## Improving the Horizontal Transport in the Lower Troposphere with Four Dimensional Data Assimilation

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- 1 Abstract
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3 The physical processes involved in air quality modeling are governed by dynamically-4 generated meteorological model fields. This research focuses on reducing the 5 uncertainty in the horizontal transport in the lower troposphere by improving the four 6 dimensional data assimilation (FDDA) strategy in retrospective meteorological modeling. 7 In particular, characterization of winds in the nocturnal low-level jet and overlying 8 residual layer is crucial to accurately treat regional-scale ozone transport in the key 9 airsheds of the US. Since model errors in wind speed and direction lead to spatial 10 displacements of pollution plumes, observations not routinely used in previous 11 retrospective modeling are introduced into FDDA in an effort to reduce this transport 12 uncertainty. Prior to the main modeling sensitivity, an observational uncertainty analysis 13 was pursued to identify uncertainties in wind speed and direction in the lower 1-km of the 14 troposphere that are inherent in the observational data sets used for data assimilation. 15 Comparisons of observations among various platforms (radar wind profilers, radiosonde 16 soundings and weather radar profiles) in close proximity revealed that an uncertainty of 17 approximately 1.8 m s<sup>-1</sup> for wind speed and about 20° for wind direction was intrinsic to 18 the observations. In the modeling sensitivities, some minimal improvement of modeled 19 winds within the convective daytime planetary boundary layer (PBL) was found when 20 surface analysis nudging of wind was eliminated. Improvements in the nocturnal jet and 21 residual layer winds at night are demonstrated as a reaction to the use of new 22 observations in the data assimilation in layers above the stable PBL. There is also 23 evidence that the assimilated observations above the convective PBL during the day led 24 to improvements of winds within the PBL, which may relieve the need of all nudging, 25 including surface analysis nudging within the PBL. 26 Key Words: horizontal transport, observational uncertainty, wind speed and direction

27 errors, nocturnal low level jet, four-dimensional data assimilation (FDDA)

## 29 **1. Introduction**

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31 Regional-scale photochemical grid models, such as the Community Multiscale Air 32 Quality (CMAQ) modeling system (Byun and Schere, 2006), are frequently used for key 33 regulatory decisions, air guality research, air guality forecasting and climate-related 34 studies. In models such as CMAQ, it may be possible to refine chemistry, deposition, 35 diffusion and emissions to a level of near-perfection, but systematic biases in either the 36 strength or direction of the transport winds (disregarding other meteorological 37 parameters) could still lead to poor air quality model solutions. Furthermore, air quality 38 model errors that are driven by meteorology create major difficulties for air quality model 39 developers because of a tendency to attribute these errors to chemistry, aerosol 40 dynamics, photolysis or even other inputs like emissions. Thus, it is important to ensure 41 that main processes, such as lower tropospheric transport, are accurately characterized 42 so the uncertainty in the air quality modeling system inputs can be reduced.

43 Ozone is one of the criteria pollutants that is affected by regional transport and 44 has an adverse impact on human health, vegetation and ecosystem health (EPA 2004). 45 Processes that lead to the formation and transport of ozone are well understood from the 46 decades of research, which is summarized succinctly in NARSTO (2000) and EPA 47 (2004). Ozone is formed from chemical reactions involving volatile organic compounds 48 and oxides of nitrogen within the well-mixed planetary boundary layer (PBL) during the 49 day in high concentration over major urban and suburban areas. In the evening as the 50 surface cools and the stable boundary layer (SBL) forms, the deep mixed layer of ozone 51 and other pollutants is isolated from the surface in the residual mixed layer. The 52 decoupling of this layer with the rough surface induces an acceleration of wind a few 53 hundred meters above the surface that is often referred to as an inertial oscillation or 54 nocturnal jet (Blackadar, 1957), whose peak magnitude defines the top of the stable

boundary layer (SBL). Elevated plumes of pollutants are transported as much as 200-400 km overnight (Blumenthal et al., 1997) by this super-geostrophic nocturnal jet that can be as much as 25 m s<sup>-1</sup> at an average height of 300-800 m in the eastern US (Zhang et al., 2001; Zhang et al., 2006). As convective mixing resumes the following day, these ozone plumes trapped in the residual layer aloft are mixed down to the surface and combined with locally emitted precursors, further enhancing ozone concentrations (Wolff et al., 1977; Zhang and Rao, 1999; Vukovich and Scarborough, 2005).

62 Weather, Research and Forecasting (WRF; Skamarock et al. 2008) and CMAQ 63 models are currently the main tools used at the US Environmental Protection Agency 64 (EPA), but they are also used by the broader national and international modeling 65 community. A number of annual meteorological and air quality simulations have been 66 conducted for a variety of applications over the past few years, including the Air Quality 67 Model Evaluation International Initiative (AQMEII; Rao et al., 2011). Persistent biases of 68 wind speed and direction were seen in previous WRF simulations including the annual 69 AQMEII simulation for 2006. These biases and uncertainties in transport need to be 70 minimized with the idea of observation uncertainty in mind. One idea to reduce biases in 71 the wind field is to eliminate Four Dimensional Data Assimilation (FDDA) near the 72 surface or within the PBL as suggested in the past by Zhang et al. (2001), which allows 73 the PBL model to simulate the lower levels of the atmosphere that are influenced by 74 surface fluxes free of any artificial grid FDDA influence. Godowitch et al. (2011), Shafran 75 et al. (2000) and Zhang et al. (2001) found that eliminating FDDA below 2.0 km, 1.5 km, 76 and 1.3 km, respectively, results in a better representation of the nocturnal jet 77 magnitude. However, Godowitch et al. (2011) demonstrated that although the maximum 78 nocturnal jet speed had improved, the wind speed in the residual layer above the jet 79 from approximately 500 to 1000 m or more, where much if not most of the ozone 80 transport occurs at night, was not improved. Godowitch et al. (2011) followed by showing

that one technique to improve transport winds in the residual layer was to utilize upperlevel observational data from hourly wind profiler sites. Michelson and Seaman (2000)
and Nielsen-Gammon et al. (2007) demonstrated that similar wind profiles from different
networks could dramatically reduce transport error using limited model domains and time

85 periods.

86 This study tests a number of FDDA or grid nudging techniques in WRF using 87 more current model analyses and observational datasets in order to identify which 88 methodology has the greatest potential to reduce error and bias in transport aloft. Before 89 this is explored, we thought it would be prudent to examine upper-air observations that 90 are collocated or in close proximity to understand the inherent uncertainty of the 91 observations that are used in the FDDA to better judge meteorological model 92 performance. Zhang et al. (2001), for one, cited the need to understand the uncertainties 93 of different measurements used for evaluation and data assimilation. Then, an 94 examination of a number of model sensitivities that used different FDDA configuration is 95 conducted on a full Continental United States (CONUS) domain for shorter test period. 96 The configuration that demonstrates the most improvement in error and bias is then 97 applied to a full summer model run and is directly compared to the original AQMEII 98 simulations for improvement of the lower-tropospheric transport fields.

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#### 100 **2. Methodology**

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## 2.1 Models and General Configuration

WRF-ARW version 3.3 was used for all simulations performed here. Gilliam and Pleim
(2010) outlined many of the physics options and run procedures for retrospective
modeling performed at the US EPA. Here, two simulation periods are examined. The
first is a short duration case (August 11-14, 2002) that was examined by Godowitch et
al. (2011) who noted that the observed mean daily 8-hr maximum daily ozone

108 concentration in the eastern US over the episode was around 80 ppb, which represents the highest of that summer. This case study is used to determine the most robust FDDA 109 110 strategy because of its short duration and the weather pattern is nearly identical as the 111 high ozone case discussed in NARSTO (2000). The second simulation covers June 112 through August of 2006. It is a re-run of AQMEII simulation that adopts the most 113 accurate FDDA strategy based on the previous sensitivity tests. The seasonal aspect of 114 this simulation lends more credence to the model evaluation since it covers multiple 115 weather and air quality scenarios.

116 The modeling domain for all of these simulations was the same and covered the 117 CONUS, most of Canada and Mexico with a horizontal grid spacing of 12 km, 34 vertical 118 layers extending from the surface to the 50 mb pressure level (13 layers below 1 km). 119 This is the exact same domain and WRF configuration used by Godowitch et al. (2011) 120 and Vautard et al. (2011). Among the physics options used for all simulations were the 121 Rapid Radiation Transfer Model Global (RRTMG) long and shortwave radiation (lacono 122 et al., 2008), Morrison microphysics (Morrison et al., 2008), and the Kain-Fritsch 2 123 cumulus parameterization (Kain, 2004). For the LSM and PBL models, the Pleim-Xiu 124 land surface model (PX LSM; Xiu and Pleim, 2001; Pleim and Xiu, 2003; Pleim and 125 Gilliam, 2009) and Asymmetric Convective Model version 2 (ACM2) (Pleim, 2007a; 126 Pleim, 2007b) were used.

Nudging/FDDA of full-physics models has a long history dating back to the 1980's (Stauffer and Seaman, 1987) and, in particular, the early 1990's when Stauffer and Seaman (1990), Stauffer et al. (1991) and Stauffer and Seaman (1994) developed the technique to incrementally nudge the state variables of wind, temperature and moisture towards model analyses that are typically generated as initial conditions of US weather forecast models. This has been the US EPA protocol for both the MM5 (Otte, 2008a, Otte, 2008b) and WRF (Pleim and Gilliam, 2009; Gilliam and Pleim, 2010)

134 models with the surface analysis nudging (Stauffer et al., 1991) of wind being applied 135 within the PBL. Otte (2008a) and Otte (2008b) and many other studies (Stauffer et al., 136 1993; Seaman et al., 1995; Seaman and Michelson, 2000) have argued that FDDA helps 137 improve retrospective meteorological simulations. The studies by Otte (2008a and b) 138 and Barna and Lamb (2000) clearly explain that FDDA improves air quality simulations. 139 This provides some motivation that any improvements in transport or other 140 meteorological fields from these experiments have potential to measurably reduce the 141 uncertainty in air quality models.

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#### 143 **2.2 Data Assimilation**

144 An initial evaluation of the annual WRF simulations for AQMEII indicated the 145 meteorological model has a large and persistent bias in 10 m wind speed across the 146 model domain. When this bias was identified, sensitivity tests were performed, which 147 revealed that if surface analysis nudging was eliminated, this 10 m wind speed bias was 148 reduced. Figure 1 presents the domain-wide bias and RMSE of 10 m wind speed for the 149 summer of 2006 AQMEII simulations. Also provided is the sensitivity where the surface 150 analysis nudging was eliminated. While the overall RMSE of wind speed increases 151 around 0.10 m s<sup>-1</sup> during the day when surface analysis nudging is not used, the model bias decreases from around -0.50 m s<sup>-1</sup> to near zero for a large part of the diurnal cycle. 152 153 The impact of surface nudging on model level winds in the lower troposphere, 154 particularly within the convective PBL, will be examined in more detail to determine if its 155 use provides any clear benefit. 156 In recent years, the sources of routine upper-air observations have increased 157 spatially and temporally. These improvements in data availability present an opportunity

- 158 to provide high-quality nudging fields that may provide some reduction in model error
- and bias in the wind fields. The first observation platform is the twice-daily radiosonde

160 soundings (referred to as *RAOB* from here forward) at locations shown in Figure 2.

161 These are typically used in US EPA FDDA simulations and were employed in the annual

162 AQMEII simulation. RAOB soundings have the benefit of being equally spaced across

163 the CONUS, but the weakness is the limited routine sampling at 00 and 12 UTC, which

are the times in the US that do not capture the nocturnal jet or diurnal PBL transitions.

165 While the RAOB impact on the quality of the simulated transport fields will be briefly

166 explored, their main use is to judge the uncertainty of the other two observation

167 platforms. RAOB data are considered one of the most reliable measurements as wind

168 speed uncertainty is about 0.2-0.5 m s<sup>-1</sup> (Velden and Bedka,2009).

169 In the early 2000's, 915 MHz UHF Doppler radar profilers were made operational 170 in many areas of the US. In addition, about thirty-five 404 MHz UHF Doppler radar 171 profilers have been operating in the central US since the early to mid 1990's. Figure 2 172 displays the locations of the operational wind profilers during the August 2002 sensitivity 173 study first presented by Godowitch et al. (2011), referred to as UHF profilers from here 174 on. The advantage of these data in assimilation is the high vertical (~55 m) and temporal 175 sampling (1 hour and less) in the lower part of the atmosphere; the layer where pollution 176 transport is most important. The wind data has an instrument uncertainty range of  $\pm 1$  m 177 s<sup>-1</sup> and 10 degrees with no minimum wind speed threshold. Certain parts of the US have 178 a high density of these measurements, but the main drawback is that many parts of the 179 US are not well represented and the spacing is highly irregular. However, the areas of 180 the country that have major pollution issues, namely the Mid-Atlantic, northeast US, 181 California and southeast Texas, do have relatively good coverage by these UHF 182 profilers. As an example, Nielsen-Gammon et al. (2007) demonstrated that the high 183 concentration of UHF profilers in Texas dramatically improved MM5 simulations that 184 employed direct hourly observational nudging.

185 The third observation platform is the Weather Surveillance Radar-1988 Doppler 186 (WSR-88D) radars that use a velocity azimuth display (VAD) algorithm (Lhermitte and 187 Atlas, 1961; Browning and Wexler, 1968; Klazura and Imy, 1993) to derive a vertical 188 profile of the horizontal wind. These Doppler-derived radar observations (referred to as 189 VAD profiler from here forward) are a volume scan at sub-hourly intervals that provide 190 radial wind velocity as a function of distance/range, azimuth, and elevation, which the 191 VAD algorithm uses for the horizontal wind speed and direction estimates (Holleman et 192 al., 2008). These VAD data as well as radar reflectivity have been used in recent years 193 in three-dimensional variation data assimilation (3D-VAR) techniques, which are 194 commonly employed in weather forecasting (e.g., Barker et al., 2003; Alpert and Kumar, 195 2007; Xiao et al., 2008; Benjamin et al., 2010). Michelson and Seaman (2000) were 196 among the first to use these data in retrospective four dimensional data assimilation 197 (FDDA), and found that errors in simulated wind speed and direction, especially below 198 2000 m, were reduced as a result. As discussed in Michelson and Seaman (2000) and 199 Stauffer and Seaman (1994), VAD observations are comparable to nearby observation 200 platforms that have less measurement uncertainty like in situ RAOB soundings, but there 201 are instances where VAD data are not as reliable. One of the most frequent sources of 202 uncertainty are migrating birds (Gauthreaux et al., 1998), but since this is not as much of 203 a concern in the summer it should not present an issue for this case study, but could be 204 a problem if the data were used for annual simulations. Studies like Gauthreaux et al. 205 (1998), Michelson and Seaman (2000) and Illingworth and Rennie (2009) suggest the 206 uncertainty in VAD wind measurements as compared to nearby RAOB data is around 207 2.0-3.0 m s<sup>-1</sup> for wind speed and 20 degrees for wind direction, but these differences 208 were much lower below 1000-2000 m. Holleman (2005) presented a more 209 comprehensive comparison that contained nine months of collocated RAOB and VAD data and found a positive wind speed bias of 0.5 m s<sup>-1</sup>, standard deviation of VAD-210

radiosonde difference of 1.5 m s<sup>-1</sup> and 15 degrees in the layer below 1000 m. Because
VAD uncertainty has been proven to increase with height above the surface, in this
study, we only assimilate VAD observations below 2000 m.

214 One key benefit of VAD data unlike the UHF profiler data is the VAD sites have 215 continuous spatial coverage of the US (Klazura and Imy, 1993) because the network 216 was designed to provide comprehensive tracking of severe weather. The site spacing of 217 VAD is equally spaced like the RAOB network as illustrated in Figure 2, but about twice 218 as dense. As a result, Obsgrid was configured with a smaller radius of influence (240 219 km) than the default that is based of the RAOB site spacing. Another positive 220 characteristic of VAD data as identified in Michelson and Seaman (2000) and is that 221 VAD is not a point measurement like wind profilers and RAOB observations, but more of 222 a volume average around the radar site, which lends itself to grid-based modeling and 223 data assimilation. Regarding the vertical resolution, VAD does not have the vertical 224 sampling density of the UHF profilers or RAOB, but does provide about 3 samples below 225 1 km, which can resolve features of the nocturnal jet, residual layer and a bulk of the convective PBL. VAD does mark reported wind speeds of less than 1 m s<sup>-1</sup> as bad, and 226 227 those were eliminated from the data assimilation and evaluation.

228 Given the above considerations and our objective of improving the modeled 229 transport in the lower troposphere, a series of sensitivity experiments was designed to 230 determine a new data assimilation strategy. The four-day control or base simulation 231 (BASE) essentially used the existing US EPA modeling protocol (Gilliam and Pleim, 232 2010) where FDDA/grid nudging is applied above the PBL for all state variables and 233 surface analysis nudging of the 10 m wind is performed within the PBL with stronger 234 influence near the surface that diminishes to zero at the top of the PBL. FDDA fields 235 came from the 42 km Eta Data Assimilation System (EDAS) analyses at 00, 06, 12, and 236 18 UTC, and a three-hour forecast for the 03, 09, 15, and 21 UTC times. The base

- 237 AQMEII simulations for the summer of 2006 has an almost identical configuration, but a
- more recent 12 km North American Model (NAM) analysis and three-hour NAM forecast

239 was used instead of EDAS. Another minor difference is RAOB observations were

- blended with the 12 km NAM at 00 and 12 UTC for the three-dimensional grid nudging
- using the *Obsgrid* objective analysis tool

242 (http://www.mmm.ucar.edu/wrf/users/docs/user\_guide\_V3/users\_guide\_chap7.htm).

243 Obsgrid was used to blend 10 m wind observations with the analyses and three-hour

forecast fields for the surface analysis nudging of wind. The 10 m wind observations

245 were directly extracted from the ds464.0 global surface observation database archived

at National Center for Atmospheric Research (NCAR;

247 http://dss.ucar.edu/datasets/ds464.0).

248 Sensitivity 1 (SENS1) is the same configuration above, but surface analysis 249 nudging is completely disabled so as to eliminate all nudging within the PBL. Sensitivity 250 2 (SENS2) will illustrate the impact of eliminating all nudging close to the surface. In the 251 SENS2 simulation, there is no surface analysis nudging or three-dimensional analysis 252 nudging of any state variable below approximately 2000 m. Sensitivity 3 (SENS3) utilizes 253 UHF profiler data to improve the three-dimensional wind analyses using the Cressman 254 objective analysis scheme (Cressman, 1959) in Obsgrid. SENS3 nudging is configured 255 like SENS1 where nudging is completely eliminated within the PBL, but above the PBL, 256 WRF is nudged towards the UHF profiler-influenced Obsgrid re-analysis. While the 257 profiler data will be used to evaluate the error and bias associated with this simulation as 258 a check of the data assimilation veracity, VAD will provide an independent verification at 259 locations away from the profiler sites. It should be noted again that the assimilation uses 260 three hourly re-analyses because of the first-guess analysis interval, but the evaluation 261 considers all hourly samples, so two of three observations are withheld from the 262 assimilation.

263 Sensitivity 4 (SENS4) utilized VAD wind profiler data only in the assimilation. As 264 with SENS3, the VAD data is blended with the analysis and short-term forecasted wind 265 field on the WRF grid, and no nudging is done in the PBL. This simulation is evaluated 266 against the independent UHF profiler data. Sensitivity 5 (SENS5) employs nudging fields 267 that are a blend of both the VAD and UHF profiler data with the first guess analysis fields 268 and the surface nudging is not performed within the PBL. Sensitivity 6 (SENS6) utilizes 269 UHF, VAD and RAOB observations in the data assimilation. The main test here is to 270 ensure that by adding twice daily RAOB, the model performance relative to VAD and 271 UHF observations is not diminished.

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273 **3. Results and Discussion** 

## **3.1 Inherent Uncertainty in the Observations**

276 Since RAOB, UHF and VAD profiles, are used in these experiments for data 277 assimilation, it is prudent to inter-compare the various observations in order to quantify 278 the level of observational uncertainty that can be expected. This not only provides a 279 quality control check of the model inputs, but also helps understand the limits of 280 predictability for a model that uses uncertain inputs. The original UHF, VAD and RAOB 281 observations were interpolated from their native height structure to the model levels 282 using a model evaluation tool. This interpolation to the model grid does inject some 283 uncertainty, but the Obsgrid tool also interpolates to model levels for the data 284 assimilation, so these comparisons provide a total uncertainly level that can be expected 285 from this model input. All observational data were then extracted for the summer of 2006 286 (JJA) at the approximate model levels of 400, 700 and 1000 m. For each observation 287 platform (VAD, RAOB and UHF profiler), sites of the other two platforms were probed for 288 those that fell within a physical site separation distance of 75 km. For each site pair and

289 at each height level, the observations were matched temporally and the average rootmean-square error (RMSE), bias or mean error, and the index of agreement (IOA) 290 291 among all site pairs were computed for wind speed (Wilks, 1995). The mean absolute 292 error (MAE) and bias were computed for wind direction. For wind direction the MAE was 293 chosen over RMSE because of the greater sensitivity to large difference between the 294 model and observations (Wilks, 1995), which often occurs with wind direction, especially 295 during light wind conditions. These statistics in Table 1 indicate that the UHF and VAD profiler data in close proximity have an approximate error of around 2.1 m s<sup>-1</sup>; a high 296 wind speed bias nearing 1 m s<sup>-1</sup> and IOA of around 0.65. The apparent stronger winds in 297 VAD relative to UHF is most likely relate to the minimum VAD wind of 1 m s<sup>-1</sup> while UHF 298 299 observations have no minimum wind speed threshold. Table 1 indicates the paired sites 300 within 75 km of each other have an average MAE of about 25 degrees with a minimal 301 bias.

302 The next two platform inter-comparisons utilize the twice-daily RAOB 303 observations, so the sampling size is much smaller than that in the previous comparison 304 that use hourly VAD and UHF. That said, there are a total of about 100 RAOB sites of 305 twice daily observations over 3 months, so the sample is adequate in a statistical sense. 306 For the RAOB versus UHF comparison (Table 1), 17 site pairs have spacing less than 307 75 km. The RMSE of wind speed is around 1.6-1.9 m s<sup>-1</sup> for these sites, which is lower 308 than in the inter-comparison of the UHF profiler and VAD. The overall bias between these sites is smaller (around  $\pm$ -0.1 m s<sup>-1</sup> or less) and the IOA is larger (0.7-0.8) than 309 310 those in the UHF versus VAD comparison. The wind direction (Table 1) for the same 311 paired RAOB-UHF data indicate the average wind direction error is around 20 degrees, 312 which is about 5 degrees lower than the UHF-VAD comparison. The wind direction bias 313 is minimal as well.

314 The final platform comparison is the RAOB observations with the nearby VAD 315 data. This comparison is more unique than the other two in that many of the RAOB 316 balloon launches are performed at National Weather Service (NWS) offices where the 317 VAD is derived from the weather radars. According to the paired site separation 318 distance, 38 of the 59 site pairs that have a separation distance of 75 km or less are 319 actually collocated or have a spacing of less than one model grid cell. Since there is less 320 uncertainty in the in situ RAOB observations, this comparison provides a strong measure 321 of the representativeness of the VAD data.

322 Table 1 indicates that the RAOB-VAD pairs have an average RMSE of around 1.9 m s<sup>-1</sup>. A more specific analysis was done for the 38 collocated sites only and the 323 RMSE for wind speed at 750 m drops slightly from 1.81 to 1.76 m s<sup>-1</sup>. Like the UHF 324 325 profiler and VAD comparison, the VAD data has a positive wind speed bias of around +0.5 m s<sup>-1</sup> when compared to the RAOB. Since the RAOB versus UHF profiler had a 326 327 smaller bias and error, this may indicate that VAD has systematically higher wind speed 328 and may contain more uncertainty than the other two platforms. Figure 3 provides a 329 more detailed look at the comparison by providing the RMSE of each site pair spatially. 330 The size of the identification dot is inversely proportional to the site separation distance 331 (i.e., largest dot signifies sites are collocated) and the color identifies the RMSE level. The closely-spaced or collocated sites have wind speed RMSE's of 1.50 to 2.25 m s<sup>-1</sup> 332 333 while most of the sites with larger separation distances have RMSE's greater than 2.5 - $3.0 \text{ m s}^{-1}$ . 334

The wind direction error in this case is also reduced as the RAOB-VAD pairing distance decreases. The mean absolute error was around 17-20 degrees for closely spaced sites. No large wind direction bias is found. The spatial plot of these errors in Figure 3 indicates very small difference between RAOB and VAD in the central US with many paired data having MAE of 10 degrees or less. These small differences likely

result from the climatologically steady southerly flow (Great Plains low-level jet) over relatively flat land results in less wind flow variability on spatial scales of 100 km. The differences are larger in the eastern US (20-25 degrees) and western US (many sites pairs greater than 30 degrees). It is likely that the complex geography is the main cause for difference between closely spaced sites in the western US, and in the eastern US, summers are dominated by the Bermuda High that results in lighter and more variable wind on average, which can result in large wind differences over a small distance.

347 Others have examined observations in a similar manner. Gauthreaux et al. 348 (1998) compared co-located RAOB and VAD observations at a few sites in Louisiana 349 and found that VAD data that was uncontaminated by bird migration was on average 350 about 2.25 m s<sup>-1</sup> different (mean absolute error) than the RAOB wind speed. This study 351 was somewhat limited because only a few sites along the Gulf coast were considered 352 and the total number of samples was only nine. Michelson and Seaman (2000) 353 examined 5 collocated sites in the northeast US, which included a total of 90 paired sounding samples and found the RMSE of wind speed to be 3.6 m s<sup>-1</sup> over the whole 354 355 300-3300 m sounding, but much of this error was because of poor agreement above 356 2000 m. While they did not supply the RMSE of wind speed specifically for levels below 1000m, the mean error or bias was supplied and it was around 0.5 m s<sup>-1</sup>, which is similar 357 358 to what has been found here. They also found the RMSE of wind direction was 32 359 degrees, which is slightly larger than the results seen here. Holleman (2005) showed an 360 almost identical bias and error as found here using a similar comparison, but at only one 361 collocated VAD-RAOB observations. Holleman (2005) included 9 months of data and found the standard deviation of the VAD-RAOB wind speed difference around 1.5 m s<sup>-1</sup> 362 with a bias of 0.5 m s<sup>-1</sup> and a standard deviation of wind direction difference of 15 363 364 degrees.

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#### 366 **3.2 Model Sensitivity Tests**

367 Key guestions of these model sensitivities include whether or not the limitation of 368 nudging to the free troposphere only, or a certain height above ground level, will improve 369 transport in the lower 1000 m of the troposphere. Also, can observation platforms such 370 as UHF and VAD, if incorporated into the FDDA analyses, provide some benefit in 371 reducing errors in the lower troposphere wind? To address these questions, the errors of 372 the sensitivities were computed over the 300-1000 m layer at all UHF and VAD sites. 373 This layer was chosen because it covers much of the nocturnal jet and residual layer at 374 night and is representative of the convective PBL during the day, but also because the 375 lowest height of VAD is around 300 m. The layer-averaged change in model error 376 between the sensitivities and the control simulations is the main metric examined here. 377 This metric is plotted spatially, but the domain-wide average values are also provided. 378 To provide an extra layer of information, the layer-average RMSE differences for each 379 sensitivity comparison is plotted in histogram form by day/night and for the two 380 observations platforms (VAD and UHF) in Figure 4. 381 Figure 5 presents a comparison of SENS1 with the control simulation (BASE) 382 using this layer-average change in model error. The difference between these 383 simulations is the elimination of surface nudging in SENS 1, so no nudging is applied 384 within the PBL. The observation platforms are plotted with different symbols and the 385 table in the lower left provides the collective error of each platform and the simulations. 386 These platform-dependent errors indicate the wind speed RMSE decreased slightly 387 according to both the UHF (2.11 to 2.08 m s<sup>-1</sup>) and VAD (2.17 to 2.12 m s<sup>-1</sup>) 388 observations. The spatial map shows RMSE's were reduced or did not change much 389 outside of the southern and southeastern US. Also, out of about 30 sites near the coast, 390 the error was reduced at about 25, which may infer that by eliminating all nudging within 391 the PBL, the model was able to better represent mesoscale circulations associated with

the land-water interface. Figure 4 provides a more detailed look at the error differences of wind speed for the SENS1-BASE comparison plotted spatially in Figure 5. The decrease in wind speed error as determined by both VAD and UHF is slightly larger and more common during the day, while the decreases and increases of error are more balanced at night although slightly skewed towards a decrease. This is expected as the 300-1000 m layer is generally above the PBL at night, thus, less impacted by surface analysis nudging.

399 Wind direction MAE differences are provided in Figure 5 along with the average 400 MAE for each platform and simulation. The domain-wide average MAE for each 401 observation platform shows little change in wind direction error. However, there is 402 considerable site-to-site change in error between the BASE and SENS1, but this is 403 generally limited to a change in error of less than a couple of degrees. Around 18% of 404 the approximate 200 sites have a change in wind direction error of more than 3 degrees 405 and only 6% more than 5 degrees. These changes in error of wind speed and direction 406 suggest that at least some small improvements in transport winds, mostly the 407 magnitude, are gained in this 300-1000 m layer when surface analysis nudging of wind 408 is eliminated and FDDA is only performed above the PBL. As a caution, surface analysis 409 nudging is strongest near the surface and decreases with height. We do not explore the 410 performance at model layers below 300 m, so surface nudging may benefit the 411 simulation in layers closer to the surface. Another point of emphasis is how the average 412 error level of each platform compares to the observational uncertainty in Table 1. At VAD 413 and UHF sites SENS1 approaches the same wind speed and direction errors of the 414 closely located VAD and UHF sites in Table 1.

The next sensitivity experiment (SENS2) eliminates all nudging below 2 km.
Figure 6 provides the impact of this sensitivity on the transport error when compared to
BASE. At VAD and UHF sites the wind speed error increases slightly overall (2.17 to

2.19 m s<sup>-1</sup> and 2.11 to 2.15 m s<sup>-1</sup>, respectively). The distribution of wind speed error 418 419 differences in Figure 4 indicates large error differences in both the positive and negative 420 directions. At UHF sites, the error differences are balanced at night, but clearly skewed 421 towards larger SENS2 errors during the day. The VAD data suggests a more balanced 422 change in error, both night and day, with a slight skew towards higher SENS2 errors. 423 However, the wind direction error increase for all observation platforms is about 5 424 degrees on average. The spatial plot indicates many sites have a 3 degree model error 425 increase in many areas of the US; 77% of sites have an increase in error, 54% of sites 426 have an increase of more than 3 degrees and 37% have an increase of more than 5 427 degrees. The overall small increase in wind speed RMSE and large increase in wind 428 direction errors points to a clear degradation of lower troposphere transport accuracy 429 when nudging is limited to layers above 2000 m.

430 The third model sensitivity (SENS3) tests the inclusion of UHF wind profiler data 431 in the re-analysis used for grid nudging and is compared to SENS1. The only difference 432 tested is the use of UHF profiler observations in the assimilation above the PBL. Figure 433 7 and the histograms in Figure 4 indicate that as expected, when UHF data is used in 434 the nudging, and then used to evaluate the model, a dramatic decrease in wind speed 435 error is clearly evident. The RMSE decreases from 2.08 to 1.78 m s<sup>-1</sup> at UHF sites. At the 436 independent VAD sites there is also a decrease in RMSE, but much smaller with an overall decrease from 2.12 to 2.10 m s<sup>-1</sup>. With that said, the reduction of error at UHF 437 438 sites does translate to more significant improvements at nearby VAD sites with the 439 exception of a few cases. In the northeast US, every decrease in error at UHF sites is matched with a -0.1 to -0.5 m s<sup>-1</sup> change in error at the nearby VAD sites. This is also 440 441 mostly true in the other areas of the US where UHF sites exist (i.e., central Plains, upper 442 Midwest US and the West Coast). The histograms of wind speed error change in Figure 443 4 for the SENS3-SENS1 comparison illustrates the large reduction of error as

444 determined by the UHF observations both day and night. One important result to expand upon is the clear decrease during the daytime. Since the 300-1000 m layer examined 445 446 here is most often within the PBL where direct nudging has been eliminated, this error 447 decrease within the PBL is a response to UHF data being assimilated above the PBL. 448 The error changes as determined by VAD is not as clear at the smallest change bins of 449 the histogram, but at the larger change bins the error decrease is more frequent, both 450 day and night, than error increases. These largest error decreases in the histograms are 451 at those VAD sites near the UHF sites shown in Figure 7. Wind direction error change in 452 Figure 7 does not show much difference in an overall sense at the VAD sites (both 23 453 degrees), but at UHF sites there was a clear decrease from 26 to 22 degrees. The 454 largest decreases in wind direction error, as determined by VAD, were in regions where 455 UHF data was assimilated.

456 The fourth sensitivity test (SENS4) examines the change in model error when 457 VAD wind profile observations are exclusively incorporated into the FDDA analysis used 458 for grid nudging. The main focus here is the change in model error as judged by 459 independent hourly UHF observations. Figure 8 and Figure 4 provides the comparison 460 between SENS4 and SENS1. The mean RMSE and the spatial representation obviously 461 show the large model error decreases at VAD sites in response to a portion observations 462 being directly used in the data assimilation, with an overall error decrease from 2.12 to 463 1.82 m s<sup>-1</sup>. The mean RMSE of the independent UHF sites decreases, to a lesser extent, than VAD, from 2.08 to 2.03 m s<sup>-1</sup>. An inspection of the spatial wind speed RMSE 464 465 differences (Figure 8) reveals that in almost every case, UHF sites that are located near 466 VAD sites report a reduction of WRF error. In fact, across the eastern US only a couple 467 of UHF profiler sites independently confirm an increase in error, and most have the 468 same level of error reduction as VAD sites in the same region. Figure 4 depicts the error 469 change at UHF sites within this 300-1000 m layer is skewed towards sizable error

470 reduction at night with more balanced binned differences during the day. This is strong 471 evidence that the use of VAD alone improves the simulated nocturnal wind speed in this 472 important 300-1000 m layer above ground level. This may have been less clear in the 473 previous sensitivity because VAD unlike UHF is more evenly spaced and widespread. 474 Wind direction error is reduced at VAD sites overall, with a reduction from 23 to 475 21 degrees over the 300-1000 m layer. The spatial plot verifies that this decrease of 476 wind direction error at VAD sites is consistent across the domain, with the largest 477 improvements along the West Coast and southern US. As an independent dataset, the 478 UHF sites do not show a decrease in error when averaged, but the spatial plot reveals 479 that very few of the UHF sites have a wind direction error difference more than a couple 480 of degrees. A histogram of these error differences not shown here indicates balanced 481 error differences with only 12% of the wind direction error differences of more than 3 482 degrees.

483 SENS5 includes both VAD and UHF profiler data in the objective re-analysis 484 used for nudging, and compared here to SENS1 (Figure 9). The key question is whether 485 or not the inclusion of both platforms maintains the error reduction found when each is 486 included separately. A reduction of wind speed error is noted at most VAD and UHF 487 sites as one would expect. The average RMSE at UHF sites is reduced from 2.08 to 1.73 m s<sup>-1</sup> and at VAD sites the error is reduced from 2.12 to 1.83 m s<sup>-1</sup>. These average 488 489 wind speed RMSE's for each platform in SENS5 are about the same or even lower as in SENS3 (UHF 1.78 m s<sup>-1</sup> in SENS3 versus 1.73 m s<sup>-1</sup> in SENS5) and SENS4 (VAD 1.82 490 m s<sup>-1</sup> in SENS4 versus 1.83 m s<sup>-1</sup> in SENS5), where these observation were exclusively 491 incorporated. This same conclusion is true for the wind direction. Overall the wind 492 493 direction error, as determined by VAD and UHF, is reduced by the SENS5 configuration 494 when compared to SENS1, and the overall SENS5 VAD wind direction error is the same 495 as SENS4 where VAD was used exclusively. Wind direction error at the UHF sites is

496 decreased in SENS5 compared to SENS1, and the same as SENS3 where UHF was 497 used exclusively. Figure 4 indicates the distribution of wind speed error differences are 498 skewed almost exclusively towards error reduction by SENS5 in nearly all cases. This is 499 expected at night, but the clear improvements during the day when this 300-1000 m 500 layer is frequently within the PBL where nudging has been eliminated is strong proof 501 again that improved transport above the PBL will translate to improved transport within 502 the un-nudged convective PBL, more so than using 10 m wind analyses to nudge near-503 surface wind to levels upward in the PBL.

504 The final sensitivity (SENS6) explores the error change when VAD, UHF and 505 finally the RAOB are used in the data assimilation. SENS6 is compared against SENS5 506 instead of SENS1 in this case to understand whether the addition of twice-daily RAOB 507 will degrade the model relative to VAD and UHF. The average error for each platform in 508 Figure 10 indicates very little degredation when RAOB are added to the data 509 assimilation. The UHF error does increase slightly from 1.73 m s<sup>-1</sup> in SENS5 to 1.79 m s<sup>-1</sup> 510 <sup>1</sup> in SENS6, but with the more widespread VAD sites, errors remains about the same (1.82 m s<sup>-1</sup> versus 1.83 m s<sup>-1</sup>). The distribution in Figure 4 indicates the much smaller 511 512 error changes as a result of RAOB than in the other sensitivities. Wind direction error 513 differences in Figure 10 are also small where at 95% of the VAD and UHF sites the error 514 difference is less than 1 degree. The overall error levels of wind speed (approx. 1.8 m s<sup>-</sup> 515 <sup>1</sup>) and wind direction (approx. 20 degrees) in SENS6 are comparable to the level 516 inherent in the observations (Table 1), which infers the direct data assimilation is working 517 well at not only the analysis times, but also in between. Furthermore, the assimilation of 518 these data above the convective PBL improves the winds within the PBL potentially 519 without the need of an artificial surface analysis nudging algorithm. The full summer 520 case will explore the use of this SENS6 configuration in a seasonal simulation.

521

## 522 **3.3 Summer 2006 Case**

523 SENS6 was the configuration determined to provide the lowest overall wind speed and 524 direction error. For this longer-term 2006 case, WRF was configured identically to 525 SENS6 and executed for the June 1 through August 31, 2006 period. The main interest 526 here is how the wind errors in the 300-1000 m layer compare with the original AQMEII 527 simulation (Vautaurd et al., 2011) that was configured similarly to BASE. Figure 11 528 provides the layer-averaged wind speed RMSE and bias for both simulations, as well as 529 the MAE for wind direction. The domain-wide error and bias computed for each 530 observation platform is also provided. The wind speed error is visibly reduced, or about 531 the same, at all profiler sites. The average RMSE of the model at VAD sites decreased 532 from 2.14 to 1.74 m s<sup>-1</sup>, which is similar to the error reduction seen between SENS1 and 533 SENS6 (Figure 5 and Figure 10). The overall RMSE as determined from all the UHF observations was also reduced from 2.07 to 1.84 m s<sup>-1</sup> because of the new assimilation; 534 535 again this is similar to the reduction seen in the sensitivity tests. Spatially, the error 536 reduction occurs across the whole domain, but is most evident across the eastern half of the US. Error levels of the AQMEII simulation were generally in the 1.8 to 2.5 m s<sup>-1</sup> range 537 538 in the eastern US. The new assimilation technique reduced those transport errors to 1.2 to 2.0 m s<sup>-1</sup>. Also of importance, the spatial distribution of error illustrates that the new 539 540 simulation has an error that is regionally consistent, even across platforms. Almost every 541 UHF site, for example, has a similar level of error as the nearest VAD site, and those 542 errors are similar to the observational uncertainty documented in Table 1. 543 Wind speed bias is presented in Figure 11. The platform-averaged bias indicates a large reduction from -1.34 to -0.75 m s<sup>-1</sup> at VAD sites and from -0.44 to -0.21 m s<sup>-1</sup> at 544

545 UHF sites. The observational uncertainty analysis indicated that VAD had around a +0.5

546 m s<sup>-1</sup> bias when compared to both UHF and RAOB. The use of these VAD data in

since VAD sites are evenly spaced, and hourly.

549 A consistent reduction of wind direction error is also apparent (Figure 11) across 550 the model domain where the overall MAE is reduced by 2-4 degrees at both VAD and 551 UHF sites. Like the RMSE of wind speed, the wind direction errors are much more 552 regionally consistent within the VAD and UHF networks, but also across observation 553 platforms. All UHF and VAD sites in the southeast US, for example, have an MAE of 20-554 25 degrees. In the northeast US and especially central US, the wind direction errors are 555 even lower with values between 10 and 20 degrees with many sites with model errors as 556 low as 10-15 degrees. The wind direction errors are more variable in the western US, 557 but sites from different observation platforms, in the same vicinity, have about the same 558 level of error. A level of error in the 20 to 25 degree range is approaching the inherent 559 uncertainty levels found in the observations (Table 1). Furthermore, the large number of 560 sites that have model errors on the order of 10-20 degrees indicate the model is actually 561 at or below the uncertainty levels of the observations, which is in the range of 17-20 562 degrees at collocated RAOB and VAD sites.

563 Figure 12 provides a final examination of model performance over the diurnal 564 cycle. The RMSE and bias of wind speed and MAE of wind direction are partitioned into 565 far eastern (see Figure 2 for sites) and far western US (see Figure 2 for sites). The 566 model performance is computed using all VAD and UHF profilers in those regions at the 567 400, 700 and 1000 m levels. Model error and bias of wind speed and error of wind 568 direction are reduced at all levels at all times of the day in both regions. During the day (~12-23 UTC) in the eastern US, the wind speed error is reduced by 0.25 m s<sup>-1</sup> and the 569 bias decreases from -1.25 m s<sup>-1</sup> to less than -0.5 m s<sup>-1</sup>. Wind direction errors were also 570 571 decreased, but only by a few degrees during the daytime. There is less of an

improvement in the western US during the daytime, but some model performance gainsare apparent.

574 These results provide some support to the idea that the representation of the 575 daytime convective boundary layer can be improved if the geostrophic forcing above the 576 PBL is improved through the use of the VAD and UHF observations in the FDDA if the 577 surface-based nudging in the PBL is relaxed or eliminated. An argument against this 578 claim could be that these observations used in the evaluation are being used in the 579 nudging. This is only minimally true as the 400, 700 and 1000 m layers are typically 580 within the PBL during the daytime, so in these experiments those UHF and VAD 581 observations within the PBL are not used in the nudging. At night, these layers are 582 generally above the PBL, so improvement shown here are a direct result of the data 583 assimilation. Another point, the observations are used through the assimilation of 3-584 hourly re-analyses while the evaluation uses the entire database of hourly observations. 585 so even between analyses the model performance is shown to improve in Figure 12. At night specifically, the wind speed RMSE decreases by around 0.3 to 0.5 m s<sup>-1</sup> in both 586 587 regions and the wind speed bias generally improves. Wind direction error is reduced at 588 night much more than the day with decreases of error on the order of 5-8 degrees in 589 both regions. These results at night provide some confidence that nocturnal transport 590 within the nocturnal jet and residual layer have been improved with the new data 591 assimilation.

592

## 593 **4. Conclusions**

594 The focus of this research is improving regional-scale transport of pollutants in air quality 595 models by reducing the uncertainty in the simulated wind speed and direction in the

596 lower 1000 m of the atmosphere where pollution transport is most important. The means 597 of these model improvements was explored through several sensitivity experiments. 598 To establish a baseline for the lower bound for the errors, an observational 599 uncertainty analysis was first presented where three observation platforms were inter-600 compared (UHF profiler, VAD profiles and radiosonde) by pairing the closely located 601 sites from different platforms. In particular, the comparison of VAD with nearby 602 radiosonde data is the best example as a number (38) of VAD sites are actually 603 collocated with the radiosonde balloon soundings. There were also about 34 VAD sites 604 that were in close proximity to UHF profiler sites. The RMSE in wind speed between these collocated or closely spaced sites is approximately  $1.8 \text{ m s}^{-1}$  (+/- 0.2 m s<sup>-1</sup>), and 605 606 the average absolute differences in the wind direction is near 20 degrees. This 607 uncertainty in wind, as one would expect, is greater in areas of complex terrain and near 608 coastal areas where local sea and land breezes dominate. In the future, the 609 development of site specific uncertainty levels and directly comparing that to model 610 errors determined at these sites would advance this type of uncertainty analysis. 611 The sensitivity analysis examined a four day case study in August 2002 and 612 found that surface nudging did not substantially improve and in some cases increased 613 wind speed and direction errors in the 300-1000 m layer during the day. The most 614 spatially-consistent improvement in wind speed and direction in the 300-1000 m layer 615 was the sensitivity that included all observation platforms in the reanalysis used for 616 nudging above the PBL. The two sources of hourly observation, VAD and UHF profilers, 617 were injected into the reanalysis separately as well as combined. The simulation that 618 used the UHF observations, for example, was evaluated using the independent VAD 619 wind observations and vice versa. The independent evaluation in both cases showed 620 that model error as determined by VAD observations decreased in areas near the UHF 621 assimilated sites, in almost every case. When both sources of observations were used,

the level of error was about the same as cases where they were used separately. This
level of model error with respect to UHF and VAD observations did not degrade when
RAOB observations were incorporated. Furthermore, the level of model error in the
sensitivity that used all observations approaches that found in the observational
uncertainty analysis.

627 The model configuration determined by the sensitivity analysis to contain the 628 least amount of error was applied to a longer three month WRF simulation covering the 629 summer of 2006. This experimental result was then compared to those from a previous 630 simulation done for the AQMEII project. The comparison shows a clear improvement in 631 lower tropospheric transport wind, which is directly linked to the new data assimilation. 632 Results of diurnal wind speed and direction statistics for both the eastern and western 633 US indicate that the use of the new observations are key in reducing the uncertainty in 634 wind speed/direction at night around the nocturnal jet core and throughout the residual 635 layer. A clear improvement was also noted in the mid and lower PBL during the day, 636 which would support the idea that the removal of all nudging in the PBL can improve the 637 representation of the convective PBL as long as these VAD and UHF observations are 638 used to improve the characterization of the geostrophic wind at the top of the PBL. 639 Conceptually, this is a preferred modeling methodology as the PBL and LSM are allowed 640 to interact without any artificial nudging influence. Furthermore, the level of error of both 641 wind speed and direction is in the range of the uncertainty of the observations, which 642 implies an evaluation limit or level of predictability might have been reached with this 643 particular simulation. Any further reduction of model error would likely have to originate 644 from reducing the uncertainty of the observations that are input to the data assimilation, 645 except in the case where the PBL and LSM parameterizations are improved. 646 Observation uncertainty is an important consideration in any model evaluation

647 study. Deterministic models can never reach perfection and they contain inherent errors

648 that are partly a function of inputs, especially when data assimilation like that done in 649 retrospective simulations is performed. Evaluation results should be viewed in this 650 context. Other sources of meteorological wind observations should be explored including 651 in-flight, take-off and landing observations from aircraft as well as satellite derived wind 652 data. The recent study Benjamin et al. (2010) similarly explored the use of a number of 653 the more recent observation platforms including UHF wind profilers, VAD and RAOB, but 654 they also examined the impact of aircraft and various satellite derived observations. An 655 exploration of these data will be a next step of this evolving research.

656

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- 668

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872 873	List of Tables
874 875 876 877	<b>Table 1</b> Comparison of observations from different platforms that are in close proximity (<75 km). Statistics are provided at vertical model levels that are approximately 400, 700 and 1000 m. Numbers in parentheses are the count of site pairs that were used to derive the statistics
878 879	List of Figures
880 881 882 883 884	<b>Figure 1</b> 10 m wind speed error (squares) and bias (circles) of the original AQMEII simulation that used surface analysis nudging of wind within the PBL (green) and a test simulation without this surface nudging (red). All statistics include data for the summer of 2006 (Jun-Aug).
885 886 887	<b>Figure 2</b> Location of various observation sites for each platform. Sites highlighted in blue represent those used for the eastern and western US partitioned statistics in Figure 12.
887 888 889 890 891 892 893	<b>Figure 3</b> Observational uncertainty between RAOB and VAD sites as measured by RMSE (wind speed) and MAE (wind direction). These values are computed using RAOB and VAD paired sites that have a spacing of less than 150 km. The circles in the spatial plot are inversely proportional to the site spacing where the largest circles indicate the site pairs that are collocated.
894 895 896 897	<b>Figure 4</b> Histograms of layer-average (300-1000 m) wind speed RMSE differences as determined by UHF and VAD sites. These are partitioned by observation network, night/day, and the six sensitivity comparisons.
897 898 899 900 901 902 903 903	<b>Figure 5</b> Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 1 (SENS1) and the base simulation (BASE). Positive (negative) values indicate SENS1 has a larger (smaller) error than BASE. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.
904 905 906 907 908 909 910 911	<b>Figure 6</b> Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 2 (SENS2) and the base simulation (BASE). Positive (negative) values indicate SENS2 has a larger (smaller) error than BASE. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.
912 913 914 915 916 917 918	<b>Figure 7</b> Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 3 (SENS3) and Sensitivity 1 (SENS1). Positive (negative) values indicate SENS3 has a larger (smaller) error than SENS1. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.
919 920	<b>Figure 8</b> Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 4 (SENS4) and Sensitivity 1 (SENS1). Positive

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- and VAD profiler values are plotted with different symbols as indicated by the legend onthe right. The embedded table provides the average error that includes all sites
- 924 partitioned by platform.
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Figure 9 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 5 (SENS5) and Sensitivity 1 (SENS1). Positive (negative) values indicate SENS5 has a larger (smaller) error than SENS1. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.

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Figure 10 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 6 (SENS6) and Sensitivity 5 (SENS5). Positive (negative) values indicate SENS6 has a larger (smaller) error than SENS5. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.

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Figure 11 Comparison of the 300-1000 m layer-average RMSE and bias of wind speed and MAE of wind direction for the original AQMEII simulation and the new experimental assimilation. Also provided in the lower left corner of each panel are the platformaveraged statistics. Un-shaded square represent ignored sites that had less than half the maximum number of hourly samples for the period.

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Figure 12 Simulated wind speed RMSE and bias as well as wind direction MAE as a
function of time of day. The statistics were computed using UHF profiler and VAD profiler
observations at three levels (approx. 400, 700 and 1000 m) for the June 1- August 31,

949 2006 period. The sites used for these statistics are highlighted blue in Figure 2.

- 950 **Table 1** Comparison of observations from different platforms that are in close proximity
- 951 (<75 km). Statistics are provided at vertical model levels that are approximately 400, 700

and 1000 m. Numbers in parentheses are the count of site pairs that were used to derivethe statistics.

UHF vs. VAD (34)	Wind Speed (m s <sup>-1</sup> )		
Model Level	RMSE	BIAS	IOA
0.89 (~1000m)	2.05	0.67	0.67
0.915 (~700m)	2.15	0.94	0.65
0.935 (~400m)	2.10	1.03	0.63

UHF vs. VAD	Wind Direction (deg)		
Model Level	MAE	BIAS	
0.89 (~1000m)	26	1	
0.915 (~700m)	25	2	
0.935 (~400m)	24	2	

RAOB vs. UHF (17)	Win	d Speed (n	າ s <sup>-1</sup> )
Model Level	RMSE	BIAS	IOA
0.89 (~1000m)	1.67	0.00	0.79
0.915 (~700m)	1.61	0.11	0.80
0.935 (~400m)	1.95	-0.05	0.69

RAOB vs. VAD (59)	Wind Speed (m s <sup>-1</sup> )		
Model Level	RMSE	BIAS	IOA
0.89 (~1000m)	1.72	0.39	0.79
0.915 (~700m)	1.81	0.50	0.78
0.935 (~400m)	2.08	0.54	0.71

RAOB vs. UHF	Wind Direction (deg)		
Model Level	MAE	BIAS	
0.89 (~1000m)	19	2	
0.915 (~700m)	18	1	
0.935 (~400m)	20	3	

RAOB vs. VAD	Wind Direction (deg)	
Model Level	MAE	BIAS
0.89 (~1000m)	17	1
0.915 (~700m)	17	1
0.935 (~400m)	20	1



956 Figure 1 10 m wind speed error (squares) and bias (circles) of the original AQMEII simulation that used surface analysis nudging of wind within the PBL (green) and a test simulation without this surface nudging (red). All statistics include data for the summer of 2006 (Jun-Aug).



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Figure 3 Observational uncertainty between RAOB and VAD sites as measured by 972 RMSE (wind speed) and MAE (wind direction). These values are computed using RAOB 973 and VAD paired sites that have a spacing of less than 150 km. The circles in the spatial 974 plot are inversely proportional to the site spacing where the largest circles indicate the 975 site pairs that are collocated.



977 **Figure 4** Histograms of layer-average (300-1000 m) wind speed RMSE differences as 979 determined by UHF and VAD sites. These are partitioned by observation network, 980 night/day, and the six sensitivity comparisons.



Figure 5 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind 984 direction; bottom) between Sensitivity 1 (SENS1) and the base simulation (BASE). 985 Positive (negative) values indicate SENS1 has a larger (smaller) error than BASE. UHF 986 profiler and VAD profiler values are plotted with different symbols as indicated by the 987 legend on the right. The embedded table provides the average error that includes all 988 sites partitioned by platform. 989



Figure 6 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 2 (SENS2) and the base simulation (BASE).
Positive (negative) values indicate SENS2 has a larger (smaller) error than BASE. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.



**Figure 7** Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 3 (SENS3) and Sensitivity 1 (SENS1). Positive (negative) values indicate SENS3 has a larger (smaller) error than SENS1. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform.



1009 Figure 8 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 4 (SENS4) and Sensitivity 1 (SENS1). Positive (negative) values indicate SENS4 has a larger (smaller) error than SENS1. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform. 



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Figure 9 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind direction; bottom) between Sensitivity 5 (SENS5) and Sensitivity 1 (SENS1). Positive (negative) values indicate SENS5 has a larger (smaller) error than SENS1. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on the right. The embedded table provides the average error that includes all sites partitioned by platform. 



Figure 10 Spatial plot of the difference in RMSE (wind speed; top) and MAE (wind 1031 direction; bottom) between Sensitivity 6 (SENS6) and Sensitivity 5 (SENS5). Positive 1032 (negative) values indicate SENS6 has a larger (smaller) error than SENS5. UHF profiler and VAD profiler values are plotted with different symbols as indicated by the legend on 1033 1034 the right. The embedded table provides the average error that includes all sites 1035 partitioned by platform. 1036



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Figure 11 Comparison of the 300-1000 m layer-average RMSE and bias of wind speed and MAE of wind direction for the original AQMEII simulation and the new experimental 1039 1040 assimilation. Also provided in the lower left corner of each panel are the platform-1041 averaged statistics. Un-shaded square represent ignored sites that had less than half the 1042 maximum number of hourly samples for the period.



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Figure 12 Simulated wind speed RMSE and bias as well as wind direction MAE as a 1047 function of time of day. The statistics were computed using UHF profiler and VAD profiler 1048 observations at three levels (approx. 400, 700 and 1000 m) for the June 1- August 31, 1049 2006 period. The sites used for these statistics are highlighted blue in Figure 2.