1	Running title:	Aquatic	concentrations	of pharm	aceuticals.
---	----------------	---------	----------------	----------	-------------

3	Predicting variability of aquatic concentrations of human pharmaceuticals.
4	
5	Mitchell S. Kostich ¹ *, Angela L. Batt ¹ , Susan T. Glassmeyer ² , James M. Lazorchak ¹
6	
7	Ecological Exposure Research Division ¹ and
8	Microbiological and Chemical Exposure Assessment Research Division ² ,
9	National Exposure Research Laboratory
10	U.S. Environmental Protection Agency
11	26 W. Martin Luther King Drive
12	Cincinnati, OH 45268
13	
14	*Address correspondence to:
15	kostich.mitchell@epa.gov
16	phone: 513-569-7645
17	fax: 513-569-7609
18	
19	
20	
21	
22	
23	

24 Abstract

Potential exposure to active pharmaceutical ingredients (APIs) in the aquatic environment is a subject of ongoing concern. We recently published maximum likely exposure rates for several hundred human prescription pharmaceuticals commonly used in the US. These rates were estimated from nationally aggregated marketing data and wastewater production rates. The accuracy of these estimates is unclear, and it is unclear how to use the national-level estimates of exposure to predict local exposure rates. In this study we compare our previous predicted environmental concentrations (PECs), which were based on marketing data, with PECs based on regulatory data. We then use local dispensing rates for 12 APIs along with local wastewater production rates to estimate the distribution of local PECs relative to national averages, in order to identify an 'application factor' suitable for converting national-level PECs into reliable bounds for local concentrations. We compare the national-level PECs and the proposed application factor with measured environmental concentrations (MECs) published in 62 recent peer-reviewed publications. Regulatory data-based national average PECs are uniformly lower than marketing data-based national average PECs, corroborating the intended conservative nature of the marketing data-based PECs. Variability in local API usage and wastewater production rates suggest local PECs may occasionally exceed national averages by about 10-fold. Multiplying national average PECs by an 'application factor' of 10 and comparing the resulting predicted maximum local PECs to published MEC data for 83 APIs corroborates the usefulness of 10-fold adjusted national PECs as a reasonable ceiling for measured environmental concentrations. **Key words:** pharmaceutical; antibiotic; wastewater; aquatic; drinking water

59 List of abreviations:

- 60 AIC: Akaike Information Criterion
- 61 aPEC: ARCOS-based national average PEC
- 62 API: active pharmaceutical ingredient
- 63 ARCOS: Automation of Reports and Consolidated Orders System
- 64 bMOA: broad mechanism of action
- 65 CWNS: Clean Watersheds Needs Survey
- 66 DDmin: minimum daily dose
- 67 DPD: doses per decade
- 68 EE2: ethinyl estradiol
- 69 LOEC: lowest observable effect concentration
- 70 MEC: measured environmental concentration
- 71 MOA: mechanism of action
- 72 mPEC: marketing data-based national average PEC
- 73 MRL: method reporting limit
- 74 nMOA: narrow mechanism of action
- 75 PEC: predicted environmental concentration
- 76 POCIS: polar organic chemical integrative sampler
- 77 WWTP: wastewater treatment plant
- 78 ZCTA: zip-code tabulation area
- 79
- 80
- 81

82 1. Introduction

83

84 Active pharmaceutical ingredients (APIs) have been detected at low concentrations (typically 85 below 10 μ g/L) in municipal wastewater effluents and surface waters for more than three 86 decades (Hignite and Azaznoff, 1977; Richardson and Bowron, 1984; Kolpin et al., 2002a). The 87 primary route for their introduction into the environment is thought to be excretion from humans 88 into wastewater collection systems, persistence through wastewater treatment, and subsequent discharge into surface or ground water (Fent et al., 2006). Risks posed by these contaminants to 89 90 humans and aquatic life are of ongoing concern (Daughton and Ternes, 1999). Characterizing 91 aquatic exposure rates is complicated by the large number of APIs in use, which can vary greatly 92 from one another with regard to usage rate, transport, fate, and potency. Although about 1,800 93 APIs are currently approved for prescription use in the US (US FDA, 2009) individual 94 monitoring efforts have been limited to about 50 analytes each, with most studies looking at 95 fewer than 10 analytes (Gros et al., 2006). This fact suggests exhaustive monitoring of all APIs is 96 impractical and instead indirect means of estimating potential exposure rates are needed in order 97 to prioritize future investigation as well as estimate overall risks. 98 We recently estimated (Kostich and Lazorchak, 2008) relative maximum likely risks, at 99 the national level, posed by waterborne APIs originating from US municipal wastewater. 100 Marketing data-based predicted environmental concentrations (mPECs) were conservatively 101 estimated from nationally aggregated API sales and wastewater production rates. Lowest

- 102 observable effect concentrations (LOECs) for humans were assumed proportional to the
- 103 minimum daily dose (DDmin) recommended for therapeutic use. Relative aquatic risk for each
- 104 API was expressed as the ratio of each API's mPEC to its DDmin. Because of uncertainties in

105 fate parameters, such as partitioning, breakdown, and in-stream dilution, we did not attempt to 106 make central estimates of water-column associated exposure. Instead, each mPEC was calculated 107 making the conservative assumption that these dissipative processes were negligible. The 108 resulting mPECs therefore estimate the upper end of possible national average environmental 109 concentrations, rather than the most likely national average concentrations. However, reliance on 110 marketing data of unknown quality, together with uncertainties in factors for converting 111 marketing data from dollars sold or numbers of prescriptions written into mass of API dispensed, 112 introduces uncertainties into the mPECs. In addition, evaluating the approach by comparing 113 mPECs to measured environmental concentrations (MECs) is not straightforward, as MECs 114 reflect local variation. For similar reasons, it is unclear how to use national-level mPECs to 115 estimate local hazards posed by an API.

116 In this study we assess our previously derived national average mPECs, and estimate the 117 range of local variability in API concentrations relative to the mPEC. We use regulatory data on 118 legal distribution of APIs classified in the US as 'controlled substances' (Doig and Cordy, 2004) 119 to examine the accuracy of national estimates arrived at using marketing data. Then data on local 120 distribution of APIs classified as controlled substances is combined with census data to estimate 121 local per capita rates of API use. Local API usage rates and local wastewater production rates are 122 combined to estimate an upper 99th percentile wastewater concentration, relative to the national 123 average. This upper 99th percentile is proposed as a general 'application factor' suitable for 124 converting predicted national average mPECs into reliable upper bounds for local concentrations. 125 This factor is then applied to mPECs, and the resulting predicted local concentration ceilings are 126 compared to MECs for a range of APIs reported in recent peer-reviewed studies. This 127 comparison serves as an evaluation of the generality of the application factor and the usefulness

128 of the marketing data-derived national PECs. 129 130 2. Materials and methods 131 132 2.1 Data analysis 133 134 Data analysis was performed using R 2.8.1 (R Development Core Team, 2008). In addition to the 135 base package, functions from the stats (cor, cor.test, lm, summary.lm, plot.lm), boot (boot, 136 boot.ci, plot.boot) and MASS (dropterm) packages were used. 137 Variables were log-transformed prior to linear regression or calculation of Pearson's r, in 138 order to stabilize variances, moderate the effects of outliers on parameter estimation, and extend 139 the range of variables below zero. Regression was performed by ordinary least-squares fitting. 140 Semi-partial correlations (section 3.2) between variable A and variable B after removing the 141 effects of variable C were calculated as Pearson's r between variable A and the residuals from 142 bivariate linear regression with B dependent upon C. 143 Akaike Information Criterion (AIC) changes were calculated using the MASS::dropterm 144 function (Venables and Ripley, 2002). Hypothesis tests were conducted at $p \le 0.05$. Testing 145 whether a sample value of Pearson's r arose from random assortment of unassociated variables 146 assumes a Student's t (df=n-2) sampling distribution of r, and was conducted with the function 147 stats::cor.test. The Bias Correction-accelerated algorithm (Davison and Hinckley, 1997) was 148 used to estimate 95% confidence intervals for Pearson's r, using boot::boot.ci on 9999 bootstrap 149 samples generated with boot::boot. Permutation analysis in section 3.3 was conducted using 1 150 million permutations generated with the function base::sample.

152	2.2. API usage rates	
-----	----------------------	--

154	The Automation of Reports and Consolidated Orders System (ARCOS, US DEA, 2004)
155	documents legally regulated distribution within the US of 12 APIs classified as controlled
156	substances, and is organized by state and three-digit zip code. A three-digit zip code identifies a
157	region corresponding to the union of the regions whose postal zip codes share the same first three
158	digits. Geographic coordinates of postal zip codes were estimated using the coordinates of the
159	centroids of zip-code tabulation areas (ZCTA, US Census Bureau, 2000), which approximate the
160	region served by a postal zip code.
161	
162	2.3. Wastewater production rates
163	
164	The Clean Watershed Needs Survey (CWNS, US EPA, 2004) lists the size of the population
165	served and the flow rate for most wastewater treatment plants (WWTPs) in the US. WWTPs
166	listed in CWNS were included in our variability predictions if they served a population greater
167	than 100, at least 75% of their flow was of municipal origin, at least 75% of their served
168	population was local residents, and per capita wastewater production was between 50 and 1,000
169	liters per person per day.
170	CWNS contains state identifiers for all listed WWTPs, geographical coordinates of
171	discharge outfalls for many WWTPs, and zip codes (included as part of the WWTP mailing
172	address) for many WWTPs. When the outfall location was available, the facility was assigned
173	the zip code corresponding to the closest (calculated with haversine formula Sinott, 1984)

174	ZCTA centroid within the same state. If outfall location was unavailable, but a mailing address
175	was listed, the mailing zip code was assigned to the facility.
176	Of the 16,521 discharging facilities listed in CWNS, 7,176 met inclusion criteria listed
177	above and could also be assigned a zip code. These WWTPs, on which our distributional
178	analysis is based, produce 14.6 billion gallons of wastewater per day (out of a CWNS total of
179	33.7 billion gallons), and serve 114,136,107 people (out of a CWNS total of 229,071,206
180	people).
181	
182	2.4. PECs and spatial variation
183	
184	The likely upper bound for the average US PEC for each API was calculated by dividing the
185	mass of API dispensed nationwide each year by an estimate of annual US wastewater production
186	(6.8x10 ¹³ L/yr adapted from Kostich and Lazorchak, 2008):
187	
188	PEC for an API in ng/L = (mass of that API dispensed in kg/yr) * $(10^{12} \text{ ng/kg}) / (6.8 \times 10^{13} \text{ L/yr})$
189	
190	Degradation of parent drug by patient metabolism or wastewater treatment was not accounted
191	for, so the resulting estimates should be conservative for many APIs. In order to express
192	potential exposure in units with an intuitive relationship to risk, and also adaptable to describing
193	exposure rates to mixtures, PECs were converted into doses per decade (DPD). DPD are the
194	equivalent number of DDmin that would be consumed in one decade, assuming consumption of
195	2 liters of water per day with API present at the PEC:
196	

197 DPD = (PEC * 2 * 3650) / (DDmin * 10^6)

198

where PEC is in ng/L, 2 is the number of liters consumed per day, DDmin is in mg/day, and the factor 10^6 is used to convert mg to ng.

201 Each CWNS facility to which a zip code was assigned (section 2.3) was associated with 202 12 local per capita API usage rates (one for each of the 12 APIs in ARCOS -- section 2.2) by 203 matching three digit zip codes and state identifiers. The local usage rate for each API was 204 divided by the per capita wastewater production rate for that facility (section 2.3), to yield a local 205 PEC for that particular WWTP. Local PECs were normalized by division by the ARCOS-based 206 national average PEC (aPEC) of the corresponding API, resulting in a local PEC expressed as a 207 multiple of the corresponding API's national average aPEC. For each API, the distribution of 208 local PECs was expressed in terms of the proportion of all wastewater produced by WWTPs with 209 PECs lower than a given PEC: WWTPs were sorted by their associated local PECs; for each 210 local PEC, the total volume of wastewater produced by all WWTPs with lower local PECs was 211 divided by the total volume of wastewater produced by all WWTPs, yielding the wastewater 212 volume percentile for that local PEC.

213

214 2.5. Comparison to MECs

215

216 Peer reviewed publications reporting MECs for any API (controlled substance or not) were

217 identified via literature search. Studies were included if they were conducted in the US,

218 published between January 2001 and January 2009, and reported some mass spectrometry data.

219 Only data on human prescription pharmaceutical active ingredients that are currently used and

are not naturally occurring hormones were summarized. Measurements from wastewater, surface
water, and ground water were included. MECs from hospital effluents and treated drinking water
were excluded. POCIS data were excluded. Non-detections and detections that could not be
quantified were recoded as the method reporting limit (MRL). Estimated concentrations reported
as a range of possible values were recoded as the lower end of the range.

For DPD calculations, metabolites were considered equipotent with the parent on a mass basis. For metabolites with MECs (section 3.3), this simplification results in differences of 7.5% or less relative to DPD calculations performed on a molar basis. Levofloxacin was recoded as ofloxacin, since none of the studies summarized here used methods that distinguish enantiomers. Data reported in Kolpin et al. (2002a) were corrected per Kolpin et al. (2002b). DDmin and MOA are adapted from Kostich and Lazorchak, 2008, if available, or from product prescribing information.

An error in our previous mPEC calculations was corrected: the minimum price of erythromycin had been transcribed as \$0.0687/mg. The original marketing data source actually listed \$0.0006164/mg. As a result, the erythromycin mPEC is underestimated by 111-fold in Kostich and Lazorchak (2008). The corrected mPEC was used in the present analysis and reported in Appendix 2 of the supporting information.

237

238 3. Results and discussion

239

240 3.1. National average PECs

241

242 Of the 12 APIs in ARCOS, only nine (Table 1) are dispensed frequently enough to be included in

243	the marketing data for 'top drugs' that was previously used (Kostich and Lazorchak, 2008) to
244	estimate mPECs for 371 APIs. These nine APIs span the marketing data-based risk rankings
245	from #8 (codeine) to #158 (methadone). mPECs exceeded the corresponding ARCOS-based
246	national level PECs (aPECs) by 1.2- to 13.5-fold (Table 1), depending on the API, corroborating
247	the intended conservative nature of the mPECs. Within this sample, a modest linear relationship
248	was found between log-transformed mPECs and log-transformed aPECs, with Pearson's
249	correlation r for this sample equal to 0.82. The hypothesis that this sample value of r arose by
250	chance assortment of variables with no real association was rejected with a one-sided (only
251	positive associations are expected) p-value of 0.003. Assuming that this set of 9 APIs can be
252	considered a simple random sample from the larger population of 371 'top drugs', a 95%
253	confidence interval for Pearson's r in the corresponding population was found to be 0.21-0.97. It
254	is not clear how representative these APIs are of all the APIs in use in the US, but consistency of
255	local PECs based on this assumption with MECs for a much broader range of APIs (sections 3.3
256	and 3.4) suggests the assumption is approximately correct.

3.2. Predicting spatial variation

Combining local per capita wastewater production rates with local per capita API distribution
rates for all 12 APIs in ARCOS (Table 2) suggests that 99% of municipal wastewater (on a
volume basis) contains API residue concentrations less than ten times the corresponding API's
national average aPEC. Given the small sample size on which this estimate is based, perhaps 15
or 20 would be a more prudent application factor for converting national average mPECs into
reliable upper bounds for local concentrations. Nevertheless, we use ten as an application factor

266 for comparing national level mPECs to MECs in section 3.3, since this is the factor suggested by 267 our limited data. Log-transformed local wastewater production and API usage rates showed little 268 correlation with one another (sample Pearson's R-squared was consistently ≤ 0.03), providing a 269 nearly additive partition of local PEC variability between these drivers. Local API usage rates 270 had greater coefficients of variation than local wastewater production rates (0.5-1.2, depending 271 on API, vs. 0.3 for wastewater production). This variability in API usage accounted for most of 272 the variation in local PECs (squared semi-partial correlations of 0.64-0.93, depending on API, 273 after removing effects of wastewater production) compared to variations in wastewater 274 production (squared semi-partial correlations of 0.05-0.32, after removing effects of API usage). 275 This means that for these 12 APIs, most variability between locales in the PEC for any single 276 API is accounted for by variations in local per capita API usage, with substantially less 277 accounted for by variability in local per capita wastewater production.

278

279 3.3. Comparing predictions to measurements

280

281 A search of peer-reviewed literature identified 62 studies meeting criteria for inclusion (section 282 2.5). In aggregate, these studies report MECs for 133 API-related analytes corresponding to 111 283 APIs found in prescription drugs (Appendix 1). Individual studies measured between 1 and 51 284 (median study=6.5, when ranked by number of analytes) analytes, corresponding to between 1 285 and 45 (median study=6) APIs. Individual studies reported between 1 and 336 (median 286 study=12.5) independent (with respect to time or site of sample collection) measurements per 287 analyte, on samples collected from between 1 and 115 (median study=6) sites. For each API, the combined set of studies provided between 1 and 1,237 (median API=42) independent 288

289 measurements from between 1 and 542 (median API=23) distinct sites.

290 MECs and mPECs (adapted from Kostich and Lazorchak, 2008) for each API were 291 potency normalized and expressed as DPD (section 2.4). The highest MEC for each API was 292 compared with the corresponding mPEC (Appendix 2). Of the 111 APIs for which MECs were 293 found, 87 are among the 362 APIs which have mPECs but are not natural hormones. Natural 294 hormones were not considered, as they have substantial sources other than pharmaceutical use 295 which were not accounted for during generation of the mPECs. Of the remaining 87 APIs with 296 both mPECs and MECs, one (digoxin) has never been detected (all reported MECs are less than 297 corresponding MRLs) in the studies considered (section 2.5), but MRLs are more than 10-fold 298 greater than the corresponding mPEC, limiting the utility of comparing MECs to the digoxin 299 mPEC. For an additional three APIs (fluticasone, methotrexate, and norgestrel) with mPECs and 300 reported MECs, MECs have been below the corresponding MRL, but MRLs exceeded the API's 301 mPEC. In addition to these 87 APIs, MECs were found for 24 APIs without corresponding 302 mPECs, and no MECs were found for 275 APIs with mPECs. APIs with MECs span the 303 marketing data-based risk rankings from #3 (hydrochlorothiazide) to #309 (lindane) out of the 304 362 APIs with mPECs.

For 14 of 83 APIs that have been detected or have MRLs less than the corresponding mPEC, the highest reported MEC exceeds the mPEC (Table 3). The most prominent among these 14 APIs is ethinyl estradiol (EE2), for which the MEC exceeds the mPEC by a factor of 41 (see below). In all other cases, the highest MEC is less than the mPEC or exceeds the mPEC by less than the proposed application factor of 10. By contrast, 30 of 83 APIs have a maximum MEC less than one tenth of their mPEC, 11 have a MEC less than one percent of their mPEC, and three have a MEC less than 0.1 percent of their mPEC. ARCOS-based aPECs agree more closely with MECs (Table 2), with the exception of methamphetamine, whose highest MEC
exceeds the aPEC by 190-fold. This discrepancy is not surprising, since nationwide therapeutic
use of methamphetamine is only about 12 kg/yr, while illicit supply is probably in excess of 120
tons/yr (National Drug Intelligence Center, 2005).

316 APIs were sorted in descending order by maximum MEC (measured in DPD), with the 317 highest ranking APIs listed in Table 4. The only APIs whose MECs correspond to greater than 3 318 doses per decade are EE2 (100 DPD), mestranol (59 DPD), and norethindrone/norethisterone (6 319 DPD). Maximum MECs for these structurally related contraceptive APIs were reported in the 320 same study (Kolpin et al. 2002a) and measured using the same method (Barber et al., 2000). 321 Although the majority of measurements reported in this extensive study appear reasonable, 322 concerns have been raised (Ericson et al, 2002; responses in Kolpin et al., 2002b) that 323 measurements for these three APIs (particularly EE2) are too high to reflect typical human use, 324 and might result from isobaric interfering substances in the samples. The highest EE2 MEC 325 reported in other US studies (N=10 other studies) has been 6 ng/L (compared with 273 ng/L in 326 Kolpin et al., 2002a), while norethindrone and mestranol, whose monitoring has not been as 327 extensive (N=1 other study for each API), have not been detected in the other studies 328 summarized here. Nevertheless, the high MECs might also be explained by unorthodox use of 329 these compounds, for instance in livestock production. Further investigation is strongly 330 warranted to determine if the surprisingly high (and correspondingly worrisome) measurements 331 for these three APIs are correct.

Although the level of agreement described above between mPEC-based ceilings and
 maximum MECs is encouraging, it is not clear how specific the assignment of mPEC-based
 ceilings to individual APIs is. For example, perhaps all the mPECs are too high to be reached by

335 any API, in which case the assignment of individual mPECs to APIs for ranking purposes would 336 have little value. In order to test for this sort of trivial agreement between MECs and mPECs, 337 mPECs were randomly re-associated with APIs, after which agreement between MECs and 338 mPECs in the permuted dataset was compared to agreement in the un-permuted data. Including 339 data for all 87 APIs except digoxin (which cannot be informatively compared to ten times its 340 mPEC -- see section 3.1), and expressing concentrations as DPD results in one disagreement 341 between mPEC-based ceilings and MECs in the unpermuted data (EE2), a level of agreement 342 only reached in about 1 in 10,000 random permutations. Expressing concentrations as mass per 343 unit volume (ng/L) results in a more dramatic contrast, with only about one in one million 344 random permutations reaching the level of agreement seen in the unpermuted data. This suggests 345 meaningful, specific association of mPECs with APIs.

Pearson's *r* was calculated between log-transformed MECs and mPECs (expressed as DPD) for the 83 APIs that have either been detected and have mPECs, or have not been detected (despite having been looked for) but have a MRL less than the corresponding mPEC. Pearson's *r* for this sample was very modest (0.47), but the possibility that this value arose from chance assortment of unassociated variables was rejected with a one-sided p-value $< 4x10^{-6}$. A 95% confidence interval for the population value of *r* was estimated as 0.30-0.60.

The highest reported MEC for any given API summarizes results from a varying (by API) number of environmental samples, and will reliably approach the true upper limits of environmental concentrations (what we are trying to estimate by multiplying the mPEC for that API by an application factor of 10) only when the number of samples is large. Including sample number as a predictor variable might therefore improve prediction of maximum MECs from mPECs, even though sample number might not be a good predictor on its own. Modeling log358 transformed maximum MECs across APIs as a linear function of the corresponding log-359 transformed mPECs and the log of the sample number on which each maximum MEC is based 360 shows a fair fit with well-behaved residuals. Deletion of either explanatory variable (mPEC or 361 sample number) is accompanied by a rise in AIC (signaling a loss of useful information), and 362 estimated coefficients for both explanatory variables are positive and significantly different from zero ($p < 7x10^{-8}$ for mPEC, and $p < 7x10^{-5}$ for sample number), suggesting both variables 363 364 contribute significantly to prediction of maximum MECs. Sample values of Pearson's r between 365 log-transformed maximum MECs and the fitted values from the linear model rose to 0.60 when 366 both variables are included in the regression. Consistent with expectations, sample number 367 appears to be a poor predictor of MECs on its own (sample R-squared = 0.08), but improves 368 prediction more than this would imply (adjusted sample R-squared improves by about 0.14 with 369 inclusion of sample number, compared to a model with mPECs as the only predictor of MECs). 370 These data suggest that national average mPECs, when adjusted by a 10-fold application 371 factor to account for spatial variability, provide reasonable upper bounds on MECs. By contrast, 372 mPECs are only marginally useful for predicting maximum MECs, with the highest reported 373 MEC for many APIs falling far below the corresponding mPEC. This can be understood in terms of the conservative nature of the mPEC calculations, in particular the omission of terms for 374 375 dissipative processes, such as transformation, partitioning and in-stream dilution. It can also be 376 partially explained by the variability in the maximum MEC that is dependent on the number of 377 samples analyzed. These explanations are corroborated by the observation that, within the data 378 sets examined, the mPECs are more strongly associated with aPECs (see section 3.1), which are 379 not affected by these issues, than they are with MECs.

380

Given that our previously published national mPECs for most APIs were quite low (there

381 were only 20 APIs with mPECs greater than 1 DPD), the sufficiency of a 10-fold application 382 factor for estimating maximum local concentrations suggests that potential aquatic exposure 383 rates to most APIs are far below levels required to elicit clinical effects. For the 20 APIs with 384 mPECs greater than 1 DPD, MEC data summarized here also suggests potential aquatic exposure 385 rates are quite low, but data are not very abundant for many of these APIs. Even though the 10-386 fold factor still suggests aquatic exposure rates for these 20 APIs are well below those resulting 387 from clinical API administration, the margins of safety are narrower, potentially raising 388 questions about risks from potential aquatic exposure to particularly sensitive human sub-389 populations or sensitive non-human species. Therefore, we feel further investigation of these 390 APIs is warranted.

391 It is worth keeping in mind that the scope of the present exposure study extends only to 392 APIs dissolved in the water column. Greater exposure rates may be possible through contact with 393 other environmental media in which APIs might become concentrated, including fish, plants, and 394 sediments. Less data exist on API distributions in these media, and more research will be 395 required to determine associated risks. In addition, the general approach adopted in this work 396 assumes risks decline monotonically with exposure rates, which has been disputed in some cases. 397 See Kostich and Lazorchak, 2008, for a more in-depth discussion of this issue.

398

399 3.4. Exposure rates for mixtures

400

401 Potential exposure rates to multiple APIs sharing a common MOA were estimated using a

402 potency-normalized concentration addition model for each of 15 broad MOA (bMOA) and 40

403 narrow MOA (nMOA) categories (Appendix 2; MOA adapted from Kostich and Lazorchak,

2008) that have associated MEC data. For each MOA, the highest reported MEC for each API in 404 405 the MOA category was expressed as DPD, and MECs were summed across APIs belonging to 406 the MOA. These MEC-based exposure rate estimates were compared to mixture exposure rates 407 estimated from mPECs (Table 5). Maximum potential cumulative exposure along each bMOA 408 was estimated as less than 6 DPD for all bMOA except for 'reproductive hormone modulator' 409 (165 DPD; but see discussion of EE2, mestranol, and norethindrone in section 3.3). Although 410 MEC-based estimates occasionally exceeded the mPEC-based estimates for bMOA (MECs and 411 mPECs could be compared for 13 bMOA), they do so to a lesser degree than was seen for 412 individual APIs. The ratio was less than three for all 13 bMOA categories except 'reproductive 413 hormone modulator', for which the ratio was 47. The exposure rate for the bMOA 'reproductive 414 hormone modulator' is reduced to 68 DPD, and the MEC/mPEC ratio is reduced to 19 if the 415 highest EE2 MEC is adjusted to 6 ng/L (see section 3.3 for rationale). The exposure rate for this 416 bMOA is reduced to 2.2 DPD, and the MEC/mPEC ratio is reduced to 0.86 when mestranol and 417 norethindrone are also deleted (we could not adjust these to the next highest value, as other 418 reported measurements for these APIs are non-detects) from the analysis. 419 The ratio of MEC-based estimates to mPEC-based estimates for nMOA (MECs and 420 mPECs could be compared for 38 nMOA; Table 6 shows the 12 nMOA with the highest 421 MEC/mPEC ratio) was more variable, often approaching the ratio seen for individual APIs. This 422 may be explained by the smaller number of APIs being averaged into each nMOA mPEC, 423 compared to the larger bMOA categories. Estimated maximum cumulative exposure along each 424 nMOA was less than four DPD for all 40 nMOA categories with MEC data, except estrogens 425 (159 DPD) and progestins (six DPD). Adjusting EE2 MECs to 6 ng/L and deleting mestranol 426 along with norethindrone results in exposure rates for estrogens of 2.2 DPD, and progestin

427 exposure rates of 0.022 DPD. MEC/mPEC ratios after these adjustments are 0.90 for estrogens,428 and 0.16 for progestins.

429	Pearson's <i>r</i> between log-transformed MECs and mPECs (expressed as DPD) for the 13
430	bMOA which include APIs with both MECs and mPECs was significantly greater than zero
431	(one-sided p-value <0.003), and the central estimate suggested a stronger association (sample
432	r=0.73, with a 95% confidence interval for the population value of <i>r</i> being 0.41-0.86) than the
433	mPEC:MEC association seen for individual APIs. Pearson's r between log-transformed MECs
434	and mPECs for the 38 nMOA which include API with both MECs and mPECs was significantly
435	greater than zero (one-sided p-value <0.0001), with the central estimate (sample r=0.57, with a
436	95% confidence interval of 0.34-0.70) falling between the mPEC:MEC association seen for
437	bMOA and that seen for individual API.
438	
439	4. Conclusions.
440	
441	Examination of the ARCOS database suggests previously published (Kostich and Lazorchak,
442	2008) marketing data-based national average mPECs exceed regulatory data-based estimates,
443	corroborating the intended conservative nature of the marketing data-based estimates. Analysis
444	of ARCOS spatially explicit usage data for 12 APIs, along with CWNS data on local wastewater
445	production rates, suggests local PECs may on occasion exceed national average PECs by about
446	10-fold. Multiplying national average marketing data-based PECs by an 'application factor' of 10
447	and comparing the resulting predicted maximum local PECs to published MEC data for 83 APIs
448	corroborates the usefulness of the adjusted mPECs as a reasonable ceiling for measured
449	environmental concentrations.

451 Acknowledgements

453	The United States Environmental Protection Agency through its Office of Research and
454	Development funded and managed the research described here. It has been subjected to Agency
455	review and approved for publication. The authors are grateful to Christian Daughton, Karen
456	Blocksom, Slacker Boozeman, and John Martinson for constructive comments on the
457	manuscript.
458	
459	REFERENCES
460	
461	Barber LB, Brown GK, Zaugg SD. (2002) In Analysis of Environmental Endocrine
462	Disruptors; Keith LH, Jones-Lepp TL, Needham LL, Eds.; ACS Symposium Series
463	747; American Chemical Society: Washington, DC; pp 97-123.
464	Daughton CG, Ternes TA. (1999) Pharmaceuticals and personal care products in the
465	environment: agents of subtle change? Environ Health Perspect; 107(Supplement 6):
466	907–38.
467	Davison AC, Hinkley DV. (1997) Bootstrap Methods and Their Applications. Cambridge
468	University Press: Cambridge; pp 202-211.
469	Doig, I, Cordy C. (2004) A review of controlled substances. Med Health, RI; 87(6):186-8.
470	Ericson JF, Laenge R, Sullivan DE. (2002). Comment on "Pharmaceuticals, Hormones, and
471	Other Organic Wastewater Contaminants in U.S. Streams, 1999–2000: A National
472	Reconnaissance". Environ Sci Technol; 36(18), 4005-4006.

- 473 Fent K, Weston AA, Caminada D. (2006) Ecotoxicology of human pharmaceuticals. Aquat
 474 Toxicol; 76(2):122–59.
- 475 Gros M, Petrovic M, Barcelo D. (2006) Multi-residue analytical methods using LC-tandem MS
- 476 for the determination of pharmaceuticals in environmental and wastewater samples: a
 477 review. Anal Bioanal Chem; 386: 941–952.
- 478 Hignite C, Azaznoff DL. (1977) Drugs and drug metabolites as environmental contaminants:
 479 chlorophenoxyisobutyrate and salicylic acid in sewage water effluent. Life Sci; 20:
 480 337-342.
- 481 Kolpin DW, Furlong ET, Meyer MT, Thurman EM, Zaugg SD, Barber LB, Buxton HT. (2002a)
- 482 Pharmaceuticals, hormones, and other organic wastewater contaminants in U.S. streams,
 483 1999-2000: a national reconnaissance. Environ Sci Technol; 36(6):1202-11.
- 484 Kolpin DW, Furlong ET, Meyer MT, Thurman EM, Zaugg SD, Barber LB, Buxton HT. (2002b)
- 485 Response to comment on "Pharmaceuticals, hormones, and other organic wastewater
- 486 contaminants in U.S. streams, 1999-2000: A national reconnaissance". Environ Sci
- 487 Technol; 36(18):4007-8.
- 488 Kostich MS, Lazorchak JM. (2008) Risks to aquatic organisms posed by human pharmaceutical
 489 use. Sci Total Environ; 389(2-3):329-39.
- 490 R Development Core Team (2008). R: <u>A language and environment for statistical computing</u>. R
- 491 Foundation for Statistical Computing: Vienna, Austria. URL http://www.R-project.org.
- 492 Richardson ML, Bowron JM. (1985) The fate of pharmaceutical chemicals in the aquatic
- 493 environment. J Pharm Pharmacol; 37(1):1–12.
- 494 Sinnott RW. (1984) Virtues of the Haversine. Sky and Telescope; 68 (2):159-170.
- 495 Venables, WN, Ripley BD. (2002) Modern Applied Statistics with S. Fourth edition.

496 Springer: New York, New York, USA.

- 497 National Drug Intelligence Center. (2005) <u>Methamphetamine drug threat assessment</u>. Product
- 498 No. 2005-Q0317-009. Johnstown, PA, USA: US Department of Justice: Johnstown, PA,
- 499 USA.
- 500 US Census Bureau. (2000) Year 2000 US Census Zip-Code Tabulation Areas. Accessed

501 September 17, 2008. <<u>http://www.census.gov/tiger/tms/gazetteer/zcta5.txt</u>>.

- 502 US DEA. (2004) Automation of Reports and Consolidated Orders System. Accessed July 15,
- 503 2008. <http://www.deadiversion.usdoj.gov/arcos/retail_drug_summary/index.html>.
- 504 US EPA. (2004) Clean Watersheds Needs Survey. Accessed September 24, 2008.
- 505 http://www.epa.gov/own/mtb/cwns/2004rtc/toc.htm>.
- 506 US FDA. (2009) Approved drug products with therapeutic equivalence evaluations. 29th edition.
- 507 US Department of Health and Human Services: Washington, DC, USA.
- 508
- 509
- 510
- 511
- 512
- 513
- 514
- 515
- 516
- 517
- 518

API	Market rank	Market kg/yr	ARCOS kg/yr	Ratio	DDmin mg	Market DPD	ARCOS DPD
Fentanyl	108	463	371	1.2	0.29	0.17	0.14
Methylphenidate	63	34988	14053	2.5	10	0.38	0.15
Hydromorphone	186	1825	655	2.8	4	0.049	0.018
Oxycodone	51	86660	29178	3.0	20	0.47	0.16
Methadone	157	14875	4730	3.1	20	0.080	0.025
Amphetamine	32	32839	6485	5.1	5	0.71	0.14
Hydrocodone	7	177184	24082	7.4	5	3.8	0.52
Morphine	60	108786	14319	7.6	30	0.39	0.051
Codeine	69	274219	20265	13.5	90	0.33	0.024

523	Table 1. Comparing national average PECs. Marketing data-based mPECs compared to
524	ARCOS-based aPECs. Market rank is based on mPECs expressed as days per dose (DPD). Kg/yr
525	is the nationwide mass of API dispensed annually, estimated from marketing data or ARCOS.
526	Ratio is the ratio of the marketing data-based estimate to the ARCOS-based estimate. DDmin is
527	the minimum daily therapeutic dose.
528	
529	
530	
531	
532	
533	
534	
535	
536	

API	50%	75%	90%	95%	99%	ARCOS ng/L	ARCOS DPD	Market DPD	MEC DPD
Amphetamine	0.94	1.49	2.30	2.82	6.06	95	0.14	0.71	0.00044
Cocaine	1.02	1.94	3.10	4.10	7.24	0.96	0.00014		
Codeine	1.35	2.12	3.39	4.18	4.71	298	0.024	0.33	0.081
Fentanyl	1.11	1.64	2.28	2.85	4.69	5.45	0.14	0.17	
Hydrocodone	1.12	1.73	2.58	3.06	8.28	354	0.52	3.8	0.28
Hydromorphone	1.10	1.78	2.90	3.65	6.41	10	0.018	0.049	
Meperidine	0.85	1.34	2.45	3.37	5.78	71	0.0017		
Methadone	0.95	1.77	3.05	3.82	5.92	70	0.026	0.080	
Methamphetamine	1.09	2.66	5.15	6.11	9.73	0.18	0.00026		0.050
Methylphenidate	0.97	1.48	2.12	2.59	4.33	207	0.15	0.38	
Morphine	1.19	1.80	2.77	3.77	5.83	211	0.051	0.39	
Oxycodone	1.07	1.61	2.50	3.42	5.77	429	0.16	0.47	0.055
Sum(stim) N=4	0.97	1.49	2.19	2.70	5.18		0.29	1.1	
Sum(opiates) N=8	1.17	1.65	2.35	3.02	6.29		0.93	5.3	
Sum(all) N=12	1.14	1.66	2.17	2.86	5.10		1.2	6.4	

541 Table 2. PEC variability. PEC wastewater volume percentiles, relative to the national average
542 ARCOS-based aPEC. DPD is the national average ARCOS-based aPEC, marketing data-based
543 mPEC, or maximum MEC, expressed as doses per decade. The sums represent sums of DPD
544 across stimulants (stim), opiates, or all 12 APIs.
545
546
547
548
549
550

|--|

	MEC	mPEC	MEC/	MEC	mPEC	Sample
API	ng/L	ng/L	mPEC	DPD	DPD	count
ethinyl estradiol	273	6.7	41	100	2.4	495
ofloxacin	23500	2505	9.4	1.4	0.15	124
azithromycin	14900	1631	9.1	0.44	0.048	101
norethindrone	872	124	7.0	6.4	0.91	78
trimethoprim	37000	8934	4.1	1.7	0.41	995
atenolol	14200	4343	3.3	2.1	0.63	386
ciprofloxacin	5600	1908	2.9	0.082	0.028	538
warfarin	330	162	2.0	1.2	0.59	381
citalopram	600	327	1.8	0.22	0.12	22
naproxen	24600	16212	1.5	0.36	0.24	293
ibuprofen	68700	48001	1.4	2.5	1.8	1027
metformin	47253	36331	1.3	1.4	1.1	144
gemfibrozil	4770	4264	1.1	0.029	0.026	527
propranolol	1900	2075	0.9	0.46	0.50	117

Table 3. Top MEC/mPEC ratios. Highest reported MECs compared to mPECs. DPD is the

556 concentration expressed as doses per decade. Sample count is the number of samples on which

the maximum MEC is based.

566

	MEC	mPEC	MEC/	MEC	mPEC	Sample
API	ng/L	ng/L	mPEC	DPD	DPD	count
ethinyl estradiol	273	6.7	41	100	2.4	495
mestranol	407	NA	NA	59	NA	72
norethindrone	872	124	7.0	6.4	0.91	78
ibuprofen	68700	48001	1.4	2.5	1.8	1027
atenolol	14200	4343	3.3	2.1	0.63	386
hydrochlorothiazide	2950	13947	0.2	1.7	8.1	8
trimethoprim	37000	8934	4.1	1.7	0.41	995
metformin	47253	36331	1.3	1.4	1.1	144
ofloxacin	23500	2505	9.4	1.4	0.15	124
metoprolol	2269	7536	0.3	1.3	4.4	88
warfarin	330	162	2.0	1.2	0.59	381
betamethasone	25	93	0.3	0.73	2.7	8

Table 4. Top MEC by DPD. DPD is the concentration expressed as doses per decade. Sample

571 count is the number of samples on which the maximum MEC is based.

Broad	MEC	PEC1	MEC	mPEC1	mPEC2	MEC/	PEC1/
MOA	DPD	DPD	#API	#API	#API	mPEC1	mPEC2
anti-arthropod	0.0027	0.0034	1	1	1	0.79	1
anti-bacterial	3.8	1.6	26	14	32	2.3	0.84
anti-coagulant	1.2	0.59	1	1	5	2.0	0.8
anti-fungal	0.00066	NA	1	0	8	NA	NA
anti-helminthic	0.0013	NA	1	0	0	NA	NA
anti-hyperglycemic	2.2	3.3	3	3	6	0.66	0.85
anti-hypertensive	2.9	18	10	10	36	0.16	0.79
anti-inflammatory	4.4	13	14	10	30	0.33	0.91
bronchodilator	0.00044	0.63	1	1	1	0.001	1
decreases blood viscosity	0.000026	0.049	1	1	1	0.001	1
gastric antacid	0.042	0.35	2	2	9	0.12	0.3
h1 anti-histamine	0.034	0.75	2	2	11	0.046	0.39
lipid modifier	0.45	5.0	6	5	9	0.09	0.92
neurotransmitter modulator	5.8	21	34	30	105	0.28	0.74
reproductive hormone mod.	165	3.5	4	3	21	47	0.72

Table 5. Broad MOA. MECs and mPECs were expressed as doses per decade (DPD) and

summed within broadly defined MOA. mPEC1 represents the sum of DPD across API belonging

to the MOA that have both MECs and mPECs. mPEC2 represents the sum of DPD across API

belonging to the MOA that have mPECs, but may or may not have MECs. 'MEC #API' is the

number of API within the MOA that have MECs. 'mPEC1 #API' is the number of API

represented by mPEC1. 'mPEC2 #API' is the number of APIs on which mPEC2 is based. All

592 broad MOA with MECs are shown.

593

594

595

582

583

Narrow	MEC	mPEC1	MEC	mPEC1	mPEC2	MEC/	PEC1/
MOA	DPD	DPD	#API	#API	#API	mPEC1	mPEC2
estrogen	159	2.4	2	1	1	65	1
quinolone	1.5	0.17	4	2	4	8.4	0.95
progestin	6.4	1.0	2	2	10	6.1	0.5
macrolide	0.47	0.087	3	3	3	5.4	1
folate synthesis inhibitor	1.7	0.74	4	2	2	2.3	1
anti-clotting factor	1.2	0.59	1	1	2	2.0	0.98
pkaa activator	1.4	1.1	1	1	1	1.3	1
tetracycline	0.090	0.094	5	3	3	0.96	1
nsaid	3.6	4.1	9	5	10	0.88	0.97
beta-blocker (adrenergic)	0.54	0.63	3	3	5	0.85	0.66
anti-arthropod	0.0027	0.0034	1	1	1	0.79	1
beta-1-blocker (adrenergic)	3.4	5.0	2	2	3	0.68	0.95

Table 6. Top narrow MOA ratios. MECs and mPECs were expressed as doses per decade

601 (DPD) and summed within narrowly defined MOA. mPEC1 represents the sum of DPD across

API that belong to the MOA and that have both MECs and mPECs. mPEC2 represents the sum

603 of DPD across API belonging to the MOA that have mPECs. MEC #API is the number of API

604 within the MOA that have MECs. mPEC1 #API is the number of API on which mPEC1 is based.

605 mPEC2 #API is the number of APIs on which mPEC2 is based. The top 12 of 38 narrow MOA

606 (by MEC/mPEC1) are shown.

612	Supporting Information Available.
613	
614	Appendix 1. Maximum measured concentrations of API from 62 peer-reviewed studies.
615	
616	Appendix 2. Comparing maximum measured concentrations with marketing data-based
617	predicted concentrations.
618	
619	Appendix 3. Literature references cited in Appendix 1.