

WORKSHOP ON

National Water Security Risk Communication Symposium

Office of Research and Development

National Homeland Security Research Center



SUMMARY REPORT

National Water Security Risk Communication Symposium San Francisco, CA May 20-21, 2004

United States Environmental Protection Agency

Office of Research and Development National Homeland Security Research Center Cincinnati, OH

> Office of Water Water Security Division Washington, DC

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Executive Summary

The U.S. Environmental Protection Agency (EPA) hosted a 2-day Symposium about communicating risks to drinking and waste water systems on May 20-21, 2004, in San Francisco, California. The Symposium provided an opportunity to inform key water security stakeholder groups about the state-of-the-art in crisis risk communication; a forum to share effective risk communication strategies, best practices, tools, and existing projects; and an opportunity to gather information and advice to support activities in developing and implementing successful risk communication strategies, tools, and plans. More than 100 participants attended the Symposium, from drinking water and wastewater utilities, public health agencies, state and local drinking water and wastewater agencies, local emergency response organizations, elected officials, and the media.

The Symposium began with opening remarks by Scott Minamyer, Symposium Chair, EPA Office of Research and Development (ORD); Wayne Nastri, Administrator for EPA Region 9; Jonathan Herrmann, National Homeland Security Research Center (NHSRC); Steve Dennis, Alameda County Water District, California; and Susan Dolgin-Ruggles, EPA Office of Water, Water Security Division.

Session 1 on May 20, "Risk Communication During and Following A Crisis," began with an informative keynote presentation by Peter Sandman of key elements in crisis and risk communication, 25 fundamental steps in message planning and delivery, how the construction and delivery of a message influences public reaction, and strategies for effective communication and media interaction that build public reassurance, confidence, cooperation, and trust. (Refer to web site at www.psandman.com/).

A stakeholder panel on risk communication during a crisis, moderated by Ms. Kerry Kirk Pflugh, Manager, Office of Outreach and Education, Division of Watershed Management, New Jersey Department of Environmental Protection, focused on the lessons learned by various organizations upon implementing their risk communication plans. Terri Stratton, Risk Communication Co-Lead, California Department of Health Services (DHS), discussed risk communication planning actions taken by the State of California and lessons learned during the fires that occurred in Southern California during October-November 2003. David Ropiek, with the Harvard Center for Risk Analysis, discussed the psychology of risk perception and provided examples from his long previous experience as a journalist. Denise Clifford, with the Washington State Department of Health, Office of Drinking Water, discussed the use of risk communication to support efforts to assure safe and reliable drinking water. Steve Frew, Manager of Security and Emergency Preparedness, East Bay Municipal Utility District in California, discussed the communications and interactions that occurred with the media and public throughout a significant water supply contamination incident. Ed Welch, Chief, New York City Department of Environmental Protection (DEP) Environmental Police, provided insights on communication as experienced in the largest rescue operation in New York City history on September 11, 2001. An audience question and answer period followed the panelist presentations and addressed a variety of lessons learned from these experiences, clarification of experiences during the risk communication process, risk communication planning, and effective methods for interaction.

Paul Biedrzycki, Manager, Disease Control and Prevention for the City of Milwaukee, provided an indepth case study discussion of the 1993 *cryptosporidium* outbreak, including a chronology of events, risk communication methods, lessons learned, corrective actions taken for the water system and risk communication, planned activities, and a question and answer session. An important issue still being addressed is the loss of public confidence in the safety of drinking water that meets regulatory standards.

Following this case study, Ms. Pflugh facilitated an audience discussion on Session 1 topics, other crisis and post-crisis event issues, needs, and emerging tools. Day 1 of the Symposium ended with a demonstration of a variety of risk communication tools and websites.

Session 2, on May 21, "Risk Communication in Preparation for a Potential Crisis Event," began with opening remarks from Scott Minamyer, EPA ORD, and a presentation by Marsha Vanderford, Acting Director, Office of Communication, at the Centers for Disease Control and Prevention (CDC), on her experiences with and lessons learned from CDC risk communication activities during the anthrax contamination events in October 2001.

Vincent Covello, Director, Center for Risk Communication, New York City, provided an informative keynote presentation of key risk communication and message techniques and skills to consider using during a potential crisis and how the message impacts human behavior. (Refer to www.centerforriskcommunication.org).

Stanley States, Water Quality Manager with the Pittsburgh Water and Sewer Authority, discussed a variety of incident response training sessions conducted nationwide and the risk communication lessons learned from the tabletop and live exercises included in this training. Dr. States also provided two case studies (pre- and post-9/11) of risk communication and response for water supply contamination threats. A question and answer session addressed the role of the spokesperson, dealing with multiple points of view by responders, and the importance of a unified command system focused on consensus.

A panel on water security communication initiatives, lead by Linda Reekie, American Water Works Association Research Foundation (AwwaRF), presented several research projects underway in the areas of risk communication and planning. Dr. Rebecca Parkin, with George Washington University, discussed the development of a systematic, science-based approach to anticipate and communicate about emerging contaminants and their risks. Dr. Parkin also discussed a second research project focused on three-way collaborations and the development of a framework for action to help build such collaborations. Dr. Thomas Rockaway, with the University of Louisville, discussed efforts underway to build a large database of utility knowledge on responses to certain types of events that can support risk communication and response planning. Susan Dolgin-Ruggles, with the EPA Office of Water, Water Security Division, discussed the newly released module of the EPA Response Protocol Toolbox – Public Health Response Module 5, which addresses the steps involved in the public health response to a contamination threat or incident (http://www.epa.gov/safewater/watersecurity/pubs/guide response module5.pdf).

A stakeholder panel on best practices for planning, moderated by Kerry Kirk Pflugh with the New Jersey Department of Environmental Protection, focused on the experiences of various organizations in risk communication planning, processes, and tools. Mayor John Horensky, Washington Township, New Jersey, discussed the challenges of risk communication planning in a small municipality and his experiences as an employee of the health department. James McDaniel, Deputy Assistant Manager, Los Angeles Department of Water and Power, presented the risk communication challenges faced by a large water utility serving a diverse population and the risk communication planning and tools that have resulted from these experiences. Scott Szalkiewicz, with the Connecticut Department of Public Health, discussed current efforts to implement emergency response planning and risk communication throughout the State of Connecticut. Edward Dadosky, District Chief with the Cincinnati, Ohio, Fire Department, discussed a number of examples of incidents requiring crisis and/or emergency risk communication and the lessons learned from these experiences. Tom Kahler, with the Newport News Waterworks, addressed post-9/11 communications planning; the importance of identifying, developing, and maintaining relationships with potential responders; and experiences in recovering from the damage caused by Hurricane Isabel in 2003. An audience question and answer period followed the panelist presentations and

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addressed the incident command system, notification systems, and the role of law enforcement during incident response.

Robin Halperin, Risk Manager with the Division of Water in Cleveland, Ohio, provided a case study of the experience of this water utility during the massive power grid outage in 2003. Topics included a chronology of the power outage, water utility responses, and water supply changes to customers; risk communication activities throughout the event; challenges faced in both returning the water system to service, effectively communicating with the public, and the role of elected officials; and lessons learned that are being translated into preparedness planning for future events. A question and answer session examined responses to a post-event customer survey, reactions of hospitals to loss of water supply, and future plans for use of water buffaloes (portable drinking water storage tanks) as a temporary water supply for the public.

Following this case study, Ms. Pflugh facilitated an audience discussion on Session 2 topics. The Symposium ended with a request for post-meeting feedback on risk communication needs that EPA should be addressing.

Introduction and Statement of Goals

The U.S. Environmental Protection Agency (EPA) hosted a 2-day Symposium about communicating risks to drinking and waste water systems on May 20-21, 2004, in San Francisco, California. Risk communication is a process to develop two-way communication between various parties that meets the needs and addresses the concerns of all potentially affected parties. It is an important component of the risk management scheme and should be factored into every step of the risk management process.

The Symposium objectives were to:

- Inform participants of the state-of-the-art in risk communication
- Provide a forum to share effective risk communication strategies, best practices, tools, and existing projects
- Gather information and advice that would inform the subsequent development of a framework or similar product by EPA that local stakeholders can use to develop and implement successful risk communication strategies and tools.

Attending the Symposium were more than 100 participants, primarily from the following key water security stakeholder groups: drinking water and wastewater utilities, public health agencies, state and local drinking water and wastewater agencies, local emergency response organizations, elected officials, and the media.

Session 1: Risk Communication During and Following a Crisis

Opening Presentations

Scott Minamyer, Symposium Chair, with EPA Office of Research and Development (ORD), opened the Symposium, thanked the audience for attending, the speakers for their participation, and the organizing committee.

Wayne Nastri, Administrator for EPA Region 9, thanked everyone for the opportunity to host this Symposium and noted that EPA takes the role of protecting drinking water very seriously and this Symposium is one of the many first steps to prepare for a host of potential events that hopefully will never happen. Communication during such events is critical and information must be presented in as timely and accurate manner as possible. He noted that many in attendance may be called upon to provide information to those who are scared, concerned, or panicked. How these events transpire and how the different agencies communicate during such times is critical to the outcome. The program for this Symposium brings together premier players in risk communication, and emphasizes that effective risk communication is absolutely critical and requires training and rehearsal. Mr. Nastri also noted how much has been accomplished and so quickly since the events of September 11, 2001; such as completion of many water vulnerability assessments.

Jonathan Herrmann, National Homeland Security Research Center (NHSRC), also thanked everyone involved in putting together this Symposium and recognized the contributions of the Office of Water, which has responsibility for implementing many of the activities identified by NHSRC and ORD. Mr. Herrmann noted that many things changed after September 11th and one of those was the need to be prepared, not only from the perspective of physical protection, but also being able to respond to the public's concerns about the water they use every day. Over the next couple of days, participants would be learning from the experiences of others and from case studies. Mr. Herrmann requested feedback from participants on what EPA activities are working best and what products for risk/crisis communication will be most helpful for EPA to develop.

Steve Dennis with the Alameda County Water District, CA, offered a local perspective on risk communication and welcomed all the participants on behalf of all of the water districts in the San Francisco area. He emphasized that the importance of understanding, preparing for, and practicing for crisis communication cannot be overstated. Emergency response plans have recently been updated to address potential acts of terrorism and other intentional acts to contaminate U.S. water systems. Such plans traditionally addressed fire, power outages, and other California-specific issues. Communication is very critical in this new area of response planning and when transitioning from day-to-day water management into crisis management, it is imperative to understand the "who, what, when, where, and how" of crisis communications, because effective emergency response requires effective crisis communication.

Mr. Dennis also described how, following September 11th, the large San Francisco Bay area water utilities began to address these challenges by forming a collaborative organization, the Bay Area Security Information Collaborative (BASIC), in recognition of the need to exchange information, understand the stakeholders, and unify responses to threats. The original group has grown from six to eight members that service a total of 6 million customers; EPA, California Department of Health Services (DHS), and the Federal Bureau of Investigation (FBI) have also been included. Communication occurs throughout the response to a threat and there may be no other element of an emergency response more important than how to communicate with the public in a crisis.

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Susan Dolgin-Ruggles with the EPA Office of Water, Water Security Division, discussed the role of good communication in emergency planning; with the goal being to protect public health and safety in the event of a crisis, whether an unforeseen natural disaster or a terrorist attack. Ms. Dolgin-Ruggles suggested the participants consider the five P's when planning for emergencies:

- *Partner* with emergency responders, law enforcement officials, health practitioners/officials, other utilities, local government, and the community
- *Plan* conduct emergency response planning and learn from existing guidance; work together cooperatively; hold exercises/drills to ensure preparedness; reach out to new, nontraditional partners such as law enforcement; and call on neighborhood watch to assist in detection
- *Procure* information such as guidance available from EPA (e.g., for small/medium water supply systems, a response protocol tool box, and other readily available information), tools developed by the Centers for Disease Control and Prevention (CDC), and through participation in conferences such as this one
- *Practice* hold drills to test strategy and communication (include media and concerned citizens); take advantage of lessons learned, such as those presented in this forum; be an advocate for communication; build networks; and help EPA to identify gaps (what is needed and how to fill them).
- Promote

Keynote Presentation

Dr. Peter Sandman provided an informative discussion of key considerations in crisis communication. Because the material presented by Dr. Sandman is copyrighted, we cannot directly include it in the Proceedings. Details of his presentation are, however, provided in a video summary by Dr. Sandman under "Keynote Speakers" on the Proceedings Main Menu. Materials covered are also available free of charge from Dr. Sandman's web site at www.psandman.com.

Stakeholder Panel on Risk Communication during a Crisis

Kerry Kirk Pflugh, Manager, Office of Outreach and Education, Division of Watershed Management, New Jersey Department of Environmental Protection, served as moderator for a panel session focused on the experience of various organizations when their risk communication plan was implemented either in a real or practice scenario – how communication was accomplished, what was learned, what worked, what did not work, and what might be done different for the next time. The session consisted of five presentations followed by a question and answer period.

Risk Communication during the 2003 Southern California Fires

Terri Stratton, Risk Communication Co-Lead, California Department of Health Services (DHS), Emergency Preparedness Office, noted the importance of knowing your community before a crisis occurs and how this may be done as an assessment in the very beginning of the planning process. She used California as example, noting that communication goals are to: be prepared in advance of an event, instill public confidence in the ability to respond, practice response to emergencies in order to build skills and the ability to utilize knowledge/training in an emergency situation, and work in collaboration or in partnerships with local, state, and federal agencies.

The preparation strategy in California involved:

• Transparency of the planning process and in all press releases and public information materials

- Use of an echo strategy to ensure consistency in the message to the public (e.g., state echoes CDC, local health department echoes state agency, etc.)
- Multi-language focus to be able to communicate with the public in a way that they will understand and in a way that will ensure that they receive the message
- Use of partnerships and collaboration, which are very important during a crisis but must be built in advance of a crisis
- Tools and training with examples provided of the CDC website and the state website (www.dhs.ca.gov)
- Coordination of all efforts by a team, which in this case involved a Public Information Officer (PIO), Department of Mental Health, emergency services, multicultural health, and others that can help guide the development of messages and plans

Recommendations for emergency preparedness and response planning activities include:

- Develop a public relations/media plan in advance to keep actions during an emergency focused; California requires all local health departments to have a risk communication plan
- Educate using more than the press, such as websites and hotlines
- Train a spokesperson so they are prepared to be in front of a camera in a crisis
- Conduct outreach to local health departments since all emergencies happen at the local level (e.g., water district, county, etc.)
- Develop a message and have a series of pre-messages in advance of an actual emergency as this helps to maintain credibility with the public and helps the public prepare; focus on how to prepare, what to do to protect, and what public can do in the emergency
- Conduct risk communication training
- Develop partner and stakeholder relations as well as conduct state agency outreach

An example of the application of crisis and risk communication actions is the response to the fires in October-November 2003, the largest in California history. Planning efforts at the time focused on bioterrorism and other emergencies rather than fire with loss of property and resources. Some observations resulting from this experience include:

- Involve risk communicators early in the response (from the beginning)
- Issue public health messages that give the public clear guidance on what to do (e.g., how to boil water effectively); the public did not want to hear a series of options on how to boil water
- Use press releases to get out information on early actions taken, indicate if conditions are uncertain and what might happen, and target messages to specific audiences (such as toward parents regarding concerns about children)
- Address the issues that are in the mind of the public, such as notifications that emergency operations center is being opened, to establish involvement and credibility
- Provide consistency in the message by sending press releases to partners at same time as they are sent to the press
- Build the partnerships now for those resources that may be needed in an emergency, such as assistance from Department of Education or Mental Health for assistance in crafting messages to address stress or other public concerns.

Overall lessons learned from this experience include:

- Involve risk communication early in the process
- Pre-establish a quick approval mechanism for press releases, materials, and documents in an emergency so information is timely
- Involve partners from the beginning of the planning process
- Hold to core strategies in the emergency and provide as much information as possible
- Collaborate with others involved in the response

The Psychology of Risk Perception

David Ropiek, a former journalist with the Harvard Center for Risk Analysis, discussed his interest in the psychology of risk perception with examples drawn from real world experience. He noted that there is an emotional component to events and that component may be even more important than the risk of the situation itself. Risk communication is all about that emotional component — the outrage not the hazard, how we react to the event, and what fuels "high" or "low" outrage during a crisis.

The first and most important factor is trust. The more people can trust, the less afraid they are, and vice versa. This is real and should not be dismissed as irrational. Therefore, risk communication can be more about what is done rather than what is said. An example of this was a series of press releases about government response to an incident of mad cow disease that began with statements that this was an isolated incident, then saying that the affected cow was not processed into food for other cattle, and then finding out that was also incorrect.

Trust comes from honesty and this means many things – constant communication, openness, availability. An important aspect is to avoid over-reassuring; acknowledging and respecting public fear is also important. Despite the richness of psychology and other studies of fear and risk, there persists a common assumption in the scientific community that if the public is given the scientific information, they will think the way the scientists do. Personal risk decision making is not always a rational process.

Trust can come from competence if it can be seen from a person's past that they are able to handle a situation. Trust also comes from shared control and stakeholder input enabling everyone to feel involved and a part of what is being done and said. Therefore, how much a person is trusted in a crisis depends on what they do day-to-day. This type of trust is hard to build and easy to destroy.

Other relevant risk perception factors include:

- Personal risk, which differs from person to person, and whether you are the one who is asked to drink the bottle of contaminated water the only acceptable personal risk is zero
- How awareness increases concern and vice versa, which enables a person to focus on something that might otherwise be ignored
- Lack of control causes certain responses (such as building bomb shelters) to assert some control, which is often viewed as irrational but is in reality a very personal response
- Uncertainty, which can be scary, particularly with a new technology, disease, or catastrophe
- Affective underpinnings, such as risk to children being perceived as worse than the same risk to adults

The concluding thought is to make the messages and actions more trustworthy and the public will be more receptive to the messages and move in the desired direction. Using top-down monologues to tell people what to think will not work.

Communicating During a Crisis: Creating a Framework in the State of Washington

Denise Clifford, Office of Drinking Water, Washington State Department of Health, discussed the use of risk communication to support efforts to assure safe and reliable drinking water. Communication is critical when an emergency is underway, regardless of the type of emergency or whether the situation represents an acute health risk. The concepts are the same and the key is to practice in advance. We often find that communication has not occurred or we only begin to think about risk communication during the event where such skills are needed. Therefore, it is useful to put the strategies and communication ideas in place before an event occurs so everyone will be ready.

Ms. Clifford discussed the differences between risk and crisis communication. Crisis communication occurs during an emergency, such as when a pipeline exploded in the City of Bellingham. Risk communication includes non-emergency situations and is used for both risk and crisis situations, such as explaining about lead in drinking water.

Ms. Clifford offered a case study involving the City of Seattle where vandalism occurred in a downtown reservoir. The first responders arrived in HazMat suits, which implied to residents that the water might not be safe. Also, many agencies were involved, including the City of Seattle, the Washington Department of Health, and public health agencies for Seattle-King County. Each organization had different ideas on how to approach the situation as well as different messages they desired to deliver to the public – some wanted to be open with the public and others wanted to say nothing. Key questions to consider in such circumstances are: What are the facts? What are the messages? What will the perception be? Who makes decisions? Of particular importance is being clear on what the risks are to health.

The various agencies met after the incident to establish a framework—Public Health Emergency Response Relationships—that outlined objectives, roles/responsibilities, coordinated roles/responsibilities (outside of collective relationships), emergency response and who to notify, communications strategies, and agreements. This laid out objectives for assuring timely response, making timely health decisions, and specifying roles/responsibilities (e.g., epidemiologists, water utility, those overseeing response).

Another step being taken is to link important players together such as the State Department of Health, local health department and health officers, and the water utility. Supporting this will be workshops conducted across the State of Washington to explore cross-jurisdictional coordination and communication issues, among other goals. In addition, three table top exercises are being conducted across the State of Washington to practice coordination between agencies, identify gaps in emergency response plans, and better understand the roles/responsibilities of each responder. Anticipated benefits are improved emergency response, partnerships, and an overall strategy for better communication.

Ms. Clifford stressed the need to be diligent about risk communication and integrating it into every aspect of work and planning for a variety of issues – proactive management of the political environment, water resource management (a big issue in the State of Washington), customer concerns regarding their water, and establishing budgets and priorities of government organizations. This requires preparation to address and lower the outrage levels of the public and others. Ms. Clifford ended the session noting that risk communication is a constant learning experience.

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Case Study of Communication during a Drinking Water System Contamination Event

Steve Frew, Manager of Security and Emergency Preparedness with East Bay Municipal Utility District (MUD), has responsibilities for keeping the emergency response process flowing and keeping all responding parties informed during an emergency; communicating with the public is the responsibility of the public relations personnel. Mr. Frew discussed a significant water supply contamination incident and the communications that occurred throughout with the media and public.

The event began on the afternoon of Friday, December 22, just before the Christmas holidays, and employees had been allowed to leave early. The roof on the Piedmont reservoir collapsed and 200,000 gallons of contaminated water were introduced into the water supply. The initial information came from a resident near the reservoir who witnessed the event. Initially, East Bay MUD did not know if contaminated water was in fact being supplied to Oakland consumers. Initial responses were to summon the emergency team and send workers to isolate the water supply, take samples that were rushed to laboratories for analysis, examine maps to determine where water from the reservoir might have gone and how to address it, and contact the California DHS for guidance.

Upon determining that it was necessary to issue "Boil Water" orders to 15,000 people, two radio stations were notified and agreed to provide the announcement live. A version was also drafted for the media to distribute with the challenge to make the distribution as wide as possible yet without causing undue alarm. By 5 pm that day, the utility was being contacted by the television stations who wanted to help get the word out and did so in a clear, serious, and calm manner using veteran reporters who did not overplay or underplay the situation, did not create panic, and followed the East Bay MUD lead on tone – all of which was a tremendous help. At the same time, the call center began receiving many telephone calls, which required a quick briefing of call center staff on a standard script to use and what could or could not be said. All this occurred in parallel with trying to develop a sound sampling and analysis strategy for the reservoir.

By evening, the source had been isolated and fire hydrants had been flushed. While it was believed that contaminants had not reached customers, more testing was conducted to verify. All testing was completed within 36 hours and by Sunday, December 24, the test results and follow-up results indicated no contamination, so a media release was prepared rescinding the "Boil Water" order and reporters issued it promptly.

This case study is a classic example of how an emergency team worked together with the trust of the public, who did not panic.

Lessons Learned from the New York City Experience

Ed Welch, Chief, New York City Department of Environmental Protection (DEP) Environmental Police, provided insights on communication as experienced in the largest rescue operation in New York City history on September 11, 2001. Key aspects in effective response are planning, procedures, communication, and information.

In an emergency, someone must assume command and make decisions as they see fit. This can only be done through practice. Information must be communicated in both directions, and the process must provide for factual decision. Lessons can be learned either by making our own mistakes or learning from the mistakes of others. As an example, Mr. Welch discussed the many errors that occurred in responding to the Chernobyl incident – by workers, managers, the government, and the responders.

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Proper training and education of the public is essential to smooth evacuation and response. On September 11, 2001, people in the twin towers were initially told not to leave. In another incident involving a chlorine spill drill, participants were directed to assemble in an area that was downwind of the incident.

Since September 11th, there has been no higher priority than water supply security and New York developed a three-tiered strategic framework designed to secure, protect, and defend the water supply. His organization has both a Detective Bureau and Intelligence Division that are involved in all long-term investigations relating to pollution, crime, and terrorism, and also assist in the vital role of prevention through the gathering of intelligence and information sharing. A part of these efforts involves hardening physical boundaries (protection) and implementing an identification program to badge visitors, employees, and contractors. Other actions include protection of infrastructure through canine units (looking for bombs), patrols by boat and bicycle, and, soon, a trained scuba team.

Other recommendations include:

- Subscribe to WaterISAC, an excellent resource
- Draw on anglers, hunters, and others who use the water supply to call in their observations as they are a useful source of detailed information
- Provide security training drawing on police academies with a note that many are not focused on water security and the environment, which can be addressed through supplemental training
- Provide security training agency-wide and tailored to each level to have everyone understand the importance of security
- Practice speaking on the radio or other emergency communications equipment in advance to be able to communicate clearly
- In an emergency, prepare in advance what to say and deliver the message in a calm manner
- Develop a culture of cooperation within the organization and build trust with the local community
- Prepare the public for emergencies such as developing a citizen's guide for emergency preparedness
- Anticipate system failures (such as lack of telephones or radios) in emergency planning so there are redundant communications and people available to deliver messages if needed

Communication is the most important dynamic of any organization. The New York DEP regularly holds large- and small-scale drills, and communication is often a primary problem. Communication is essential to timely, accurate information flow not only to keep an emergency response functional, but also to relieve stress and panic. An important aspect is to be able to communicate with specialized teams – scientists, health/medical professionals – in a common language.

Facilitated Panelist Question and Answer Session

Kerry Kirk Pflugh, with the New Jersey Department of Environmental Protection, facilitated the question and answer session following the panelists' presentations. Topics addressed include:

- How the incident at the Atlanta Olympics was well-handled from an emergency response perspective
 in that the response was quick and allayed fear, but perhaps not so well-handled from an investigation
 perspective
- The need to work with law enforcement during an incident to understand what kind of evidence may be needed

- How to identify the transition from crisis to risk communication, which is an incremental process that
 begins with the release of initial facts (and how to release them), moves to releasing new information
 as it becomes available, and is identifiable by the transition from the initial chaos into a mode of
 operational recovery/back to business
- The importance of anticipating questions about an incident prior to the actual crisis, use of focus groups to determine what they might ask, and working with communications personnel to develop strategies to release information
- The need to train the call center staff on how to effectively communicate with the public during a crisis
- Alternate approaches (such as use of mini-test kits) in the first response to incidents in residential
 areas other than full HazMat personal protective equipment (PPE), which may elevate concerns
 unnecessarily
- The importance of media preparation beyond just the message—for example, where to park their equipment, strategies for each type of media interaction (e.g., print, local television, national television), the usefulness of involving the local media in conducting this planning, and the need to tailor the message for each media type
- How to handle effectively the initial contact by the media if the message is not yet available, such as telling them the message is in preparation, asking for their deadline time, telling them you will get back with them, and preparing an initial message (in conjunction with your media person) that includes several facts
- Factors that are different for a bioterrorism event than natural disasters, such as a higher level of public outrage, greater fear of a human-made risk, and greater fear of a risk that is imposed by others
- Differences today in response to the City of Seattle's potential reservoir contamination event include a different response communication that would be prepared by the State Health Department, communication to the public that vandalism is now taken very seriously with serious consequences, and preparedness in how to respond to the media and talk to the community
- How to handle questions from the public for which the communicator is not prepared or does not have the information, such as honestly stating what is and is not known; relating concern and identifying what is being done to find our more information; speaking in a reassuring manner; and interacting respectfully
- Use of the topic of bioterrorism to obtain media interest in reporting on efforts to prepare for such incidents, what is or is not known, efforts to harden the infrastructure, and other pre-event actions to help build public confidence

Case Study – 1993 Cryptosporidium Outbreak in Milwaukee, Wisconsin

Paul Biedrzycki, Manager of Disease Control and Prevention for the City of Milwaukee, discussed the largest documented waterborne disease outbreak in the United States. A key message is to connect with local agencies because many of the health departments have developed protocols for communication and have received significant amounts of funding post-9/11 for these types of actions.

Contaminants in the water supply were initially suspected because of the magnitude of the outbreak (indicating massive exposure), symptoms were consistent with ingestion, there were recent and persistent water quality complaints (to the water authority but not to the health department) in the two weeks before the outbreak, and no other plausible theory. Almost two weeks passed after the initial outbreak before the problem was determined. This time period needs to be shortened to reduce morbidity and mortality from

the event. There were many impacts, including hospitalization, more than 100 deaths, lost time from work and school, as well as settlements for various lawsuits filed in the aftermath.

Of particular note was that the water in the area most heavily impacted by the outbreak was in total compliance with all requirements; although some changes in water had been noted (e.g., turbidity). Corrective actions taken after the event to prevent its recurrence include the addition of treatment with ozone, coagulation, then enhanced filtration, and extending the affected intake to avoid possible watershed effects.

The news media was the biggest risk communication method at the time even for the health department and water utility personnel. Yet, this is a classic story of breakdown or absence of communications between the water utility and public health organizations (i.e., the water utility assumed this was the flu, a respiratory disease), between public health and health care providers (first report came from a doctor seeing multiple cryptosporidium cases), and between government and consumers (ignoring two weeks of complaints about the water). In 1993, they did not have an emergency communications plan, a PIO, preidentified audiences, pre-established channels of communication, clear and authoritative message content, or identified community resources. At the time, they lacked a relationship between the Milwaukee Water Works (MWW) and the Milwaukee Health Department, had no response protocols, were not tracking over-the-counter (OTC) sales of anti-diarrheal and other medications, and lacked efficient data collection/reporting. Response efforts were also affected by professional arrogance and cultural gaps (e.g., distrust, lack of respect for other disciplines, trying to appear expert in another discipline), overreliance or focus on regulatory compliance, and insensitivity to customers. As a result of these findings, current practices now include the issuance of Consumer Confidence Reports, sending special advisories to targeted audiences, developing press releases, development and implementation of training modules, and investigation/application of community-wide surveillance networks and other methods to support trend analysis, centralized disease reporting, and emergency notifications.

By working with health agencies or emergency department, it is possible to leverage existing notification systems and tools such as blast FAX in addition to website, hotline, and media releases of information. Other tools include SURVNET (to support trend analysis of disease in large areas that are inclusive of the water system), EMSystem to help post health advisories (have used it for SARS), and CDC-funded Health Alert Network for the states. Public notification considerations include the importance of identifying target audiences, incorporating multi-cultural considerations (e.g., one message may not work for all populations), using multimedia approaches, and being clear and authoritative.

An interdepartmental work group at the operational level was key to bringing together issues, building consensus, and focusing on the same mission. The work group includes Milwaukee Water Works (operations, engineering), public health (laboratory, environmental, epidemiological), Department of Public Works (storm/sewer infrastructure), Wisconsin Department of Natural Resources, Milwaukee Metropolitan Sewer District, and policymakers (e.g., Mayor, others). When convened, the work group reviews data, develops consensus on response, conducts public notification, initiates interventions, and performs after-action review. This work group has convened for ozone outages, SDWA Tier 3 violation, intake rupture, and a potential finding of *cryptosporidium*.

Lessons learned from this contamination event include:

- Build and foster relationships between water utilities and public health agencies in advance, including professional respect
- Routinely share data and expertise
- Develop a broad, diverse public notification strategy using tiered approaches so no one is left out

- Pre-identify community resources and partners to help craft the message
- Establish a PIO, joint information center, and a plan centered on a single point of contact and one voice during communication
- Engage the media early, often, and at your schedule not theirs
- Be up front and forthright in what is or is not known
- Have emergency notification and response protocols in place
- Use multiple, perhaps redundant, methods of communicating to the public
- Cross-train and prepare through exercises
- Be prepared for the unexpected

New actions being taken include:

- Combining syndromic and environmental surveillance data to compare water quality information against diarrheal data reported during the same time period
- Joint training and exercises enabling response members to work together
- Jointly redefining risk by comparing watershed and beach data with wastewater treatment plant effluent data on specific *cryptosporidium* species since they do not all have the same impact on humans

Future considerations in the planning effort include: interfacing the SCADA (*supervisory control and data acquisition*) system with public health in real-time, assessing new disinfection technologies as well as the risk/benefit of their by-products, and developing new partnerships to include law enforcement such as the FBI and the new discipline of forensic epidemiology as a joint investigative technique.

A question and answer session followed the presentation to clarify the outbreak, the response, and lessons learned. Topics addressed included:

- Calls to the MWW from the public during the first two weeks that primarily focused on the color, odor, and taste of the water with some reporting that the water was making them sick
- Conduct of syndromic surveillance using multi-faceted biological surveillance (e.g., ambulances, poison control, health care hotline, OTC sales) that are put together so results of all sources can be viewed at once, with a key difficulty being to establish a threshold for the community
- Difficulties in overcoming consumer confidence and continued allegations that the water is not of high enough quality despite data that indicate the water is of high quality, and the need to engage other partners to assist in overcoming this hurdle
- Whether bottled or filtered water is better than drinking tap water and that there are no current state regulations for certifying bottled or filtered water as there are for tap water
- Measurement of individual filter turbidities (in raw water and post-filter water), which was done as a once per shift grab sample with effluent turbidity measuring higher than that of raw water
- Genotyping of *crytosporidium* by strain or source (e.g., wild animal, domestic animal, human) as part of a CDC study of water and wastewater streams to determine which are important as a human pathogen
- Loss of public confidence in compliance because of this outbreak demonstrated that regulatory compliance is not always sufficient to protect the public all of the time
- Use of the public health organization to serve as the primary spokesperson and to interface with the media, which enabled the water utility to focus on their activities

- Potential for use of SURVNET (a Milwaukee tool) and EMSystem (commercially-available) for bioterrorism, water security, and other possible alert needs
- Interest in strategies to standardize tools and communication methodologies to help communities be
 more proactive with reference to the three-prong CDC approach—strategic positioning of supplies,
 monitoring, and syndromic surveillance
- Reductions in combined sewer outflow (CSO) incidents (from 40 to 2) along with declines in the slaughterhouses and related industries that reduce possible recurrence, while influences continue from suburban and agricultural runoff upstream that are outside the Milwaukee agencies' areas of responsibility

Facilitated Audience Discussion

Kerry Kirk Pflugh, with the New Jersey Department of Environmental Protection, facilitated an audience discussion of other crisis and post-crisis event issues not covered in Session 1, needs, and emerging tools. Key topics included:

- Use of Maximum Contaminant Level Goals (MCLGs) that may be more stringent than Maximum Contaminant Levels (MCLs) and whether educating the public on the difference would achieve greater public acceptance of existing water treatment, which MWW noted was unlikely to occur
- How to help the public understand acceptable risk (and that zero risk does not exist), including the timing of such educational efforts, which is not productive to do following an event that is endangering the water consumer
- How to obtain and/or set up a program for training on risk communication, including upcoming American Water Works Association (AWWA) workshops on crisis communication; training offered by the State of Washington and EPA; resources available through CDC, including a website with names of certified trainers and a CD-ROM with tools (CDCynergy); California DHS tool kit currently in development; templates, guidance, and workshops provided by EPA; and contacting public health departments whose programs are expanding through bioterrorism funding
- The use of preplanning to understand potential audiences, to identify their issues/concerns, and to otherwise anticipate their questions
- The value of identifying ethnic backgrounds and language skills, how they obtain their information, who they trust, what their priorities are, and their prior experience with agencies potentially involved in a crisis, so as to design effective communication strategies and avoid repeating past mistakes
- Addressing bold water filtration claims of technology providers by: (1) involving the health department and/or State Attorney General rather than the water utility responding itself, (2) never claiming that drinking water is safe as that implies zero risk, and (3) possibly developing a message involving a sequence of true statements about the water or the state/status of water treatment
- The need to balance full disclosure and honesty in risk communication with the need to safeguard
 information, noting the public's distrust through past experience of the validity of such claims made
 by the government and a more preferable path of telling the public what it wants to know and
 omitting what the terrorists may want to know, which are usually sufficiently different
- The need to consider risk communication training, which can be expensive, as a cost of doing business, to build those costs into budgets, to consider bringing in an expert to conduct training rather than sending personnel to training, and to form partnerships, joint initiatives, or other co-sponsorship of training or drill activities to help reduce costs

- The importance of understanding both the delivery and receipt of information in order to be an effective communicator, noting that no matter how well orchestrated the plan, there is no guarantee that the same message will be equally perceived by everyone
- The desire to have a manual that covers, in a simple, understandable way, all of the risks (perhaps in checklist form) and what can be done to prevent or respond, noting that one process cannot address every situation and good planning requires going into the community and understanding them, their frame of reference, their economic background, and other factors
- The majority of the value of a communications plan comes from the planning process rather than the plan itself, and the learning and connections that are made when going through the planning process are important to long-term success—there are many nuances that cannot be anticipated by simply following a canned formula

Risk Communication Tools Demonstration Evening Session

A variety of website demonstrations, CD-ROMs, handouts, and posters were made available to Symposium participants, including:

- Physician preparedness for acts of water terrorism and the clinician role in community readiness and risk communication; demonstrating the Physician On-Line Reference Guide (see www.WaterHealthConnection.org)
- EPA National Homeland Security Research Center (see www.epa.gov/nhsrc)
- Risk communication with Dr. Peter Sandman (see www.psandman.com)
- CDC toolkit on CD-ROM CDCynergy, Your Guide to Effective Emergency Risk Communication Planning (see www.cdc.gov/communication/cdcynergy.htm)
- EPA Water Security Division (see www.epa.gov/watersecurity)

Session 2: Risk Communication in Preparation for a Potential Crisis Event

Opening Presentations

Scott Minamyer, Symposium Chair, opened the second day of the Symposium by thanking the audience for attending and the Association of State Drinking Water Agencies for their support to this symposium.

Marsha Vanderford, Acting Director, Office of Communication, at the Centers for Disease Control and Prevention (CDC), discussed the CDC experience with water security and general principles of communication that are often overlooked in haste; such as the content element and relational element of a message. An illustrative example involved the anthrax events in Washington, DC, in October 2001. Early on, CDC had been criticized for acting too slowly and appearing to contradict itself. When postal workers started becoming ill, an emergency communication was developed in the late evening for immediate release. The internal review/approval process prior to release focused on whether the message was factually correct and clearly understandable. Overlooked was the fact that this was the first time doxycycline was to be recommended rather than Cipro (which had been specified to U.S. Senators as the preferred medication). CDC had just determined that doxycycline is a good alternative to Cipro since it is just as effective, has fewer side effects, and is more available and less expensive. The next morning, CDC received many angry telephone calls and emails and the postal workers understandably felt disenfranchised. CDC had, in its haste, not taken into account what the postal workers had already heard (i.e., that Cipro was the preferred medication). The emergency message focused on content and ignored the relational aspects – respect, caring, and the implied relationship/power between the message sender and receiver. This is relayed in tone, use of personal pronouns, and taking into account the cares/concerns of the audience to be reached.

Trust is a big part of any message and this was known as far back as Aristotle. People consider the following to assess whether someone is a reliable source: Do you care about my concerns? Are you honest? Do you know what you are talking about? Do you have the power and authority to do what you say you will do? If any parts of this are missing, it will be difficult for the communicator to be believed.

Furthermore, trust is built on long-term relationships, like an investment bank to draw on in an emergency. This relies on understanding what the audience already knows, what misconceptions they might have that need to be addressed, and what their concerns might be. This is difficult to do during a crisis; therefore, it is important to develop such materials with an audience ahead of time. For water security, this means considering what are the likely water security scenarios, the likely agents to be added, etc., and generally thinking ahead to what people would want to know in those circumstances.

CDC has gone through this process involving 55 focus groups for different hazards – biotoxins, radioactive, and others. Initially, participants' first concerns were the location and safety of their families, followed by wanting to know about the agent, where it is, whether they can be exposed, what it will do, and what can the individual do if infected/exposed. This feedback formed the basis of a series of First Line Fact Sheets, some of which are posted on the CDC website or are available should an event occur. While it is not possible to anticipate everything needed, preparing for some of this in advance will help CDC focus on the event itself and the unanticipated rather than conducting communication research at the same time.

Keynote Presentation

Vincent Covello provided an informative overview of key risk communication issues to consider in preparing for a potential crisis. Because the material presented by Dr. Covello is copyrighted, we cannot directly include it in the Proceedings. Details of his presentation are, however, provided in a video summary by Dr. Covello under "Keynote Speakers" on the Proceedings Main Menu; along with a related presentation and article on Message Mapping authored by Dr. Covello, which he provided as handout materials at the Symposium.

Case Study: Synopsis of Risk Communication Issues from Multiple Crisis Tabletop Exercises

Stanley States, Water Quality Manager with the Pittsburgh Water and Sewer Authority, discussed lessons learned from a variety of training courses that include tabletop exercises conducted throughout the United States in the last 1½ years. The scope of the various exercises varies, but typically involves classroom training, group discussion, tabletop exercises (participants play various roles then discuss responses, interpretations, etc.), full staff exercises (individuals from specific organizations fulfill their roles as they would in a real situation), and a full-scale exercise. Almost all of the training course scenarios involve the use of weapons of mass destruction (WMD) (biological or chemical) or the intentional introduction of a contaminant into drinking water that results in injuries and fatalities. The goal in each case is to obtain hands-on training utilizing recently published response guidance such as the EPA Response Protocol Toolbox and the National Incident Management System (NIMS) for incident command and emergency operations. All of the training includes a public information aspect, regardless of scope and with/without a professional Public Information Officer (PIO).

Lessons learned from these exercises include the following:

- All participants appreciate the importance of effective crisis communications and recognize how critical this is to effective response
- Participants readily understand the need for a common message and a single spokesperson, and realize the confusion that can result from contradictory messages from different agencies
- Participants understand the necessity for being honest and forthright with the media and the public as well as the consequences of not being honest
- Many participants view the relationship with the media as adversarial, which can interfere with effective communication to the public
- Some participants may be overly reluctant to share information with the public, particularly the water industry which tends to be conservative and focused on delivering safe water
- Participants have difficulty in sharing information with the public that has the shock value of terrorism and WMD agents
- Various agencies have difficulty determining "who is in charge" during various phases of the incident and therefore who is responsible for delivering the message to the public
- Difficulties in maintaining a balance between the risk of overreacting to a false alarm and the risk of under reacting to a real situation, particularly when there is a very short time period for issuing public notifications and health alerts

Two real past events were also offered as learning experiences. The first occurred in December 1980 in an area outside of Pittsburgh where a water utility strike was underway. Someone injected chlordane (a pesticide) through an air vent into the municipal water supply system, and starting that evening, people

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began claiming that the water smelled like gasoline (which is common since chlordane is often carried in a kerosene container). Most people did not drink the water because of the smell, but some got sick, and the utility had to replace hundreds of hot water tanks and portions of the distribution system that could not be flushed adequately. The incident was reported, people were advised of what happened and what to do, and the public did not perceive the incident as dire.

A second incident occurred two days into the Iraqi war and the threat level had been raised to orange (indicating high risk of attack). A call came in that a yellow substance had been placed into an open reservoir. While responders were on the way to the reservoir, efforts were initiated to isolate the reservoir and the health department was asked to meet the utility personnel at the reservoir. Upon arrival of the responders at the reservoir 10 minutes after the call, they discovered that members of the media were already present. The incident appeared to involve a heavy deposition of pollen. A real challenge from a public information perspective was to hold private conversations among the various responders (utility, public health, emergency medicine, police, fire) to discuss the possible problem and solution with the media present. In this case, media personnel were respectful and stayed away from the discussions; but it was all in view of the cameras. Samples were collected in plain clothes, and an emergency analysis was done that substantiated that the substance was pollen. All communications involved a single voice and when laboratory results were available an hour later, the results were immediately released. The situation was covered well by the media.

A question and answer session followed the case study presentation. Topics addressed included:

- When encountering difficulty in balancing the "reaction" to an incident during training, participants tend a bit toward over-reaction, but under-reaction happens as well
- The choice between under-reacting (and people getting sick) and over-reacting (and people becoming concerned) is difficult, particularly because there is a limited amount of time for decision-making
- The need to involve more risk communicators (e.g., Public Information Officers) in these training exercises, which is a challenge in that many utilities, particularly small utilities, do not have them and many that are invited do not attend
- The importance of tabletop drills to practice the command center operation and to stay focused on who the appropriate speaker should be, noting that elected officials often want to take control of the situation and use their PIOs for public communication
- Uncertainties of whether a single spokesperson is possible or desirable as there may be value in showing the public that there are diverse agency opinions and there is perhaps a need to warn the public that they will hear different opinions----An alternate viewpoint was that the goal of incident command is to integrate these various opinions and develop a consensus
- Use of a sole spokesperson that presents what the stakeholders have agreed upon and who also hands off specific questions to other stakeholders (such as technical experts) for the answer
- The need for the decision makers and elected officials to participate in the tabletop exercises
- Clarification of the concept of single voice rather than single spokesperson and the confusion that the public can have when different persons provide different opinions at the same time
- The difficulty of handling differing opinions and whether to fake a consensus to have one message or go with honesty and have several messages, and the experience in training that participants prefer not to be dishonest and strive toward consensus for the public good
- Unified incident command as a successful method for working out disagreements behind the scenes and agreeing on a unified message given the importance of credibility and avoiding confusion of the public early in the crisis, which may lose their support and make managing the crisis difficult

- The need to recognize limitations in spokesperson representation, such as inappropriateness of the health department speaking for the Department of Defense
- How communication is a large part of the effort in an actual incident and that the public cannot be left for a long period of time with nothing being said

Panel on Water Security Communication Initiatives

Linda Reekie, American Water Works Association Research Foundation (AwwaRF), Panel Chair, provided a brief overview of AwwaRF and introduced the panelists. AwwaRF is a member-sponsored organization whose mission is to make drinking water safer and more affordable. The organization conducts research on improving, protecting, and treating drinking water to improve quality, as well as water security and improved communications. This panel provided an overview of research underway in communications and drinking water, and consisted of three presentations.

Communication for Emerging Contaminants and Water-Related Health Risk

Dr. Rebecca Parkin, with George Washington University, discussed several research projects currently underway with AwwaRF. The first involves the development of a systematic, science-based approach to anticipate and communicate emerging contaminants and their risks. The research activities included a literature review, case studies, application of mental models (neural networks) and a classification model, and development of a strategic decision making aid.

Key findings from this emerging contaminants research project include:

- Risk communication is a different type of communication and is an integral part of risk management
- Strategies must be based on scientifically-derived information rather than guesses, and must be specific to a particular area
- Plant managers are viewed as being responsible for providing information and they need clear, visible, open support by their senior management as well as the training and support to interact with the community and understand what the community is able to understand
- Communication activities must fit with the community's interests and preferences, which requires interaction to develop this understanding as well as establishment of a visible, positive presence before a crisis occurs
- Risk communication is a part of every step of the risk management process

The literature review showed that risk perceptions are affected by gender, ethnicity, education, socioeconomic status, geographic location, and sensory perception. Those who will be most worried about water problems include women, minorities, lower educational levels, and those who are poor or live in stressed urban neighborhoods, and these groups require different outreach and communication efforts. Also, in more heterogeneous communities, the media is more likely to frame issues as problems without solutions and this requires more complex, creative communication methods.

Recommendations for the corporate level of water utilities as an outcome of the research include:

- Base strategies on facts not guesses
- Plant managers are responsible and need support
- Be visibly present in the community
- Proactively initiate dialogues
- Build professional capacity

A second study is an effort to advance three-way collaborations for addressing water-related risk and communication. A primary output is a framework for action to help develop collaborations. Only two-way collaborations were noted in the literature, even though three-way collaborations are also known to exist. Surveys were conducted of 98 water utilities, 150 public health agencies, and numerous clinicians across the United States. Most of the utilities had worked with a local or state health agency, and many health agencies had worked with clinicians on water security. The findings overall were that (1) each entity has many other parties to consider and they have incomplete knowledge about each other--perhaps only assumptions, and (2) utilities and clinicians have much more contact with health agencies than each other.

This project has just begun. Key points and lessons learned to date include:

- The various parties have limited knowledge of what risk communication is or how to use it strategically
- Scientific knowledge is available but under-utilized
- Experience with collaboration is limited
- Knowing community concerns builds trust
- Preparing for strategic risk communication is important

Emergency Communication with Local Governments and Communities

Thomas Rockaway, with the University of Louisville, discussed a research project jointly sponsored by EPA, WERF, and AwwaRF on emergency response planning. Dr. Rockaway noted that having one set plan usable by all organizations is not feasible as each plan must be adapted to the local community and much is learned in the planning process.

A lesson learned is that a utility is most likely to be prepared for more common or anticipated events. Examples included annual spring flooding in Louisville and annual fire threat each summer in Southern California. The area of difficulty for utilities is dealing with unexpected events such as wildfires experienced three years ago in Eastern Kentucky where it would have been useful to tap into the Southern California experience to help with planning and response.

The goal of this research project is to build a large database of utility knowledge on large and small events. Some utilities are very good at being prepared for certain types of events. However, when Louisville handled notification of a water main break by hanging a notice on the doorknobs of homes, they found that many did not get the message; but they did when a sign was placed in their yard. Another finding was that it was important to state information that seemed obvious, such as "even if you have water at your tap, this [boil water order] applies to you." The goal of this database is to help distribute these experiences.

Other products anticipated from this research effort include the development of an emergency communication management system, a template to assist utilities in the decision making process, and a template for an action plan for emergency communications. Research activities will include reviewing communication plans of a small sample of utility companies, determining a set of probable crisis events and creating scenarios, and determining the effectiveness of warning and emergency messages to local government and the public. The focus of these efforts is on communications and ways to assist, but not perform, response planning.

Response Protocol Toolbox: Public Health Response Guide

Susan Dolgin-Ruggles, with the EPA Office of Water, Water Security Division, discussed the newest module to be released for the EPA Response Protocol Toolbox – Public Health Response Module 5 (www.epa.gov/safewater/watersecurity/pubs/guide_response_module5.pdf). Ms. Dolgin-Ruggles presented the process that the toolbox sets forth and noted that this particular module is used when a threat is considered credible and public health response actions should be underway.

The main components of Module 5 are consequence analysis, containment options, public notification, and alternate water supply. The process is not linear and there are times when consequences are such that it is necessary to move right to the public notification step. There is a decision tree for public notification and the issuance of specific actions (e.g., boil water advisory). The Module emphasizes the need for collaboration.

Public health consequences to be considered include contaminant properties (health effects, toxic/infectious dose, routes of exposure, fate/transport) and spread of contaminant through the water system (manual estimation methods and models). Public notification guidance includes content, format (short, simple, all languages common in the area), and delivery vehicles. In addition, short-term alternate water supply considerations include water for consumption and sanitation (bottled, emergency supply stored by consumers, bulk water hauled in) and water for fire fighting.

Overall, the Module discusses the public health response to a contamination threat or incident, and helps the user to think through the actions necessary to protect public health in a progressive manner. The overall toolbox has been released in draft final form and can be found at www.epa.gov/safewater/security. EPA is working on an electronic format to enable the user to quickly get to the information needed since parts of the module are quite long. There are also plans to develop a simplified document, develop and conduct training, and develop support tools.

Stakeholder Panel on Best Practices for Planning

Kerry Kirk Pflugh, with the New Jersey Department of Environmental Protection, served as moderator for a panel session focused on the experience of various organizations in risk management planning, processes, and tools. She noted that there is a tendency to ask for a specific tool, a quick fix, and whether there is an existing plan or exercise that will provide the answer for risk communication; noting that many view risk communication planning as something added at the end. Quite the opposite, risk communication planning is part of the entire effort and must address constituent groups throughout the community, earn trust and credibility, and be able to explain risk. There are many models and tools and in her experience, she has found most useful the 7-step process that begins with issue identification and goal setting, and continues through developing messages and methods to evaluating outcome. To be successful, a risk communication plan must be in place in advance of an incident with all involved parties knowing in advance what is expected and what their roles are. This approach yields a more positive risk communication outcome. The panel consisted of five presentations followed by a question and answer period.

Risk Communication in Washington Township, New Jersey

Mayor John Horensky, Washington Township, NJ, discussed the challenges of risk communication planning in a small municipality with five part-time elected officials, four full-time personnel, and 25 municipal employees. Washington Township is incorporated, consists of 14.5 square miles, and is a split suburban (large lot) and rural area. The water source is primarily groundwater so there are no reservoirs

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or open water supply issues. For emergency and bioterrorism planning, there is little threat except to wellheads. The distribution system is privately owned.

Mayor Horensky also holds a full time job with the health department and, unlike many elected officials, deals with risk communication on a daily basis, including message mapping. He noted that without this experience and training, there would be very little risk communication occurring at the municipal level and that it is important to rely on the utilities and have the risk communicators work with them when there is a water problem. Incident command training is not required for local government, although Mayor Horensky has had such training as a result of his job. He has begun developing relationships with adjacent mayors to address such issues.

In his area, there is a strong belief that the water supply must be protected because of increased demand for a limited water supply (groundwater) as a result of growth in the Township. As mayor, his goals for the Township are to provide accurate information and reduce the risk of panic. Objectives in such circumstances are to identify credible information sources, provide timely updates, convey concern (built up through a lot of government interaction with citizens of the Township), and establish trust and confidence that their best interests are at heart and the information/services required can be provided.

In building relationships, there is a need to identify partners and advocates, the stakeholders (e.g., residents and businesses), adversaries (those who wish to derail the risk management program by trying to make it work for them the way they want), and the apathetic people, which is largely the general public until an event occurs. Once an event occurs, the apathetic population may move to denial and refuse to understand that there is a major risk to address, so it is important to communicate with them in advance. In Washington Township, the water company goes into the schools, secures grants for schools, and is viewed as a credible resource that will assist in a crisis.

Examples of where risk communication has been used effectively in his area include:

- Recent droughts where information was distributed to the local community about water conservation measures
- Pollution episodes that caused discharges to recreational swimming and fishing sites
- Siltation from construction activities that polluted waterways
- Elevated levels of naturally-occurring radium and mercury in water
- How to protect wellheads in their area as part of enhanced security

A key component of successful risk communication is developing partnerships. This provides a mechanism for sharing accurate information, understanding who knows what, and who to go to for what type of information. This in turn helps to establish credibility; without credibility, the public will not believe the message.

Risk Communication at the Los Angeles Department of Water and Power

James McDaniel, Deputy Assistant Manager, Los Angeles Department of Water and Power (LADWP), presented risk communication from a big city perspective. LADWP is California's largest retail water supplier, serving 3.8 million people over 465 square miles of service area.

LADWP has recent experience with risk communication through a number of incidents: high chlorine in the system due to misfeed from a chlorine injector (issued "Do Not Use" alert), Northridge earthquake (issued "Boil Water" advisory), incidents of noncompliance (sent required health notices that raised

questions requiring explanation), and post-9/11 issues and precautions. In addition, when taking steps for their system in response to the *cryptosporidium* outbreak in Milwaukee, LADWP found a large potentially impacted population involving immuno-compromised individuals (e.g., having AIDS or undergoing chemotherapy) that required communication with care givers rather than the affected individual.

From this response experience, LADWP has evolved a series of response steps that include a risk communication component:

- What happened where, when, who is affected, why
- Utility response assessment, actions to take, expected outcome, and outreach to pre-identified community partners, including feedback at early stages of expected outcomes
- Advice for consumers notice of risk, options to manage risk, mechanisms for feedback or customer access, periodic/scheduled updates via the media, and return to service notice
- Wrap-up evaluations for internal improvement and external messages

Some lessons learned include the need to begin planning for lifting a "Boil Water" advisory upon issuance, giving the public a context for risk management options (e.g., this is like we did for the earthquake last year), and working with the media on their news cycle schedules. Another challenge was that people other than the PIO often want to deliver the messages to the public and LADWP has had good experience in having the various PIOs discuss this together and strategize on how to get the message out to the public.

Tools used to assist in communicating the risk message have included:

- Signage and road barriers to cover a large affected area
- Stand-by and contracted language translators to cover 16 languages, minimum, in the service area
- Mapping tools for hard copy and electronic delivery, including pre-planning to identify pressure zones and identifying geographic boundaries to use in notifications and return to service messages
- Standard templates for "Boil Water," "Do Not Use," and "Return to Service"

Also important is the identification of special subpopulations that either need special information or require special methods to get the information to them. Therefore, it is necessary to understand how these special subpopulations get their information and who they trust. One approach is to build on networks such as caregivers for the immune-compromised, schools, hospitals, senior centers, restaurants, large commercial water users, and those who distribute low flow toilets in the community. Other avenues for accessing consumers include putting information in the annual report and current actions to create 120 neighborhood councils who can be notified by email.

Partnerships are not easy to maintain, but they are worth the investment of time to do so and to keep up with changes over time. Partnerships for the water community include regulators (EPA, state/local health departments), WaterISAC (for fact sheets on contaminants that are specific to the water industry), rapid response providers (neighboring utilities and wholesalers), local law enforcement, first responders (county sheriff, county health, State OES, State Department of Justice), and referral services with other utilities to share information on laboratories and mutual aid.

Credibility of the message is critical. Water utilities must resist the pressure to appear to be medical professionals and should enlist the health department to address such issues. Water utilities must also resist the pressure by elected officials to be over-reassuring in messages to the public. A more productive

strategy is to select the right spokesperson from the most credible institution, avoid discussion of comparative risks, and be timely, accurate, and useful in all communications.

Communication Initiatives at the Connecticut Department of Public Health

Scott Szalkiewicz, with the Connecticut Department of Public Health, discussed current efforts to implement emergency response planning and risk communication throughout the State of Connecticut, which has over 3,000 regulated public water systems, of which 618 are community water systems. After the events of September 11, 2001, there has been a dramatic change in the number and types of organizations with which the Department of Health must interact.

The incident command system (ICS) is recognized as the foundation for an effective all-risk emergency planning and response capability, with a modular organization and consensus orientation in which all opinions will be heard. Three key steps in building this capability are to communicate (achieve real-time, two-way communication), coordinate, and cooperate. Connecticut has held four regional workshops for first responders and public drinking water system personnel, formed a Security Advisory Committee (to develop lines of communication), and formed an Emergency Response Group (to build skills). Cross-training is becoming very important to eliminate pre-conceptions and lack of understanding, such as law enforcement personnel thinking fire hydrants are controls or utility personnel understanding that a breakin must be handled as a crime scene. In addition, Connecticut has targeted all community water systems for vulnerability assessments and has not limited the effort to those meeting the EPA minimum criterion.

In conducting these activities, a number of issues have arisen that must be addressed:

- Lack of continuity for all who need to receive security and other training
- Importance of having law enforcement attend training/workshops, which has been difficult
- Lack of electronic communications access by all entities
- Apathy from burnout
- Numerous conflicting activities
- Independent ("cowboy") behavior, most commonly by law enforcement and water utilities

A key lesson learned is that there is no substitute for professional accountability in providing good, safe drinking water that has the trust of the consumer, particularly if the health department is brought into the water utility message. Another critical piece is to coordinate with law enforcement and water suppliers.

To date, over 600 have attended four regional drinking water security workshops, including elected officials, emergency coordinators, law enforcement, and others. The focus of the workshops was on a small pocket guide being given to operators with telephone numbers and other information. This was a Washington State product that Connecticut refocused to meet its needs.

Other preparation activities underway include:

- Tying all systems (Wide Area Notification System, broadcast FAX, telephones, etc.) into a broad structure to help spread emergency messages with a focus on calling 911
- Eliminating mass mailings and placing all information on the Health Department website
- Making organizational changes

Mr. Szalkiewicz concluded by noting that the keys to success are professionalism, responsibility, and accountability.

Crisis and Emergency Risk Communication at the Cincinnati, Ohio Fire Department

Edward Dadosky, District Chief, with the Cincinnati, OH, Fire Department, presented a number of examples of incidents requiring crisis and/or emergency risk communication and the lessons learned from these experiences. In his area, there is involvement in both response and response planning not only by the City of Cincinnati and Hamilton County, but other parts of Ohio, Kentucky, and Indiana as well. Hazard sources in the area come from fixed facilities (80 percent) and transportation (rail, highway, barge, and pipeline).

Some of the communication lessons learned from incidents and drills in the area include:

- Effective communication is two-way between local and federal entities and each has responsibility to communicate with each other whether addressing a rumor or a true emergency
- The importance of obtaining facts about a situation before proceeding into crisis management mode, such as a report by a local company of an inventory shortage of a chemical that could contaminate the water supply that turned out to be an inventory error rather than theft
- Use of effective, rather than disruptive, communication strategies with the public as demonstrated by a 3 am notification for an oleum spill using the air raid siren only to wake up nearby residents and then tell them to shelter in place
- The need to work with the media in advance to address potential communication needs and strategies
- Use of the health department in the lead communication role in a water contamination event because the head of the water utility may not be credible to the public
- Communication failures can severely limit the response

Notification techniques currently in use include:

- Outdoor warning sirens, with usage to be modified as described in the example above
- Emergency alert system, which recently had difficulties in properly delivering a message to the television system
- Telephone trees, blast FAXes, and blast emails
- NOAA weather radio, which can be used for non-weather-related emergencies
- Disaster Radio Network to notify hospitals of the types/number of casualties to expect

Learning continues from drills and exercises, which has resulted in reorganization of equipment (e.g., what is being bought), changes in how people are processed (e.g., not separating parent and child regardless of gender difference), and the need to upgrade speakers in SCBA. In another drill, they learned that people responded better to direction than general statements such as "fire" or "don't panic." Using state-of-the-art sound systems and messages that follow the 27/9/3 rule enabled better control of the crowd as well as credibility with the crowd.

Plans for future incidents include drawing on the system of community councils, cities, and townships in the area as focal points for communications and providing central locations for the communicators, media, and the public to assemble and share information. A Terrorism Early Warning Group is in development that will include all emergency responders and establish one point-of-contact in each discipline (e.g., police) that will keep all counterparts in that discipline apprised of the situation and actions. Also in development is an encrypted communication device (e.g., text messaging, secure messaging) for use by all emergency responders.

Communication and Response Planning at the Newport News Waterworks, Virginia

Tom Kahler, Operations Support Manager, with the Newport News Waterworks, discussed post-9/11 communications planning and the importance of developing and maintaining relationships with potential responders and those who may be affected by the loss of the water supply. The Newport News Waterworks is in a unique position of being located in the middle of the largest military-industrial complex in the world, including weapons, military installations, and nuclear-powered vessels as well as being only three hours from another potential major target, Washington, DC.

Key questions raised during the events of 9/11 included: From whom will we get our help? As Security Manager for the utility, who do I need to talk to? He identified the initial emergency responders (fire department, law enforcement, emergency management services), and began meeting with the various organizations to develop both communications and assistance should an event occur. Recommendations from this process and experience include:

- Meet and brief law enforcement in all service jurisdictions, including the military
- Help the SWAT and bomb squads get to know your plants, how to get through them, how to communicate with the plants and law enforcement within the plant, which may include provision of maps, briefing patrol commanders/officers on how to get around within the plants, etc.
- Provide tours, information on who to contact within the utility, GIS maps, and the dispatch number in case they find something you need to know about (e.g., pipe bomb near a dam)
- Educate them on the consequences that could exist for the public and vital services should the water supply be disrupted, as law enforcement needs to know this to be able to help the water utility in an emergency
- Develop relationships, brief uniformed personnel, and provide HazMat and security information and maps

All of these activities are a matter of education and it is important to regularly visit/brief these organizations to let them know of concerns found through vulnerability assessments, what actions are to be taken in an emergency, etc. His experience demonstrated that none of the law enforcement personnel had any knowledge of water system vulnerabilities or that the water system is one of the eight critical infrastructures (identified in the Bioterrorism Act). Conducting this education and building these relationships is critical because the water utility must rely on law enforcement assistance in an emergency. Recommended pre-event actions for a water utility include:

- Discuss risks and consequences with municipal government(s) as well as areas of mutual assistance
- Review Memoranda of Understanding with signatory organizations and address with them the unique problems that may be encountered for response and recovery
- Conduct tabletops and other live exercises
- Interface with first responders and incident commanders regularly since personnel may change over time
- Convey to the public and to large utility users (in his case, two military and one brewery) what to expect in an attack

Another important element is communicating with interdependent utilities and vendors to understand service restoration priorities (for example, whether electrical power is restored to hospitals first and water utilities second); to get to know key players for water utility recovery such as electrical, gas,

telecommunications, and other critical suppliers; and to develop the relationships and interface regularly. In a crisis, having all the players know each other personally helps the response process.

A critical but often overlooked area is access and debris removal. Access to plants and other facilities is essential for recovery. If debris cannot be removed, it may not be possible to get personnel to vital facilities. Therefore, pre-planning for access is important, including pre-arrangement of support services (whether it is by contractor or from the local public works department), and inclusion of all these services in tabletop exercises.

A final point was to plan for having no functional communication systems and to develop alternatives in advance for communication needs. Loss of electrical power can mean no landline telephones, no radios, and no cell phones. After Hurricane Isabel, Newport News Waterworks went without all of these communications systems for 7 days. Some organizations have generators, and Newport News Waterworks was able to get messages to the local paper that had a generator and was able to go to print and circulate information to the public.

Facilitated Panelist Question and Answer Session

Kerry Kirk Pflugh, with the New Jersey Department of Environmental Protection, facilitated an audience question and answer session on the panelist presentations. Key discussion topics included:

- Elaboration on Incident Command Structure (ICS) training, which is provided by FEMA, instituted through the New Jersey State Police, and passed down to the local level through local emergency management offices. This ICS training is a multi-tiered program that takes the user through the various stages of incident command.
- Several panelists discussed automated telephone notification systems. The Los Angeles Department of Water and Power (LADWP) is also looking into such a system, recognizing that there are concerns about the ability to keep the information current, the desire to use their own database of customer accounts/contacts, and plans to test out concepts through the Request for Proposal (RFP) process.
- Methods to draw local elected officials into the risk communication process, such as inclusion of the Mayor of Los Angeles in an annual workshop with LADWP.
- Concerns over the role of law enforcement in incident response and how the incident command system is an interdisciplinary process that does not allow for control by one entity such as law enforcement. Difficulties have been encountered in the interactions between water utilities and law enforcement where law enforcement initially directed the utility to take certain actions that were not feasible (e.g., translation of potential contamination of one open aqueduct into a response to shut off the entire water supply for 9 million people). This further emphasized the need to develop interdisciplinary understanding in advance of actual events.

Case Study: Massive Power Grid Outage in 2003 in Cleveland, Ohio

Robin Halperin, Risk Manager, Risk Management Group, Division of Water, Cleveland, OH, discussed the experience of the water utility during a massive power grid outage, focusing on the risk communication aspect. The Division of Water services 72 surrounding suburbs through four service areas and nine pressure districts over 600 square miles. Of particular note is the reliance of this system on pumps because source water is Lake Erie, which is at a lower elevation than the water collection and treatment system.

The power outage occurred late afternoon when water supplies were at their lowest. All four water treatment plants were reported out, which is very unusual for a system of this size and diversity. Within

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one hour, the public was requested to begin conserving water. Not many in the public had made the connection that if there is no power, eventually there is no water. At the same time, some customers began losing water. By 10 pm, the question became whether to continue distributing water or conserve it in case the outage would last several more days. Overnight, more customers lost their water supply, the Division of Water lost the ability to make more water, and "Boil Water" advisories had to be issued. Power restoration to one water treatment plant at 4 am the next day began the road to recovery, with setbacks encountered when the water system was caught in rolling blackouts. Water system impacts included extensive depressurization and dewatering, lots of air in the pipelines, water quality concerns, and loss of water supply to hospitals, the fire department, and other critical customers.

The major difficulty in planning, implementing, and managing a response to this situation was the lack of information on when the power would return, where Division of Water was on the priority list, and what to restart first once power was available. Other difficulties encountered included:

- Lack of response to telephone calls for information by the power company
- Absence of the County Health Department during the response despite previous understanding that they would take over water distribution
- Limited ability to find suppliers of potable water and obtain their support
- Balancing the need to stop distribution and conserve water in strategic locations for later distribution if the power was out for several days (which would make system restart easier) with the need to continue the fire water supply
- How to restart the depressurized water system
- How to keep pumps from tripping when the system is dry
- Whether to pump water before treating
- Uncertainties as to when power would return to each part of the system, which directly affected restart efforts
- Customers losing water after system restart because the water storage in their part of the system had run dry
- Lack of system maps that had to be remedied during the crisis
- Communication difficulties where cell phones and pagers did not work
- Broadcast information that provided limited utility or could not reach its intended audience (such as "Boil Water" advisories to individuals without power for their television/radios)
- Whether to flush the system until clean water or any water is obtained
- Whether to issue "Boil Water" advisories to just the customers in potentially affected areas or to all water customers

Lessons learned in the risk communication area included:

- Bringing in all internal players to discuss the risks, how these risks affect the system and its
 customers, and to agree on the message to the public, was extremely important
- Managing interpretation of the message by external participants, particularly elected officials, can be difficult and can result in misinformation (e.g., changing the standard templates for "Boil Water" advisories from a 3-4 minute boil time to a 45 minute time when issued to media)
- Accepting the presence of the media and developing an appropriate frequency for press conferences or press releases as it is possible to have too many press interactions without new information

- Having elected officials defer specific questions to key personnel present such as was done by Mayor Giuliani of New York City, but recognizing that this is not always possible
- Having an up-to-date and accurate point of contact list for local government, responders, and major customers is important
- Developing a concise and clear message to keep the public informed, while recognizing that the media may still interpret or change it
- Providing a 24-hour call center so that the public can talk to a live person while balancing the potential for misinformation through use of multiple call-in lines
- Determining appropriate locations to stage water buffaloes (portable drinking water tanks)

A customer survey one month after the incident involved over 150 persons in each of the nine water districts and addressed their experiences with the power outage, water outage, and "Boil Water" advisory as well as whether they would support an increase in their water bill to pay for backup generators. Findings include:

- Elderly customers were least likely to have heard about the "Boil Water" advisory
- Television was the primary source of information
- Less than 50 percent of those who knew of the water advisory followed the instructions (women and younger respondents were most likely to have done so)
- Confusion over who had to comply with the "Boil Water" advisory, which implied the message was not as clear as the Division of Water had thought
- Confusion about the length of the "Boil Water" advisory
- Little use of the water buffaloes despite fairly widespread knowledge that they were available

The next steps for the Division of Water in response to this experience include:

- Improving the standard public relations language, scenarios, and communication plans
- Coordinating more with the Mayor's press office
- Developing more templates and scripts
- Re-evaluating who should be presenting the message, for example, a doctor
- Evaluating the use of a reverse-911 system to overcome communications issues
- Developing a plan for water distribution in a crisis
- Coordinating with county officials (health department, emergency management)
- Maintaining up-to-date point-of-contact lists
- Developing better ways to educate the public on what these water notifications mean in an emergency so that a "do not use" order is not taken as lightly as the "boil water" advisory was
- Obtaining backup power for the water system

A question and answer session followed the presentation to clarify the response, survey results, and lessons learned. Topics addressed included:

- Finding significant customer willingness to pay additional fees for acquisition of a backup power supply
- How Y2K planning did not help to address the challenges encountered in the power outage, such as Y2K budgetary decisions to not buy backup power generators (as power providers assured that would

not be a problem) and the technical inability of companies that distribute electricity to take on the role of power generation

- When concerns began to recede (about 4-6 hours after the initial outage) upon recognition that this was not a terrorist event
- Diverse reactions of hospitals to loss of the water supply or "Boil Water" advisory and the absence of hospital plans/preparedness for such contingencies
- The preference to use water buffaloes for longer-term (more than 30 hour) emergencies given the difficulties encountered in their use instance of arrival full of sanitization solution rather than empty, required maneuvering space for delivery truck despite small size of an individual buffalo, refilling in place with potable water, and lack of authority to force potable water delivery by private companies

Facilitated Audience Discussion

Kerry Kirk Pflugh, with the New Jersey Department of Environmental Protection, facilitated an audience discussion of other issues not covered in Session 2, as well as any other needs. Key topics included:

- Public concerns in Cleveland over dirty water upon system restart were more significant than their understanding of why they needed to boil their water
- Increased apathy and denial in New England that water security is an issue, which is making it more difficult to properly maintain the equipment and the procedures developed to address such issues
- The need for water utilities to understand the importance of transparency, candor, and not being overreassuring in their crisis communications, which is unfamiliar to many, and how to bring out more interest in the importance of these skills
- Using a more personal approach to go beyond the Cleveland customer survey in order to understand from the general public why the communications during the event did not work as intended and to do this before developing the next round of educational materials
- Obtaining customer feedback 3, 6, or even 12 months after an incident as a better source of information to help build a communications plan and budgets for communication
- Seeking out local organizations who may be able or interested in helping to acquire the "why" information from the Cleveland experience or who may provide the funding for the Division of Water to do so
- Conserving water helped in some areas of the Cleveland power outage, yet the majority of water supply loss was the result of system design and the point of water usage for the day at which the power outage occurred, which could not be offset by conservation
- Incorporating into crisis communication planning the concept that this is counter-intuitive and the importance of involving someone trained in crisis communication not only in the response but also in the post-crisis review and evaluation to help in learning from the experience
- Increasing chlorination for a few days after restoration of the Cleveland water supply turned out to be inadequate additional protection because the chlorine demand of the system was underestimated as a result of the extensive depressurization; this led to the need to increase chlorination and for longer periods of time while not violating regulatory limits
- The need to train speakers, particularly executives, and the near-term availability of an executive communication module developed by CDC that will be suitable for insertion into various programs that executives may attend

Symposium Close-Out

Jonathan Herrmann, with the NHSRC, thanked everyone for attending and participating. He noted that the Symposium involved much successful information exchange and interaction. He offered to all participants a homework assignment to be received shortly after the Symposium – to identify and communicate to EPA three take-home messages from this Symposium and the three most challenging issues that EPA should be addressing. (Send any input to minamyer.scott@epa.gov).

Susan Dolgin-Ruggles, with the EPA Office of Water, Water Security Division, also thanked everyone for their attention and participation, expressed her interest in participants providing the feedback requested by Mr. Herrmann, and offered the opportunity for interested Symposium participants to form a working group to address the suggestions received.

Appendix A Agenda

National Water Security Risk Communication Symposium, San Francisco, CA

Thursday, May 20, 2004

Session 1:	Risk Communication During and Following a Crisis
8:00 – 8:05	Opening and Introductions by Symposium Chair, Scott Minamyer, USEPA Office of Research and Development
8:05 – 8:15	Welcome, Wayne Nastri, USEPA Regional Administrator for Region 9
8:15 – 8:20	USEPA Office of Research and Development, <i>Jonathan Herrmann, National Homeland Security Research Center</i>
8:20 – 8:30	Local Risk Communication Perspective, Steve Dennis, Alameda County Water District, CA
8:30 – 8:45	USEPA Office of Water, Susan Dolgin-Ruggles, Water Security Division
	Keynote Presentation
8:45 – 10:00	Overview of key issues in crisis communication, Peter Sandman, Internationally recognized risk communication expert and consultant (Refer to www.psandman.com)
10:00 - 10:20	Break
10:20 - 11:20	Peter Sandman overviewContinued
11:20 – 11:45	Facilitated Q&A for Peter Sandman
11:45 – 1:00	Lunch (on your own)
	Stakeholder Panel on Risk Communication during a Crisis
1:00 – 1:15	Facilitator Opening and Introductions, Kerry Kirk Pflugh, New Jersey Department of Environmental Protection
1:15 – 1:30	Terri Stratton, California Department of Health Services
1:30-1:45	David Ropeik, Harvard Center for Risk Analysis
1:45-2:00	Denise Clifford, Washington State Department of Health
2:00 - 2:15 2:15 - 2:30	Steve Frew, East Bay Municipal Utility District Ed Welch, New York City DEP Environmental Police
2.13 – 2.30	Ea weich, New Tork City DET Environmental Torice
2:30 - 3:00	Facilitated Q&A for Panelists
3:00-3:30	Break

3:30 – 4:15	Case Study: 1993 Cryptosporidium Outbreak in Milwaukee, WI, <i>Paul Biedrzycki, Disease Control & Prevention, City of Milwaukee Health Department</i> (30-minute talk and 15-minute facilitated Q&A)
4:15 – 5:00	Facilitated Open Discussion with Audience (What are other crisis/post crisis event issues not covered today, what is needed, what are emerging tools?), <i>Kerry Kirk Pflugh</i>

Risk Communication Tools Demonstration Session (5:00 – 7:00 PM)

Stations set up for Tools Information Sharing, Demonstrations, and Discussions

Friday, May 21, 2004

Session 2:	Risk Communication in Preparation for a Potential Crisis Event
8:00 - 8:05	Opening and Introductions, Scott Minamyer
8:05 – 8:15	Centers for Disease Control and Prevention, Marsha Vanderford
	Keynote Presentation
8:15 – 9:15	Overview of Key Risk Communication Issues in Preparation for a Potential Crisis, Vincent Covello, Director, Center for Risk Communication, New York City, NY (Refer to www.centerforriskcommunication.org)
9:15 – 9:45	Facilitated Q&A for Vincent Covello
9:45 – 10:15	Break
10:15 – 11:00	Case Study: Synopsis of Risk Communication Issues from Multiple Crisis Tabletop Exercises, <i>Stanley States, Water Quality Manager, Pittsburgh Water and Sewer Authority</i> (30-minute talk and 15-minute facilitated Q&A)
11:00 – 11:45	Panel on Water Security Communication Initiatives Susan Dolgin-Ruggles, USEPA Office of Water, Water Security Division Linda Reekie, American Water Works Association Research Foundation Rebecca Parkin, George Washington University
11:45 – 1:00	Lunch (on your own)
S	takeholder Panel on Best Practices for Planning
1:00 – 1:15	Facilitator Opening and Introductions, Kerry Kirk Pflugh
1:15 - 1:30 1:30 - 1:45 1:45 - 2:00 2:00 - 2:15 2:15 - 2:30	Mayor John Horensky, Washington Township, NJ James McDaniel, LA Dept of Water Scott Szalkiewicz, Connecticut Department of Public Health Edward Dadosky, Cincinnati Fire Department Tom Kahler, Newport News Waterworks
2:30 – 3:00	Facilitated Q&A for Panelists

3:00-3:30	Break
3:30 – 4:15	Case Study: Massive Power Grid Outage in 2003, <i>Robin Halperin, Division of Water, Cleveland, Ohio</i> (30-minute talk and 15-minute facilitated Q&A)
4:15 – 5:00	Facilitated Open Discussion with Audience (What are other issues not covered today, what is needed?), <i>Kerry Kirk Pflugh</i>
5:00 - 5:10	Close Symposium, Scott Minamyer

Appendix B List of Participants

Mr. Brad Addison

Program Manager GA DNR EPD, Drinking Water Compliance Program 2 MLK Jr. Dr., S.E., Suite 1362 East Tower Atlanta, GA 30334-9000

Phone: 404-651-5155

E-mail: brad addison@dnr.state.ga.us

Mr. Bob Alvey

Public Information Officer Arkansas Department of Health, **External Communications Team** 4815 West Markham, Slot 22 Little Rock, AR 72205-3966

Phone: 501-661-2743

E-mail: ralvey@healthyarkansas.com

Mr. Trevor Anderson

Senior Emergency Services Coordinator Governor's Office of Emergency Services 3650 Schriever Ave. Mather, CA 95655

Phone: 916-845-8788

E-mail: trevor.anderson@oes.ca.gov

Mr. Sumedh Bahl

Superintendent City of Ann Arbor Water Treatment Plant 919 Sunset Rd.

Ann Arbor, MI 48103 Phone: 734-994-2805

E-mail: sbahl@ci.ann-arbor.mi.us

Mr. Roger S. Bailey, P.E.

Utilities Director City of Glendale 6210 W. Myrtle Ave., Suite 112 Glendale, AZ 85301

Phone: 623-930-2701

E-mail: rbailey@glendaleaz.com

Dr. Kalyanpur Baliga

Senior Sanitary Engineer Drinking Water Program 2151 Berkeley Way, #458 Berkeley, CA 94704 Phone: 510-540-2153

E-mail: kbaliga@dhs.ca.gov

Commissioner Thomas Belfiore

Commissioner-Sheriff Westchester County Department of Public Safety 1 Saw Mill River Parkway Hawthorne, NY 10532 Phone: 914-864-7710

E-mail: teb1@westchestergov.com

Mr. Delbert Bell

Environmental Health Division Manager Klamath County Department of Public Health 403 Pine Street

Klamath Falls, OR 97601 Phone: 541-883-1122

E-mail: dbell@co.klamath.or.us

Dr. Lisa D. Benton

Public Health Medical Officer CA Department of Health Services, Division of Environmental & Occupational Disease Control 1515 Clav Street, Suite 1700

Oakland, CA 94612 Phone: 510-622-4453

E-mail: lbenton@dhs.ca.gov

Mr. Paul A. Biedrzycki

Manager, Disease Control and Prevention City of Milwaukee Health Department 841 N. Broadway, 3rd Floor Milwaukee, WI 53202

Phone: 414-286-5787

E-mail: PBIEDR@milwaukee.gov

Mr. Frank Blanco

Asst. Water Supt. City of Phoenix 6202 N. 24th Street Phoenix, AZ 85016 Phone: 602-262-6081

E-mail: frank.blanco@phoenix.gov

Ms. Laura Blaske

Communication Systems Manager Washington State Department of Health P.O. Box 47980 Olympia, WA 98504-7890

Phone: 360-236-4070

E-mail: laura.blaske@doh.wa.gov

Mr. James Bourne

Chancellor, Drinking Water Academy USEPA/OW/OGWDW 1200 Pennsylvania Ave., NW Washington, DC 20560 Phone: 202-564-4905

E-mail: bourne.james@epa.gov

Mr. Clifford L. Bowen

Senior Homeland Security Engineer California Department of Health Services, Drinking Water Field Operations Branch 2151 Berkeley Way Berkeley, CA 94704 Phone: 510-540-2173

E-mail: cbowen1@dhs.ca.gov

Ms. Eletha Brady-Roberts

Environmental Scientist USEPA/ORD/NHSRC 26 W. Martin Luther King Drive Cincinnati, OH 45268

Phone: 513-569-7662

E-mail: roberts.eletha@epa.gov

Kristi Branch

Sr. Program Manager Battelle 1100 Dexter Ave. N, Suite 400 Seattle, WA 98109

Phone: 206-528-3336

E-mail: branch@battelle.org

Mrs. Sandy Briggs

Information Specialist Dept. of Public Health 321 - East 12th Street Des Moines, IA 50319-0075 Phone: 515-242-6023

E-mail: sbriggs@idph.state.ia.us

Ms. Kate Brophy

Water Quality Project Manager California Water Service Company 2632 W 237th Street Torrance, CA 90505 Phone: 310-257-1486 E-mail: kbrophy@calwater.com

Captain Alvin Chun

Senior Environmental Health Policy Advisor USEPA Region 9 75 Hawthorne Street, AIR-6 San Francisco, CA 94105 Phone: 415-947-4190 E-mail: chun.alvin@epa.gov

Ms. Denise Addotta Clifford

Director

Dept. of Health, Office of Drinking Water 7171 Cleanwater Lane P.O. Box 47822 Olympia, WA 98504-7822 Phone: 360-236-3110

E-mail: denise.clifford@doh.wa.gov

Dr. Vincent Covello

Center for Risk Communication 545 Eighth Avenue, Suite 401 New York, NY 10018

Phone: 646-654-1679

E-mail:

vcovello@centerforriskcommunication.org

Mr. Edward J. Dadosky

District Fire Chief Cincinnati Fire Department 430 Central Avenue Cincinnati, OH 45202 Phone: 513-357-7521

E-mail: edward.dadosky@cincinnati-oh.gov

Ms. Nicole Damin

Hazardous Materials Specialist Stanislaus County – Department of Environmental Resources 3800 Cornucopia Way, Suite C Modesto, CA 95358

Phone: 209-525-6725 E-mail: ndamin@envres.org

Mr. Scott Damon

Health Education & Communication Specialist Centers for Disease Control and Prevention (CDC) Air Pollution and Respiratory Health Branch

MS E17, 1600 Clifton Road Atlanta, GA 30333

Phone: 404-498-1825 E-mail: scd3@cdc.gov

Ms. Shannon Dean

Director of Corporate Communications California Water Service Company 2632 W. 237th St. Torrance, CA 90505

Phone: 310-257-1435

E-mail: sdean@calwater.com

Mr. Arnold Den

Sr. Science Advisor USEPA Region 9 75 Hawthorne Street San Francisco, CA 94526 Phone: 415-947-4191

E-mail: den.arnold@epa.gov

Mr. Steve Dennis

Emergency Services Supervisor / Security Manager Alameda County Water District 43885 South Grimmer Boulevard Fremont, CA 94538

Fremont, CA 94538 Phone: 510-668-6530

E-mail: steve.dennis@acwd.com

Ms. Susan Dolgin-Ruggles

USEPA/Water Security Division 1200 Pennsylvania Ave, NW (4601M)

Washington, DC 20460 Phone: 202-564-9895

E-mail: dolgin.susan@epa.gov

Mr. Paul Ekstrom

V.P., Customer Service California Water Service Company 1720 N. First Street San Jose, CA 95112 Phone: 408-367-8348

E-mail: pekstrom@calwater.com

Mr. Jim Fay

General Manager Champlain Water District 403 Queen City Park Road South Burlington, VT 05403 Phone: 802-864-7454 E-mail: jimf@cwd-h2o.org

Mr. Richard Fontana, Jr.

Homeland Security Coordinator City of West Haven, CT 281 Connecticut Ave. West Haven, CT 06516 Phone: 203-996-3233

E-mail: richardfontana@sbcglobal.net

Mrs. Cindy A. Forbes

Southern California Branch Chief California Drinking Water Program 1040 E. Herndon, Suite 205

Fresno, CA 93720 Phone: 559-447-3130

E-mail: cforbes@dhs.ca.gov

Mr. Steven G. Frew

Manager of Security and Emergency Preparedness

East Bay Municipal Utility District 275 11th Street, P.O. Box 24055

Oakland, CA 94607-4240 Phone: 510-287-0881 E-mail: sfrew@ebmud.com

Ms. Diana Barth Gaines, P.E.

Emergency & Safety Program Manager Zone 7 Water Agency 5997 Parkside Drive Pleasanton, CA 94588 Phone: 925-447-6703 x225

E-mail: dgaines@zone7water.com

Mr. David Gilmartin

Emergency Operations Planner Massachusetts Water Resources Authority 2 Griffin Way

Chelsea, MA 02150 Phone: 617-305-5917

E-mail: david.gilmartin@mwra.state.ma.us

Mr. Darren Greenwood

Water Resources Manager City of Livermore 101 W. Jack London Blvd.

Livermore, CA 94551 Phone: 925-960-8120

E-mail: dggreenwood@ci.livermore.ca.us

Mr. Richard Haberman

Supervising Sanitary Engnieer California Dept. of Health Services 1040 East Herndon

Fresno, CA 93720 Phone: 559-447-3131

E-mail: rhaberma@dhs.ca.gov

Mr. Ed Hallock

Program Administrator
Division of Public Health

Blue Hen Corp. Center, #203 655 Bay Road

Dover, DE 19901 Phone: 302-739-5410

E-mail: edward.hallock@state.de.us

Mrs. Robin Halperin

Risk Manager

Cleveland Division of Water 1201 Lakeside Avenue

Cleveland, OH 44114 Phone: 216-664-2444 x5634

E-mail: rhalperin@clevelandwater.com

Mr. Jonathan (Jon) Herrmann

Water Security Team Leader USEPA/ORD/NHSRC 26 W. Martin Luther King Dr. Cincinnati, OH 45268

Phone: 513-569-7839

E-mail: herrmann.jonathan@epa.gov

Ms. Virginia Hodge

Assistant Vice President

SAIC

11251 Roger Bacon Drive, M/S R-4-3

Reston, VA 20190 Phone: 703-318-4621 E-mail: hodgev@saic.com

Mr. John A. Horensky

Mayor

Washington Township 350 Route 57 West Washington, NJ 07882 Phone: 908-231-7177

E-mail: horensky@co.somerset.nj.us

Mr. Jack Jacobs

Principal Consultant

EMA Inc.

3478 Buskirk Ave., Suite 1003

Pleasant Hill, CA 94523 Phone: 925-746-4230

E-mail: jjacobs@ema-inc.com

Mr. Matt Jaqua

Env. Health Supervisor

Yamhill County HandH Serv-Public Health

412 N. Ford St.

McMinnville, OR 97128 Phone: 503-434-7423

E-mail: jaquam@co.yamhill.or.us

Mr. Robert Johannessen

DHH Communications Director

Louisiana Department of Health and Hospitals

1201 Capitol Access Road Baton Rouge, LA 70802 Phone: 225-342-6039

E-mail: rjohanne@dhh.la.gov

Mr. John Johnson

Security Technician

Santa Clara Valley Water District -

Office of Security

5750 Almaden Expressway

San Jose, CA 95118 Phone: 408-265-2600

E-mail: jjohnson@valleywater.org

Mr. Thomas G. Kahler

Operations Support Manager Newport News Waterworks (Virginia) 425 Industrial Park Drive Newport News, VA 23608 Phone: 757-234-4832

E-mail: tkahler@nngov.com

Miss Nikki Kampen

Water Security Specialist Department of Natural Resources 101 South Webster St. DG/2 Madison, WI 53702

Phone: 608-266-5240

E-mail: nikki.kampen@dnr.state.wi.us

Ms. Kerry Kirk Pflugh

Manager

Office of Outreach and Education, Division of Watershed Management, NJDEP 401 East State Street, P.O. Box 418

Trenton, NJ 08625 Phone: 609-633-7242

E-mail: kerry.pflugh@dep.state.nj.us

Mr. Bryan Kunic

California Water Service Company 1712 North First St. San Jose, CA 95112 Phone: 408-367-8312

E-mail: bkunic@calwater.com

Ms. Ava Langston-Kenney

Regulatory Compliance Officer City of Stockton, Municipal Utilities Dept. 2500 Navy Drive Stockton, CA 95206 Phone: 209-937-8758

E-mail: Ava.Kenney@ci.stockton.ca.us

Kathryn Lawrence

Chief, Emergency Prevention and Preparedness

USEPA

75 Hawthorne St. San Francisco, CA 94105

Phone: 415-972-3039

E-mail: lawrence.kathryn@epa.gov

Mr. Alex Leong

Risk Manager Board of Water Supply, City and County of Honolulu 630 South Beretania St. Honolulu, HI 96843 Phone: 808-748-5191 E-mail: aleong@hbws.org

Ms. Carrie Lewis

Superintendent Milwaukee Water Works 841 N. Broadway, Room 409 Milwaukee, WI 53202 Phone: 414-286-2801 E-mail: clewis@mpw.net

Ms. Helen Ling

Water Resources Regulatory Compliance Officer City of Livermore 101 W. Jack London Blvd. Livermore, CA 94551-7632

Phone: 925-960-8168

E-mail: hfling@ci.livermore.ca.us

Mr. Thomas J. Linville

Assistant General Manager Contra Costa Water District 1331 Concord Avenue Concord, CA 94520

Phone: 925-688-8026

E-mail: tlinville@ccwater.com

Mr. Carl Lischeske

Chief, Northern California Section California Dept. of Health Services, **Drinking Water Field Operations** 1616 Capitol Avenue, MS 7404 Sacramento, CA 95899-7413

Phone: 916-449-5596

E-mail: clisches@dhs.ca.gov

Mrs. Andrea Littlefield

Information Specialist Texas Department of Health 1100 W. 49th Street Austin, TX 78756

Phone: 512-488-7111 x2916

E-mail: andrea.littlefield@tdh.state.tx.us

Ms. Pam Lowe

Associate Civil Engineer City of Milpitas 455 E. Calaveras Blvd. Milpitas, CA 95035

Phone: 408-586-3304

E-mail: plowe@ci.milpitas.ca.gov

Mr. Gary R. Lynch

Vice President - Water Quality Park Water Company 9750 Washburn Rd., P.O. Box 7002 Downey, CA 90241

Phone: 562-923-0711 x201 E-mail: gary@parkwater.com

Ms. Amy MacKenzie

Water Security Specialist Montana Department of Environmental Quality Lee Metcalf Building, 1520 East Sixth Avenue

Helena, MT 59620 Phone: 406-444-5360

E-mail: amackenzie@state.mt.us

Dr. Bruce A. Macler

National Microbial Risk Assessment Expert **USEPA** Region 9 75 Hawthorne St., WTR-6 San Francisco, CA 94105

Phone: 415-972-3569

E-mail: macler.bruce@epa.gov

Mr. Dennis Mahr

Communications/Legislative Director Coachella Valley Water District 85-995 Avenue 52, P.O. Box 1058 Coachella, CA 92236

Phone: 760-398-2651 E-mail: dmahr@cvwd.org

Mr. Chet Malewski

Safety and Emergency Response Coordinator Trudkee Meadows Water Authority 1155 Corporate Blvd., P.O. Box 30013 Reno, NV 89520

Phone: 775-834-8036

E-mail: cmalewski@tmwa.net

Ms. Alina Martin

Environmental Specialist SAIC

11251 Roger Bacon Drive

Reston, VA 20190 Phone: 703-318-4678

E-mail: martinali@saic.com

Ms. Deirdre Mason

Project Coordinator Association of State Drinking Water Administrators 1025 Connecticut Ave., NW, Suite 903

Washington, DC 20036 Phone: 202-293-4643

E-mail: dmason@asdwa.org

Ms. Maureen R. McClelland

Sr. Public Health Advisor USEPA Region 1 One Congress Street Boston, MA 02114-2023

Phone: 617-918-1517

E-mail: mcclelland.maureen@epa.gov

Mr. John McCready

Computer Specialist USEPA/ORD/NRMRL/TTSD/TIB 26 W Martin Luther King Dr. Cincinnati, OH 45268 Phone: 513-569-7804

E-mail: mccready.john@epa.gov

Mr. James B. McDaniel

Deputy Assistant General Manager -

Water System

Los Angeles Department of Water and Power

111 North Hope Street, Room 1455

Los Angeles, CA 90051 Phone: 213-367-1050

E-mail: james.mcdaniel@ladwp.com

Mr. Ian Michaels

Press Secretary

New York City Department of Environmental

Protection

59-17 Junction Blvd. Flushing, NY 11368 Phone: 718-595-6600

E-mail: imichaels@dep.nyc.gov

Ms. Marie Milkovich

Risk Communication Coordinator Michigan Department of Community Health, Office of Public Health Preparedness 3423 M.L. King Dr. Blvd. Lansing, MI 48909

Phone: 517-335-9723

E-mail: milkovichm@michigan.gov

Ms. Johanna Miller

On-Scene Coordinator USEPA Region 8, Office of Preparedness Assessment and Emergency Response 999 18th Street, Suite 300, Mail Code EPR-ER Denver, CO 80202

Phone: 303-312-6804

E-mail: miller.johanna@epa.gov

Mr. Scott Minamyer

Environmental Scientist USEPA/ORD

26 W. Martin Luther King Dr., Mail Stop G-75

Cincinnati, OH 45268 Phone: 513-569-7175

E-mail: minamyer.scott@epa.gov

Mr. Kevin Morley

Regulatory Analyst American Water Works Association 1401 New York Ave., NW, Suite 640 Washington, DC 20005 Phone: 202-628-8303

E-mail: kmorley@awwa.org

Ms. Katie Mullaly

Public Information Officer Summit County Health Department 6505 N. Landmark Drive Park City, UT 84098 Phone: 435-615-3951

E-mail: kmullaly@utah.gov

Mr. Wayne Nastri

Regional Administrator **USEPA** Region 9 75 Hawthorne St. San Francisco, CA 94105 Phone: 415-947-8702

E-mail: nastri.wayne@epa.gov

Ms. Jean M. Nicolai

Operations and Compliance Chief Vermont Water Supply Division Waterbury, VT 05671-0403

Phone: 802-241-3405

E-mail: jean.nicolai@anr.state.vt.us

Ms. Janice Okubo

Public Information Officer Hawaii State Department of Health 1250 Punchbowl Street, Room 326 Honolulu, HI 96813

Phone: 808-586-4442

E-mail: jsokubo@health.state.hi.us

Mr. David Paris

Water Supply Administrator Manchester Water Works 1581 Cake Shore Rd. Manchester, NH 03109 Phone: 603-624-6482 x201 E-mail: dbparis@comcast.net

Dr. Rebecca Parkin

Associate Dean for Research & Public Health Practice The George Washington University 2100 M Street, NW, Suite 203 Washington, DC 20052 Phone: 202-994-5482

E-mail: eohrtp@gwumc.edu

Ms. Connie S. Patterson

Communications Director Missouri Department of Natural Resources 205 Jefferson St., P.O. Box 176 Jefferson City, MO 65102 Phone: 573-751-1010

E-mail: connie.patterson@dnr.mo.gov

Mr. Steve Pellei

Technical Services Administrator Virginia Department of Health, Office of Drinking Water 109 Governor St., Room 629 Richmond, VA 23219

Phone: 804-864-7489

E-mail: steve.pellei@vdh.virginia.gov

Dr. Dan Petersen

Biological Scientist USEPA/ORD/NRMRL 26 W. Martin Luther King Drive Cincinnati, OH 45268

Phone: 513-569-7831

E-mail: petersen.dan@epa.gov

Mr. Marshall L. Racine, Jr.

Superintendent, Water Production & Distribution
Corona Department of Water and Power 730 Corporation Yard Way
Corona, CA 92880-2051
Phone: 909-736-2479

E-mail: marshall.racine@discovercorona.com

Ms. Christine Reckelhoff

ASPH/EPA Fellow USEPA/ORD/NRMRL 26 W. Martin Luther King Dr. Cincinnati, OH 45268

Phone: 513-569-7655

E-mail: reckelhoff.chrissy@epa.gov

Linda Reekie

Project Manager AWWARF 6666 W. Quincy Avenue Denver, CO 80235 Phone: 303-734-3423

E-mail: lreekie@awwarf.org

Dr. Thomas D. Rockaway, P.E.

Infrastructure Research University of Louisville W. S. Speed Hall Louisville, KY 40292 Phone: 502-852-3272

E-mail: rockaway@louisville.edu

Mr. John Rodgers

Emergency Planning Coordinator San Francisco Public Utilities Commission 1155 Market Street, 4th Floor San Francisco, CA 94103 Phone: 415-554-2458

E-mail: jrodgers@sfwater.org

Mr. David Ropeik

Director of Risk Communication Harvard Center for Risk Analysis, Harvard School of Public Health 718 Huntington Avenue Boston, MA 02115-5924 Phone: 617-432-6011

E-mail: dropeik@hsph.harvard.edu

SMSgt Kelly Ruff

Sr. Operations NCO 52nd WMD Civil Support Team 8202 S. Access Road Columbus, OH 43217 Phone: 614-419-8898

E-mail: kelly.ruff@oh.ngb.army.mil

Dr. Peter M. Sandman

59 Ridgeview Rd. Princeton, NJ 08540-7601 Phone: 609-683-4073

E-mail: peter@psandman.com

Mrs. Charlotte Smith

President Charlotte Smith & Associates, Inc. PO Box 629 Orinda, CA 94563 Phone: 925-377-1891 E-mail: smith.csa@earthlink.net

Mr. Richard Staley

Emergency Planning Coordinator Santa Clara Valley Water District -Office of Emergency Services 5750 Almaden Expressway San Jose, CA 95118

Phone: 408-265-2600

E-mail: rstaley@valleywater.org

Dr. Stanley States

Water Quality Manager Pittsburgh Water and Sewer Authority 900 Freeport Road Pittsburgh, PA 15238-3132 Phone: 412-782-7553

E-mail: sstates@pgh20.com

Mr. Jeffrey Stone, P.E.

Engineer Supervisor Arkansas Department of Health, Division of Engineering

4815 West Markham Street (MS37)

Little Rock, AR 72205-3867 Phone: 501-661-2623

E-mail: jstone@healthyarkansas.com

Ms. Terri Lee Stratton

Risk Communication Co-Lead Emergency Preparedness CA Department of Health Services -Office of Emergency Preparedness 1500 Capitol Ave., MS 7002 Sacramento, CA 95670

Phone: 916-650-6457 E-mail: tstratto@dhs.ca.gov

Mr. Jeffrey Stuck

Safe Drinking Water Program, Manager AZ Department of Environmental Quality 1110 West Washington Street, 5415B-2

Phoenix, AZ 85007 Phone: 602-771-4617

E-mail: stuck.jeff@ev.state.az.us

Mr. Richard Sykes

Manager of Water System Department East Bay Municipal Utility District 375 11th Street Oakland, CA 94607

Phone: 510-287-1629

E-mail: rsykes@ebmud.com

Mr. Scott L. Szalkiewicz

Health Program Supervisor State of Connecticut Department of Public Health (Drinking Water Division) 410 Capital Avenue, MS#51 WAT Hartford, CT 06134-3408

Phone: 860-509-7333

E-mail: scott.szalkiewicz@po.state.ct.us

Mr. Mike Uhrhammer

Communications Manager
Padre Dam Municipal Water District
10887 Woodside Avenue

Santee, CA 92072 Phone: 619-258-4613

E-mail: muhrhammer@padre.org

Dr. Marsha L. Vanderford

Acting Associate Director for Communication Centers for Disease Control and Prevention 1600 Clifton Road, NE, Mail Stop D-25 Atlanta, GA 30333

Phone: 404-639-7290 E-mail: mev7@cdc.gov

Chief Ed Vasques

Asst. Chief (Special Operations) Sacramento Fire Dept.

3230 J St.

Sacramento, CA 95816 Phone: 916-264-7522

E-mail: evasques@sfd.cityofsacramento.org

Ms. Melissa C. Walker

Public Information Officer Louisiana Department of Health and Hospitals/Office of Public Health 6867 Bluebonnet Blvd. Baton Rouge, LA 70810

Phone: 225-763-3558

E-mail: mwalker2@dhh.la.gov

Dr. Jeannette V. Weber

Water Quality/Laboratory Manager California Water Service Company 1720 North First Street San Jose, CA 95112

Phone: 408-367-8319

E-mail: jweber@calwater.com

Mr. Lee Weislogel

Special Projects Coordinator Tualatin Valley Water District

P.O. Box 745

Beaverton, OR 97075 Phone: 503-848-3064 E-mail: lee@tvwd.org

Mr. Edward J. Welch

Chief of Police

New York City Department of Environmental

Protection

465 Columbus Avenue Valhalla, NY 10595 Phone: 914-742-2048

E-mail: ewelch@dep.nyc.gov

Mr. John Whitler

Environmental Protection Specialist USEPA/OW/OGWDW/WSD/TAPPB 1200 Pennsylvania Ave., NW, (4601M) Washington, DC 20460

Phone: 202-564-1929

E-mail: whitler.john@epa.gov

Ms. J. Lindsey Wolf

Program Manager - Public Affairs
City of San Jose Environmental Services
Department
777 No. First St. Suita 200

777 No. First St., Suite 300 San Jose, CA 95112 Phone: 408-277-5597

E-mail: lindsey.wolf@sanjoseca.gov

Mr. Kelvin Yamada

Staff Environmental Scientist California Department of Health Services 1616 Capitol Avenue, Suite 174 Sacramento, CA 95814

Phone: 916-552-9999

E-mail: kyamada@dhs.ca.gov

Dr. Marylynn Yates

Professor University of California 4108 Hinderaker Hall Riverside, CA 92521 Phone: 909-787-2358

E-mail: marylynn.yates@ucr.edu

Mr. Doug Yoder

Asst. Director, Miami-Dade Environmental Resources Mgmt. Dept. PTI Sustainability Task Force 33 SW 2nd Ave. Miami, FL 33146

Phone: 305-372-6766

E-mail: yoderd@miamidade.gov

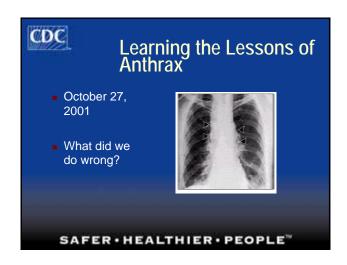
Mr. Marvin Young

State Program Officer USEPA Region 9, Drinking Water Office 75 Hawthorne Street, Mail Stop: WTR-6

San Francisco, CA 94105 Phone: 415-972-3561

E-mail: young.marvin@epa.gov









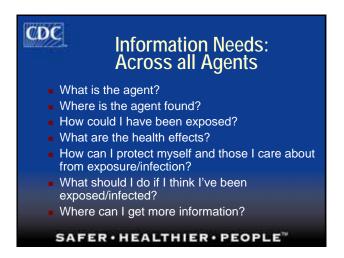




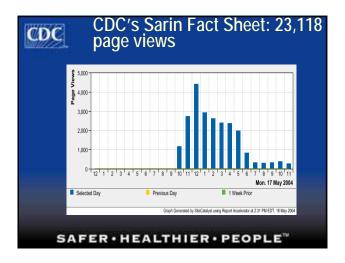
CDC 1



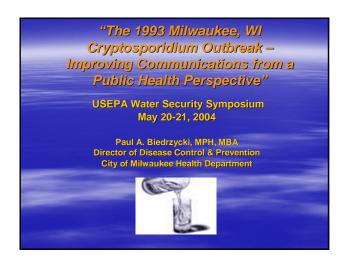
W//23+	Prac Res	earc	Inform h	iea by	
Target Audience	Botulism	Plague	Radiological	Chemical	Tota
Urban African Americans	1	2	2	2	7
Rural African Americans	1	1	1	1	4
Urban Hispanics	1	2	2	2	7
Rural Hispanics	1	1	1	1	4
Urban Caucasians	1	2	2	2	7
Rural Caucasians	1	1	1	1	4
Urban Asians	1	1	1	1	4
English as second lang.	1	1	1	1	4
Native American	1	1	1	1	4
First Responder	1	1	2	1	5
Public Health	1	1	2	1	5
Total	11	14	16	14	55



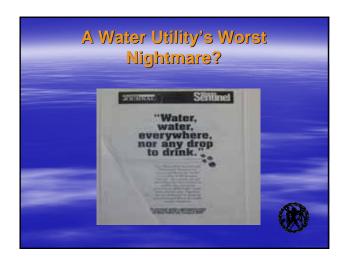




CDC 1

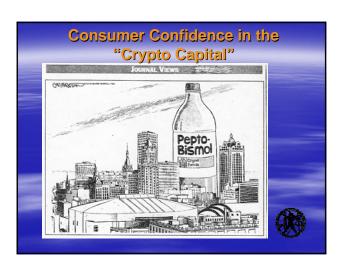






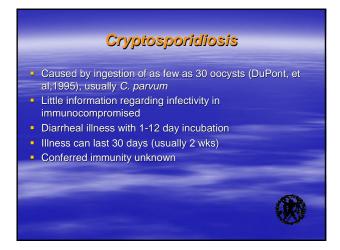




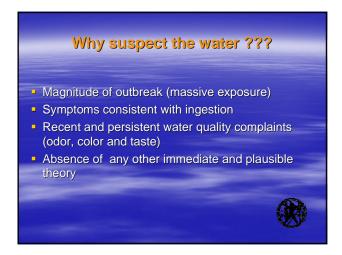


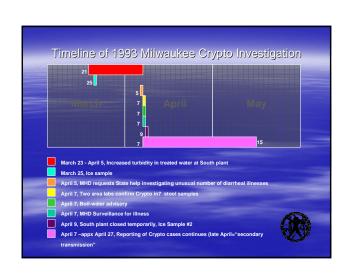




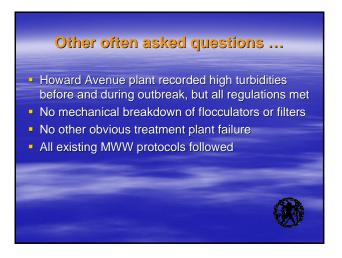


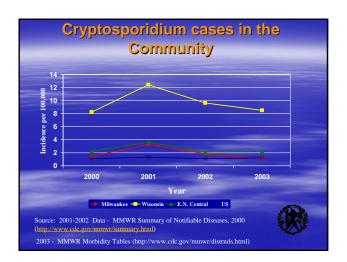


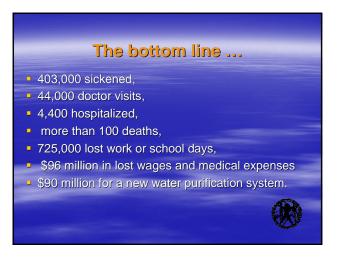




















Risk Communication during Emergency Events

"Getting the <u>right information</u> to the <u>right person</u> at the <u>right time</u>" (can make all the difference)

"Crypto" A classic and timeless story of the breakdown (or absence) in communications... Between the water utility and public health (where's the flu?) Between public health and healthcare providers (the "astute clinician") Between government and consumers (complaint log "insensitivity")

Communications-(What we didn't have in 1993)

- A emergency communications plan
- A public information officer or POC
- Pre-identified audiences
- Pre-established channels of communication
- Clear and authoritative message content
- Identified community resources and partners
- Media strategy

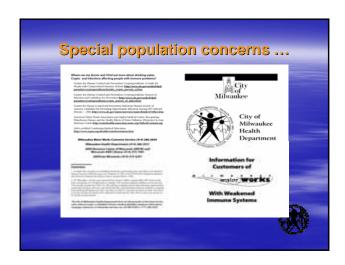


Communications – (to make matters worse)

- Lack of relationship between MWW and MHD
- Lack of any response "protocols"
- Lack of efficient data collection and reporting
- Professional arrogance and cultural gaps
- Over reliance/focus on regulatory compliance
- Desensitization to customers

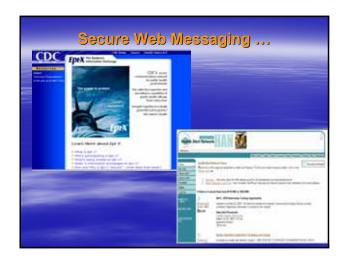












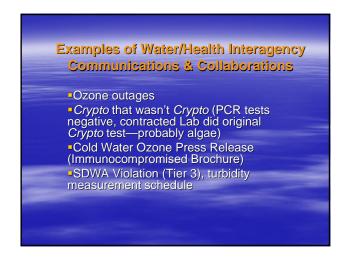








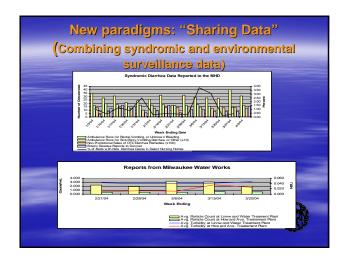


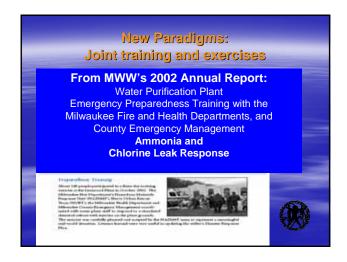


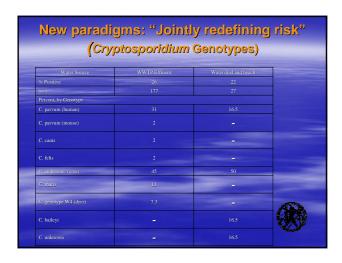




Key Communication Lessons Learned ... (cont.) Establish PIO and JIC and a plan Enqage media early and often Be upfront and forthright in what you know and don't know Cross-train and exercise Be prepared for unexpected!









Water Security ... Physical Plant Hardening Cyber Security Measures Water Quality Monitoring Communications Integrated Emergency Planning

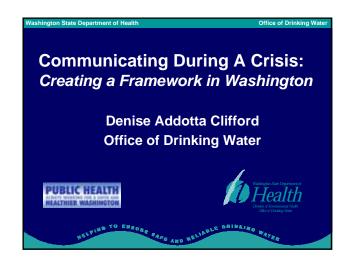
Vulnerabilities

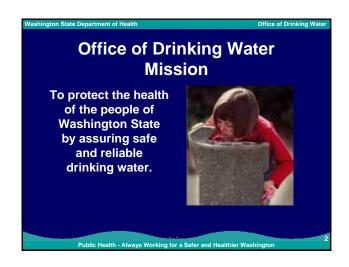
- Distribution system
- Disinfectant resistant organisms
- Emergency Response planning, protocols and training
- Hazardous Materials handling and storage
- Cyber assaults
- Deteriorating infrastructure
- Inter-Utility Cooperation



Acknowledgements

Carrie Lewis, MWW
Lon Couillard, MWW
Kathy Blair, MHD
Mary Ellen Bruesch, MHD
Mat Wolters, MHD
Michelle Kinnard, MHD

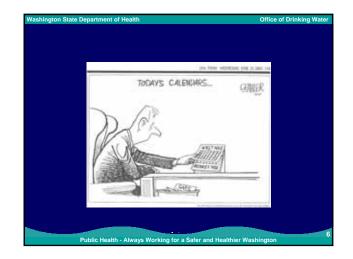


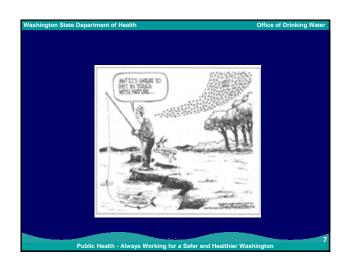






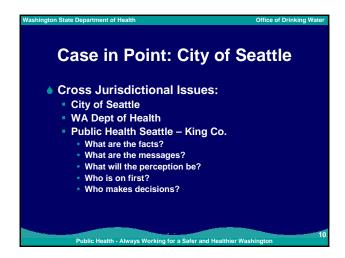










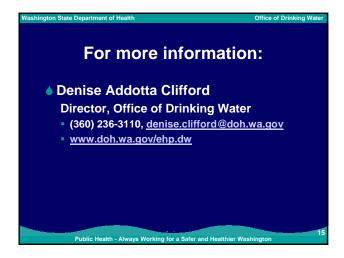












Risk Communication: Core Slides Vincent T. Covello, Ph.D. Director, Center for Risk Communication/ Consortium for Risk and Crisis Communication 29 Washington Square West, Suite 2A New York, New York 10011 Tel.: 646-654-1679; Fax.: 212-749-3590 email: vincentcovello@ix.netcom.com web site: www.centerforriskcommunication.org Copyright 2004

"A Science-based Approach for Communicating Effectively in: "High-Concern "High Stress "Emotionally Charged, or "Controversial Situations"

Risk Communication: Key Messages Risk communication is a science based discipline High stress, high concern situations change the rules of communication The key to communication success is anticipation, preparation, and practice

Risk Communication Science 8000 Articles in Peer Reviewed Scientific Journals 2000 Books Reviews of the Literature by Major Scientific Organizations (e.g., US National Academy of Sciences; Royal Society of Great Britain)

"...the major public health challenges since 9/11 were not just clinical, epidemiological, technical, issues.
The major challenges were communication. In fact, as we move into the 21st century, communication may well become the central science of public health practice." (December, 2001) Edward Baker, MD, MPH, Assistant Surgeon General

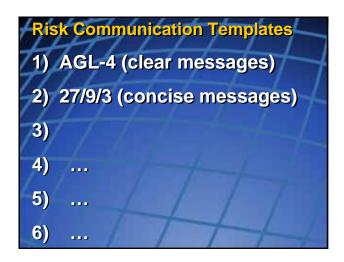
"Emergency Risk
Communication CDCynergy:

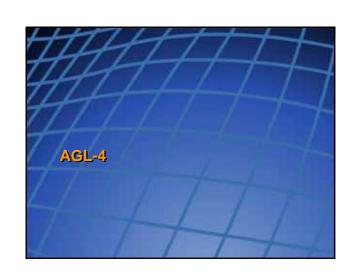
A Guide to Emergency Risk
Communication Planning"

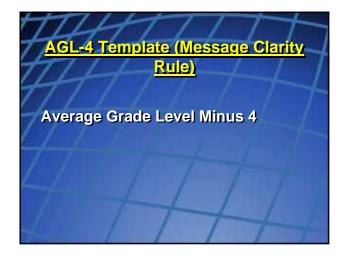
CD ROM

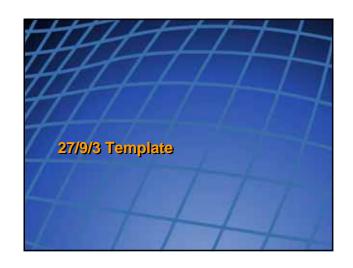
Message Develoment 95% Rule "95% of all questions and concerns that will be raised by any stakeholder in any controversy can be predicted in advance."









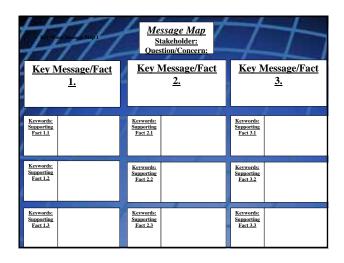






Risk Communication Templates
1) AGL-4 (clear messages)
2) 27/9/3 (concise messages)
3) Message Maps
4) ...
5) ...
6) ...



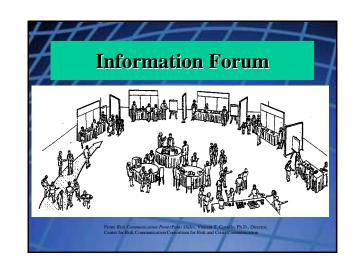


Message Map Stakeholder: Question/Concern					
Key Message/Fact 1. I came		Key Message/Fact 2. I saw		Key Message/Fact 3. I conquered	
Keywords: Supporting Fact 1.1 Long journey	The journey was long and hard.	Keywords: Supporting Fact 2.1 Large armies	The enemy armies were large.	Keywords: Supporting Fact 3.1 Engage	We engaged them immediately
Keywords: Supporting Fact 1.2 Heavy Losses	We suffered heavy losses along the way.	Keywords: Supporting Fact 2.2 Well armed	They were well Armed and equipped.	Keywords: Supporting Fact 3.2 Fought bravely	Our legions fought bravely
Keywords: Supporting Fact 1.3 Arrived safely	Despite the difficulties, we arrived safely.	Keywords: Supporting Fact 2.3 Well positioned	They were well positioned.	Keywords: Supporting Fact 3 Defeated enemy	The enemy is (totally) defeated.

• Addresses: - What should people know about "x" - What you want them to know about "x" regardless of questions asked - What you would put in your opening statement about "x" • Be sure it gets delivered - "Bridge" to it if necessary: e.g., "I want to remind you again..." • Serves as a "A port in a storm"



Message Maps: Uses Information Forums Fact Sheets Press Releases Video Scripts Scripts for Hot Lines Web sites



Risk Communication Templates 1) AGL-4 (clear messages) 2) 27/9/3 (concise messages) 3) Message Maps 4) IDK 5) ... 6) ...

Risk Communication Templates
1) AGL-4 (clear messages)
2) 27/9/3 (concise messages)
3) Message Maps
4) IDK
5)
6)

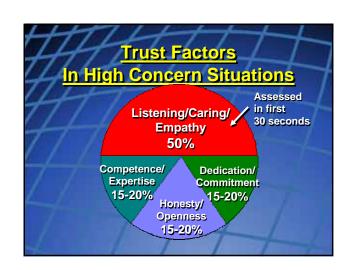
Short Form Short Form Say You Don't Know/Can't Answer/Wish You Could Answer* Give the Reason Why You Don't Know or Can't Answer* Indicate Follow Up with Deadline*

**Acknowledge/Repeat the Question **Say You Don't Know/Can't Answer/Wish You Could Answer* **Give the Reason(s) Why You Don't Know or Can't Answer* **Indicate Follow Up with Deadline* **Bridge to What You Can Say

LD.K. (I Don't Know) Template: Uses You are not prepared to answer You are not the expert You are not the responsible party You don't have information or data (e.g., it is being investigated) You are limited in what can say (e.g. (national security; litigation; privacy)



People judge the messenger before the message People judge the messenger primarily in terms of trust Information about trust comes from non-verbal communication, verbal communications and actions Implications?



Risk Communication Templates 1) AGL-4 (clear messages) 2) 27/9/3 (concise messages) 3) Message Maps 4) IDK 5) CCO 6) ...



Risk Communication Templates 1) AGL-4 (clear messages) 2) 27/9/3 (concise messages) 3) Message Maps 4) IDK 5) CCO 6) 1N = 3P



Risk Communication Non-Verbal Communication Eyes Hands Posture

Risk Communication Non-Verbal Communication • Eyes -- Eye contact • Hands -- Visible; waist level; small movements • Posture -- Slight lean forward; relaxed; avoid repetitive motions

Additional Templates • Guarantee Template • Interrogation Template • False Allegation Template • Worst Case Template

Main Point: Bridge to known facts, processes procedures or actions - "Here's what I can guarantee (assure; promise...)"

Guarantee Template Short Form "What I can [guarantee; assure; tell; promise] you is..."

Risk Communication: Key Messages Risk communication is a science based discipline High stress, high concern situations change the rules of communication The key to communication success is anticipation, preparation, and practice









recovery

5. Avoid wasting resources



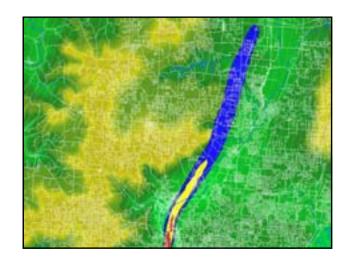




Hazardous Materials **Emergencies**

- Accidental or intentional spills, releases, or discharges into the environment
- Some are large and result in harm to people and property
- · Air dispersion modeling programs
 - Protective Action Decision
 - EvacuationSIP







CINCINNATI

- The public can be notified in the following ways:
 - NOAA weather radios
 - Outdoor warning sirens
 - TV/radio via Emergency Alert System
 - Door-to-door notification
 - ARTIMIS message boards
 - Email and fax system
 - Phone trees
 - Public address system from vehicles



NOAA Weather Radios

- All Hamilton County schools, licensed day care centers and senior centers have NOAA weather radios
- Many citizens have weather radios (but not 100% coverage)





Disaster Network

- The Hamilton County Disaster Radio Network consists of a series of radios with a dedicated frequency issued to Greater Cincinnati area hospitals. The system is designed to facilitate the distribution and flow of patients of multi-casualty incidents to area hospitals by providing a means of communication among emergency responders and receiving facilities.
- Once activated, the "Net" links on-scene command personnel with area hospitals.
- It alerts area hospitals that a mass casualty incident has occurred, provides hospital patient capability information to scene personnel, and provides incoming patient information to receiving facilities.





DISASTER NET WAS NEEDED



Killer Tornadoes - 1974



Beverly Hills - 1977



Who Concert - 1979



Air Canada - 1983



TERRORISM INCIDENTS

What Do People Feel Inside When

a Disaster Looms or Occurs?

Psychological barriers:

- 1. Denial
- Fear, anxiety, confusion, dread
- Hopelessness or helplessness
- 4. Seldom panic



TERRORISM INCIDENTS

ON SCENE COMMUNICATIONS

2002 Paul Brown Stadium Exercise

-Victims confused and disoriented

2003 SORTA Exercise

- -Sound system added to evolution
- -SCBA and APR 'speaker upgrade



5 communication steps that boost operational success

- 1. Execute a solid communication plan
- 2. Be the first source for information
- 3. Express empathy early
- 4. Show competence and expertise
- 5. Remain honest and open





Paul Brown Stadium





PBS Tornado Warning



TORNADO WARNING

The National Weather Service has issued a TORNADO WARNING for the Cincinnati area. Conditions may exist that include lightening, heavy rain, and hail and a tornado. In an orderly manner, please take shelter immediately in the concourse area, restrooms and/or parking garage seeking the lowest level available. Stay away from all areas having windows. If necessary, public address announcements will be made with further instructions.



Great American Ball Park

SEVERE THUNDERSTORM WARNING

- The National Weather Service has issued a Severe Thunderstorm Warning for the Cincinnati area until.
- Conditions may exist that include lightning, heavy rain, and hail and/or high wind.
- In an orderly manner, please take shelter immediately in the concourse area, restrooms and/or parking garage.
- Stay away from all areas having windows.
- If necessary, public address announcements will be made with further instructions.



Communication failures that kill operational success

- -Mixed messages from multiple experts
- -Information released late
- -Paternalistic attitudes
- -Not countering rumors and myths in real-time
- -Public power struggles and confusion





Community Relations

- -Community acceptance through community involvement
- -Resource multiplier for volunteer "door to door" communication
- -Involving stakeholders is a way to advance trust through transparency
- -Our communities, our social capital, are a critical element of a nation's security



COMMUNITY COUNCIL NOTIFIER

- (52) Cincinnati Community Councils
- Hamilton County has (49) cities/townships
- · Pre Incident
- · Incident concurrent
- Post Incident
 - Local incident*
 - Terrorism threat level increase
 - Incident in another part of United States
 - World incident

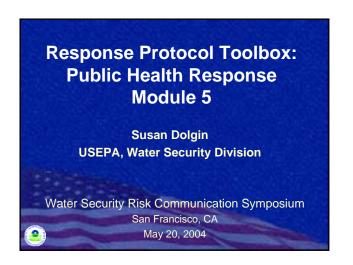




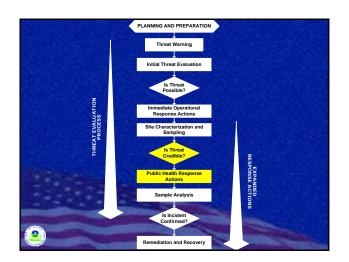
City of Cincinnati



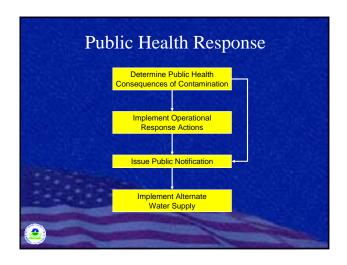
- · Terrorism Early Warning Group
- Mobile Data Computer Project
 - City/County Fire
 - City/County Health
 - City/County Police
 - Other City/County Departments
 - 33 Hospitals in Tri State Region
 - 8 Communications Centers



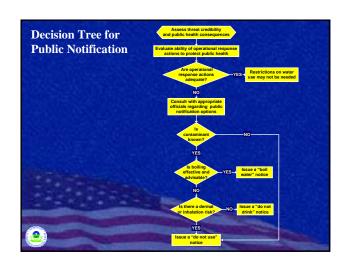


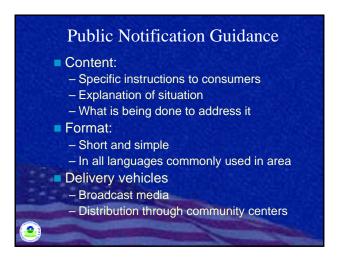












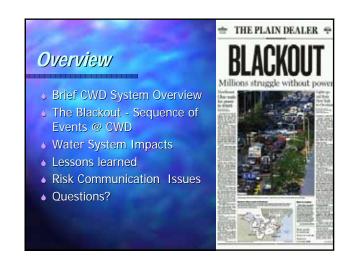


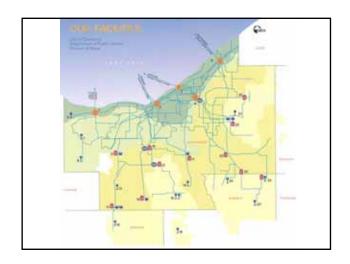


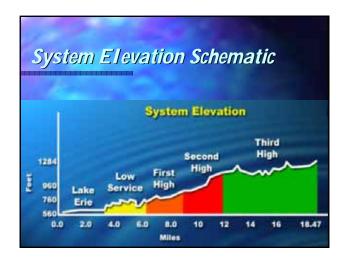




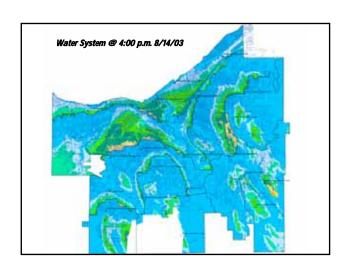


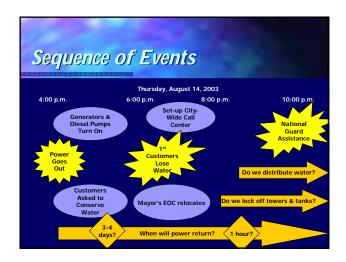


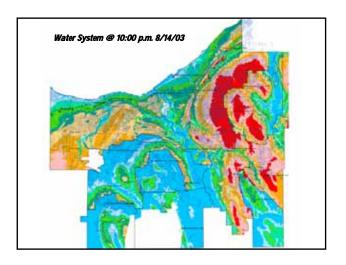


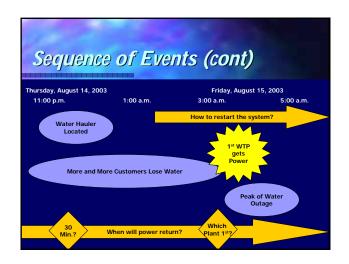


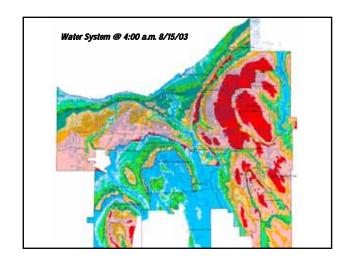


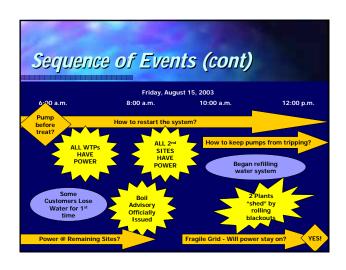


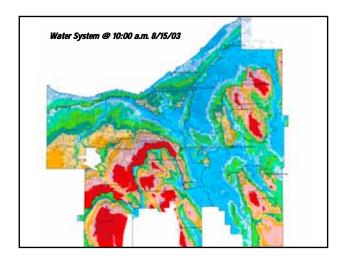




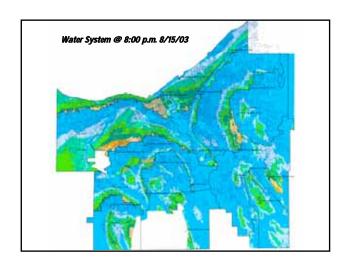


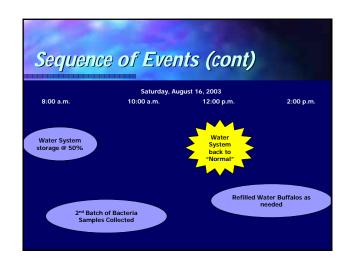


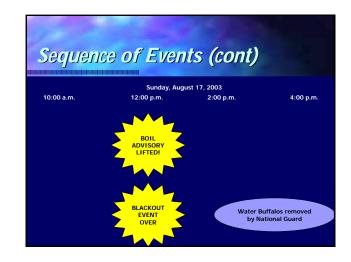














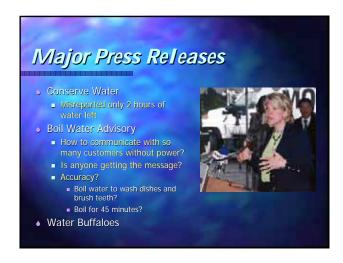


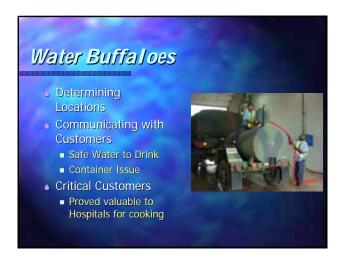




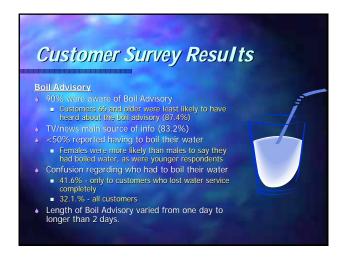


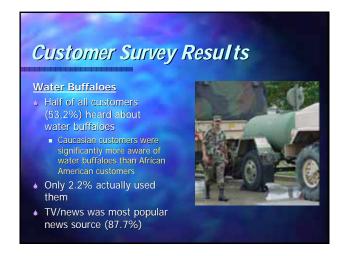
















Water Security Risk Communication Symposium San Francisco, CA May 21, 2004 John Horensky, Mayor Washington Township Warren County, NJ

Types of water systems in Washington Township Private well water Public water sources

Water usage and community perceptions of water

- Water consumption continues to increase
- Water resources are being depleted
- Water is safe to drink
- Water resources need to be protected

Risk Communication Goals and Objectives

■ Goal: Provide Accurate Information and Reduce Risk of Panic

Objectives:

-Identify Credible Information Sources

-Provide Timely Updates

-Convey Concern

-Establish Trust and Confidence

Building Relationships

- Who are your partners/ advocates?
- Who are your stakeholders?
- Who are your adversaries?
- Who are your apathetic people?

When to use Risk Communication?

- Drought
- Discharges to recreational swimming/ fishing sites
- Waterways polluted by construction activities
- Elevated levels of natural substances in water
- Security issues
- Water usage by other entities

The benefits of Risk Communication

- Partnership Development
- Vehicle for Sharing Accurate Information
- Establish Credibility







Meet with Law Enforcement

- Meet and brief <u>Law Enforcement</u> in all service jurisdictions, regional JTTF, WMD Coordinator, Military
- Do SWAT, Bomb Squads know your plants
- Provide tours, who to contact in utility
- What consequences could exist for Public, Vital Services
- ◆ Develop relationship; brief uniformed patrol Supervisors—provide HazMat maps



Local jurisdictions

- The Utility and <u>Municipal Governments</u> should discuss risks and consequences prior to event
- Review MOU's and unique problems for response and recovery
- Conduct Tabletops, exercises; interface with First Responders, Incident Commanders
- Convey to the public and large users what to expect in the event of attack



Communicate with Interdependent Utilities, Vendors

- <u>Interdependent Utilities</u> plans and priorities to support response & recovery of vital services; Hospitals, Water, Fire, Roads
- Know key players personally: Electric, Gas, Telecommunications, and Critical Vendors
- Again, develop relationships, interface!
- In a crisis, knowing the person on the phone is a big advantage



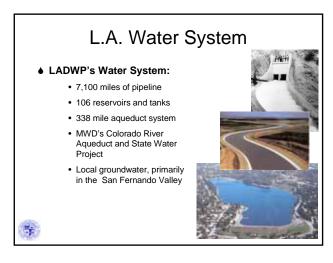
Access/Debris Removal Support

- Access to plants—facilities is essential
- <u>Debris Removal</u> support will be vital in recovery to plants and other facilities
- Getting personnel to assigned locations for recovery is imperative
- Have a listing of <u>pre-arranged</u> support services
- Include in Tabletops, Exercises

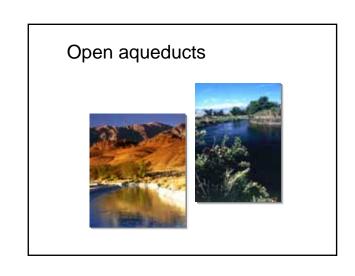




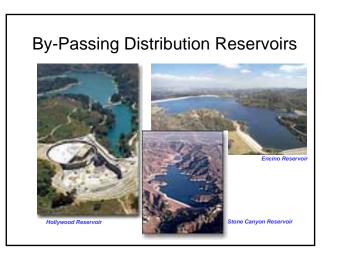


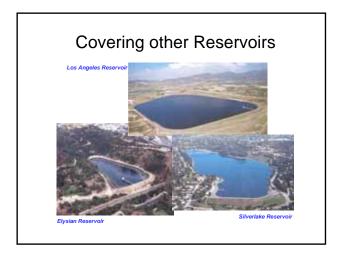












Experiences

- ♦ High chlorine Do not use
 - business district, restaurants Hollywood
- ◆ Cryptosporidium Check with Care Giver
 - immune compromised
- ♦ Northridge Earthquake Boil Water
 - Geographical sub area of city
- ♦ SWTR Agreement Mandatory Health Notice
 - More disinfectant but safe
- ♦ Post 9/11 preparations

Communications Structure

- ♦ What happened
 - Where
 - When
 - Who is affected
 - Why
- - Assessment
 - Actions being taken
 - Expected outcome

Communications Structure

- Advise for Consumers
 - Notice of risk and managing risk
 - Feedback/ customer access for concerns
 - Periodic/ scheduled updates via Media
 - Return to service notice
- ♦ Wrap-up
 - Evaluations internal
 - Opportunities for improvements internal
 - Message on event external

Best Practices

Staffing

- Communication manager and staff as part of WEC
- 24/7 Field communication, door hangers, signage
- Stand-by / contracted Language translators
- - Mapping tools for hard copy and electronic delivery
 - Standard templates...
 - "Boil Water", "Do Not Use", "Return to Service"
 - Multiple Language

Best Practices

Customer considerations

- Care givers for Immune-compromised
- Kidney Dialysis, Fish Owners
- Schools
- Hospitals
- Senior Centers
- Restaurants
- Large Commercial Water Users

Best Practices

- ♦ Venues for access to consumers
 - Electronic, voice and fax ability
 - TV, RADIO, WEB-SITES
 - Updated partnerships contacts
 - Media contacts
 - Special sub-population contacts
 - Neighborhood watch groups, councils
 - Special phone call service for high volume Dial-out

Tools and Resources

- ◆ Partnerships (Water Community)
 - Regulatory EPA, State Health
 - Referral Services State Labs, Mutual Aid
 - ISAC... fact sheets on contaminants
 - RAPID RESPONSE.. neighboring utilities and wholesaler

Best Practices

- ♦ Partnerships (Other)
 - Local Law Enforcement,
 - First Responders
 - County Sheriff
 - County Health, public health monitoring
 - State OES
 - State Dept. of Justice, criminal investigations

Parting Words

- ♦ Credibility of message
 - Select right spokesperson from most credible institution
 - "Timely"
 - "Accurate"
 - "Useful"
 - Past performance will influence

Strategic Health Risk Communication by Water Utilities

Rebecca Parkin, PhD, MPH
The George Washington University
Washington, DC

May 21, 2004

EPA Water Security Meeting

Overview

- Two AwwaRF projects
 - 2776: Identifying and Communicating about Emerging Contaminants
 - 2851: Advancing Water-Related Health Risk Communication
- · Highlights
- Key Points

2

2776: Emerging Contaminants

- Goal = Develop systematic, science-based methods for anticipating and communicating about emerging contaminant risks
- · Project partners
 - Des Moines Water Works
 - Princeton University
 - Decision Partners, LLC.
- Primary output = decision-making tools



2776: Methods

- · Literature reviews
 - Risk communication
 - Psychology
- Case studies
 - Chemical industry
 - Electric power
- Military health
- · Mental models
 - DMWW expertsDMWW customers
 - Website analysis

- · Classification model
 - Based on scientific results
 - Used DMWW data
 - Predictive of "emerging" issues
- · Strategic decision aid
 - Based on literature, cases, models
 - Assess probability of risk communication

.

2776: Case Study Lessons

- Risk communication is not the same as communication; it's integral to risk management
- Strategies must be based on scientifically derived information
- Plant managers are responsible for local programs, but they need clear senior management support
- Activities must fit communities' interests and preferences
- A visible, positive presence must be in place before a crisis occurs

Risk

Risk

Management

Paradigm

Adapted from CSA (1997)

Risk Assessment

Risk Management

Paradigm

Adapted from CSA (1997)

Decision Step

Risk Management

Risk Management

Risk Management

Risk Management

Risk Management

Action 6

2776: Literature Results



- Risk perceptions are affected by:
 - Gender
 - Ethnicity
 - Education
 - Socioeconomic status
 - Geographic location
 - Sensory perception
- More heterogeneous communities
 - Are more likely to have news coverage that frames issues as problems without solutions
 - Require more complex, creative communication methods to increase impacts

7

2776: Mental Models

- · Method
- · Develop the expert model
 - DMWW Steering Team
 - One session, one follow up call
- Conduct mental models interviews
 - On the phone
 - In person for website analysis

Key Findings

- Expert model expanded Team's views of the issues
- Customers largely favorable about DMWW
- BUT "emerging" and "emergency" get confused
- In crisis, customers want a trusted, local source of information
- They want to know what they can do, what utility will do
- Trust of utility affected (+/-) by website experience

8

2776: Strategic Risk Communication

- · Decision aids developed
 - Media data retrieval and archive system
 - Classification model
 - Diagnostic tool
- Major findings
 - Limited media content analysis is valuable
 - "Frequency" and "population" predicted DMWW's communication decisions
- Factors that increase the probability of "emerging" communications relate to
 - Contaminant
 - Concerns
 - Population
 - Society
 - Utility
- How these affect decisions may vary among utilities



2776: Major Recommendations

Drinking water industry

- State risk communication duties publicly in values and professional code of conduct
- Success and credibility require vision - beyond tactics - to create and implement strategies
- Study understanding of "emerging"
- Validate our classification model in other areas
- Test our diagnostic tool in other service areas

Corporate level

- Base strategies on facts, not guesses
- Plant managers are responsible, need support
- Be visibly present in communities
- · Proactively initiate dialogues
- Begin building professional risk communication capacity now

10

2851: Three-way Collaborations

- Goal = Advance collaborations for addressing water-related risk communication
- · Project partners
 - Five water utilities
 - Natl. Asso. of County City Health Officials (NACCHO)
 - Asso. of Occupational and Environmental Clinics (AOEC)
- Three sets of collaborators
 - Water utilities (U)
 - Health agencies (H)
 - Clinicians (C)
- Primary output = Framework for Action

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2851: Methods

- · Data collection
- · Literature review
- · Utility survey
- · Health agency survey
- Clinician interviews
- · Data analysis
- In progress
- Framework for Action (Data application)
- Pending



2851: Literature Review

- Three-way (U-H-C) not documented
- · Two-way interactions reported generally
- Themes
 - Relevance
 - Longevity
 - Trust
 - Need

- · Various communication tools found
 - CCRs
 - Fact sheets
 - Media reports, releases
 - Formal agreements
- No peer-reviewed evaluations of most (except CCRs)

2851: Utility Survey



- 98 utilities
 - All regions of the USA
 - 92% = public
 - -53% = over 20 employees
- Respondents
 - Most = GMs, managers
 - -87% = male
 - 51% over 20 years in the business
 - 65% lived in service area

- · Population served
 - 31% under 10,000
 - 33% over 100,000
- · Health agencies in service area
 - -15% = over 10agencies
 - 2% didn't know

2851: Health Agency Survey

- 160 agencies
 - All regions of the USA
 - 67% = county agencies
 - 53% = over 20 employees
 - 67% = environmental health unit handles water issues
- Respondents
 - -74% = male
 - 45% = directors of 2+ units
 - 48% = over 20 yrs work
 - 72% lived in service area

- · Population served
 - 5% up to 10,000
 - 44% over 100,000
- 84% = more than one utility in their area
 - 37% over 10 utilities
 - 4% didn't know

15

2851: Clinician Interviews

- Practices
 - All areas of the USA
 - 43% practices with over 5 clinicians
- 30 participants
 - -87% = MDs
 - -63% = male
 - 43% had 20+ yrs work - 93% live in service area
- · Population served
 - 7% up to 100,000
 - 60% over 500,000
- 67% = more than 1utility
 - 17% over 10 utilities
 - 23% =didn't know



16

Utilities and Health Agencies

- Nearly 90% U had worked 63% H had worked with with local or state Health agencies
 - 78% with specific person (most often, the director)
- · Half had formal agreements
- Want more collaboration and more frequent communication
- a Utility
 - 28% with specific person (most often manager)
- · Half had formal agreements
- Want more collaboration and more frequent communication

Utilities and Clinicians

- · About 33% U had worked with C
- Nearly 67% U had C in emergency plans
 - 17% worked with C
 - 83% rely on H to be link with C
- 90% had no experience working with U
 - 60% had received CCR
- 100% willing to collaborate with U
 - 53% said 4+ per year

Health Agencies and Clinicians

- 54% H had worked with C Most had worked with H
 - Most with MDs
 - Others = nurses dieticians specialists, dentists, etc
- · 58% worked with C on emergency response plans
- · 62% worked with C on water security
- agencies
 - About 33% once/vear
 - 17% monthly
- · 33% had worked on emergency response plans
- 100% willing to work with H agencies
 - 23% had been contacted by

Three-way Collaborations

- Reported by 28% of the health agencies
- · 16% worked on susceptible subpopulations
 - Children, pregnant women
 - Elderly
 - Immune compromised
 - Chronic gastrointestinal disease patients
 - Cancer patients
 - HIV/AIDS patients
- · Range of chemical and microbial topics addressed

2851: Themes

- · Each entity has multiple entities in the other two sectors to consider - where to start?
 - There is incomplete knowledge about each other
- · Utilities and clinicians have much more contact with health agencies than with each other
 - Contacts focus on the top official
 - Relationships are usually reported as positive
- · Few three-way contacts have been documented
- · Sectors communicate about the same issues, but to different extents

2851: Workshop



- · March 2004
- · Representatives from
 - Five water utilities
 - Public health agencies
 - Medical facilities
 - Academic institutions
 - Elected officials
- · Presentations
- · Breakout sessions
- · Utilities, health agencies, and clinicians
 - Have limited knowledge of each other, others' roles and routines
 - Have multiple entities to work
- Clinicians prefer contact by clinicians or scientists
- Health agencies best serve as the clinician-utility link
- Few organizations have formal plans or means to communicate with each other

2851: Next Steps

- Finalize the workshop results
- Draft Framework for Action
- Table top exercises
- Finalize the Framework
- · Disseminate the results



Key Points



Status quo:

- · Limited knowledge of what risk communication is or how to use it strategically
- · Scientific knowledge available is under-utilized
- Experience with collaborations is limited

Lessons learned:

- Knowing, acknowledging and responding to concerns builds trust and visible, positive presence
- Preparation for strategic risk communication is crucial; it requires senior management support, time and partnerships
- Simple tools are developing to aid decision processes
- · Risk communication is integral to risk management

Acknowledgment

The George Washington University gratefully acknowledges that the Awwa Research Foundation is the joint owner of the technical information upon which this presentation is based. The George Washington University thanks the foundation for its financial, technical, and administrative assistance in funding and managing the project through which this information was discovered.



Emergency Communications with your Local Government and Community, 03cts5s

Funded by the Water Environment Research Foundation (WERF) through USEPA Homeland Security-Wastewater Security Agreement #83075101-0 and as a cooperative project with the American Water Works Association Research Foundation (AwwaRF)

Principal Investigating Team
University of Louisville Research Foundation
Thomas D. Rockaway Ph.D., P.E., Center for Infrastructure Research
David M. Simpson, Ph.D., AICP, Center for Hazards Research and Policy Development

Presentation to the National Water Security Risk Communication Symposium San Francisco, CA May 20-21, 2004

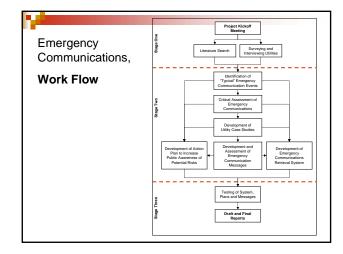


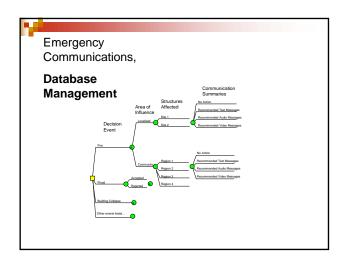
Emergency Communications, Project Objectives

- 1. Determine optimal processes and systems for situational analysis, message creation, and information dissemination
- 2. Evaluate the effectiveness of emergency communication messages; using established literature and a combination of survey and structured content analysis process methodologies for determining situational appropriate messages. Additionally, emergency messages will be evaluated for their efficacy and impact using representative head-ofhousehold focus group methodology.
- 3. Create an emergency communication management system which includes: 1) a decision-tree template to assist utilities in the decision making process; and 2) a message storage and retrieval system, which would assist in the selection and implementation of a range of appropriate
- 4. Create a template for an action plan that will increase public awareness of risks and the emergency communication process in the community.

Emergency Communications, Work Tasks

- 1. Review communications plans of a small sample of utility companies
- 2. Determine set of probable crisis events and create scenarios
- 3. Determine effectiveness of warning and emergency messages to local government and the public.
- 4. Review of existing systems and content analysis of cross section of sample messages in use
- 5. Create test messages for the scenarios
- 6. Test the messages using intense focus groups
- 7. Develop web enabled system for simplified distribution





Emergency Communications, Project Investigating Team includes:	
Center for Infrastructure Research	The Center for Infrastructure Research is a strong partnership between the Univ of Louisville, utilities and industry formed to research, educate, and solve urban infrastructure-related issues and problems.
Center for Hazards Research and Policy Development	The Center has a history of performing National Science Foundation research with respect to hazards and related issues. The Center has conducted NSF research on the World Trade Center event, and is currently contracted by the State of Kentucky to complete a statewide risk assessment and create the state's Hazard Mitigation Plan.
Louisville Water Company	The Louisville Water Company provides potable water to over 1,000,000 customers within the greater Louisville area. During their 100+ year history, they have had to inform the public of a variety of "emergency" type events. This experience will be made available to this research effort.
Metropolitan Sewer District	The Metropolitan Sewer District provides stormwater and sewer services to the 1,000,000 –plus residents within the greater Louisville. During their tenure, they have developed emergency plans and communication messages for the public in response to floods, contaminations, breaks and other emergency events. This history of information will be made available to the research effort.
Metro Louisville Emergency Management Agency	Metro Louisville Emergency Management Agency is responsible for the coordination of the preparation for, and response to, emergency events in the Louisville Jefferson County geographic area. The agency is well-regarded for its training and preparation for responses to chemical events, and has been recognized nationally for its ability to respond to biological threat scenarios.
United States Army Corps of Engineers	The United States Army Corps of Engineers routinely releases emergency communications for the public during floods and other disaster events.
Center for Deterrence of Biowarfare and Bioterrorism	This Univ of Louisville Center has expertise in training and planning for bio-threat agents and events and is one of six CDC-recognized Centers in the country for this specialitzed knowledge base.
Civil and Environmental Engineering Department	The Civil and Environmental Engineering Department of the Univ of Louisville provides educational and research opportunities in geotechnical, transportation, hydraulic, environmental and structural engineering. The department is supported by 13 faculty members. When necessary, the equipment and individuals can provide assistance to this WERF project.
Urban Studies Institute	USI is a research-based institute that routinely performs contract research in all sectors of social policy. The Institute has a Computer Aided Telephone Interview (CATI) system and has considerable experience in conducting focus group research.



Emergency Communications, Refining the Research Approach

- > Project focus is Communications, not an Emergency Response Plan. However, results should add value to ERPs.
- \succ Determine an appropriate set of scenarios (i.e., flooding to bio-terrorism)
 - Is the determining factor the "agent" or "speed of onset"?
 - How many to do?
- > How do you create a guidebook generic enough to help most communities, but not so generic that it is meaningless?
- > What are the most effective strategies for testing messages? focus groups? tabletop exercises? full drill? other?



Emergency Communications, Your Assistance Welcomed

- > Examples of good communications plans/systems that audience members are familiar with
- > Examples of warning messages that audience members think are particularly effective
- > Examples of communities that have been through an event and revised their message system or approach



Emergency Communications Project Acknowledgements

- WERF Project Subcommittee

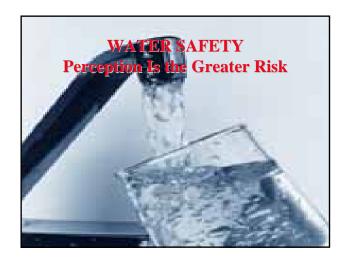
 Frank Blaha, American Water Works Association Research Foundation
 Bob Adamski, Gannett Fleming Engineers and Architects
 Susan Dolgin, U.S. EPA
 Stephen Frank, APR, Denver MetroWastewater Reclamation District
 Paula Kehoe, San Francisco Public Utilities Commission
 Linda MacPherson, CH2M Hill
 Erica Michaels Brown, Assn of Metropolitan Water Agencies

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- We welcome your comments and appreciate your interest! For additional information:

 Bonnie Bailey, WERF Project Manager, <u>bbailey@werf.org</u>, 703-684-2470x7540

 Tom Rockaway, Center for Infrastructure Research, Univ. of Louisville, 502-852-3272, rockaway@louisville.edu





WATER SAFETY
Perception is the Greater Risk

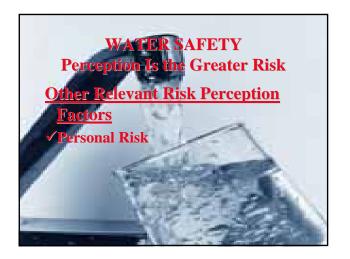
TRUST

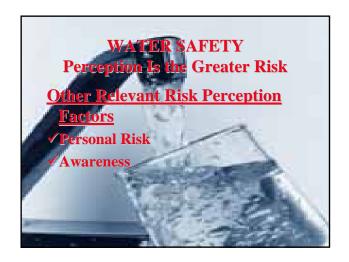
IT COMES MORE FROM
WHAT YOU DO THAN
FROM WHAT YOU SAY.
POLICY, NOT PRESS
RELEASE.

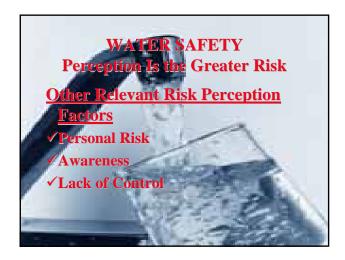


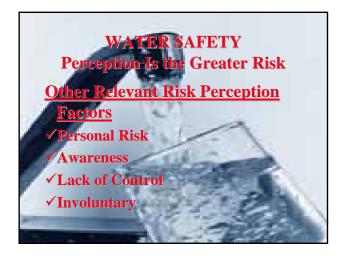
WATER SAFETY
Perception is the Greater Risk

TRUST
>COMPETENCE
>SHARED CONTROL
>STAKEHOLDER INPUT
>ON THE LINE WITH EVERYTHING
YOU DO AND SAY.



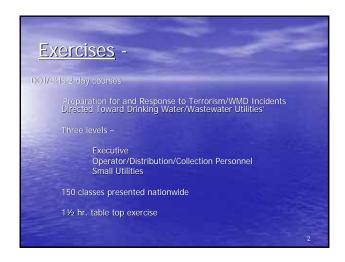








CASE STUDY: SYNOPSIS OF RISK COMMUNICATION ISSUES FROM MULTIPLE CRISIS TABLE TOP EXERCISES Stanley States Water Quality Manager Pittsburgh Water and Sewer Authority



Exercises (cont.)
CDC/AWWA 2-Day Course

'First Response Strategies and Protocol for Water Utilities and Public Health Staff'

Denver CO, Atlanta GA

2 hr. table top exercise

Exercises (cont.)
PA-AWWA Security Committee
Pittsburgh, Philadelphia, Harrisburg, PA
6 hr. table top exercise

EPA Region III Security Workshop
Baltimore, MD
3 hr. table top exercise

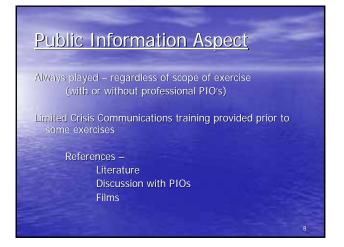
Future Exercises
EPA 2-Day Table Top Workshop/Exercise
 'Security Related Emergency Response for Water Utilities'
 12 cities nationwide
 1 day training/1 day table top exercise

City of Pittsburgh/Pittsburgh Pirates Full Scale Exercise
 August 2004
 Non water scenario
 5,000-10,000 participants
 1-day exercise

Exercises (cont.)
Scope of exercises Group discussion
Staffex
Full scale exercise

All involve Use of WMD (Bio or chem agent)
Intentionally introduced into drinking water
(except Pittsburgh FSE)
Resulting in injuries and fatalities

Exercise Goals Hands-on training utilizing recently published response guidance EPA – 'Response Protocol Toolbox' National Incident Management System (NIMS) Incident Command System Emergency Operations Centers



<u>Positive Observations During</u> <u>Exercises</u>

- All participants appreciate <u>importance</u> of effective crisis communications in these scenarios.

 utility personnel regulators elected officials health officials emergency responders
- 2. Participants understand need for <u>common</u> <u>message</u> and <u>single</u> <u>spokesperson</u> for public info.

Positive Observations (cont.)

 Participants understand <u>necessity</u> for being honest/forthright with media and public

and

consequences of not being honest

10

Observations of Concern During Exercises

- Many participants view relationship with media as adversarial.
 - May interfere with ability to deliver effective crisis communications
- 2. Some players may be overly reluctant to share info with public
 - Disseminating drinking water health info is mandated by "Public Notification Rule".

Observations of Concern (cont.)

- Participants feel pressure in having to share info with public having the <u>shock value</u> of terrorism and WMD agents – without causing unnecessary alarm.
- Various agencies have difficulty determining 'who is in charge' during various phases of incident and therefore who is ultimately responsible for 'message' delivered to public.

(Suggests need for more ICS training)

Observations of Concern (cont.) 5. During the uncertain 'Threat Evaluation' phase of an incident – players feel challenge in maintaining a balance between – Risk of overreacting to a false alarm and Risk of underreacting to real incident





RISK COMMUNICATIONS

California's Risk Communication Efforts
During the 2003 Southern California Fires



Terri Lee Stratton, MPH Emergency Preparedness Office California Department of Health Services (CDHS)

California Demographics

- One-seventh of country's population
- 7th largest world economy
- Multi-national/multi-ethnic
- Long coast line and borders Mexico
- Los Angeles 2nd most populated U.S. city with many dense urban areas
- At risk from terrorism and natural disasters
 –fires, earthquakes, floods

California's Goal

- Communication Goals:
 - Be prepared for a potential outbreak of bioterrorism or other disaster in California.
 - ◆ Instill public confidence in our ability to respond to emergency situations.
 - Through skill building, learn how to utilize your knowledge and training in emergency situations
 - California and CDC and other partners working together in collaboration with local agencies (LHDs)

California's Preparation Strategy

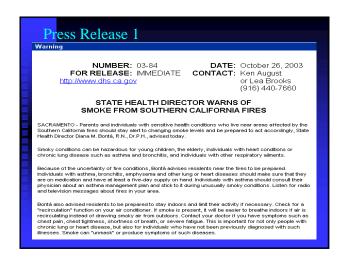
- Transparency
- Echo strategy (CDC) Consistency in Message
- Multi-language focus
- Partnerships and collaboration
- Tools and training
- Coordinated by CDHS Risk Communication
 Team

Emergency Preparedness and Response

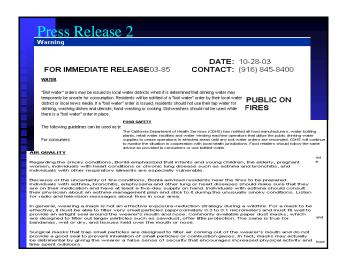
- Develop public relations/media plan to prepare and respond
- Public preparedness education web, hotline
- Spokesperson trainings
- Media relations
- LHD outreach activities
- Message development
- Risk Communication trainings
- Partner and stakeholder relations
- State agency outreach

Application of Crisis and Emergency Risk Communication Actions in Response to Southern CA Fires

- Early Involvement in Process Proactive Engagement
- Early issuance of Public Health Messages: Boil Water Orders / Respiratory Safety
- Importance of consistency of message



Early Response First Press Release sent out prior to EOC activation Established involvement and credibility Interface with partners



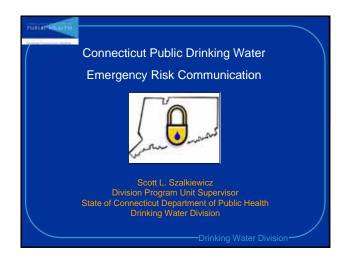
Consistency in Message Confirm that advice/guidance to public is consistent. Share information with other responders and partners Provide follow up guidance to public to facilitate recovery and credibility in response efforts

Press Release 3 Warning NUMBER: 03-88 FOR RELEASE: IMMEDIATE CONTACT: Ken August http://www.dhs.ca.gov or Lea Brooks (916) 440-7660 STATE HEALTH DIRECTOR OFFERS ADVICE TO PARENTS ABOUT CHILDREN'S HEALTH AND EMOTIONAL REACTIONS TO WILDFIRES SACRAMENTO - State Heath Director Diana M. Bontá, R.N., Dr.P.H, today advised Southern California parents to keep a watchful eye over their children's reactions to the wildfires. She also advised parents to monitor children's autdoor activity and take precautions to limit the amount of ash and particulate dust tracked into the home. "Parents should remember that this can be an alarming time for children," Bontá said. "The images of the wildfires on television can be very frigittening for children who often notice the tension and anxiety in adults around them. Parents should be aware of the physical and emotional responses of their children to these horrific scenes."

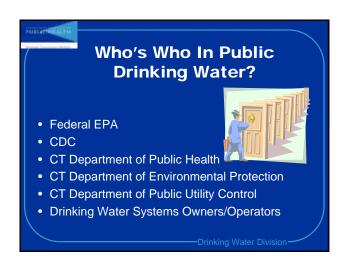
Lessons Learned Place Emphasis on education/awareness as priority – early involvement in process Quick Approvals in place for Materials/Documents Involve Partners from Beginning Hold to core strategies and provide as much information as possible Collaborate with Others involved in Response

Communication Issues for Public

- Health: Respiratory, Water Safety, Ash –
 Toxic
- Emotional/Mental Health: Loss of home, possessions, missing family members/pets, evacuation, needs of special populations (children/elderly/disabled/non-English speakers)



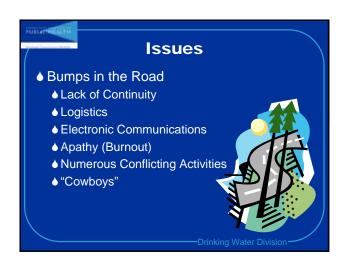






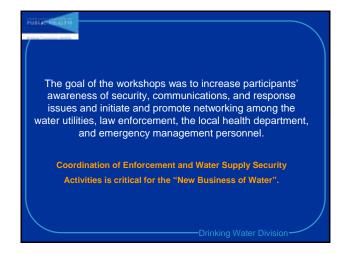




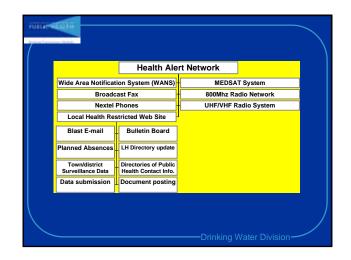




Over 600 professionals participated in the four regional drinking water security workshops that were recently conducted throughout Connecticut, where they used the handbook, along with the DWD's Emergency Response Planning Guide for Public Drinking Water Systems.

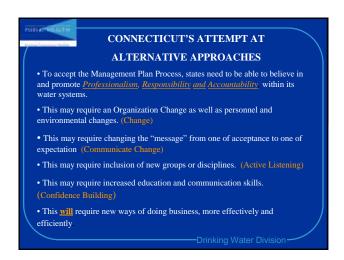








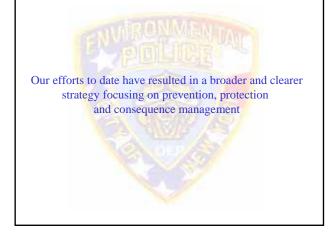




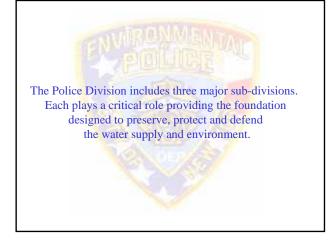


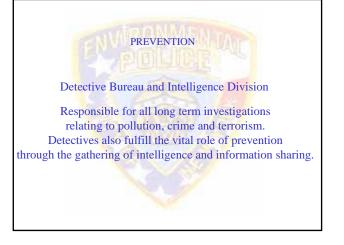


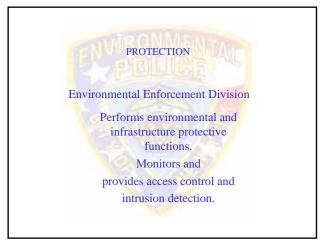














COMMUNICATING THE RISK through aggressive training opportunities

Environmental Police Academy

NYS Mandated Police Training
Environmental Enforcement Training
Environmental & Infrastructure Protection
In Service Training
Weapons of Mass Destruction
Counter Terrorism Training
Domestic Preparedness
Ground Water Investigations
Fire Arms Re-Qualifications Course
Bomb Recognition Courses
Security Awareness Training
Agency-wide
Outside Agency Training
Contractors and Consultants

Recruit Training School (1000 hour/6 month)

Communication is the most important dynamic of any organization.

Because of the important nature and sensitivity of the information we convey within our organizations, to communities and media outlets communication can become the primary problem.

Emergency planning, practical exercises and building trust within the communities we serve are everyday activities.

During a disaster, communication is essential to the timely and accurate flow of information as well as the coordination of relief efforts.

Not only to keep emergency response systems functional but also to relieve stress and reduce panic.

Lines of communication need to be in place so that emergency responders: can talk to one another, communicate with specialized teams and coordinate supply lines.

Police, fire and emergency medical technicians need to communicate, as quickly as possible, accurate information to scientists, engineers, health and medical professionals as well as to administrative and support personnel.

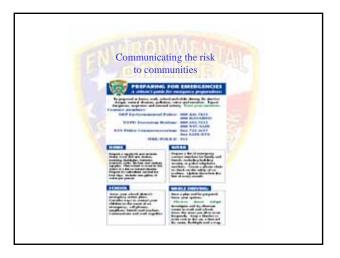
These disaster relief professionals must establish effective relationships so that they speak a common language, provide appropriate information and access resources, information and data bases not commonly queried on a daily basis.

PLANNING: Anticipate system failures
Redundant communications include:
High and low band radios
Analog and digital telephones
Priority access to wireless networks
Intra-net and inter-net access
Multiple cellular telephone technologies
Broad paging capabilities
Electronic mail and broadcast facsimile machines
Loudspeakers, bullhorns and runners

Develop a culture of cooperation
Use existing resources
Disorganization can easily lead to disaster
Communication and planning are the keys to success
Plan for emergencies-twice

Think out of the box, expect the unexpected
Anticipate things will go wrong and
Practice, practice practice

Pre record all public and internal messages possible



"A citizens guide for emergency preparedness"

Emergency Contact Telephone Numbers
Police

Preparing the public for emergencies

Fire Counter terrorism information sources

prepare a supply kit
include water, food, firstaid, clothes, bedding,
flashlights, batteries, radios, kitchen and sanitary supplies.
Plan where to meet family members,
prepare for self reliant survival for four days
include one gallon of water per person



Prepare a list of emergency contact telephone numbers for family, friends and neighbors include building security and police non emergency telephone numbers.

Create a phone chain to check on the safety of co-workers.

Update these lists every month



Know your school districts emergency action plans.

Consider ways to contact your children in the event of an emergency.

Include cell phones, neighbors, friends and teachers.

Communicate and work together.

While driving

Have a plan and be prepared.

Know your options
Observe-React-Adapt
Investigate and try alternate routes to and from work and school.

Explore the areas you drive most frequently.

Keep a blanket or extra coat in the car,
a first aid kit, water, flashlight and map.

During the Disaster
Stay calm
Operationalize plans
Stick to the Script
And
Stay calm
Because
You have prepared for this.

Media briefings should be scheduled at regular intervals
Prepare your message
Know what you want the public to hear
and have three different ways to say it.
Anticipate three questions you do not want to answer
Know how you will respond to those questions
Know that you do not have to answer them



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