1	The Role of the Atmosphere in the Provision of Ecosystem Services
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# 26 <u>Abstract</u>

Solving the environmental problems that we are facing today requires holistic approaches 27 28 to analysis and decision making that include social and economic aspects. The concept of ecosystem services, defined as the benefits people obtain from ecosystems, is one potential tool 29 to perform such assessments. The objective of this paper is to demonstrate the need for an 30 integrated approach that explicitly includes the contribution of atmospheric processes and 31 functions to the quantification of air-ecosystem services. First, final and intermediate air-32 33 ecosystem services are defined. Next, an ecological production function for clean and clear air is described, and its numerical counterpart (the Community Multiscale Air Quality Model) is 34 introduced. An illustrative numerical example is developed that simulates potential changes in 35 air-ecosystem services associated with the conversion of evergreen forest land in Mississippi, 36 Alabama and Georgia to commercial crop land. This one-atmosphere approach captures a broad 37 range of service increases and decreases. Results for the forest to cropland conversion scenario 38 39 suggest that although such change could lead to increased biomass (food) production services, there could also be coincident, seasonally variable decreases in clean and clear air-ecosystem 40 services (i.e., increased levels of ozone and particulate matter) associated with increased 41 42 fertilizer application. Metrics that support the quantification of these regional air-ecosystem changes require regional ecosystem production functions that fully integrate biotic as well as 43 abiotic components of terrestrial ecosystems, and do so on finer temporal scales than are used for 44 the assessment of most ecosystem services. 45

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48 <u>Keywords:</u> ecosystem services; CMAQ; quantification of air-ecosystem services; air quality

49 modeling; clean air services

#### 51 **1.0 Introduction**

Solving the environmental problems that we are facing today requires holistic approaches 52 53 to analysis and decision-making. Issues such as global climate change, land use change resulting from increasing human population, and long-term anthropogenic impacts on global 54 55 biogeochemical cycles cannot be considered as isolated problems or individual research topics. 56 To address the full implications of our decisions across local, national, and global scales and multiple human generations we must adopt a broad, systems perspective that incorporates social, 57 environmental, and economic aspects. The U.S. National Research Council has referred to these 58 59 as "the three pillars of sustainability" (National Research Council, 2011). Recently the concept of ecosystem services, defined as the benefits people obtain from 60 ecosystems, has been used to show how humans benefit from the natural capital provided by the 61 earth (MEA, 2005). Valuing the benefits we receive from nature, and identifying the 62 beneficiaries, allows for a more complete assessment of the social, environmental, and economic 63 64 impacts of a given management action or policy decision leading to maximum benefits (including benefits to human health) and fewer unintended consequences. For example, 65 quantification of wetland value based on the ecosystem services it provides to the local 66 67 community may inform decision makers when evaluating the utility of that wetland relative to the benefits of urban development. The value of services provided by the atmosphere has rarely 68 69 been considered in detail (Thornes et al., 2010). In response, Thornes et al. (2010) provide 70 initial estimates for 12 atmospheric services; a total value that ranges from 100 to 1000 times the 2008 Gross World Product. These estimates are based on a value relative to Carbon Dioxide 71 72 under the EUETS (European Union Emissions Trading Scheme), and value relative to 73 compressed air. The authors note that additional research is needed to develop a more systematic

74 approach to the valuation of specific atmospheric services. Challenges to the development of such an approach include those encountered when valuing any natural resource (see, e.g., 75 76 Randall, 1987), but also include the tendency to treat the atmosphere as a source of hazard as opposed to benefit, and an artificial distinction between atmospheric and ecosystem services. In 77 reality, ecosystems comprise biotic and abiotic components that cannot exist independent of one 78 79 another, and together provide beneficial services to humans. The term air-ecosystem service is 80 used throughout this discussion to emphasize that the integrated, co-dependent nature of this 81 relationship must be considered. We begin by defining what is meant by the term "air-ecosystem 82 service." This is followed by the exploration of a conceptual model detailing the tightly coupled nature of one specific air-ecosystem service; clean air. A crucial step towards the economic 83 valuation of ecosystem services is the quantification of services using metrics that can be linked 84 to economically valued benefits. A regional air quality model that quantitatively defines many 85 aspects of the response of a tightly coupled atmosphere-biosphere system is used to illustrate 86 87 connections between human behavior and preferences and the underlying ecological processes and functions leading to the production of clean air ecosystem services. This example is 88 followed by a discussion of clean air-ecosystem services in the context of environmental 89 90 economics and management.

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#### 92 **2.0 Methodology**

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### 94 2.1 Defining and Classifying Air-Ecosystem Services

Two recent views regarding air-ecosystem interactions are presented in Berkowicz (2010)
and Thornes et al. (2010). Both discussions treat the atmosphere and its processes as linked

97 with, but external to, biological systems. However, these systems are, in fact tightly coupled, and failure to treat them as such in decision making can lead to unanticipated consequences. 98 99 Pielke et al. (2007) provide examples of such consequences resulting from regional land-use and land-cover changes. Decreases in local temperature, increased cloud cover and, under some 100 conditions, increased precipitation have been reported in response to the conversion of grassland 101 102 to irrigated crop agriculture in the Great Plains of the U.S.; such changes can lead to greater biologic production in rainfed and irrigated systems. By contrast, observational studies of 103 104 widespread tropical deforestation report rainfall decreases of 1 to 20% as the result of changes in 105 evaporation, transpiration, surface albedo and aerodynamic roughness. These atmospheric interactions can exacerbate water quantity and quality problems resulting from altered surface 106 107 runoff characteristics. Urbanization of natural landscapes restructures the local energy budget, leading to increased temperatures and modified diurnal temperature patterns, wind and humidity 108 109 fields (Landsberg, 1981). These factors impact human health (e.g., heat- and air-quality related morbidity and mortality), local economies (e.g., energy, storage and transportation costs), and 110 may further combine with anthropogenic changes in the aerosol environment to alter patterns of 111 urban precipitation frequency and intensity (Shepherd and Burian, 2003). Studies such as these 112 113 have led to a more holistic understanding of the tightly coupled interactions among the atmosphere, the biosphere and human society. We propose that same approach be applied to the 114 quantification, valuation and sustainability of air-ecosystem services following the proposals of 115 116 Constanza (2008) and Fisher et al. (2009), that any definition or classification of services should be context-dependent and should address issues of sustainability. 117

A holistic, systems-based approach begins by recognizing that an *ecosystem* comprises
both biotic and abiotic (e.g., air, water, land) components. *Ecosystem services* are, therefore,

120	outputs of ecological functions or processes of these interacting biotic and abiotic components
121	that directly or indirectly contribute to social welfare or have the potential to do so in the future
122	(USEPA, 2006c). Ecosystem services can be classified in many ways. The Millennium
123	Ecosystem Assessment (MEA) defines and classifies ecosystem services into several categories:
124	Ecosystem services are the benefits people obtain from ecosystems.
125	These include provisioning services such as food, water, timber, and
126	fiber; regulating services that affect climate, floods, disease, wastes, and
127	water quality; cultural services that provide recreational, aesthetic, and
128	spiritual benefits; and supporting services such as soil formation,
129	photosynthesis, and nutrient cycling (MEA, 2005).
130	While useful, this definition is somewhat ambiguous and does not facilitate explicit linkage
131	between ecological assessment and the economic valuation of changes in these services. Most
132	importantly, it lends itself to double counting of benefits (Fisher et al., 2009). To avoid these
133	pitfalls, Boyd and Banzhaf (2007) propose that an effective classification scheme should
134	distinguish between final ecosystem services, intermediate ecosystem services and benefits.
135	Since this paper focuses on exploring the biophysical quantification of air-ecosystem services in
136	preparation for benefits assessment and valuation, this expanded classification is more
137	appropriate. A <i>final ecosystem service</i> is a component of nature, directly enjoyed, consumed, or
138	used to yield human well-being. That is, final ecosystem services are outputs or end products of
139	ecological processes that either directly result in human benefits or, when combined with human,
140	social or built capital, produce human benefits. Fisher et al. (2009) expand this definition to
141	include ecosystem organization or structure if they are consumed or utilized by humanity. An
142	intermediate ecosystem service is a service that is necessary for the production of final ecosystem

services. For example, intermediate ecosystem services (endpoints) including habitat,
biodiversity and clean water are necessary for the production of healthy recreational fish
populations (final service). Benefits, however, do not accrue without the addition of roads, boat
ramps, etc. to provide access to the service.

A hierarchy of air-ecosystem services utilizing these definitions is presented in Figure 1. 147 148 In this hierarchy, clean air and climate and weather are final air-ecosystem services. Information regarding beneficiaries, atmospheric metrics, linkages to biologic components as well as 149 150 example benefits and valuation metrics are provided in Tables 1 and 2. Clear air (Table 1) 151 focuses on issues of visibility with principal beneficiaries being the transportation and recreational sectors. Some metrics for these services include visual quality and range. Example 152 153 benefits include reduced death/injury from accidents, improved delivery efficiency (e.g., can travel faster, fewer delays) and visitor viewing enjoyment (day and night). Clean air is closely 154 related to clear air services, but in this case, beneficiaries include sensitive human and natural 155 (e.g., vegetation, fish, wildlife, etc) subpopulations. Some metrics describing the quantity of 156 clean air include hourly pollutant concentrations, air quality indices, the assimilative capacity of 157 the atmosphere before unhealthy concentrations are experienced, and cumulative exposure 158 159 metrics for humans, e.g. daily maximum 8 hr average ozone (MDA8), and for vegetation, e.g. W126 (USEPA, 2006a; USEPA, 2006c). Some metrics that quantify benefits derived from clean 160 air for vegetation include appearance and biodiversity. Some metrics that quantify human 161 162 benefits include reduced morbidity/mortality and hospital admissions in response to changes in pollutant concentration levels. 163

164 Climate and weather is the third major class of final air-ecosystem service (Table 2).165 Some components of climate and weather that are directly enjoyed and, therefore, are final

166 services include a pleasant or livable environment and water quantity. The beneficiaries of a livable environment are the recreation sector, the general public and sensitive life-stages such as 167 the very young or elderly. The quantity of pleasant environment directly experienced or enjoyed 168 can be expressed through a number of human comfort indices that are a function of heat/cold, 169 wind and humidity (see examples at. http://www.nws.noaa.gov/os/heat/index.shtml#heatindex 170 171 and http://www.nws.noaa.gov/os/windchill/index.shtml). Some metrics that quantify the benefits of a livable climate are measured in terms of reduced heat/cold related morbidity, mortality and 172 173 hospital admissions.

174 The final climate and weather service, water quantity, specifically applies to aspects of precipitation that are directly consumed or enjoyed such as maintenance of drinking water 175 supplies and recreational activities requiring a specific volume or depth of water such as 176 swimming, boating, snow skiing or snowmobiling. The beneficiaries are the general and 177 recreating public. The service is measured in terms of precipitation depth or runoff volume. 178 Such benefits are quantified by lake levels or the size of the recreational pool of a reservoir. 179 In addition to final services, Figure 1 indicates that there also can be climate and weather 180 services such as the provision of temperature, precipitation and radiation conditions that are 181 182 conducive (intermediate) to the production of clean water and biomass production final services. For example, returning to the earlier example of the conversion of grassland to irrigated 183 cropland, the atmosphere provides intermediate services in the form of surface temperature and 184 185 precipitation towards the provision of the final service "crop biomass" which is consumed in the form of food. The coupled interaction of the biologic community response to irrigation (i.e., 186 187 seasonal changes, albedo, leaf area, surface roughness and moisture flux, in addition to 188 additional moisture flux from the irrigation itself) are credited with the temperature and

189 precipitation changes noted previously. Corn, an important food crop grown in the Great Plains, is particularly sensitive to high temperatures during flowering (anthesis). Any reduction from 190 191 normal maximum daily temperatures at this critical crop stage can affect corn yield. Periodic increases in precipitation can result in reduced irrigation demand to stave-off yield losses 192 associated with water stress. Table 3 contains selected examples in which climate and weather 193 194 services are intermediate to the production of biomass and clean water final ecosystem services. Another ecosystem characteristic that is particularly relevant for air-ecosystem services is 195 196 the spatial relationship between service generation and beneficiaries. Fisher et al. (2009) propose three classes; in situ - where the services are generated and benefits are realized in the 197 same location; *omi-directional* – where the services are generated in one location but benefit the 198 surrounding landscape without directional bias; and *directional* – where the service generated 199 benefits a specific location due to the flow direction. The turbulent, fluid nature of the 200 atmosphere leads to the conclusion that air-ecosystem services are directional services. This 201 characteristic is reflected in the concept of "airsheds" (Paerl et al., 2002), and is recognized in 202 regulatory instruments such as the U.S. EPA Cross-State Air Pollution Rule (CSAPR, 203 http://www.epa.gov/airtransport ). 204

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#### 206 **2.2 Ecological Production Functions**

207 Quantifying the provision of ecosystem services and assessing the sustainability of these 208 services under natural and human-induced stresses requires a comprehensive systems-level 209 understanding of ecosystem component coupling. One means of describing the coupled system 210 is through an *ecological production function (EPF)*. An EPF is a description of the type, 211 quantity and interactions of natural features required to produce *ecological endpoints*, which are

defined as any biophysical feature, quantity, or quality that requires little further translation to
make clear its relevance to human well-being. Additionally, an EPF can be thought of as
describing the relationship between intermediate services, final services and benefits (Ringold et
al., 2009). Therefore, the outputs of EPFs, when combined with inputs that allow ecological
goods or services to be used (e.g., roads or site accessibility) produce benefits (Wainger and
Mazzotta, 2009; Wainger and Boyd, 2009).

As an example, the biophysical characteristics of a coastal wetland (flooding regimes, 218 salinity, nutrient concentrations, plant species abundance, predator and prey abundances, climate, 219 220 etc.) can influence the abundance of a population of watchable wading shorebirds (the ecological endpoint). An EPF describing these complex relationships would be useful for estimating the 221 222 potential of different coastal wetlands to provide bird-watching recreational services, if other inputs (e.g., boardwalks or kayak launch points) were also present. Moreover, the relevance to 223 sustainability of such an EPF would be increased if, (a) its dependent variables or outputs (i.e., 224 the species predicted) aligned well to birdwatchers' preferences, (b) its independent variables or 225 inputs (i.e., wetland characteristics) were feasibly measured, and (c) critical design factors for 226 enhancing or restoring those characteristics, in cases where wetlands had been degraded or 227 228 destroyed, were also incorporated into the EPF. There are several benefits and ecosystem services related to this example that are unidentified, unquantified, and unmonetized, ranging 229 from clean water services and biodiversity to aesthetics and nonuse values (USEPA, 2006c). 230 231 With these characteristics in mind, we describe an EPF for clean air-ecosystem services associated with clear and healthful air below. 232

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#### 234 2.3 A Conceptual Air-Ecosystem Service EPF

235 The tight coupling of biotic and abiotic ecosystem components required to produce the final air-ecosystem services of clean air and clear air that includes two-way feedbacks among the 236 237 biosphere, atmosphere, weather and pollution is described conceptually in Figure 2. The primary processes involved in the provision of clean air services are the production (emission), 238 transformation, dilution, and removal of harmful or potentially harmful (precursor) chemicals 239 240 from the atmosphere. An EPF can be used to explore how differences in factors that affect these processes, such as land use, meteorology and emissions and interactions among these processes 241 242 and factors can result in changes in the quantity of clean air, i.e., air quality experienced by 243 humans. As in the previous example for wetlands, an EPF that is suited to addressing the sustainability of clean air services must be able to account for aspects of human and societal need 244 245 or preference, as well as behaviors that can exacerbate or ameliorate threats to those services by affecting the magnitude of the underlying processes. An overview of processes that should be 246 included in a clean air EPF follows. 247

Our EPF (Figure 2) begins with the introduction into our system of upstream or boundary 248 air containing some level of natural and anthropogenic chemical constituents (upper left). Most 249 unhealthful atmospheric constituents are either emitted directly into the atmosphere as the result 250 251 of economic and social activity, e.g., power production, vehicular traffic, or are produced as the result of complex reactions within the atmosphere itself. Some of these emissions are deposited 252 253 or transformed into other species near their sources, while the remainder may be transported out of the immediate area to later combine with additional downstream sources. Air pollutant 254 emissions come from anthropogenic sources as well as managed and natural ecosystems. In 255 256 Figure 2, sulfur dioxide (SO<sub>2</sub>), carbon monoxide (CO), nitrogen oxide (NO) and many volatile 257 organic chemicals (VOC's) come primarily from anthropogenic sources, e.g., stationary sources

258 (power plants) and mobile sources (gasoline and diesel fueled vehicles). The majority of ammonia (NH<sub>3</sub>) emissions are due to livestock agriculture, but emission of NH<sub>3</sub>, NO and nitrous 259 260 oxide  $(N_2O)$ , a powerful greenhouse gas, from agricultural soils represents a nexus of human activity (fertilizer application) and ecosystem function (nitrification and denitrification). 261 Biogenic emissions from managed as well as natural sources are an important source of VOCs 262 263 that can lead to the formation of secondary aerosols (haze) that can enhance (e.g., Blue Mountains of Australia and Smokey Mountains of the U.S.) or degrade (e.g., U.S. Grand 264 Canyon) recreational viewing opportunities in natural areas(Geron et al., 1994; Lamb et al., 265 266 1993).

Interactions between the biosphere and atmospheric processes contribute to the 267 production of atmospheric pollutants by natural and managed ecosystems. For instance, natural 268 269 or man-altered land surfaces such as waterbodies, inundated wetlands and irrigated agricultural 270 fields add large supplies of moisture (humidity) to the atmosphere through evapotranspiration. 271 Moisture fluxes, combined with boundary layer mixing, can fuel the formation of clouds. Primary and secondary aerosols also play a key role in the formation of clouds, e.g., act as cloud 272 nuclei, and can participate in cloud aqueous chemistry to form atmospheric acids. In addition, 273 274 there are feedback effects of aerosols on radiative forcing. While these feedback effects are mainly important for climate applications, it is becoming evident that they have substantial 275 effects on local meteorology and air quality in polluted regions (Jacobson et al., 1996; Wong et 276 277 al., 2012). Production of oxides of nitrogen by lightning activity associated with convective clouds is recognized as an important pollutant source (DeCaria et al., 2005; Ott et al., 2007). 278 279 While the presence of clouds may enhance some chemical transformation, many other important 280 atmospheric chemical reactions such as those that produce ozone  $(O_3)$  are photolytic, i.e. driven

281 by light energy and are inhibited by the presence of clouds. Isoprene, a VOC emitted by some vegetation species, is a precursor chemical for O<sub>3</sub>, particulate matter (PM) and formaldehyde 282 formation. Monoterpenes, another class of biogenic VOC produced by vegetation, can be 283 oxidized by O<sub>3</sub>, hydroxyl radical and nitrate radical to form products that readily partition into 284 aerosols that can lead to haze and compromise visibility. Ammonia contributes to the formation 285 286 of ammonium aerosol, which is a major constituent of fine particulate matter  $(PM_{2.5})$  and VOCs, 287 and NO can react with nitrogen dioxide  $(NO_2)$  to produce ozone and additional particulate 288 matter. At sufficiently high levels, oxidized and reduced N species,  $O_3$  and  $PM_{2.5}$  are all 289 detrimental to human health and well-being (McConnell et al., 2002; von Mutius, 2000; Wolfe and Patz, 2002). 290

291 Chemicals in the atmosphere are vertically or horizontally mixed into more or less reactive environments to either increase or dilute ambient concentrations. Two drivers of 292 293 boundary layer mixing that link directly with ecosystem structure and function are convective and roughness-driven mixing. Convective mixing is driven by the flux of heat from underlying 294 surfaces. Ecosystem features that directly impact surface temperature (as illustrated by the 295 temperature values along the bottom of Figure 2) include overall surface reflectivity (e.g., snow 296 297 cover vs. dark organic soils), and the heat capacity of the surface (e.g., leaves vs. water vs. soil). 298 Roughness-driven mixing results when the free movement of air across a landscape is impeded 299 by obstacles extending above an underlying surface. Some ecosystem features that can 300 contribute to roughness-driven mixing include landscapes with varying patterns of vegetation heights and densities, and the extent and orientation of vegetated or non-vegetated corridors. 301 302 Thus, both horizontal and vertical ecosystem structure contributes to the provision of clean air 303 service through mixing-driven transport, dilution and deposition.

304 The underlying ecological structure and function also influence the removal of potentially harmful pollutants from the air. Precipitation can act to cleanse the atmosphere of harmful 305 306 pollutants through wet deposition, but pollutants also are removed from the atmosphere through particle deposition to underlying surfaces and plant uptake of gases. The rate at which gases are 307 removed is a function of surface wetness, strength of downward mixing, stomatal and cuticular 308 309 vegetation conductance and leaf area. Stomatal and cuticular conductance can vary widely across vegetation species. For example, maximum stomatal conductance is much higher in 310 grasses (0.01 m/s) than a broadleaf deciduous forest (0.005 m/s) (Xiu and Pleim, 2001). Forests, 311 312 however, can have up to twice the intercepting leaf area per unit area leading to greater pollutant removal, and so the specific type of vegetation and vegetation density both contribute to the air 313 pollutant removal efficiency. The rate of atmospheric particle deposition depends primarily on 314 the particle size distribution and turbulence. (Grantz et al., 2003) 315

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#### 317 **3.0 Analytical Framework**

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319 **3.1 CMAQ as an Ecological Production Function** 

Since the coupled atmospheric and biosphere system is complex with competing and compensating sources and sinks of pollutants and processes, a numerical model is a useful tool to quantify and characterize clean air endpoints, thereby serving as an EPF. For this analysis, we applied the Community Multiscale Air Quality (CMAQ) model (Byun and Schere, 2006) version 4.7.1 as a clean and clear air EPF. CMAQ employs a 3-dimensional Eulerian modeling approach to address air quality issues such as tropospheric O<sub>3</sub>, PM<sub>2.5</sub>, air toxics, visibility and acid and nutrient deposition. The system comprises a meteorological modeling system for the description

of atmospheric conditions, emission models for anthropogenic and natural emissions that are
injected into the atmosphere, and a chemical-transport modeling system (CTM) to simulate
chemical transformations and atmospheric fate and transport. CMAQ can be used for both
retrospective studies as well as analysis of future scenarios, allowing the investigation of
potential environmental outcomes regarding air quality management.

332 The meteorological model used in this example, the Weather Research Forecast model (WRF) (Skamarock et al., 2008), contains Land Surface Models (LSMs) that provide an 333 334 interface between atmospheric processes and processes at the terrestrial surface. Land surface 335 models simulate biophysical processes, soil hydrology, and surface heat and moisture fluxes to provide feedback to the atmosphere that, as described previously, may alter cloud, precipitation, 336 and local and regional circulation. While current LSMs focus on surface fluxes affecting 337 atmospheric processes with little regard for hydrology, they are becoming more sophisticated 338 and are moving towards including dynamic vegetation modules, multi-layer vegetation canopy 339 340 modules, terrestrial carbon and nitrogen cycling, irrigation treatment, surface and groundwater hydrology, transient land cover change and land-use change. The P-X land surface model is 341 used in the present example (Pleim and Xiu, 2003). 342

Biogenic emissions are estimated within CMAQ using the Biogenic Emissions Inventory System (BEIS) version 3.09. BEIS estimates emission factors for NO and VOCs for a range of land use and land cover types (Guenther et al., 2000; Guenther et al., 1994). The emission factors are the flux-rate that each species emits under standard environmental conditions. BEIS makes these estimates using the Biogenic Emissions Landcover Database version 3 (BELD3) as input (Pierce et al., 1998). The BELD3 comprises 1 km x 1 km rectangular grid cells to which are assigned 230 different vegetation and land use types. Anthropogenic emissions are estimated

using emissions factors based on the 2005 U.S. EPA National Emissions Inventory (USEPA,
2006b).

352 The CMAQ model simulates horizontal and vertical chemical transport in the atmosphere including wet and dry deposition removal processes. Wet deposition is a function of the 353 precipitation rate and cloud water concentration. Cloud water concentration is controlled by the 354 355 scavenging ratio, which is calculated differently depending on whether the pollutant is absorbed 356 into the cloud water and participates in the cloud water chemistry. Accumulation and coarse 357 mode aerosols are assumed to have been the nucleation particles for cloud drop formation and 358 are completely absorbed by the cloud and rain water. The Aitken mode aerosols are treated as interstitial aerosol and are slowly absorbed into the cloud/rain water. Dry deposition of gases 359 and particles in CMAQ affects chemical species from the atmosphere as they adsorb to, absorb 360 into or react with natural surfaces such as soil, water, vegetation and hardened surfaces such as 361 roadways and structures. Deposition of gases such as O<sub>3</sub>, SO<sub>2</sub>, and N-species is a function of 362 vegetation characteristics such as leaf area, canopy height, cuticular and stomatal resistance. 363 Aerosol deposition is mainly dependent on particle size and microscale roughness characteristics 364 of the surface. The size distribution of fine particles changes in response to deposition, 365 366 coagulation between particles, growth by condensation from gas and/or vapor phase species, new particle production from vapor phase precursors, transport of particles and emision of new 367 particles. Particle size is also affected by atmospheric moisture (humidity) conditions (Foley et 368 369 al., 2010).

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#### **371 3.2** Application of the Analytical Tool

372 Numerical models such as CMAQ allow us to explore system-wide responses to possible future decisions. One scenario of interest involves the conversion of forested land to cropland to 373 374 meet increased feedstock demand for biofuels and food to support a growing population. Using CMAQ as an EPF, we explore this scenario by constructing a land use data set in which 50% of 375 the BELD3 evergreen forest area located in Mississippi, Alabama and Georgia is converted to 376 377 rainfed cropland resulting in cropland increases ranging from 10 to 30% (Figure 3). The 378 southeastern U.S. represents an area that is currently under-utilized (relative to the midwestern 379 U.S.) with regards to cropland agriculture, and rainfall is usually sufficient to support rainfed 380 crop agriculture enterprise. The cropland increase is assumed to be spread proportionally across the existing crop-species. The revised BELD3 data were used as input to both CMAQ and the 381 meteorological model. In this scenario, biogenic emission changes will be the primary driver of 382 air quality and ecosystem service change. Biogenic emissions are computed within the CMAQ 383 model and so will respond to both the land use and meteorology changes. Ammonia emissions 384 385 from nitrogen fertilizer applications and dust associated with tillage operations in the NEI are increased proportionally with the cropland area increase. All other anthropogenic emissions are 386 assumed to be unchanged. The simulation is driven by 2006 WRF modeled weather for 387 388 rectangular grid cells spanning 12km per side (144,000 ha).

First, consider the response of local (grid cell) weather variables to the imposed land use change. Figure 4 shows differences between base (current land use) and new (revised land use) scenarios for WRF variables during July 29 through August 4, 2002. The proposed land use change results in small decreases in mean hourly surface temperature (Figure 4A), precipitation (Figure 4B) and boundary layer height (4C). There is a small increase in mean hourly 10m windspeed (Figure 4D). These responses are all in keeping with the prescribed land use change.

395 Selected CMAQ results for April are presented in Figure 5 as ratios of new to base land use condition. Mean hourly atmospheric NH<sub>3</sub> concentrations (Figure 5A) exceed base 396 397 concentrations by more than a factor of 6. NO (Figure 5B) and  $PM_{10}$  (Figure 5C) concentrations increase up to 20%, which reflects increased NEI fertilizer-related emissions and tillage-related 398 dust. Nitrogen Oxide is an  $O_3$  precursor whose emissions are the product of microbial-mediated 399 400 denitrification. These emissions are computed within CMAQ as a function of fertilized land area and meteorology. Increased atmospheric concentrations reflect the scenario's greater 401 402 agricultural land area. Total  $PM_{2.5}$  (Figure 5D) increases in response to increased NH<sub>3</sub>-related 403 secondary particulate formation. Total (wet and dry, reduced and oxidized) N deposition (Figure 5E) increases up to a factor of 3, and is driven primarily by the increased atmospheric NH<sub>3</sub> 404 405 concentrations.

Thus far we have focused on quantification of the environmental consequences of our 406 land use change decision. Quantification of the economic and societal benefits (e.g. Table 1) that 407 408 is needed to include sustainability in the decision making process requires relating these consequences to human and ecosystem health and well-being. One tool that facilitates such 409 valuation is BenMap (http://www.epa.gov/air/benmap). BenMAP is a Windows-based computer 410 411 program that uses a Geographic Information System (GIS)-based to estimate the health impacts and economic benefits occurring when populations experience changes in air quality. It requires 412 input information regarding daily or monthly air pollution change, mortality effect, mortality 413 414 incidence and exposed population. Results are presented in terms of mortality (number) or, with the introduction of the value of a statistical life, mortality value. Two additional exposure 415 416 metrics for  $O_3$  are the daily maximum 8 hr average ozone (MDA8) and W126 metrics. The 417 MDA8, which requires hourly air pollution concentrations aggregated to an 8hr metricis used in

418 acute epidemiological studies to link air pollutant exposure to health endpoints such as hospital admissions, morbidity and mortality, which contribute directly to tools such as BenMAP and are 419 often used to support standard setting for human benefit analysis (Hubbell et al., 2009; Rothman, 420 421 2002). For instance, the U.S. National Ambient Air Quality Standards (NAAQS) is based on the 3yr running average of the annual fourth highest MDA8 average O<sub>3</sub> concentration. Increased 422 423 MDA8 may suggest decreased healthy air services at a location quantified as increased hospital admissions via an epidemiological model. There may also be economic consequences associated 424 425 with an increase in the number of days that exceed NAAQS standards.

426 The W126 is a cumulative metric used in the EPA Ozone Criteria Documents (USEPA, 2006a; USEPA, 2006c) for relating vegetation productivity losses with  $O_3$  exposure. The W126 427 metric retains the mid and lower-level O<sub>3</sub> concentrations (40 ppb-65ppb), though it is weighted 428 towards higher hourly average concentrations, i.e., greater than 65 ppb with maximum weight 429 >100 ppb). The W126 can be used to quantify benefits or losses in agricultural productivity 430 (biomass services) and associated estimated economic affects. Neither the MDA8 nor W126 431 metric rely purely on temporal averages and require hourly EPF information, which is a higher 432 temporal resolution than most current ecosystem service estimates. For example, clean air 433 434 services related to soil or lake acidification are calculated at a yearly time step; e.g. Hornberger and Cosby, 1989). 435

Returning to our land use change example, mean hourly O<sub>3</sub> concentrations during April increase slightly (not shown) with the new land use scenario. This response reflects the large increase in NO and reduced deposition velocity (not shown), coupled with slight decreases in isoprene (Figure 5F). Ozone deposition velocity is driven primarily by stomatal conductance so that decreased O<sub>3</sub> dry deposition velocity results from the replacement of higher stomatal

conductance and leaf area species (evergreen forest) with lower conductance, lower leaf area 441 species (crops). Isoprene, another  $O_3$  precursor, declines in response to the shift from higher 442 emitting species (evergreen forest with BEIS emission factor 70 gC/kg<sup>2</sup>-h) to lower emitting 443 species (crops with BEIS emission factors less than 20 gC/kg<sup>2</sup>-h ). This decrease would be very 444 much greater if oak species (BEIS emission factor 26250  $gC/kg^2$ -h) had been replaced with 445 cropland. In spite of mean hourly increases, there is no significant change in April mean MDA8 446 (Figure 5G). W126 (Figure 5H), on the other hand, increases 4 to 8%. The contrast between 447 MDA8 and W126 O<sub>3</sub> metrics suggests that simulated hourly O<sub>3</sub> changes occur primarily in low 448 449 to mid-level ranges (40 ppb-65 ppb) as opposed to higher values (greater than 100 ppb). In summary for April, a change in land cover from evergreen forest to managed 450 451 agriculture could increase food and fiber service, but may also reduce healthful air final services associated with increased  $PM_{2.5}$  concentrations and clear air services associated with  $PM_{10}$ . 452 453 There is no apparent change in healthful air metrics associated with human exposure to  $O_3$  based on the MDA8 metric. Delivery of additional N to aquatic and terrestrial systems associated with 454 a change in clean air services is a negative final service relative to biodiversity, or intermediate 455 service in support of clean water. It may be a positive intermediate service relative to food and 456 457 fiber production. The W126 metric indicates that clean air final services related to vegetative appearance and intermediate services related to food and fiber production may be reduced. 458 Next, consider these same CMAQ results and clean and clear air metrics for July, 2006. 459 460 There are larger areas of increased NH<sub>3</sub> (Figure 6A) and NO (Figure 6B) concentrations in response to warmer July temperatures. Changes in PM<sub>10</sub> (Figure 6C) are much smaller and more 461 localized than those noted in April, commensurate with the timing being well beyond the peak of 462 463 agricultural activity in this area. The spatial extent of July  $PM_{2.5}$  (Figure 6D) change is

464 somewhat reduced from Figure 4D, reflecting reduced secondary particle production under warmer temperature conditions. Daily maximum 8 hr average ozone concentrations (Figure 6G) 465 and W126 values (Figure 6H) increase from 4 to 8% over base July values suggesting mean 466 hourly increases occur throughout the distribution of hourly  $O_3$  values, including higher values. 467 In summary, final ecosystem services during July, as measured by metrics for human 468 469 health and vegetation O<sub>3</sub> exposure, are reduced. Clean and clear air final services associated with  $PM_{2.5}$  and  $PM_{10}$  are reduced as well, but perhaps not to the extent noted in April. Final and 470 471 intermediate clean air service changes associated with N deposition are likely to be greater 472 during July than April and have greater geographic extent.

473

#### 474 **4.0 Discussion and Conclusions**

We have emphasized that the ecosystem services concept applied to air-ecosystem 475 services includes consideration of social, environmental, and economic impacts including current 476 477 and future human well-being. In the terminology of environmental economics, efforts to improve human well being by protecting the environment are efforts to address sources of 478 market failure. That is, markets theoretically enable both producers and consumers to make only 479 480 those transactions that improve, or at least do not reduce, their own well being and thus improve the overall well being of society, except when conditions exist that hinder market functioning. 481 482 Market failure conditions occur when, for example, information about the benefits or disbenefits 483 of traded goods or services is incomplete; when information is asymmetric (trading parties do not have access to the same information); when there are externalities (benefits or disbenefits accrue 484 485 to individuals not party to the trade); or when goods have the characteristics of public goods

which are non-rival (i.e., use by one does not diminish availability to others) and non-excludable(not subject to control of an owner).

488 Viewed in this framework, environmental research and monitoring have long been used to help address incomplete information about air pollutants, including their associated 489 externalities (such as health and environmental impacts). Monitoring and air quality forecasts 490 491 address information asymmetries by ensuring that polluters and the public have similar information about pollution levels and abatement costs. Science, policy and regulation have 492 493 been used together to overcome the public-goods characteristics of final ecosystem services 494 related to clean air by making the atmosphere's regional assimilative capacity (which in the context of clean air may be considered an intermediate ecosystem service) both exclusive and 495 496 rival. In this scheme, the issuance of carefully limited and tradable emission permits enables markets to regulate the opportunity to pollute, ensuring that more efficient producers will buy out 497 498 the heavy emitters. Efforts are underway, though incomplete, to do the same for ecosystem 499 services related to climate and weather at global scales through the establishment of carbon markets. Most of this has been accomplished without application of the ecosystem services 500 concept to air pollution control; however, there are several benefits to be gained by introducing 501 502 these concepts to this field. For instance, the science tools used for determining regional-scale or airshed assimilative capacity, e.g. the CMAQ model has, to date, focused mainly on an 503 understanding of the physical-chemical processes regulating pollutant dynamics, while the 504 505 representation of biospheric processes and interactions has been more limited. These relative deficiencies limit our ability to completely account for biospheric mediation of atmospheric 506 507 pollutant assimilative capacity and, by extension, to use techniques of ecosystem conservation, 508 management or restoration as a tool of air quality management. These limitations occur in two

main categories: detail in the spatiotemporal characterization of ecosystems themselves, and detail in the spatiotemporal characterization of emissions related to ecosystem processes. Some of these limitations are already being addressed by improvements to CMAQ, while others require additional data or model development. The importance of any given improvement will vary by pollutant species and mode of human and ecosystem exposure. Implementation of additional improvements depend both on USEPA priorities and air quality modeling community.

515

#### 516 4.1 Improving the Representation of Biospheric Processes in CMAQ

517 In describing ecosystems themselves, the 12 km x 12 km grid cell used to characterize the land surface in CMAQ, including that used in the present example (v4.7.1), is relatively coarse in 518 comparison to spatial scales at which vegetative landscapes occur, so that important biological 519 520 processes or ecosystem-atmospheric interactions may be inadequately accounted for. This can 521 be addressed to some degree by running simulations at ever higher resolutions, e.g., 4km or 1km, 522 and CMAQ 5.0 (2012 release) differentiates atmospheric deposition for specific land-cover types within the cell. These advances will improve the analysis of, e.g., urban or rural 523 afforestation/deforestation scenarios as they affect goods such as pollutant assimilative capacity 524 525 and subsequent production of food and fiber services.

Within a vegetation type, geographic and seasonal changes in vegetation growth affect multiple processes at the biosphere-atmosphere interface, including pollutant removal. However, CMAQ provides only limited characterization of vegetation canopy structure variability. For instance, the canopy height of an evergreen forest in the Northeastern U.S. is assumed to be the same as that in the Pacific Northwest. In the future, remotely sensed data such as the NASA lidar-based Global Forest Heights data set (http://www.nasa.gov/topics/earth/features/forest-

height-map.html) could be used to address this limitation. In addition, CMAQ now provides
only weak characterization of seasonal vegetation changes, such as in leaf-area index estimation;
future improvements could be made based on seasonal remote sensing data sets or by coupling
CMAQ and a plant growth model.

A second area in need of refinement is CMAQ's representation of relationships between 536 537 biological processes and emissions. An important limitation for the assessment of anthropogenic impacts on regional and global dynamics of both carbon and nitrogen is the current use of 538 539 generic emission factors. The Biogenic Emissions Inventory System (BEIS) used by CMAQ 540 apportions fixed, species-specific annual emission factors over the year as functions of factors such as LAI, temperature, radiation or precipitation. While these apportionment processes 541 542 respond to changes in land cover, they currently are not sensitive to potential changes in vegetation management, and therefore do not support examination of differing crop management 543 practices and climate conditions resulting changes in biogeochemical fluxes of chemically or 544 radiatively species such as VOCs and N<sub>2</sub>O. 545

CMAQ5.0 addresses some of these limitations by incorporating input and process 546 parameterizations from the USDA Environmental Policy Integrated Climate (EPIC) 547 548 biogeochemical and farm management model that simulates soil transformation dynamics of nitrogen. CMAQ5.0 then simulates dynamic bidirectional flux of NH<sub>3</sub> between agroecosystems 549 550 and the atmosphere (Cooter et al., 2012; Izaurralde et al., 2006; Williams et al., 1984; Williams 551 et al., 2006). The Fertilizer Emission Scenario Tool for CMAQ (FEST-C), currently under development, provides the ability to compare crop management scenarios that differentially 552 553 affect the soil environment, altering the need for nitrogen additions and associated losses as well 554 as facilitating analysis of ecosystem variables such as soil carbon content that are not used

directly by CMAQ (Potter et al., 2006). Eventually we will be able to estimate the atmospheric
ecosystem services that are provided when crop rotation (especially involving nitrogen-fixing
crops), application timing, crop residue management (increasing soil organic matter), or other
best management practices (BMPs) are used to reduce NH<sub>3</sub> fertilizer application

559

# 4.2 Using Improved Atmosphere-Biosphere Modeling to Achieve More Sustainable Outcomes

CMAQ5.0 enhancements as well as future enhancements will foster the exploration of 562 563 system-wide societal adaptation to variable weather, economic conditions, future climate trends and climate extremes. As the potential for providing atmospheric ecosystem services through 564 (land-based) ecosystem management is better understood and valued, policies can be designed to 565 compensate these beneficial practices, resulting in more sustainable outcomes. Such modeling 566 advances that focus on the atmosphere-biosphere interface open up additional possibilities for 567 568 stimulating public or private actions and decisions that increase assimilative capacity and thus provide clean air services and, by extension, indirect air-ecosystem contributions to biomass 569 production and clean water. The atmosphere remains non-rival and non-excludable, but just as 570 571 opportunities to pollute the air can be made marketable through a tradable permits policy, the enhancement of land-based ecosystems can also be made marketable through mechanisms such 572 573 as reverse auctions in which farmers bid to provide quality-rated conservation services for a 574 given payment (Vukina et al., 2008).

575 Many efforts are already being taken to better understand and compensate other societal 576 benefits associated with ecological enhancement (such as benefits from improving water quality 577 and wildlife habitat), and to incorporate this information into decision-making and policy. The

addition of atmospheric ecosystem services to this mix, appropriately categorized and analyzed,
can further improve overall environmental management. For example, enhanced modeling will
make clear the respective spatial distributions of landholders (e.g. Figure 3), who bear certain
kinds of costs or benefits of land use change, and beneficiaries of atmospheric ecosystem
services (e.g., Figures 4 through 6).

583 While ecological implications for clean air services at regional scales may be just emerging, the importance of the atmosphere-biosphere nexus to climate and weather ecosystem 584 585 services at the global scale is already well-established and the subject of intensive policy 586 formation efforts related to deforestation (FAO, 2008; Gibbs et al., 2007). With further improvements in biogeochemical agro-ecosystem models enabling estimation of soil-carbon 587 dynamics and soil microbially-mediated processes, e.g. denitrification, we may soon be able to 588 improve climate and weather ecosystem services through improved management of agricultural 589 590 soils, as well as through forest protection.

591 Incorporation of sustainability into decision making via ecosystem services requires consideration of social, environmental and economic issues (National Research Council, 2011). 592 This discussion has addressed sustainability of the quantity of ecosystem services in light of an 593 594 economic and policy-driven land use conversion decision (i.e., increased regional agricultural income and national food security) using metrics that can link these changes to human and 595 ecosystem benefits. We have demonstrated the importance of air-ecology interactions, and argue 596 597 for integrated regional analyses that require ecosystem production function information at relatively fine temporal scales. This temporal component is important not only to link 598 599 environmental results to human benefits but, as demonstrated by the land use change example, 600 air-ecosystem services themselves are temporally dynamic, with presence or decline of services

dependent on seasonally-variable air-ecosystem interactions and patterns of human activity (e.g.,
tillage). A complete valuation analysis has not been performed, but by providing the higher
resolution information needed to support a range of epidemiological models and existing
valuation tools such as BenMAP, we have established an explicit pathway to these valuation
(benefits) endpoints. Provision of such integrated analyses will result in a more accurate fullcost accounting of coupled air, water and terrestrial ecosystem services.

607

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740 Figure Captions.

741 Figure 1. Hierarchy of Air-Ecosystem Services.

Figure 2. Conceptual diagram of an ecological production function for clean air services. Blue
 arrows indicate air movement or transport, yellow arrows indicate emissions, black arrows

744 indicate transformation.

745 Figure 3. The fractional increase of agricultural land in each model grid cell resulting from a

50% reduction in evergreen forest area in Mississippi, Alabama and Georgia.

747 Figure 4. Comparison of WRF weather with and without landuse change for July 29 through

August 4, 2006. Mean hourly difference in A) temperature (K), B) precipitation (mm), C)

boundary layer height (m) and D) 10 m wind speed (m/s) All differences are computed as (new

750 – base) land use scenario.

751 Figure 5. CMAQ simulation of April ratio of mean hourly A) NH<sub>3</sub> concentrations, B) NO

concentrations, C) PM<sub>10</sub> concentrations, D) PM<sub>2.5</sub> concentrations, E) total (wet +dry + reduced +

oxidized) nitrogen deposition, F) isoprene concentration, G) daily maximum 8hr average ozone

754 (MDA8) and H) W126. All ratios are new/base land use.

755 Figure 6. CMAQ simulated July ratio of mean hourly A) NH<sub>3</sub> concentrations, B) NO

- concentrations, C) PM<sub>10</sub> concentrations, D) PM<sub>2.5</sub> concentrations, E) total (wet +dry + reduced -
- oxidized) nitrogen deposition, F) Isoprene concentrations, G) daily maximum 8hr average ozone

758 (MDA8) and H) W126.. All ratios are new/base land use.

759