranges of  
304 variability. In Figure 1, NO<sub>x</sub> emission density is largest in urban areas and smallest in rural  
305 areas in North America and in Europe. However, in Europe the distribution is less variable  
306 compared to North America. For SO<sub>2</sub> emissions the expected spatial patterns in both domains  
307 (Figure 2) are only partially visible in the maps. Highest densities in coal-fired electric power  
308 burning regions of the Ohio Valley are visible, but in Europe, land based SO<sub>2</sub> emissions are  
309 completely dominated by point sources (66% power plants and another 16% industrial  
310 combustion). These point sources are not as visible in the emission grids depicted in Figure 2  
311 because of the grid spacing used to visualize the emission inventory. The contribution of solid  
312 fuels (coal) and fuel oil in residential combustion is clearly visible in figure 2 as a more diffuse  
313 pattern and important in Germany and Poland but as pointed out earlier, for SO<sub>2</sub>, this is of minor  
314 importance compared to point source emissions. PM<sub>2.5</sub> has its highest densities in the mid-  
315 western and south-central regions in North American compared to Europe where the densities  
316 are distributed fairly evenly across the domain (Figure 3). CO patterns are similar to NO<sub>x</sub>  
317 patterns (Figure 4). In contrast, ammonia emissions are dominated by agricultural operations and  
318 animal husbandry in rural areas of both continents and lack an urban signal. Ammonia emissions

319 appear widespread in areas east of the Rocky Mountains, in the Central Valley of California, in  
320 portions of France, Germany, Italy, and the Netherlands (Figure 5). Figure 6 shows that VOC  
321 emissions are largest in urban areas corresponding to concentrations with population, road  
322 transport and industrial solvent use.

323

324 Another comparison of the two emission inventories is an analysis of the temporal allocation  
325 of emissions by pollutant for each domain. This analysis was performed both for the hourly  
326 emission changes (diurnal variation) averaged over the year and for the daily variation during the  
327 year including both seasonal changes and weekday/weekend changes. Figures 7-12 show the  
328 normalized emission temporal profiles by pollutant for both the North American and European  
329 domains. To harmonize the comparison, emissions from biogenic sources, wildfires, and  
330 international shipping have been excluded. However, we were unable to harmonize all  
331 differences across time zones. For the North American domain, hour of the day emissions are  
332 summarized by Universal Time (UT) rather than by Local Time (LT). This causes the hourly  
333 emissions, which span four time zones, to appear to have a less pronounced diurnal pattern than  
334 the European emissions. For the European domain, the temporal profiles were applied to each  
335 SNAP sector to compute the daily emissions and the daily values were divided by the mean. No  
336 adjustments were made for local times, so the European domain results represent the normalized  
337 temporal profile at LT compared to the North American which are all computed at UT. A first  
338 observation from Figures 7-12 is that the European profiles work with a first break down by  
339 month causing an artificial jump going from one month to the next (see PM2.5, CO and NH3 for  
340 clear examples). For annual analysis, this step function in the emissions will not cause problems  
341 but when focusing on an episode that includes days from different months it should be taken into

account. Figure 7 shows the normalized temporal profile for NO<sub>x</sub>. We see consistent temporal allocation for both domains both seasonally and for weekday/weekends trends. Since mobile sources dominate the NO<sub>x</sub> emission variations and this source has consistent activity patterns in both domains, this consistency in the NO<sub>x</sub> profiles is not surprising. Figure 8 shows the normalized SO<sub>2</sub> temporal profile for both the European and North American domains. Since the Electric Generating Units (EGUs) dominate the SO<sub>2</sub> emission variations, the temporal pattern in the SO<sub>2</sub> for North America is consistent with the Continuous Emission Monitor (CEM) emission measurements. Lower summer demand for electricity probably accounts for the minimum in SO<sub>2</sub> emissions for the summer in the European domain, compared to the local maximum in SO<sub>2</sub> emission in the summer for North America. The European temporal profile for electricity demand has not been updated recently and, although we do not expect a summer peak, we do expect a flatter profile today than is provided here based on data for over 10 years ago. Since fugitive dust dominates the PM<sub>2.5</sub> inventory in North America, and the temporal allocation is assumed to be relatively flat throughout the year, we see a relatively flat PM<sub>2.5</sub> profile compared to Europe (Figure 9). The European profile mirrors the SO<sub>2</sub> profile indicating that PM<sub>2.5</sub> and SO<sub>2</sub> are derived from the same source sector in the European inventory. Figure 10 shows the normalized CO temporal profile for both the European and North American domains. Surprisingly, the weekday/weekend trend is opposite phase between North America and Europe. This is because in the North American domain, higher weekend emissions are assumed from the off-road sector (which dominate the CO emission variations) likely due to recreational weekend activities in North America. Lower weekend emissions are assumed in the European domain. An important general observation from the above discussion is that the NA domain appears to lack the summer dip that is characterizing the European combustion related temporal emission

365 profiles (Figures 7-10). Possibly the more extensive use of air-conditioning in the NA domain  
366 plays a role, but the discrepancy is quite remarkable and deserves more detailed analysis in the  
367 future. Figure 11 shows the normalized temporal profile for ammonia. We see significant  
368 differences in the seasonal assumptions from ammonia. For the North American domain, the  
369 seasonal variations were derived from inverse modeling techniques (Gilliland et al., 2006). For  
370 the European domain, it is a simple combination of agricultural management activities (timing of  
371 fertilizing and manuring) combined with assumptions about animal densities and timing of  
372 moving cattle from stables into the fields. However, from air quality modeling studies, it is  
373 known that the timing of ammonia emissions in Europe is not correct and needs improvement.  
374 Figure 12 shows the normalized temporal profile for VOC. Similar to CO, the EU emissions and  
375 the NA emissions have opposite phases with respect to weekday/weekend trends. We note that  
376 CO and VOC emission trends in weekday/weekend differences are dominant in the North  
377 American inventory because of the assumptions made for the off-road sector, which includes  
378 recreational activities.

379

380       We now consider the hourly profiles used in each inventory and compute a normalized  
381 temporal profile for both North American and Europe. For the European inventory, we take the  
382 annualized emission estimates per SNAP sector and calculate the fraction of emissions that are  
383 allocated to each hour of the day in local time for all parts of the domain. Then we divide the  
384 hourly emissions by the mean to get a normalized profile for each pollutant. Figure 13 shows the  
385 mean diurnal profile by pollutant using the annualized emissions excluding international  
386 shipping, biogenic, and wildfire emissions. For the North American domain, we applied a  
387 similar approach except that we calculated the hourly emissions in UT across the whole domain.

388 In addition, the North American emission inventory involves a large number of profiles that are  
389 applied per pollutant and sometimes on a per county basis. The impact of calculating the mean  
390 diurnal profile using so many profiles and at a fixed time reference is to smooth the emission  
391 patterns. Figure 14 shows the normalized profile at UT for the North American domain,  
392 excluding international shipping, biogenic and wildfire emissions. Although there is a minor  
393 difference in methods for the two inventories, we can still see the similarities and differences in  
394 emission inventories. First, SO<sub>2</sub> has the least variability or flattest profile for both domains.  
395 This is understandable as it is dominated by continuous operating power plants and industrial  
396 combustion units. Second, the European inventory has a bimodal pattern of a morning and  
397 afternoon peak, whereas the smoother North American inventory has a single peak later in the  
398 day. The bimodal pattern in the European domain is due to the morning and evening rush hour  
399 in road transport, further enhanced by a similar bimodal peak in residential combustion for  
400 heating which starts slightly earlier in the morning and somewhat later, lasting longer in the  
401 evening. In North America, most of the diurnal profiles have only one maximum and one  
402 minimum per day, with some of the mobile source profiles having two peaks similar to the  
403 European inventory. However, these get smoothed out when combined with all emission  
404 sources. The ammonia peak is determined by the daily temperature profile with only one peak  
405 just after noon in the European domain. In North America, the ammonia emission profile is  
406 more a smooth function.

407

408

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410

411    **4. Discussion**

412

413    We now focus on three particular emission sources or sectors because these typically are  
414    difficult to estimate regionally. These are fugitive dust (which includes road dust), agricultural  
415    operations (including animal husbandry), and mobile sources (on-road and off-road).

416

417    Fugitive dust emissions in the North American domain are known to be poorly characterized  
418    (Reff et. al, 2009). The current assumptions used to estimate this sector are not robust and need  
419    improvement. Therefore, we will note major deficiencies in the North American inventory for  
420    fugitive dust. First, the temporal allocation is assumed to flat for the entire year without  
421    accounting for weekday/weekend effects, meteorological effects of snow cover and rainfall, and  
422    an assumed “transportable fraction” that is applied to the emission estimate to account for  
423    capture by near source vegetation. This likely creates an over estimate of this source during the  
424    winter and an underestimate during the summer. Nevertheless, fugitive dust is an important  
425    contributor to total PM emissions. It is important to note that this category is not properly  
426    covered in the European inventories, which do not cover road dust resuspension and include only  
427    a very limited contribution – if at all - due to agricultural land management. The main argument  
428    to exclude these sources in Europe is that they are considered semi-natural and therefore less  
429    affected by policies. Moreover, it is beyond a country’s influence whether it has a more arid  
430    climate and consequently having larger dust emissions. Inclusion of such sources in official  
431    reporting would severely influence the level playing field with respect to PM emission reduction  
432    targets in Europe. However, this does not reduce the importance of such dust sources for air

433 quality modeling and a solution to consider the semi-natural dust sources in air quality modeling  
434 studies, without disrupting the policy process towards emission reductions, should be pursued.

435

436 Ammonia emissions are dominated by agricultural operations. For North America, extensive  
437 work has been done to estimate seasonal variation in ammonia sources through inverse modeling  
438 techniques. However, these techniques do not capture the daily or diurnal variations and focus  
439 on the larger time scales and seasonal changes. Figure 11 captures the state of the science with  
440 respect to ammonia estimates for both European and North American domains, but it is clear  
441 more work needs to be done to improve this part of the emission inventory for both continents.  
442 A logical step, based on process understanding, is that part of the timing of ammonia emissions  
443 e.g., from fertilization, is related to actual climate conditions in a particular year. Thus, the  
444 timing of release may have to be (partly) determined inside the air quality models driven by the  
445 meteorology of the year of study rather than by generic emission profiles that are the same for  
446 each year.

447

448 Mobile sources for the North American domain were estimated using EPA's National Mobile  
449 Inventory Model (NMIM) model (EPA, 2005). This modeling system estimates mobile source  
450 emissions at the county level for all on-road sources and off-road sources (except for aircraft,  
451 locomotive, and marine sources) and uses a county level database of inputs to drive the  
452 MOBILE6 (EPA, 2003) and NONROAD models. Future mobile inventories for the US will be  
453 use the Motor Vehicle Emission Simulator (MOVES) model (EPA, 2010). Clearly there are  
454 some substantial differences between European and North-American emission profiles, most  
455 notably for CO, VOC and PM10. The latter refers explicitly to the earlier road dust discussion.

456

457        This analysis of the European inventory and the North American Inventory has been limited  
458        to those sectors that are common to both inventories. Therefore, since the European inventory  
459        did not include biomass burning from wildfires, we have not included the North American  
460        estimates in our comparisons. In addition, biogenic emissions are dependent on the  
461        meteorological model and are computed internally in most models. Thus, biogenic emission  
462        estimates are not discussed here.

463

464        **5. Conclusions and Recommendations**

465

466        This summary of the spatial and temporal differences in the European and North American  
467        emission inventories used for AQMEII highlights the strengths and weaknesses of the two  
468        inventories. The strengths in the two inventories is that they have overall similar magnitudes for  
469        most of the pollutants and there is broad consistency between the two. The weaknesses are in the  
470        details of the inventories themselves: emission factors, assumptions, and limitations. These  
471        comparisons will hopefully provide additional insight into the performance of the different air  
472        quality models applied to each domain. We have also highlighted some rather robust  
473        discrepancies that warrant further study. These include particular pollutants like CO and PM10  
474        but also important features for air quality modeling, like the timing of emission release  
475        throughout the year as well as the diurnal pattern, and the proportional release of pollutants,  
476        which may influence atmospheric chemistry. A clear benefit from the AQMEII project is that  
477        such differences are now documented.

478

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480

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491       policy or views of the U.S. Environmental Protection Agency, TNO, Environment Canada, or the  
492       Environ Corporation.

493

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- 539
- 540

Table 1. Source categories used to distribute emissions across the North American domain

| <b>Source type</b> | <b>Description</b>   |
|--------------------|--|
| afdust             | Area source fugitive dust from anthropogenic sources, PM10 & PM <sub>2.5</sub> only  |
| ag                 | Area source emissions from agricultural operations, NH <sub>3</sub> only   |
| alm_no_c3          | Area source emissions from aircraft, locomotive, and marine (except class 3 vessels) (Aircraft includes only takeoff, landing, and ground operations.) |
| beis               | Biogenic VOC and soil NO emissions estimated with BEIS3.14 and meteorology from WRF  |
| nonpt              | Area source emissions not included in other sectors (e.g., residential wood combustion)  |
| nonroad            | Off-road mobile source emissions from EPA's National Mobile Inventory Model (NMIM)   |
| on_nmim2moves      | On-road mobile source emissions (part 1)   |
| on_nmim_plus_ca    | On-road mobile source emissions (part 2, includes California)  |
| othar              | Area source emissions from Canada and Mexico (no fires from Canada are included)   |
| othon              | Mobile source emissions from Canada and Mexico   |
| othpt              | Point source emissions from Canada and Mexico  |
| ptipm              | Electric generating unit (EGU) point source emissions, includes Continuous Emission Monitoring (CEM) hourly data                                       |
| ptnonipm           | Non-EGU point source emissions (industrial source and no power generation)   |
| ptfire             | Wildland fires and prescribed burning fire emissions identified as point sources   |
| seca_c3            | Commercial marine port and inter-port Class 3 (C3) vessels defined as having displacement greater than 30 liters per cylinder.                         |

544 Table 2. Categories used to compare European emissions organized by Selected Nomenclature  
545 for Air Pollution (SNAP) codes and North American emissions organized by Source

546 Classification Codes (SCC)

| Combined category | SNAP | SNAP Description  | SCC | SCC Description                         |
|-------------------|------|---|-----|---|
| for comparison    | Code |   |     |   |
| Combustion        | 1    | Combustion in energy and transformation industries                | 1   | External combustion boilers             |
| Combustion        | 2    | Non-industrial combustion plants                                  | 2   | Internal combustion engines             |
| Combustion        | 3    | Combustion in manufacturing industry                              | 21  | Stationary source fuel combustion       |
| Non-Combustion    | 4    | Production processes  | 3   | Industrial processes                    |
| Non-Combustion    | 4    | Production processes  | 23  | Industrial processes                    |
| Non-Combustion    | 4    | Production processes  | 6   | MACT source categories                  |
| Non-Combustion    | 5    | Extraction and distribution of fossil fuels and geothermal energy | 4   | Petroleum and solvent evaporation       |
| Non-Combustion    | 5    | Extraction and distribution of fossil fuels and geothermal energy | 25  | Storage and transport                   |
| Non-Combustion    | 5    | Extraction and distribution of fossil fuels and geothermal energy | 33  | LPG distribution                        |
| Non-Combustion    | 6    | Solvent and other product use                                     | 4   | Petroleum and solvent evaporation       |
| Non-Combustion    | 6    | Solvent and other product use                                     | 24  | Solvent Utilization                     |
| Non-Combustion    | 6    | Solvent and other product use                                     | 6   | MACT Source Categories                  |
| Mobile            | 7    | Road transport  | 22  | Mobile sources                          |
| Mobile            | 8    | Other mobile sources and machinery                                | 22  | Mobile sources                          |
| Waste Disposal    | 9    | Waste treatment and disposal                                      | 5   | Waste disposal                          |
| Waste Disposal    | 9    | Waste treatment and disposal                                      | 26  | Waste disposal, treatment, and recovery |
| Miscellaneo       | 10   | Agriculture   | 28  | Miscellaneous area sources              |

a) semi-natural sources (EU: SNAP 11 and NA: SSC 27) are not included.

549

Table 3. Annual 2006 emission estimates for the European study domain (Gg/Year)

| Category       | CO    | NH <sub>3</sub> | VOC   | NO <sub>x</sub> | PM <sub>2.5</sub> | SO <sub>2</sub> |
|----------------|-------|-----------------|-------|-----------------|-------------------|-----------------|
| Combustion     | 22979 | 33              | 1831  | 7175            | 1619              | 13868           |
| Non-Combustion | 5254  | 167             | 7954  | 721             | 660               | 980             |
| Mobile         | 22421 | 86              | 4511  | 8642            | 598               | 501             |
| Waste Disposal | 1726  | 121             | 148   | 36              | 114               | 14              |
| Miscellaneous  | 224   | 5885            | 657   | 197             | 211               | 8               |
| Total          | 52604 | 6292            | 15101 | 16771           | 3202              | 15371           |

550

551 Table 4. Annual 2006 emission estimates for the North American study domain (Gg/Year)

| Category       | CO    | NH <sub>3</sub> | VOC   | NO <sub>x</sub> | PM <sub>2.5</sub> | SO <sub>2</sub> |
|----------------|-------|-----------------|-------|-----------------|-------------------|-----------------|
| Combustion     | 5619  | 63              | 843   | 6206            | 1150              | 12026           |
| Non-Combustion | 3009  | 205             | 7960  | 1724            | 758               | 2708            |
| Mobile         | 69735 | 291             | 6913  | 10810           | 962               | 499             |
| Waste Disposal | 1416  | 25              | 375   | 131             | 241               | 27              |
| Miscellaneous  | 1949  | 3679            | 495   | 61              | 561               | 20              |
| Total          | 81728 | 4263            | 16586 | 18932           | 3672              | 15280           |

552

553

554 Table 5. Relative distribution of annual 2006 emission estimates for the European study domain  
 555 by pollutant with entries of interest highlighted.

| Category       | CO  | NH <sub>3</sub> | VOC | NO <sub>x</sub> | PM <sub>2.5</sub> | SO <sub>2</sub> |
|----------------|-----|-----------------|-----|-----------------|-------------------|-----------------|
| Combustion     | 44% | 1%              | 12% | 43%             | 51%               | 90%             |
| Non-Combustion | 10% | 3%              | 53% | 4%              | 21%               | 6%              |
| Mobile         | 43% | 1%              | 30% | 52%             | 19%               | 3%              |
| Waste Disposal | 3%  | 2%              | 1%  | 0%              | 4%                | 0%              |
| Miscellaneous  | 0%  | 94%             | 4%  | 1%              | 7%                | 0%              |

556

557 Table 6. Relative distribution of annual 2006 emission estimates for the North American study  
558 domain by pollutant with entries of interest highlighted.

| Category       | CO  | NH <sub>3</sub> | VOC | NO <sub>x</sub> | PM <sub>2.5</sub> | SO <sub>2</sub> |
|----------------|-----|-----------------|-----|-----------------|-------------------|-----------------|
| Combustion     | 7%  | 1%              | 5%  | 33%             | 31%               | 79%             |
| Non-Combustion | 4%  | 5%              | 48% | 9%              | 21%               | 18%             |
| Mobile         | 85% | 7%              | 42% | 57%             | 26%               | 3%              |
| Waste Disposal | 2%  | 1%              | 2%  | 1%              | 7%                | 0%              |
| Miscellaneous  | 2%  | 86%             | 3%  | 0%              | 15%               | 0%              |

559

560

561

562

- 563 List of Figures
- 564 Figure 1. NO<sub>x</sub> emissions in Mg/yr/km<sup>2</sup> for both domains.
- 565 Figure 2. SO<sub>2</sub> emissions in Mg/yr/km<sup>2</sup> for both domains
- 566 Figure 3. PM<sub>2.5</sub> emissions in Mg/yr/km<sup>2</sup> for both domains
- 567 Figure 4. CO emissions in Mg/yr/km<sup>2</sup> for both domains
- 568 Figure 5. NH<sub>3</sub> emissions in Mg/yr/km<sup>2</sup> for both domains
- 569 Figure 6. VOC emissions for both domains. For North America, the units are in Mg C/yr/km<sup>2</sup>;
- 570 whereas for Europe, the units are in Mg/yr/km<sup>2</sup>
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- 576 Figure 12. Temporal profiles for VOC for both North America and Europe.
- 577 Figure 13. Diurnal profile for criteria pollutants for Europe
- 578 Figure 14. Diurnal profile for criteria pollutants for North America
- 579
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Figure 1

NOx Emissions (Mg/yr/km<sup>2</sup>)

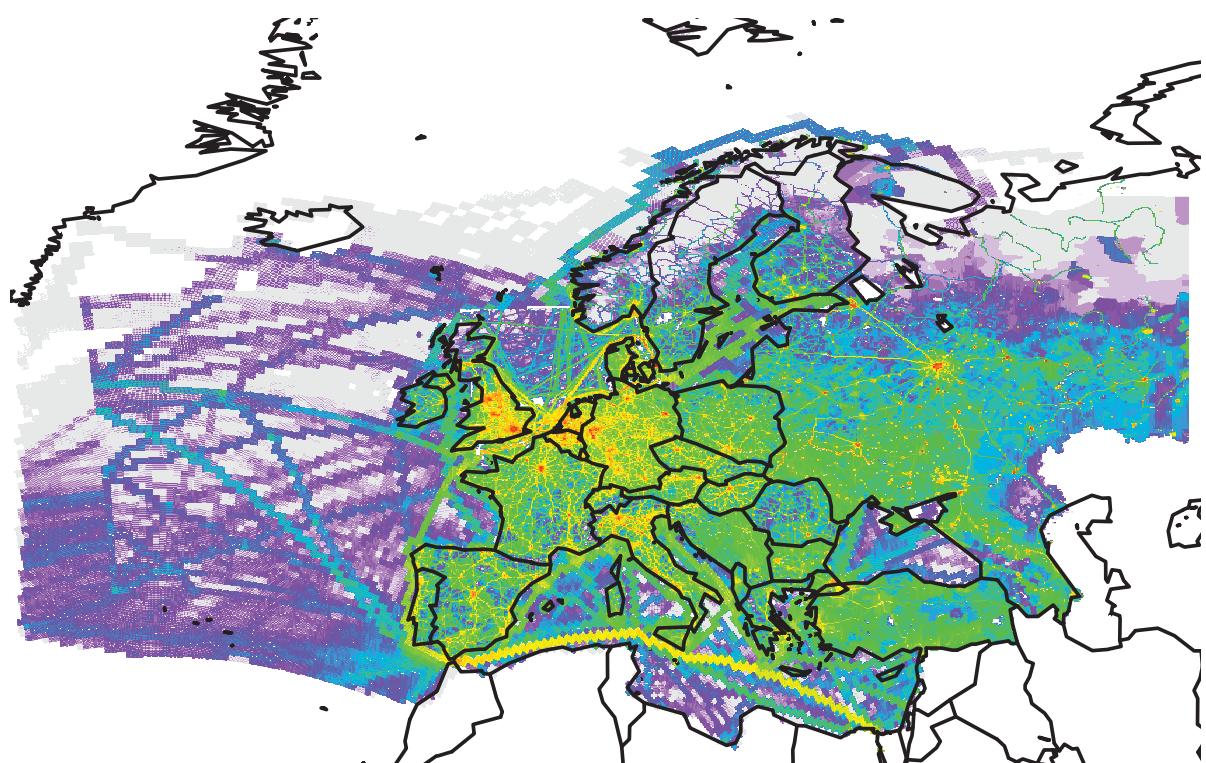
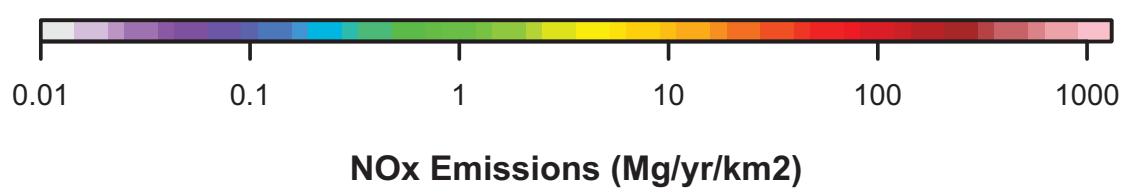
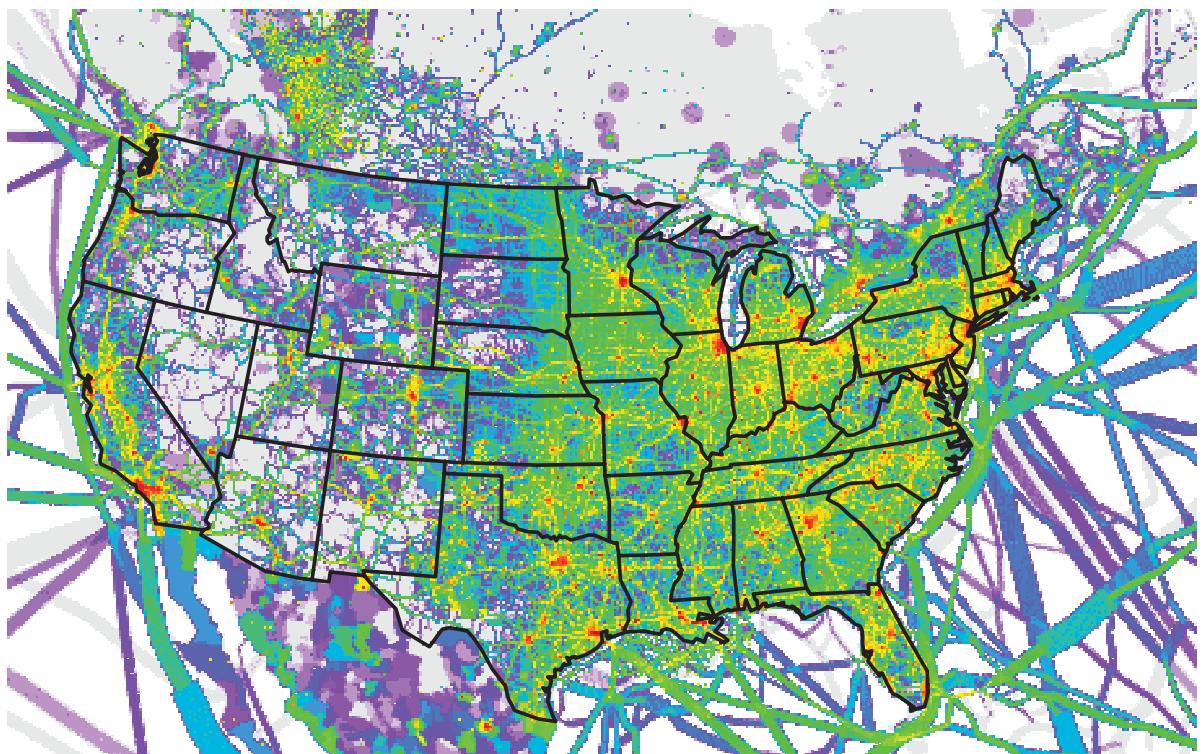


Figure 2

SO<sub>2</sub> Emissions (Mg/yr/km<sup>2</sup>)

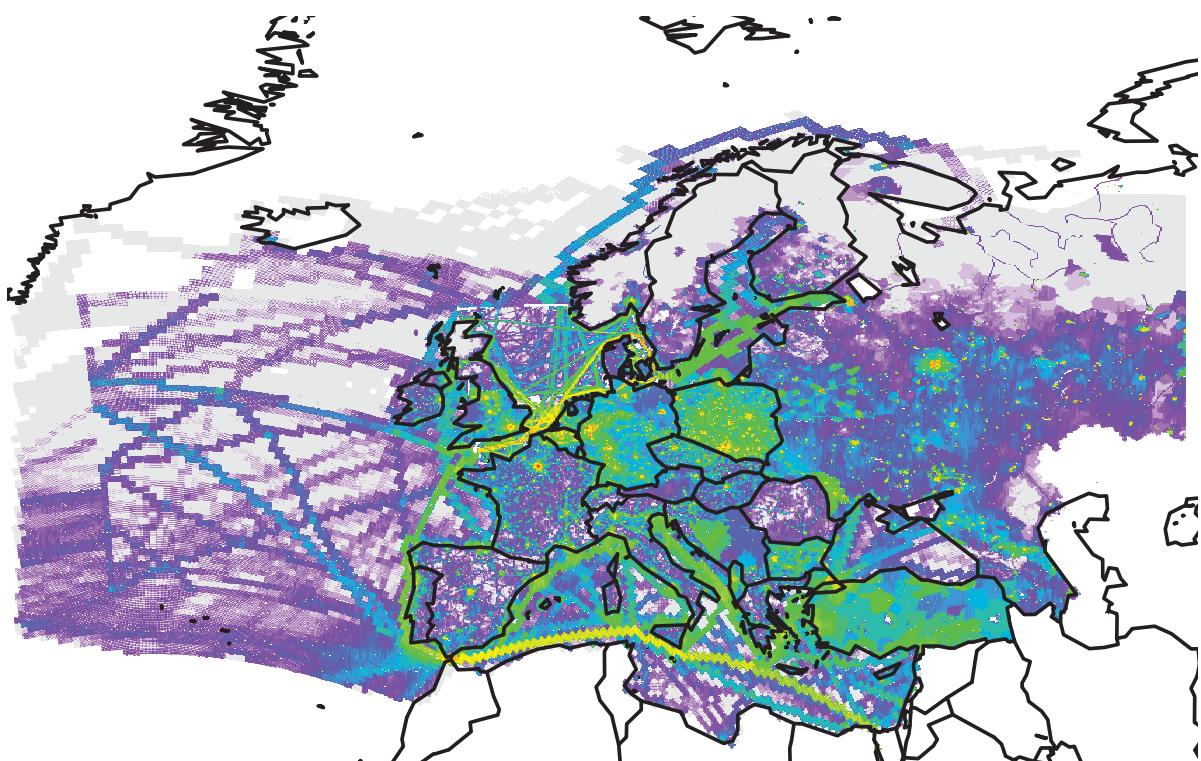
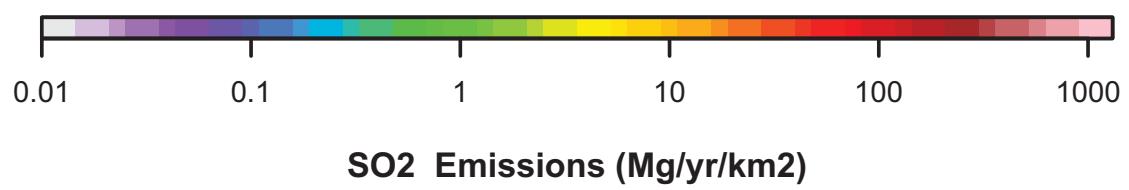
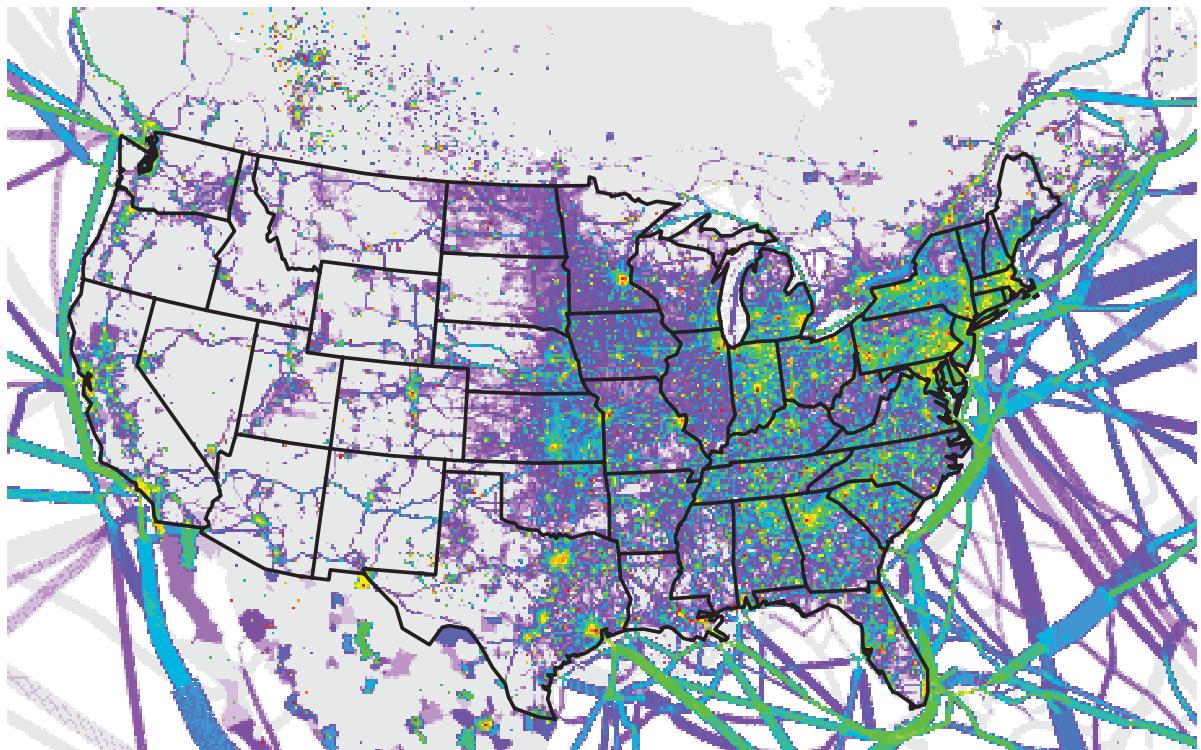


Figure 3

PM2.5 Emissions (Mg/yr/km<sup>2</sup>)

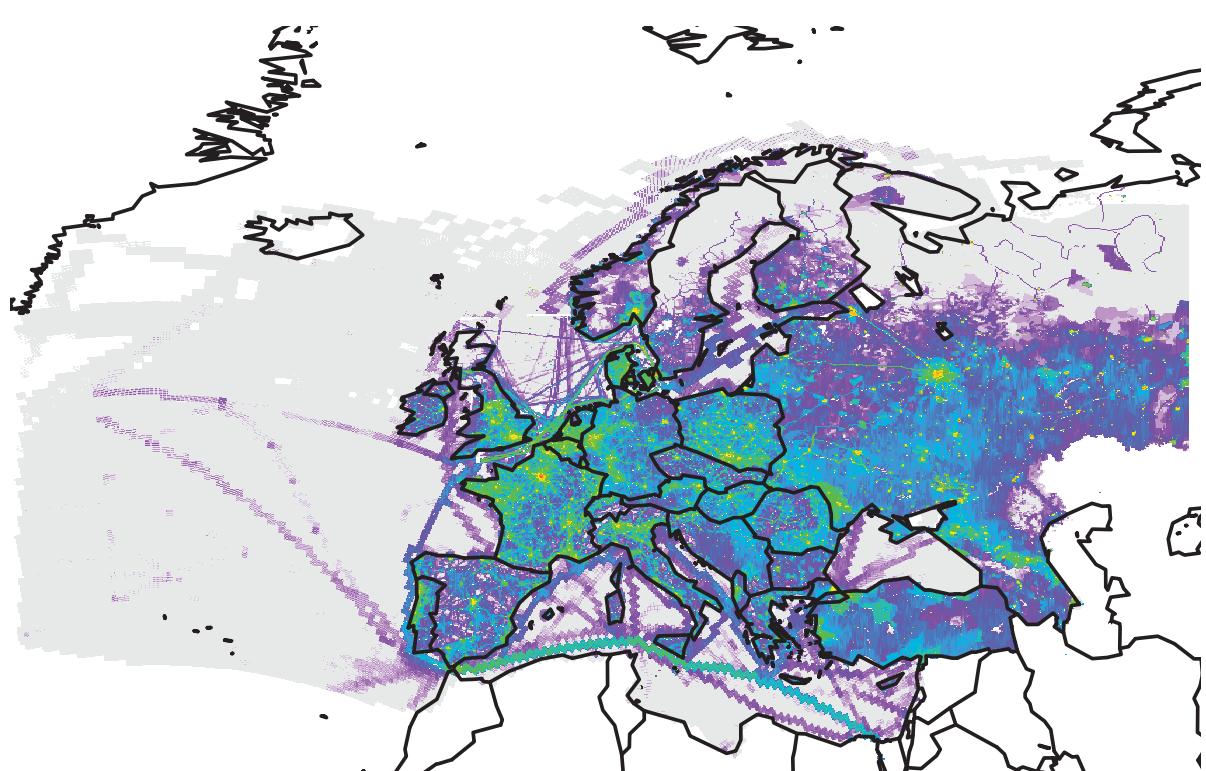
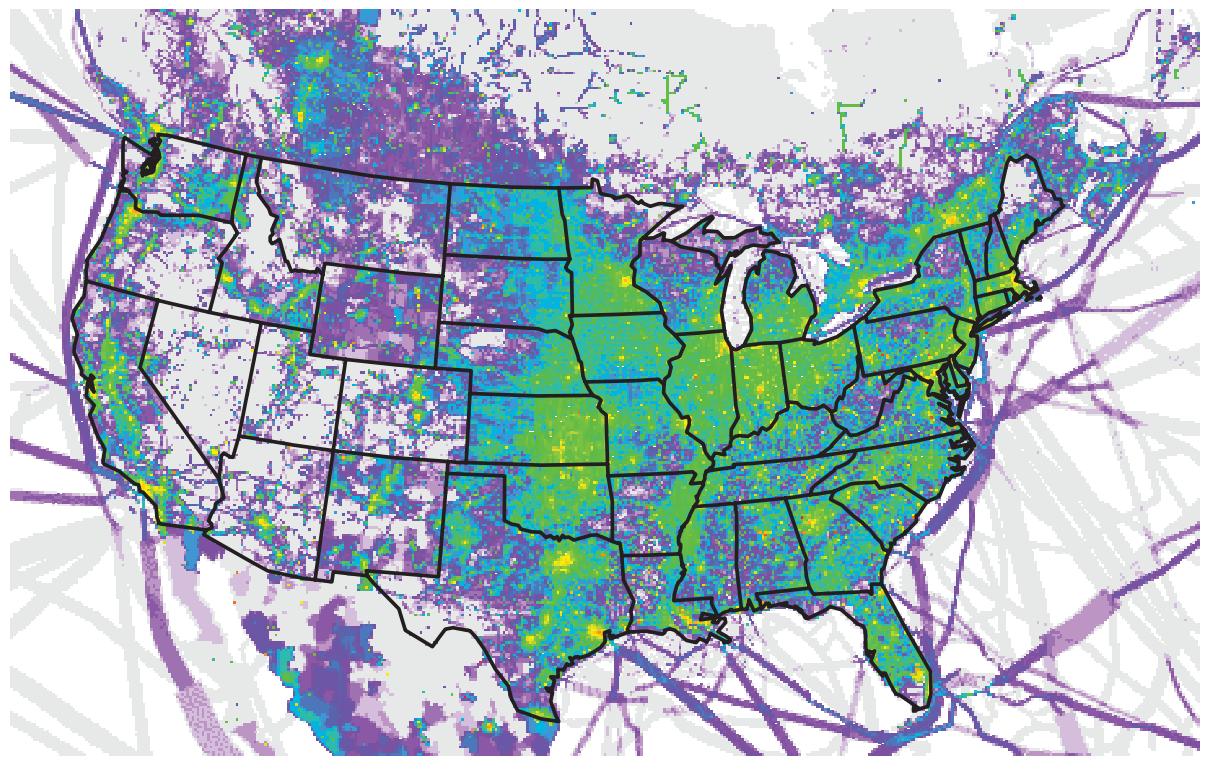


Figure 4

CO (Mg/yr/km<sup>2</sup>)

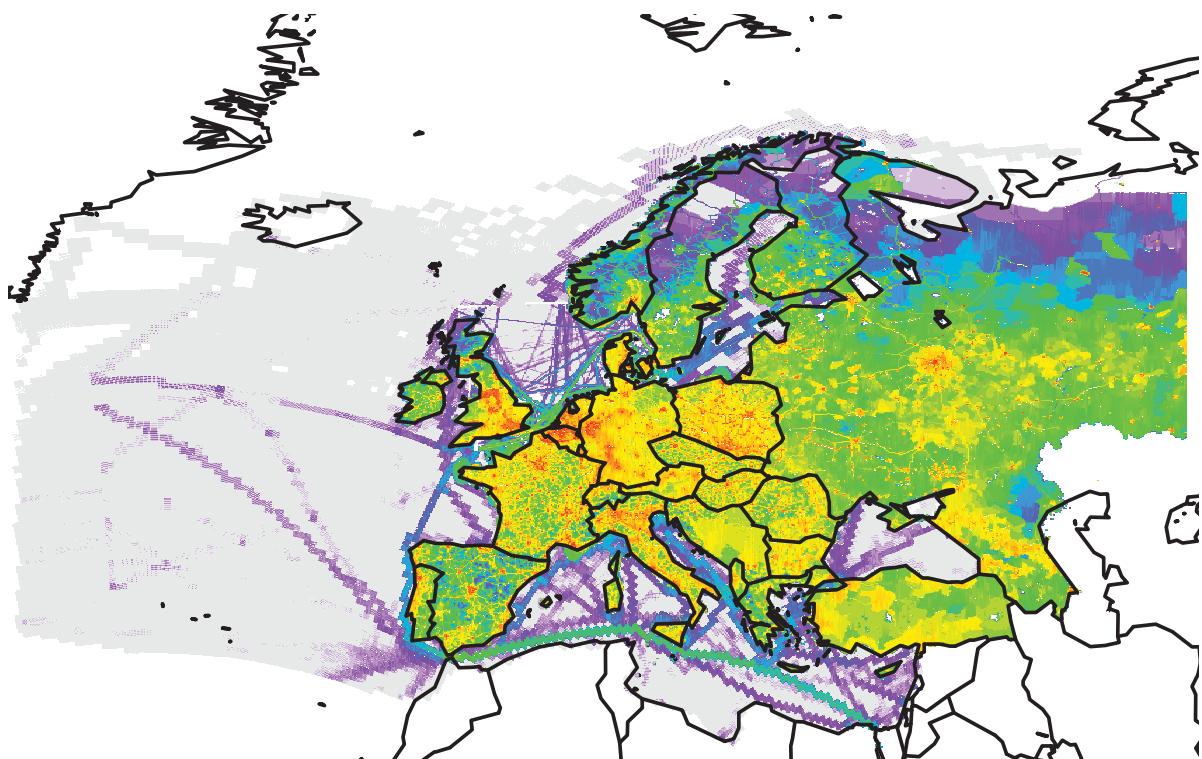
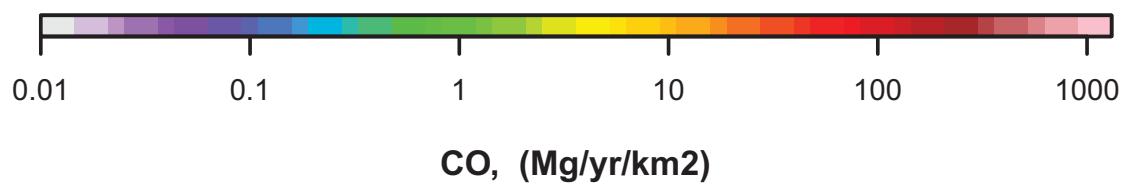
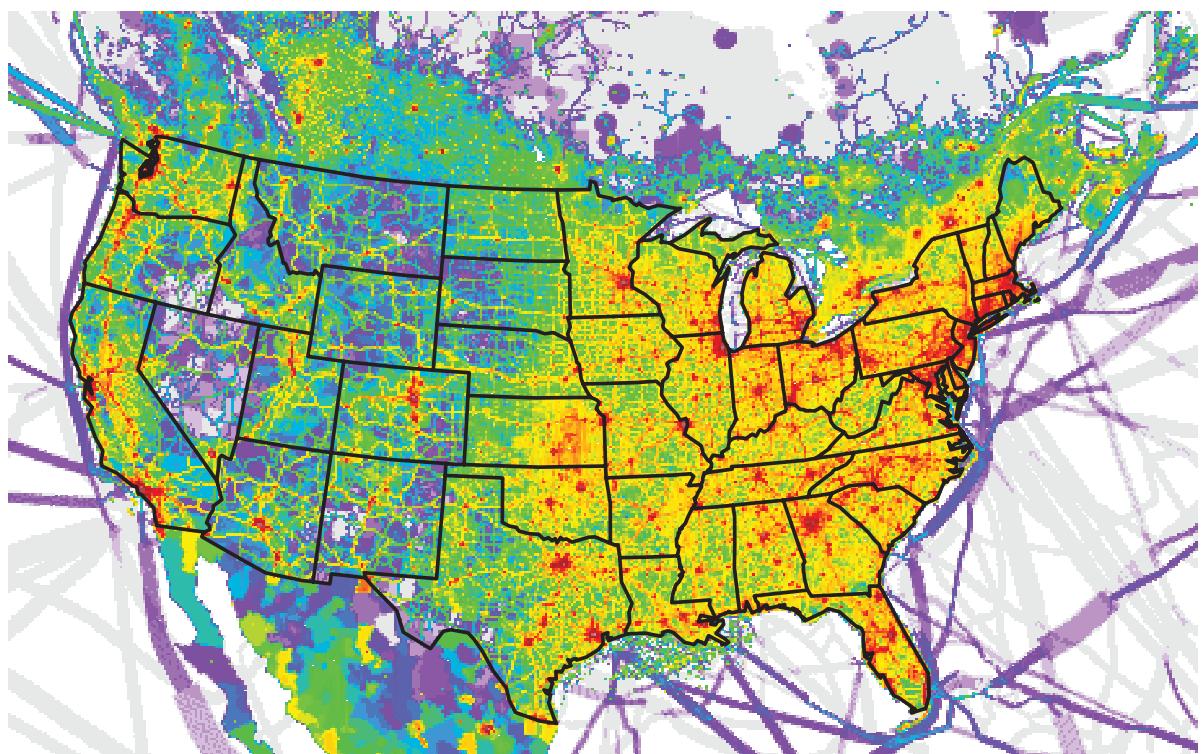


Figure 5

NH<sub>3</sub> Emissions (Mg/yr/km<sup>2</sup>)

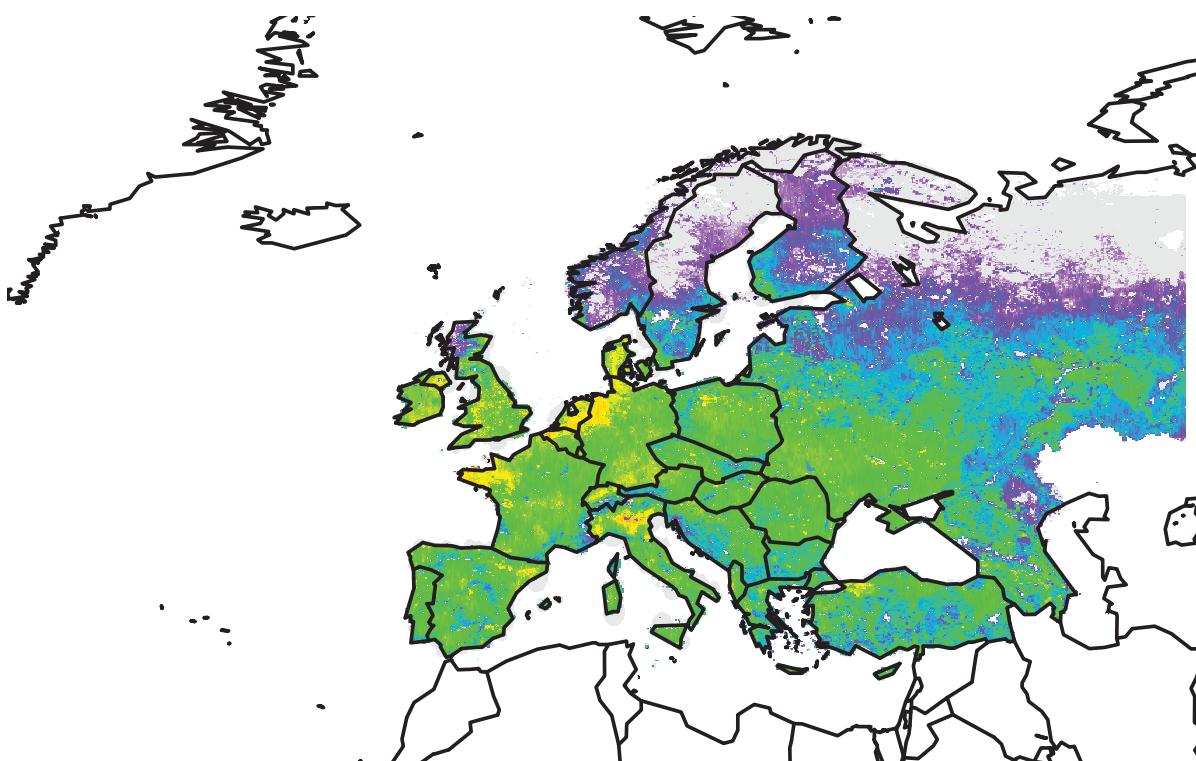
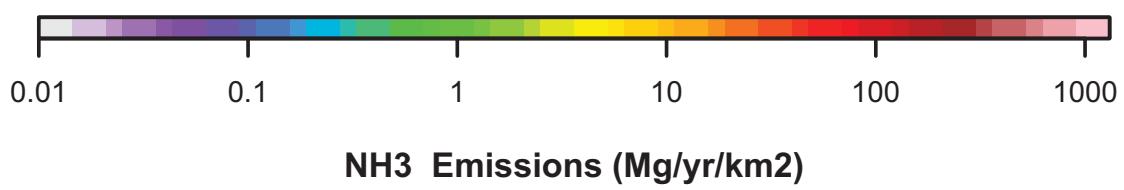
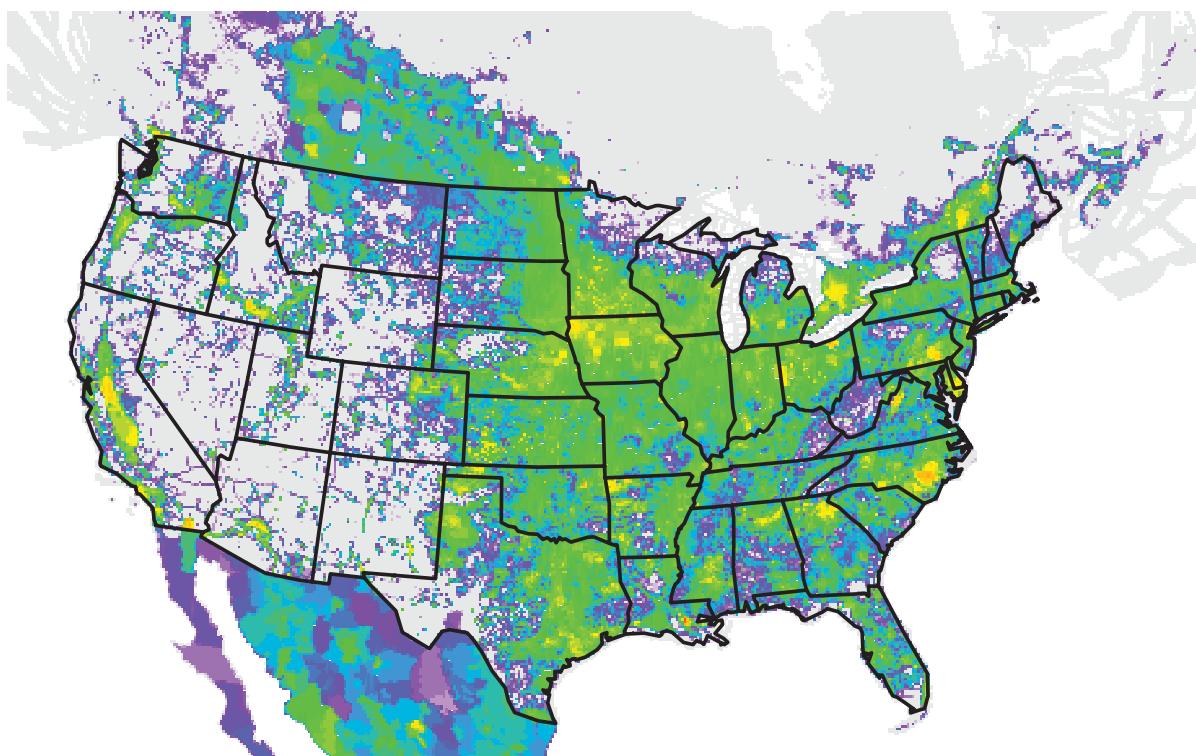
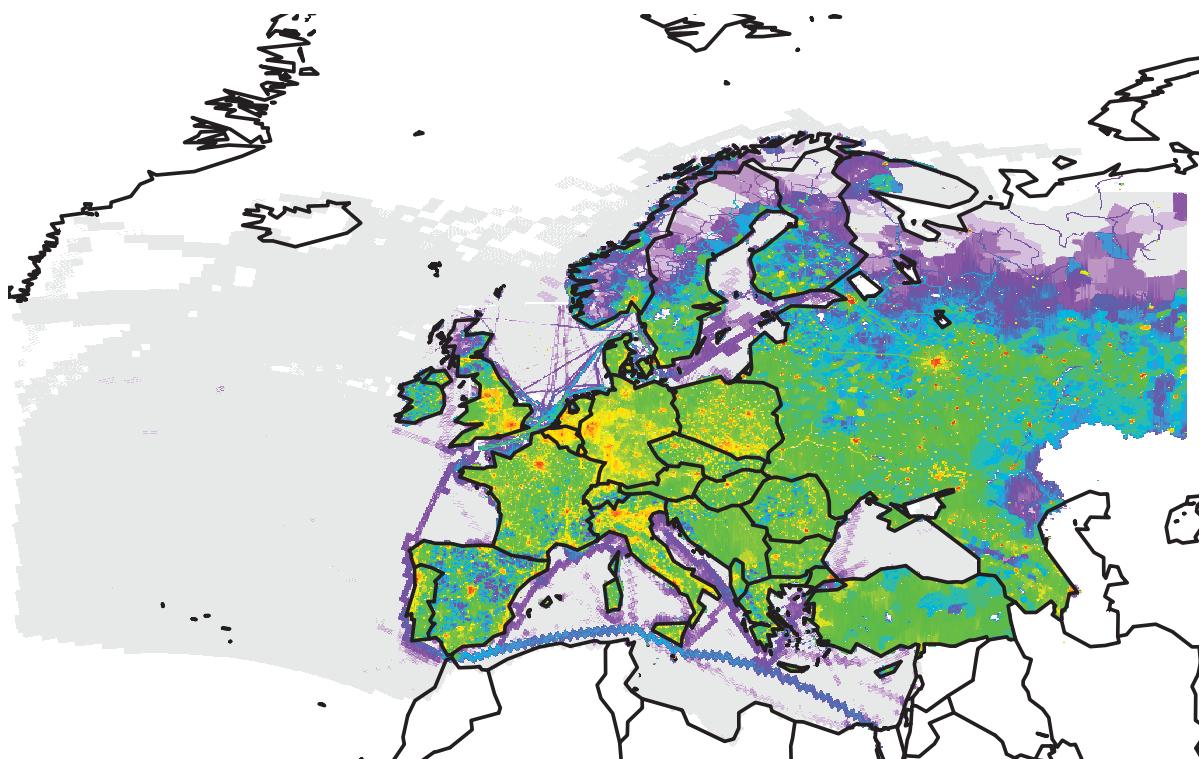
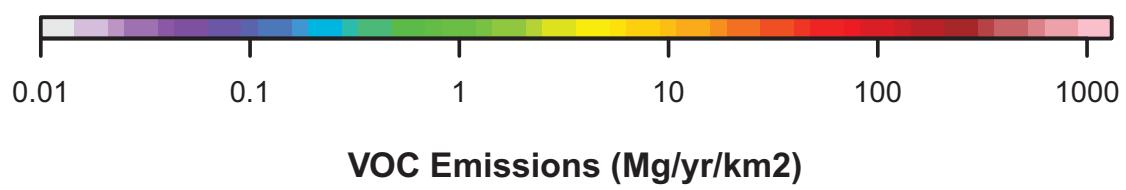
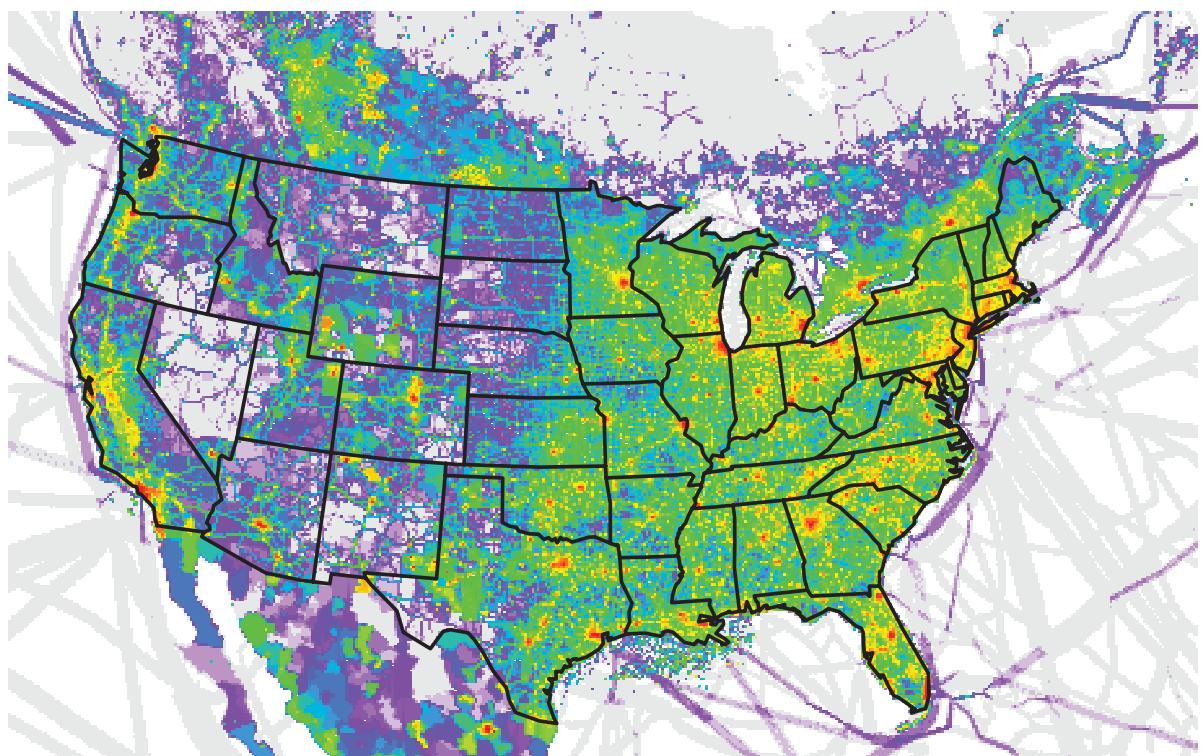
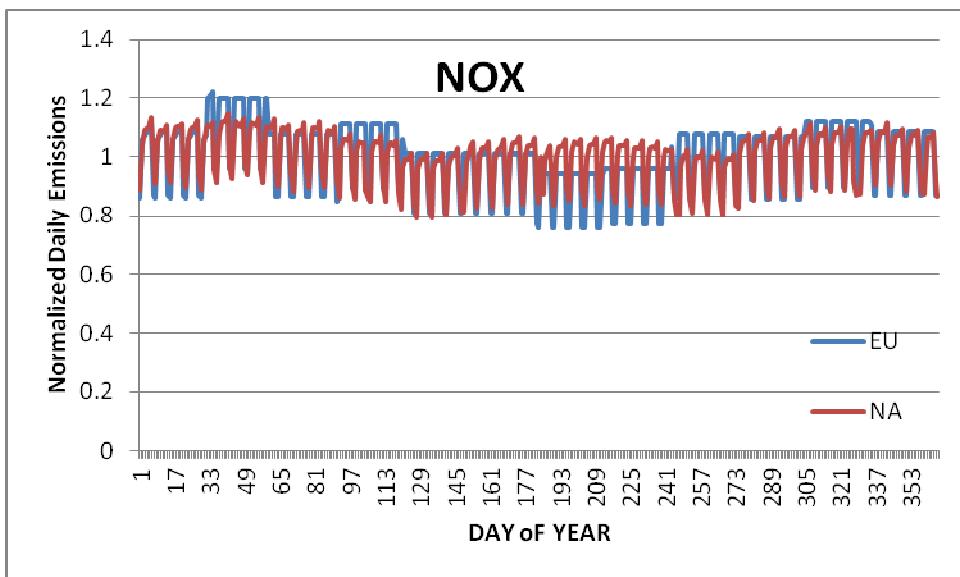


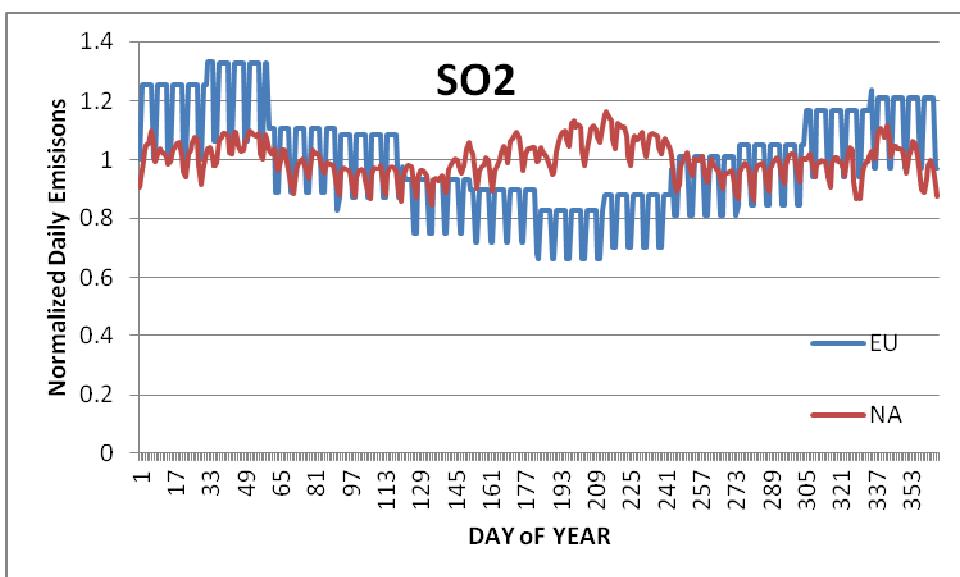
Figure 6

VOC Emissions (Mg C/yr/km<sup>2</sup>)



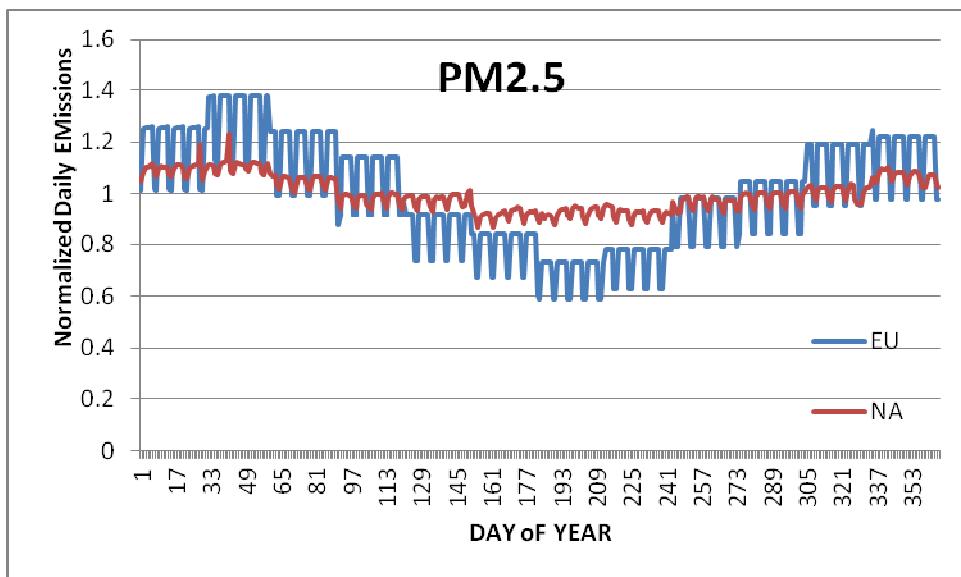


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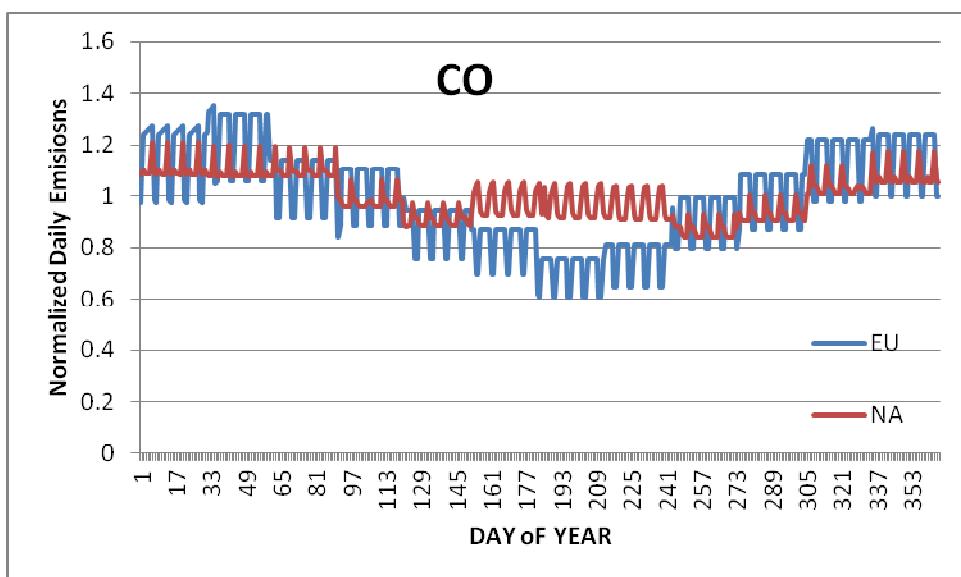


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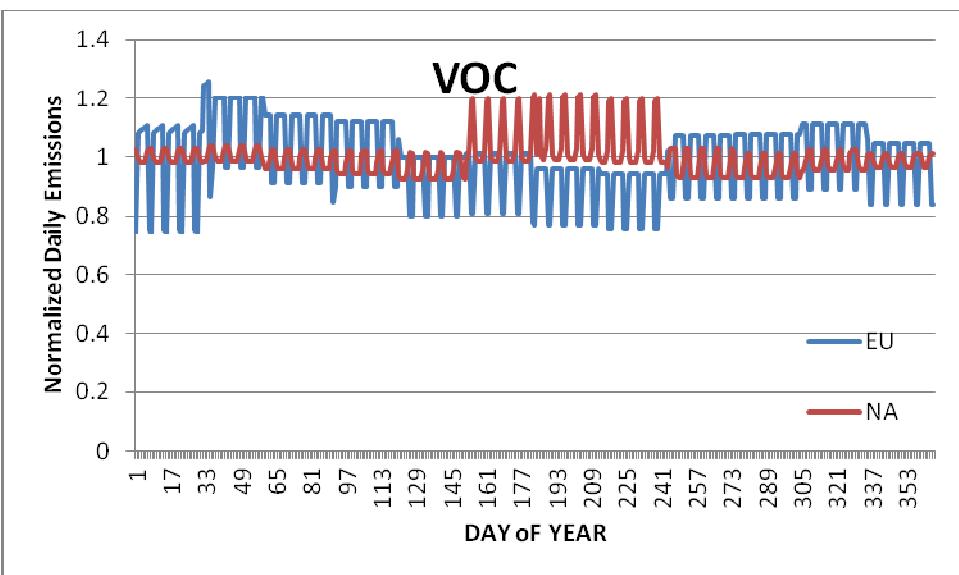
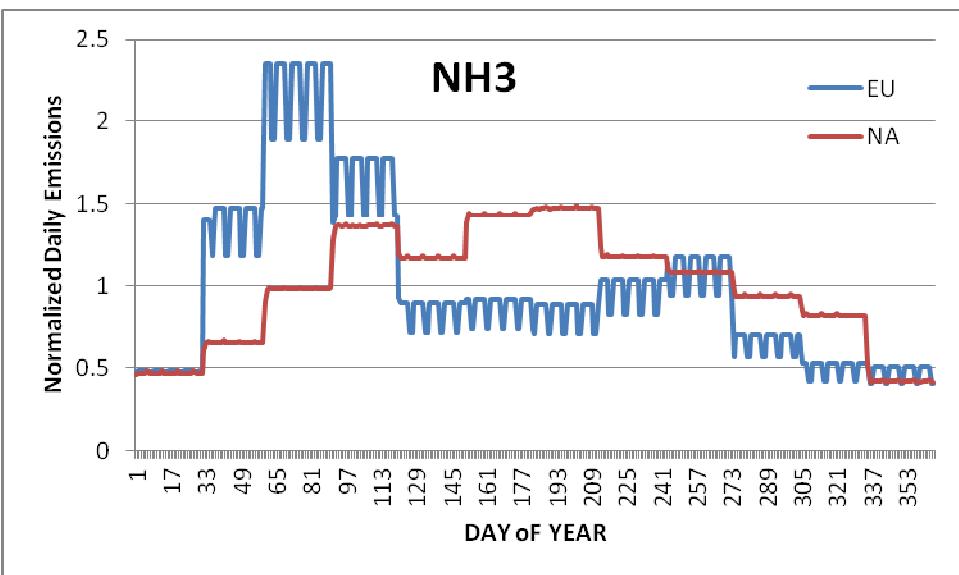
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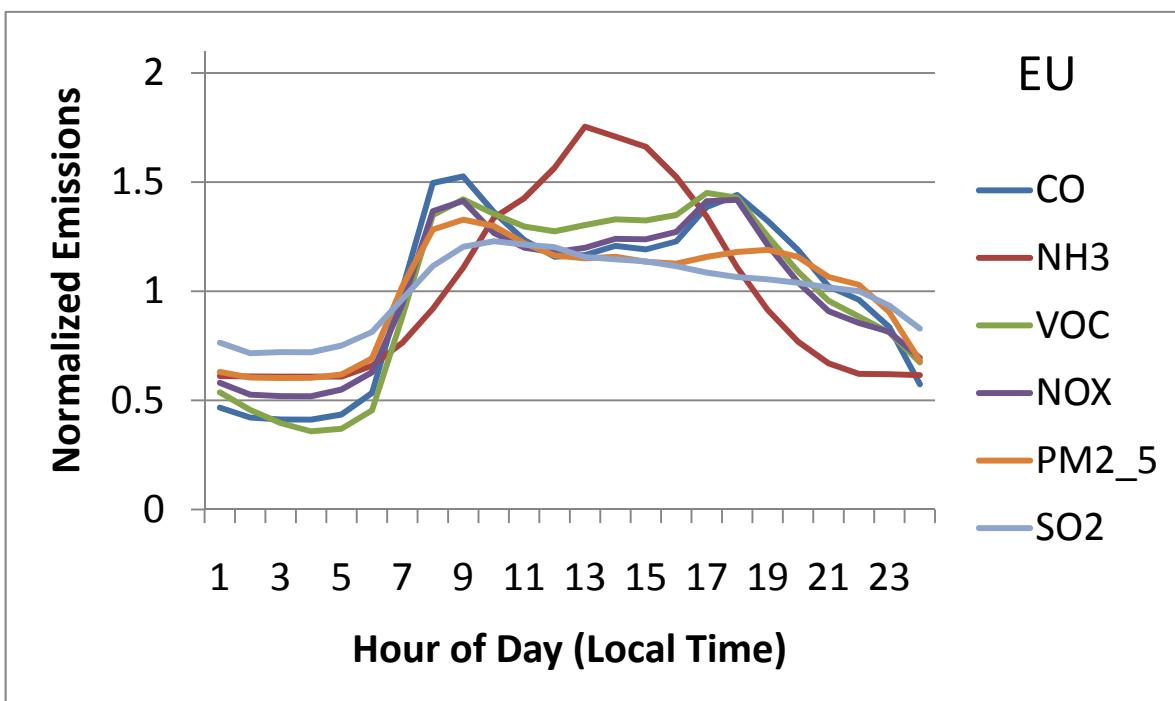
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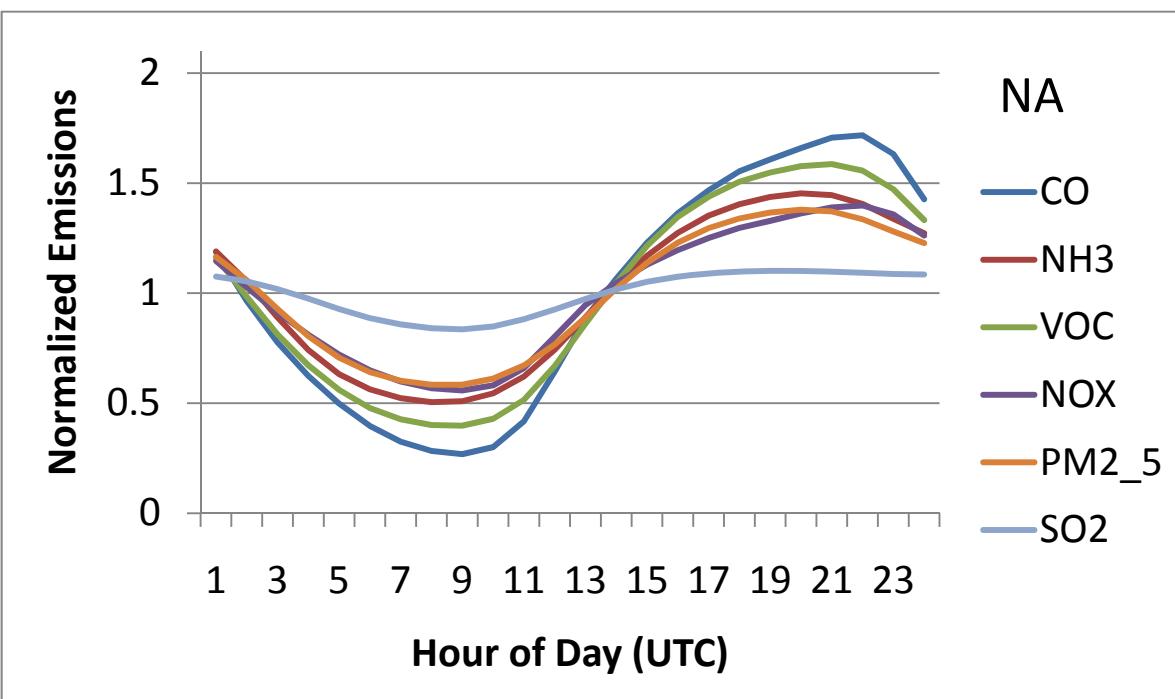
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