

1
2 **Estimated 2017 Refrigerant Emissions of 2,3,3,3-tetrafluoropropene**
3 **(HFC-1234yf) in the United States resulting from Automobile Air**
4 **Conditioning**

5
6 *Stella Papasavva^{*1}, Deborah J. Luecken², Robert L. Waterland³, Kristen N. Taddonio⁴,*
7 *Stephen O. Andersen⁴*

8 ¹ Stella Papasavva Consulting, Royal Oak, MI 48073*

9 ² U.S. Environmental Protection Agency, Research Triangle Park, NC 27709

10 ³ E. I. du Pont de Nemours and Co., Inc. Wilmington, DE 19880

11 ⁴ U.S. Environmental Protection Agency, Washington D. C. 19805

12
13 *Corresponding Author E-mail: greengem09@gmail.com

14
15 **Abstract**

16 In response to recent regulations and concern over climate change, the global automotive
17 community is evaluating alternatives to the current refrigerant used in automobile air
18 conditioning units, 1,1,1,2-tetrafluoroethane, HFC-134a. One potential alternative is
19 2,3,3,3-tetrafluoropropene (HFC-1234yf, also known as HFO-1234yf). We have
20 developed a spatially and temporally resolved inventory of likely future HFC refrigerant
21 emissions from the US vehicle fleet in 2017, considering regular, irregular, servicing,
22 and end of life leakages. We estimate the annual leak rate emissions for each leakage
23 category for a projected 2017 US vehicle fleet by state, and spatially apportion these

leaks to a 36 km square grid over the continental United States. This projected inventory is a necessary first step in analyzing for potential atmospheric and ecosystem effects, such as ozone and trifluoroacetic acid production, that might result from widespread replacement of HFC-134a with HFC-1234yf.

Key Words: Mobile Air Conditioning, Hydrofluorocarbon, HFC, HFO-1234yf, HFC-1234yf, TFA, Trifluoroacetic Acid, Life Cycle Analysis, Life Cycle Climate Performance, LCCP, Refrigerant, Atmospheric Emissions

Briefs: The GREEN-MAC-LCCP[®] life-cycle analysis model is used to construct a spatially and temporally resolved inventory of likely 2017 automotive refrigerant emissions in the continental United States.

Abbreviations: GHG (greenhouse gas), MAC (mobile air conditioning), HFC (hydrofluorocarbon), GWP (global warming potential), NREL (National Renewable Energy Laboratory), CARB (California Air Resources Board), LCCP (life-cycle climate performance), CY (calendar year), ICC (International Interior Climate Control Committee), VDA (German Association of the Automotive Industry), JAMA (Japanese Automobile Manufacturers Association), DIY (Do-it-Yourself), MT (Metric Ton), AFEAS (Alternative Fluorocarbons Environmental Acceptability Study), VMT (vehicle-miles-traveled), VOC (volatile organic hydrocarbon).

1 **Introduction**

2

3 Vehicle air conditioning is a significant and growing source of GHG pollution. Current
4 MAC systems use HFC-134a (1,1,1,2-tetrafluoroethane) which has a 100-yr GWP of
5 1,430. MAC is the largest and most emissive sales market for HFC-134a. The use of
6 MAC systems also consumes significant quantities of fuel as compared to similar driving
7 conditions without operating the air condition. Current vehicle test procedures evaluate
8 the differential fuel overconsumption due to MAC on conditions with windows closed.
9 Recent life cycle GHG assessment studies (1) and previous work performed at NREL (2)
10 have found that vehicle air conditioning accounts for up to 7% of motor vehicle fuel use
11 in the U.S and up to 20% in vehicles sold in climates that are hotter and more humid than
12 average, such as those found in India and China (1,2). However, turning off the air
13 conditioner and rolling-down the windows also decreases fuel economy due to increased
14 air drag but this scenario is not considered in these studies (1,2).

15

16 In response to concern about climate change, policymakers around the world are taking
17 action to reduce GHG pollution from MACs. In 2002, the U.S. State of California passed
18 Assembly Bill 1493, which requires CARB to develop new regulations to reduce GHG
19 emissions from new motor vehicles including MACs. In 2006, the European
20 Commission issued Directive 2006/40/EC (commonly known as the F-Gas Directive) (3),
21 which requires new types of air-conditioned cars sold in the EU to have a refrigerant with
22 a GWP of 150 or less starting in 2011, and all new vehicles to have a refrigerant with a
23 GWP of 150 or less by 2017. In 2009, President Obama announced new national fuel

1 efficiency standards with the aim of reducing U.S. vehicle GHG emissions (4). When
2 fully implemented, this policy will provide incentives to reduce both refrigerant and
3 tailpipe GHG emissions.

4
5 International automotive manufacturers and their suppliers responded to this global
6 regulatory activity by examining many alternative lower GWP refrigerants including
7 carbon dioxide (CO₂, GWP=1); hydrocarbons (GWP<10); HFC-152a (1,1-
8 difluoroethane, CH₃CHF₂, GWP=122); and HFC-1234yf (2,3,3,3-tetrafluoropropene,
9 CH₂=CFCF₃, GWP=4). The automotive community is nearing a final decision to select
10 HFC-1234yf (also known as HFO-1234yf) to replace HFC-134a, and the U.S.

11 Environmental Protection Agency's Mobile Air Conditioning Climate Protection
12 Partnership (USEPA MACCPP) is working to rapidly implement the transition from
13 HFC-134a to HFC-1234yf worldwide.

14
15 The selection of HFC-1234yf was based on comprehensive studies performed by
16 chemical suppliers, technical associations, environmental authorities, and industry-
17 government partnerships. These reports included sophisticated LCCP model studies
18 which determined that HFC-1234yf systems will result in the lowest carbon footprint of
19 all the proposed refrigerant alternatives (1) and comprehensive risk assessments that
20 showed that HFC-1234yf refrigerant poses the fewest overall safety risks compared to
21 other refrigerant alternatives (5), taking into account its generally low overall human and
22 environmental toxicity and mild flammability. In addition, laboratory and smog
23 chamber experiments have been used to establish that HFC-1234yf has an atmospheric

lifetime of around 11 days with respect to hydroxyl radical reaction (6), decreasing to 6.6 days when all other reactions are also taken into account, as well as a zero stratospheric ozone-depletion potential and a 100-yr GWP of 4.4 (7).

While HFC-1234yf's moderate atmospheric lifetime leads to a desirably low GWP, this also means that it has the potential to form ozone and other chemical species of concern in the troposphere. Ground level ozone continues to be a serious problem in the United States and throughout the world (8), reaching levels in many places that can pose serious health effects. Concerns have also been raised about the potential effects of other chemical byproducts including trifluoroacetic acid and hydrofluoric acid. The tendency of HFC-1234yf to react faster than HFC-134a and hence closer to sources, increases the importance of understanding the distribution of emissions on smaller scales than required for longer-lived refrigerants.

The first step in understanding the implications of switching from the current system of HFC-134a to an alternative refrigerant is to develop a comprehensive understanding of the magnitude and distribution of emissions. In this paper, we develop two emissions scenarios for automotive refrigerants. These scenarios assume that the transition from HFC-134a to HFC-1234yf will begin in 2011 and that by 2017 air conditioning in all vehicles in the U.S will use the new refrigerant, which is an overestimate because cars produced before 2011 and still in operation will have HFC-134a systems. However, this assumption was necessary to fully assess the impact of a fleet of vehicles containing HFC-1234yf refrigerant. Due to the fact that the leak rates are the same for similar

1 compounds, the emissions inventory developed in this paper can also be used to represent
2 different types of automobile refrigerants, or even combinations of refrigerants (*e.g.* 50%
3 of the fleet with HFC-134a and 50% with HFC-1234yf). This analysis tracks the
4 emissions inventory of the fleet, considering direct leak emissions of the refrigerant
5 during normal operation of the vehicle, accidents, service and end-of-life. Emissions per
6 vehicle are estimated using the life-cycle analysis GREEN-MAC-LCCP[®] model (9-11)
7 and applied for the entire fleet of each state in the US in the CY 2017, assuming that all
8 vehicles use the new refrigerant.

9
10 The GREEN-MAC-LCCP[®] model (9) was developed under the guidance of SAE's ICCC
11 and USEPA in order to disseminate a comprehensive and peer-reviewed life cycle
12 analysis model for estimating the complete inventory of greenhouse gas emissions
13 associated with MACs worldwide. Papasavva and Hill of General Motors (9,12)
14 established an international team of 50 world experts with representatives from industry,
15 National Laboratories, Government and Non-Governmental Organizations and academia
16 in order to harmonize input data received and develop the model (1,9,12). These data
17 included engineering, chemical and physical data provided by OEMs and suppliers as
18 well as state-of-the-art cabin comfort conditions using modeling results obtained at
19 NREL (13).

20
21 GREEN-MAC-LCCP[®] was released in 2006 and is recognized as the most transparent,
22 flexible and accurate life-cycle GHG model for MACs developed to date, and it became
23 the industry standard, SAE J2766 (10). It has been endorsed by major industry

1 organizations including SAE, all U.S automobile manufacturers, the VDA, and JAMA
2 and major chemical industrial suppliers of automotive refrigerants including DuPont,
3 Honeywell, and INEOS Fluor. It is likely to become the global standard for measuring
4 vehicle climate performance for MAC regulations and possibly for quantifying GHG
5 emissions in carbon trading. The model is hosted on the USEPA Climate Protection
6 Partnership Division website, <http://www.epa.gov/cppd/mac>.

7
8 In this paper, we present estimates of the emissions of HFC-1234yf across the continental
9 U.S., using bottom-up estimation methods. We describe a way to account for
10 uncertainty in the real-life emissions of refrigerants by defining low and high bounds of
11 the emissions. The goal of this paper is to provide a spatially-resolved emissions
12 inventory, with reasonable uncertainty bounds, that can be used for future studies on how
13 much and where emissions of new refrigerants could affect air quality.

14 15 **Methods**

16 17 **Refrigerant Leak Rate Estimates using GREEN-MAC-LCCP[®].**

18
19 The direct refrigerant emissions are estimated for an average vehicle lifetime of 9 years in
20 the US. By default, GREEN-MAC-LCCP[®] contains leak rate data for 7 U.S. cities
21 obtained using the standard methodology described in SAE J2766 (10). In this study we
22 apply the same standard methodology to predict the refrigerant leak rates for all U.S.
23 states.

1
2 We assume that car and truck refrigerant leak rates are identical and we consider four
3 distinct Refrigerant Leak Categories as follows.

4 **Regular Leaks** result from the permeation of the refrigerant from the hoses, connections
5 and compressor. These emissions are a function of ambient temperature conditions, with
6 higher leaks occurring in warmer climates. We estimate regular leak emissions for each
7 state in the US by exponentially fitting, using Equation (1), the best available
8 experimentally obtained regular emissions data reported by Clodic *et al.* (14). In addition
9 to the laboratory refrigerant leak data which estimate a leak rate of 12.8g/yr, the Clodic *et*
10 *al.* study (14) also provides on-road refrigerant emissions from HFC-134a-based MAC
11 systems from a fleet running in various European cities representative of cold and
12 warmer temperatures, with an average ambient temperature of 13.1°C, and an average
13 leak rate of 14.8g/yr. The temperature dependence of the regular leak emissions was
14 obtained by fitting the laboratory measured leak rates (14) obtained at three ambient
15 temperatures (30°C, 40°C, 50°C).

16
17 We have assumed that the refrigerant permeation of an HFC-1234yf system is the same
18 as HFC-134a, based on laboratory test data (15). State specific regular leak rates were
19 estimated using equation 1. We computed each state's average annual temperature using
20 weather data obtained from the U.S. Department of Energy's Energy Plus weather
21 database (16), assuming the average monthly temperature of the state's highest population
22 center to be representative of the whole state.

1 $R_i = 2 \times 2.836796603 \exp(0.06393T_i)$ $i = \text{any US state}$ (1)

2

3 where

4 R_i is the predicted Regular Leak Rate (g/yr) for each vehicle in state i , and

5 T_i is the average annual temperature ($^{\circ}\text{C}$) for the highest population center of state i .

6 Equation 1 incorporates a correction factor of 2 to adjust for the observed difference

7 between laboratory and on-road regular leak rates. This correction adjustment takes into

8 account the difference between the laboratory test environment and on-road conditions

9 such as higher temperature in the engine compartment, vibrations, *etc.*

10

11 The adjusted regular leak rates obtained from equation 1 vary between 7-20

12 g/year/vehicle depending on temperature, and on average a U.S. vehicle is estimated to

13 leak about 13.6 g of refrigerant per year. Although there are no extensive real world

14 refrigerant emissions data in the US with which to compare our predicted regular leak

15 rates, the recent implementation of the SAE MAC System Refrigerant Emission Standard

16 (17) SAE J2727, to brand new 2009 model year vehicles predicts regular leak rates in the

17 US on average 14.1g/yr (18) which compares favorably with the value we obtained using

18 equation 1.

19

20 These rates represent regular leak emissions from brand new vehicles without defects in

21 their MAC systems. In real world conditions, as the vehicle ages, the hoses and fittings

22 of the system leak more and the regular leak emissions will increase. The effect of aging

23 on regular leak emissions is difficult to estimate because it depends on many factors, but

1 based on advice from MAC experts, we have assumed a 10% increase in the regular leak
2 rate for older systems.

3
4 ***Irregular Leaks*** are the result of vehicle accidents or by having road debris, such as
5 stones, hit air conditioning system components. Such events, which can result in an
6 'irregular' defect, would not include the normal wear and tear to which every component
7 is physically prone, but some unusual instance such as a burst or corroded compressor, a
8 burst dryer, perforations in the pipeline or a crack in the evaporator. Schwarz (19)
9 reported the three most commonly recorded causes of total refrigerant loss were accidents
10 involving body damage (40%), minor collisions, stone impact or internal emissive
11 component defects (40-50%), and unknown causes where the vehicle was simply
12 recharged (10 - 15%). We have taken irregular emission leak rates to be 17
13 g/year/vehicle (10).

14
15 ***Service Leaks*** are associated with MAC servicing events which in turn occur when the
16 MAC system in the vehicle starts to lose its cooling performance (15). In the U.S. MAC
17 systems can be serviced by trained professionals or by the owner in a so called DIY-er
18 service. GREEN-MAC-LCCP® estimates that during one service these emissions are 40
19 to 70 g/vehicle/service, depending on the nature of the service. The less the system
20 leaks, the less frequently the vehicle goes to service.

21
22 MAC service professionals are required by law to be trained and certified in proper
23 refrigerant recovery and recycling procedures, and to use large, 30lb refrigerant cylinders

1 resulting in low residual unused refrigerant cylinder heels when containers are disposed.
2 Professional servicers charge ~60 vehicles per cylinder resulting in heels at the end of
3 cylinder use of ~2% or 5 g/vehicle/service (20). Although the average 2% heel assumed
4 in this study is the best real world data we received from the industry, professional
5 service can occasionally result in refrigerant heels as low as 1% or as high as 6%. We
6 have estimated that professional servicing results in the lower service emission rate of 40
7 g/vehicle/service divided into 35 g/vehicle/service and 5 g/vehicle/service from cylinder
8 heels.

9
10 DIYer services results in much higher refrigerant emissions mainly because DIYers do
11 not have refrigerant detection or recovery and recycling equipment, and they typically
12 use 12-ounce refrigerant cans which have significantly higher residual cylinder heels.
13 DIYers very rarely fix leaks. Based on Tremoulet *et al.* (21), we estimate that, on
14 average, a DIYer service produces refrigerant losses of 52 g/vehicle/service for the
15 service itself and 108 g/vehicle/service as can heels, which is 160 g/vehicle/service on
16 average and 4 times higher than a professional service.

17
18 ***End-of-Life Vehicle Leaks*** depend on the amount of refrigerant left in the system when
19 the vehicle goes for scrapping and on the amount of refrigerant recovered and recycled
20 for further use. These emissions are difficult to estimate accurately but can be very
21 substantial in the range of 100-450 g/vehicle disposed, depending on how much
22 refrigerant is recovered. End-of-life leaks are believed to be the largest source of
23 emissions, and more accurate estimation is impossible without additional data from the

1 industry. Field measurements of end-of-life emissions should be a priority for future
2 work but will require a significant collaborative effort among vehicle scrap yards, the
3 MAC community and vehicle owners. Given these difficult circumstances we doubt that
4 a better estimate of end-of-life emissions will be available in the medium term future.

5
6 We note that the sum of the average annual regular and irregular emissions per vehicle,
7 30.6g/y, represent 5.6% of the total refrigerant charge in the MAC system, assuming a
8 typical sedan MAC with 550g charge. As shown above, each service results in a further
9 emission of 40g to 160g of additional emission. On average in the US, a vehicle goes for
10 a MAC service once during its 9-yr lifetime, so vehicle servicing accounts for between
11 4.4 g/yr and 17.8 g/yr of annual refrigerant emissions. Thus, the sum of regular, irregular
12 and service leaks represent an annual refrigerant loss rate of 35 to 48.4 g/yr or 6% - 8.8%
13 of the original charge.

14
15 Total annual leak emissions for calendar year 2017 are estimated using the latest state
16 vehicle registration data and assuming that all vehicles in the US will be equipped with
17 HFC-1234yf systems in 2017. Projected statewide vehicle registrations are estimated
18 using the recursion equation 2,

$$Vehicle_{i+1} = Vehicle_i + Sales_{i+1} - Scrap_i \quad (2)$$

21
22 where $Vehicle_{i+1}$ and $Vehicle_i$ are statewide registrations in CY $i+1$ and i respectively,
23 $Sales_{i+1}$ are statewide projected car and truck sales (22) in CY $i+1$ and $Scrap_i$ is the

1 number of vehicles scrapped in CY i . Equation 2 is initiated with 2008 registration data
2 and we have assumed a 5% average scrap rate for the U.S. (23).

3 We note that for all leak categories, we have assumed that cars and trucks leak
4 identically. In reality, trucks likely leak more than cars, because they have larger
5 refrigerant charges, and their MAC systems commonly have more connection joints.

6 Unfortunately, there are no truck specific data available to adjust the truck leak rates and,
7 as a result, our assumption to consider equal regular leak rates for cars and trucks may
8 underestimate total emissions. Future work should examine leak rates for trucks.

9
10 Due to the uncertainty in accurately estimating refrigerant leaks, we have constructed a
11 *Low* and a *High Leak scenario* for 2017 refrigerant emissions. In the *Low Leak scenario*,
12 regular leaks were estimated using equation 1, and the regular, irregular leakage for the
13 entire fleet was obtained by multiplying the total vehicle registrations per state by the
14 regular, irregular leaks per vehicle, shown in Table 2. Irregular leaks were assumed to be
15 17g/vehicle. Given the complexity and large uncertainty in estimating irregular leak
16 rates, it is quite unlikely that future work will significantly undermine our data
17 assumption. In the *Low Leak scenario*, service leakage was calculated assuming only
18 professional servicing takes place and that 10% of the entire fleet is serviced in 2017, and
19 End-of-Life vehicle leaks were estimated assuming 5% of the fleet is scrapped in 2017
20 and 100g of refrigerant leaks from each scrapped vehicle. This estimate assumes 90%
21 refrigerant recovery from 30-40% of the vehicle fleet, as observed in a U. S. survey
22 performed by the MAC Society (10,20).

1 In the *High Leak scenario*, regular leaks were estimated using equation 1 but the per
2 vehicle leak rate was adjusted to reflect additional refrigerant leaks that might occur
3 during the aging of the MAC system, assuming that these are 10% more than new
4 systems. Irregular leaks are the same as those in the *Low Leak scenario*, but service and
5 end-of-life leaks are treated differently. In the *High Leak scenario* we assumed that 15%
6 of the entire fleet is serviced in 2017 with 25% of the services conducted by DIYers and
7 75% by professionals. Finally, in the *High Leak scenario* we assumed that 10% of the
8 vehicle-fleet is scrapped in 2017 and that each vehicle releases 450g of refrigerant, with
9 no refrigerant recovery.

10
11 In the *Low Leak scenario* the Total annual US refrigerant leak from MACs is estimated to
12 be 11.4 thousand MT per year, whereas the *High Leak scenario* predicts a value of 24.7
13 thousand MT per year. We compare our estimated US leakage rates to current HFC-134a
14 leak data obtained by two different methods. The fluorochemical industry estimates
15 current annual US sales of HFC-134a for MAC at ~30 thousand MT/yr (24). These
16 annual sales must approximately equal the total annual MAC leak emission. The AFEAS
17 program (25) collects worldwide HFC-134a production and sales data from nine of the
18 largest global fluorochemical manufacturers. AFEAS also estimates annual HFC-134a
19 emissions: in 2006 global emissions of HFC-134a for refrigeration were estimated as 117
20 thousand MT. Weighting this global refrigerant leakage emission by US share of global
21 GDP (26) equal to 25%, results in estimated 2007 US emissions of 29 thousand MT per
22 year which is quite consistent from the estimate given by Powell (24). So despite an
23 approximately 12% increase in US vehicle registrations from 2006 to 2017, we estimate

1 total US MAC refrigerant emissions will fall by between 60% (*Low Leak scenario*) and
2 15% (*High Leak scenario*).

3 The main reason of the decrease in total refrigerant emissions is the continuous
4 improvement towards tighter MACs due to environmental regulations, cost reductions in
5 purchasing materials by OEMs and less refrigerant requirements in brand new MAC
6 systems.

7
8 Although leak rates and future car volumes are uncertain, we believe that the *Low Leak*
9 *scenario* predicts unrealistically low HFC-1234yf emissions in 2017 without further
10 regulation restricting refrigerant emissions. The *High Leak scenario* for the US fleet in
11 2017 is more in line with business-as-usual, and compares favorably with the current
12 HFC-134a sales for MAC, that represent the replenishing refrigerant requirements due to
13 MAC leakage from service and repair. As a result the two scenarios provide a reasonable
14 bracket of future HFC emissions from MAC systems. The type of refrigerant does not
15 really matter in developing the emissions inventory because the leak rates of HFC-134a
16 and HFC-1234yf are very similar. We assume a vehicle fleet in the US equipped only
17 with HFC-1234yf in 2017 in order to determine the potential environmental impacts of
18 the new refrigerant (27) in particular the additional ozone and TFA production that may
19 result when the new refrigerant is fully implemented.

20

21 **Development of spatially and temporally-resolved emission fields for Air Quality**
22 **modeling.** In order to use these annual, statewide emissions estimates in air quality
23 modeling, it is necessary to resolve them to finer temporal and spatial scales. Depending

1 on the type of model used, this may require anywhere from monthly and 800-1000 km
2 allocation (for global models) to hourly and 1-50 km resolution (for urban-to-continental
3 scale models). Considering the reactivity of HFC-1234yf, we have investigated ways to
4 resolve these emissions to hourly and 36 km modeling grid resolutions for use in regional
5 air quality modeling simulations (27).

6
7 The *Low* and *High Leak scenarios* annual emissions were allocated to monthly emissions
8 as follows. We first assumed that the regular, irregular and servicing leaks occur mostly
9 in the summer months when the air conditioning units are more likely to be used. As a
10 first, conservative estimate, we have assigned the annual regular, irregular and servicing
11 leaks entirely and equally to the three months of June, July and August. This is when the
12 air conditioning units are more likely to be pressurized during usage, when servicing is
13 most likely to occur, and when the higher temperatures and pressures will cause the
14 greatest leak rates from small holes and tears. In contrast, we assumed that the end-of-
15 life emissions occur throughout the year, and we have distributed these yearly emissions
16 equally to each of the 12 months.

17
18 We then allocate the monthly and state-level emission estimates first spatially, and then
19 to hourly emissions. We distributed the emissions among each state's counties based on
20 population weighting from the 2000 Census data (28). This assumes that the fraction of
21 total statewide residents that reside in each county remains constant. From the county-
22 level emissions, we used the SMOKE emissions processing model (29) version 2.5 to
23 allocate down to a 36-km resolution. Within SMOKE, we calculated factors to distribute

1 the county-total emissions across the various 36-km grid cells that are wholly within or
2 intersect the county. This is accomplished using a cross-referencing approach that
3 assigns a spatial surrogate for on-road mobile nonlink sources based on the ratio of VMT
4 in a given grid cell to the total amount of VMT in the county. Allocation to smaller
5 resolutions is based on census TIGER files of distribution of major roadways. Finally,
6 HFC-1234yf emissions are given the same hourly temporal allocation as other mobile
7 source emissions, based on national profiles of on-road activity data.

10 **Results and Discussion**

12 The computed 2017 statewide car and trucks registrations obtained from equation 2 are
13 shown in Table 1.

15 Table 2 presents the low and high leak rates for each vehicle and for each leak rate
16 category. Table 3 presents leak rates for all vehicles by state and for the total 2017 U.S
17 fleet for the *Low* and *High Leak scenarios*. Overall, the low emission estimate is
18 approximately 46% of the high estimate.

20 Figure 1 shows the breakdown of 2017 total US HFC-1234yf emissions by emission type
21 in both the *Low* and *High Leak scenarios*. Irregular leaks comprise 42% of all emissions
22 in the *Low Leak scenario* with regular leaks accounting for a further 36%. Service and
23 end-of life leaks are relatively modest. The breakdown of emissions is quite different in

1 the *High Leak scenario*. Here 51% of total leaks are due to end-of-life losses and
2 irregular leaks comprise 19% of the total. Regular leaks and service leaks together
3 account for only 30% of total leaks.

4
5 Figure 2 shows the resulting, spatially-resolved distribution of emissions, summed over
6 the month of July from the high leak rate scenario. Because the state total emissions
7 are allocated to 36-km grid cells based on the distribution of roadways and vehicle traffic,
8 the highest emission rates correspond to urban areas with the highest population and
9 traffic densities. In this summer month, the low scenario results in total emissions that are
10 about 67% of the high emission rates, due to the large contribution of direct, indirect and
11 service emissions in the summer.

12
13 The amount of HFC-1234yf which is released is a small portion of the total VOC
14 emissions into the atmosphere. The continental U.S. VOC emissions that result from
15 HFC-1234yf average about 0.012% of total VOCs annually and 0.04% in the summer for
16 the *Low Leak scenario*. Even in the *High Leak scenario*, HFC-1234yf is predicted to be
17 less than about 0.027% of the total VOC inventory annually and 0.067% in the summer,
18 which includes all VOC emissions from mobile, point, biogenic and area sources. In
19 addition, the major decay reaction of HFC-1234yf with OH is relatively slow, with a rate
20 constant of $1.26 \times 10^{-12} \exp(-35/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ sec}^{-1}$ (7), resulting in an estimated
21 lifetime with respect to OH decay of approximately 10-11 days.

22 A detailed study of the overall atmospheric reactivity of HFC-1234yf predicts an
23 averaged maximum incremental reactivity of 0.267 g ozone/g VOC, similar to that of

1 ethane (0.264 g ozone/g VOC) (30) and much less than the average reactivity of an urban
2 mix of VOCs (3.502 g ozone/g VOC) (31). Each gram of HFC-1234yf that is released
3 would therefore be predicted to produce only 7% of the ozone produced by an equivalent
4 gram of "urban mix" VOC. Because of this, it is likely that the contribution of HFC-
5 1234yf to total ozone formation will be even smaller than its relative contribution to the
6 VOC inventory, but spatial and temporal inhomogeneities in emissions of HFC-1234yf
7 and other reactive pollutants make it difficult to make definitive conclusions on
8 atmospheric implications.

9
10 Linking the HFC-1234yf emissions to the spatial and temporal allocations used in
11 Mobile6 does not give an exact spatial distribution of the location of these emissions, but
12 does serve as a first attempt to locate them approximately. We believe these assumptions
13 will have little effect on the accuracy of the emissions distribution for two reasons.
14 Because HFC-1234yf is moderately long-lived, it will disperse beyond the original
15 emission sources, and the precise location of sources is not as important as the absolute
16 magnitude of the emissions. In addition, at the 36 km grid resolution used in this
17 allocation, precise location of sources is not necessary.

18
19 Because emissions of HFC-1234yf are expected to comprise a very small portion of the
20 VOC inventory, it is likely that they will not contribute substantially to ozone formation,
21 even in VOC-limited areas of the country, but more detailed modeling is required to
22 determine the exact amount. The fate of reactive and fluorine-containing degradation
23 products of HFC-1234yf is also of potential concern, given the widespread usage of

MACs. We are currently pursuing detailed modeling studies using 3-D chemical-transport models to examine the magnitude and spatial distribution of degradation products across the U.S. (27), to investigate whether an increase in vehicle air conditioner fuel efficiency could offset air quality impacts from refrigerant VOC emissions.

Acknowledgments

We thank Mr. William R. Hill, Technical Fellow at General Motors, for his expert advice, feedback, and suggestions during the GREEN-MAC-LCCP[®] model development effort. We are also indebted to Mr. Elvis Hoffpauir, President of MACS Society, for providing us with data, valuable comments and suggestions on automobile refrigerant leak rates.

The views presented in this paper are of the authors, and not necessarily the views of USEPA or the U.S. Department of Energy. USEPA, through its Office of Research and Development collaborated in the research described here. This manuscript is being subjected to external peer-review and has not been cleared for publication by the US Environmental Protection Agency

Literature Cited

(1) Papasavva, S.; Andersen, S. O. GREEN-MAC-LCCP[®] The Life-Cycle-Climate Performance Metric for Mobile Air Conditioning Environmental Superiority that Levels the Playing Field and Facilitates Technology Choice. Submitted for publication in Environmental Progress & Sustainable Energy Journal, October 2009.

- (2) Rugh J.; Hovland V.; Andersen S. O. Significant Fuel Savings and Emission Reductions by Improving Vehicle Air Conditioning. Presentation at the 15th Annual Earth Technologies Forum and Mobile Air Conditioning Summit, April 15, 2004.
- (3) The European Parliament and the Council of the European Union (2006) Directive 2006/40/EC. Available at http://www.alliance-CO2-solutions.org/docs/Directive_200640EC.pdf.
- (4) White House Press Release. President Obama Announces National Fuel Efficiency Policy", May 19 2009. Available at http://www.whitehouse.gov/the_press_office/President-Obama-Announces-National-Fuel-Efficiency-Policy/
- (5) Risk Assessment Report on HFO-1234yf, by SAE International. Available at <http://www.sae.org/mags/aei/inter/news/5487>, 2009.
- (6) Nielsen, O. J.; Javadi, M. S.; Sulbaek Andersen, M. P.; Hurley, M. D.; Wallington, T. J.; Singh, R. Atmospheric chemistry of CF₃CFCH₂: Kinetics and mechanisms of gas-phase reactions with Cl atoms, OH radicals, and O₃. *Chem. Phys. Lett.* **2007**, 439, (1-3), 18-22.
- (7) Papadimitriou, V.C.; Talukdar, R.K.; Portmann, R.W.; Ravishankara, A.R.; Burkholder, J.B. CF₃CF=CH₂ and (Z)-CF₃CF=CHF: temperature dependant OH rate coefficients and global warming potentials, *Physical Chemistry Chemical Physics*, 10, 808-820, 2008.
- (8) "National Air Quality, Status and trends through 2007". EPA-454/R-08-006, U.S. Environmental Protection Agency, Research Triangle Park, NC. Available at <http://www.epa.gov/airtrends/2008/index.html>.
- (9) Papasavva, S.; Hill, W. R.; Brown, R. O. GREEN-MAC-LCCP[®]: A Tool for Assessing Life Cycle Greenhouse Emissions of Alternative Refrigerants, SAE Technical Paper Nr. 2008-01-0829, published in the *SAE Transactions*, 2008.
- (10) Society of Automotive Engineers (SAE), Interior Climate Control Committee (ICCC), Standard. Life Cycle Analysis to Estimate the CO₂-Equivalent Emissions from MAC Operation. SAE J2766, February 2009.
- (11) USEPA Climate Protection Partnership Division website: <http://www.epa.gov/cppd/mac/compare.htm>
- (12) Hill, W. R.; Papasavva, S. Life Cycle Analysis Framework; A Comparison of HFC-134a, HFC-134a Enhanced, HFC-152a, R744, R744 Enhanced, and R290 Automotive Refrigerant Systems. SAE paper 2005-01-1511, SAE Technical Series Paper, 2005.
- (13) Johnson, V. H. Fuel Used for Vehicle Air Conditioning: A State-by-State Thermal Comfort-Based Approach, SAE paper 2002-01-1957, SAE Technical Series Paper, 2002.
- (14) Clodic, D.; Yu, Y.; Tremoulet, A.; Palandre, L.; Fayolle, F.; Castel, J.; Lallemand, J.A.; Dujardin, B. Research study on the definition of the implementation of a method of measurement of annual leak flow rates (LFRs) of MAC systems. ACEA /ARMINES Contract, January 2006.
- (15) Personal communication with William R. Hill, General Motors, 2008
- (16) Available at http://apps1.eere.energy.gov/buildings/energyplus/cfm/Weather_data.cfm

- (17) Society of Automotive Engineers (SAE), Interior Climate Control Committee (ICCC), Standard. HFC-134a Mobile Air Conditioning System Refrigerant Emission Chart. SAE J2727, August 2008
- (18) Atkinson, W. SAE Interior Climate Control Standards Committee [ICCC] document, prepared by Mr. Ward Atkinson, Chair of ICCC committee, June 8, 2009.
- (19) Schwarz, W. Emission of Refrigerant R-134a from Mobile Air-Conditioning Systems Annual Rate of Emission from Passenger-Car Air-Conditioning Systems up to Seven Years Old. Öko-Recherche Büro für Umweltforschung und -beratung GmbH, September 2001
- (20) Atkinson, W.; Hoffpauir, E. Mobile Air Conditioning Society Worldwide (MAC) Survey 2005. Available at <http://www.macsww.org/>
- (21) Tremoulet, A.; Riachi, Y.; Sousa, D.; Palandre, L.; Clodic, D. Evaluation of the Potential Impact of Emissions of HFC-134a from Nonprofessional Servicing of Motor Vehicle Air Conditioning Systems. CARB Agreement No. 06-341, Mines Paris, ARMINES Reference 70890, July 2008
- (22) Global Insight Data. Available at <http://www.globalinsight.com/ProductsServices/ProductDetail900.htm>
- (23) Ward's Automotive Data. Available at <http://wardsauto.com/>
- (24) Powell, R. L. Journal of Fluorine Chemistry 2002, 114, 237
- (25) Available at <http://www.afeas.org/>
- (26) Available at <http://siteresources.worldbank.org/DATASTATISTICS/Resources/GDP.pdf>
- (27) Luecken, D. J.; Waterland, R. L.; Papasavva, S.; Hutzell, W. T.; Taddonio, K., N.; Rugh, J.; Andersen, S. O. Ozone and TFA Impacts in North America from degradation of 2,3,3,3-tetrafluoropropene (HFO-1234yf), a potential greenhouse gas replacement. Submitted for publication to *Environ. Sci. Technol.*, August 2009.
- (28) Available at <http://www.census.gov/tiger/tms/gazetteer/county2k.txt>
- (29) Available at <http://www.smoke-model.org/index.cfm>
- (30) Carter, W.P.L. 2009. Investigation of atmospheric ozone impacts of 2,3,3,3-tetrafluoropropene. Final report to Honeywell International, Inc., June 9, 2009.
- (31) Cater, W.P.L. 2009. Development of a Condensed SAPRC-07 Chemical Mechanism. Submitted to Atmospheric Environment.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17

Tables and Figures

State	Cars 2006	Trucks and Buses 2006	Cars 2017	Trucks and Buses 2017	TOTAL FLEET 2017	Avg. Annual Temperature (oC)
Alabama	1,795,596	2,834,718	2,017,459	3,184,974	5,202,433	16.94
Alaska	242,487	432,607	272,449	486,060	758,508	2.90
Arizona	2,189,979	1,992,353	2,460,571	2,238,527	4,699,098	23.77
Arkansas	958,640	1,035,615	1,077,089	1,163,575	2,240,664	16.02
California	19,835,554	13,346,504	22,286,422	14,995,590	37,282,012	16.83
Colorado	2,353,017	2,770,560	2,643,754	3,112,889	5,756,643	10.81
Connecticut	1,999,809	1,052,143	2,246,904	1,182,145	3,429,049	10.33
Delaware	432,509	380,679	485,950	427,715	913,665	12.23
Dist. of Columbia	168,916	50,189	189,787	56,390	246,177	12.23
Florida	7,425,148	8,948,417	8,342,595	10,054,078	18,396,672	21.85
Georgia	4,141,179	4,145,275	4,652,860	4,657,463	9,310,323	16.61
Hawaii	538,581	469,959	605,128	528,027	1,133,155	24.90
Idaho	541,487	733,628	608,393	824,275	1,432,667	11.15
Illinois	5,947,468	3,928,778	6,682,333	4,414,215	11,096,549	9.93
Indiana	2,694,901	2,352,503	3,027,881	2,643,177	5,671,058	10.97
Iowa	1,744,519	1,601,432	1,960,071	1,799,304	3,759,375	10.18
Kansas	872,878	1,516,314	980,730	1,703,669	2,684,399	13.86
Kentucky	1,969,142	1,588,980	2,212,448	1,785,313	3,997,761	14.29
Louisiana	1,950,372	1,922,372	2,191,359	2,159,899	4,351,258	20.40
Maine	581,797	490,079	653,683	550,633	1,204,316	7.29
Maryland	2,656,597	1,831,800	2,984,844	2,058,136	5,042,980	13.15
Massachusetts	3,310,725	2,074,490	3,719,796	2,330,813	6,050,609	10.54
Michigan	4,765,547	3,388,688	5,354,375	3,807,392	9,161,767	9.28
Minnesota	2,512,491	2,192,423	2,822,933	2,463,317	5,286,250	7.67
Mississippi	1,118,200	879,381	1,256,364	988,037	2,244,401	17.69
Missouri	2,715,297	2,241,875	3,050,797	2,518,880	5,569,677	13.35
Montana	447,446	619,116	502,732	695,614	1,198,346	8.81
Nebraska	832,511	900,622	935,376	1,011,902	1,947,278	10.50
Nevada	679,828	686,729	763,827	771,581	1,535,408	19.78
New Hampshire	585,455	474,508	657,793	533,138	1,190,931	9.71
New Jersey	3,692,966	2,265,022	4,149,267	2,544,887	6,694,153	12.44
New Mexico	699,312	881,508	785,719	990,427	1,776,145	13.63
New York	8,528,457	2,755,439	9,582,228	3,095,899	12,678,127	12.43
North Carolina	3,659,926	2,641,510	4,112,144	2,967,893	7,080,037	15.95
North Dakota	345,502	366,667	388,192	411,972	800,164	5.17
Ohio	6,438,988	4,389,855	7,234,585	4,932,263	12,166,848	10.99
Oklahoma	1,606,517	1,595,314	1,805,017	1,792,430	3,597,447	15.53
Oregon	1,427,597	1,553,782	1,603,990	1,745,766	3,349,756	12.21
Pennsylvania	5,842,819	4,051,344	6,564,754	4,551,925	11,116,679	10.51
Rhode Island	508,389	297,159	571,205	333,876	905,081	10.94
South Carolina	1,964,994	1,488,849	2,207,787	1,672,810	3,880,598	18.44
South Dakota	375,760	468,224	422,189	526,077	948,266	7.78
Tennessee	2,878,136	2,213,192	3,233,757	2,486,653	5,720,409	16.98
Texas	8,805,316	8,733,072	9,893,295	9,812,125	19,705,420	20.32
Utah	1,079,455	1,156,633	1,212,832	1,299,546	2,512,378	11.80
Vermont	309,972	277,696	348,272	312,008	660,280	7.79
Virginia	4,031,355	2,604,621	4,529,467	2,926,446	7,455,913	14.62
Washington	3,087,818	2,601,679	3,469,347	2,923,141	6,392,488	11.21
West Virginia	734,599	706,500	825,366	793,795	1,619,160	12.65
Wisconsin	2,639,984	2,331,477	2,966,179	2,619,553	5,585,732	7.29
Wyoming	228,057	417,135	256,236	468,676	724,912	7.67
Total	136,893,995	110,679,415	153,808,530	124,354,893	278,163,423	

The average temperature for the District of Columbia is taken to be that of the state of Delaware because of lack of data.

Table 1. Current and projected yearly vehicle registrations and average temperatures used to calculate state-specific refrigerant leakage rates.

State	Low Regular Leakage Rate (g-vehicle/yr)	High Regular Leakage Rate (g-vehicle/yr)	Irregular Leakage Rate (g-vehicle/yr)	Low Service Leakage Rate (g-vehicle)*	High Service Leakage Rate (g-vehicle)*	Low End-of-Life Leakage Rate (g-vehicle)**	High End-of-Life Leakage Rate (g-vehicle)**
Alabama	16.75	18.43	17.00	40.00	70.00	100.00	450.00
Alaska	6.83	7.51	17.00	40.00	70.00	100.00	450.00
Arizona	25.93	28.52	17.00	40.00	70.00	100.00	450.00
Arkansas	15.80	17.38	17.00	40.00	70.00	100.00	450.00
California	16.64	18.30	17.00	40.00	70.00	100.00	450.00
Colorado	11.32	12.46	17.00	40.00	70.00	100.00	450.00
Connecticut	10.98	12.08	17.00	40.00	70.00	100.00	450.00
Delaware	12.40	13.64	17.00	40.00	70.00	100.00	450.00
Dist. of Columbia	12.40	13.64	17.00	40.00	70.00	100.00	450.00
Florida	22.94	25.23	17.00	40.00	70.00	100.00	450.00
Georgia	16.41	18.05	17.00	40.00	70.00	100.00	450.00
Hawaii	27.87	30.66	17.00	40.00	70.00	100.00	450.00
Idaho	11.57	12.73	17.00	40.00	70.00	100.00	450.00
Illinois	10.70	11.77	17.00	40.00	70.00	100.00	450.00
Indiana	11.44	12.59	17.00	40.00	70.00	100.00	450.00
Iowa	10.87	11.96	17.00	40.00	70.00	100.00	450.00
Kansas	13.76	15.14	17.00	40.00	70.00	100.00	450.00
Kentucky	14.14	15.56	17.00	40.00	70.00	100.00	450.00
Louisiana	20.91	23.00	17.00	40.00	70.00	100.00	450.00
Maine	9.04	9.94	17.00	40.00	70.00	100.00	450.00
Maryland	13.15	14.47	17.00	40.00	70.00	100.00	450.00
Massachusetts	11.13	12.24	17.00	40.00	70.00	100.00	450.00
Michigan	10.27	11.29	17.00	40.00	70.00	100.00	450.00
Minnesota	9.26	10.19	17.00	40.00	70.00	100.00	450.00
Mississippi	17.58	19.34	17.00	40.00	70.00	100.00	450.00
Missouri	13.32	14.65	17.00	40.00	70.00	100.00	450.00
Montana	9.97	10.96	17.00	40.00	70.00	100.00	450.00
Nebraska	11.10	12.21	17.00	40.00	70.00	100.00	450.00
Nevada	20.09	22.10	17.00	40.00	70.00	100.00	450.00
New Hampshire	10.55	11.61	17.00	40.00	70.00	100.00	450.00
New Jersey	12.57	13.82	17.00	40.00	70.00	100.00	450.00
New Mexico	13.56	14.91	17.00	40.00	70.00	100.00	450.00
New York	12.56	13.81	17.00	40.00	70.00	100.00	450.00
North Carolina	15.73	17.30	17.00	40.00	70.00	100.00	450.00
North Dakota	7.90	8.69	17.00	40.00	70.00	100.00	450.00
Ohio	11.46	12.60	17.00	40.00	70.00	100.00	450.00
Oklahoma	15.32	16.85	17.00	40.00	70.00	100.00	450.00
Oregon	12.38	13.62	17.00	40.00	70.00	100.00	450.00
Pennsylvania	11.11	12.22	17.00	40.00	70.00	100.00	450.00
Rhode Island	11.42	12.56	17.00	40.00	70.00	100.00	450.00
South Carolina	18.44	20.28	17.00	40.00	70.00	100.00	450.00
South Dakota	9.33	10.26	17.00	40.00	70.00	100.00	450.00
Tennessee	16.80	18.48	17.00	40.00	70.00	100.00	450.00
Texas	20.80	22.88	17.00	40.00	70.00	100.00	450.00
Utah	12.06	13.27	17.00	40.00	70.00	100.00	450.00
Vermont	9.34	10.27	17.00	40.00	70.00	100.00	450.00
Virginia	14.44	15.89	17.00	40.00	70.00	100.00	450.00
Washington	11.62	12.78	17.00	40.00	70.00	100.00	450.00
West Virginia	12.74	14.01	17.00	40.00	70.00	100.00	450.00
Wisconsin	9.04	9.95	17.00	40.00	70.00	100.00	450.00
Wyoming	9.26	10.19	17.00	40.00	70.00	100.00	450.00
Average	13.59	14.95					

Table 2. Low and High Leak scenario leak rates per vehicle by state.

1
2
3
4
5

State	Low Regular Leakage Rate metric tons/yr	High Regular Leakage Rate metric tons/yr	Irregular Leakage Rate metric tons/yr	Low Service Leakage Rate* metric tons/yr	High service Leakage Rate* metric tons/yr	Low End-of-Life Leakage Rate** metric tons/yr	High End-of-Life Leakage Rate** metric tons/yr	Low Total Leakage Rate metric tons/yr	High Total Leakage Rate metric tons/yr
Alabama	87	96	88	21	55	26	234	222	473
Alaska	5	6	13	3	8	4	34	25	61
Arizona	122	134	80	19	49	23	211	244	475
Arkansas	35	39	38	9	24	11	101	94	201
California	620	682	634	149	391	186	1,678	1,590	3,385
Colorado	65	72	98	23	60	29	259	215	489
Connecticut	38	41	58	14	36	17	154	127	290
Delaware	11,326	12	16	4	10	5	41	35	79
Dist. of Columbia	3	3	4	1	3	1	11	9	21
Florida	422	464	313	74	193	92	828	900	1,798
Georgia	153	168	158	37	98	47	419	395	843
Hawaii	32	35	19	5	12	6	51	61	117
Idaho	17	18	24	6	15	7	64	54	122
Illinois	119	131	189	44	117	55	499	407	935
Indiana	65	71	96	23	60	28	255	212	483
Iowa	41	45	64	15	39	19	169	139	318
Kansas	37	41	46	11	28	13	121	107	235
Kentucky	57	62	68	16	42	20	180	160	352
Louisiana	91	100	74	17	46	22	196	204	416
Maine	11	12	20	5	13	6	54	42	99
Maryland	66	73	86	20	53	25	227	197	439
Massachusetts	67	74	103	24	64	30	272	225	513
Michigan	94	103	156	37	96	46	412	332	768
Minnesota	49	54	90	21	56	26	238	186	437
Mississippi	39	43	38	9	24	11	101	98	206
Missouri	74	82	95	22	58	28	251	219	485
Montana	12	13	20	5	13	6	54	43	100
Nebraska	22	24	33	8	20	10	88	72	165
Nevada	31	34	26	6	16	8	69	71	145
New Hampshire	13	14	20	5	13	6	54	44	100
New Jersey	84	93	114	27	70	33	301	258	578
New Mexico	24	26	30	7	19	9	80	70	155
New York	159	175	216	51	133	63	571	489	1,094
North Carolina	111	123	120	28	74	35	319	295	636
North Dakota	6	7	14	3	8	4	36	27	65
Ohio	139	153	207	49	128	61	548	456	1,035
Oklahoma	55	61	61	14	38	18	162	149	321
Oregon	41	46	57	13	35	17	151	129	288
Pennsylvania	123	136	189	44	117	56	500	413	942
Rhode Island	10	11	15	4	10	5	41	34	77
South Carolina	72	79	66	16	41	19	175	172	360
South Dakota	9	10	16	4	10	5	43	34	78
Tennessee	96	106	97	23	60	29	257	245	520
Texas	410	451	335	79	207	99	887	922	1,880
Utah	30	33	43	10	26	13	113	96	215
Vermont	6	7	11	3	7	3	30	23	55
Virginia	108	118	127	30	78	37	336	302	659
Washington	74	82	109	26	67	32	288	240	545
West Virginia	21	23	28	6	17	8	73	63	140
Wisconsin	51	56	95	22	59	28	251	196	461
Wyoming	7	7	12	3	8	4	33	26	60
Total	4,135	4,548	4,729	1,113	2,921	1,391	12,517	11,367	24,715

6
7
8
9

10 **Table 3.** Total 2017 direct and indirect leak rates for statewide vehicle fleet in the *Low*
11 and *High Leak* scenarios.

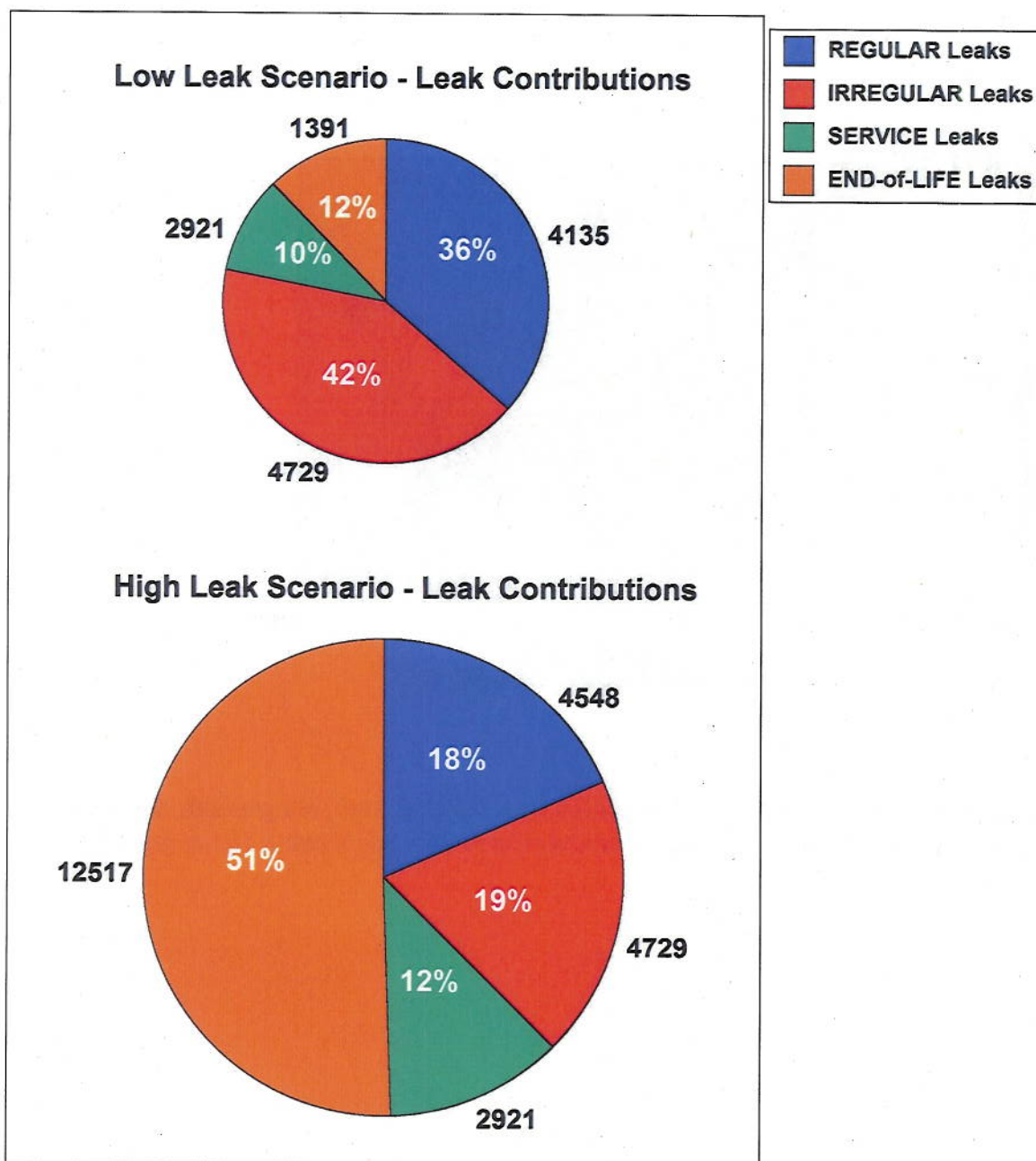
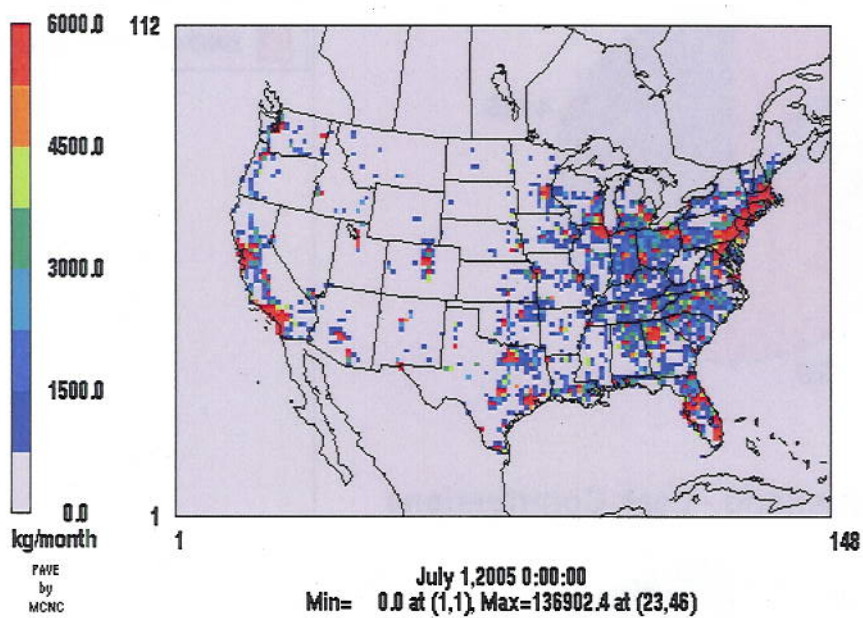


Figure 1. Contributions of individual leak rate categories to total 2017 MAC HFC-1234yf emissions in the *Low* (top) and *High* (bottom) *Leak Scenarios*. Leak rates in MT/yr and % of total emissions are shown outside and inside each slice, respectively. The relative area of the pie charts is proportional to the ratio of total emissions in the two scenarios.



1
2
3
4
5
6

Figure 2. Estimated July monthly emissions of HFC-1234yf, in kg/month, for the *High Leak scenario* (bottom). Emissions are calculated for each 36 km x 36 km grid cell.