

1 **Title**

2 Global survey of anthropogenic neighborhood threats to conservation of grass-shrub
3 and forest vegetation

4

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19 **Abstract**

20 The conservation value of natural vegetation is degraded by proximity to
21 anthropogenic land uses. Previous global assessments focused primarily on the
22 amount of land protected or converted to anthropogenic uses, and on forest
23 vegetation. Comparative assessments of extant vegetation in terms of proximity to
24 anthropogenic land uses are needed to better inform conservation planning. We
25 conducted a novel comparative survey of global forest and grass-shrub vegetation at
26 risk of degradation owing to proximity of anthropogenic land uses. Using a global
27 land cover map, risks were classified according to direct adjacency with
28 anthropogenic land cover (adjacency risk), occurrence in anthropogenic
29 neighborhoods (neighborhood risk), or either (combined risk). The survey results for
30 adjacency risk and combined risk were summarized by ecoregions and biomes.
31 Adjacency risk threatens 22 percent of global grass-shrub and 12 percent of forest
32 vegetation, contributing to combined risk which threatens 31 percent of grass-shrub
33 and 20 percent of forest vegetation. Of 743 ecoregions examined, adjacency risk
34 threatens at least 50 percent of grass-shrub vegetation in 224 ecoregions compared to
35 only 124 ecoregions for forest. The conservation threats posed by proximity to
36 anthropogenic land cover are higher for grass-shrub vegetation than for forest
37 vegetation.

38 **Keywords**

39 Vulnerability assessment; Forest vegetation; Grass-shrub vegetation; Edge effects;
40 Matrix effects; Anthropogenic effects.

41

42 **1. Introduction**

43 Land use conversion is the primary global driver of natural vegetation loss (Turner et
44 al., 1990; Meyer and Turner, 1994) and ranks among the top five global
45 anthropogenic drivers of overall ecosystem condition (Nelson et al., 2006). Croplands
46 and pastures now occupy approximately 40 percent of the global land surface area
47 (Foley et al., 2005), and more than 75 percent of the ice-free land area shows evidence
48 of alteration as a result of human residence and land use (Ellis and Ramankutty,
49 2008). There is no doubt that expanding human populations will require more land
50 cover conversions in the future (Balmford and Bond, 2005).

51 Land use conversion degrades the conservation values of natural vegetation, for
52 example its capability to support ecosystem services such as clean water and
53 biodiversity. The loss of natural vegetation is an obvious direct effect; less obvious
54 are the indirect effects which degrade the remnant vegetation (DeFries et al. 2004).
55 At local scale, a variety of biotic and abiotic “edge effects” can extend hundreds of
56 meters into intact vegetation (Murcia 1995; Forman and Alexander, 1998; Weathers et
57 al. 2001; Houlahan and Findlay 2004; Harper et al. 2005; Laurance 2008; Barber et al.
58 2009). At landscape scale, the cumulative impact of land use conversion is a
59 transformation of the ecosystem itself (O’Neill et al. 1997) such that anthropogenic
60 “matrix effects” permeate entire landscapes (Ricketts, 2001; Ewers and Didham,
61 2006). Efforts to conserve natural ecosystem services must consider edge and matrix
62 effects for the simple reason that much of the remaining natural vegetation resides in
63 anthropogenic landscapes (Margules and Pressey, 2000; Luck et al., 2004; Fischer et
64 al., 2006).

65 Land cover maps derived from remotely sensed data support meaningful global
66 assessments of vegetation, but systematic analyses are needed to better inform
67 conservation planning (Leper et al., 2005). Most of the available global assessments

68 have been conducted by examining the absolute area of natural vegetation, converted
69 land, or protected land, which addresses the direct effects of land use conversions.
70 The spatial arrangement of remnant natural vegetation in relation to converted land
71 must be evaluated to address indirect effects of land use conversions. Spatial
72 arrangement is a key observation that can be made from global land cover data (Wade
73 et al., 2003; Townshend et al., 2008), and such observations can inform conservation
74 planning by evaluating anthropogenic threats from edge and matrix effects.

75 Previous global assessments of the spatial arrangement of vegetation have focused
76 on forest land cover (e.g., Riitters et al., 2000; Wade et al., 2003). The motivations
77 for complementary global assessments of grass-shrub lands are equally as compelling
78 (Millennium Ecosystem Assessment, 2005) but such assessments are generally
79 lacking. In this study, we conduct a novel global comparative survey of grass-shrub
80 and forest vegetation in terms of conservation threats posed by direct adjacency to
81 anthropogenic land cover (risk of edge effects) and by occurrence in anthropogenic
82 neighborhoods (risk of matrix effects). We summarize spatial analyses of the
83 GLOBCOVER global land cover map (Defourny et al., 2006) within the biomes and
84 ecoregions (Olson et al., 2001) that are often used for global conservation assessments
85 (e.g., Millennium Ecosystem Assessment, 2005). The results identify the ecoregions
86 which contain relatively small or large proportions of extant forest and grass-shrub
87 vegetation at risk from anthropogenic edge and matrix effects.

88

89 **2. Materials and methods**

90 **2.1 Global land cover and ecoregion maps**

91 We use version 2.2 of the GLOBCOVER land cover map (Defourny et al., 2006;
92 Bicheron et al., 2008a; Bicheron et al., 2008b) which is derived from 300-m

93 resolution satellite images from December 2004 to June 2006. The map identifies 22
94 land cover classes which are consistent with the UN/FAO Land Cover Classification
95 System (LCCS) (Di Gregorio and Jansen, 2000). We condensed the 22 land cover
96 classes into four generalized land cover types called “anthropogenic,” “forest,”
97 “grass-shrub,” and “other” as described in the online supplement.

98 The World Wildlife Fund (WWF) global map of terrestrial ecoregions (World
99 Wildlife Fund, 2004) is derived from historical maps, published references, and expert
100 advice, and it defines boundaries of biomes and ecoregions (Olson et al., 2001). The
101 14 biomes portray major vegetation zones including eight “forest” biomes and four
102 “grass-shrub” biomes. Ecoregions are nested within biomes and depict the original
103 boundaries of relatively large land units containing distinct assemblages of natural
104 communities and species prior to major land-use changes (Olson et al., 2001).

105 As described in the online supplement, all maps were converted to comparable
106 equal-area projections and the WWF map was used to post-stratify land cover
107 analyses according to biomes and ecoregions. Biome summaries included all
108 terrestrial area within biomes, but ecoregion summaries were prepared only for the
109 743 ecoregions which were larger than 25 km² and contained terrestrial land cover.
110 As illustrated in the online supplement, each of the 743 ecoregions contained at least
111 some grass-shrub land cover, but 23 contained no anthropogenic land cover, and nine
112 contained no forest land cover.

113

114 **2.2 Anthropogenic threat analysis**

115 Each 9-ha pixel of forest and grass-shrub land cover was examined to determine if
116 it was adjacent to a pixel of anthropogenic land cover, and if it was located within an
117 anthropogenic neighborhood. Anthropogenic adjacency was defined by the presence

118 of anthropogenic land cover in one or more of the eight pixels surrounding a given
119 pixel. As explained in the online supplement, anthropogenic neighborhood status was
120 indicated by the presence of at least 20 percent anthropogenic land cover in the
121 surrounding 137 km² (11.7 km X 11.7 km) neighborhood centered on a given pixel.
122 Since the adjacency and neighborhood tests were applied globally, pixels near an
123 ecoregion boundary may be adjacent to, or in the neighborhood of anthropogenic land
124 cover in a different ecoregion. That was desirable because anthropogenic influences
125 extending up to 50 km are important in conservation (DeFries et al., 2005), and
126 because the ecoregion boundaries are only approximate (Olson et al., 2001).

127 The resulting maps were combined such that each pixel of forest and grass-shrub
128 land cover was described by the ecoregion and biome which contained it, by its
129 anthropogenic adjacency status (yes or no), and by its anthropogenic neighborhood
130 status (yes or no). For a given biome or ecoregion, “adjacency risk” was measured by
131 the percentage of extant grass-shrub (or forest) area with positive adjacency status,
132 “neighborhood risk” by the percentage of area with positive neighborhood status, and
133 “combined risk” by the union of adjacency risk and neighborhood risk. The use of
134 extant land cover percentages permitted comparisons between regions, and between
135 forest and grass-shrub land cover, but it obscured differences in geographic region
136 size and total areas of different land cover types. That was desirable because our
137 objective was to characterize the remnant fractions of forest and grass-shrub land
138 cover in relation to current anthropogenic land cover. The online supplement
139 illustrates the calculation of combined risk and describes the correlations between
140 adjacency risk and neighborhood risk that led to focusing this report on adjacency risk
141 and combined risk.

142

143 **3. Results and discussion**

144 Anthropogenic and grass-shrub land cover each occupy approximately one-fifth of
145 total area (excluding water, ice, and snow) and forest land cover occupies
146 approximately one-third (Table 1). Anthropogenic land cover is the most abundant
147 land cover in three biomes, and in five other biomes it is more common than one of
148 the other two land cover types. Anthropogenic land cover occupies more than one-
149 third of total area in five of the 14 biomes, and occupies less than ten percent of total
150 area only in three biomes that are too cold or too dry to support substantial
151 conversions to agricultural land uses. The potential adjacency of anthropogenic and
152 other land cover types is necessarily related to the amounts of anthropogenic and
153 other land cover types in a region, but direct measurements of adjacency provide
154 spatial information that is not apparent from area data alone. At the biome level, for
155 example, there are no clear trends of adjacency risk in relation to land cover
156 composition (Table 1; see also online supplement). Knowledge of land cover
157 composition alone is insufficient for assessing the potential for edge and matrix
158 effects.

159 Substantial percentages of the existing forest and grass-shrub land covers are
160 subject to anthropogenic risks. Over all biomes, 12 percent of forest is subject to
161 adjacency risk compared to 22 percent of all grass-shrub, and 20 percent of forest is
162 subject to combined risk compared to 31 percent of grass-shrub (Table 1). On a per-
163 biome basis the forest percentages range from less than one to 31 percent for
164 adjacency risk and to 46 percent for combined risk. The per-biome grass-shrub
165 percentages range from less than one to 41 percent for adjacency risk and to 54
166 percent for combined risk. In eight biomes, at least one-fifth of forest is subject to
167 adjacency risk, and in 11 biomes at least one-fifth is subject to combined risk. For

168 grass-shrub land cover, at least one-fifth is subject to adjacency risk and combined
169 risk in 11 biomes.

170 Biomes with the lowest risk percentages typically are those with the lowest
171 percentages of anthropogenic land cover (Temperate Conifer Forests, Boreal
172 Forests/Taiga, and Tundra). On the other hand, biomes with the largest risk estimates
173 are not always the ones with the largest percentages of anthropogenic land cover.
174 Forest exceptions include the Desert and Xeric Shrublands, and Montane Grasslands
175 and Shrublands biomes, and grass-shrub exceptions include the Tropical and
176 Subtropical Moist Broadleaf Forests, and Tropical and Subtropical Coniferous Forests
177 biomes.

178 There is also substantial variation of adjacency risk and combined risk among
179 ecoregions. Excluding the Tundra and Boreal Forest & Taiga biomes, the per-
180 ecoregion percentages of forest and grass-shrub land cover subject to combined risk
181 vary from almost zero to almost 100 percent (Figure 1). Table 2 shows the median
182 ecoregion risk estimates within each biome. For example, the median ecoregion in
183 the Tropical and Subtropical Moist Broadleaf Forests biome has 30 percent of its
184 forest adjacent to anthropogenic land cover (adjacency risk), and 50 percent of its
185 forest either adjacent to anthropogenic land cover or contained in an anthropogenic
186 neighborhood (combined risk). Within a given biome, the median ecoregion risk
187 estimates (Table 2) are often much larger than the corresponding overall biome risk
188 estimates (compare with Table 1). That occurs because ecoregions containing larger
189 proportions of anthropogenic land cover usually have larger risk estimates, but those
190 same ecoregions receive less weight in biome-level summaries because there is
191 relatively less forest or grass-shrub in those ecoregions.

192 # Figure 1 here #

193 Global assessments typically examine the absolute area of natural vegetation,
194 converted land, or protected land as a basis for identifying ecoregions with
195 conservation opportunities. Such assessments could also consider the potential for
196 anthropogenic edge and matrix effects, for example, the ecoregions where the existing
197 vegetation has relatively high or low risk. Ecoregions with relatively high (> 50
198 percent) values of adjacency risk and relatively low (< 10 percent) values of
199 combined risk are identified in Figure 2. Adjacency risk exceeds 50 percent in 224 of
200 the 743 ecoregions for grass-shrub land cover, and in 124 ecoregions for forest land
201 cover (including 94 ecoregions for both land cover types). Combined risk is less than
202 10 percent in 171 ecoregions for grass-shrub land cover, and in 189 for forest land
203 cover (including 112 ecoregions for both land cover types).

204 # Figure 2 here #

205 The results shown in Table 1 may be used to calculate indices of relative area at
206 risk as the product of land cover percentages and at-risk percentages of grass-shrub
207 and forest land cover. While the grass-shrub risk percentages are larger than the
208 forest risk percentages, the total global area threatened by each type of anthropogenic
209 risk is approximately the same because there is less grass-shrub area. On a per-biome
210 basis, there is more forest area at risk in biomes that are naturally forested (e.g., the
211 first eight biomes listed in Table 1), and more grass-shrub area at risk in biomes that
212 are naturally grass-shrub vegetation (e.g., the next four biomes listed in Table 1).
213 That occurs because the remnant vegetation is still dominated by the same land cover
214 type that was dominant before anthropogenic conversions.

215 Ecoregions are a useful framework for global assessments, but exclusive usage of
216 that framework may lead to an emphasis on conserving ecoregions, which implies an
217 emphasis on conserving dominant vegetation. Yet forest is present in relatively small

218 amounts in grass-shrub biomes, just as grass-shrub vegetation occurs in forest biomes.
219 Non-dominant vegetation in any biome probably requires more conservation effort
220 than dominant vegetation simply because there is less of it. For example, a larger
221 percentage of forest is at risk in grass-shrub biomes than in forest biomes, so global
222 forest conservation would not be achieved by targeting forest vegetation in forest
223 ecoregions only.

224 Global land cover maps may have limited temporal, spatial and thematic
225 resolutions, but they are at least a feasible alternative for a consistent global census of
226 land cover patterns. Our risk estimates are conservative because human influences
227 are pervasive and incorporating higher resolution data would almost certainly show
228 higher anthropogenic risk (Riitters et al., 2004). While absolute risk may vary with
229 data resolution, we expect the relative risks to forest and grass-shrub vegetation would
230 be similar. An advantage of pixel-level risk mapping is that it permits post-
231 stratification of at-risk land cover according to many frameworks (e.g., ecoregions,
232 catchments, countries, or land cover types) while ensuring comparability of the
233 underlying statistics across those frameworks.

234

235 **4. Conclusion**

236 This research contributes the first global comparative assessment of grass-shrub
237 and forest vegetation in terms of conservation threats posed by proximity to
238 anthropogenic land cover. The results quantify earlier perceptions of relative
239 conditions among ecoregions and biomes which were drawn mainly from knowledge
240 of historical land cover conversions and human population concentrations as
241 summarized in meta-analyses such as the Millennium Ecosystem Assessment (2005).
242 Substantial portions of the remnant global forest and grass-shrub land cover are at risk

243 from edge effects and matrix effects. Conservation threats exhibit spatial variation
244 that is related to original vegetation and to historic patterns of anthropogenic land
245 cover conversions, such that nearly all forest and grass-shrub land cover is threatened
246 in some ecoregions. Overall, and within most biomes and ecoregions examined, a
247 larger proportion of grass-shrub than forest land cover is threatened by proximity to
248 anthropogenic land cover.

249

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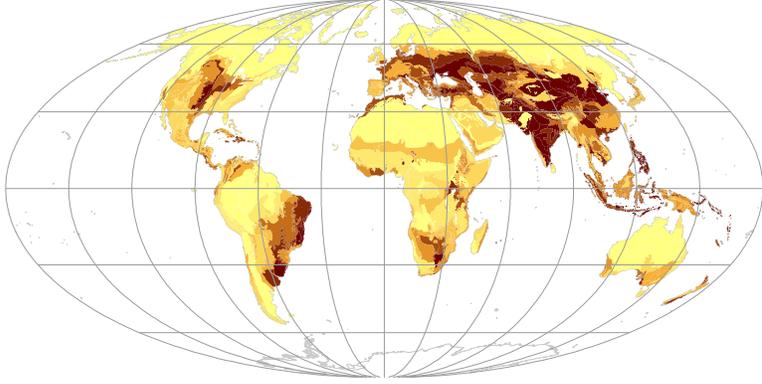
Figure 1. Ecoregional percentages of existing forest or grass-shrub land cover that are threatened by combined risk and adjacency risk. (Mollweide map projection)

Figure 2. Left: ecoregions with more than 50 percent of current forest or grass-shrub land cover threatened by adjacency risk. Right: ecoregions with less than 10 percent of existing forest and grass-shrub land cover threatened by combined risk. Ecoregions are shaded according to biome identity. See Figure 1 in Olson et al. (2001) for comparable map of biome boundaries.

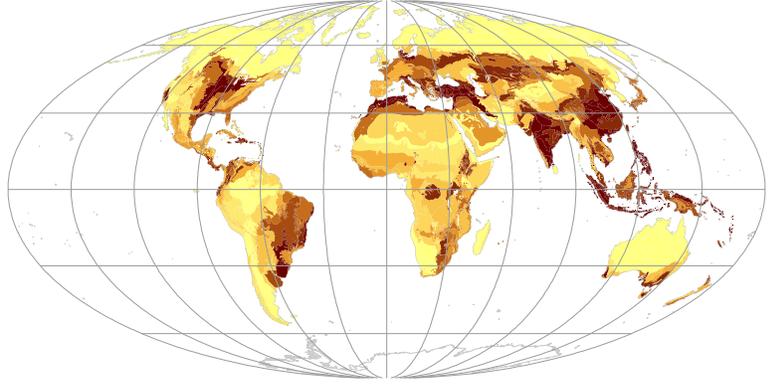
(Mollweide map projection)

Figure_1

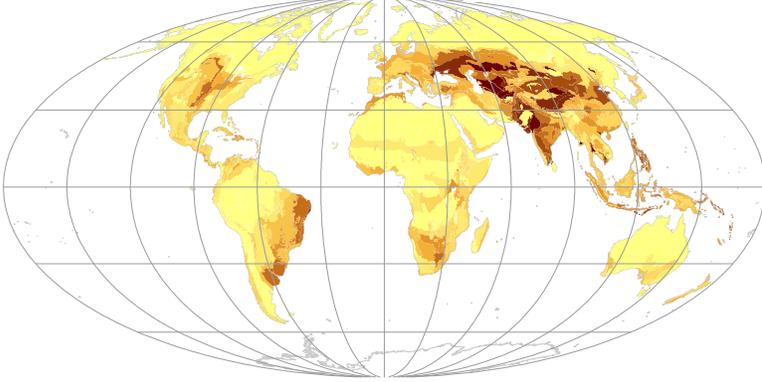
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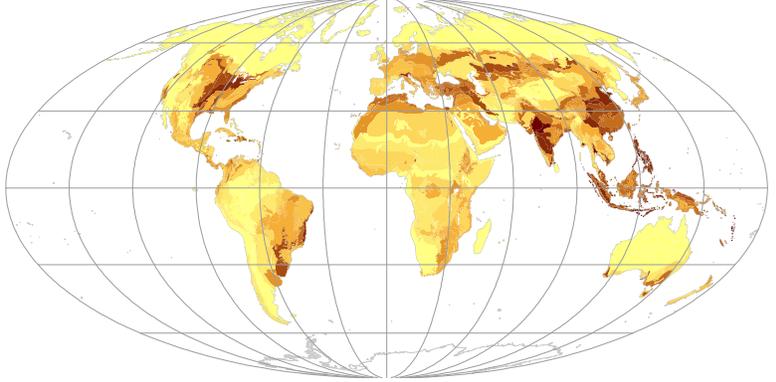
Grass-Shrub Combined Risk



Forest Adjacency Risk



Grass-Shrub Adjacency Risk

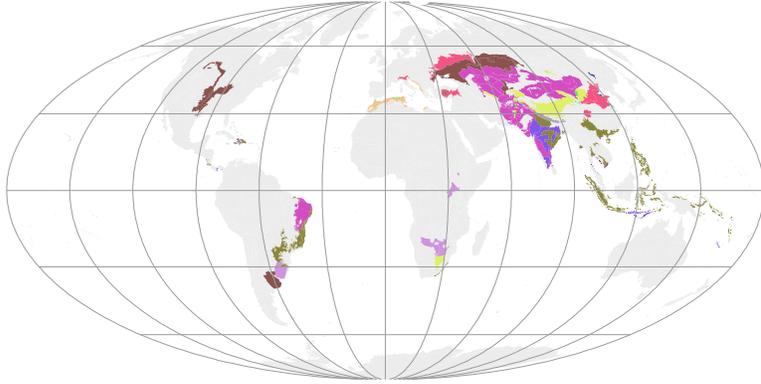


Percent of Forest or Grass-Shrub

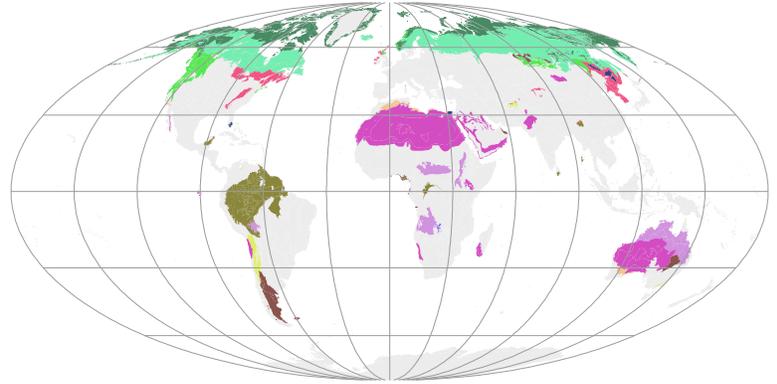


Figure_2

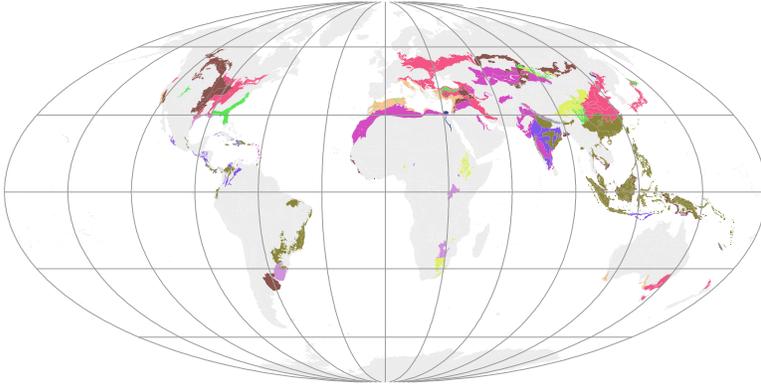
> 50% Forest Adjacency Risk



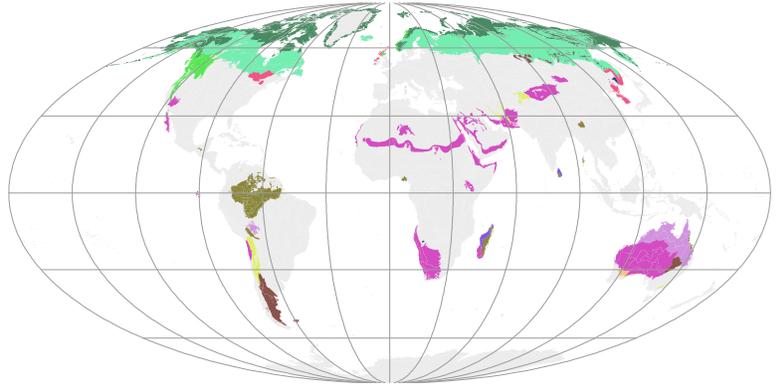
< 10% Forest Combined Risk



> 50% Grass-Shrub Adjacency Risk



< 10% Grass-Shrub Combined Risk



Biome

- | | |
|---|---|
|  Tropical & Subtropical Moist Broadleaf Forests |  Temperate Grasslands, Savannas & Shrublands |
|  Tropical & Subtropical Dry Broadleaf Forests |  Flooded Grasslands & Savannas |
|  Tropical & Subtropical Coniferous Forests |  Montane Grasslands & Shrublands |
|  Temperate Broadleaf & Mixed Forests |  Tundra |
|  Temperate Coniferous Forests |  Mediterranean Forests, Woodlands & Scrub |
|  Boreal Forests & Taiga |  Deserts & Xeric Shrublands |
|  Tropical & subtropical grasslands, savannas & shrublands |  Mangroves |

Table 1. Land cover composition and anthropogenic risks to existing forest and grass-shrub land cover, by biome.

Biome ^a	Percent of total area ^b			Percent of total forest area		Percent of total grass-shrub area	
	Anthro- pogenic	Forest	Grass- Shrub	Adjacency risk ^c	Combined risk ^c	Adjacency risk	Combined risk
	(%)	(%)	(%)	(%)	(%)	(%)	(%)
TSMBF	30	62	8	16	25	37	52
TSDBF	49	31	19	22	36	26	38
TSCF	21	55	25	21	30	39	47
TBMF	38	45	9	20	33	41	52
TCF	11	67	12	9	13	35	45
BFT	1	76	12	1	1	<1	1
MFWS	39	20	14	22	41	26	41
M	35	52	12	22	36	29	42

(Table 1, continued)

TSGSS	24	30	35	14	22	21	32
TGSS	43	15	23	31	46	41	54
FGS	17	27	39	12	19	19	29
MGS	24	10	36	26	41	30	41
T	<1	20	15	<1	<1	<1	<1
DXS	8	2	17	27	38	11	17
All biomes	21	34	18	12	20	22	31

^aBiome nomenclature after Olson et al. (2001) as follows. TSMBF – Tropical and Subtropical Moist Broadleaf Forests; TSDBF – Tropical and Subtropical Dry Broadleaf Forests; TSCF – Tropical and Subtropical Coniferous Forests; TBMF – Temperate Broadleaf and Mixed Forests; TCF – Temperate Conifer Forests; BFT – Boreal Forests & Taiga; MFWS – Mediterranean Forests, Woodlands and Scrub; M – Mangroves; TSGSS – Tropical and Subtropical Grasslands, Savannas, and Shrublands; TGSS – Temperate Grasslands, Savannas, and Shrublands; FGS – Flooded Grasslands and Savannas; MGS – Montane Grasslands and Shrublands; T – Tundra; DXS – Deserts and Xeric Shrublands.

^bExcludes area of water, ice, snow, and missing land cover. Values do not sum to 100 because bare and sparse land cover types are not shown.

See the online Supplement for definitions of anthropogenic, forest, and grass-shrub land cover types.

(Table 1, continued)

^cSee section 2.2 for explanation of adjacency risk and combined risk.

Table 2. Median ecoregion percentage of forest and grass-shrub land cover area threatened by adjacency risk and combined risk, by biome.

Biome ^a	Number of ecoregions	Forest		Grass-Shrub	
		Adjacency risk ^b	Combined risk ^b	Adjacency risk	Combined risk
	(number)	(%)	(%)	(%)	(%)
TSMBF	191	30	50	44	65
TSDBF	46	34	57	40	61
TSCF	15	20	28	37	46
TBMF	78	24	40	43	60
TCF	53	10	11	27	35
BFT	27	<1	<1	<1	<1
MFWS	39	23	45	29	54
M	18	21	34	39	58
TSGSS	42	16	26	20	32
TGSS	40	38	55	50	71
FGS	23	19	34	23	35
MGS	48	28	50	31	45
T	32	<1	<1	<1	<1
DXS	91	20	27	12	16
All biomes	743	22	35	32	46

^aSee footnote in Table 1 for definitions of biomes.

^bSee section 2.2 for explanations of adjacency risk and combined risk.

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