

1 **Assessment of the effect of air pollution controls on trends**
2 **in shortwave radiation over the United States from 1995**
3 **through 2010 from multiple observation networks**

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5 **Chuen-Meei Gan¹, Jonathan Pleim¹, Rohit Mathur¹, Christian Hogrefe¹, Charles**
6 **N. Long², Jia Xing¹, Shawn Roselle¹ and Chao Wei¹**

7 (1) Atmospheric Modeling and Analysis Division, National Exposure Research Laboratory,
8 US Environmental Protection Agency, Research Triangle Park, North Carolina, USA

9 (2) Climate Physics Group, Pacific Northwest National Laboratory, Richland, Washington,
10 USA

11 Corresponding author: Chuen-Meei Gan, AMAD, NERL, US EPA (chuenmeei@gmail.com,
12 Gan.Meei@epa.gov)

13
14 **Abstract**

15 Long term datasets of all-sky and clear-sky downwelling shortwave (SW) radiation, cloud
16 cover fraction, and aerosol optical depth (AOD) are analyzed together with surface
17 concentrations from several networks (e.g. SURFRAD, CASTNET, IMPROVE and ARM) in
18 the United States (US). Seven states with varying climatology are selected to better
19 understand the effects of aerosols and clouds on SW radiation. This analysis aims to assess the
20 effects of reductions in anthropogenic aerosol burden resulting from substantial reductions in
21 emissions of sulfur dioxide (SO₂) and nitrogen oxides (NO_x) over the past 16 years across the
22 US on trends in SW radiation. The SO₂ and NO_x emission data show decreasing trends from
23 1995 to 2010 which indirectly validates the effects of the Clean Air Act (CAA) in the US.
24 Meanwhile, the total column AOD and surface total PM_{2.5} observations also show decreasing
25 trends in the eastern US but slightly increasing trends in the western US. Moreover, measured
26 surface concentrations of several other pollutants (i.e. SO₂, SO₄ and NO_x) have the similar
27 behavior as the AOD and total PM_{2.5}. Analysis of the observed data shows strong increasing
28 trends in all-sky downwelling SW radiation with decreasing trends in cloud cover. However,
29 since observations of both all-sky direct and diffuse SW radiation are increasing, there may be

1 other factors contributing to the radiation trends in addition to the decreasing trends in overall
2 cloud cover. To investigate the role of direct radiative effects of aerosols, clear-sky
3 downwelling radiation is analyzed so that cloud effects are eliminated. However, similar
4 increasing trends in clear-sky total and diffuse SW radiation are observed. While significantly
5 decreasing trends in AOD and surface PM_{2.5} concentrations along with increasing SW
6 radiation (both all-sky and clear-sky) in the eastern US during 1995-2010 imply the
7 occurrence of direct aerosol mediated “brightening”, the increasing trends of both all-sky and
8 clear sky diffuse SW radiation contradicts this conclusion since diffuse radiation would be
9 expected to decrease as aerosols direct effects decrease and cloud cover decreases. After
10 investigating several confounding factors, the increasing trend in clear-sky diffuse SW may be
11 due to more high-level cirrus from increasing air traffic over the US. The clear-sky radiation
12 observations in the western US also show indications of “brightening” even though the AOD,
13 PM_{2.5} and surface concentration do not vary drastically. This outcome is not unexpected
14 because the CAA controls were mainly aimed at reducing air pollutant emissions in the
15 eastern US and air pollutant levels in the western US are much lower since the beginning.
16 This suggests other factors affect the “brightening” especially in the western US.

17

18 **1 Introduction**

19 Solar radiation incident at the surface of the Earth is a key regulator of climate and the
20 primary energy source for life. Several studies in the past (Ohmura and Lang, 1989; Gilgen et
21 al., 1998; Stanhill and Cohen, 2001; Liepert, 2002; Wild et al., 2004; Wild, 2009) have shown
22 evidence of “global dimming” which was described as a widespread decrease of downwelling
23 solar radiation from the early 1960s up to the late 1980s. However, starting during the 1990s,
24 this trend reversed with some regions such as Europe and North America now experiencing
25 “brightening” (Wild et al., 2005; Wild et al., 2009; Pinker et al., 2005; Dutton et al., 2006;
26 Long et al, 2009) possibly due to the air pollution controls. In particular, Wild et al. (2009)
27 and Long et al. (2009) have demonstrated the “brightening” trend with surface radiation
28 measurements (e.g. Baseline Surface Radiation Network (BSRN), Surface Radiation Budget
29 Network (SURFRAD) and Atmospheric Radiation Measurement (ARM)) in Europe and the
30 United States (US). Wild et al. (2009) argued that the “global brightening” was tied to the
31 aerosol loading while Long et al. (2009) attributed this phenomenon to decreasing cloudiness

1 which may or may not be associated with aerosols. Therefore, this study is extended to
2 evaluate the possible causes of the “brightening” in US with more surface measurements.

3 It is possible that the changes in surface solar radiation are tied to changes in the emissions of
4 aerosols and aerosol precursors, as well as trends in cloud cover. In particular, the reductions
5 of sulfur dioxide (SO₂) and nitrogen oxides (NO_x) emissions have a potential to change
6 anthropogenic aerosol loading which may be associated with trends in regional radiation
7 budgets over the past 16 years. In order to have a better understanding of the aerosol effects
8 and radiation trends, this study employs several observation networks such as SURFRAD,
9 ARM, CASTNET (Clean Air Status and Trend Network) and IMPROVE (Interagency
10 Monitoring of Protection Visual Environments) across the US from 1995 to 2010.

11 Section 2 gives an overview of each network together with their measurements, instruments,
12 and uncertainties. The methodologies that are applied to each dataset are also discussed. In
13 Section 3, the results from the analyses of these datasets are presented. In this section, the
14 effect of the reduction of SO₂ and NO_x emissions on the radiation budget is assessed by using
15 AOD and surface concentration measurements. In addition, the downwelling SW radiation
16 and cloud cover observations are evaluated to further investigate the aerosol effect. Finally,
17 Section 4 summarizes the findings and conclusions from our analyses.

18

19 **2 Data and Methodology**

20 **2.1 Surface Radiation Budget Network (SURFRAD)**

21 Data from several sources are used in this study. The first dataset is from SURFRAD that
22 includes seven sites that examine different climates throughout the US in Illinois, Montana,
23 Mississippi, Colorado, Pennsylvania, Nevada and South Dakota and is maintained by the
24 National Oceanic and Atmospheric Administration (NOAA). However, the data from South
25 Dakota is not used in this study as the measurements commenced only in 2003. These sites
26 Bondville (BON), Table Mountain (TBL), Goodwin Creek (GWN), Desert Rock (DRA), Fort
27 Peck (FPK), and Penn State (PSU) have been operated for more than a decade. Additional
28 details on each site such as name, operation year and location can be found in Table 1 and
29 Figure 1. Note that even though measurements still continue to the present, in this study we
30 use data collected at the locations through calendar year 2010.

31 The SURFRAD network not only provides measurements of radiation but also AOD, cloud
32 cover fraction and a variety of meteorological parameters. In this study, we mainly focus on

1 all-sky and clear-sky downwelling SW radiation, AOD and cloud cover fraction. This network
2 measures the direct and diffuse SW radiation with an Eppley Normal Incidence Pyrheliometer
3 (NIP) and shaded Eppley Black and White (B&W), respectively to produce all-sky SW
4 radiation. If the solar tracker does not work properly, a Spectrolab model SR-75 pyranometer
5 is used to measure the all-sky SW radiation. The AOD data is derived based on the
6 measurement of the five spectral SW channels from a Multi Filter Rotating Shadowband
7 Radiometer (MFRSR). In addition, another valuable product, the cloud cover for an effective
8 160° field of view (FOV) is also derived based on the analysis of surface measurements of
9 total and diffuse downwelling SW radiation (Long et al., 2006). Additional detail on the
10 SURFRAD instruments and measurement techniques can be found in Augustine et al., (2000,
11 2005 and 2008).

12 All SURFRAD broadband radiation measurements have a temporal resolution of 3-min
13 averages of 1-s samples up through December 31, 2008, and thereafter are produced as 1-
14 minute averages. However, the resolution of the AOD data varies depending on the raw
15 measurement of the MFRSR as the AOD measurements are not made when clouds interfere
16 with the direct solar beam. In other words, the temporal resolution for AOD is 3-min under
17 clear-sky condition. Thus, there are not always coincident AOD and SW measurements. Also,
18 note that only AOD at 500 nm wavelength is used in this study.

19 In order to keep the radiation measurements as continuous as possible, quality assurance
20 practices are applied; for instance, exchanging instruments with newly calibrated units
21 annually. The QCRad methodology of Long and Shi (2008) is applied to the radiation data to
22 ensure the data quality is within acceptable range. According to this method, the realistic
23 limits for examining unusual measurements are characterized based on the climatological
24 analyses of radiation observations, particularly from the ARM projects. To produce
25 continuous clear-sky estimates and infer bulk cloud properties from radiation observations,
26 the Radiative Flux Analysis (RFA) is applied after the quality testing. The RFA tool is a series
27 of codes developed to examine the time series of the broadband radiation measurements and
28 detect periods of clear (i.e. cloudless) skies, then use the detected clear-sky data to fit
29 appropriate functions, interpolate the fit coefficients across cloudy periods and thus produce
30 continuous clear-sky radiation estimates. The resultant measured and clear-sky data are then
31 used to infer various atmospheric and cloud microphysical properties, including daylight
32 fractional sky cover for an effective field of view of 160 degrees, effective cloudy sky SW
33 transmissivity calculated as the ratio of the total downwelling SW over the corresponding

1 clear-sky total SW, and visible optical depth for overcast periods. Details of the methodology
2 of these algorithms are available in a series of studies by Long and co-authors (Long and
3 Ackerman 2000, Barnard et al. 2004, Long et al. 2006, Long and Turner 2008, and Barnard et
4 al. 2008).

5 In this study, the final products which are used in the comparisons are the annual averages.
6 For the radiation data, the averages are estimated based on the approach of Long et al. (2009)
7 which not only reduces the effects of unavailable data (e.g. missing or bad) but also helps to
8 avoid the practice of “filling in” for unavailable data. First, the data are sorted into 15-min
9 bins across each 24-h day (i.e. 96 bins across the day). Then the data within each 15-min bin
10 are averaged to obtain an annual average diurnal cycle (i.e. averaging 365 diurnal cycles). For
11 example, all data for the year 1998 are binned at 15-min resolution to calculate an annual
12 1998 average diurnal cycle. Next, this annual average diurnal cycle is averaged across the 96
13 15-min bins to produce the final annual average value. This approach is applied to each year
14 for the data at each SURFRAD and ARM site. We also required data completeness of 80% or
15 greater for each individual year to minimize any artificial effect on inferred seasonal
16 variations and trends. This criterion was met for each year at all sites for the time periods
17 listed in Table 1.

18 The second measurement that is used in this study is the cloud-free (cloud screened) AOD,
19 which is only available since 1997. The detail of the calibration method, the AOD calculation
20 and the cloud screening method can be found in Harrison et al. (1994) and Augustine et al.
21 (2008). To have the most realistic comparison of AOD with SW radiation trends, we only
22 used AOD measurements that have been cloud screened. However, this cloud screening is
23 different from the Long and Ackerman (2000) clear-sky identification (CSI) method as the
24 CSI method is intended to identify times of hemispherically cloud-free skies, whereas AOD
25 retrievals only require that the path between the instrument and the sun be cloud-free. Thus
26 the Long and Ackerman CSI is much more restrictive than the AOD cloud screening.

27 To guarantee the quality of the AOD data, Augustine et al. (2008) had compared the
28 measurements at Bondville and Sioux Falls with collocated AERONET sites and showed
29 good agreement in phase and amplitude at both sites (e.g. The coefficient of determination
30 (R^2) values of 0.89 for Bondville and 0.91 for Sioux Falls). Note that greater absolute
31 differences occurred in summer, which is expected as the AOD values are highest during that
32 time of year. The data can be found at <http://www.srrb.noaa.gov/surfrad/index.html>.

2.2 Atmospheric Radiation Measurement (ARM)

The ARM Climate Research Facility is maintained by the Department of Energy (DOE) and is a multi-platform scientific user facility that supports research of the uncertainties of climate models, particularly the effects of clouds and aerosols. It has three permanent fixed research facilities (i.e. the Southern Great Plains (SGP) and the North Slope of Alaska (NSA) in the U.S., and the Tropical Western Pacific (TWP)) which are designed to obtain data for studying the effects of aerosols, precipitation, surface radiation and clouds on global climate change. ARM also includes additional fixed and mobile sites that are under development to extend the research area in a diverse way.

In this study, we are focusing on the surface radiation data from the SGP site. This facility has multiple radiation measurement systems in the same area. These radiation systems include an Eppley NIP, Precision Spectral Pyranometers (PSP) and shaded Model 8-48 B&W for the SW radiation measurements. For the observations of downwelling direct, diffuse and all-sky SW, the approximated uncertainties are 3% or 4 W/m², 6% or 20 W/m² and 6% or 10 W/m², respectively (Stoffel, 2005). To guarantee the best possible continuous data, the instruments' performance is verified daily (Peppler et al., 2008).

The SW radiation data that is used in this study is the ARM Value Added Product (VAP) called the Flux Analysis (FA) data. More information is available at <http://science.arm.gov/vaps/swflux.stm>. This dataset is generated by the RFA algorithm (Long and Ackerman, 2000; Long and Gaustad, 2004), which is applied to the ARM data from the SGP network of broadband SW radiometer sites. This is the same algorithm that is applied to the SURFRAD SW radiation dataset (see Section 2.1 for detail). In addition, this dataset is quality tested by the QCRad methodology (Long and Shi, 2008) and its annual average is obtained by the same methodology as described in Section 2.1.

2.3 Clean Air Status and Trends Network (CASTNET)

The Clean Air Status and Trends Network (CASTNET) was established under the 1990 Clean Air Act (CAA) Amendments and has continued and expanded the National Dry Deposition Network, which began in 1987. It is a national, long-term environmental monitoring program operated by the Environmental Protection Agency (EPA) and the National Park Service. It is designed to provide data for evaluating trends in air quality, atmospheric deposition and

1 ecological effects that result from air pollutant emission reductions. Currently, this network
2 operates approximately 84 monitoring sites through the contiguous US, Alaska and Canada.
3 However, for this study, we are only interested in those sites which are in the vicinity of
4 SURFRAD and ARM sites. The information on the selected CASTNET sites that are used in
5 this study can be found in Table 1 and Figure 1. CASTNET focuses on measurements of
6 concentrations of sulfur and nitrogen species and ozone. Concentration measurements for all
7 species except for ozone are made as weekly averages with the open-face 3-stage filter pack
8 which is mounted atop a 10-m tower to collect air pollutants in the form of gases and
9 particles. Ozone measurements are reported each hour.

10 In this study, the weekly measurement of sulfur dioxide (SO₂), particulate sulfate (SO₄) and
11 particulate nitrate (NO₃) are processed to obtain annual means at the seven selected sites
12 geographically paired with SURFRAD sites (see Figure 1). In order to provide high quality
13 data, the measurements were analyzed relative to data quality indicators (DQI) such as
14 precision, accuracy and completeness and their associated metrics (CASTNET 2010 Annual
15 Report, 2012). These analyses demonstrate that CASTNET data can be used with confidence
16 for multi-year trend analysis. The standards and policies for all components of project
17 operation from site selection through final data reporting are documented in the CASTNET
18 Quality Assurance Project Plan Revision 8.0 (2011). Also, the quality assurance reports are
19 produced four times per year with the fourth quarter report including an annual summary. The
20 dataset and documentations can be found at <http://epa.gov/castnet/javaweb/index.html>.

21

22 **2.4 Interagency Monitoring of Protection of Visual Environments (IMPROVE)**

23 The IMPROVE program began in 1988 and is a cooperative measurement effort designed to
24 establish current visibility and aerosol conditions in mandatory Class I areas (CIAs) and
25 identify chemical species and emission sources responsible for existing anthropogenic and
26 natural visibility impairment. This network consists of approximately 212 sites (170 on-going
27 and 42 discontinued sites). Again, we are only interested in those sites which are in the
28 vicinity of SURFRAD and ARM sites (see Figure 1 and Table 1).

29 Each monitoring approach has its own inherent limitations and biases. Determination of
30 gravimetric mass has both negative and positive artifacts. For example, ammonium nitrate
31 (NH₄NO₃) and other semivolatiles are lost during sampling; on the other hand, measured mass
32 includes particle-bound water. Moreover, some species may react with atmospheric gases,

1 which will further increase the positive mass artifact. In particular, estimating aerosol species
2 concentrations requires assumptions concerning the chemical form of various compounds,
3 such as nitrates, sulfates, organic material and soil composition. For example, the IMPROVE
4 Report V (June 2011) shows that differences on the order of 20% in organic carbon (OC)
5 mass can occur, depending on which sampling system is used. However, all these
6 uncertainties in gravimetric and speciation measurements are considered to be within an
7 acceptable range (Malm et al., 2011). More details regarding sites locations, instruments,
8 aerosol sampling and analysis and uncertainties in measurements can be found in IMPROVE
9 Report V June 2011. The data can be found at
10 <http://vista.cira.colostate.edu/improve/Data/data.htm>.

11

12 **2.5 Trend Estimation**

13 The results from each observation network are presented in all figures as time series of annual
14 mean anomalies (except AOD is represented as annual mean) for each site together with their
15 network mean (solid black line) of eastern US (i.e. averaging the annual mean of BON, GWN,
16 PSU and SGP to obtain the eastern network mean) and of western US (i.e. averaging the
17 annual mean of TBL, FPK and DRA to obtain the western network mean). Least square fits
18 (LSF) are applied to the eastern and western network mean to determine the tendencies (dash
19 black line). The scatter of the individual sites represents the uncertainty of the network mean
20 and the consistency of the measurements among the various sites in a given region. To ensure
21 the estimated trends are statistically significant, a regression analysis is used to account for
22 autocorrelation and variability in the observed data. This statistical methodology is based on
23 Weatherhead et al. (1998), which has been applied in many studies (Hsu et al., 2012; de Meij
24 et al. 2012). The general principle and its application in our study are briefly discussed in the
25 following paragraph.

26 After obtaining the annual mean for each dataset (i.e. SW radiation, AOD and aerosol
27 concentration), each trend is determined as the slope coefficient (m) of the LSF. Assuming a
28 simple linear model,

$$29 \quad Y_t = mX_t + c + N_t \quad (1)$$

30 where Y_t is the observed value at time t , c is the intercept term, m is the slope, X_t is year t of
31 the time series and N_t is the noise of the time series (i.e. residual from the straight-line fit at

1 time t). This noise term is assumed to be autoregressive with a lag of one time period
2 (i.e. $N_t = \varphi N_{t-1} + \varepsilon_t$, where φ is the autocorrelation coefficient and ε_t are independent and
3 identically distributed random variables with mean zero, and variance σ_ε^2). Once the m has
4 been estimated using generalized least squares regression (i.e. \hat{m}), the standard deviation of
5 \hat{m} can be estimated by:

$$6 \quad \sigma_m \approx \frac{\sigma_n}{t^{\frac{3}{2}}} \sqrt{\frac{1+\varphi}{1-\varphi}} \quad (2)$$

7 where σ_N is the standard deviation of the noise parameter N_t , and t is the number of years.

8 The significance of the trend can be assessed using the ratio $\frac{|\hat{m}|}{\sigma_m}$, i.e. the absolute trend relative

9 to its uncertainty estimate. This ratio is assumed to be approximately normally distributed
10 with mean zero and standard deviation 1. Thus, if this ratio is 1.96 or greater, the trend is
11 significant at the 95% confidence level. Similarly, if this ratio is greater than 1.65, the trend is
12 significant at the 90% confidence level. In general, Table 2 shows that all trends are
13 significant at the 95 % confidence level except the clear-sky direct SW in both eastern and
14 western US from radiation sites and NO₃ in eastern US from IMPROVE observations are
15 lower than 90% confidence level. Note that it becomes harder to detect a trend with a given
16 level of confidence as σ_m increases. Unless stated otherwise, the term “significant” in this
17 study indicates that the estimated trend is statistically significantly different from zero at the
18 given confidence level.

19

20 **3 Result and Discussion**

21 **3.1 Emission trends**

22 Several studies (Streets et al., 2006; Smith et al., 2011; McDonald et al., 2012; Xing et al.,
23 2012; Hand et al., 2012) show the CAA controls have successfully reduced air pollutants
24 emissions in the US since 1990, especially SO₂ and NO_x. For instance, the SO₂ and NO_x
25 emissions processed using the methodology described in Xing et al. (2012) show decreasing
26 trends for each site (Figure 2 a-d). The emission data is generated with a spatial resolution of
27 12 km x 12 km grid cell because of the configurations for the coupled WRF-CMAQ
28 simulation which is under testing for the same period. The emission data displayed in this
29 figure is extracted from the single grid cell containing each monitoring site so that the

1 equivalent network mean can be computed in the same manner as for the observational data.
2 To obtain a more representative depiction of US emission trends, the average based on all grid
3 cells in the west and east regions is also calculated (e.g. use longitude -100° to separate west
4 and east) which are identified as regional means. This is more representative because the
5 network mean (i.e. averaging 3 grid cells co-located with SURFRAD sites for the west
6 network and 4 grid cells co-located with SURFRAD sites for the east network) may be
7 dominated by anomalous emission rates in these few grid cells. Also, note that concentrations
8 at a point do not necessarily originate from emissions only at that point. For example,
9 although the western network mean (averaging of three sites) is mostly driven by the TBL
10 emission (shown in Figure 2 b), the overall western regional mean (averaging of western
11 states) still demonstrate a decreasing trend in Figure 3 b. Note that, as shown in Figures 2 and
12 3, these emission trends, either network (SO_2 east: $-0.07 \mu\text{g}/\text{m}^3/\text{year}$, SO_2 west: -0.01
13 $\mu\text{g}/\text{m}^3/\text{year}$, NO_x east: $-0.09 \mu\text{g}/\text{m}^3/\text{year}$ and NO_x west: $-0.06 \mu\text{g}/\text{m}^3/\text{year}$) or regional averages
14 (SO_2 east: $-0.56 \text{ Tg}/\text{year}$, SO_2 west: $-0.16 \text{ Tg}/\text{year}$, NO_x east: $-0.41 \text{ Tg}/\text{year}$ and NO_x west: $-$
15 $0.22 \text{ Tg}/\text{year}$), indicate a more dramatic change in the eastern US compared to the western
16 US. This is most likely because of the CAA controls were aimed to reduce the air pollutants
17 emission in the eastern US where most of the electric generation units (EGUs) and other
18 industrial facilities are located. In other words, since the SO_2 and NO_x emissions are low in
19 the western US to begin with, the application of CAA controls did not affect pollutant
20 emissions as drastically.

21

22 **3.2 Aerosol trends**

23 The AOD is often used as a surrogate for the tropospheric aerosol burden; consequently long-
24 term changes in AOD can also be used to verify the trends in the tropospheric aerosol burden
25 as well as associated trends in their optical and radiative characteristics. Therefore, one of the
26 analyses is to examine the trends in total column AOD at the SURFRAD and ARM sites in
27 conjunction with surface concentration measurements at the paired CASTNET and
28 IMPROVE sites (refer to Figure 1 and Table 1).

29 To begin with, we investigate the cloud-screened AOD from SURFRAD and ARM together
30 with total $\text{PM}_{2.5}$ from IMPROVE to assess the effect of reductions in anthropogenic aerosol
31 burden resulting from substantial reductions in emissions of SO_2 and NO_x over the past 16
32 years across the US. First, Figure 4 a-b shows that in the eastern US there is better correlation

1 (R=0.71) between AOD and PM_{2.5} than in the western US (R=0.58). Note that the IMPROVE
2 sites in the western US are further from the SURFRAD sites compared to the eastern US (see
3 Table 1 for distances). As presented in Figure 5 a-d, both trends of the cloud-screened AOD
4 (East: -0.0012 1/year and West: 0.0009 1/year) and PM_{2.5} (East: -0.30 µg/m³/year and West:
5 0.02 µg/m³/year) agree well with each other (i.e. decreasing in the eastern US while the
6 western US demonstrates a small increasing trend). This is not surprising to because the air
7 pollutants level is much higher in the eastern US before 1995 while the western mean AOD
8 (less than 0.1) and PM_{2.5} (less than 5 µg/m³) are always much lower than the eastern values.
9 Another possible contributing factor for this phenomenon at the western sites could be
10 changes in the long range transport of aerosol / dust plumes which can cause enhancements in
11 both surface aerosol concentrations and AOD (Gan et al., 2008; Mathur, 2008; Miller et al.,
12 2011; Uno et al., 2011) and possibly contribute to the noted trends in both surface and aloft
13 tropospheric aerosol burden. Also, note that these trends in the tropospheric aerosol burden
14 are consistent with the analysis of Hsu et al. (2012) who reported large reductions in AOD
15 over eastern US and Europe.

16 Analysis of trends in surface concentrations from IMPROVE (i.e. SO₄ east:-0.093 µg/m³/year,
17 SO₄ west: 0.004 µg/m³/year, NO₃ east: 0.003 µg/m³/year and NO₃ west: 0.007 µg/m³/year)
18 and CASTNET (i.e. SO₂ east: -0.209 µg/m³/year, SO₂ west: -0.012 µg/m³/year, SO₄ east: -
19 0.135 µg/m³/year, SO₄ west: -0.003 µg/m³/year, NO₃ east: -0.103 µg/m³/year and NO₃ west: -
20 0.011 µg/m³/year) also shows similar results (see Figure 6 and 7), except that NO₃ from
21 CASTNET is decreasing while NO₃ from IMPROVE has a small increasing trend in both
22 regions and SO₄ in the western US from both networks shows almost no trend. As shown in
23 both figures, the changes in SO₂, SO₄ and NO₃ are relatively small (almost no trend) in the
24 western US. The small difference in NO₃ between networks may be due to the locations of the
25 measurements that may be influenced by nearby agriculture activities. The overall results
26 indicate that the impact of the large reductions in emissions of SO₂ and NO_x resulting from a
27 variety of control measures under the CAA and its amendments is evident in the decreasing
28 trends in both the surface particulate matter concentrations as well as the AOD especially in
29 the eastern US (Streets et al., 2006; Smith et al., 2011; McDonald et al., 2012; Xing et al.,
30 2012; Hand et al., 2012). Note that the minor differences between the emission and the
31 surface concentration trends in the western US may due to the methodology of emission
32 processing. According to Xing et al. (2012), there are some assumptions and uncertainties in

1 the emission data which can be caused by the lag in reporting in rural areas of the western US
2 during the early period and changes in measurement methodologies of certain sources.

3 4 **3.3 Radiation trends**

5 The surface radiation measurements from SURFRAD and ARM are evaluated in this study
6 since the aerosol loading in the atmosphere can have a strong effect on radiation. The change
7 of aerosol loading and cloud cover affect the amount of solar energy that reaches the ground.
8 In general, the SW radiation (i.e. both direct and diffuse) is mostly affected by clouds,
9 aerosols (e.g. scattering and absorptive), atmospheric molecules and certain radiatively active
10 gases (e.g. water vapour and ozone). Note that the contribution of Rayleigh scattering of
11 molecules is neglected in this study because it is assumed constant over time and therefore
12 does not affect the SW radiation trends.

13 First, we examined the cloud cover trends together with the all-sky downwelling, direct and
14 diffuse SW radiation trends at these seven sites. Note that, cloud cover (also known as
15 cloudiness or cloud amount) refers to the fraction of the sky obscured by clouds when
16 observed from a particular location and is unitless. In Figure 8 a-d, the all-sky downwelling
17 total (East: 0.63 W/m²/year and West: 0.51 W/m²/year) and direct (East: 0.41 W/m²/year and
18 West: 0.17 W/m²/year) SW radiation in both regions exhibits increasing trends which indicate
19 more solar energy reaches the ground. At the same time, the trends of all-sky diffuse (East:
20 0.26 W/m²/year and West: 0.40 W/m²/year) SW radiation (Figure 8 e-f) also increase in east
21 and west regions while the cloud cover (East: -0.002 1/year and West -0.001 1/year) in Figure
22 8 g-h shows a decreasing trend. This outcome suggests that other factors besides the direct
23 effects of aerosol loading are affecting the all-sky diffuse SW radiation. Moreover, the study
24 of SW and LW radiation by Augustine and Dutton (2013), and SW by Long et al. (2009),
25 suggests that the SW brightening in the US is related to a decrease in cloud coverage and
26 aerosol direct effects may only play a smaller role in this phenomenon. However, the
27 reduction of aerosol loading may be contributing to the decrease in cloud cover through
28 indirect effects whereby reduced concentrations of cloud condensation nuclei (CCN) can
29 cause reductions in cloud albedo and lifetime (Lohmann and Feichter, 2005). On the other
30 hand, changes in atmospheric circulation patterns that may have occurred over this time
31 period may also have contributed to the observed changes in cloud cover. For example,
32 Augustine and Dutton (2013) mentioned that during this study period not only the greenhouse

1 gases were affecting the surface radiation budget but the atmospheric circulation associated
2 with ENSO (El Niño/Southern Oscillation) can also potentially dissipate the excess sensible
3 heat from the major increase in the surface radiation. Overall, while the all-sky downwelling
4 SW radiation is increasing, it is hard to attribute this trend to the individual or combined
5 changes in either the aerosol loading or clouds since these measurements reflect both effects.
6 Therefore, evaluating the clear-sky downwelling, direct and diffuse SW radiation may give us
7 a better idea of direct aerosol effects on SW radiation as it eliminates the cloud effects.

8 In Figure 9, the clear-sky downwelling total SW radiation (East: $0.37 \text{ W/m}^2/\text{year}$ and West:
9 $0.48 \text{ W/m}^2/\text{year}$) is increasing in both regions of US but the clear-sky direct SW radiation
10 (East: $-0.009 \text{ W/m}^2/\text{year}$ and West: $0.001 \text{ W/m}^2/\text{year}$) shows virtually no trend. Moreover, the
11 clear-sky diffuse SW (East: $0.38 \text{ W/m}^2/\text{year}$ and West: $0.48 \text{ W/m}^2/\text{year}$) also displays an
12 increasing trend about equal to the total clear-sky SW trend. This result seems inconsistent
13 with the analysis of AOD and surface concentration trends, particularly those in the eastern
14 US. However, similar trends in clear-sky diffuse SW radiation were reported in the analysis of
15 Long et al. (2009) who suggested that increasing trends at all of the sites analyzed in the
16 present study may be indicative of radiation changes owing to processes other than the dry
17 aerosol direct effects such as aerosol indirect/semi-indirect effects and/or the variation in the
18 atmospheric humidity profile (e.g. increased high-altitude air traffic) that generate thin cirrus
19 haze but are still traditionally included in the clear-sky classifications. For example, as noted
20 by Dupont et al. (2008), an optical depth of about 0.15 or less at visible band is considered as
21 “clear-sky” in the classification of the RFA methodology and this definition is consistent with
22 human and sky imager observations (Long et al., 2006). Furthermore, as explained by Long et
23 al. (2009), the AOD retrievals include a field-of-view (FOV) larger than the solar disk, such
24 that enhanced forward scattering would be inferred as a reduction in optical depth. As a result,
25 subvisual cirrus would lead to enhanced measurements of the clear-sky downwelling diffuse
26 SW component while at the same time biasing the AOD retrievals low. For example, any
27 increase in the direct due to actual decreases in aerosols can be compensate by the large mode
28 ice crystal scattering of SW out of the direct instrument FOV into the diffuse field (Long et
29 al., 2009). Meanwhile, these results suggest that anthropogenic aerosols are not the key factor
30 that influences the trend in clear-sky diffuse SW in the western US because the changes in
31 AOD and surface concentrations are relatively small (almost no trend or slightly increasing)
32 while the trends of the clear-sky SW and clear-sky direct behave similar as those trends in
33 eastern US.

1 Despite these confounding factors, the increasing trend in clear-sky downwelling SW
2 radiation in the eastern US may be at least partially caused by the reduction of anthropogenic
3 aerosol loading as the AOD and surface concentrations both have decreasing trends. In
4 particular, Figure 10 a-d show an interesting finding that both AOD and PM_{2.5} are decreasing
5 in the eastern US and remain relatively stable in the western US while the clear-sky SW
6 radiation is increasing over the past 15 years in both regions. Moreover, Xing et al. (2012)
7 showed that the control measures under the CAA have led to substantial reductions in
8 emissions (total SO₂ and NO_x emissions in the US decreased by roughly 65% and 50%,
9 respectively, between 1990 and 2010), and that many of these reductions were especially
10 pronounced in the eastern US. The anti-correlation between AOD and clear-sky downwelling
11 SW radiation is suggestive of decreasing aerosol direct radiative effects. One of the possible
12 causes of increasing clear-sky diffuse radiation can be the location of the sites which are close
13 to urban regions and may be influenced by air traffic activities as shown in Figure 11 (several
14 international and regional airports are located in this region). The contrail-generated ice haze
15 from the associated air traffic may confound the interpretation of clear and cloudy sky at those
16 sites in eastern US (Long et al., 2009). Also, note that the clear-sky downwelling SW
17 radiation is estimated based on RFA (Long and Ackerman, 2000; Long and Gaustad, 2004) so
18 there are some uncertainties in this estimation. For example, Long and Ackerman (2000)
19 showed that the interpolated fits produced clear-sky radiation estimated with a root mean
20 square uncertainty of ~3% which is caused by the unidentified column water vapor and
21 aerosol changes normally occurring between clear-sky fitted days.

22 In order to further examine the causes of the increasing trend in clear-sky diffuse SW in the
23 eastern US, we analyzed the US domestic airline route network from the major airlines (i.e.
24 Continental, United, US Airways and Delta airlines). This analysis illustrated that a majority
25 of the routes (see Figure 12 for the combined routes from US Airways and Delta airlines.) are
26 over the eastern US with major airport hubs (see Figure 11) in urban area such as Chicago,
27 New York City, Atlanta, and Houston which can lead to an increase in contrail-generated haze
28 (i.e. subvisual cirrus) (<http://contrailscience.com/interactive-flight-map-visualization/>).
29 Moreover, Figure 13 illustrates the total flight hours of aircraft over the US (source: US
30 Bureau of transportation Statistics) rose notably from 1996 through 2010. The growth of
31 aviation together with the major airline routes crossing the eastern US can potentially enhance
32 the contrail-generated haze and this can further enhance the “clear-sky” diffuse SW
33 measurements (Yang et al., 2010; Burkhardt et al., 2010). Also, note that during the last 3

1 years (2008-2010) the total flight hours are reducing while the clear-sky diffuse is also
2 decreasing which can be one of the clues that the contrails is related to the diffuse radiation.
3 Consequently, this finding can be one of the possible causes of the increasing clear-sky
4 diffuse SW radiation trend since the observation sites are located close to areas with dense air
5 traffic (see Figure 1).

7 **4 Summary and Conclusions**

8 The analysis conducted in this study attempts to determine the consequence of the changes in
9 troposphere aerosol burden arising from substantial reductions in emissions of SO₂ and NO_x
10 associated with control measures under the CAA over the past 16 years especially on trends in
11 solar radiation. Radiation measurements for the period 1995-2010 from the SURFRAD and
12 ARM sites in the US are analyzed in conjunction with observations of surface concentrations
13 (CASTNET and IMPROVE) and AOD (SURFRAD) at sites in the vicinity of these radiation
14 measurement sites. This pairing of data from various networks provides an opportunity to
15 examine trends in aerosol burden and associated radiative effects for various sub-regions
16 across the US and give insight into the causes of observed “brightening”.

17 The outcome from this study suggests that emission controls (Streets et al., 2006; Smith et al.,
18 2011; McDonald et al., 2012; Xing et al., 2012; Hand et al., 2012) resulted in a substantial
19 reduction in aerosol burden over the North American troposphere, especially across the
20 eastern US, and also shows an associated increase in surface solar radiation over large
21 portions of the eastern US. However, analysis of the clear-sky diffuse SW radiation shows
22 that the radiative impacts of decreasing aerosol concentrations are confounded by other
23 factors. Specifically, the clear-sky diffuse SW radiation was shown to have an increasing
24 trend at all sites, the opposite of what would be expected if changes in clear-sky radiation
25 were solely attributable to changes in the aerosol direct effect. There are several possible
26 interpretations to resolve this seeming contradiction. To begin with, we examined the high-
27 altitude air traffic (spatial and temporal) over the US which can potentially enhance the cirrus
28 haze occurrences together with the procedure for the classification of “clear-sky” conditions in
29 the radiation retrieval methodology. The analysis shows that air traffic is heaviest over many
30 areas of the eastern US and that there has been a steady decadal growth of air traffic (Long et
31 al. 2009). Moreover, as discussed by Long et al. (2009), the traditional classification of “clear-
32 sky” includes some amount of condensed water in the atmosphere column, including sub-

1 visual cirrus and cirrus haze that have an influence on the clear-sky downwelling SW
2 radiation partitioning (between the direct and diffuse components) observed at the surface.
3 Particularly, the AOD retrievals include a FOV larger than the solar disc which can enhance
4 the forward scattering and hence be erroneously interpreted as decreases in optical depth. At
5 the same time, migration of a mostly dry aerosol small-mode scattering and absorption to a
6 mix that includes a significant large mode primarily scattering component can act to offset
7 any increase in the direct component FOV from decreasing aerosols by increased scattering
8 into the diffuse component due to ice crystals, as detailed in Long et al. (2009). Unraveling
9 the contributions of the various direct, semi-indirect and indirect aerosol effects as well as
10 other cloud effects to changes in SW radiation will be pursued through the use of coupled
11 modeling systems such as WRF-CMAQ (Wong et al., 2012) and will be the subject of future
12 studies. Meanwhile, the causes for the increase of the clear-sky diffuse SW in the western US
13 can be similar to the eastern US because the AOD and the surface aerosol concentrations in
14 the western US are low since 1995 and do not vary remarkably.

15 In conclusion, this analysis suggest that there was a SW radiation “brightening” over the past
16 16 years in the US (Wild et al., 2009; Long et al., 2009). For all-sky SW radiation, the
17 "brightening" occurs at the same time that cloudiness exhibits a decreasing trend suggesting the
18 possibility that indirect effects of decreasing aerosols may be a contributing factor. However,
19 association does not prove causation, especially considering that trends in cloud cover can have many
20 other reasons. The clear-sky SW radiation may be associated at least in part with a decrease in
21 aerosols, particularly in the eastern US where substantial reductions in anthropogenic
22 emissions of SO₂ and NO_x, (Xing et al., 2012; Hand et al., 2012) resulting from the
23 implementation of control measures have resulted in a decrease in the tropospheric aerosol
24 burden. The relationship of the radiation brightening trend to aerosol decreases is less
25 apparent at the western U.S.; this region could be influenced by local terrain influences as
26 well as episodic long-range pollution transport which may contribute to the lack of a clear
27 association between trends in aerosol burden and surface radiation at these locations.
28 Nevertheless, the association of “brightening” with the aerosol direct effect is confounded by
29 increasing trends in clear-sky diffuse SW. Thus, it seems that other factors may play a role in
30 the increasing of clear-sky diffuse SW radiation. Moreover, the indirect aerosol and other
31 cloud effects (Ruckstuhl et al., 2008) as well as the water vapor concentration (Haywood et
32 al., 2011) can potentially influence the surface solar energy. Thus, more studies are needed to
33 evaluate these factors. Furthermore, the existence of an association between trends in surface

1 solar radiation and aerosol burden provide a unique test for the current generation of climate-
2 chemistry models. Multi-decadal model calculations with the coupled WRF-CMAQ model
3 (Wong et al., 2012) are being performed for the 1990-2010 period to test the ability of the
4 model to simulate not only the changes in aerosol burden over the US arising from the
5 implementation of the CAA, but also the associated radiation brightening as analyzed in the
6 present analysis. Results from these modeling studies and their comparison with the trends
7 inferred from the observations will be reported in subsequent contributions.

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- 1 Table 1: Listing of site identification of each site for different networks and their
 2 measurement period which are used in this study. Distance means the approximate distance
 3 between SURFRAD/ARM sites with CASTNET or IMPROVE sites.

SURFRAD / ARM	SW Radiation	AOD	CASTNET	Aerosol Concentration	IMPROVE	Aerosol Concentration
PSU [Penn State, PA] Elevation: 0.38 km Lat : 40.72° Lon : -77.93°	1999-2010	1999-2009	PSU106 [Penn State, PA] Distance: 0 km Elevation : 0.38 km Lat : 40.72° Lon : -77.93°	1990-2010	WASH1 [Washington DC] Distance: 210 km Elevation : 0.02 km Lat : 38.88° Lon : -77.03°	1990-2010
BON [Bondville, IL] Elevation : 0.23 km Lat : 40.05° Lon : -88.37°	1995-2010	1997-2010	BVL130 [Bondville, IL] Distance: 0 km Elevation : 0.21 km Lat : 40.05° Lon : -88.37°	1990-2010	BONL1 [Bondville, IL] Distance: 0 km Elevation : 0.21 km Lat : 40.05° Lon : -88.37°	2001-2010
GWN [Goodwin Creek, MS] Elevation: 0.1 km Lat : 34.25° Lon : -89.87°	1995-2010	1997-2010	CVL151 [Coffeeville, MS] Distance: 30 km Elevation : 0.1 km Lat : 34.00° Lon : -89.80°	1990-2010	MACA1 [Mammoth Cave NP, KY] Distance: 500 km Elevation : 0.25 km Lat : 37.13° Lon : -86.15°	1992-2010
SGP [South Great Plain, OK] Elevation: 0.31 km Lat : 36.80° Lon : -97.50°	1997-2010	1996-2007	CHE185 [Cherokee, OK] Distance: 270 km Elevation : 0.3 km Lat : 35.75° Lon : -94.67°	2002-2010	CHER1 [Cherokee Nation, OK] Distance: 50 km Elevation : 0.34 km Lat : 36.93° Lon : -97.02°	2003-2010
FPK [Fort Peck, MT] Elevation: 0.63 km Lat : 48.31° Lon : -105.10°	1996-2010	1997-2010	THR422 [Theodore, ND] Distance: 170 km Elevation : 0.85 km Lat : 46.89° Lon : -103.38°	1998-2010	MELA1 [Medicine Lake, MT] Distance: 50 km Elevation : 0.61 km Lat : 48.49° Lon : -104.48°	2000-2010
TBL [Table Mountain, CO] Elevation: 1.69 km Lat : 40.13° Lon : -105.24°	1996-2010	1997-2010	ROM406 [Rocky Mtn NP, CO] Distance: 30 km Elevation : 2.7 km Lat : 40.28° Lon : -105.55°	1994-2010	ROMO1 [Rocky Mountain NP, CO] Distance: 30 km Elevation : 2.8 km Lat : 40.28° Lon : -105.55°	1991-2008
DRA [Desert Rock, NV] Elevation: 1.01 km Lat : 36.63° Lon : -116.02°	1999-2010	1999-2010	DEV412 [Death Valley, CA] Distance: 85 km Elevation : 0.12 km Lat : 36.51° Lon : -116.85°	1995-2007	DEVA1 [Death Valley NP, CA] Distance: 85 km Elevation : 0.13 km Lat : 36.51° Lon : -116.85°	2000-2010

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- 1 Table 2: Trends (slope) for each dataset between periods of 1995 to 2010, along with the
- 2 standard error and confidence level, respectively.

	Trend	Std. Error	$\frac{ \hat{m} }{\sigma_m}$	Confidence Level (%)
Emission Region Mean				
SO ₂ east	-0.5637	0.0129	43.68	>95
SO ₂ west	-0.1643	0.0037	44.19	>95
NO _x east	-0.4086	0.0226	18.04	>95
NO _x west	-0.2231	0.0168	13.32	>95
Emission Network Mean				
SO ₂ east	-0.0734	0.0030	24.88	>95
SO ₂ west	-0.0108	0.0004	28.18	>95
NO _x east	-0.0918	0.0015	60.03	>95
NO _x west	-0.0617	0.0030	20.56	>95
SURFRAD and ARM				
AOD east	-0.0012	0.0003	4.26	>95
AOD west	0.0009	0.0001	6.70	>95
All-sky SW down east	0.6296	0.0566	11.13	>95
All-sky SW down west	0.5131	0.0359	14.28	>95
Clear-sky SW down east	0.3691	0.0292	12.65	>95
Clear-sky SW down west	0.4799	0.0443	10.82	>95
All-sky direct SW east	0.4149	0.0576	7.21	>95
All-sky direct SW west	0.1739	0.0488	3.56	>95
Clear-sky direct SW east	-0.0085	0.0315	0.27	<90
Clear-sky direct SW west	0.0005	0.0331	0.015	<90
All-sky diffuse SW east	0.2555	0.0235	10.86	>95
All-sky diffuse SW west	0.4009	0.0489	8.21	>95
Clear-sky diffuse SW east	0.3764	0.0107	35.11	>95
Clear-sky diffuse SW west	0.4781	0.0253	18.88	>95

Cloud cover east	-0.0021	0.0003	6.13	>95
Cloud cover west	-0.0012	0.0004	2.71	>95
IMPROVE				
PM _{2.5} east	-0.2998	0.0114	26.34	>95
PM _{2.5} west	0.0181	0.0074	2.44	>95
SO ₄ east	-0.0933	0.0071	13.10	>95
SO ₄ west	0.0038	0.0009	4.39	>95
NO ₃ east	0.0025	0.0065	0.39	<90
NO ₃ west	0.0069	0.0013	5.37	>95
CASTNET				
SO ₂ east	-0.2089	0.0107	19.48	>95
SO ₂ west	-0.0121	0.0012	10.31	>95
SO ₄ east	-0.1346	0.0056	23.87	>95
SO ₄ west	-0.0026	0.0010	2.53	>95
NO ₃ east	-0.1026	0.0034	30.43	>95
NO ₃ west	-0.0110	0.0010	10.79	>95

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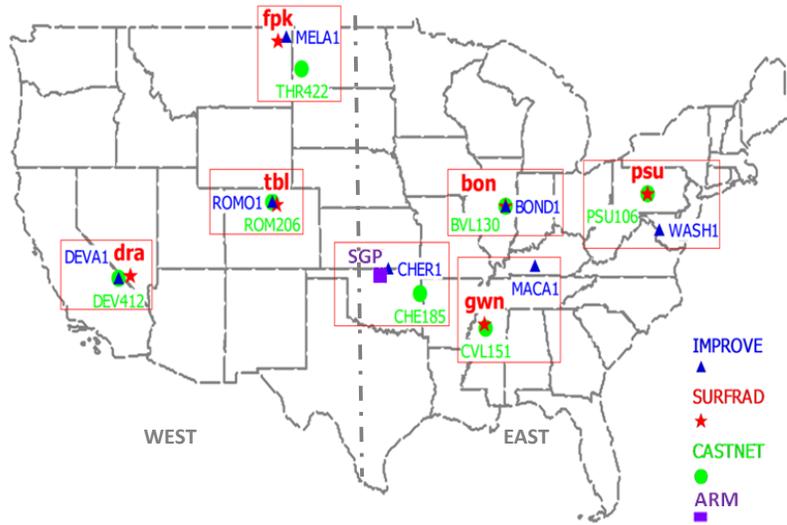
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2 Figure 1: Locations of various sites in SURFRAD, ARM, CASTNET and IMPROVE
 3 networks.

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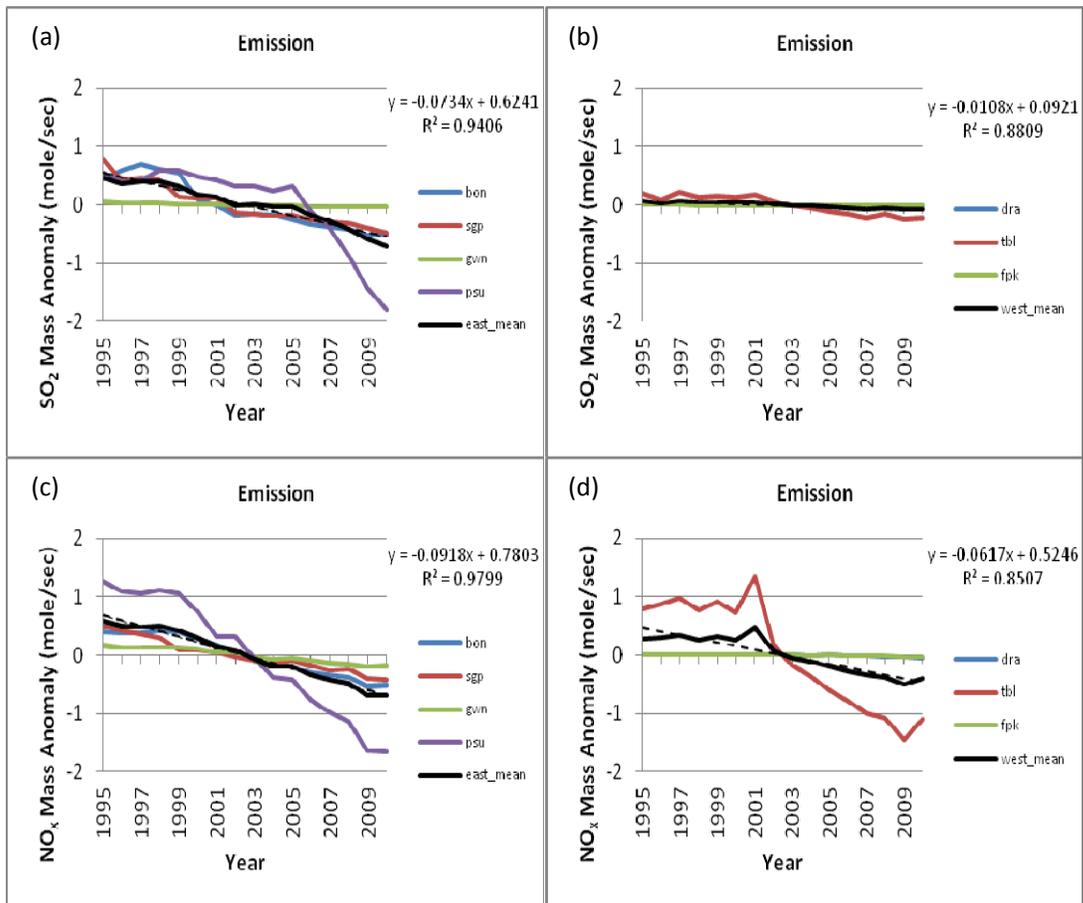
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3 Figure 2: Annual anomalies of SO₂ (first row) and NO_x (second row) emission for each site
 4 (colored line) and the network mean (solid black line) together with the LSF (dash black line)
 5 to the network mean. The best-fit equation and coefficient of determination (R²) are given at
 6 right in each panel. The left column represent eastern US while the right column represent
 7 western US

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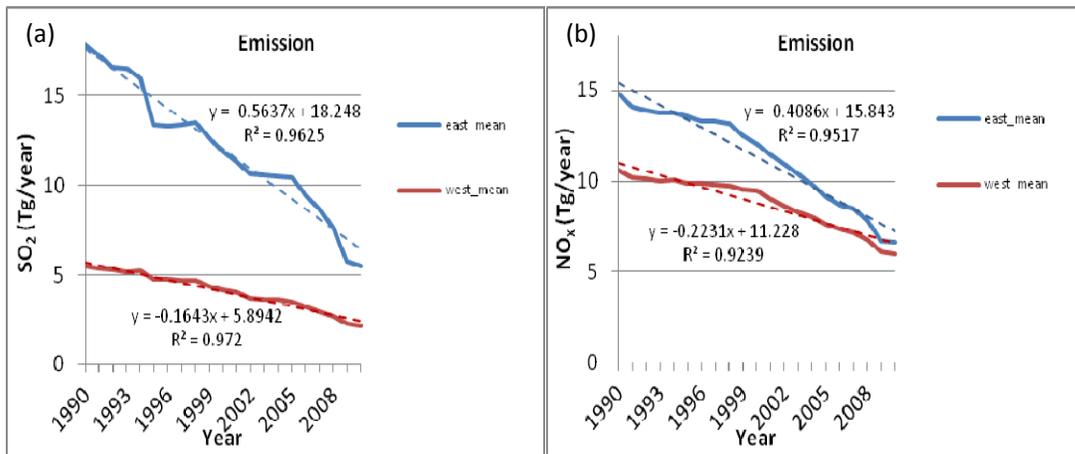
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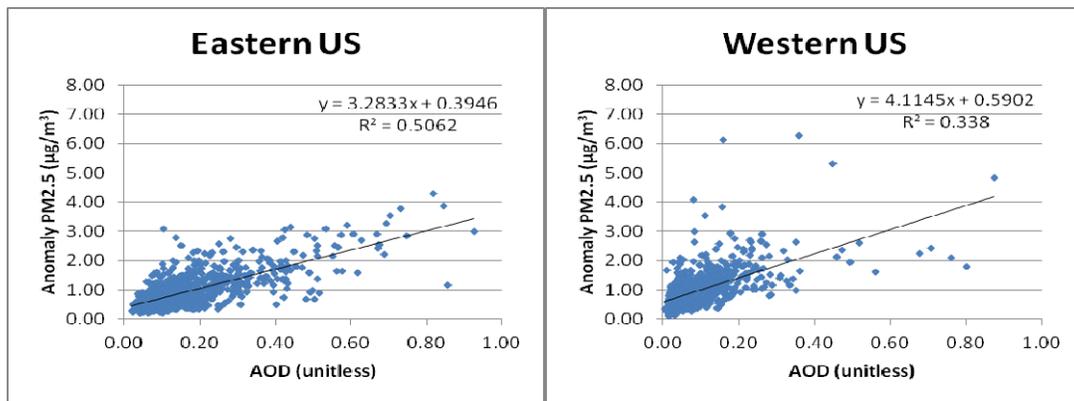
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2 Figure 3: Annual anomalies of SO₂ (left) and NO_x (right) emission for each regional mean
 3 (solid colored line) together with their LSF (dash line). The best-fit equation and coefficient of
 4 determination (R^2) are given at right in each panel.

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7 Figure 4: Scatter plot for AOD versus anomaly PM_{2.5}. Left panel is for eastern US and right
 8 panel is for western US. The best-fit equation and coefficient of determination (R^2) are given
 9 at right in each panel.

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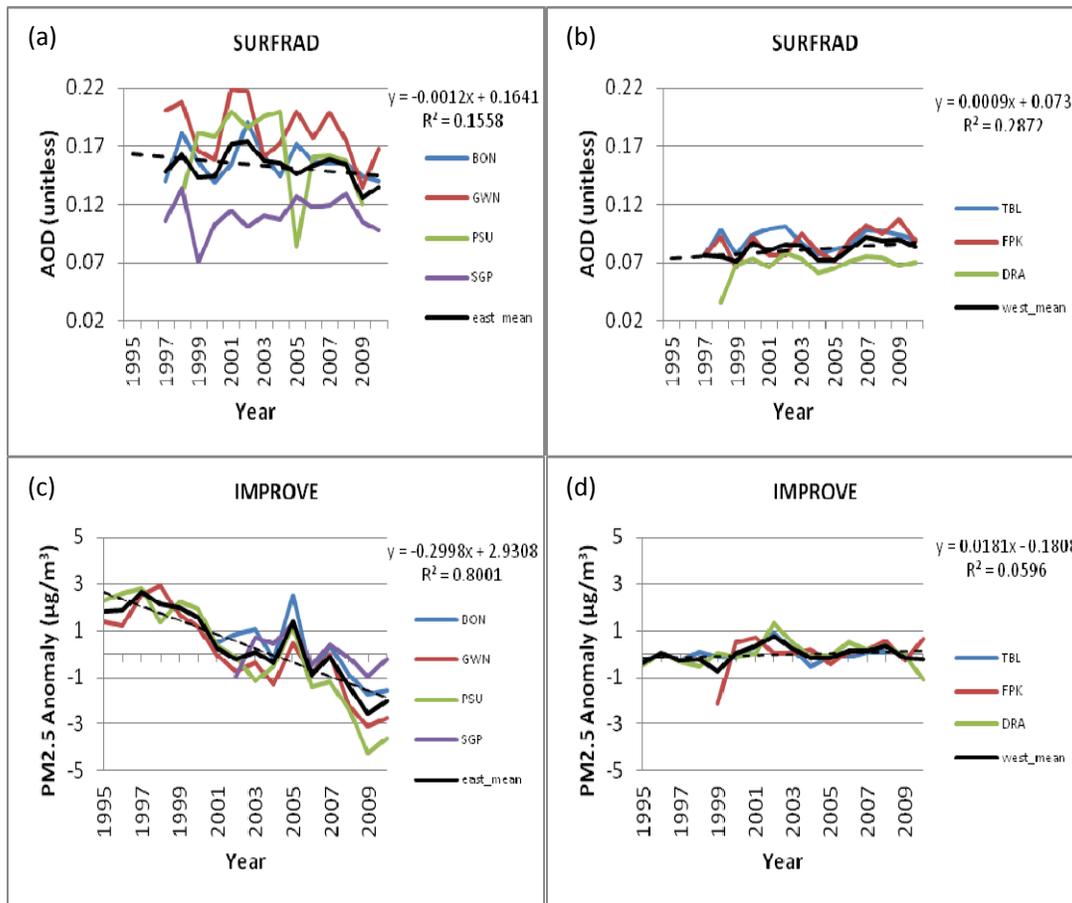


Figure 5: Annual anomalies of AOD from SURFRAD (first row) and PM_{2.5} from IMPROVE (second row) for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US

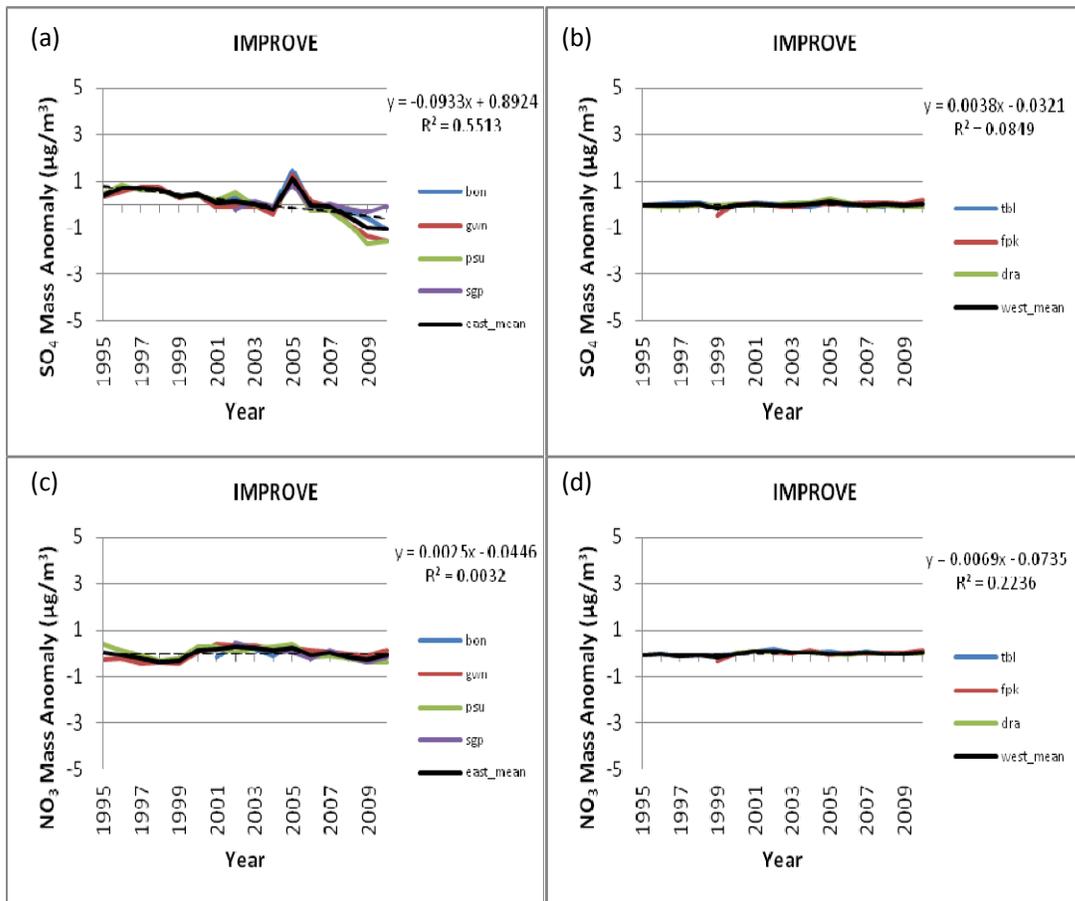


Figure 6: Annual anomalies of SO₄ (first row) and NO₃ (second row) from IMPROVE for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R²) are given at right in each panel. The left column represent eastern US while the right column represent western US

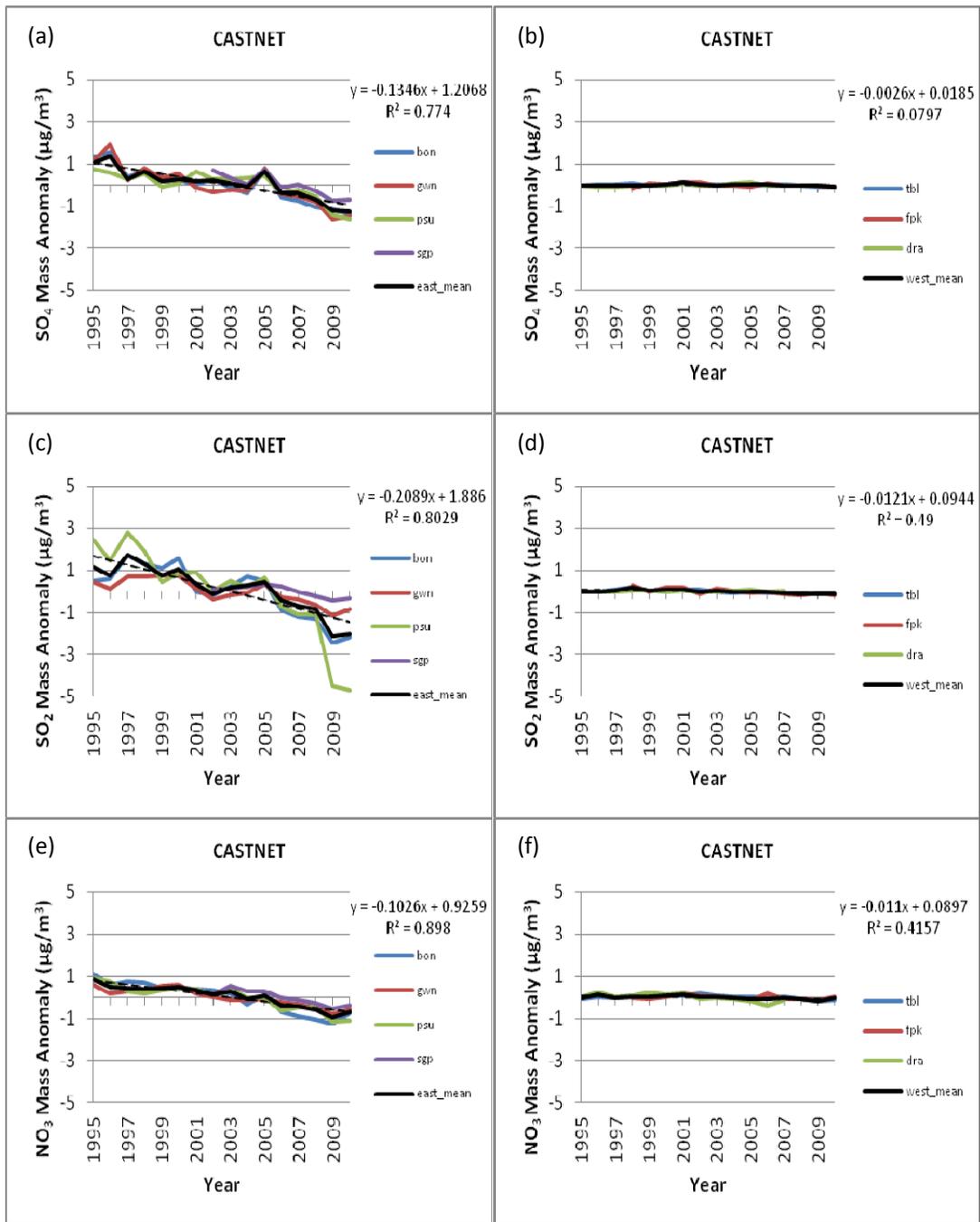
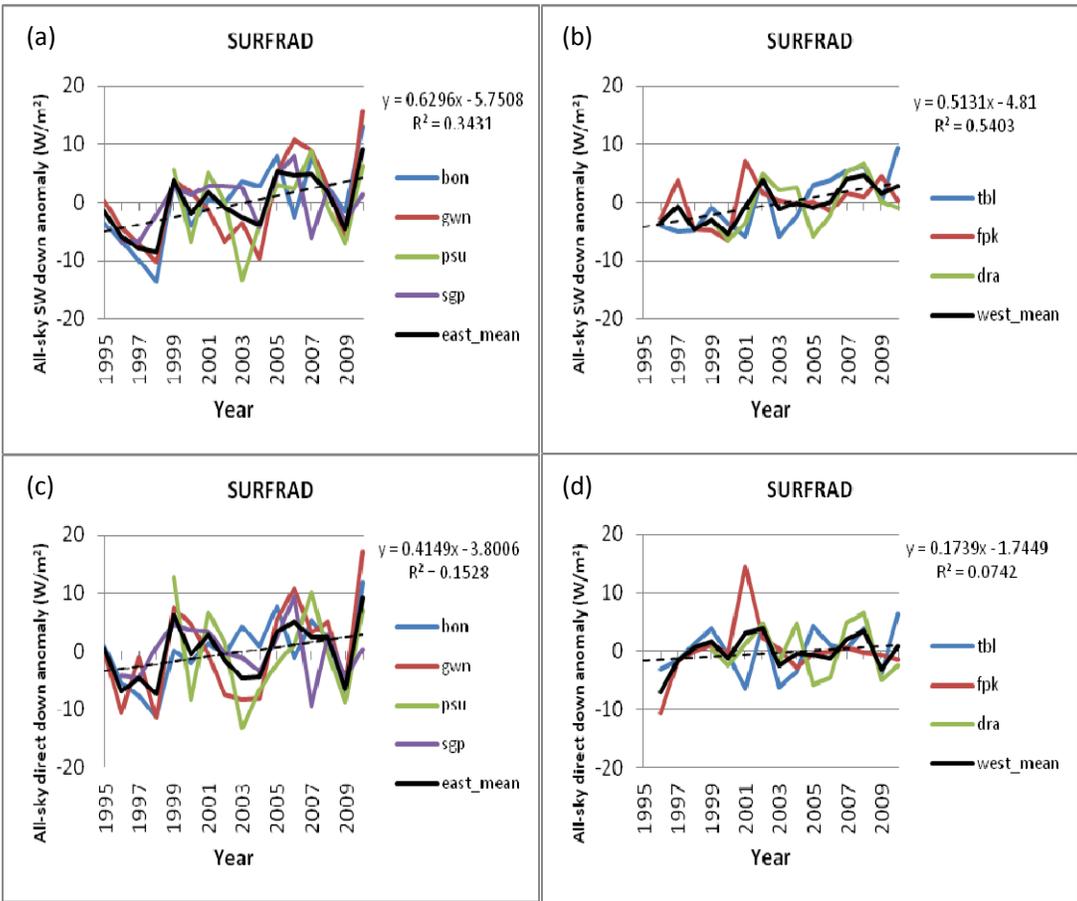


Figure 7: Annual anomalies of SO₄ (first row), SO₂ (second row) and NO₃ (third row) from CASTNET for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R²) are given at right in each panel. The left column represent eastern US while the right column represent western US



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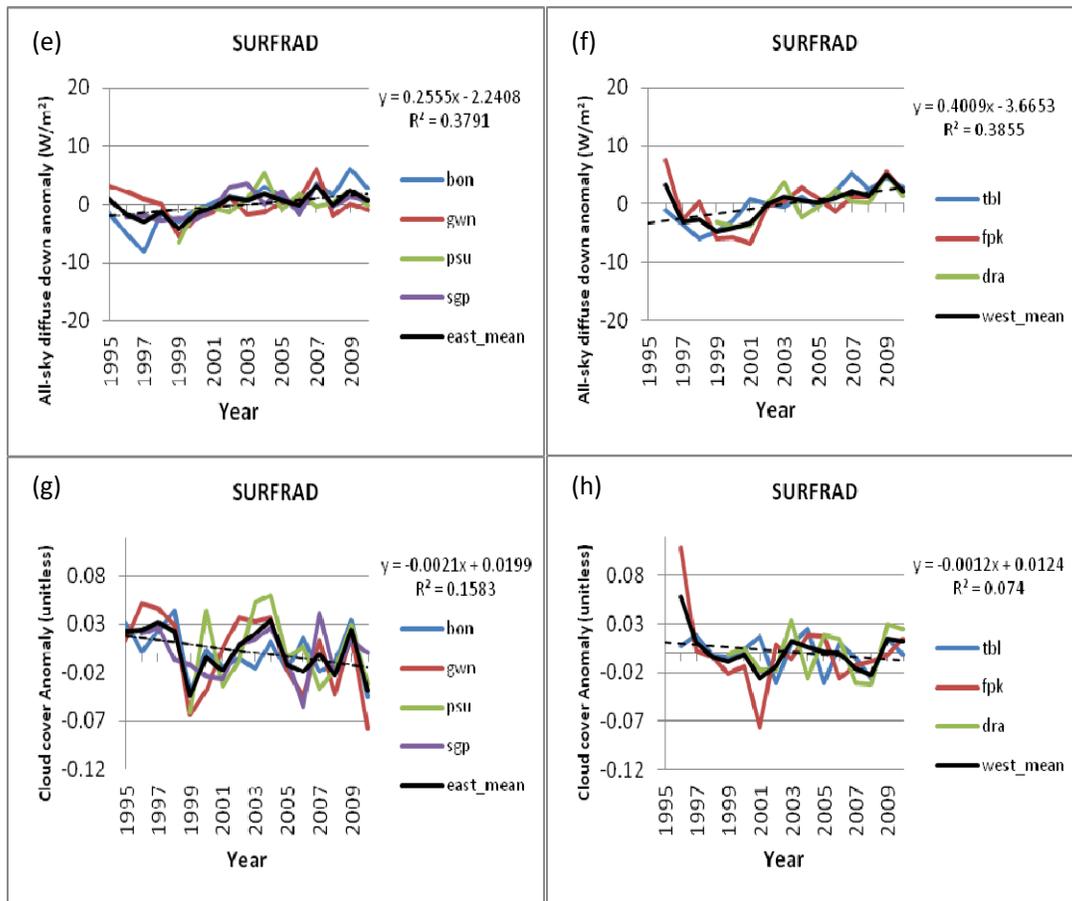


Figure 8: Annual anomalies of all-sky downwelling SW (first row), direct SW (second row), diffuse SW (third row) and cloud cover fraction (fourth row) from SURFRAD for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US

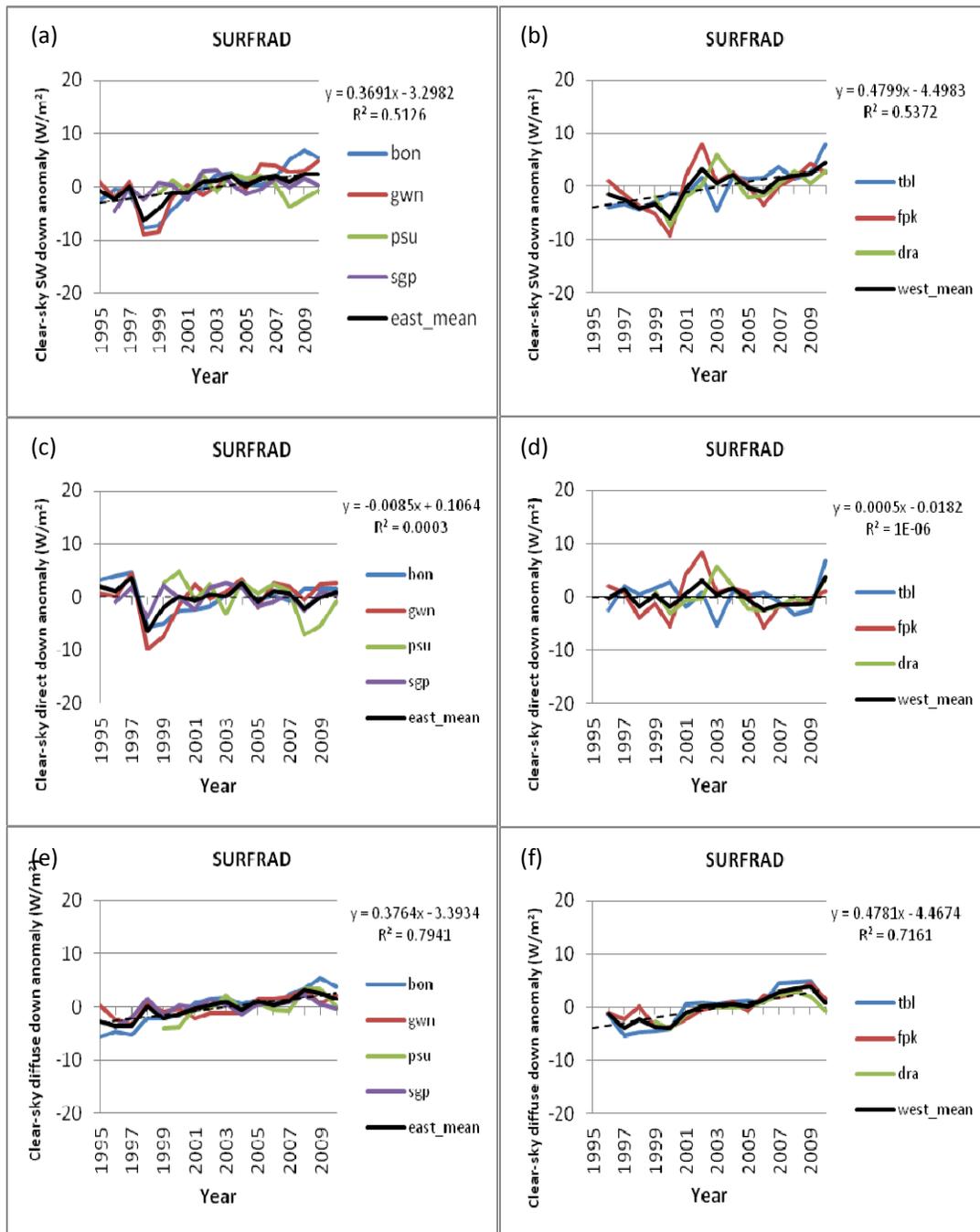
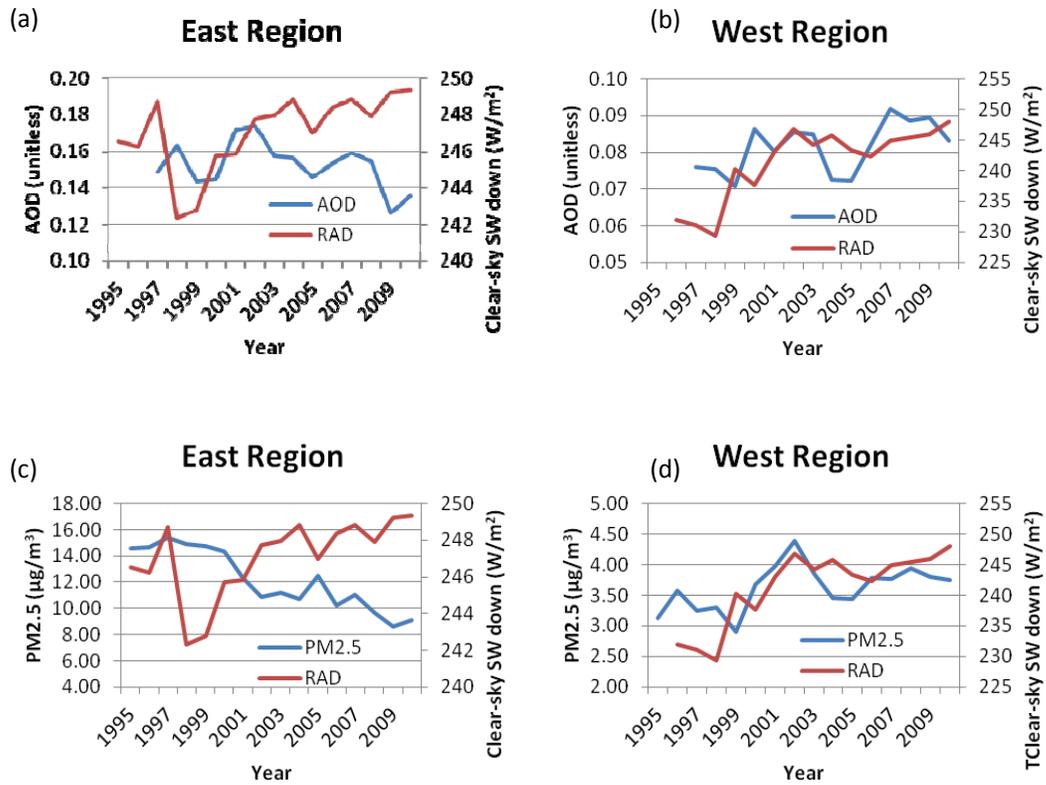


Figure 9: Annual anomalies of clear-sky downwelling SW (first row), direct SW (second row) and diffuse SW (third row) from SURFRAD for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US



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3 Figure 10: Panel (a-b) represent annual mean of AOD versus clear-sky SW radiation from
 4 1995 through 2010. Panel (c-d) represent annual mean of PM_{2.5} versus clear-sky SW radiation
 5 from 1995 through 2010. Left side is for east region while right side is for west region.

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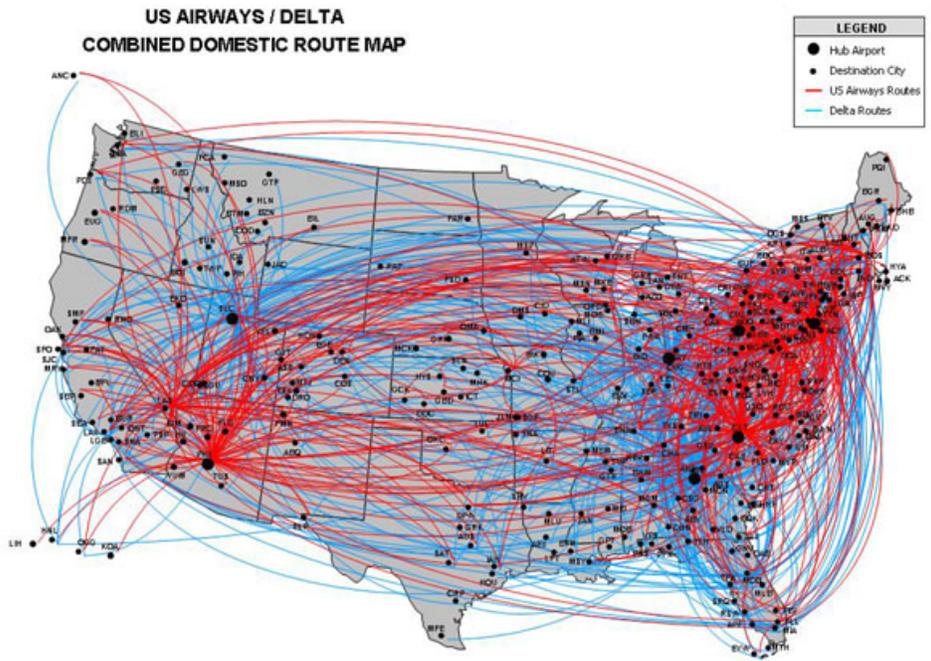
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Air Traffic Hubs 2011



NOTE: An Air Traffic Hub is a community of geographic areas whose airports serve at least .05% of all enplaned (boarded) passengers in the United States. All locations displayed here had a total enplanement of 30,000 or more for 2010. They are categorized based on their share of total enplaned passengers: Large, 1% or more, Medium, 0.25%-0.99%, and Small, 0.05%-0.24%. Source: DOT data as of June 2011

2 Figure 11: Air Traffic Hubs in US



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4 Figure 12: US Airways and Delta combined domestic routes.
5 (source: http://www.proaerobusiness.com/route_maps.htm)



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2 Figure 13: Monthly US system (international and domestic) aircraft airborne flight hours for
 3 the period January 1996 through December 2010 for the sum of passenger and cargo flights.
 4 (source: US Bureau of transportation Statistics)

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