

# 1 WRF-CMAQ Two-way Coupled System with Aerosol 2 Feedback: Software Development and Preliminary Results

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## 11 12 **Abstract**

13 Air quality models such as the EPA Community Multiscale Air Quality (CMAQ) require  
14 meteorological data as part of the input to drive the chemistry and transport simulation. The  
15 Meteorology-Chemistry Interface Processor (MCIP) is used to convert meteorological data into  
16 CMAQ-ready input. Key shortcoming of such one-way coupling include: excessive temporal  
17 interpolation of coarsely saved meteorological input and lack of feedback of atmospheric  
18 pollutant loading on simulated dynamics. We have developed a two-way coupled system to  
19 address these issues. A single source code principle was used to construct this two-way  
20 coupling system so that CMAQ can be consistently executed as a stand-alone model or part of  
21 the coupled system without any code changes; this approach eliminates maintenance of separate  
22 code versions for the coupled and uncoupled systems. The design also provides the flexibility to  
23 permit users: (1) to adjust the call frequency of WRF and CMAQ to balance the accuracy of the  
24 simulation versus computational intensity of the system, and (2) to execute the two-way  
25 coupling system with feedbacks to study the effect of gases and aerosols on short wave  
26 radiation and subsequent simulated dynamics. Details on the development and implementation  
27 of this two-way coupled system are provided. When the coupled system is executed without  
28 radiative feedback, computational time is virtually identical when using the Community  
29 Atmospheric Model (CAM) radiation option and a slightly increased (~8.5%) when using the

1 Rapid Radiative Transfer Model for GCMs (RRTMG) radiation option in the coupled system  
2 compared to the offline WRF-CMAQ system. Once the feedback mechanism is turned on, the  
3 execution time increases only slightly with CAM but increases about 60% with RRTMG due to  
4 the use of a more detailed Mie calculation in this implementation of feedback mechanism. This  
5 two-way model with radiative feedback shows noticeably reduced bias in simulated surface  
6 shortwave radiation and 2-m temperatures as well improved correlation of simulated ambient  
7 ozone and PM<sub>2.5</sub> relative to observed values for a test case with significant tropospheric aerosol  
8 loading from California wildfires.

9

## 10 **1 Introduction**

11 3-D chemical transport models (CTMs) that are used in air quality research and regulatory applications  
12 are driven by 3-D meteorological fields provided by a priori runs of a meteorology model. Historically,  
13 the CTMs and meteorological models were developed over several decades along independent tracks  
14 with little regard for computational, numerical, or even scientific consistency between the two  
15 modeling systems. In recent years, however, there have been several efforts to combine meteorological  
16 and chemical transport models into single interactive systems (Grell and Baklanov, 2011). A primary  
17 driver for this trend has been the need to include the direct and indirect feedback effects of gases and  
18 aerosols on radiative forcing. While these feedback effects are mainly important for climate  
19 applications, it is becoming evident that they have substantial effects on local meteorology and air  
20 quality in polluted regions (Jacobson et al., 1996, Mathur et al., 1998, Xiu et al., 1999). Zhang (2008)  
21 has provided an overview of several coupled meteorology-chemistry models including the WRF/chem  
22 (Grell et al., 2005) model in which chemistry has been added into the Weather Research and  
23 Forecasting model (Skamarock et al., 2008) at the science process level. Another approach is to  
24 couple historically independent meteorology and chemical transport models into a single executable.  
25 Advantages of this approach include maintaining consistency with existing separate loose coupled  
26 meteorology-chemistry systems that are being continuously and extensively applied and evaluated.  
27 Furthermore, the numerical and computational techniques employed in meteorology models and CTMs  
28 differ considerably because of the greater need for strict mass conservation and positive-definiteness of  
29 transported scalars in the CTM. Also, CTMs generally use fractional integration of various processes  
30 while meteorology models use time split integration of all process rates.

31

32 The development of the Community Multiscale Air Quality (CMAQ) (Byun and Schere, 2006)

1 modeling system was initiated in the 1990s by the U.S. Environmental Protection Agency (EPA); and  
2 the system has continued to evolve (Foley et al., 2010). The model system has benefited from a diverse  
3 user community with over 2000 users from 90 different countries. CMAQ has been and continues to be  
4 extensively used to provide guidance in rulemaking such as CAIR (Clean Air Interstate Rule,  
5 <http://www.epa.gov/cair/>), by state and local agencies for air quality management analyses such as SIP  
6 (State Implementation Plan), by academia and industry for studying relevant atmospheric processes and  
7 model applications. CMAQ has also been adapted into the real-time US National Air Quality  
8 Forecasting system (AQF) (Otte et al., 2005) and has been running operationally at National Weather  
9 Service since 2003 and was recently deployed for forecasting air quality for the 2010 Shanghai World  
10 Expo (Wang et al., 2010).

11

12 In general, meteorological models are not built for air quality simulation purposes. Hence, the  
13 meteorological model might not have the same map projection, coordinate system and grid format, and  
14 layer structure as the air quality model. The CMAQ model uses the Meteorology-Chemistry Interface  
15 Processor (MCIP) (Otte and Pleim, 2010) to bridge this gap by providing transformed CMAQ-ready  
16 meteorological data. The transformation includes unit conversion, format conversion, vertical grid  
17 resolution related interpolation, as well as calculations to create additional diagnostic variables that are  
18 required in CMAQ but not available in the meteorology model output. Typically, MCIP produces  
19 hourly meteorological data, based on storage requirement considerations, as input to CMAQ.

20

21 The flow of information in this one-way coupled system is (Figure 1a): run a meteorological model,  
22 like the Fifth-Generation Pennsylvania State University-National Center for Atmospheric Research  
23 Mesoscale Model (MM5) (Grell et al., 1994) or the Weather Research and Forecasting (WRF) model  
24 (Skamarock 2008, Michalakes et al., 2005), process the meteorological model's output using MCIP,  
25 then run the CMAQ air quality model using the MCIP output. This whole coupling approach has been  
26 widely used in the research community as well as in the real-time National Air Quality Forecasting  
27 system (Otte et al., 2005), but it has several potential shortcomings. First, the integration time step of  
28 CMAQ is much finer than the typical hourly available meteorological data. Interpolation is used to  
29 handle this issue; however, interpolation accuracy is a problem for meteorological variables, such as  
30 wind direction and speed that are key variables for pollutant transport and dispersion. Additionally, at  
31 fine horizontal resolutions (<10 km), the need for more frequent meteorological information (relative to  
32 the typical hourly resolution) becomes critical for consistently representing transport (advective,

1 turbulent, and cloud) processes. Second, the lack of aerosol feedback from CMAQ to the  
2 meteorological model is an important omission. For instance, CMAQ is able to compute the  
3 concentration, composition, and size distribution of particulate matter (aerosol) in the atmosphere. The  
4 presence of aerosols in the atmosphere affects the radiation which in turn affects the photolysis rates  
5 which dictate atmospheric photo-chemistry, surface temperature that can affect thermally driven  
6 atmospheric chemical reactions, planetary boundary layer (PBL) height which dictates dilution and  
7 dispersion of pollutants, and even cloud formation. The response of the meteorological model to  
8 aerosol loading can be significant under conditions of significant pollution loading. Without such  
9 feedback, errors may be introduced into the meteorological model. Third, netCDF file format (32-bits  
10 precision) is used to store the intermediate data, (i.e. meteorological model and MCIP output), with  
11 repeated reading and writing of data to/from intermediate files, resulting in reduced data accuracy due  
12 to truncation error.

13  
14 To address these potential shortcomings, we coupled the WRF meteorology model and the CMAQ  
15 model to create a two-way coupled modeling system to facilitate feedbacks between chemistry and  
16 meteorology. Feedback can be categorized as direct or indirect effect: the former deals with how  
17 aerosol affects the radiation and the latter considers how aerosols affects cloud formation and duration  
18 resulting from scattering and absorption as well as acts as CCN which impact cloud optical thickness  
19 and cloud lifetime. In this article, we focus on the direct effect. Section 2 provides an overview of the  
20 scientific components in the model. Section 3 describes the software considerations for developing a  
21 flexible and efficient coupled modeling system, including domain decomposition and design issues.  
22 Preliminary results are presented in Section 4 while Section 5 summarizes the main results and presents  
23 a brief discussion of future work.

## 24 25 **2 Overview of Scientific Components of the Coupled model**

26 The coupled modeling system consists of three components: the WRF meteorology model, the CMAQ  
27 model and the coupler. A high level view of the system is depicted in Figure 1b. A detail description of  
28 each component is provided below.

### 29 30 **2.1 WRF**

31 The Advanced Research WRF version 3 (WRF-ARW) is a state-of-the science mesoscale meteorology  
32 model (Skamarock et al., 2008) that is typically configured with horizontal grid resolutions ranging

1 from 1-30 km , but WRF is also being used on Large Eddy Simulation Scale (dx 100m or smaller)  
2 (Moeng 2007) as well as on global scales. The dynamical equations numerically solved by the WRF-  
3 ARW model are fully compressible, Euler nonhydrostatic, and are conservative for all scalar variables.  
4 The prognostic variables are the three velocity components, perturbation potential temperature,  
5 perturbation geopotential, and perturbation dry air surface pressure. Additional prognostic variables  
6 depend on the model physics options and may include turbulent kinetic energy, water vapor mixing  
7 ratio, and several cloud microphysical scalars such as cloud water/ice mixing ratio, rain/snow mixing  
8 ratio, and graupel mixing ratio. Both the WRF-ARW and the CMAQ model can be configured to use  
9 the exact same grid configurations and coordinate systems. Thus, no spatial interpolation of either  
10 meteorological or chemical data is required.

11

## 12 **2.2 CMAQ**

13 CMAQ version 4.7.1 (Foley et al., 2010) is a comprehensive atmospheric chemistry and transport  
14 model that numerically integrates a set of independent chemical conservation of mass equations on a  
15 series of 3D nested Eulerian grid meshes. The CMAQ model employs operator splitting to modularize  
16 the various physical and chemical processes including: subgrid turbulent vertical transport, horizontal  
17 and vertical advection, horizontal diffusion, cloud processes (i.e. aqueous chemistry, subgrid  
18 convective transport, wet deposition), gas-phase chemistry, and aerosol chemistry and dynamics. The  
19 CMAQ system includes anthropogenic emission rates processed by the Sparse Matrix Operator Kernel  
20 Emissions (SMOKE) [<http://www.cep.unc.edu/empd/products/smoke>]. Plume rise, biogenic emissions  
21 and dry deposition are modeled by components of the CMAQ model. Both sources (emissions) and  
22 sinks (deposition) are applied as mass tendencies in the vertical diffusion calculation.

23

## 24 **2.3 Coupler**

25 The coupler is used to link these two models together and serves as an inter-model translator. The  
26 design and functionality will be described in the next section. The coupler also includes software  
27 (*aqprep*) to transfer meteorological fields from WRF to CMAQ and to transfer aerosol predictions from  
28 CMAQ back to WRF (feedback).

29

30 A subroutine called *aqprep* prepares meteorological fields in forms compatible for use in CMAQ's  
31 generalized coordinate formulation. The preparation includes extracting data such as pressure and wind  
32 field directly from WRF and calculating additional variables that are used in CMAQ such as the

1 vertical coordinate Jacobian and the fractional area of each land use category in each grid cell. In  
2 essence, aqprep includes the functionality currently embodied by the MCIP (Otte and Pleim, 2010)  
3 preprocesses in the offline WRF CMAQ system.

4  
5 An important benefit of two-way coupling between meteorology and air quality models is the ability to  
6 use aerosol fields simulated by the air quality model to affect processes in the meteorology model. The  
7 first feedback implemented in the WRF-CMAQ system is the direct effects by which chemical species  
8 calculated in CMAQ are transferred to WRF for calculating their influence on radiation computed in  
9 WRF. In addition to the data coupling described in the next section, implementation of direct feedback  
10 requires a new subroutine for the calculation of the aerosol optical properties: extinction optical depth,  
11 single scattering albedo, asymmetry parameter, and forward scattering fraction, for short-wave spectral  
12 bands (19 bands in Community Atmosphere Model (CAM) and 14 bands in Rapid Radiative Transfer  
13 Model for GCMs (RRTMG)). The aerosol chemical species calculated by CMAQ are combined into  
14 five groups: water-soluble, insoluble, sea-salt, black carbon, and water. The refractive indices for these  
15 species are taken from the OPAC (Optical Properties of Aerosols and Clouds) (Hess et al., 1998)  
16 database using linear interpolation to the central wavelength of the RRTMG wavelength intervals.  
17 These direct feedbacks tend to reduce SW radiation reaching the ground in areas of high aerosol  
18 loading, thereby reducing daytime surface temperatures, as shown in Section 4. In addition, absorbing  
19 aerosols, such as black carbon, tend to warm the air in layers with high concentrations. There are also  
20 secondary effects on PBL heights and cloud properties.

21  
22 In WRF-Chem (Fast et al. 2006) the optical processes are done by calculating extinction, scattering and  
23 asymmetry factor by summing a parametric method originally developed for a modal approach by  
24 Ghan et al. (2001). This approach uses first calls a full Mie code to calculate optical properties over  
25 exponentially spaced intervals of  $x$  ( $2 * \pi * \text{radius} / \text{wavelength}$ ) for a set of seven refractive indices. A  
26 polynomial fit is made for extinction, scattering, and asymmetry factor for each of the refractive  
27 indices. All subsequent calls extinction, scattering and asymmetry factor use the polynomial  
28 approximations. The integral properties are calculated by summing overall size bins.

29  
30 In our approach, feedback effects from chemical species calculated by CMAQ are transferred to WRF  
31 for calculating the influence of these species on the heat balance computed by WRF. The new aerosol  
32 codes to be used with CAM and RRTMG calculate the aerosol extinction, single scattering albedo, and

1 asymmetry factor for short-wave (SW) radiation and aerosol extinction for long-wave (LW) radiation.  
2 The aerosol chemical species calculated by CMAQ are combined into five groups: water-soluble,  
3 insoluble, sea-salt, black carbon, and water. The refractive indices for these species are taken from the  
4 OPAC database using linear interpolation to the central wavelength of the CAM and RRTMG  
5 wavelength intervals.

6  
7 The efficient Gauss-Hermite numerical-quadrature method calculates the extinction and scattering  
8 coefficients along with the asymmetry factor by integrating the Bohren & Huffman Mie codes over the  
9 log-normal size distributions representing the Aitken, accumulation, and coarse modes produced by  
10 CMAQ.

11

### 12 **3 Software Considerations for the Coupler**

13 An air quality model may utilize a different map projection, time integration, grid orientation, grid cell  
14 size, and/or vertical coordinate that is different from its meteorological driver. In order to facilitate  
15 communication between models to exchange relevant information that is usable by each individual  
16 model, a coupler is devised.

17

#### 18 **3.1 Modeling domain**

19 Both the WRF-ARW and CMAQ use the Arakawa C horizontal grid staggering, and in the coupler no  
20 spatial interpolation of meteorological or chemical data is required. In addition, both WRF and CMAQ  
21 use the same map projection, so the coupler inherits the map projection from WRF. The vertical  
22 coordinate in WRF is a hydrostatic sigma-pressure, and CMAQ uses a modified, generalized form of  
23 that coordinate. Unlike in the offline WRF-CMAQ system, the coupler must use the same number and  
24 configuration of vertical layers (i.e., no layer collapsing, Otte and Pleim, 2010). Figure 2 illustrates the  
25 typical domain configurations of the WRF-CMAQ coupled system; in this the chemistry-transport  
26 calculations are performed for a sub-domain of the larger WRF domain. In typical WRF applications,  
27 to provide a transition from externally specified lateral boundary conditions, a relaxation zone is  
28 specified where the model is relaxed toward the large-scale forecast (Skamarock et al., 2008). The  
29 coupler allows the users to specify how many grid cells to trim off for the chemistry-transport  
30 calculations at run time, but five grid cells is the recommended minimum to avoid numerical artifacts  
31 that commonly occur in the WRF boundary relaxation zone. Horizontal transport calculations in  
32 CMAQ only require the specification of species concentrations (time varying or independent based on

1 user specification) at a one-cell thick boundary along the CMAQ domain. Thus, under conditions of  
2 inflow, the concentrations specified at these upwind boundary cells are used to estimate the advective  
3 flux (following the PPM formulation) into the domain. Users can define any CMAQ domain as long as  
4 that domain fits within the maximum CMAQ domain depicted in Figure 2. In addition, the user is  
5 required to provide the value of delta\_x and delta\_y which defines the lower left corner of the CMAQ  
6 domain relative to the WRF domain. Because the WRF model is the driver and CMAQ is called as a  
7 subroutine within WRF, a global timer that is based on the WRF advection time step is used to  
8 synchronize WRF and CMAQ in the coupled system.

9

### 10 **3.2 Domain decomposition**

11 The main task of the coupler is to transfer needed data between these two models correctly. Both  
12 models were designed to run in a parallel computing environment. WRF supports MPI and OpenMP  
13 but the current version of CMAQ only supports MPI. They both use domain decomposition as the basic  
14 parallelization approach. However, the details of the decomposition are quite different in both models.  
15 Runtime System Library (RSL) (Michalakes, 1994) and RSL-lite (Michalakes, 1998), which both  
16 handle high-level stencil and inter-domain communication, irregular domain decomposition, automatic  
17 local/global index translation, distributed I/O, and dynamic load balancing, are used in WRF to  
18 parallelize the code. RSL-lite is a bit faster than RSL. Both RSL and RSL-lite were used in WRF  
19 version-2 implementation, but RSL has been removed since version 3.

20

21 Besides performance, the main difference between RSL and RSL-lite is the domain decomposition  
22 algorithm. Since RSL has been removed, the description of the decomposition algorithm is focused on  
23 RSL-lite. The mapping between processor and sub domain is in row-wise fashion. The starting point  
24 and order of assigning the remainder row elements is at the bottom and then top, and then bottom until  
25 all the remaining elements are distributed (Fig. 3a). Similarly, for the column dimension, it starts at the  
26 left and then right and moving towards the center.

27

28 In CMAQ, the domain decomposition uses the same processor and sub-domain mapping as in WRF  
29 (Fig. 3b), but the starting point and order of assigning the remainder column or row elements is  
30 different. The remainder elements starts from the bottom and moves toward the top for row dimension  
31 and starts from left and moves toward the right for column dimension, rather than alternating inward  
32 from the top/bottom and left/right.

1  
2 When CMAQ is executed, users can choose a particular processor configuration based upon the  
3 number of processors allocated. For instance, if the number of available processors is 16, the user can  
4 choose between a 4x4, 8x2, 2x8, 16x1 or 1x16 processor configuration. In WRF, the processor  
5 configuration with a “square” orientation, is the default but it is user-definable. In the WRF-CMAQ  
6 coupled system, CMAQ's processor configuration is inherited from WRF. The coupler's main task is to  
7 compute the mapping between the WRF and CMAQ domains with respect to each sub domain with  
8 consideration of the position of the CMAQ domain relative to the WRF domain. This mapping  
9 information will be used for data transfer between these two models in the forward and feedback steps.  
10

### 11 **3.3 Data exchange**

12 We have considered different tools, such as ESMF (Earth System Modeling Framework)  
13 (<http://www.earthsystemmodeling.org/>), Cpl6 (Craig et al., 2005) and MCT (Larson et al., 2005), for  
14 data exchange between the two models. CMAQ uses the IOAPI3 (Input/Output Applications  
15 Programming Interface version 3, <http://www.baronams.com/products/ioapi/AVAIL.html>) to handle  
16 physical file I/O. With the consideration of minimal code change, we chose to use IOAPI3 for the  
17 coupling. The actual data transfer is performed in memory through IOAPI3 buffered files. IOAPI3 is  
18 third party software written by Baron Advanced Meteorological Systems (BAMS). It is written to  
19 handle various types of files: volatile real files which are used in CMAQ to deal with I/O, using the  
20 netCDF format; buffered virtual files which facilitate data exchange within the same program through  
21 memory; coupling-mode virtual files which use the PVM3.4 mailbox mechanism to exchange data  
22 among models executed concurrently; and native-binary real files which are the same as the volatile  
23 real files except the file is stored in native binary format instead of netCDF.  
24

25 The type of file, whether it is a volatile real file or a buffered virtual file, used in the application is  
26 determined at run time. The capability of handling various file types within IOAPI3 is transparent to  
27 the user application code; hence, code modification is not needed. The coupler will create/open the  
28 same number of files as in the offline run that uses physical files. In the stand-alone CMAQ model,  
29 each processor is able to access the entire file and only extract relevant data for the sub-domain portion  
30 from the file. In addition, hourly meteorological input is interpolated to the current time step in various  
31 science processes within CMAQ throughout the execution. In order to make the same code work for  
32 buffered files while reducing memory consumption, each buffered file is exactly the same size as a sub-

1 domain and corresponds to that sub-domain only. Two time steps of data are stored in each circular  
2 buffered file.

3

### 4 **3.4 System structure**

5 The coupler consists of two major components: *aqprep* and *feedback*. The prepared data is placed in the  
6 corresponding buffered files which have similar attributes as the physical files used in the uncoupled  
7 stand-alone CMAQ. In CMAQ, the same IOAPI3 calling interface as in the stand alone model is used  
8 to access these buffered files. This design provides flexibility to read and write either buffered or disk  
9 files, enabling consistent coupled and uncoupled modeling paradigm. Thus an inherent advantage of  
10 using the IOAPI3 to handle the file format in the coupler is that minimal code changes are needed in  
11 CMAQ. The feedback part is called within the aerosol module in CMAQ and computes several  
12 variables that are needed for direct aerosol feedback to the WRF radiation module. Various information  
13 such as coarse mode diameter and Aitken mode natural log of standard deviation, is used to compute  
14 soluble mass, elementary carbon mass and other parameters.

15

16 WRF integrates at a very fine time step, e.g. one minute for 12 km horizontal grid cell size. In CMAQ  
17 each physical process, (e.g. transport and chemistry), has a different time step requirement that is based  
18 on individual process characteristic time scales and numerical stability criteria. As a stand-alone  
19 model, CMAQ determines the minimum synchronization time step based on the horizontal wind speed  
20 Courant condition in model layers lower than ~700 hPa which generally allows for CMAQ's  
21 synchronization time step to be several times greater than the WRF time step. In coupled system, users  
22 can choose the call frequency at run time as a ratio between the WRF and CMAQ time steps. For  
23 instance, if the ratio is set to four and the WRF time step is 30 seconds, the CMAQ time step will be  
24 two minutes. Consequently, the computational burden for the coupled system increases substantially as  
25 the CMAQ calling frequency increases. The non-linear increase in computational intensity is related to  
26 inherent non-linearity in atmospheric processes and numerical solution of the governing equations.  
27 Figure 4 depicts the calling sequence for the coupled system. In general, CMAQ is called after an  
28 aqprep step except the very first time. This implementation ensures two steps of WRF data are always  
29 available in case temporal interpolation of meteorological information is needed in CMAQ. The  
30 feedback step takes place in CMAQ within the aerosol module. Since CMAQ is a subroutine of WRF it  
31 occupies a portion of a WRF step. There are two versions of the radiation calculation within the two-  
32 way coupling model: one comes with the WRF code (Ra) and the other was modified to include

1 feedback capability (Ra'). Ra' is not called until feedback information is available. That is why Ra is  
2 used for the very first step.

3

### 4 **3.5 Software modification in WRF and CMAQ to support the coupled system**

5 In the coupled system, CMAQ is implemented as a subroutine in WRF. Since CMAQ is a community  
6 model with a wide user community which has used the model in an offline mode, all the coupling  
7 related functions are encapsulated in Fortran 90 modules that will not be invoked when running CMAQ  
8 in a stand-alone offline mode. Thus, the same version of CMAQ can be consistently used both in an  
9 offline or coupled system mode. The remainder of the necessary modifications to CMAQ to enable  
10 online coupling are transparent to the user. Whether to include the coupling portion or not in CMAQ is  
11 decided at compilation time. This fulfills the single source design principle and eliminates software  
12 maintenance of separate model versions for on-line and off-line configurations.

13

14 The aerosol optical depth for nine selected wavelength bands estimated from the simulated aerosol  
15 distribution is added to the WRF output for examination and validation purposes. This requires nine  
16 new variables in the WRF Registry. Two routines in WRF were also modified for the coupled system:  
17 solve\_em.F (to invoke CMAQ) and the radiation calculations (to add the nine variables which are  
18 passed into various parts of the radiation calculation and to process aerosol feedback). These  
19 modifications can be easily ported to newer versions of WRF as its science is updated.

20

21 Run time switches have been implemented to disable the aerosol feedback in the coupled system as  
22 well as to run WRF in stand-alone mode (i.e., without calling CMAQ). These options provide  
23 flexibility to perform sensitivity studies on the effects of the feedback mechanism and the coupling

24

### 25 **4 Preliminary Performance of the coupled system**

26 The performance of the WRF-CMAQ two-way coupled system is evaluated for both computational  
27 impact and scientific advancement. In order to be of general use to the CMAQ community, the coupled  
28 system must not add such a computational burden that it would become prohibitive for the average user  
29 to run. In addition, it is desirable that the coupled system scales well computationally as processors are  
30 added to the configuration. Of equal importance is the need for the coupled system to demonstrate a  
31 scientific advantage beyond what could be achieved by using the WRF and CMAQ models  
32 sequentially.

1

## 2 **4.1 Computational performance**

3 We conducted a series one-day simulation (1 August 2006) to examine and quantify the computational  
4 performance of the coupled system. For these tests, we used a domain encompassing Eastern US,  
5 discretized with 12-km horizontal grid spacing while the vertical extent ranging from the surface to 50  
6 hPa was discretized using 34 layers of variable thickness. Here, the WRF domain size is  $290 \times 251$   
7 grid points, and the CMAQ domain size is  $279 \times 240$  grid cells, which allows for the five-cell boundary  
8 along the perimeter of the WRF domain to be excluded from CMAQ (Fig. 2). WRF-ARW version 3.1  
9 was built with CMAQ version 4.7.1 to form the WRF-CMAQ two-way coupled system. Initial and  
10 lateral boundary conditions for WRF were derived from a combination of North American Mesoscale  
11 (NAM) model analyses and forecasts at 3-h intervals that were developed by the National Center for  
12 Environmental Prediction and obtained from the National Climatic Data Center. In WRF, the model  
13 options included the WRF single-moment 6-class (WSM6) microphysics scheme (Hong et al., 2004),  
14 version 2 of the Kain-Fritsch (KF2) cumulus cloud parameterization (Kain 2004), the Asymmetric  
15 Convective Model version 2 (ACM2) for the planetary boundary layer (Pleim, 2007a,b), and the Pleim-  
16 Xiu land-surface model (Xiu and Pleim, 2001) with soil moisture and temperature nudging (Pleim and  
17 Xiu, 2003; Pleim and Gilliam, 2009). Both the Rapid Radiative Transfer Model for GCMs (RRTMG)  
18 (Clough et al., 2005) and Community Atmospheric Model (CAM) (Collins et al., 2004) radiation  
19 schemes were tested and run in the coupled system to contrast the simulated impact of radiative  
20 feedback from CMAQ to WRF using multiple radiation schemes. Also in WRF, analysis nudging was  
21 included for temperature and humidity above the PBL and for winds at all model levels (Stauffer et al.,  
22 1991).

23

24 In CMAQ, the CB05 gas-phase chemical mechanism and the modal aerosol model known as AERO5  
25 (Carlton et al., 2010) were used. The same subgrid vertical turbulent transport of meteorological and  
26 chemical species was used in both WRF and CMAQ following the ACM2 PBL scheme. The sub-grid  
27 convective cloud scheme in CMAQ, which is responsible for convective transport of chemical species,  
28 aqueous chemistry, and wet scavenging, is a simple bulk scheme based on the convective cloud model  
29 in the Regional Acid Deposition Model (RADM; Chang et al., 1987) but with convective transport  
30 based on the Asymmetric Convective Model (Pleim and Chang, 1992). Since the CMAQ cloud scheme  
31 uses the convective precipitation rate to diagnose sub-grid mass fluxes, the location and timing of  
32 precipitating convective clouds are consistent with WRF. A new convective cloud scheme based on the

1 Grell scheme in WRF (Grell and Devenyi, 2002) is being tested to improve consistency across  
2 chemical and meteorological components of the system. Note that WRF and CMAQ use different  
3 scalar advection schemes that are both monotonic and positive definite for meteorological and chemical  
4 species. However, differences in numerical formulations and time steps allow subtle differences in the  
5 3-d mass fields to accumulate over time. Mass conservation and consistency between chemical  
6 concentrations and air density is ensured in CMAQ by adjustment of the vertical velocities according to  
7 a layer-by-layer solution of the 3-d mass continuity equation at every time step. In this design  
8 chemical species are advected in CMAQ by an efficient scheme that has very little numerical diffusion:  
9 the piecewise parabolic method (PPM) (Colella and Woodward 1984). A potential drawback of this  
10 approach is the inconsistencies between advective transport of microphysical scalars in WRF and  
11 advection of gas and aerosol species in CMAQ. While such discrepancies are likely very small they  
12 could be important for modeling aerosol indirect effects which result from interactions between  
13 aerosols and cloud microphysics. The significance of these inconsistencies will be assessed as  
14 implementation and testing of indirect aerosol processes continues.

15

16 For these tests, a one-minute WRF time step was used, and CMAQ was called every five WRF time  
17 steps (ratio of 5:1). The simulations were run on 32 processors on a Linux cluster.

18

19 Table 1 presents the execution time of the offline WRF-CMAQ system, the WRF-CMAQ two-way  
20 coupled system with and without radiative feedback. When the coupled system is executed without  
21 radiative feedback (but with increasing the temporal frequency of the WRF meteorological fields  
22 available for CMAQ), computational time is virtually identical when using the CAM radiation option  
23 and a slightly increased (~8.5%) when using the RRTMG radiation option compared to the offline  
24 WRF-CMAQ system. Once the feedback mechanism is turned on, the execution time increases only  
25 slightly with CAM but increases about 60% with RRTMG. The numerical techniques used to compute  
26 aerosol optical characteristics (extinction, scattering, and asymmetry factor) with the Mie approach  
27 used in RRTMG are much more computationally intensive than the Mie approximation (Evans and  
28 Fournier, 1990) used in the CAM implementation. However, this new scheme used for RRTMG is  
29 more accurate and robust over a wider range of refractive indices.

30

31 The individual models used in the coupled system, WRF and CMAQ, are fully parallelized. As a  
32 result, the scalability of the coupled system is inherited from both components. By doubling the

1 processors from 32 to 64 on this domain, a speedup of ~1.6 was achieved for both CAM and RRTMG  
2 configurations, regardless of whether the radiative feedback was enabled (Table 2). Increasing the  
3 number of processors by a factor of four (32 to 128) resulted in a speedup of ~2.3-2.7. The addition of  
4 radiative feedback in the coupled system does not adversely affect the scalability using either CAM or  
5 RRTMG, but results in a greater relative speedup on more processors.

6

## 7 **4.2 Scientific performance**

8 To examine the scientific performance of the coupled system with radiative feedback, we conducted a  
9 ten-day simulation (20 Jun – 29 Jun 2008) of a wildfire event in California. Widespread wildfires (Fig.  
10 5) resulted in significant particulate matter (PM) pollution during mid-late Jun 2008 in California and  
11 surrounding states. The coupled model using the options discussed in Section 4.1, was applied to a  
12 domain which covers California and portion of the surroundings states (Fig. 6); the RRTMG radiation  
13 scheme was used and the vertical extent up to 100mb was discretized using 22 layers. This simulation  
14 uses the latest fire emission data  
15 ([ftp://ftp.epa.gov/EmisInventory/2005v4/2007emis/smartfire\\_and\\_bluesky\\_enabled\\_methodology\\_2006\\_2008.pdf](ftp://ftp.epa.gov/EmisInventory/2005v4/2007emis/smartfire_and_bluesky_enabled_methodology_2006_2008.pdf)). Model  
16 results from simulations with and without radiative feedback were compared to ground-level  
17 meteorology measurements from the Meteorological Assimilation Data Ingest System (MADIS),  
18 radiation measurements from the Integrated Surface Irradiance Study (ISIS) Network, and  
19 concentration measurements from the U.S. Environmental Protection Agency's Air Quality System  
20 (AQS) network.

21

22 Figure 6 presents an illustration of the direct aerosol feedbacks simulated by the coupled WRF-CMAQ  
23 modeling system during the time period of these wild fires in California. In general relatively high  
24 aerosol optical depths are noted in regions of high surface and boundary-layer particulate matter  
25 pollution (Figures 6a and b). Shown in Figure 6c is the difference in surface shortwave radiation  
26 between a run with aerosol feedback and one without, while Figure 6d presents an illustration of a  
27 similar difference in the modeled planetary boundary layer (PBL) height at the same time. As  
28 illustrated, aerosol direct radiative effects associated with scattering and absorption of incoming  
29 radiation, result in a reduction of short-wave radiation reaching the surface (Figure 6c), which then  
30 translate to reduction in temperature at the surface as well as a reduction in PBL height (Figure 6d).  
31 These effects are particularly pronounced in regions with high aerosol loading with simulated  
32 reductions of over  $250 \text{ Wm}^{-2}$  in instantaneous surface shortwave radiation and corresponding

1 reductions of over 500m in PBL heights.

2

3 Figure 7 presents comparisons of the surface shortwave radiation simulated for the cases with and  
4 without direct aerosol feedback with measurements at an Integrated Surface Irradiance Study (ISIS)  
5 site in Hanford, California (see Fig. 5, red star). The observations show that there was significant  
6 reduction of incident shortwave radiation at the surface (peak observed values of 900-1000  $\text{Wm}^{-2}$ ) on  
7 24, 26 and 27 Jun due to the smoke plumes from the wildfires. Without aerosol feedback effects, the  
8 model overestimates shortwave radiation by  $\sim 100\text{-}200 \text{ Wm}^{-2}$ . When the aerosol feedbacks were  
9 included, the model bias was significantly reduced, though a slight overestimation still persists. Thus  
10 including the aerosol feedback in the coupled system is important for better simulating the shortwave  
11 radiation fields in WRF.

12

13 The presence of aerosols from the wildfires reduces the shortwave radiation at the surface, which also  
14 acts to reduce the maximum daytime temperatures near the surface. Figure 8 shows a comparison of 2-  
15 m temperatures averaged from four sites in the Sacramento Valley, (Oroville, Red Bluff, Redding, and  
16 Sacramento, see blue triangles in Figure 5) with model simulations for the cases with and without  
17 radiation feedback, for the ten-day simulation period. Typically the 2-m temperature was  
18 overestimated in the simulation that did not consider any aerosol feedback effects. This overestimation  
19 was reduced in the simulation with the aerosol feedbacks. For example, on 25, 26, 27 and 29 June,  
20 when the wildfires were most actively affecting these sites, inclusion of the aerosol feedback reduced  
21 or eliminated the persistent over prediction evident in the simulation without feedback.

22

23 Figure 9 presents comparisons of the day time (8am to 6pm local time) model and observed ambient  
24 levels of ozone and  $\text{PM}_{2.5}$  for all sites and data pairs; model results for both simulations with and  
25 without the feedback effects are shown. Figure 10 presents similar comparisons but only for data pairs  
26 where the simulated  $\text{AOD} > 0.5$ . While somewhat arbitrary this criteria helps examine the model  
27 performance for cases of significant aerosol loading, and consequently wherein radiative feedback  
28 effects on temperature and PBL heights could in turn influence the subsequent chemistry-transport  
29 simulation. Though modest, the simulation including the aerosol feedback effects exhibits slight higher  
30 correlation coefficients than the one without.

31

## 32 **5 Summary and Future Work**

1 A two-way coupled meteorological and air quality modeling system has been developed by linking the  
2 WRF and CMAQ models. The system represents advancement over the traditional offline WRF-  
3 CMAQ system because the aerosols predicted by CMAQ are able to impact the clouds, radiation, and  
4 precipitation simulated by WRF in a consistent online coupled manner. In addition, because CMAQ is  
5 called directly from WRF, the temporal interpolation of meteorological fields from WRF is eliminated  
6 thereby improving consistency in the use of meteorological information in the chemistry-transport  
7 calculations. A coupler is developed to efficiently link the two model systems. The coupler handles  
8 communication between WRF and CMAQ, performs translation of the WRF fields to drive CMAQ,  
9 and provides aerosols feedback information from CMAQ to the WRF. The coupler is encapsulated in  
10 Fortran 90 modules so the details of the two-way coupling are transparent to the users. This software  
11 design also enables WRF and CMAQ to be detached and executed as stand-alone models as in the  
12 traditional offline paradigm. The single-source coding approach minimizes software maintenance so  
13 scientific updates to both WRF and CMAQ can be readily incorporated into the coupled WRF-CMAQ  
14 system.

15

16 In addition to scientific and software maintenance issues, the coupled modeling system was designed to  
17 maximize user flexibility for research and applications by imposing minimal restrictions on domain  
18 specifications and physics options in both WRF and CMAQ. Furthermore, the coupler allows users to  
19 choose the call frequency of CMAQ to balance the computational burden against the scientific  
20 accuracy, depending on the availability of computational resources. The coupled modeling system also  
21 includes a run-time switch to disable aerosol feedback and emulate the traditional offline paradigm  
22 albeit with greater frequency of communication of meteorological information from WRF to the  
23 CMAQ model; this option can be used for further sensitivity tests examining the potential effects of  
24 temporal interpolation of meteorological data in the traditional offline paradigm.

25

26 When aerosol feedback is disabled, the computational time for of the coupled model is virtually  
27 identical to the offline WRF-CMAQ system. When the radiative feedback is enabled, there is slight  
28 increase in execution time (compared to the case without feedback) using the CAM radiation scheme.  
29 However, adding radiative feedback with the RRTMG scheme results in an increase in run time of  
30 about 60%, which is largely attributed to the more computationally intensive Mie calculation used in  
31 the implementation of the feedback effects with the RRTM scheme. Improving the computational  
32 efficiency of the more accurate Mie scheme and its coupling with the RRTM is currently being

1 investigated. In general, the coupled WRF-CMAQ modeling system scales well as the number of  
2 processors increase, regardless of the radiation model chosen in WRF or whether or not simulation of  
3 feedback effects is enabled.

4  
5 To demonstrate the improvements in simulated atmospheric dynamical and chemical features with the  
6 inclusion of aerosol radiative effects, we conducted a ten-day simulation of a wildfire event in  
7 California, a case characterized by significant tropospheric aerosol loading. Including radiative  
8 feedbacks in the model noticeably reduced the bias in simulated surface shortwave radiation and 2-m  
9 temperatures as well improved the correlation of simulated ambient ozone and  $PM_{2.5}$  relative to  
10 observed values. This preliminary analysis suggests that for cases with high aerosol loading (such as  
11 from wildfires, or in regions with significant anthropogenic pollution), including the radiative effects of  
12 aerosols improves the accuracy of both the meteorology and air quality simulations.

13  
14 Further model evaluation studies are continuing, including efforts to examine the direct aerosol effects  
15 using a closed set of aerosol and radiation observations from the DOE/ARM Southern Great Plains site.  
16 Ozone has absorption bands in the long wave radiation bands and can thus absorb outgoing radiation.  
17 Efforts are underway to implement ozone feedback in the coupled WRF-CMAQ system and study the  
18 impact of ozone on long wave radiation using the RRTMG long wave radiation scheme. An initial  
19 implementation of indirect aerosol forcing has also recently been completed and is under further testing  
20 and evaluation. To simulate the most realistic representation of the dynamical state of the atmosphere,  
21 four dimensional data assimilation (FDDA) is often employed in retrospective WRF applications.  
22 Depending on the strength of the nudging coefficients used, FDDA could dampen the effects of  
23 radiative feedbacks in the simulations and such effects need to be further quantified and understood.  
24 The overall CPU usage of the coupled WRF-CMAQ system is equivalent to the total CPU time  
25 associated with running the offline WRF-MCIP-CMAQ modeling system. A speedup of 2.3 when  
26 going from 32 to 128 processors for the Eastern U.S. domain, however, suggests that there is room for  
27 further improvement in the parallel performance of the WRF-CMAQ system. Part of this performance  
28 hit is due to the input and output files (about ten each) as well as intermediate run time diagnostics.  
29 Improvements to the I/O in the modeling system are currently under investigation and should result in  
30 overall better performance scaling. Improvements in many of these aspects are currently being  
31 investigated and will be made available in future versions of the 2-way coupled WRF-CMAQ  
32 modeling system.

1

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1 Table 1: Performance of the uncoupled and coupled system (hh:mm:ss) on a linux cluster

2

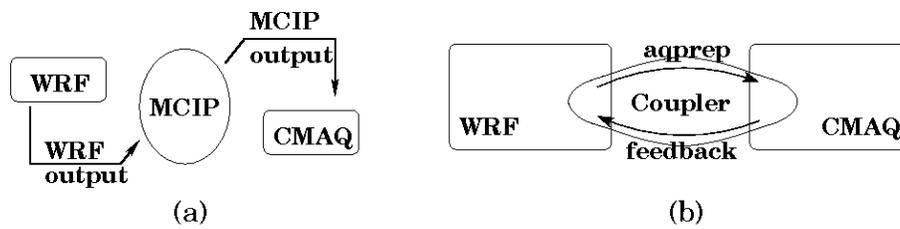
	Execution time	
	CAM	RRTMG
WRF only	0:19:59	0:18:50
MCIP	0:02:31	0:02:31
Offline CMAQ	1:18:28	1:19:05
Offline WRF-CMAQ system, Total time	1:40:58	1:40:26
Coupling system w/o feedback and call frequency ratio 5:1	1:41:12	1:48:59
Coupling system w/ feedback and call frequency ratio 5:1	1:43:39	2:54:25

3

1 Table 2: Computational performance (hh:mm:ss) and scalability on a linux cluster

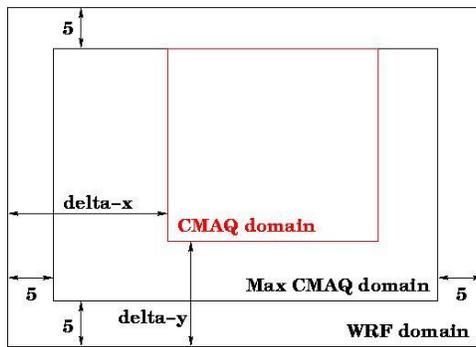
Processor configuration	CAM				RRTMG			
	w/o feedback	speedup	w/ feedback	speedup	w/o feedback	Speedup	w/ feedback	speedup
4x8	2:05:06		2:08:21		2:13:17		3:19:25	
8x8	1:19:46	1.57	1:21:57	1.57	1:24:12	1.58	1:58:21	1.68
8x16	0:55:28	2.26	0:55:12	2.33	0:56:38	2.35	1:14:14	2.69

2



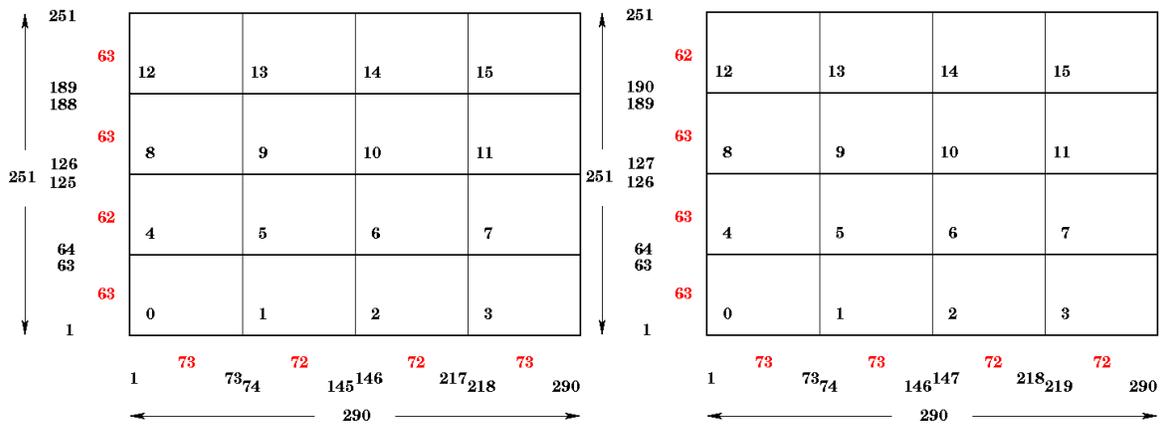
1

2 Figure 1. Schematic of high-level modules in (a) offline WRF-CMAQ system and (b) two-way coupled  
 3 WRF-CMAQ model.



1  
2  
3

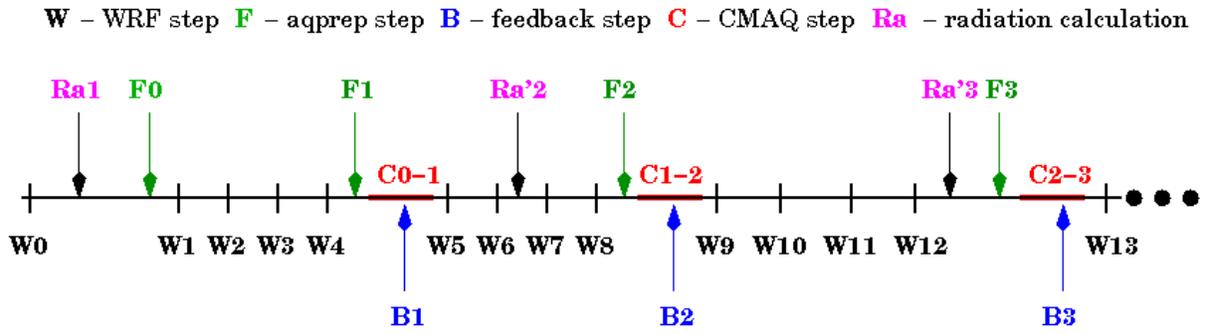
Figure 2. WRF and CMAQ domain orientation in coupled system.



(a)

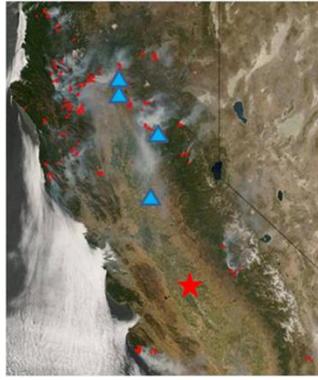
(b)

Figure 3. Decomposition with a 4x4 processor configuration for RSL-lite (a) and CMAQ (b).



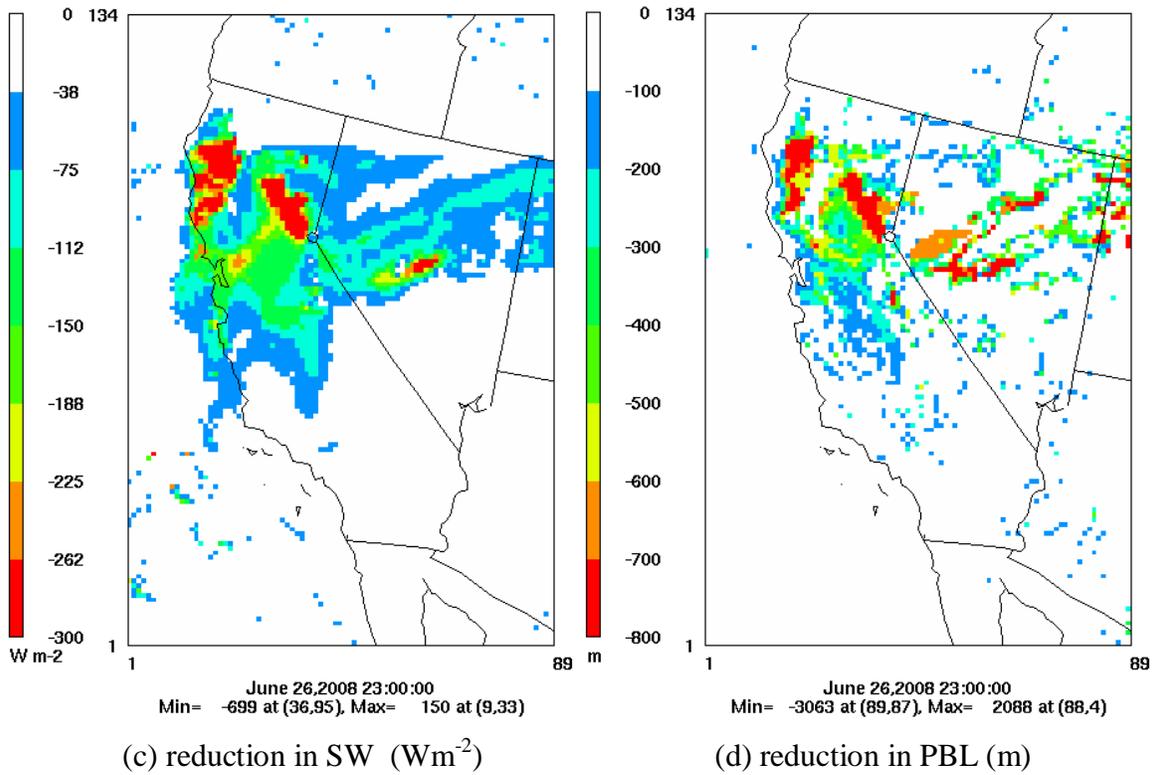
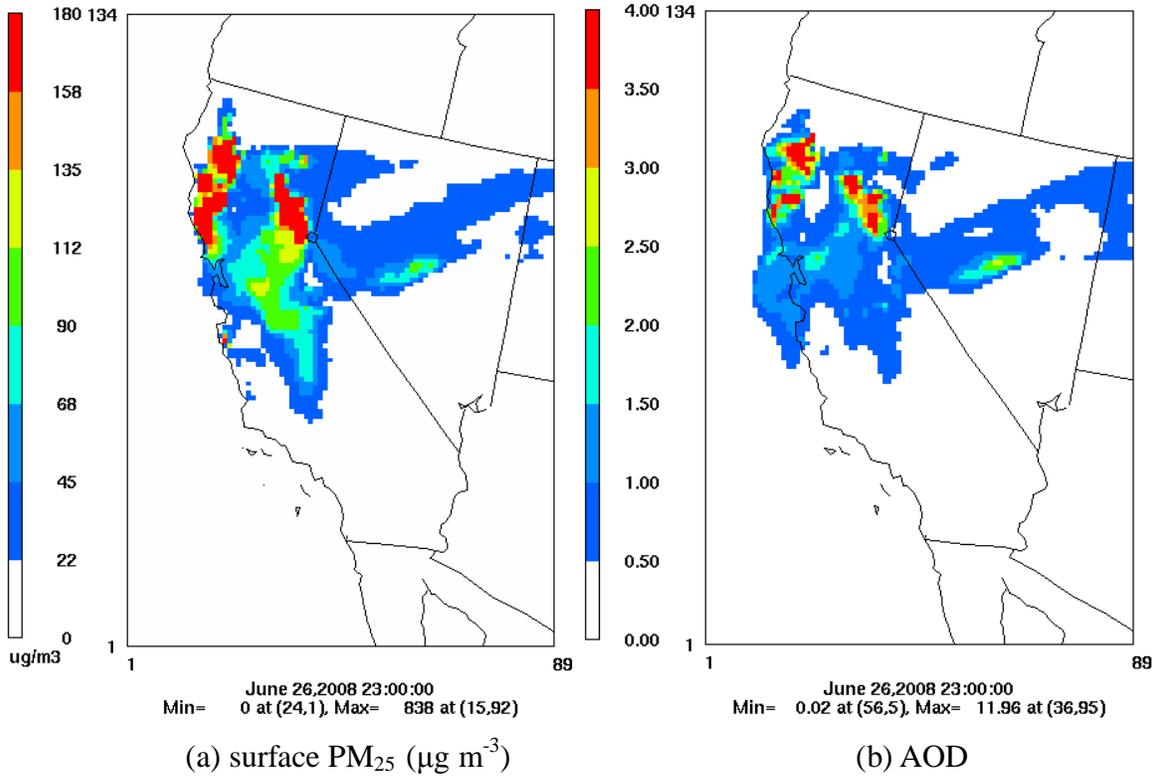
1

2 Figure 4. Calling sequence of the coupled system with 4:1 call frequency and radiation is updated every 6 time  
 3 steps

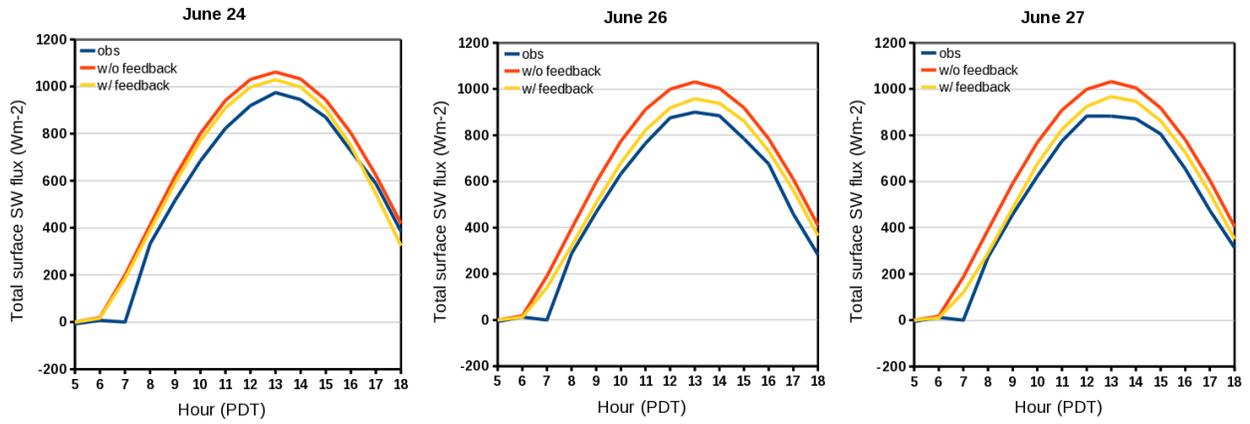


1

2 Figure 5. Locations of the June 2008 California wildfires (captured by NASA's Aqua Satellite,  
3 [http://www.nasa.gov/topics/earth/features/fire\\_and\\_smoke.html](http://www.nasa.gov/topics/earth/features/fire_and_smoke.html) ) illustrated by the red dots. Also  
4 shown are the locations of observations sites whose data is shown in Figures 7 and 8.

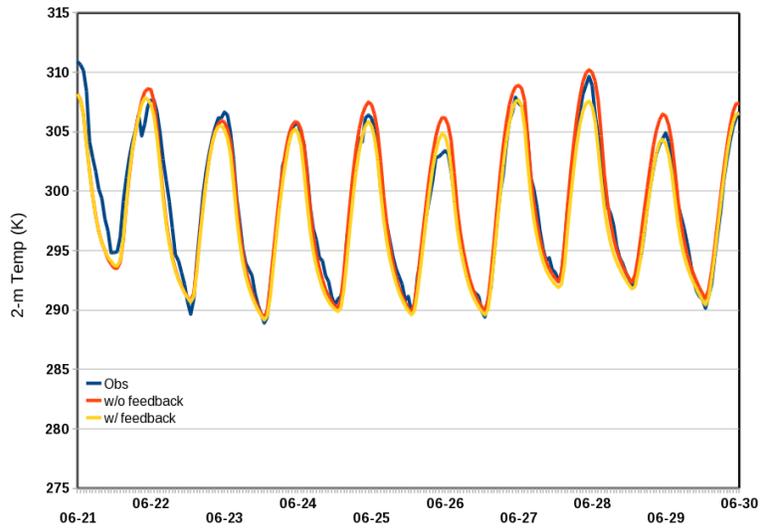


6 Figure 6. Illustration of the direct radiative effects of aerosols simulated by the coupled WRF-CMAQ  
7 model at 22 UTC on June 25, 2008.



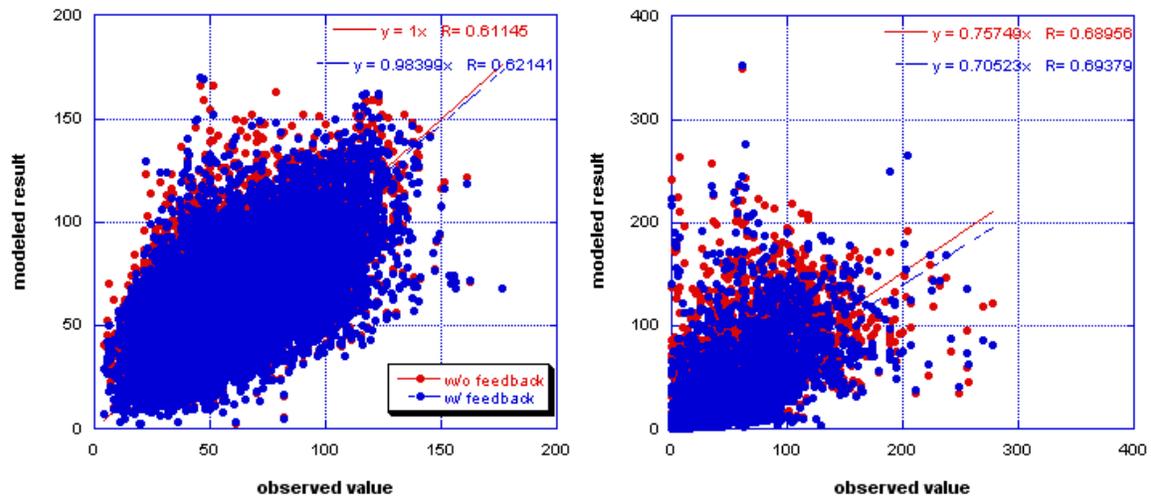
1

2 Figure 7. Total surface short wave radiation comparison between measurements at Hanford, CA (blue),  
 3 and with (yellow) and without (red) direct aerosol feedback



1

2 Figure 8. Surface (2-m) temperature comparison of averaged measurements (blue) from four sites  
3 (Oroville, Red Bluff, Redding and Sacramento) with model simulation with (yellow) and without (red)  
4 direct aerosol feedback



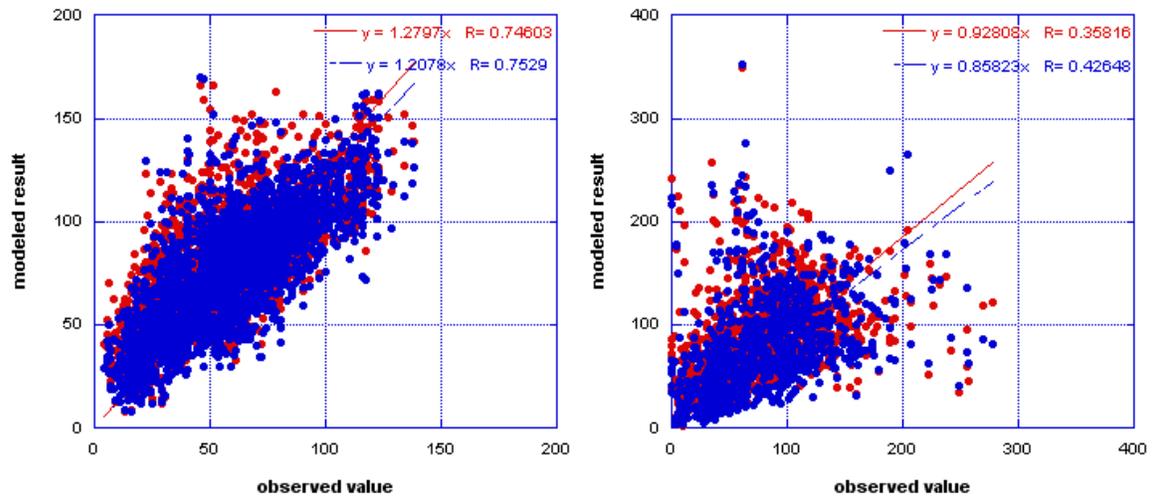
1

2

(a) O<sub>3</sub> (ppb)

(b) PM<sub>25</sub> (µg m<sup>-3</sup>)

3 Figure 9. Scatter plots of model, with (blue) and without (red) feedback, and observed daytime (a) O<sub>3</sub>  
 4 and (b) PM<sub>25</sub> ambient levels. Also shown are the slope and correlation coefficient (R)



1

2

(a) O<sub>3</sub> (ppb)

(b) PM<sub>25</sub> (µg m<sup>-3</sup>)

3 Figure 10. Daytime O<sub>3</sub> and PM<sub>25</sub> model performance with AOD greater than or equal to 0.5 (same  
 4 colour code as in Fig. 9)