1 2	Analysis of inflammatory cytokines in human blood, breath condensate, and urine using a multiplex immunoassay platform
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14 15	ABSTRACT
16	A change in the expression of cytokines in human biological media indicates an
17	inflammatory response to external stressors and reflects an early step along the adverse outcome
18	pathway (AOP) for various health endpoints. To characterize and interpret this inflammatory
19	response, methodology was developed for measuring a suite of 10 different cytokines in human
20	blood, exhaled breath condensate (EBC), and urine using an electrochemiluminescent multiplex
21	Th1/Th2 cytokine immunoassay platform. Measurement distributions and correlations for eight
22	interleukins (IL) (1 $\beta$ , 2, 4, 5, 8, 10, 12p70 and 13), interferon- $\gamma$ (IFN- $\gamma$ ), and tumor necrosis
23	factor- $\alpha$ (TNF- $\alpha$ ) were evaluated using 90 blood plasma, 77 EBC, and 400 urine samples
24	collected from nominally healthy adults subjects in North Carolina in 2008-2012. The in
25	vivo results show that there is sufficient sensitivity for characterizing all 10 cytokines at levels of
26	0.05-0.10 $\rho$ g/ml with a dynamic range up to 100 ng/ml across all three of these biological
27	media. The measured <i>in vivo</i> results also show that the duplicate analysis of blood, EBC and
28	urine samples have average estimated fold ranges of 2.21, 3.49, and 2.50, respectively, which are
29	similar to the mean estimated fold range (2.88) for the lowest concentration ( $0.610\rho g/ml$ ) from a
30	series of spiked control samples; the cytokine method can be used for all three biological media.
31	Nine out of the 10 cytokines measured in EBC were highly correlated within one another with

Spearman ρ coefficients ranging from 0.679 to 0.852, while the cytokines measured in blood had
a mix of negative and positive correlations, ranging from -0.620 to 0.836. Nearly all of the
correlations between EBC and blood were positive. This work also represents the first
successful within- and between-person evaluation of ultra trace-level inflammatory markers in
blood, EBC, and urine.

#### **37 INTRODUCTION**

38 Random intermittent environmental exposures or chronic low-level exposures can 39 produce subtle biological responses in humans that are generally difficult to quantify due to the 40 complexity of biological matrices and the variability in responses to environmental exposures 41 within and between individuals (Pleil, 2009, Pleil and Sobus, 2013). Observation of such 42 responses or perturbations in humans, however, is necessary to provide direct empirical evidence 43 of the initiation or progression of an adverse outcome pathway (AOP) (Ankley et al., 2010, 44 Vinken, 2013, Pleil and Sheldon, 2011). An AOP, in the context of human environmental 45 exposure and risk assessment, can be described as the process by which an exogenous 46 environmental stressor interacts with a receptor at the molecular level, thus initiating a sequence 47 of events that can eventually lead to an adverse health effect (Ankley et al., 2010). 48 Understanding the linkages between environmental exposures and adverse health effects requires 49 quantitative knowledge of exposure, the interaction of exposure and molecular targets, and the 50 progression of key events along the AOP. Biomarkers measurements are perhaps the best way to 51 simultaneously evaluate each of these events and processes, and to link *in vitro* experimental 52 results with *in vivo* outcomes and systemic effects (NRC, 2012, Pleil et al., 2012, Pleil, 2012). 53 Therefore, the discovery and identification of meaningful biomarkers and appropriate analytical 54 methodologies for quantifying these biomarkers in targeted studies are important for 55 understanding exposure-health effect linkages (Pleil and Stiegel, 2013).

56	The human inflammatory response has been studied in detail as an initial biological
57	response to all types of external influences, including physical injury, psychological stress,
58	environmental chemicals, bacteria, and viruses, and thus plays an important part in exposure-
59	response mechanisms or AOPs (Koh et al., 2008, Dybing et al., 2004, Duramad et al., 2007,
60	Selgrade et al., 2006, Spatari et al., 2013, Nakamura et al., 2014). Scientific evidence suggests
61	that inflammation has been directly associated with a large number of adverse health outcomes,
62	including cancer, cardiovascular disease, and autoimmune disease (Elenkov and Chrousos, 1999,
63	Murase et al., 2013, Campbell, 2004, Kampa and Castanas, 2008, Goldbergova et al., 2012).
64	Furthermore, research has shown that inflammation related to environmental exposures can
65	create or exacerbate cardiopulmonary health effects such as asthma and chronic obstructive
66	pulmonary disease (COPD) (Devlin et al., 2012, Devlin et al., 1991, Salvi et al., 1999, Pope et
67	al., 2004). To date, most studies of inflammatory responses to environmental exposure focus on
68	human populations that are already considered "unhealthy" or "at risk" so ultra-sensitive
69	methods for quantifying inflammation have been considered unnecessary.
70	Current in vitro and in vivo research investigating links between inflammatory responses
71	and health effects often focus on a very selective group of proteins, primarily chosen based on
72	the anticipated location of the inflammatory response (Candela et al., 1998, Bonisch et al., 2012,
73	Ghoniem et al., 2011, Robroeks et al., 2006). Cytokines are a large group of intercellular
74	signaling proteins that play an important role in the innate and the adaptive human immune
75	response to stressors. They have a vast range of responsibilities, including: recruitment of cells
76	of the immune systems in response to an exogenous/endogenous antigen, cell growth and
77	differentiation, and initiating or suppressing an inflammatory response (Chung and Barnes,
78	1999). The majority of research into pro- and anti-inflammatory cytokines focuses on their

expression from T helper cell Type 1 (Th1), T helper cell Type 2 (Th2), and macrophages
(Chung and Barnes, 1999, Boonpiyathad et al., 2013, Cannas et al., 2010, Cousins et al., 2002,
Elenkov and Chrousos, 1999, Sack et al., 2006). Unlike hormones, which are loosely
categorized into the other class of signaling molecules in the body, cytokines are usually
expressed in localized areas and are rarely stored, meaning that their expression is exclusively
linked as a response to specific stimuli.

85 In the past, and still to some extent, the choice of which cytokine to study was often 86 limited by methodological constraints, including: lack of specificity due to competitive antibody 87 binding, time/cost constraints associated with the need to run multiple singleplex ELISAs for 88 different biomarkers, and matrix interferences from the selected biological media (blood, 89 cerebrospinal fluid, urine, etc.) (Elshal and McCoy, 2006, Malekzadeh et al., 2012). The 90 development of very specific antibodies and multiplex and bead-based analytical techniques 91 have resolved issues with specificity but assay robustness has typically limited the use of the 92 analytical technique to one biological medium (Bomert et al., 2011, Chowdhury et al., 2009, 93 Tighe et al., 2013, van Bussel et al., 2013).

94 In human-based health effects research, blood is considered the "gold standard" 95 biological fluid of choice. Consequently, there is considerably less knowledge of cytokine 96 expression in other human biological media, especially when it pertains to environmental 97 exposures. In this study, 10 cytokines (interleukins [1β, 2, 4, 5, 8, 10, 12p70 and 13], interferon-98  $\gamma$  (IFN- $\gamma$ ), and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )) are investigated in three human biological 99 media: plasma, EBC, and urine. These cytokines are primarily produced by Th1 cells, Th2 cells, 100 and macrophages and are associated with initiating (IL-1 $\beta$ , IL-2, IL-8, IL-12p70, IFN- $\gamma$ , and 101 TNF-  $\alpha$ ) or suppressing (IL-4, IL-5, IL-10, IL-13) an inflammatory response (Chung and Barnes,

102	1999). The majority of literature values for "control" samples in case-control studies show that
103	each individual cytokine, as sampled in blood, EBC, or urine, are either near or below the
104	methodological limit of detection (~1-10 $\rho$ g/mL) or are below this nominal value and are
105	generally not reported (Sanchez Perez et al., 2006, Ostrowski et al., 1998, Jacobs et al., 2001,
106	Matsunaga et al., 2006). An analytical method that can simultaneously measure each cytokine in
107	plasma, EBC, and urine at a level of detection sensitive enough to address subtle biological
108	changes clearly would enhance the prospect of successfully linking them to health effects. This
109	study uses an existing ultra-sensitive multiplex (Human Th1/Th2 Cytokine 10-Plex Assay) kit
110	from Meso Scale Discovery (Gaithersburg, MD) that is designed for human plasma/serum and
111	applies it to blood, EBC and urine samples from a nominally healthy group of adult volunteers
112	(Bastarache et al., 2014). The work presented here is novel as it describes analytical methods,
113	measurement distributions, and correlations across 10 cytokines in three different biological
114	media. All of these steps are critical for evaluating chemical interactions at a cellular level,
115	resulting biological responses, and potential downstream health effects. The cytokine analyses
116	were completed in distinct phases to address the following objectives:
117 118 119 120	1. Determine whether cytokine markers can be detected in human biological samples from nominally healthy volunteers, and whether method modifications are needed to improve detection.
120 121 122	2. Examine cytokine measurement reproducibility for each of the biological media.
122 123 124 125	3. Describe cytokine measurement distributions, and investigate pairwise correlations within and across biological media.
126	METHODS
127 128	2.1 Sample Collection All biological specimens were collected with informed consent from healthy human adult
129	volunteers at the United States Environmental Protection Agency's (US EPA) Human Studies
130	Facility in Chapel Hill, North Carolina. The study protocol and procedures were reviewed and

131	approved by the University of North Carolina at Chapel Hill's Institutional Review Board and
132	the EPA's Human Subjects Approving Official. A total of 90 whole blood samples and 77
133	exhaled breath condensate samples were collected from 15 adult volunteers (ages 23-37 years
134	old) participating in an environmental exposure assessment study conducted at the Human
135	Studies Facility in Chapel Hill, NC (IRB Study #: 09-1344). This was a broad human exposure
136	study (of diesel exhaust and ozone) and so for the methods development effort, only a subset of
137	pre-exposure and sham (clean air samples) was used. Urine samples were also collected from
138	this cohort but only a limited number (n=18, from 4 subjects) were useful for the direct
139	comparisons to blood and EBC due to logistics; sample selection was limited to the "pre-
140	exposure" arm of the study and by the (unpredictable) timing of urine collection. As such, this
141	data set was supplemented with a larger and more comprehensive sample set of an earlier study
142	that included 382 spot urine samples collected from 29 adult volunteers, ages 19-50 years old, in
143	2009-2011 (IRB Study #: 09-0741). The addition of these samples allowed for a more
144	comprehensive investigation of the methodological parameters and distributions of cytokines in
145	urine.
146	We note that all of the samples (blood, breath, and urine) used for this work were treated
147	as temporally independent because they were collected at widely disparate times (from pre-
148	exposures and shams that were weeks apart for the 15-subject study and randomly stratified urine
149	samples from the 29-subject study which took place over 1-year).
150 151	2.2 Blood Six blood samples were collected per subject, in 10mL Vacutainer® (Becton, Dickinson
152	and Company, Franklin Lakes, New Jersey) collection tubes containing EDTA. The tubes were
153	centrifuged at $\geq$ 1300 RCF, and the separated blood fractions were aliquotted into individual 2mL

154 polypropylene - vials (Corning Incorporated, Corning, NY), and then frozen at  $\leq$ -80°C until 155 analysis.

#### 156 **2.3 Exhaled Breath Condensate**

157Six EBC samples per subject were collected using a RTubeTM (Respiratory Research,158Inc., Austin, Texas) exhaled breath condensate collector using the method described in Pleil et al.159(2008). After collection, the volume of each sample was measured, placed in a 2mL160polypropylene vial (Corning Incorporated, Corning, NY), and then frozen at  $\leq$ -80°C until161analysis.

# 162 **2.4 Urine**

163 A total of 400 spot urine samples were collected from 33 adults across the two studies.

164 (Study 1: 18 samples from 4 subjects; Study 2: 382 samples from 29 subjects). For Study 1,

samples were collected pre-, post- and 24-hr post exposure when physiologically available, and

166 selected to match pre-exposure blood and breath samples. For Study 2, samples were collected

167 over a period of 1 year, for 50 subjects (each individual sampled within a 6-week period),

resulting in a total ~3,000 samples from which the 382 samples were randomly selected. Urine

169 voids were individually collected in individual 1L high-density polyethylene containers (Chase

170 Scientific Glass, Inc., Rockwood, TN). An 8mL-aliquot of each sample was transferred into a

171 10ml polypropylene vial (Corning Incorporated, Corning, NY) and immediately frozen at ≤-

172 20°C until analysis. The random selection procedures served to minimize temporal auto-

173 correlation.

## 174 **2.5 Analysis**

175 The ten cytokines of interest to this study were analyzed using a Meso Scale Discovery

176 (MSD) multiplex electrochemiluminescent immunoassay system and SECTOR Imager 2400.

177 The panel, Human Th1/Th2 10-plex Ultra-Sensitive Kit, was designed by MSD to analyze the

178 following human biomarkers in each well: interleukins  $1\beta$ , 2, 4, 5, 8, 10, 12p70 and 13; IFN- $\gamma$ ;

179 and TNF-  $\alpha$ . The analysis method is described in more detail elsewhere, but briefly it proceeded 180 as follows (Meso Scale Discovery, 2012). The 96-well plates were supplied by MSD in a 181 prepared format and were ready to use without further preparation. Reagents for the assay were 182 prepared according to the given procedure in the MSD literature. Prior to analysis, all samples 183 were removed from -80°C storage, thawed in an ice bath for 30-45 minutes, and then vortexed 184 for five seconds to achieve uniform consistency.

185 The calibration standards for the immunoassay were supplied in a single mix at 2500 186 pg/mL and required a series of 4-fold dilutions to achieve an 8-point standard curve. Twenty-187 five  $\mu$ L of a proprietary diluent were added to each of the 96-well plates, and the plates were 188 sealed and incubated at room temperature (approximately 22.2°C) for 30 minutes at 1000 rpm. 189 Next, duplicate 25 µL aliquots of each calibration standard (a total of 16 wells) and 80 individual 190 samples were then added to the plate. The 96-well plate was sealed and incubated at room 191 temperature for two hours at 1000 rpm. Then, the plate was washed three times with a phosphate 192 buffered saline-0.05% Tween (PBS-T) solution. Twenty-five uL of a detection antibody solution 193 was added into each of the 96 wells followed by sealing and incubating the plate for an 194 additional two hours at 1000rpm. The plate was washed three times with PBS-T and 150  $\mu$ L of 195 Read Buffer was added to each well. Finally, the plate was analyzed on a MSD SECTOR 196 Imager 2400.

197

**2.6 Methods Development** 

198 The methods development work proceeded in three stages. First, human blood plasma 199 samples (n=13) and spiked method blanks (n=84, 12 repeated spikes at each of the 7)200 concentrations) were analyzed using the existing MSD methodology. The results from this 201 analysis were a set of methodological parameter estimates (lower limit of quantitation [LLOQ], 202 linear range, within- and between-plate variance, etc.) that were then used as a comparison

dataset for the other two biological media. LLOQs were defined as three times the standard deviation of the "zero" method blanks on a specific plate per each cytokine. Each 96-well plate that was analyzed had a different LLOQ for the respective cytokine. As such, the mean and standard error of the LLOQ were calculated for each cytokine and the % <LLOQ was then determined using the plate-specific LLOQ values.

208 In the second stage of our analysis, a selection of EBC (n=24) and urine (n=18) samples 209 was analyzed using the existing method to determine if the immunochemistry was compatible 210 between different biological media, to assure that the samples were pre-processed appropriately 211 and fit into the associated concentrations of the calibration curve, and to determine if the MSD 212 SECTOR Imager 2400 could identify the 10 target cytokines. Success in this stage was 213 measured by the presence or lack of cytokines in EBC and urine. In a separate experiment, we 214 analyzed an additional 77 plasma samples, 53 EBC samples, and 382 urine samples; these 215 measurements were combined with those from the earlier experiment to allow a comprehensive 216 statistical analysis.

#### 217 **2.8 Statistical Analysis**

218 **2.8.1 Model Development** 

219 The MSD DISCOVERY WORKBENCH® analysis software was used to estimate the 220 concentration data for each target cytokine. These data are based on an internally calculated 221 signal-concentration 4-paramter logistic calibration curve. When a sample's signal is below the 222 instrument derived LLOQ the software will frequently report the signal but not a calculated 223 concentration. We developed a series of 5-parameter logistic (5pl) models for each target 224 cytokine to interpret these low-end signal values (Richards, 1959). Fitting the empirical data 225 with this approach allowed us to extend the original calibration curve to make robust estimates 226 between "zero" and the nominal (instrument derived) LLOQ.

#### 227 **2.8.2** Calibration and Sensitivity

228 The 5pl models were constructed for each cytokine per individual plate using the 229 calibration standards from that analytical run, and then applied to the study samples on that 230 respective plate (GraphPad Prism version 6.0, GraphPad Software, La Jolla, CA). Each LLOQ 231 for a specific cytokine, in an individual analysis, was established during the model construction. 232 Sample detections comparing the original calibration model to the 5pl model were also 233 calculated during this stage using the initial set of 13, 24, and 18 blood, EBC, and urine samples, 234 respectively. The cytokine distribution percentiles for each biological media were estimated 235 using the Proc UNIVARIATE procedure of the SAS statistical software package version 9.3 236 (SAS Institute, Cary, NC, USA). 237 2.8.3 Correlations and Variance Estimates 238 An  $\alpha$ =0.05 criterion was used as the significance level for all statistical tests. Spearman 239 correlation coefficients were calculated in GraphPad for each cytokine measured in both blood 240 and EBC, but not urine, given that the majority of the urine sample-set was derived from another 241 sample cohort. Cytokine measurement distributions across all three biological media were 242 evaluated via visual inspection of quantile-quantile plots and Shapiro-Wilks tests for normality 243 (SAS Proc UNIVARIATE). All data were right-skewed and were natural log-transformed prior 244 to further analysis to satisfy normality assumptions. This is a standard statistical approach for 245 environmental and biological measurements (Pleil et al., 2014). 246 Two different sets of linear mixed-effect models (SAS Proc MIXED) were used to 247 investigate within- and between-plate variation across the spiked calibration samples (balanced 248 dataset), and within- and between-person variation across the repeated study samples (Rappaport 249 and Kupper, 2008). Given that the same sample was run multiple times on a single plate and 250 multiple times on different plates, the partitioning of spiked sample variance into these two

251 groupings helps determine if samples run on different plates are comparable to one another.

252 More within-plate than between-plate variance shows that measurement variance can be treated 253 as random error and that samples run on different plates are comparable.

254 
$$Y_{cij} = \ln(X_{cij}) = \mu_{Y_c} + b_{ci} + \varepsilon_{cij}$$
 (1.1)

255 The model used for spiked samples is given in equation 1.1, where c = spiked concentration of 0.610, 2.44, 9.80, 39.1, 156, 625, or 2500 pg/mL; i = plate 1, 2, 3, 4, 5, 6, or 7 for the  $c^{\text{th}}$ 

concentration; i = measurement 1 or 2, on the *i*<sup>th</sup> plate, for the *c*<sup>th</sup> concentration. Here,  $X_{cii}$ 257

258 represents the concentration of a cytokine ( $\rho g/mL$ ) from the  $i^{th}$  measurement, on the  $i^{th}$  plate, at

the  $c^{\text{th}}$  concentration, and  $Y_{\text{cij}}$  is the natural logarithm of the measurement  $X_{\text{cij}}$ . The coefficients 259

260 
$$\mu_{Y_h}$$
,  $b_{ci}$ , and  $\varepsilon_{cij}$  represent, respectively, the true (logged) mean cytokine level at the  $c^{\text{th}}$ 

concentration, the random effect of the  $i^{th}$  plate at the  $c^{th}$  concentration, and the random-error 261

effect of the  $j^{th}$  measurement, on the  $i^{th}$  plate, at the  $c^{th}$  concentration. It is assumed that  $b_{ci}$  and 262

 $\mathcal{E}_{cij}$  are independent random variables with means of 0 and variances of  $\sigma_{bY_c}^2$  and  $\sigma_{wY_c}^2$ , 263

264 representing the between- and within-plate variance, respectively, at each concentration, c.

265 Duplicate study samples (n=28, 18, and 54 duplicates for blood, EBC and urine,

266 respectively) were investigated using SAS Proc NESTED to estimate the proportion of

267 measurement error that could be attributed to biological matrix (i.e. blood, EBC or urine) effects;

268 matrix effects were not examined using spiked samples, since spikes were prepared using a

269 PBS/BSA solution.

256

270 
$$Y_{\text{B}ij} = \ln(X_{\text{B}ij}) = \mu_{Y_{\text{B}}} + b_{\text{B}i} + \varepsilon_{\text{B}ij}$$
 (1.2)  
271 Equation 1.2, similar to equation 1.1 but with "B" biological medium instead of "c"

272 concentration, was used to evaluate the study samples, specifically: B = biological medium 1

(blood), 2 (EBC), or 3 (urine);  $i = 1, 2, ..., n_B$  individuals for the B<sup>th</sup> biological medium; and j =273

1, 2, ...,  $n_{Bi}$  measurements of a particular cytokine from the *i*<sup>th</sup> individual, for the B<sup>th</sup> biological medium. Here, it is assumed that  $b_{Bi}$  and  $\varepsilon_{Bij}$  are independent random variables with means of 0, and  $\sigma_{bY_B}^2$  and  $\sigma_{wY_B}^2$  represent the between- and within-person variance, respectively, for each of the biological media, B. Partitioning of the variance within- and between- persons helps determine whether the variance across the distribution for a specific cytokine (and a specific biological medium) is more closely related to an individual or to the group.

280 Restricted maximum likelihood estimates of variance components (between- and within-281 plate for spiked samples, and between- and within-person for study samples) were used to 282 calculate estimated 95% fold-ranges and intraclass correlation coefficients (ICC). Estimated 283 fold-ranges ( $\hat{R}_{0.95}$ ) were calculated for each variance component result from the spiked 284 calibration sample analysis (using Equation 2.1), duplicate study sample analysis (using 285 Equation 2.2), and repeated study sample analysis (using Equation 2.3). The fold-range 286 estimates used here are a scale-independent way of comparing the central 95% of the 287 measurement data, thus making it easier to compare estimates across analytes and media 288 (Rappaport and Kupper, 2008).

289  $_{Y}\hat{R}_{0.95c} = e^{3.92\sqrt{\hat{\sigma}_{bYc}^2 + \hat{\sigma}_{wYc}^2}}$  (2.1) 290 For the spiked calibration samples, Equation 2.1 was used, where,  $_{Y}\hat{R}_{0.95c}$  is the estimated overall 291 95% fold-range and  $\hat{\sigma}_{bYc}^2$  and  $\hat{\sigma}_{wYc}^2$  represent the estimated between- and within-plate variance 292 components for each spiked concentration, *c*.

293  $_{\rm w}\hat{R}_{0.95B} = e^{3.92\sqrt{\hat{\sigma}_{WYB}^2}}$  (2.2) 294 For the duplicate study samples, Equation 2.2 was used, where,  $_{\rm w}\hat{R}_{0.95B}$  is the estimated within 295 sample 95% fold-range and  $\hat{\sigma}_{WYB}^2$  represents the estimated within-person variance component for 296 each biological medium. Here, "duplicate" is defined as the same sample that was analyzed two297 different times.

 ${}_{\mathrm{Y}}\hat{R}_{0.95B} = e^{3.92\sqrt{\hat{\sigma}_{bYB}^2 + \hat{\sigma}_{wYB}^2}}$ 298 (2.3)For the repeated study samples, Equation 2.3 was used, where,  $Y\hat{R}_{0.95B}$  is the estimated overall 299 95% fold-range and  $\hat{\sigma}_{bY_B}^2$  and  $\hat{\sigma}_{wY_B}^2$  represent the estimated between- and within-person variance 300 301 components for each biological medium. 302 Estimated ICCs ( $\hat{\rho}$ ) were calculated for the spiked calibration samples (Equation 2.4) and 303 the study samples (Equation 2.5) using the following formulas:  $\hat{\rho}_{c} = \frac{\hat{\sigma}_{bY_{c}}^{2}}{\hat{\sigma}_{bY_{c}}^{2} + \hat{\sigma}_{wY_{c}}^{2}}$ 304 (2.4)305  $\hat{\rho}_B = \frac{\hat{\sigma}_{bY_B}^2}{\hat{\sigma}_{bY_B}^2 + \hat{\sigma}_{wY_B}^2}$ 306 (2.5)MATLAB version 8.2 (R2013b, Mathworks, Natick, MA) and GraphPad were used for 307

308 graphical representations.

## 309 **RESULTS**

311

310 **Objective 1-** Detection of cytokines in three biological media

312 the respective LLOQs using either the instrument-derived software ("Original") or a 5pl model 313 ("New). The majority of the cytokines in each biological medium have  $\geq 80\%$  of samples that 314 are above the LLOQ when using the original instrument output. Results show increases in the 315 percentage of samples above the LLOQ using the 5pl model, thus confirming that there is an 316 improvement in overall system sensitivity. The EBC samples have less of an increase in the 317 percentage of samples above the LLOQ when compared to the results from the blood or urine 318 samples. Investigating this difference shows that a large percentage of the EBC samples had 319 responses similar to those of the blank calibration points; this is not unexpected as EBC is a very 320 dilute biological fluid. Overall, the use of the 5pl model increased the percentage of samples

Table 1 shows the percentage of individual study sample cytokine levels that are above

- 321 above the LLOQ by 9.24%, 7.92%, and 9.39% for the blood, EBC and urine samples,
- 322 respectively.

323	Table 1: Comparison of the Percentage of Samples above the nominal LLOQs between the
324	Original Output and the "New" 5pl Model

	Bloo	d <sup>1</sup>	EBC	$\mathbb{C}^2$	Urine <sup>3</sup>		
Cytokine	Original	New	Original	New	Original	New	
IL-1β	100	100	87.5	95.8	100	100	
IL-2	92.3	100	87.5	95.8	100	100	
IL-4	69.2	100	79.2	91.7	83.9	100	
IL-5	92.3	100	79.2	83.3	83.3	100	
IL-8	100	100	83.3	100	88.9	100	
IL-10	92.3	100	87.5	87.5	94.4	100	
IL-12p70	100	100	75.0	83.3	100	100	
IL-13	69.2	100	8.30	16.7	55.6	100	
IFN-γ	92.3	100	75.0	79.2	100	100	
TNF-α	100	100	83.3	91.7	100	100	

Original Output and the "New" 5pl Model

325 1:n=13, 2:n=24, 3:n=18

326

Figure 1 displays the total range and a "zoomed-in" view of the lower end of the calibration 327

328 curve, highlighting the additional quantitative data gained by using the 5pl model. The blue

329 circles are calculated sample concentrations based on the original output and the red circles are

330 additional sample concentrations achieved through the use of the 5pl model. This figure is a

331 typical example of the range of values for nominally healthy adult subjects. In this specific

332 example, eight additional sample concentrations were calculated based on the use of the 5pl

333 model.

334 **Figure 1**: IL-8 Calibration Curve for Urine Displaying the Additional Sample Concentrations Gained from using a 5pl Model. 335



336 337

## 338 **Objective 2-** Cytokine methodological parameters

339 **2.1** Overall fold-range ( $\gamma \hat{R}_{0.95c}$ ) and ICC ( $\hat{\rho}_c$ ) estimates, based on repeated measurements of spiked calibration samples, are given for individual cytokines in Table 2. Here, the fold range 340 341 estimates contain the central 95% of all cytokine measurements for the spiked samples at a given 342 concentration level and biological media. The ICCs display the portion of total measurement 343 variance that was observed between plates. These results were achieved using a prepared 344 standard solution, and unlike blood, EBC or urine, with their associated proteins, cells, etc., 345 should be indicative of a "best case" scenario where matrix-interferences play a minimal role in 346 cytokine quantitation.



	Concentration (pg/mL) <sup>a</sup>								
Cytokine	0.61	2.44	9.77	39.1	156	625	2500	Average	
IL-1β	3.77	1.52	1.20	1.10	1.33	1.23	1.40	1.65	
	(0.00)	(0.00)	(0.00)	(0.00)	(0.00)	(0.281)	(0.00)	(0.040)	
IL-2	2.28	1.46	1.30	1.12	1.24	1.16	1.57	1.45	
	(0.00)	(0.00)	(0.045)	(0.00)	(0.105)	(0.269)	(0.00)	(0.060)	
IL-4	3.19	1.69	1.50	1.26	1.44	1.14	1.38	1.66	
	(0.00)	(0.195)	(0.044)	(0.00)	(0.230)	(0.100)	(0.00)	(0.081)	
IL-5	1.21	1.24	1.23	1.09	1.27	1.13	1.13	1.19	
	(0.219)	(0.018)	(0.031)	(0.048)	(0.00)	(0.354)	(0.00)	(0.096)	
IL-8	2.08	1.37	1.28	1.11	1.31	1.16	1.34	1.38	
	(0.00)	(0.00)	(0.216)	(0.294)	(0.00)	(0.180)	(0.00)	(0.099)	
IL-10	2.19	1.48	1.25	1.13	1.42	1.12	1.66	1.46	
	(0.361)	(0.00)	(0.357)	(0.434)	(0.00)	(0.318)	(0.00)	(0.210)	
IL-12 p70	2.10	1.21	1.41	1.26	1.31	1.27	1.40	1.42	
	(0.00)	(0.135)	(0.00)	(0.00)	(0.030)	(0.380)	(0.00)	(0.078)	
IL-13	5.72	3.61	1.46	1.20	1.32	1.25	1.25	2.26	
	(0.00)	(0.00)	(0.132)	(0.211)	(0.042)	(0.285)	(0.00)	(0.096)	
INF-γ	3.53	1.32	1.19	1.15	1.35	1.17	1.31	1.57	
	(0.358)	(0.00)	(0.341)	(0.00)	(0.00)	(0.262)	(0.00)	(0.137)	
TNF-α	2.77	1.45	1.35	1.18	1.29	1.17	1.35	1.51	
	(0.225)	(0.00)	(0.370)	(0.410)	(0.00)	(0.151)	(0.00)	(0.165)	
Average	2.88	1.64	1.32	1.16	1.33	1.18	1.38		
	(0.116)	(0.035)	(0.153)	(0.40)	(0.041)	(0.258)	(0.00)		

349

a:  $_{Y}\hat{R}_{0.95c}(\hat{\rho}_{c})$ , all parameters were estimated using natural logged cytokine concentrations.

350

351

across the 10 cytokines and seven spiked concentrations. Fold range estimates generally

On average, the central 95% of measurements were observed within a 1.55 fold-range

increased as the spiked concentration decreased, with the lowest spiked concentration having the

largest overall FRs. The average  $\gamma \hat{R}_{0.95c}$  for the 10 cytokines at 0.610pg/mL is 2.88, where the

355 average fold ranges for the other six concentrations, in increasing concentration from 2.44-

356 2500pg/mL, are 1.64, 1.32, 1.16, 1.33, 1.18 and 1.38, respectively.

357 ICC estimates of 0.00 to 0.380 suggest that the majority of measurement variance (i.e.,

358 62-100%) was observed within-plate rather than between-plate. The ICC estimates do not

359 display an increasing or decreasing trend across the spiked concentrations. Furthermore, results

360 from the mixed models show no significant random plate effects across all cytokines and

361	concentrations ( $p \ge 0.18$ ).	Taken together, these	e results confirm that the variation	in
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362 measurements between plates can be treated as random error, and that samples analyzed across

363 different plate are directly comparable.

**2.2 Table 3** displays mean (±standard error) and fold-range  $({}_{w}\hat{R}_{0.95\beta})$  estimates associated with all

365 duplicate measurements of cytokines in blood, EBC, and urine. Fold-range estimates based on

- 366 biological media measurements were similar to those of the lowest spiked concentration (0.610
- 367 pg/mL [see Section 2.1]). The fold range estimates ranged from 1.36 to 4.29 for blood, from
- 368 2.12 to 4.96 for EBC, and from 0.935 to 38.7 for urine, while the lowest spiked concentration
- had fold ranges of 1.21 to 5.72. IL-13 had the largest fold-range for the blood and EBC samples,

370 while IL-4 had the largest fold-range for the urine samples. These results were expected given

that the majority of the sample concentrations (not shown) lie within a concentration range

372 spanning from the LLOQ-1.00pg/mL for the respective cytokines.

	1		Ŭ		1	
	Blood		EBC		Urine	
	$\mu \pm SEM^a$	$w \hat{R}_{0.95B}^{b}$	$\mu \pm SEM^a$	${}_{\mathrm{w}}\widehat{R}$ 0.95 ${}_{B}{}^{\mathrm{b}}$	$\mu \pm SEM^a$	${}_{\mathrm{w}}\widehat{R}_{0.95B}{}^{\mathrm{b}}$
IL-1β	1.51(0.515)	3.95	5.26(1.29)	2.82	1.74(0.226)	3.33
IL-2	0.127(0.012)	2.50	0.340(0.051)	4.96	0.935(0.208)	1.97
IL-4	0.380(0.047)	1.36	1.27(0.159)	3.39	1.54(0.046)	5.25
IL-5	0.639(0.256)	2.03	0.106(0.0121)	2.40	0.444(0.043)	2.41
IL-8	2.60(0.450)	1.50	3.67(2.89)	2.27	38.7(9.27)	2.10
IL-10	1.24(0.063)	1.56	1.12(0.082)	2.12	1.83(0.325)	2.30
IL-12 p70	0.448(0.028)	1.45	0.612(0.074)	3.35	1.20(0.032)	2.09
IL-13	1.32(0.108)	4.29	1.38(0.163)	4.77	2.03(0.045)	1.58
INF-γ	0.469(0.059)	1.75	0.386(0.051)	4.45	0.945(0.050)	2.28
TNF-α	2.14(0.118)	1.82	0.433(0.058)	4.34	0.974(0.058)	1.73

**Table 3**: Descriptive Statistics and Estimated Fold-Ranges for Duplicate Samples

374 a:  $\mu \pm \text{SEM}$  (mean  $\pm$  standard error of the mean), b: estimated within-sample fold range, parameters were estimated 375 using natural logged cytokine concentrations.



- 378 **3.1-** Descriptive statistics are given in Table 4a, b, and c for cytokines measured in blood plasma
- 379 (n=90), EBC (n=77), and urine (n=400) samples, respectively; individual cytokines are sorted
- 380 based on the percentage of samples above the LLOQ.

Cytokine	LLOQ <sup>a</sup>	%>LLOQ	Min	5%	25%	50%	75%	95%	Max
IL-8	0.056 (0.038)	100	0.980	1.15	1.50	1.97	2.67	3.86	41.7
TNF-α	0.064 (0.038)	100	1.06	1.29	1.44	1.73	2.49	3.73	8.77
IL-10	0.224 (0.060)	99.0	<lloq< td=""><td>0.486</td><td>0.746</td><td>1.26</td><td>1.59</td><td>2.31</td><td>3.23</td></lloq<>	0.486	0.746	1.26	1.59	2.31	3.23
IL-12 p70	0.197 (0.028)	95.2	<lloq< td=""><td>0.207</td><td>0.257</td><td>0.339</td><td>0.582</td><td>0.830</td><td>1.62</td></lloq<>	0.207	0.257	0.339	0.582	0.830	1.62
IL-5	0.055 (0.038)	94.3	<lloq< td=""><td><lloq< td=""><td>0.115</td><td>0.208</td><td>0.373</td><td>0.881</td><td>20.4</td></lloq<></td></lloq<>	<lloq< td=""><td>0.115</td><td>0.208</td><td>0.373</td><td>0.881</td><td>20.4</td></lloq<>	0.115	0.208	0.373	0.881	20.4
IL-4	0.228 (0.117)	81.0	<lloq< td=""><td><lloq< td=""><td>0.220</td><td>0.277</td><td>0.347</td><td>0.569</td><td>2.59</td></lloq<></td></lloq<>	<lloq< td=""><td>0.220</td><td>0.277</td><td>0.347</td><td>0.569</td><td>2.59</td></lloq<>	0.220	0.277	0.347	0.569	2.59
INF-γ	0.163 (0.104)	80.1	<lloq< td=""><td><lloq< td=""><td>0.085</td><td>0.305</td><td>0.649</td><td>1.51</td><td>3.01</td></lloq<></td></lloq<>	<lloq< td=""><td>0.085</td><td>0.305</td><td>0.649</td><td>1.51</td><td>3.01</td></lloq<>	0.085	0.305	0.649	1.51	3.01
IL-13	1.34 (0.845)	78.1	<lloq< td=""><td><lloq< td=""><td>0.220</td><td>1.62</td><td>2.04</td><td>2.75</td><td>4.38</td></lloq<></td></lloq<>	<lloq< td=""><td>0.220</td><td>1.62</td><td>2.04</td><td>2.75</td><td>4.38</td></lloq<>	0.220	1.62	2.04	2.75	4.38
IL-2	0.087 (0.068)	78.1	<lloq< td=""><td><lloq< td=""><td>0.047</td><td>0.093</td><td>0.189</td><td>0.262</td><td>0.633</td></lloq<></td></lloq<>	<lloq< td=""><td>0.047</td><td>0.093</td><td>0.189</td><td>0.262</td><td>0.633</td></lloq<>	0.047	0.093	0.189	0.262	0.633
IL-1β	0.511 (0.717)	73.3	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.160</td><td>1.56</td><td>3.38</td><td>40.3</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.160</td><td>1.56</td><td>3.38</td><td>40.3</td></lloq<></td></lloq<>	<lloq< td=""><td>0.160</td><td>1.56</td><td>3.38</td><td>40.3</td></lloq<>	0.160	1.56	3.38	40.3

Table 4a: Descriptive Statistics (pg/mL) for Cytokines in Plasma

a: mean (standard deviation)

	Table	4b:	Descri	ptive	Statistics	(og/mL)	) for C	vtokines	in Exh	aled Breat	h Condensate
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Cytokine	LLOQ <sup>a</sup>	%>LLOQ	Min	5%	25%	50%	75%	95%	Max
IL-10	0.143 (0.062)	93.5	<lloq< td=""><td><lloq< td=""><td>0.581</td><td>0.737</td><td>1.78</td><td>2.38</td><td>3.15</td></lloq<></td></lloq<>	<lloq< td=""><td>0.581</td><td>0.737</td><td>1.78</td><td>2.38</td><td>3.15</td></lloq<>	0.581	0.737	1.78	2.38	3.15
IL-8	0.369 (0.115)	92.2	<lloq< td=""><td><lloq< td=""><td>0.151</td><td>0.245</td><td>0.807</td><td>2.27</td><td>222</td></lloq<></td></lloq<>	<lloq< td=""><td>0.151</td><td>0.245</td><td>0.807</td><td>2.27</td><td>222</td></lloq<>	0.151	0.245	0.807	2.27	222
IL-4	0.238 (0.107)	90.9	<lloq< td=""><td><lloq< td=""><td>0.323</td><td>0.411</td><td>2.92</td><td>3.82</td><td>4.16</td></lloq<></td></lloq<>	<lloq< td=""><td>0.323</td><td>0.411</td><td>2.92</td><td>3.82</td><td>4.16</td></lloq<>	0.323	0.411	2.92	3.82	4.16
IL-5	1.32 (0.365)	89.6	<lloq< td=""><td><lloq< td=""><td>0.032</td><td>0.047</td><td>0.181</td><td>0.312</td><td>0.368</td></lloq<></td></lloq<>	<lloq< td=""><td>0.032</td><td>0.047</td><td>0.181</td><td>0.312</td><td>0.368</td></lloq<>	0.032	0.047	0.181	0.312	0.368
TNF-α	0.032 (0.023)	87.0	<lloq< td=""><td><lloq< td=""><td>0.075</td><td>0.120</td><td>0.804</td><td>1.27</td><td>2.53</td></lloq<></td></lloq<>	<lloq< td=""><td>0.075</td><td>0.120</td><td>0.804</td><td>1.27</td><td>2.53</td></lloq<>	0.075	0.120	0.804	1.27	2.53
IFN-γ	0.035 (0.015)	81.8	<lloq< td=""><td><lloq< td=""><td>0.058</td><td>0.099</td><td>0.582</td><td>1.22</td><td>1.62</td></lloq<></td></lloq<>	<lloq< td=""><td>0.058</td><td>0.099</td><td>0.582</td><td>1.22</td><td>1.62</td></lloq<>	0.058	0.099	0.582	1.22	1.62
IL-12 p70	0.172 (0.063)	72.7	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.220</td><td>1.33</td><td>1.81</td><td>2.08</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.220</td><td>1.33</td><td>1.81</td><td>2.08</td></lloq<></td></lloq<>	<lloq< td=""><td>0.220</td><td>1.33</td><td>1.81</td><td>2.08</td></lloq<>	0.220	1.33	1.81	2.08
IL-1β	0.666 (0.706)	71.4	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>2.90</td><td>5.27</td><td>13.2</td><td>94.8</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>2.90</td><td>5.27</td><td>13.2</td><td>94.8</td></lloq<></td></lloq<>	<lloq< td=""><td>2.90</td><td>5.27</td><td>13.2</td><td>94.8</td></lloq<>	2.90	5.27	13.2	94.8
IL-2	0.187 (0.126)	71.4	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.061</td><td>0.659</td><td>1.06</td><td>2.31</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.061</td><td>0.659</td><td>1.06</td><td>2.31</td></lloq<></td></lloq<>	<lloq< td=""><td>0.061</td><td>0.659</td><td>1.06</td><td>2.31</td></lloq<>	0.061	0.659	1.06	2.31
IL-13	0.388 (0.274)	55.8	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.244</td><td>2.86</td><td>3.64</td><td>4.26</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.244</td><td>2.86</td><td>3.64</td><td>4.26</td></lloq<></td></lloq<>	<lloq< td=""><td>0.244</td><td>2.86</td><td>3.64</td><td>4.26</td></lloq<>	0.244	2.86	3.64	4.26

a: mean (standard deviation)

**Table 4c:** Descriptive Statistics (pg/mL) for Cytokines in Urine

			/						
Cytokine	LLOQ <sup>a</sup>	%>LLOQ	Min	5%	25%	50%	75%	95%	Max
IL-2	0.161 (0.160)	96.5	<lloq< td=""><td>0.050</td><td>0.188</td><td>0.394</td><td>0.613</td><td>1.36</td><td>62.3</td></lloq<>	0.050	0.188	0.394	0.613	1.36	62.3
IL-1β	0.177 (0.084)	95.0	<lloq< td=""><td><lloq< td=""><td>0.207</td><td>0.693</td><td>2.26</td><td>24.4</td><td>382</td></lloq<></td></lloq<>	<lloq< td=""><td>0.207</td><td>0.693</td><td>2.26</td><td>24.4</td><td>382</td></lloq<>	0.207	0.693	2.26	24.4	382
IL-4	0.130 (0.053)	94.5	<lloq< td=""><td><lloq< td=""><td>0.027</td><td>0.070</td><td>0.997</td><td>3.27</td><td>3.73</td></lloq<></td></lloq<>	<lloq< td=""><td>0.027</td><td>0.070</td><td>0.997</td><td>3.27</td><td>3.73</td></lloq<>	0.027	0.070	0.997	3.27	3.73
IL-8	0.116 (0.050)	93.8	<lloq< td=""><td><lloq< td=""><td>2.82</td><td>9.74</td><td>29.4</td><td>162</td><td>2270</td></lloq<></td></lloq<>	<lloq< td=""><td>2.82</td><td>9.74</td><td>29.4</td><td>162</td><td>2270</td></lloq<>	2.82	9.74	29.4	162	2270
IL-13	0.462 (0.238)	89.0	<lloq< td=""><td><lloq< td=""><td>0.903</td><td>2.15</td><td>3.82</td><td>7.15</td><td>33.2</td></lloq<></td></lloq<>	<lloq< td=""><td>0.903</td><td>2.15</td><td>3.82</td><td>7.15</td><td>33.2</td></lloq<>	0.903	2.15	3.82	7.15	33.2
IL-10	0.216 (0.101)	88.7	<lloq< td=""><td><lloq< td=""><td>0.125</td><td>0.379</td><td>1.77</td><td>3.63</td><td>141</td></lloq<></td></lloq<>	<lloq< td=""><td>0.125</td><td>0.379</td><td>1.77</td><td>3.63</td><td>141</td></lloq<>	0.125	0.379	1.77	3.63	141

IFN-γ	0.317 (0.254)	85.0	<lloq< th=""><th><lloq< th=""><th>0.130</th><th>0.311</th><th>0.883</th><th>8.28</th><th>12.7</th></lloq<></th></lloq<>	<lloq< th=""><th>0.130</th><th>0.311</th><th>0.883</th><th>8.28</th><th>12.7</th></lloq<>	0.130	0.311	0.883	8.28	12.7
TNF-α	0.412 (0.240)	84.2	<lloq< td=""><td><lloq< td=""><td>0.126</td><td>0.256</td><td>0.595</td><td>2.90</td><td>13.5</td></lloq<></td></lloq<>	<lloq< td=""><td>0.126</td><td>0.256</td><td>0.595</td><td>2.90</td><td>13.5</td></lloq<>	0.126	0.256	0.595	2.90	13.5
IL-5	0.234 (0.230)	73.0	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.102</td><td>0.263</td><td>0.745</td><td>4.66</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.102</td><td>0.263</td><td>0.745</td><td>4.66</td></lloq<></td></lloq<>	<lloq< td=""><td>0.102</td><td>0.263</td><td>0.745</td><td>4.66</td></lloq<>	0.102	0.263	0.745	4.66
IL-12 p70	0.338 (0.217)	72.2	<lloq< td=""><td><lloq< td=""><td><lloq< td=""><td>0.308</td><td>0.750</td><td>1.73</td><td>2.35</td></lloq<></td></lloq<></td></lloq<>	<lloq< td=""><td><lloq< td=""><td>0.308</td><td>0.750</td><td>1.73</td><td>2.35</td></lloq<></td></lloq<>	<lloq< td=""><td>0.308</td><td>0.750</td><td>1.73</td><td>2.35</td></lloq<>	0.308	0.750	1.73	2.35

a: mean (standard deviation)

381	Plasma samples had a greater percentage of samples (87.9%) that were above the LLOQ when
382	compared to the EBC (80.6%) and urine (87.2%) samples. IL-4, IL-5, IL-8, IL-10, and TNF- $\alpha$
383	had the largest %>LLOQ in both plasma and EBC. IL-12p70 also had a large %>LLOQ in
384	plasma, but was low in EBC and urine. IL-1 $\beta$ , IL-2, and IL-13 had the smallest %>LLOQ in the
385	blood and EBC samples. The urine samples were unique in that the %>LLOQ was largest for
386	IL-1 $\beta$ and IL-2; these results are the exact opposite of those observed for blood and EBC.
387	LLOQs for specific cytokines were usually similar across the three biological media (e.g.
388	LLOQIL-2,blood≈ LLOQIL-2,EBC≈ LLOQIL-2,urine). However, LLOQs for IL-5, IL-8, IFN-γ and TNF-
389	$\alpha$ varied considerably across the three media, by as much as an order of magnitude. These
390	variations did not appear to appreciably affect the percentage of measurements above these
391	values. For example, IL-8 in the blood, EBC and urine samples had mean LLOQs of
392	0.056pg/mL, 0.369pg/mL, and 0.116pg/mL, respectively, but 100, 92.2, and 93.8 percent of
393	samples above the LLOQ.
394	The cytokine concentrations were observed across wide ranges (Tables 4a, b, c),
395	spanning 2-4 orders of magnitude (Min-Max) depending on the cytokine and the biological
396	medium. Investigating individual cytokines across the different biological media shows that they
397	have different concentration ranges across media. For example, IL-8 ranged from 0.980-
398	41.7pg/mL in blood, <lloq-222pg <lloq-2270pg="" and="" ebc,="" in="" ml="" td="" urine,<=""></lloq-222pg>
399	demonstrating a wide range across the three media for this specific cytokine. In contrast, IL-
400	12p70 had concentration ranges across the three biological media that were very similar to one
401	another; IL-12p70 ranged from <lloq-1.62pg <lloq-2.08pg="" and<="" blood,="" ebc,="" in="" ml="" td=""></lloq-1.62pg>

402 <LLOQ-2.35pg/mL in urine. There were also large ranges exhibited within biological media.

403 For example, the maximum concentrations in blood ranged from 0.633pg/mL for IL-2 to

404 41.7pg/mL for IL-8. The maximum concentrations in the EBC samples ranged from

405 0.368pg/mL for IL-5 to 222pg/mL for IL-8, and the maximum concentrations in urine ranged

406 from 2.35pg/mL for IL-12p70 to 2270pg/mL for IL-8.

407 **3.2 Table 5** displays estimated variance components, fold-ranges, and ICCs based on

408 measurements of plasma, EBC, and urine samples. Here,  $\hat{\sigma}_{WY_B}^2$ ,  $\hat{\sigma}_{bY_B}^2$ , and  $\hat{\sigma}_{Y_B}^2$  are the estimated

409 within-subject, between-subject, and total variance components for the respective cytokine in

410 each biological medium.  $_{\rm w}\hat{R}_{0.95B}$  is the estimated fold-range containing the central 95% of

411 cytokine measurements for any given person in the sampled population. The parameter  ${}_{b}\hat{R}_{0.95B}$ 

412 represents the estimated fold-range containing the central 95% of mean cytokine levels across all

413 individuals in the sampled population. Finally,  $\gamma \hat{R}_{0.95B}$  represents the estimated overall fold-

414 range containing the central 95% of all measurements for all individuals in the sampled

415 population.

	Blood*				EBC*				Urine*			
Cytokine	$\hat{\sigma}_{wY}^2$ B	$\hat{\sigma}_{bY}^2$ B	$\hat{\sigma}_{YB}^{2}$	$\hat{\rho}_B$	$\hat{\sigma}_{wY}^2$ B	$\hat{\sigma}_{bY}^2_{\mathrm{B}}$	$\hat{\sigma}_{YB}^{2}$	$\hat{\rho}_B$	$\hat{\sigma}_{wY}^2$ B	$\hat{\sigma}_{bY}^2_{B}$	$\hat{\sigma}_{YB}^2$	$\hat{\rho}_B$
	$(_{\mathrm{w}}\widehat{R}_{0.95}\mathrm{B})$	$({}_{b}\hat{R}_{0.95}B)$	$(_{\mathrm{Y}}\hat{R}_{0.95}\mathrm{B})$		$(_{\mathrm{w}}\widehat{R}_{0.95}\mathrm{B})$	$({}_{b}\hat{R}_{0.95}B)$	$(_{\rm Y}\hat{R}_{0.95}{\rm B})$		$(_{\mathrm{w}}\widehat{R}_{0.95}\mathrm{B})$	$({}_{b}\hat{R}_{0.95}B)$	$(_{\rm Y}\hat{R}_{0.95}{\rm B})$	
IL-1β	0.954	1.21	2.16	0.559	0.360	1.71	2.07	0.826	0.479	0.373	0.853	0.438
	(46.0)	(74.7)	(320)		(10.5)	(170)	(283)		(15.1)	(11.0)	(37.4)	
IL-2	0.329	0.492	0.821	0.599	0.608	2.72	3.33	0.817	0.280	0.134	0.414	0.324
	(9.48)	(15.6)	(34.9)		(21.2)	(642)	(1280)		(7.95)	(4.21)	(12.5)	
IL-4	0.263	0.012	0.275	0.043	0.065	1.06	1.12	0.942	0.910	0.372	1.28	0.290
	(7.46)	(1.53)	(7.80)		(2.71)	(56.1)	(63.3)		(42.1)	(10.9)	(84.7)	
ПБ	0.491	0.543	1.03	0.525	0.124	0.824	0.947	0.870	0.262	0.388	0.650	0.597
IL-5	(15.6)	(18.0)	(53.8)		(3.97)	(35.1)	(45.4)		(7.43)	(11.5)	(23.6)	
11 8	0.169	0.080	0.249	0.321	0.745	1.82	2.56	0.709	1.33	1.81	3.14	0.576
IL-0	(5.01)	(3.03)	(7.06)		(29.5)	(197)	(530)		(92.4)	(195)	(1040)	
IL-10	0.101	0.146	0.247	0.593	0.064	0.303	0.367	0.825	0.525	0.219	0.744	0.295
	(3.46)	(4.48)	(7.00)		(2.70)	(8.65)	(10.8)		(17.1)	(6.27)	(29.4)	
IL-12	0.095	0.155	0.250	0.620	0.083	0.973	1.06	0.921	0.213	0.126	0.339	0.372
p70	(3.35)	(4.68)	(7.09)		(3.09)	(47.8)	(56.2)		(6.10)	(4.03)	(9.80)	
IL-13	0.301	1.08	1.38	0.781	0.100	2.17	2.27	0.956	0.303	0.158	0.461	0.342
	(8.60)	(58.2)	(99.4)		(3.45)	(320)	(365)		(8.65)	(4.74)	(14.3)	
INF-γ	0.325	1.09	1.42	0.771	0.109	1.72	1.83	0.940	0.393	0.326	0.719	0.453
	(9.36)	(60.2)	(107)		(3.65)	(170)	(200)		(11.7)	(9.36)	(27.7)	
TNF-α	0.055	0.104	0.159	0.655	0.253	1.65	1.91	0.867	0.158	0.134	0.292	0.458
	(2.50)	(3.54)	(4.77)		(7.18)	(155)	(224)		(4.75)	(4.19)	(8.32)	

416 **Table 5:** Estimated Variance Components, Fold-ranges, and ICCs for the Study Samples

- \*All parameters were estimated using natural logged cytokine concentrations as per standard procedures (Pleil et al.
   2014)
- Total fold-range estimates ( $_{Y}\hat{R}_{0.95B}$ ) were between 4.77 and 320 for blood, 8.32 and 1040 for urine, and 10.8 and 1280 for EBC. These results suggest that total measurement variability was similar for urine and EBC, but somewhat smaller for blood. For the majority of the cytokines in blood (8/10 cytokines) and EBC (10/10 cytokines),  $_{b}\hat{R}_{0.95B}$  was larger than  $_{w}\hat{R}_{0.95B}$ . However, the opposite trend was observed for 8 out of 10 urinary cytokines, where, fold-range estimates were larger within-person than between-person.

Large differences were also observed between the estimated within- and between-person fold ranges for specific cytokines across the three biological media. Considering results for IL-4,  $\sqrt{R_{0.95B}}$  values were 7.46, 2.71, and 42.1, and  $\sqrt{R_{0.95B}}$  values were 1.52, 56.1, and 10.9 for blood, EBC and urine, respectively. IL-4 is also interesting in that it is one of two cytokines in blood that had more estimated within-person variance than between-person variance. IL-2 is a more extreme example of large differences in FRs having  $\sqrt{R_{0.95B}}$  for blood, EBC and urine of 34.9, 1280, and 12.5, respectively.

433 **3.3** Observations across biological media show that the estimated mean ICCs for the blood and 434 EBC samples are 0.547 and 0.867, indicating that the majority of the variance in cytokine 435 concentrations for these two biological media was observed between-people. Alternatively, the 436 mean ICC across the urinary cytokines was 0.415 (i.e. more within-person than between-person 437 variance). The results do not show a consistent trend across cytokines (i.e. an increase/decrease 438 in within- or between- person variance) and media. This demonstrates that despite an overall 439 across-media trend (more between-person variance for blood and EBC and within-person 440 variance for urine), the actual source of variation is dependent not only on the medium but also

on the specific cytokine. These ICC results, and the accompanying variance results thatcomprise the ICC, are consistent with the fold range result.

443 **3.4** The next step in this analysis was to investigate the relationship between the ten cytokines in

444 time-matched blood and EBC samples (n=77). We did not include urine samples in this analysis

445 due to the low numbers (n=18) of matches. These results show that all of the EBC cytokines,

446 except IL-1 $\beta$ , are positively correlated with one another, and that the blood samples have a mix

447 of positive and negative correlations (Figure 2). The Blood/EBC correlations have positive and

448 statistically significant correlations for most cytokines, except IL-1β, IL-4, IL-5, and IL-10.

449 Correlation coefficients ranged from -0.620 to 0.836 for the blood/blood comparisons, -0.045 to

450 0.852 for the EBC/EBC comparisons, and -0.236 to 0.600 for the blood/EBC comparisons. The

451 intensity of the colors in Figure 2 (i.e. the movement toward solid red for positive correlations

452 and solid blue for negative correlations) shows that there are stronger relationships within a

453 single media when compared to the relationships between the two media.

454 Figure 2: Cytokine Correlations (Spearman "Rho") between Blood Plasma and Exhaled Breath
 455 Condensate.



\* A white dot in a cell denotes a statistically significant (p<0.05) positive or negative correlation.

456 **DISCUSSION** 

In this study, the main goal was to assess the feasibility of using an existing analytical 457 458 method to identify target biomarkers of interest, simultaneously, in three different biological 459 media. To our knowledge, this is the first time that blood, EBC, and urine samples from a 460 healthy adult population have been analyzed using a Th1/Th2 cytokine suite. This work 461 demonstrates a number of characteristics of human cytokine measurement and variability that 462 have been previously unknown, and also extends the trace-level measurements further to 463 encompass EBC and urine samples as a complement to blood/plasma data. These new 464 observations can be categorized as follows:

465 **Calibration** 

466 During initial tests, the ostensible calibration range of the instrument needed to be 467 adjusted to address the low concentrations that were found in nominally healthy subjects. The 468 instrumentation provides signal "count" numbers beyond the internal calibration default values. 469 and so a set of equations were developed that could be used to interpolate such results back to a 470 new LLOQ. The 5-parameter logistic model was chosen for these concentrations calculations 471 primarily based on the range and shape (log-normally distributed) of the data, and the ability the 472 model to interpolate low-end signals, averaging 8.85% more samples across the three biological 473 media. Experience with the 5pl model showed that the LLOO could be decreased even lower 474 using calibration strategies (based on trial and error) wherein individual cytokines were tested at 475 vanishingly low concentrations to assess the empirical quantitation limits.

476 Sensitivity and Method Precision

477 Our study results showed that the methodology based on the MSD immunochemistry 478 instrumentation is well suited for measuring cytokines in three different biological media 479 (plasma, EBC, and urine) at the extremely low concentrations expected in samples from the 480 general population. This is particularly important for levels in exhaled breath condensate and 481 urine, as these biological fluids represent "non-invasive" sampling pathways (in contrast to blood 482 collection) and so are attractive for public health surveillance. The MSD instrument can achieve 483 robust detection levels better than the nominal design criteria and the results show that the 484 sensitivity requirements for the instrumentation are in the 0.01 pg/ml range (see Tables 4a, b, and 485 c). However, at some point the signal can no longer be considered distinct from noise and the 486 experimental results usually had some measurements that were labeled "below LLOQ". 487 As shown in **Table 2**, the majority of the within- and between-plate variance for the

488 spiked calibration samples lies within a plate, as such, any between-plate variance can be
489 attributed to random error. This result was expected; the internal calibration standards on each

490 plate adjusted the samples accordingly so that samples analyzed on different 96-well plates could 491 be compared to one another. The next step in the analysis, the introduction of the three 492 biological media through the duplicate sample analysis, showed that in most cases there was an 493 increase in variability. Once again, this was an expected outcome because the addition of a 494 complex biological matrix often degrades measurement specificity and precision. The variation 495 and fold-ranges remained similar to that of the lowest spiked concentration in Section 2.1, thus it 496 was concluded that blood, EBC, and urine could be analyzed effectively and with the same 497 amount of measurement variation as the "best case" scenario. In summary, these results showed 498 that the method had the necessary sensitivity and precision to analyze "real world" samples from 499 three different biological media.

# 500 Study Sample Cytokine Measurement Distributions and Correlations

As seen in Table 4a, b, and c, the MSD instrument is capable of developing cross-media cytokine data from nominally healthy human subjects. This is an important result because most previous work studied unhealthy patients where the inflammatory markers were already expected to be at high levels. Having access to robust "control" level statistics is necessary for the discovery of *in vivo* perturbations from the status quo. Furthermore, this allows us to make robust statistical comparisons from case-control studies without having to compare to "0", but to defensible low numbers for the control groups.

Given the available blood, EBC, and urine data, the final step of this analysis was to investigate intra-class and Spearman correlations between the different cytokines and across the three biological media. The expectation was that the majority of the ICCs would show more between-person variation than within-person variation, given that people live in their own "micro-environments" where they have their own exposures from food, water, air, behaviors, etc., and genetics that are different from one another. However, this was not the case for all

514 cytokines and all three media. The plasma results showed that the variance in the expression of 515 IL-4 and IL-8 are seemingly independent of the individual (i.e. everyone in the "group" looks 516 similar to one another) and all urinary cytokines except for IL-5 and IL-8 displayed this same 517 trend. There is an opposite trend for the remaining cytokines in blood and all ten cytokines in 518 EBC, where the results indicate that any variance is dependent on the individual. From these 519 results it is notable that the choice of biological medium plays a large role in the ICC, as 520 evidenced by the blood/EBC difference for IL-4 (0.043 and 0.942 for blood and breath, 521 respectively) and the EBC/urine difference for IL-4 (0.942 and 0.290 for EBC and urine, 522 respectively). It is also evident that individual differences, whether genetic, lifestyle, etc., likely 523 play an important role in these intra-class correlations. 524 Within a single medium, the pro-inflammatory cytokines (IL-1β, IL-2, IL-8, IL-12p70, 525 IFN- $\gamma$ , and TNF- $\alpha$ ) should have positive Spearman correlations with themselves and negative 526 Spearman correlations with the anti-inflammatory cytokines (IL-4, IL-5, IL-10, and IL-13). 527 However, this was not the case for IL-1 $\beta$  for the within-media EBC comparison in Figure 2. 528 This cytokine has a negative correlation with all other cytokines, including the cytokines in their 529 respective "inflammatory" classification. All other EBC cytokines co-vary sufficiently to 530 essentially provide the same information about a particular subject. However, the blood and 531 EBC cytokine correlations are mixed and seemingly do not show a pro/anti inflammatory or a 532 Th1 (IL-1 $\beta$ , IL-2, IL-12, IFN- $\gamma$ , and TNF- $\alpha$ )/Th2 (IL-4, IL-5, IL-10, IL-13) "pattern". These 533 results could be indicative of a differential response/expression of cytokines by the individual 534 subjects in our study, but investigating these specific relationships is beyond the scope of this 535 paper.

536 The second overall outcome of the study showed that cytokine measurements in different 537 media do not tell the same inflammatory story. Although this result was anticipated based on the 538 biological media and expected location of inflammation, for example urinary cytokines are likely 539 more closely linked to kidney/bladder function and EBC cytokines are more likely linked to 540 respiratory inflammation, there was one noteworthy trend. Four of the five pro-inflammatory 541 cytokines in blood had statistically significant correlations for the majority of the blood/EBC 542 comparisons (Figure 2), showing that as they are expressed in one medium, they are distributed, 543 or also expressed, in the other medium. It is expected that with further investigation these 544 relationships can be solidified and the use of blood as a "gold standard" could shift in the 545 direction of less-invasive breath collection techniques, even when trying to look at inflammation 546 that is not respiratory/pulmonary related.

547 To note, these results were interpreted solely based on biological medium classification 548 and not on the Th1/Th2 designation or an anti/pro inflammatory profile. This analysis has 549 inherent limitations for assigning concrete relationships based on the progenitor cell and 550 inflammatory classifications. The only goal here is to illustrate the within or between person 551 variation for these cytokines and the three biological media; a more comprehensive analysis of 552 the ten cytokines in this panel could potentially produce a pattern related to the Th1/Th2 profile 553 or anti/pro inflammatory profile, but this is beyond the scope of this work.

554

#### **Summary and Conclusions:**

555 These results demonstrate that the method is sufficiently robust to address the complexity 556 of matrix inferences that are often seen when searching for the same biomarker in three different 557 biological media and that the cytokines measured in this study can be identified and quantified at 558 ultra-trace levels. Furthermore, despite the fact that cytokines are often highly correlated within 559 matrices, the between matrix results can be quite different. The presented data can be used to

- 560 guide future studies, and perhaps streamline new work, by focusing only on a few cytokines
- 561 wherein the combination of sensitivity and correlation give optimal results at lower cost, or that a
- 562 less invasive sampling medium (EBC or urine) could be substituted for blood collection. This is
- specially valuable for targeted studies wherein a pre-existing hypothesis is to be tested and there
- 564 may be no need to measure a 10-plex suite when two or three cytokines with the best range,
- sensitivity, and precision would produce equivalent results.
- 566 **Disclaimer**
- 567 The United States Environmental Protection Agency through its Office of Research and
- 568 Development has subjected this article to Agency administrative review and approved it for
- 569 publication.

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