

Using Repeated LIDAR to Characterize Topographic Change in Riparian Areas and Stream Channel Morphology in Areas Undergoing Urban Development: An Accuracy Assessment Guide for Local Watershed Managers

APM 286

RESEARCH AND DEVELOPMENT

Using Repeated LIDAR to Characterize Topographic Change in Riparian Areas and Stream Channel Morphology in Areas Undergoing Urban Development: An Accuracy Assessment Guide for Local Watershed Managers

APM 286

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Table of Contents	page
Title Page	i
Disclaimers	ii
Table of Contents	iii
List of Figures and Tables	V
Abstract/Executive Summary	Х
Acknowledgements	xii
Introduction	1
Accuracy and Precision	3
Study Area and LiDAR Coverages	3
Absolute versus Averaged Error	б
Part 1: LiDAR Accuracy and Precision Assessment: Ground Truth Measurements.	6
2006 Ground Survey: Overall LiDAR Accuracy and LiDAR Accuracy by Ground Cover Type	6
2006 Ground Survey: LiDAR Precision: Accuracy at Ground Survey Elevations for Repeated LiDAR Coverages by Vegetation Cover Class	9
Multi-Year LiDAR Coverages: LiDAR Accuracy by Slope Percent	12
LiDAR Precision Assessment: Differences in LiDAR-Derived Elevations at Surveyed Ground-Truth Points between	
Subsequent Sets of Multi-Year LiDAR Coverages.	15
Stream Transects	16
Part 2: LiDAR Precision Assessment Using Multi-Year LiDAR Coverages Where No Ground Truth Measurements Exist.	18
Large-Scale Elevation Changes Shown in LiDAR	18

LiDAR-Derived DEM Differences by Land Use/Land Cover (LULC)	18
LiDAR-Derived DEM Precision by Slope Gradient by LULC	22
Stream Channels	29
Stream Sinuosity	38
LiDAR-Derived DEM Watershed Boundaries Precision	41
Discussion	50
References	52
Appendices - Table of Appendices	54

List of Figures and Tables

Figure 1: LiDAR schematic.	2
Figure 2: Accuracy versus Precision.	3
Figure 3: Location of the Clarksburg Special Protection Area (CSPA).	4
Table 1: LiDAR Overflights information	5
Figure 4: Ground survey points ($n = 604$).	7
Table 2: 2006 Ground Survey Results - LiDAR Accuracy for all ground control points.	7
Figure 5: "Good", "OK", and "Bad" vegetative and surface condition classes.	8
Figure 6: Differences in absolute accuracy between Vegetation Cover Classes.	9
Table 3: Mean Absolute Difference between Ground Truth Elevation and LiDAR-Derived Elevation for 2002, 2004, 2006, 2007, and 2008 coverages.	10
Figure 7: Sum of absolute differences between ground truth elevation and LiDAR-derived elevations for repeat LiDAR coverages.	11
Figure 8: Slope quintiles created from slope derived from the 2007 LiDAR-derived 3-foot DEM calculated at the 604 ground-truth survey points.	12
Figure 9: Sum (All Years) Mean Absolute Accuracy by Slope Quintile.	13
Table 4: Sum (All Years) Mean Absolute Accuracy by Slope Quintile.	13
Figure 10: All Years Mean Absolute Year-to-Year Differences Between LiDAR-Derived Elevations at Ground-Truth Points by Slope Quintile.	14
Table 5: All Years Mean Absolute Year-to-Year Differences Between LiDAR-Derived Elevations at Ground-Truth Points by Slope Quintile.	14
Table 6: Modified Anderson Level One Land Cover Classes used in the study.	15
Table 7: Ground-Truth vs. LiDAR-Derived Sum Inter-Annual Delta Differences.	16
Figure 11: Stream Transects: Ground Truth vs. LiDAR-Derived Transect Elevations.	17
Figure 12: LiDAR-Derived DEM Differences by LULC Class for Sopers Branch.	19
Table 8: LiDAR-Derived DEM Differences by LULC Class for Sopers Branch.	19

Figure 13: LiDAR-Derived DEM Differences by LULC Class for T104.	20
Table 9: LiDAR-Derived DEM Differences by LULC Class for T104.	20
Figure 14: LiDAR-Derived DEM Differences by LULC Class for T109.	21
Table 10: LiDAR-Derived DEM Differences by LULC Class for T109.	21
Figure 15: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Forest LULC Class.	23
Table 11: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Forest LULC Class.	23
Figure 16: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Forest LULC Class.	24
Table 12: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Forest LULC Class.	24
Figure 17: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Forest LULC Class.	25
Table 13: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Forest LULC Class.	25
Figure 18: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Impervious Surfaces LULC class.	26
Table 14: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Impervious Surfaces LULC class.	26
Figure 19: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Impervious Surfaces LULC class.	27
Table 15: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Impervious Surfaces LULC class.	27
Figure 20: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Impervious Surfaces LULC class.	28
Table 16: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Impervious Surfaces LULC class.	28

Figure 21: ArcGIS-Derived Stream Channels from LiDAR-Derived 3-foot DEMs from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages.	29
Figure 22: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas for the Sopers Branch watershed.	30
Figure 23: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas for the T104 watershed.	31
Figure 24: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas for the T109 watershed.	32
Figure 25: T104 Low-Variance Stream Channel Area Example: LiDAR-Derived Stream Channels Overlaid on 2007 Shaded Relief Imagery.	33
Figure 26: T104 Low-Variance Stream Channel Area Example: 2007 Shaded Relief Imagery - the stream channel is relatively well delineated.	34
Figure 27: T104 High-Variance Stream Channel Area Example: LiDAR-Derived Stream Channels Overlaid on 2007 Shaded Relief Imagery.	35
Figure 28: T104 High-Variance Stream Channel Area Example: 2007 Shaded Relief Imagery - the stream channel is relatively not apparent.	36
Figure 29: Mean LiDAR Ground Point Density for High vs. Low-Variance Stream Channel Areas.	37
Figure 30: Weighted Mean Average Rosgen Sinuosity over Time for Sopers Branch, T104, and T109 for 2002, 2004, 2006, 2007, and 2008.	38
Table 17: Weighted Mean Average Rosgen Sinuosity over Time for Sopers Branch, T104, and T109 for 2002, 2004, 2006, 2007, and 2008.	39
Figure 31: LiDAR-Derived Rosgen Sinuosity vs. LiDAR-Derived Slope Gradient.	40
Figure 32: LiDAR-Derived Rosgen Sinuosity vs. LiDAR-Derived Flow Accumulation Gradient.	40
Figure 33: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for Sopers Branch.	42
Figure 34: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for T104.	43
Figure 35: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for T109.	44

Figure 36: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for Sopers Branch.	45
Figure 37: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for T104.	46
Figure 38: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for T109.	47
Table 18: Watershed Area Percent Differences based on LiDAR-Derived DEMs for 2002, 2004, 2006, 2007, and 2008.	48
Figure 39: 2007-2008 watershed difference in T109.	49

Appendices

Table of Appendices	54
Appendix One: Year-by-year mean absolute accuracy by slope quintile.	Ι
Appendix Two: Year-by-year mean absolute difference by slope quintile.	VI
Appendix Three: Sequence of modified Anderson Level One LULC classes mapped from 1-foot (or better) digital orthoimages.	X
Appendix Four: Sequence of figures that display the ground-truth stream transect measurements and LiDAR-derived stream transect elevation values.	XXVI
Appendix Five: Large-Scale Elevation Changes Shown in LiDAR. Sequence of images that display the year-to-year LiDAR-derived elevation differences between 3-foot DEMs.	XL
Appendix Six: LiDAR-Derived DEM Precision by Slope Gradient by LULC.	LIII
Appendix Seven: Metrics calculated for stream buffer High vs. Low-Variance Stream Channel Areas.	LXXVII
Appendix Eight: Year-to-year differences in watersheds calculated from subsequent years of LiDAR coverages. Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.	LXXXV
Appendix Nine: Examples of Vegetation Classes used in the LiDAR Accuracy Assessment Ground Truth Survey Area.	XCVII

Abstract/Executive Summary

Urban development and the corresponding increases in impervious surfaces associated with that development have long been known to have adverse impacts upon urban riparian systems, water quality and quantity, groundwater recharge, streamflow, and aquatic ecosystem integrity. The ability of Best Management Practices (BMPs) to mitigate the impact of urban development is an emerging area of research and a central component of the Clean Water science mission of the US EPA. The ability to monitor and characterize urban development and corresponding stream channel changes due to urban development with remote sensing, high spatial and temporal resolution mapping, and Geographic Information Systems (GIS) technology is an area of research focus in the geospatial sciences and the US EPA Landscape Ecology Branch.

A key component in the geospatial assessment of urban development is the analysis of changes in ground surface topography, contributing watershed area, and stream channel geomorphology using Digital Elevation Models (DEMs). LiDAR (Light Detection And Ranging) is an active remote sensing technology that uses light pulses to measure distance and other characteristics (texture, hardness, etc.) of terrain and objects. LiDAR can be used to construct DEMs that are much finer in spatial scale over much larger areas than have been previously possible. Repeated LiDAR-derived DEMs can be used to characterize the changes over time associated with urban development.

This study is an attempt to categorize and characterize the accuracy and precision of repeated LiDAR-derived DEMs. This study used ground truth measurements in conjunction with repeated airborne LiDAR coverages to assess LiDAR accuracy and assess the precision of LiDAR over different land use/land cover (LULC) types. This study is a portion of a larger research program, the Clarksburg Monitoring Project, which combines remotely sensed imagery, GIS, and LIDAR to map and monitor urban development and ground-based measurements of streamflow, precipitation, aquatic biota, and water quality to measure stream response to urban development and BMP effectiveness.

This study used a ground survey and repeated LiDAR overflights to assess overall LiDAR accuracy, LiDAR accuracy by ground cover type and slope, and the precision (repeatability) of LiDAR-derived DEMs and elevation measurements. The surveyed ground control network consisted of 604 surveyed locations acting as ground-truth for the LiDAR-derived measurements. We found significant differences in the absolute accuracy of LiDAR by vegetation and surface condition class, with a decline in accuracy with increased interference from vegetation, surface condition, and increasing slope. The precision of repeated LiDAR-derived elevations across vegetation cover classes and slope also was significantly different among vegetation cover classes and with increased slope; with declining precision found for both increasing slope and interference intensity of vegetation cover classes.

We also looked at larger study areas to measure the precision of LiDAR-Derived DEM differences by LULC. Each comparison yielded significantly different means for each group with Forest showing the greatest mean difference and the Agricultural LULC class showing the least. We compared stream transect ground-truth measurements to LiDAR-derived stream

transects and found that the LiDAR-derived inter-annual transect differences measured were from 2 to 12 times larger than the ground-truth-derived differences. The relationship between the ground-truth transect measurements and the LiDAR-derived transects was noisy and the ability of repeated LiDAR to reveal stream channel morphology changes at a 1-foot spatial scale appears to be quite poor. The ability to use LULC to generally predict the precision of repeat LiDAR-derived DEMs and elevation estimates also was weak. Changes in vegetation condition and slope that adversely affect LiDAR accuracy and precision occur at a spatial scale much less than that captured by the LULC variable. The use of repeat LiDAR to extract stream channel paths and predict watershed boundaries was also found to be highly variable between LiDAR coverages.

The results of this study should be taken as a warning to watershed modelers and managers that LiDAR-derived stream channels, stream transects and morphology, elevation changes, and watershed boundaries and areas may not be nearly so certain as one might otherwise assume from the fine spatial scale of the LiDAR output maps. The final conclusion of this study is that a user of LiDAR who attempts to delineate changes at or near to the spatial level of resolution of the base data (about 1 meter or 3 feet in our study) cannot rely on LiDAR alone to assess those changes. Ground truth is needed to verify changes predicted by repeat LiDAR measurements. The loose relationship seen by the LiDAR transects and ground-truth transects should act as a warning to the LiDAR user: use LiDAR to identify where change appears to have occurred and then use ground-truth to verify.

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Introduction

Changes in topography, impervious surfaces, and land use and land cover associated with urban development have been shown to alter streamflow and stream geomorphology and topography (Schueler, 1994; USEPA, 1994; Arnold and Gibbons, 1996; Caraco et al., 1998; Jennings and Jarnagin, 2002; Jarnagin, 2004; Jarnagin, 2007). Measuring these changes in streams is a difficult and labor-intensive task (Gardina, 2008). If LiDAR could reliably measure these changes over a wide area over time, this would be a great benefit to watershed manages and interested stakeholders and help to evaluate the effectiveness of Best Management Practices (BMPS) in mitigating the adverse effects of urban development.

LiDAR (Light Detection And Ranging) is a remote sensing technology that uses light pulses to measure distance and other characteristics (texture, hardness, etc.) of terrain and objects. LiDAR is an active remote sensing technique where the light pulse is sent from the system and the length of time for the return signal is recorded, allowing for the distance between the sensor and the object imaged to be calculated. LiDAR systems can be either mobile (such as airborne LiDAR) or stationary. Both the position and orientation of the LiDAR sensor must be known in order to accurately measure distance. GPS (Global Positioning System) receivers are used to accurately determine the position of the aircraft and the environmental surface sensed with LiDAR. One of the final results of airborne LiDAR is a very accurate and high-resolution Digital Elevation Model (DEM) of the environmental surfaces remotely sensed with LiDAR. See Figure 1 for a schematic drawing of an airborne LiDAR system.

Aerial topographic LiDAR is obtained in a series of flightlines that collect overlapping data points. These are merged by complex software into the LiDAR All-Points data cloud. Typically, what is delivered to the user is a computer-algorithm filtered Ground-Points dataset converted into a DEM. The user may also request and receive First Return and Last Return Data (the first and last set of pulses returned to the sensor, respectively). Intensity data may also be provided. The computer algorithms and software used to create these data sets are proprietary and exist as a black box with respect to the user. The typical user of LiDAR data will only be using the end products of the LiDAR overflights, LiDAR-derived DEMs, not the raw data used to create those products.

This study is an attempt to categorize and characterize the accuracy and precision of LiDAR-derived DEMs. This study used ground truth measurements in conjunction with an airborne LiDAR coverage to assess LiDAR accuracy and repeat airborne LiDAR coverages to assess the precision of LiDAR over different land use/land cover (LULC) types. This study is a portion of a larger research program, the Clarksburg Monitoring Project, that combines remotely sensed imagery, GIS (Geographic Information Systems), and LIDAR to map and monitor urban development and stream response to urban development. For further information about the Clarksburg Monitoring Project see:

< http://www.epa.gov/esd/land-sci/epic/clarksburg01-05.htm >;

< http://www.montgomerycountymd.gov/dectmpl.asp?url=/content/dep/water/spaclarksburg.asp >; < http://egsc.usgs.gov/currentscienceprojects.html >: "Best Management Practices Designed to Improve Developing Landscapes"; and < http://egsc.usgs.gov/clarksburghighlights.html > (all links last accessed 9/21/2010).

LASER-SCANNING



Figure 1: LiDAR schematic. Image Source: Spencer B. Gross Inc., Portland OR.

Accuracy and Precision

The *accuracy* of a set of measurements describes how close they are to the true or actual value of the measured quantity. The *precision* of a set of measurements is the degree to which the measurements agree with each other. Reproducibility, replicability, and repeatability are synonyms for measurement *precision*. Figure 2 is an excellent graphic from an online Math Skills Review at the Texas A&M Department of Chemistry Website that visually illustrates *Accuracy* versus *Precision*:



Accuracy refers to how closely a measured value agrees with the correct value. **Precision** refers to how closely individual measurements agree with each other.

Figure 2: *Accuracy* versus *Precision*: from Texas A&M website < http://www.chem.tamu.edu/class/fyp/mathrev/mr-sigfg.html >. Last accessed 09/08/2010.

Study Area and LiDAR Coverages

The study area for this project is the Clarksburg Special Protection Area (CSPA) in Montgomery County Maryland. Repeat LiDAR coverages were obtained as a part of the ongoing research project: "Collaborative Research: Streamflow, Urban Riparian Zones, BMPs, and Impervious Surfaces" (see: < http://www.epa.gov/esd/land-sci/epic/clarksburg01-05.htm > for an overview. Last accessed 09/08/2010. Figure 3 shows the location of the CSPA in relation to the state of Maryland and the Washington DC metro area.

Five airborne LiDAR coverages were obtained in the CSPA in the 2002-2008 time period. The vendors, instruments, mean LiDAR raw point spacing, and reported accuracies for those overflights are listed in Table 1.



Figure 3: Location of the Clarksburg Special Protection Area (CSPA).

Year: 2002 Month: December Vendor: Airborne 1 Instrument: Optech ALTM-2025 Mean LIDAR raw point spacing: sub 0.8 meter Reported Accuracy: Average vertical difference: 0.07 meter (0.23 foot) Average horizontal difference: 0.04 meter (0.13 foot) Max vertical difference: 0.12 meter (0.39 foot) Max horizontal difference: 0.07 meter (0.23 foot)

Year: 2004 Month: March Vendor: Laser Mapping Specialists Inc. Instrument: Optech ALTM-2033 Mean LIDAR raw point spacing: sub 0.8 meter Reported Accuracy: Vertical RMSE: 0.05 meter (0.15 foot) Average horizontal difference: < 0.30 meter (< 1 foot) Max vertical difference: 0.08 meter (0.28 foot) Max horizontal difference: not reported

Year: 2006 Month: March Vendor: Canaan Valley Institute (CVI) Instrument: Optech ALTM-3100 Mean LIDAR raw point spacing: sub 0.1 meter Reported Accuracy: Vertical RMSE: 0.04 meter (0.15 foot) Average horizontal difference: 0.13 meter (0.43 foot) Max vertical difference: 0.08 meter (0.28 foot) Max horizontal difference: not reported

Year: 2007 Month: March Vendor: Canaan Valley Institute (CVI) Instrument: Optech ALTM-3100 Mean LIDAR raw point spacing: sub 0.15 meter Reported Accuracy: Vertical RMSE: 0.03 meter (0.10 foot) Average horizontal difference: 0.05 meter (0.16 foot) Max vertical and horizontal differences: not reported

Year: 2008 Month: March Vendor: Sanborn Instrument: Leica ALS-50 Mean LIDAR raw point spacing: 1.4 meters Reported Accuracy: (not reported - specifications below were met): Vertical RMSE (Bare Earth): 0.15 meter (0.49 foot) Horizontal RMSE: 1 meter (3.29 feet) Max vertical and horizontal differences: not reported

Table 1: LiDAR Overflights information: Vendors, instruments, mean LiDAR raw point spacing, and reported accuracies for the five LiDAR overflights used in this study.

Absolute versus Averaged Error

Typically, LiDAR vendors express vertical error using an averaged estimate of error: root mean square error (RMSE). For an unbiased estimator, the RMSE is the square root of the variance, known as the standard error. If you want to know the confidence in an overall estimate of an elevation value for a flat surface, the RMSE provides a good estimate of precision.

However, what we are often interested in is the error associated with a single point measurement or a limited set of measured points (as in a stream transect). Calculating the mean value of the error of a set of points yields a smaller number since positive and negative error values tend to cancel each other out for an unbiased estimator. For this study, I have used the absolute value of the error unless otherwise stated. This tends to maximize the reported value but yields a better accuracy estimate for a single point or limited set of points.

Part 1: LiDAR Accuracy and Precision Assessment: Ground Truth Measurements.

2006 Ground Survey: Overall LiDAR Accuracy and LiDAR Accuracy by Ground Cover Type

In March of 2006, students and faculty from the University of Maryland, College Park joined EPA and USGS scientists, Montgomery County Maryland Department of Environmental Protection scientists and staff, and employees from Johnson Mirmiran & Thompson PA, Sparks Maryland in surveying a set of ground control locations near the Sopers Branch stream gauge in the Clarksburg Special Protection Area (CSPA), near Clarksburg Maryland. EPA funded the placement of a highly accurate geodetic ground survey monument network and the ground survey crew used Total Stations (an electronic transit integrated with an electronic distance meter) to read slope distances from the monuments to the ground control network. EPA also funded a LiDAR overflight concurrent with the ground survey to allow a direct comparison of LiDAR-derived locations with a high-accuracy ground survey. The ground control network consisted of 604 surveyed locations (Figure 4)

The overall accuracy of the LiDAR-derived elevations is found in Table 2. Both mean and absolute elevation differences are given. Using a mean value yields an accuracy estimate of 0.07 feet (2.1 cm) while the absolute value is an order of magnitude larger at 0.46 feet (14.1 cm).



Figure 4: Ground survey points (n = 604).

Actual Values, All Points: n = 604Mean elevation difference = 0.069 Std Dev = 0.706 \pm 95% C.I. = 0.056 Maximum elevation difference = 3.863 Minimum elevation difference = -2.396

Absolute Values, All Points: n = 604Mean (Abs) elevation difference = 0.461 Std Dev = 0.539 \pm 95% C.I. = 0.043 Max (Abs) elevation difference = 3.863

Table 2: 2006 Ground Survey Results - LiDAR Accuracy for all ground control points. All distances are in feet. Actual (\pm) values and absolute values of differences between LiDAR-derived and ground truth elevations.

I classified the ground control points according to the amount of overhanging branches and ground litter and vegetation (Figure 5). Three vegetation condition classes were used: "Good" (near-stream ground conditions have open, level, and firm-to-hard surfaces with few or no overhanging branches), "OK" (near-stream vegetative conditions have relatively little ground vegetation with relatively more overhanging branches and ground litter), and "Bad" (near-stream vegetative conditions have more irregular and softer ground conditions with moderate-to-heavy amounts of ground litter and dense underbrush and relatively dense overhanging branches).



Figure 5: "Good", "OK", and "Bad" vegetative and surface condition classes (represented by green, blue. and red symbols respectively).

Comparison of the absolute accuracy by vegetation and surface condition class yields significant differences with a decline in accuracy with increased interference with vegetation and surface condition (Figure 6). See Appendix Nine for a visual depiction of the vegetation classes.



Mean (Abs) Difference (ft) Between Vegetation Cover Classes

Figure 6: Differences in absolute accuracy between Vegetation Cover Classes. Good ground conditions yield significantly better accuracy.

2006 Ground Survey: LiDAR Precision: Accuracy at Ground Survey Elevations for Repeated LiDAR Coverages by Vegetation Cover Class

The accuracy of repeat LiDAR-derived elevations were calculated at the 604 surveyed ground control truth elevation points for the LiDAR coverages for 2002, 2004, 2006, 2007, and 2008. The mean absolute difference between the ground truth elevation and the LiDAR-derived elevation for each year was significantly different among years (ANOVA F = 23.381, df = 4, p < 0.001) but that was more a measure of the large sample size (n = 3020) than large differences between mean elevations, which ranged from 0.4 - 0.7 feet (Table 3). The relationship of significantly better accuracy for the "Good" vs. "OK" vs. "Bad" vegetation cover classes held for all five years of LiDAR coverages. The precision of repeat LiDAR-derived elevations across vegetation cover classes was measured by using the sum of differences for each ground truth elevation point as the metric. A smaller sum of differences implies a greater precision. Figure 7 displays the significant differences found among vegetation cover classes, with increasing difference found for the "Good" vs. "OK" vs. "Bad" vegetation cover classes.

Year	Mean_Abs_Diff	n	Std Dev	± 95% C.I.	Minimum	Maximum
2002	0.598	604	0.644	0.051	0.001	3.383
2004	0.633	604	0.678	0.054	0.001	4.005
2006	0.427	604	0.505	0.040	0.000	3.996
2007	0.410	604	0.448	0.036	0.000	2.563
2008	0.682	604	0.806	0.064	0.001	4.350
Year	2002	2004	200)6	2007	2008
2002	0.000					
2004	0.035	0.000				
2006	-0.171	-0.206	0.00	00		
2007	-0.189	-0.223	-0.0	17	0.000	
2008	0.083	0.049	0.2	55	0.272	0.000
Year	2002	2004	200)6	2007	2008
2002	1.000					
2004	1.000	1.000				
2006	0.000	0.000	1.00	00		
2007	0.000	0.000	1.00	00	1.000	
2008	0.213	1.000	0.00	00	0.000	1.000

Table 3: Mean Absolute Difference between Ground Truth Elevation and LiDAR-Derived Elevation for 2002, 2004, 2006, 2007, and 2008 coverages. Matrix of pairwise mean differences using least squares means: Post Hoc test of ABS_DIFF; using model MSE of 0.396 with 3015 df. Bonferroni Adjustment: Matrix of pairwise comparison probabilities.





Figure 7: Sum of absolute year-to-year differences between ground truth elevation and LiDARderived elevations (n = 604) for repeat LiDAR coverages: 2002, 2004, 2006, 2007, and 2008.

Multi-Year LiDAR Coverages: LiDAR Accuracy by Slope Percent

Slope has been shown to account for differences in LiDAR accuracy, with increasing error found in areas of increasing slope. Our repeat LiDAR and ground truth elevation dataset offered an excellent opportunity to test this relationship. The 2007 LiDAR data over the ground survey area was used as the 'best' data and processed to a 3-foot DEM (the 'best' spatial resolution based upon the point spacing and the DEM rules stated in Maune, 2001). The ArcInfo Grid 'slope' function was used to generate a % slope gradient and 'Slope Quintiles' were created by using a combination of equal sample sizes and natural breaks in the data (Figure 8).



Figure 8: Slope quintiles created from slope derived from the 2007 LiDAR-derived 3-foot DEM calculated at the 604 ground-truth survey points.

For this exercise, the accuracy value is calculated as the absolute value of the difference between the survey ground truth points and the LiDAR-derived elevation values. 'Mean Sum Accuracy' (Figure 9 and Table 4) is the mean of the sum for 2002, 2004, 2006, 2007, and 2008 for each point in the quintile. 'MeanDiff' is the absolute value of the difference between the LiDAR-derived elevation values for 2002-2004, 2004-2006, 2006-2007, and 2007-2008.

'MeanDiff All Years' is the absolute value of the difference between the LiDAR-derived elevation values for all four between-year pairs. The accuracy of the LiDAR-derived elevation at the surveyed ground truth points significantly declined with increasing percent slope.



Sum (All Years) Mean Absolute Accuracy by Slope Quintile

Figure 9: Sum (All Years) Mean Absolute Accuracy by Slope Quintile.

Slope Quintiles	Mean Sum	n	stdev	± 95% C.I.
	Accuracy			
0 - 3.6	1.26	116	1.08	0.20
3.6 - 8.5	1.84	123	1.81	0.51
8.5 - 19.3	2.77	121	2.48	0.42
19.3 - 31.7	3.41	122	2.10	0.53
31.7 - 77.9	4.41	122	2.97	0.83

Table 4: Sum (All Years) Mean Absolute Accuracy by Slope Quintile.

Year-by-year mean absolute accuracy by slope quintile is shown in Appendix One. These data are noisier than the sum of years but show the same general trend: increasing accuracy with lower slope.

LiDAR Precision Assessment: Differences in LiDAR-Derived Elevations at Surveyed Ground-Truth Points between Subsequent Sets of Multi-Year LiDAR Coverages.

Another method of assessing precision (without respect to how accurate the measurements are) is to compare year-to-year differences between the LiDAR-derived elevations for subsequent coverages in the multi-year LiDAR coverage dataset.



All Years: Mean Absolute Difference by Slope Quintile

Figure 10: All Years Mean Absolute Year-to-Year Differences Between LiDAR-Derived Elevations at Ground-Truth Points by Slope Quintile.

Slope Quintiles	MeanDiff All	n	stdev	± 95% C.I.
-	Years			
0 - 3.6	0.22	464	0.25	0.02
3.6 - 8.5	0.33	500	0.43	0.04
8.5 - 19.3	0.44	476	0.52	0.05
19.3 - 31.7	0.57	488	0.56	0.05
31.7 - 77.9	0.71	488	0.65	0.06

Table 5: All Years Mean Absolute Year-to-Year Differences Between LiDAR-Derived Elevations at Ground-Truth Points by Slope Quintile.

Figure 10 shows the means of differences by slope quintile and the ANOVA statistics between groups. There was a significant difference between groups with increasing differences (less precision) as the percent slope increased. Table 5 displays the resulting numeric values.

Year-by-year mean absolute difference by slope quintile is shown in Appendix Two. These data are noisier than the sum of years but show the same general trend: increasing precision with lower slope.

LiDAR Precision Assessment: Multi-Year LiDAR Coverages by Land Use/Land Cover (LULC)

In most real-life applications of LiDAR-derived elevations, DEMs, and topography, there are few or no ground-truth elevations other than those used to calibrate the LiDAR overflight. The multi-year LiDAR coverage dataset offered an opportunity to indirectly assess LiDAR precision by using those multiple measurements sorted by Land Use/Land Cover (LULC) classes and slope. We first needed to determine which areas did not undergo development and change in LULC over the time period covered. Modified Anderson Level One and Level Two classification of LULC was done for the study watersheds for the 1998, 2002, 2004, 2006, 2007, and 2008 periods. Table 6 shows the modified Anderson Level One classification used.

LULC Code	LULC Class
1	Agricultural
2	Barren
3	Forest
4	Impervious Surface (Urban)
5	Natural Clearing
6	Urban Grasses Cultivated
7	Urban Grasses Fallow
8	Water
9	Wetland

Table 6: Modified Anderson Level One Land Cover Classes used in the study.

Appendix Three: Sequence of modified Anderson Level One LULC classes mapped from 1-foot (or better) digital orthoimages (used as the ground-truth for LULC mapping) from the 1998, 2002, 2004, 2006, and 2008 aerial overflights by Montgomery County in the Clarksburg Special Protection Area. 1998 was used as the base year. LULC coverages were done for the Sopers Branch, Tributary 104 (T104), and Tributary 109 (T109) watershed areas, with the LULC mapped to a 500-foot buffer and the County-mapped stream channels overlaid. For comparisons of LiDAR precision (repeatability of LiDAR-derived elevation), only areas with no LULC change over time were used as comparison locations.

Stream Transects

The Montgomery County, Maryland Department of Environmental Protection (DEP) does yearly measurements of streams in the CSPA as a part of their geomorphology assessments of stream health. These repeated measurements are taken annually at a linear spatial scale of one foot or less across stream transects chosen to yield information about changes in stream morphology as a result of the urban development in the CSPA. I used a Trimble GeoXT2003 handheld Global Positioning System (GPS) to find the geographic locations of the DEP transects and compared LiDAR-derived DEM transects at the same locations over time. I used a 1-foot LiDAR-derived DEM to match the spatial scale of analysis used by the DEP and interpolated both results to a uniform 1-foot spatial scale. Due to positional uncertainty (location error) in the GPS locations and the temporal disconnect between stream transect dates and LiDAR overflight dates, no attempt was made to directly compare ground-truth transects with LiDAR-derived transects (a measure of accuracy). Instead, as a measurement of precision, year-to-year differences between interpolated stream transect depths and LiDAR-derived transect elevations were compared. The metric used for comparison was the "Sum Inter-Annual Delta Difference". This was calculated by the taking the sum of the absolute values of the differences in inches between interpolated depths along the respective ground-truth and LiDAR-derived transects, divided by the linear distance measured and the number of years in the series of measurements. This yields a normalized value that expresses the inches per year per linear foot of measured difference per measurement method for each stream transect location. Table 7 and Figure 11 show the ground-truth vs. LiDAR-Derived Sum Inter-Annual Delta Differences measured. Appendix Four shows a sequence of images that display the ground-truth stream transect measurements and LiDAR-derived stream transect elevation values.

Sample Location	Ground-Truth	LiDAR-Derived
LSSB101 A1 X1	0.4047	2.8104
LSLS104 A1 X1	0.4148	1.3688
LSLS104 A1 X2	0.5269	1.6167
LSLS104 A2 X1	0.4579	2.6024
LSLS104 A2 X2	0.3445	1.7940
LSLS104 A3 X1	0.9265	2.2474
LSLS104 A3 X2	0.7639	1.7919
LSLS104 A3 X3	0.9083	2.3634
LSLS104 A3 X4	0.8778	3.3982
LSLS109 A1 X1	0.1250	1.3024
LSLS109 A1 X2	0.2492	0.8203
LSLS109 A2 X1	0.2785	3.3259
LSLS109 A2 X2	0.6027	3.5540
LSLS109 A3 X1	0.1793	1.4810

Table 7: Ground-Truth vs. LiDAR-Derived Sum Inter-Annual Delta Differences (inches/foot/year).



Ground-Truth vs LiDAR-Derived Sum Inter-Annual Delta Differences

Figure 11: Stream Transects: Ground Truth vs. LiDAR-Derived Transect Elevations.

The LiDAR-derived Sum Inter-Annual Delta Differences measured were from 2 to 12 times larger than the ground-truth-derived differences. Additionally, the year-to-year difference seen between some LiDAR transects was extreme. The relationship between the two variables was noisy ($R^2 = 0.17$) and the ability of repeat LiDAR to reveal stream channel morphology changes at a 1-foot spatial scale appears to be quite poor.

Part 2: LiDAR Precision Assessment Using Multi-Year LiDAR Coverages Where No Ground Truth Measurements Exist.

Large-Scale Elevation Changes Shown in LiDAR

Appendix Five shows a sequence of images that display the year-to-year LiDAR-derived elevation differences between 3-foot DEMs for the 500-foot buffered areas around the Sopers Branch, Tributary 104 (T104), and Tributary 109 (T109) watersheds in the CSPA. Year-to-year differences in 3-foot LiDAR-derived DEMs are shown for sequential years 2002-2004, 2004-2006, 2006-2007, and 2007-2008. All elevation differences have been scaled to the same metric, with the difference between the later year minus the earlier year shown; increasing red (negative) values indicate that the elevation was greater in the prior year (elevation has decreased over time) while increasing blue (positive) values indicate that the elevation was less in the prior year (elevation has increased over time). Sopers Branch is a control watershed, not currently undergoing urban development. As expected, year-to-year differences are largely minor (within \pm 1 foot) or largely confined to the outer edges of the DEMs where edge effects are present and some development activities have occurred in the 500-foot buffer outside the watershed boundary. T104 and T109 are areas undergoing development and large-scale cut-and-fill operations associated with urban development can be detected using the sequential LiDAR coverages. For comparisons of LiDAR precision (repeatability of LiDAR-derived elevation), only areas with no elevation change ± 2 feet over time were used as comparison locations.

LiDAR-Derived DEM Differences by LULC

To look at the precision of LiDAR-derived 3-foot DEM elevations by LULC class, areas of no elevation change (\pm 2 feet) over time and no LULC change over time were compared for the 2002-2004, 2004-2006, 2006-2007, and 2007-2008 LiDAR derived elevation differences for the Sopers Branch, T104, and T109 watersheds.. A random sample of 60 points was selected from the coverages for the Agricultural, Forest, Impervious Surfaces, and Urban Grasses Cultivated LULC classes. A mean was calculated for the absolute values of the differences for the four coverage pairs for each watershed for each of the four LULC classes considered. Each comparison yielded significantly different means for each group with Forest showing the greatest mean difference and the Agricultural LULC class showing the least. Due to the very large sample sizes, these significant differences between LULC classes must be taken with a grain of salt. Smaller within-LULC samples (n = 30) showed a high degree of internal variation of the mean. Figures 11-13 and Tables 8-10 show the mean differences found.



Sopers Branch: Mean Abs_Difference in LiDAR-Derived Elevation Between Land Cover Classes

Figure 12: LiDAR-Derived DEM Differences by LULC Class for Sopers Branch.

Land Cover					
Class	Sample	Mean Abs_Diff (ft)	n	Std Dev	± 95 % C.I.
(Sopers	Sample				
Branch)					
Agricultural	All	0.289	720	0.256	0.019
Forest	All	0.339	720	0.334	0.024
Impervious	All	0.314	720	0.372	0.027
Surface					
Urban					
Grasses	All	0.291	720	0.249	0.018
Cultivated					

Table 8: LiDAR-Derived DEM Differences by LULC Class for Sopers Branch.



T104: Mean Abs_Difference in LiDAR-Derived Elevation Between Land Cover Classes

Figure 13: LiDAR-Derived DEM Differences by LULC Class for T104.

Land Cover		Mean			
Class	Sample	Aba Diff (ft)	n	Std Dev	± 95 % C.I.
(T104)		AUS_DIII (II)			
Agricultural	All	0.275	720	0.177	0.013
Forest	All	0.389	720	0.382	0.028
Impervious	All	0.326	720	0.348	0.025
Surface					
Urban					
Grasses	All	0.312	720	0.261	0.019
Cultivated					

Table 9: LiDAR-Derived DEM Differences by LULC Class for T104.



T109: Mean Abs_Difference in LiDAR-Derived Elevation Between Land Cover Classes



Land Cover		Maan			
Class	Sample	Abe Diff (ft)	n	Std Dev	± 95 % C.I.
(T109)		AUS_DIII (II)			
Agricultural	All	0.247	720	0.222	0.016
Forest	All	0.302	720	0.286	0.021
Impervious	All	0.257	720	0.269	0.020
Surface					
Urban					
Grasses	All	0.264	720	0.237	0.017
Cultivated					

Table 10: LiDAR-Derived DEM Differences by LULC Class for T109.
LiDAR-Derived DEM Precision by Slope Gradient by LULC

To investigate the effect of slope on LiDAR-derived elevations by LULC class, a percent slope gradient was calculated for the Forest and Impervious Surfaces LULC classes for Sopers Branch, T104, and T109 using the 3-foot DEM derived from the 2007 LiDAR coverage. To avoid edge effects, an interior 3-foot buffer was used and only no-LULCC-change and no-elevation-change areas were used. The slope percentages at 3-ft DEM resolution were derived by year and rounded to whole integer percentages; the few cells of gradient > 30% were rolled into the 30% category; the five years' class and cell count distributions were combined across years and the mean average value (MAV) of the absolute differences between subsequent years calculated for each slope percentage. Quintiles were formed by using the closest equal-number technique from the slope percentage classes and the MAVs averaged for each quintile. Figures 15-17 and Tables 11-13 display the all-years values computed for the Forest LULC class and Figures 18-20 and Tables 14-16 display the all-years values computed for the Impervious Surfaces LULC class. Individual between-year differences are shown in Appendix Six for both LULC classes.

There was little change in between-year elevation differences computed until the largest slope-percent quintile was considered. In the Forest LULC class, Sopers Branch showed the least difference in elevation between slope-percent quintiles while T104 and T109 showed an increased difference in elevation with the largest slope-percent quintile. For the Impervious Surfaces LULC class, the largest slope-percent quintile showed the largest difference in elevation in all three watersheds. See the Discussion section for speculation as to why this may have occurred.



All Years Forest No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Figure 15: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Forest LULC Class

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups			
0 - 6	2902604	0.303	0.079
7 - 10	3482788	0.293	0.064
11 - 14	3533244	0.294	0.061
15 - 19	3244784	0.303	0.062
20 - 30+	3427552	0.340	0.076

Table 11: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Forest LULC Class.



All Years Forest No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Figure 16: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Forest LULC Class.

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups			
0 - 6	282464	0.343	0.085
7 - 10	273672	0.328	0.079
11 - 14	286812	0.317	0.075
15 - 19	287704	0.332	0.077
20 - 30+	398008	0.389	0.101

Table 12: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Forest LULC Class.



All Years Forest No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Figure 17: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Forest LULC Class.

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups	-		
0 - 4	320104	0.268	0.021
5 - 7	425816	0.265	0.017
8 - 10	395800	0.270	0.021
11 - 14	349564	0.283	0.029
15 - 30+	355772	0.374	0.081

Table 13: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Forest LULC Class.



All Years Impervious Surface No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Figure 18: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Impervious Surfaces LULC class.

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups			
0 - 2	144972	0.318	0.083
3 - 4	216864	0.293	0.081
5 - 6	181252	0.276	0.069
7 - 9	144952	0.277	0.066
10 - 30+	104676	0.471	0.173

Table 14: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - Sopers Branch, Impervious Surfaces LULC class.





Figure 19: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Impervious Surfaces LULC class.

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups			
0 - 3	68188	0.301	0.068
4 - 5	68924	0.293	0.072
6 - 7	62696	0.308	0.080
8 - 11	75984	0.335	0.078
12 - 30+	91480	0.459	0.117

Table 15: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T104, Impervious Surfaces LULC class.





Figure 20: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Impervious Surfaces LULC class.

Quintile Slope	Quintile n	Mean Diff All Years	Std Dev
Groups			
0 - 2	41196	0.260	0.071
3 - 4	62208	0.244	0.061
5 - 6	55788	0.249	0.061
7 - 9	48028	0.287	0.069
10 - 30+	50648	0.457	0.141

Table 16: No-Change Land Cover, Mean Differences in Elevation by Slope Gradient Quintiles - T109, Impervious Surfaces LULC class.

Stream Channels

One of the primary goals of this research was to assess the ability of repeat LiDAR coverages to accurately assess changes in stream channels over time in areas undergoing urban development. LiDAR-derived 3-foot DEMs were used to calculate flow accumulation and delineate stream channels in ArcGIS. Figure 21 shows the three study watershed and the stream channels derived from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages.



Figure 21: ArcGIS-Derived Stream Channels from LiDAR-Derived 3-foot DEMs from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages. The stream channels for Sopers Branch, T104, and T109 are shown overlaid on a shaded-relief image derived from the 2007 LiDAR-derived DEM and the three watersheds are overlaid on 2002 IKONOS imagery of the CSPA.

Areas where multiple LiDAR-derived stream channels closely overlaid each other were classified as 'low-variance' channels and areas where the channels did not overlay closely were classified as 'high-variance' channels. Three areas were identified for each of the channel-variance groups for each of the three watersheds (Figures 22-24).



Figure 22: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas derived from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages at a 3-foot DEM spatial scale for the Sopers Branch watershed. Channels are overlaid on a shaded-relief image derived from the 2007 3-foot LiDAR-derived DEM.



Figure 23: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas derived from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages at a 3-foot DEM spatial scale for the T104 watershed. Channels are overlaid on a shaded-relief image derived from the 2007 3-foot LiDAR-derived DEM.



Figure 24: High-Variance and Low-Variance LiDAR-Derived Stream Channel Areas derived from the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages at a 3-foot DEM spatial scale for the T109 watershed. Channels are overlaid on a shaded-relief image derived from the 2007 3-foot LiDAR-derived DEM.

Review of the LiDAR-derived DEMs for the High vs. Low-Variance Stream Channel Areas shows that in High-Variance Areas, the stream channel was not readily visible in the shaded relief imagery (see Figures 25-28 for High vs. Low-Variance Stream Channel Areas in T104).



Figure 25: T104 Low-Variance Stream Channel Area Example: LiDAR-Derived Stream Channels Overlaid on 2007 Shaded Relief Imagery.



Figure 26: T104 Low-Variance Stream Channel Area Example: 2007 Shaded Relief Imagery - the stream channel is relatively well delineated.



Figure 27: T104 High-Variance Stream Channel Area Example: LiDAR-Derived Stream Channels Overlaid on 2007 Shaded Relief Imagery.



Figure 28: T104 High-Variance Stream Channel Area Example: 2007 Shaded Relief Imagery - the stream channel is relatively not apparent.

The reason for the difference between High vs. Low-Variance Stream Channel Areas is not readily apparent. I tested a number of variables to compare metrics on High vs. Low-Variance Stream Channel Areas (LiDAR Ground Point Density, ratio of LiDAR All Points to Ground Point Density, LiDAR-Derived Elevation Differences, etc.). No general metric provided a significant explanation for the difference between High vs. Low-Variance Stream Channel Areas. LiDAR Ground Point Density appeared to explain the most variance but not to a significant level (Figure 29). Appendix Seven displays some of the metrics calculated.



2007 Mean LiDAR Ground-Point Density vs. Stream Channel Variability Group

Figure 29: Mean LiDAR Ground Point Density for High vs. Low-Variance Stream Channel Areas.

Stream Sinuosity

Stream sinuosity is a measurement of the actual path length of a stream compared to the shortest path length along a given distance. A higher degree of sinuosity measured means a greater degree of meandering along a given distance of stream length. Changes in sinuosity over time can be indicative of changes due to urbanization and using LiDAR to calculate changes in sinuosity could be a useful tool to track streams over time. Rosgen sinuosity measurements (Rosgen, 1994, 1996) were applied to the LiDAR-derived DEM-based flow accumulation stream channels derived from ArcHydro (Maidment, 2002) for the 2002, 2004, 2006, 2007, and 2008 LiDAR coverages. The stream channels were masked to focus on reaches without Barren or Impervious Surfaces within the buffers and stratified by Strahler stream order (tributary networks, Strahler, 1957). The weighted mean average Rosgen sinuosity was measured for Strahler stream orders 1 and 2 for the LiDAR coverages. No clear trend was apparent when comparing T104 and T109 over time (where development had occurred) to Sopers Branch (where no development had occurred). Figure 30 and Table 17 show the Sinuosity over Time.



[▲] T104 S2

Figure 30: Weighted Mean Average Rosgen Sinuosity over Time for Sopers Branch, T104, and T109 for 2002, 2004, 2006, 2007, and 2008.

Watershed	Strahler Order	Year	Wt. Avg. Rosgen Sinuosity
Sopers Branch	1	2002	1.33
Sopers Branch	1	2004	1.34
Sopers Branch	1	2006	1.34
Sopers Branch	1	2007	1.37
Sopers Branch	1	2008	1.32
T104	1	2002	1.27
T104	1	2004	1.31
T104	1	2006	1.29
T104	1	2007	1.31
T109	1	2008	1.26
T109	1	2002	1.28
T109	1	2004	1.30
T109	1	2006	1.31
T109	1	2007	1.39
T109	1	2008	1.29
Sopers Branch	2	2002	1.34
Sopers Branch	2	2004	1.34
Sopers Branch	2	2006	1.37
Sopers Branch	2	2007	1.36
Sopers Branch	2	2008	1.29
T104	2	2002	1.34
T104	2	2004	1.31
T104	2	2006	1.33
T104	2	2007	1.34
T104	2	2008	1.28

Table 17: Weighted Mean Average Rosgen Sinuosity over Time for Sopers Branch, T104, and T109 for 2002, 2004, 2006, 2007, and 2008.

Figures 31 and 32 display the lack of general relationship between the LiDAR-derived sinuosity measurements and increasing flow magnitude (calculated via flow accumulation values) and percent slope gradient.



Figure 31: LiDAR-Derived Rosgen Sinuosity vs. LiDAR-Derived Slope Gradient. For 2nd order stream segments, we see a weak declining sinuosity with increasing slope, which would be expected. This relationship did not appear with 1st order stream segments.



LiDAR-Derived Flow Accumulation Gradient

Figure 32: LiDAR-Derived Rosgen Sinuosity vs. LiDAR-Derived Flow Accumulation Gradient.

LiDAR-Derived DEM Watershed Boundaries Precision

We used the repeat LiDAR-derived 3-foot DEMs for 2002, 2004, 2006, 2007, and 2008 to calculate flow accumulation and place stream channels within the topographic landscape. Using the stream channel pixel closest to the stream gauge location determined via GPS, we calculated the contributing watershed at the gauge pour point. As might be expected from the year-to-year variations in LiDAR-derived stream channels, the pour points used to calculate the catchments in ArcHydro varied somewhat from year-to-year as well. Figures 33-35 display the variations seen in pour point placement for the five years of LiDAR data.



Figure 33: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for Sopers Branch. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.



Figure 34: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for T104. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.



Figure 35: LiDAR-Derived 3-foot DEM Stream Channels and Watershed Pour Points for 2002, 2004, 2006, 2007, and 2008 for T109. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.

The differences in the LiDAR-derived DEM pour points combine with the differences in LiDAR derived elevations across the landscape to produce LiDAR-derived watersheds that vary from year-to-year. Figures 36-38 display the watersheds derived from the LiDAR coverages.



Figure 36: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for Sopers Branch. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.



Figure 37: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for T104. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.



Figure 38: 2002, 2004, 2006, 2007, and 2008 LiDAR-Derived DEM Watershed Boundaries for T109. The gray shaded pixels reveal the number of years a particular pixel was included in the watershed defined by the DEM pour point: lighter gray = more included.

The LiDAR-derived elevation differences are largely restricted to edge-effects in the year-to-year watershed boundaries. The large section in the NW of Sopers Branch is an anomaly produced in part by the lack of sufficient LiDAR coverage in the 2002 and 2004 LiDAR overflights on the west side of the watershed and the 2006 LiDAR coverage had some quality control issues (dropouts) in the NW corner that also affected the area included in the watershed or not. In both T104 and T109, urban development also affected the area included in the watershed or not. Table 17 shows the absolute percentage difference between subsequent years in LiDAR coverages. Figure 39 shows the 2007-2008 watershed difference in T109, with the section of the watershed in red being present in the contributing DEM-shed in 2007 but not in 2008. This occurred as a result of construction in the upper watershed and an east-west road (and elevated grade associated with the road) that cuts off that portion of the watershed from the pour point. In reality, culverts and the anthropogenic drainage patterns associated with the road construction may still hydraulically link the upper portion of the watershed to the remainder but this cannot be determined from the LiDAR coverages.

Watershed	Years Compared	Relative Percent Change Area
T104	2002-2004	1.35
T104	2004-2006	2.41
T104	2006-2007	0.74
T104	2007-2008	2.37
T109	2002-2004	0.09
T109	2004-2006	1.05
T109	2006-2007	1.43
T109	2007-2008	12.06

Table 18: Watershed Area Percent Differences based on LiDAR-Derived DEMs for 2002, 2004, 2006, 2007, and 2008 in T104 and T109.

Appendix Eight shows the year-to-year differences in watersheds calculated from subsequent years of LiDAR coverages.



Figure 39: 2007-2008 watershed difference in T109, with the section of the watershed in red being present in the contributing DEM-shed in 2007 but not in 2008. Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.

Discussion

Previous studies of LiDAR accuracy (Hodgson and Bresnahan, 2004; Hodgson et al., 2005; Gardina, 2008) have shown that there are several factors that affect the accuracy of LiDAR-derived elevations: internal errors within the LiDAR system, processing errors within the LiDAR algorithms (determining which points in the All-Data cloud are Ground and which are not), interpolation errors between LiDAR points, error in placement of ground control points (ground-truth), LiDAR point variation, slope of the surface being measured, and vegetation (land cover). Fowler (2000, 2001) stressed that there are physical limits on the ability of airborne LiDAR pulses to capture data based upon aircraft flying height, LiDAR impulse wavelength, GPS limitations, etc. There is therefore a physical limit beyond which airborne LiDAR under optimal conditions was about \pm 0.5 foot. Additional errors due to system processing error compounded by vegetative conditions, slope, land cover, etc. all would cause actual accuracy to be less than optimal.

Internal errors within the LiDAR system and data processing errors are beyond the ability of the typical LiDAR user to know or control but knowledge and quantification of the groundbased sources of error in LiDAR-derived elevations could help the typical LiDAR user understand the abilities and limitations of the data. Gardina (2008) looked at the 2002 and 2006 LiDAR coverages in the CSPA and used the same ground truth data as used in this project. He determined that up to 75% of the overall error in LiDAR-derived elevations was due to internal system error in the LiDAR data.

In our study, the results obtained in Part 1 (LiDAR accuracy and precision assessment using ground truth measurements) confirmed the previously reported sources of LiDAR error. Both increasing slope of the surface being imaged and increasing density of surface and overhanging vegetation had a negative effect on the accuracy of the LiDAR data. The year-toyear precision of LiDAR-derived stream transects was surprisingly poor considering the overall measured accuracy of individual LiDAR points. The user of LiDAR needs to remember that comparing multi-year LiDAR coverages in streambeds combines the worst of all error conditions. The near-stream and in-stream conditions of high slope, narrow streambeds (which increase the difficulty in resolving topography with a limited LiDAR point density), and increased near-stream and in-stream vegetation all combine to create an uncertain LiDARderived elevation surface used to delineate stream channels. Comparing multiple years of these coverages increases the error when computing changes. Given the limitations of LiDAR-derived DEM-based stream channels revealed in this study, the LiDAR user is advised to use LiDARindicated areas of stream channel change with a grain of salt and a dash of skepticism. LiDAR did show a weak association with the stream transects ground truth (Figure 11 and Table 7). Airborne LiDAR may be useful to identify areas where ground surveys should be conducted to verify the LiDAR-derived results. Hand-held, ground-based LiDAR may be a good method of measuring stream channel change and the use of raw LiDAR data (rather than the LiDARderived DEMs) may also improve year-to-year comparative accuracy and precision.

The results in Part 2 (LiDAR precision assessment using multi-year LiDAR coverages where no ground truth measurements exist) were also less clear than I had hoped. LiDAR is

clearly useful in mapping large-scale changes in topography that result along with urban development. LiDAR-derived elevations in forested areas appear to have less accuracy/precision that those in more open areas studied (agricultural, impervious surfaces, and urban cultivated grasses).

When looking at LiDAR-derived DEM precision by slope gradient by LULC, the results were also less than clear. The largest slope-percent quintile typically displayed increased error but the first few quintiles typically did not. The Forest LULC class, particularly in Sopers Branch, showed the least difference in elevation between slope-percent quintiles. Sopers Branch is the largest watershed and the most forested and would have the greatest range of forested slope gradients compared to T104 and T109 where the forested areas were typically found in the riparian areas. I believe that within-group vegetation variability within the Forested land cover class is so high that it overwhelms the slope gradient/LiDAR accuracy relationship. Both of the "OK" and "Bad" vegetation classes delineated in the ground-truth portion of the study (Appendix Nine) would be included in the general land cover class of "Forest". I believe that the near-ground impact of vegetation condition is larger than the general LULC impact on predicting LiDAR accuracy/precision to a first-order estimator. For the LULC classes representing more open areas (Agricultural, Impervious Surfaces, and Cultivated Urban Grasses), using LULC as a filter to predict relative LiDAR accuracy/precision appears more justified.

The variability in stream channel placement using LiDAR-derived DEMs to predict stream channels using ArcHydro flow accumulation algorithms led to highly variable stream channel locations and these impacted the watershed boundaries and areas computed to a much greater extent that I would have predicted at the start of this study. Particularly disturbing to people attempting to model watershed hydrology was the variability in watershed area derived from year-to-year LiDAR coverages. The results of this study should be taken as a warning to watershed modelers and managers that watershed boundaries and areas may not be nearly so certain as one might otherwise assume. The final conclusion of this study is that a user of LiDAR who attempts to delineate changes at or near to the spatial level of resolution of the base data (about 1 meter or 3 feet in our study) cannot rely on LiDAR alone to assess those changes. Ground truth is needed to verify changes predicted by repeat LiDAR measurements. The loose relationship seen by the LiDAR transects and ground-truth transects (Figure 11) should act as a warning to the LiDAR user: Use LiDAR to identify where change appears to have occurred and then use ground -truth to verify.

Further research would be useful to determine if the use of raw data LiDAR-derived surfaces to look for stream channel change would improve the accuracy and utility of year-to-year geomorphologic assessments of stream channel change compare to the DEM-based comparisons studied in this report.

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Appendices

Table of Appendices	54
Appendix One: Year-by-year mean absolute accuracy by slope quintile.	Ι
Appendix Two: Year-by-year mean absolute difference by slope quintile.	VI
Appendix Three: Sequence of modified Anderson Level One LULC classes mapped from 1-foot (or better) digital orthoimages.	Х
Appendix Four: Sequence of figures that display the ground-truth stream transect measurements and LiDAR-derived stream transect elevation values.	XXVI
Appendix Five: Large-Scale Elevation Changes Shown in LiDAR. Sequence of images that display the year-to-year LiDAR-derived elevation differences between 3-foot DEMs.	XL
Appendix Six: LiDAR-Derived DEM Precision by Slope Gradient by LULC.	LIII
Appendix Seven: Metrics calculated for stream buffer High vs. Low-Variance Stream Channel Areas.	LXXVII
Appendix Eight: Year-to-year differences in watersheds calculated from subsequent years of LiDAR coverages. Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.	LXXXV
Appendix Nine: Examples of Vegetation Classes used in the LiDAR Accuracy Assessment Ground Truth Survey Area.	XCVII

Appendix One: Year-by-year mean absolute accuracy by slope quintile for ground control survey points (n = 604). These data are noisier than the sum of years but show the same general trend: increasing accuracy with lower slope.



Error Bars = ± 95% C.I.

2002 Mean Absolute A	Accuracy b	by Slope	Quintile
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Slope Quintiles	Mean 2002	n	stdev	± 95% C.I.
	Accuracy			
0 - 3.6	0.17	116	0.23	0.04
3.6 - 8.5	0.48	123	0.44	0.08
8.5 - 19.3	0.56	121	0.65	0.12
19.3 - 31.7	0.98	122	0.68	0.12
31.7 - 77.9	0.78	122	0.76	0.13



Error Bars = ± 95% C.I.

Slope Quintiles	Mean 2004 Accuracy	n	stdev	± 95% C.I.
0 - 3.6	0.24	116	0.29	0.05
3.6 - 8.5	0.45	123	0.44	0.08
8.5 - 19.3	0.67	121	0.69	0.12
19.3 - 31.7	0.89	122	0.72	0.13
31.7 - 77.9	0.90	122	0.84	0.15



Error Bars = ± 95% C.I.

Slope Quintiles	Mean 2006 Accuracy	n	stdev	± 95% C.I.
0 - 3.6	0.21	116	0.13	0.02
3.6 - 8.5	0.21	123	0.22	0.04
8.5 - 19.3	0.52	121	0.49	0.09
19.3 - 31.7	0.52	122	0.61	0.11
31.7 - 77.9	0.66	122	0.66	0.12


Error Bars = ± 95% C.I.

2007 Mean Absolute Accura	acy by Slope Quintile
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Slope Quintiles	Mean 2007 Accuracy	n	stdev	± 95% C.I.
0 - 3.6	0.19	116	0.15	0.03
3.6 - 8.5	0.25	123	0.24	0.04
8.5 - 19.3	0.43	121	0.45	0.08
19.3 - 31.7	0.56	122	0.49	0.09
31.7 - 77.9	0.61	122	0.58	0.10



Error Bars = ± 95% C.I.

Slope Quintiles	Mean 2008 Accuracy	n	stdev	± 95% C.I.
0 - 3.6	0.17	116	0.21	0.04
3.6 - 8.5	0.42	123	0.35	0.06
8.5 - 19.3	0.69	121	0.71	0.13
19.3 - 31.7	0.94	122	0.89	0.16
31.7 - 77.9	1.18	122	1.07	0.19

Appendix Two: Year-by-year mean absolute difference by slope quintile for ground control survey points (n = 604). These data are noisier than the sum of years but show the same general trend: increasing precision with lower slope.



2002-2004: Mean Absolute Difference b	y Slop	be Quintile
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Slope Quintiles	MeanDiff 2002-	n	stdev	± 95% C.I.
	04			
0 - 3.6	0.17	116	0.14	0.03
3.6 - 8.5	0.27	123	0.25	0.04
8.5 - 19.3	0.34	121	0.29	0.05
19.3 - 31.7	0.42	122	0.39	0.07
31.7 - 77.9	0.53	122	0.45	0.08



2004-2006: Mean Absolute Difference by Slope Quintile

Slope Quintiles	MeanDiff 2004- 06	n	stdev	± 95% C.I.
0 - 3.6	0.36	116	0.24	0.04
3.6 - 8.5	0.46	123	0.40	0.07
8.5 - 19.3	0.64	121	0.64	0.11
19.3 - 31.7	0.86	122	0.67	0.12
31.7 - 77.9	0.92	122	0.70	0.12



2006-2007: Mea	n Absolute I	Difference by	y Slope Quintile
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Slope Quintiles	MeanDiff 2006-	n	stdev	± 95% C.I.
0 - 3.6	07	116	0.14	0.03
3.6 - 8.5	0.19	123	0.17	0.03
8.5 - 19.3	0.32	121	0.34	0.06
19.3 - 31.7	0.43	122	0.41	0.07
31.7 - 77.9	0.47	122	0.38	0.07



2007-2008: Me	ean Absolute	Difference b	y Slope	Quintile
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Slope Quintiles	MeanDiff 2007-	n	stdev	± 95% C.I.
	08			
0 - 3.6	0.23	116	0.34	0.06
3.6 - 8.5	0.36	123	0.57	0.10
8.5 - 19.3	0.51	121	0.72	0.13
19.3 - 31.7	0.57	122	0.60	0.11
31.7 - 77.9	0.91	122	0.84	0.15

Appendix Three: Sequence of modified Anderson Level One LULC classes mapped from 1-foot (or better) digital orthoimages (used as the ground-truth for LULC mapping) from the 1998, 2002, 2004, 2006, and 2008 aerial overflights by Montgomery County in the Clarksburg Special Protection Area. 1998 was used as the base year. LULC coverages were done for the Sopers Branch, Tributary 104 (T104), and Tributary 109 (T109) watershed areas, with the LULC mapped to a 500-foot buffer and the County-mapped stream channels overlaid.



Sopers Branch - 1998 - Level 1 LULC



Sopers Branch - 2002 - Level 1 LULC



Sopers Branch - 2004 - Level 1 LULC



Sopers Branch - 2006 - Level 1 LULC



Sopers Branch - 2008 - Level 1 LULC



T104 - 1998 - Level 1 LULC



T104 - 2002 - Level 1 LULC



T104 - 2004 - Level 1 LULC



T104 - 2006 - Level 1 LULC



T104 - 2008 - Level 1 LULC



T109 - 1998 - Level 1 LULC



T109 - 2002 - Level 1 LULC



T109 - 2004 - Level 1 LULC



T109 - 2006 - Level 1 LULC



T109 - 2008 - Level 1 LULC






























Appendix Five: Large-Scale Elevation Changes Shown in LiDAR. Year-to-year differences in 3-foot LiDAR-derived DEMs are shown for sequential years 2002-2004, 2004-2006, 2006-2007, and 2007-2008 for the Sopers Branch, T104, and T109 watersheds within the CSPA. All elevation differences have been scaled to the same metric, with the difference between the later year minus the earlier year shown; increasing red (negative) values indicate that the elevation was greater in the prior year (elevation has decreased over time) while increasing blue (positive) values indicate that the elevation was less in the prior year (elevation has increased over time).





Sopers Branch 2002-2004



Sopers Branch 2004-2006



Sopers Branch 2006-2007



Sopers Branch 2007-2008



T104 2002-2004



T104 2004-2006



T104 2006-2007



T104 2007-2008





T109 2004-2006



T109 2006-2007



T109 2007-2008

Appendix Six: Individual Between-Year Differences in LiDAR-Derived DEM Precision by Slope Gradient by LULC



2004-2002 Forest No-Change Land Cover, Sopers Branch:
Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 04 - 02	Std Dev
Groups			
0 - 6	725651	0.288	0.003
7 - 10	870697	0.278	0.002
11 - 14	883311	0.278	0.001
15 - 19	811196	0.291	0.007
20 - 30+	856888	0.338	0.038



2006-2004 Forest No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 06 - 04	Std Dev
Groups			
0 - 6	725651	0.433	0.014
7 - 10	870697	0.398	0.004
11 - 14	883311	0.394	0.001
15 - 19	811196	0.404	0.006
20 - 30+	856888	0.448	0.041



2007-2006 Forest No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 07 - 06	Std Dev
Groups			
0 - 6	725651	0.248	0.001
7 - 10	870697	0.249	0.000
11 - 14	883311	0.247	0.001
15 - 19	811196	0.249	0.002
20 - 30+	856888	0.272	0.020



2008-2007 Forest No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 08-07	Std Dev
0 - 6	725651	0.243	0.002
7 - 10	870697	0.247	0.002
11 - 14	883311	0.255	0.004
15 - 19	811196	0.268	0.004
20 - 30+	856888	0.303	0.045



2004-2002 Forest No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 04 - 02	Std Dev
0 - 6	70616	0.262	0.004
7 - 10	68418	0.256	0.004
11 - 14	71703	0.251	0.004
15 - 19	71926	0.268	0.010
20 - 30+	99502	0.320	0.037



2006-2004 Forest No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 06 - 04	Std Dev
0 - 6	70616	0.472	0.005
7 - 10	68418	0.449	0.010
11 - 14	71703	0.433	0.003
15 - 19	71926	0.454	0.012
20 - 30+	99502	0.535	0.074



2007-2006 Forest No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 07 - 06	Std Dev
0 - 6	70616	0.360	0.008
7 - 10	68418	0.335	0.006
11 - 14	71703	0.326	0.002
15 - 19	71926	0.331	0.004
20 - 30+	99502	0.368	0.048



2008-2007 Forest No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 08-07	Std Dev
0 - 6	70616	0.277	0.003
7 - 10	68418	0.272	0.005
11 - 14	71703	0.260	0.001
15 - 19	71926	0.275	0.009
20 - 30+	99502	0.334	0.042



2004-2002 Forest No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 04 - 02	Std Dev
0 - 4	80026	0.242	0.004
5 - 7	106454	0.247	0.004
8 - 10	98950	0.261	0.005
11 - 14	87391	0.279	0.006
15 - 30+	88943	0.348	0.051



2006-2004 Forest No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 06 - 04	Std Dev
0 - 4	80026	0.298	0.006
5 - 7	106454	0.285	0.001
8 - 10	98950	0.286	0.002
11 - 14	87391	0.301	0.010
15 - 30+	88943	0.438	0.100



2007-2006 Forest No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 07 - 06	Std Dev
0 - 4	80026	0.271	0.006
5 - 7	106454	0.253	0.005
8 - 10	98950	0.242	0.004
11 - 14	87391	0.240	0.004
15 - 30+	88943	0.322	0.068



2008-2007 Forest No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 08-07	Std Dev
0 - 4	80026	0.259	0.004
5 - 7	106454	0.277	0.006
8 - 10	98950	0.290	0.003
11 - 14	87391	0.311	0.009
15 - 30+	88943	0.389	0.048



2004-2002 Impervious Surface No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 04 - 02	Std Dev
0 - 2	36243	0.330	0.065
3 - 4	54216	0.257	0.001
5 - 6	45313	0.254	0.002
7 - 9	36238	0.257	0.008
10 - 30+	26169	0.440	0.149



2006-2004 Impervious Surface No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 06 - 04	Std Dev
0 - 2	36243	0.425	0.026
3 - 4	54216	0.416	0.020
5 - 6	45313	0.370	0.013
7 - 9	36238	0.364	0.009
10 - 30+	26169	0.569	0.184



2007-2006 Impervious Surface No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 07 - 06	Std Dev
0 - 2	36243	0.220	0.011
3 - 4	54216	0.215	0.011
5 - 6	45313	0.193	0.003
7 - 9	36238	0.190	0.003
10 - 30+	26169	0.389	0.169



2008-2007 Impervious Surface No-Change Land Cover, Sopers Branch: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 08-07	Std Dev
Groups			
0 - 2	36243	0.296	0.012
3 - 4	54216	0.284	0.003
5 - 6	45313	0.288	0.002
7 - 9	36238	0.300	0.008
10 - 30+	26169	0.485	0.146



2004-2002 Impervious Surface No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 04 - 02	Std Dev
Groups			
0 - 3	17047	0.243	0.015
4 - 5	17231	0.237	0.005
6 - 7	15674	0.245	0.004
8 - 11	18996	0.275	0.021
12 - 30+	22870	0.449	0.096



2006-2004 Impervious Surface No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 06 - 04	Std Dev
0 - 3	17047	0.410	0.014
4 - 5	17231	0.407	0.009
6 - 7	15674	0.435	0.011
8 - 11	18996	0.462	0.009
12 - 30+	22870	0.538	0.094



2007-2006 Impervious Surface No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 07 - 06	Std Dev
0 - 3	17047	0.263	0.002
4 - 5	17231	0.267	0.006
6 - 7	15674	0.283	0.005
8 - 11	18996	0.309	0.010
12 - 30+	22870	0.363	0.084



2008-2007 Impervious Surface No-Change Land Cover, T104: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 08-07	Std Dev
Groups			
0 - 3	17047	0.290	0.023
4 - 5	17231	0.260	0.001
6 - 7	15674	0.269	0.003
8 - 11	18996	0.293	0.018
12 - 30+	22870	0.488	0.120



2004-2002 Impervious Surface No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 04 - 02	Std Dev
Groups			
0 - 2	10299	0.213	0.004
3 - 4	15552	0.205	0.004
5 - 6	13947	0.208	0.001
7 - 9	12007	0.250	0.012
10 - 30+	12662	0.501	0.166



2006-2004 Impervious Surface No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 06 - 04	Std Dev
0 - 2	10299	0.356	0.016
3 - 4	15552	0.340	0.004
5 - 6	13947	0.344	0.008
7 - 9	12007	0.393	0.021
10 - 30+	12662	0.498	0.112



2007-2006 Impervious Surface No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope	Quintile n	Mean Diff 07 - 06	Std Dev
Groups			
0 - 2	10299	0.188	0.009
3 - 4	15552	0.200	0.001
5 - 6	13947	0.204	0.001
7 - 9	12007	0.225	0.014
10 - 30+	12662	0.345	0.104


2008-2007 Impervious Surface No-Change Land Cover, T109: Mean Difference in Elevation by Slope Gradient Quintile

Quintile Slope Groups	Quintile n	Mean Diff 08-07	Std Dev
0 - 2	10299	0.285	0.039
3 - 4	15552	0.231	0.004
5 - 6	13947	0.238	0.006
7 - 9	12007	0.279	0.013
10 - 30+	12662	0.485	0.118

Sopers Branch 2002 LIDAR Points with 50-ft Stream Channel Buffer

Appendix Seven: Metrics calculated for stream buffer High vs. Low-Variance Stream Channel Areas.



Sopers Branch 2002 LiDAR Points with 10-ft Cell Z-Deviation Plotted





T104 2002 LIDAR Points with 50-ft Stream Channel Buffer



T104 2002 LIDAR Points with 10-ft Cell 2-Deviation Ploted















Appendix Eight: Year-to-year differences in watersheds calculated from subsequent years of LiDAR coverages. Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.



Gray = Area Present in both coverages; Red = Area Present in Year 1 but not Year 2; Blue = Area Present in Year 2 but not Year 1.

Appendix Nine: Examples of Vegetation Classes used in the LiDAR Accuracy Assessment Ground Truth Survey Area.











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