

# **CHAPTER 5**

## **HUMAN HEALTH**



# HEALTH CHAPTER CONTENTS

5.1	INTRODUCTION .....	5-5
5.1.1	The Environmental Public Health Paradigm .....	5-6
	Establishing Linkages Between Environmental Contaminants and Health Outcomes....	5-7
5.1.2	Overview of the Data .....	5-8
5.1.3	Organization of This Chapter.....	5-9
5.2	WHAT ARE THE TRENDS IN HEALTH STATUS IN THE UNITED STATES?.....	5-11
5.2.1	Introduction.....	5-11
5.2.2	Indicators .....	5-12
	INDICATOR: General Mortality.....	5-13
	INDICATOR: Life Expectancy at Birth .....	5-17
	INDICATOR: Infant Mortality .....	5-19
5.2.3	Discussion .....	5-22
	What These Indicators Say About Trends in U.S. Health Status.....	5-22
	Limitations, Gaps, and Challenges .....	5-24
5.3	WHAT ARE THE TRENDS IN HUMAN DISEASE AND CONDITIONS FOR WHICH ENVIRONMENTAL CONTAMINANTS MAY BE A RISK FACTOR, INCLUDING ACROSS POPULATION SUBGROUPS AND GEOGRAPHIC REGIONS? .....	5-25
5.3.1	Introduction.....	5-25
5.3.2	Indicators .....	5-29
	INDICATOR: Cancer Incidence.....	5-31
	INDICATOR: Childhood Cancer Incidence.....	5-35
	INDICATOR: Cardiovascular Disease Prevalence and Mortality.....	5-37
	INDICATOR: Chronic Obstructive Pulmonary Disease Prevalence and Mortality....	5-43
	INDICATOR: Asthma Prevalence .....	5-48
	INDICATOR: Infectious Diseases Associated with Environmental Exposures or Conditions.....	5-53
	INDICATOR: Birth Defects Rates and Mortality .....	5-58
	INDICATOR: Low Birthweight.....	5-62
	INDICATOR: Preterm Delivery.....	5-65
5.3.3	Discussion .....	5-67
	What These Indicators Say About Trends in Diseases and Conditions for Which Environmental Contaminants May Be a Risk Factor.....	5-67
	Limitations, Gaps, and Challenges .....	5-68

5.4	WHAT ARE THE TRENDS IN HUMAN EXPOSURE TO ENVIRONMENTAL CONTAMINANTS, INCLUDING ACROSS POPULATION SUBGROUPS AND GEOGRAPHIC REGIONS?.....	5-72
5.4.1	Introduction.....	5-72
5.4.2	Indicators .....	5-74
	INDICATOR: Blood Lead Level.....	5-76
	INDICATOR: Blood Mercury Level.....	5-79
	INDICATOR: Blood Cadmium Level.....	5-82
	INDICATOR: Blood Persistent Organic Pollutants Level .....	5-85
	INDICATOR: Urinary Pesticide Level .....	5-94
	INDICATOR: Urinary Phthalate Level .....	5-100
5.4.3	Discussion.....	5-105
	What These Indicators Say About Trends in Exposure to Environmental Contaminants .....	5-105
	Limitations, Gaps, and Challenges .....	5-105

## 1 5.1 INTRODUCTION

2 The health of the human population can be influenced by many factors, one of which is exposure to  
3 environmental contamination. Protecting human health from the effects of environmental contaminants is  
4 therefore an integral part of EPA's mission. Protecting, sustaining, or restoring the health of people and  
5 communities is central to EPA's various research and regulatory programs. EPA examines the human  
6 health impacts of contamination in air, in water, and on the land. Thorough study of adverse health effects  
7 associated with environmental exposures enable the Agency to evaluate harmful levels of exposure and  
8 issue guidelines for the safe production, handling, and management of hazardous substances.

9 As described in Chapters 2 through 4, people are exposed to environmental contaminants in a variety of  
10 ways, and many contaminants are known to be or suspected of causing human disease. Identifying the  
11 extent to which human exposures may be occurring or may have occurred and measures of health  
12 outcomes possibly influenced by environmental exposures is important in determining where further  
13 study or public health interventions may be necessary. For example, a high or increasing rate of a  
14 particular cancer for which a hazardous substance in the environment is believed to be a contributing  
15 factor is of interest. Similarly, the presence or patterns of elevated levels of environmental contaminants  
16 as measured in human tissue through biomonitoring is also of interest. In addition, tracking health  
17 condition and exposures across various segments of the population such as gender, race or ethnicity, or  
18 geographic location helps to identify differences across subgroups and guide public health decisions and  
19 strategies.

20 In this chapter, EPA seeks to assess trends in human disease and exposure that may be associated with  
21 environmental factors on a national scale. Health outcome and biomonitoring indicators are presented to  
22 address three fundamental questions:

- 23 • ***What are the trends in health status in the United States?*** Here the report uses several  
24 general health outcome indicators (life expectancy, infant mortality, and general mortality) to  
25 provide a broad picture of health in the United States. Trends in these indicators provide a  
26 general context for understanding trends in specific diseases and conditions that may be  
27 linked with the environment.  
28
- 29 • ***What are the trends in human disease and conditions for which environmental***  
30 ***contaminants may be a risk factor, including across population subgroups and geographic***  
31 ***regions?*** This question looks at the occurrence of diseases and conditions that are known or  
32 suspected to be caused to some degree or exacerbated by exposures to environmental  
33 contaminants. This chapter uses a spectrum of indicators for health outcomes such as cancer,  
34 asthma, and birth outcomes to address this question. Both morbidity and mortality statistics  
35 are considered.  
36
- 37 • ***What are the trends in human exposure to environmental contaminants, including across***  
38 ***population subgroups and geographic regions?*** Data on trends in exposure levels provide an  
39 opportunity to evaluate the extent to which environmental contaminants are present in human  
40 tissue, independent of the occurrence of specific diseases or conditions. To address this  
41 question, this chapter focuses on biomonitoring indicators (or biomarkers of exposure) for  
42 environmental contaminants such as lead, mercury, and pesticides.

43 These ROE questions are posed without regard to whether indicators are available to answer them. This  
44 chapter presents the indicators available to answer these questions, and also points out important gaps  
45 where nationally representative data are lacking.

1 This chapter is not intended to be exhaustive in addressing these questions, nor is it intended to be a risk  
2 assessment or epidemiological study. Rather, it provides an overview of selected indicators of human  
3 disease and exposure over space and time based on key data sources with sufficiently robust design and  
4 quality assurance.

5 The indicators used here are based on data sets representative of the national population; they are not  
6 based on data from targeted populations or tied to specific exposures or releases. Therefore, these data  
7 sets cannot and should not be used to draw conclusions about linkages or causal relationships between a  
8 particular health outcome or contaminant; nor is it possible to directly link the health outcome or  
9 biomonitoring indicators to any of the indicators of emissions or ambient pollutants in air, land, or water  
10 presented in earlier chapters of this report. Though the chapter does not assess quantitative relationships  
11 between the measures of environmental contaminants and diseases, it does present some qualitative  
12 discussion of the research that has examined some of these relationships.

13

### 14 **5.1.1 The Environmental Public Health Paradigm**

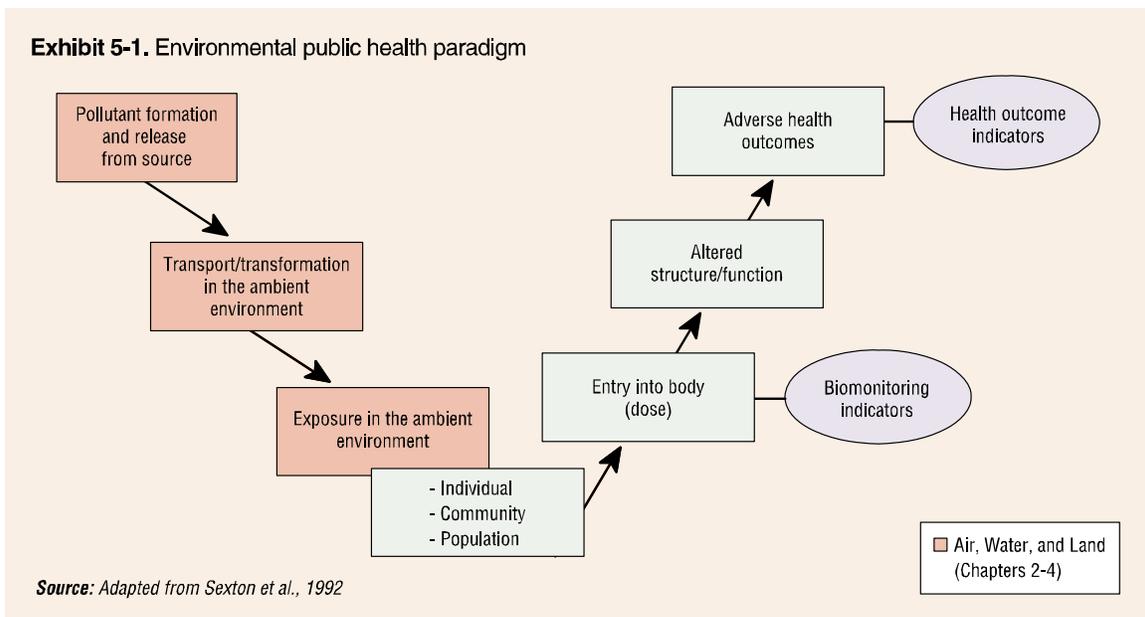
15 The relationship among and between environmental pollution, exposure, and disease is complex.  
16 Development of disease is multi-faceted. Relationships between environmental exposures and various  
17 health outcomes can only be established through well-designed epidemiological, toxicological, and  
18 clinical studies. An understanding of these factors is critical to providing the proper context for this  
19 chapter.

20 The environmental public health paradigm shown in Exhibit 5-1 illustrates the broad continuum of factors  
21 or events that may be involved in the potential development of human disease following exposure to an  
22 environmental contaminant. This series of events serves as the conceptual basis for understanding and  
23 evaluating environmental health. The exhibit illustrates that for adverse health effects to occur (clinical  
24 disease or death) many things have to happen. A contaminant must be released from its source, reach  
25 human receptors (via air, water, land), enter the human body (via inhalation, ingestion, or skin contact),  
26 and be present within the body at sufficient doses within individuals to cause biological changes that may  
27 ultimately result in an observed adverse health effect.

28 The paradigm, however, is a linear, schematic depiction of a process that is complex and multi-factorial.  
29 Exposure to an environmental contaminant or stressor is rarely the sole cause of an adverse health  
30 outcome. Environmental exposure is just one of several factors that may contribute to disease occurrence  
31 or to the severity of a preexisting disease. Other factors include, for example, diet, exercise, alcohol  
32 consumption, individual genetic makeup, medications, and other pre-existing diseases. It is known that  
33 asthma, for example, can be triggered by environmental insult, but environmental exposures are not the  
34 “cause” of all asthma attacks. In addition, different contaminants can be a risk factor for the same disease.  
35 Taking the same example, outdoor air pollution and certain indoor air pollutants, such as environmental  
36 tobacco smoke, can both exacerbate asthma symptoms. Further, susceptibility to disease is different for  
37 each person; some individuals may experience effects from certain ambient exposure levels while others  
38 may not.

1 Each block in Exhibit 5-1<sup>1</sup> can have indicators associated with it. As shown, aspects of Chapters 2  
2 through 4 may address contaminant formation, release, transport, and transformation in the environment.  
3 Those chapters present indicators for the presence of contaminants or other stressors affecting air, water,  
4 and land, sometimes at locations in which people may be exposed. Measurements of ambient exposure  
5 levels are different than the biomonitoring indicators (biomarkers of exposures) introduced in this chapter.  
6 Other types of biomarkers exist (e.g., biomarkers of susceptibility and biomarkers of effect); because  
7 national-scale data do not exist for these biomarkers, they are not covered in this chapter at this time.

8 The presence of a contaminant in the environment or within human tissue alone does not mean disease  
9 will occur. Furthermore, identification of diseases for which environmental contaminants are risk factors  
10 does not mean exposure has occurred or contributed to that disease. However, extensive and collaborative  
11 data collection and research efforts across the scientific community continue to strengthen our  
12 understanding of the relationships between environmental exposures and disease.



13

## 14 ***Establishing Linkages Between Environmental Contaminants and Health*** 15 ***Outcomes***

16 Scientific research has helped identify linkages between exposure to environmental contaminants and  
17 certain diseases, conditions, or other health outcomes. Examples include radon and lung cancer; arsenic  
18 and cancer in several organs; lead and nervous system disorders; disease-causing bacteria such as E. coli  
19 O157:h7 and gastrointestinal illness and death; and particulate matter and aggravation of cardiovascular  
20 and respiratory diseases. Such relationships between exposure and disease have been established through  
21 well-designed epidemiological studies with a defined or specified population (e.g., geographic location,  
22 susceptible populations, occupational exposures) and known environmental exposures.

---

<sup>1</sup> Adapted from: Sexton, K., S.G. Selevan, D.K. Wagener, and J.A. Lybarger. 1992. Estimating human exposures to environmental pollutants: availability and utility of existing databases. Arch. Environ. Health 47(6):398-407.

1 The causes of many diseases and other health conditions are not well established. In some cases  
2 environmental contaminants are considered important risk factors. In other cases, available data suggest  
3 that environmental exposures are important, but definitive proof is lacking. Developing conclusive  
4 evidence that environmental contaminants cause or contribute to the incidence of adverse health effects  
5 can be difficult, however, particularly for those effects occurring in a relatively small proportion of the  
6 population or effects with multiple causes. In cases where exposure to an environmental contaminant  
7 results in a relatively modest increase in the incidence of a disease or disorder, a large sample size for the  
8 study would be needed to detect a true relationship. In addition, there may be factors that are related to  
9 both the exposure and the health effect—confounding factors—that can make it difficult to detect a  
10 relationship between exposure to environmental contaminants and disease. In many cases, findings from  
11 studies in humans and/or laboratory animals may provide suggestive (rather than conclusive) evidence  
12 that exposures to environmental contaminants contribute to the incidence of a disease or disorder.

13 EPA relies on the possible linkages established through the types of studies highlighted above to identify  
14 environmental contaminants and health outcomes of potential Agency interest (e.g., the indicators used in  
15 this chapter). To reiterate, however, the national-scale ROE indicators do not directly link exposure with  
16 outcome and cannot be used to demonstrate causal relationships. However, when combined with other  
17 information, such as environmental monitoring data and data from toxicological, epidemiological, or  
18 clinical studies, these indicators can be an important key to improve the understanding of the relationship  
19 between environmental contamination and health outcomes.

## 20 **5.1.2 Overview of the Data**

21 EPA draws on many resources and partnerships with other federal, state, and local agencies for the health  
22 data and statistical reports that underlie the health outcome and biomonitoring indicators used in this  
23 chapter. This report uses three key types of data sources, each with its own strengths and limitations:

- 24 • ***Vital statistics data.*** Vital statistics of interest for health include births, deaths, and fetal  
25 deaths. Vital statistics data used in this report include the Centers for Disease Control and  
26 Prevention (CDC's) National Center for Health Statistics' (NCHS') *National Vital Statistics*  
27 *System*.  
28
- 29 • ***Data collected from living human subjects.*** This includes both questionnaire-based  
30 information (e.g., NCHS' *National Health Interview Survey [NHIS]*, a nationwide survey to  
31 collect data on personal and demographic characteristics, illnesses, and other topics) and  
32 biological specimens (such as the NCHS' *National Health and Nutrition Examination Survey*  
33 *[NHANES]*, which collects and measures some chemicals in blood and urine samples). This  
34 report focuses on data collection activities with a national focus and that use a probability-  
35 based sampling design.  
36
- 37 • ***Data from surveillance activities.*** These include data from active surveillance activities such  
38 as the National Cancer Institute's (NCI's) *Surveillance, Epidemiology, and End Results*  
39 *(SEER) Program*, which collects and publishes cancer incidence and survival data from  
40 population-based cancer registries. It also includes data from more passive collection  
41 systems, such as CDC's *National Notifiable Disease Surveillance System*, which provides  
42 information about diseases that health providers must report to state or local public health  
43 officials.

44 This report also takes advantage of several published documents that present and summarize in one place  
45 the findings from many data collection activities (e.g., NCHS' *Healthy People 2010 Database*). In

1 addition, it uses some databases that provide a single point of access to a variety of reports and numeric  
2 public health data and ways to conduct analyses of those data (e.g., CDC’s electronic database *CDC*  
3 *WONDER*).

4 The data sources used provide statistics across time, geographic areas, and/or subpopulations such as age  
5 groups, races, and ethnicities. Identifying possible differences among population subgroups, as well as  
6 evidence of whether any differences are narrowing or widening, may reveal trends needing study or  
7 intervention. This type of trend analysis is consistent with national public health goals aimed at  
8 eliminating health disparities across various groups (e.g., racial and ethnic groups, low-income  
9 populations).<sup>2</sup> It addresses a continuing concern that minority and/or economically disadvantaged  
10 communities frequently may be exposed disproportionately to environmental exposures and related  
11 illnesses. Statistics for populations that may be particularly susceptible to environmental contaminants,  
12 such as children and pregnant women, are also examined. However, the type and level of subpopulation  
13 breakdown varies across data sets, sometimes making consistent presentation of this information difficult.  
14 Standards that specify the way in which race and ethnicity statistics are reported across federal agencies  
15 were revised in 1997. The standards, which became effective in 2003, expand the race and ethnicity  
16 categories for which data are collected and are aimed at increasing comparability of data among federal  
17 data systems. As vital records used to support federal data systems continue to be revised and come into  
18 compliance with the 1997 requirements, future data reporting and comparisons will be more  
19 straightforward.

20 This chapter presents health statistics, including race and ethnicity subgroup information, as reported  
21 within the original data source documents or databases. The presentation of observed changes—  
22 temporally, spatially, or across subgroups—is descriptive, not quantitative. No statistical testing was  
23 performed (e.g., tests of statistical significance).

24 This chapter presents only data that meet the ROE indicator definition and criteria (see Chapter 1,  
25 Introduction). Note that non-scientific indicators, such as administrative and economic indicators, are not  
26 included in this definition. Thorough documentation of the indicators data sources and metadata can be  
27 found online at <insert URL>. All indicators were peer-reviewed during an independent peer review  
28 process (see <insert URL> for more information). Readers should not infer that the indicators included  
29 reflect the complete state of the knowledge on trends in health and exposure related to environmental  
30 exposures. Many other data sources, publications, site-specific research projects, and epidemiological  
31 studies have contributed greatly to the current understanding of health and exposure trends, but are not  
32 used because they do not meet some aspect of the ROE indicator criteria.

### 33 **5.1.3 Organization of This Chapter**

34 The rest of this chapter is organized into sections corresponding to the three questions EPA seeks to  
35 answer about trends in human health and exposure. Each section introduces the question and its  
36 importance, presents the national indicators selected to help answer the question, and discusses what the  
37 indicators, taken together, say about the question. Each section concludes by highlighting the major  
38 challenges to answering the question and identifying important gaps and limitations.

---

<sup>2</sup> U.S. Department of Health and Human Services. 2000. Healthy people 2010: understanding and improving health. Second ed. Washington, DC: U.S. Government Printing Office. <<http://www.health.gov/healthypeople/>>

1 The table below shows the indicators used to answer each of the questions in this chapter and where they  
 2 are found.

3 **Table 5.1.1. Human Health—ROE Questions and Indicators**

<b>Question</b>	<b>Indicator Name</b>	<b>Section</b>	<b>Page #</b>
<i>What are the trends in health status in the United States?</i>	General Mortality (N)	5.2.2	5-13
	Life Expectancy at Birth (N)	5.2.2	5-17
	Infant Mortality (N)	5.2.2	5-19
<i>What are the trends in human disease and conditions for which environmental contaminants may be a risk factor including across population subgroups and geographic regions?</i>	Cancer Incidence (N)	5.3.2	5-31
	Childhood Cancer Incidence (N)	5.3.2	5-35
	Cardiovascular Disease Prevalence (N) and Mortality (N/R)	5.3.2	5-37
	Chronic Obstructive Pulmonary Disease Prevalence (N) and Mortality (N/R)	5.3.2	5-43
	Asthma Prevalence (N)	5.3.2	5-48
	Infectious Diseases Associated with Environmental Exposures or Conditions (N)	5.3.2	5-53
	Birth Defects Rates and Mortality (N)	5.3.2	5-58
	Low Birthweight (N)	5.3.2	5-62
	Preterm Delivery (N)	5.3.2	5-65
<i>What are the trends in human exposure to environmental contaminants including across population subgroups and geographic regions?</i>	Blood Lead Level (N)	5.4.2	5-76
	Blood Mercury Level (N)	5.4.2	5-79
	Blood Cadmium Level (N)	5.4.2	5-82
	Blood Persistent Organic Pollutants Level (N)	5.4.2	5-85
	Blood Cotinine Level (N)	2.4.2	2-114
	Urinary Pesticide Level (N)	5.4.2	5-94
	Urinary Phthalate Level (N)	5.4.2	5-100

4 N = National Indicator

5 N/R = National Indicator displayed at EPA Regional scale

6

## 1 5.2 WHAT ARE THE TRENDS IN HEALTH STATUS IN THE UNITED STATES?

### 2 5.2.1 Introduction

3 An overarching goal of public health agencies is to increase quality and years of healthy life and to  
4 eliminate health disparities. Tracking historical trends in general health status can help identify where  
5 interventions have improved the health of a population or where interventions may be needed (e.g.,  
6 exploring causative factors and preventive measures). For example, a key concern for EPA is what  
7 possible environmental factors could be contributing to the diseases or conditions that are the leading  
8 causes of death in the United States. Tracking overall health in the United States therefore provides  
9 important context for the next section of this chapter, which examines specific acute and chronic diseases  
10 and conditions that may be linked with exposures to environmental contaminants.

11 The topics covered are broad and not intended to represent specific diseases or conditions related to the  
12 environment. Environmental contaminants from air, water, and land can influence the overall health of a  
13 nation; however, many factors other than the environment also influence the health of a population, such  
14 as socio-demographic attributes, behavioral and genetic risk factors, level of preventive care, and quality  
15 of and access to health care.

16 As defined by the World Health Organization (WHO), health is a state of complete physical, mental, and  
17 social well-being, and not the mere absence of disease or infirmity.<sup>3</sup> The health status of a population can  
18 be measured by a wide range of factors: birth and death rates, life expectancy, quality of life, morbidity  
19 from specific diseases, risk factors, use of ambulatory care and inpatient care, accessibility of health  
20 personnel and facilities, financing of health care, health insurance coverage, and many other factors.<sup>4</sup>

21 While no single set of measures can completely characterize the health of a large and diverse population,  
22 the CDC and other health agencies worldwide consistently have viewed life expectancy and mortality  
23 data as indicators of overall population health because they represent the cumulative effects of social and  
24 physical environmental factors, behavioral and genetic risk factors, and the level and quality of health  
25 care. These data include the leading causes of mortality (among both infants and the general population),  
26 which provide a broad perspective on the diseases and conditions that are having the greatest impact on  
27 the nation's health. Infant mortality is a particularly useful measure of health status, because it indicates  
28 both the current health status of the population and predicts the health of the next generation.<sup>5</sup> It reflects  
29 the overall state of maternal health as well as the quality and accessibility of primary health care available  
30 to pregnant women and infants.

---

<sup>3</sup> World Health Organization. 1946. Preamble to the constitution of the World Health Organization as adopted by the International Health Conference, New York, 19-22 June, 1946; signed on 22 July 1946 by the representatives of 61 States (Official Records of the World Health Organization, no. 2, p. 100) and entered into force on 7 April 1948. <<http://w3.who.sea.org/aboutsearo/pdf/const.pdf>>

<sup>4</sup>U.S. Department of Health and Human Services. 2000. Healthy people 2010: understanding and improving health. Second ed. Washington, DC: U.S. Government Printing Office. <<http://www.health.gov/healthypeople/>>

<sup>5</sup> National Center for Health Statistics. 2001. Healthy people 2000 final review. Hyattsville, MD: Public Health Service. <<http://www.cdc.gov/nchs/data/hp2000/hp2k01-acc.pdf>>

1 Tracking health status using such indicators provides information on changing or emerging trends. At the  
2 beginning of the 20<sup>th</sup> century, the population of the United States was characterized by a low standard of  
3 living, poor hygiene, and poor nutrition; communicable diseases and acute conditions were major causes  
4 of most premature deaths. Over the course of the century, public health measures such as improved  
5 sanitation and drinking water treatment led to a dramatic decrease in deaths due to infectious diseases and  
6 a marked increase in life expectancy. As the population has aged, chronic diseases such as heart disease  
7 and cancer have become the leading causes of death.<sup>6</sup> These diseases may require a different approach to  
8 prevention, detection, and treatment compared to the infectious and acute illnesses more common in the  
9 past.

## 10 5.2.2 Indicators

11 Other agencies such as the CDC routinely assess the state of the nation’s health. EPA has drawn on the  
12 comprehensive data collection efforts and assessments conducted by these agencies in addressing this  
13 question. Three indicators are used to assess the trends in health status in the United States (Table 5.2.1).  
14 *Life expectancy at birth* is the number of years a newborn would expect to live if that person experienced  
15 the mortality schedule existing at the time of birth. *Infant mortality* is the number of infants who die  
16 before their first birthday. *General mortality* represents the number of all deaths nationwide and provides  
17 information on the leading causes of death. Mortality is also tracked using *years of potential life lost*, or  
18 the number of years “lost” by people in a population who die prematurely of a stated cause. These  
19 indicators are interrelated—e.g., declines in mortality result in increased life expectancy, and shifts in life  
20 expectancy are often used to describe changes in mortality; changes in infant mortality are reflected in  
21 general mortality as well.

22 Where possible, the indicators for this question track health status among subpopulations (e.g., by gender,  
23 race, ethnicity). Generally, differences in mortality and life expectancy between black and white  
24 Americans have been tracked for the past several decades, in some cases as far back as the 1930s. A  
25 broader spectrum of race and ethnic group breakdowns is available for these indicators in more recent  
26 years, including American Indian/Alaskan Native, Asian or Pacific Islander, and Hispanic origin.  
27 Subpopulation data are presented to the extent practicable under What the Data Show and/or within  
28 indicator exhibits.

29 **Table 5.2.1. ROE Indicators of Trends in Health Status in the United States**

<b>NATIONAL INDICATORS</b>	<b>LOCATION</b>
General Mortality	5.2.2 – p. 5-13
Life Expectancy at Birth	5.2.2 – p. 5-17
Infant Mortality	5.2.2 – p. 5-19

30

---

<sup>6</sup> National Center for Health Statistics. 2001. Healthy people 2000 final review. Hyattsville, MD: Public Health Service. <<http://www.cdc.gov/nchs/data/hp2000/hp2k01-acc.pdf>>

## INDICATOR: General Mortality

Overall mortality is a key measure of health in a population. Two measures of mortality are cause-specific mortality and years of potential life lost (YPLL). All-cause mortality counts the total number of deaths due to any cause within a specified year, whereas cause-specific mortality statistics count the number of deaths due to a particular cause in a specified year. YPLL is defined as the number of years between the age at death and a specified age; that is, the total number years which are “lost” by persons in the population who die prematurely of a stated cause. Ranking the causes of death can provide a description of the relative burden of cause-specific mortality (NCHS, 2005).

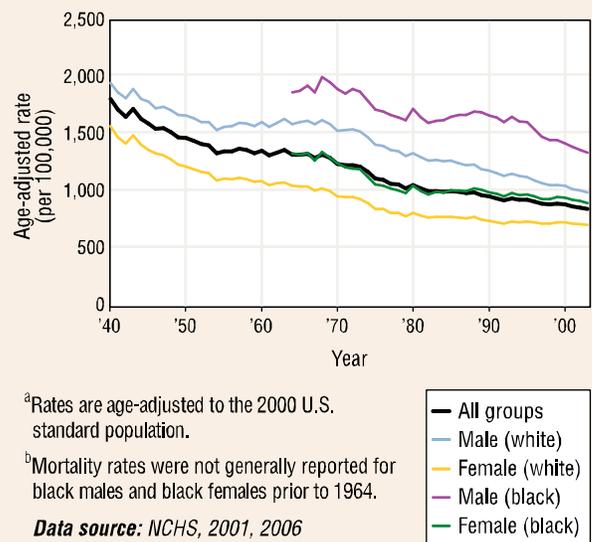
This indicator is based on mortality data recorded in the National Vital Statistics System (NVSS), which registers virtually all deaths nationwide from death certificate data. YPLL is calculated by subtracting the age at death from a selected age (e.g., 65, 75, 85), then summing the individual YPLL across each cause of death (CDC, 2006). Sixty-five was selected as the age for this indicator to focus on deaths more likely to be attributable to preventable causes and less influenced by increasing age. The temporal coverage of the data is from 1933 to 2003 and data are collected from all 50 States and the District of Columbia.

### What the Data Show

As noted in 2003 Draft ROE, an increase in the number of deaths in the United States has been observed over the last few decades, reflecting the increase in the size and aging of the population. The number of deaths continued to increase in 2001, 2002, and 2003 where 2,416,425; 2,443,387; and 2,448,288 deaths, respectively, were recorded, an increase compared to 1999 (2,391,399 deaths). However, the age-adjusted all cause mortality rates have declined yearly since 1980 (except in years of influenza outbreaks in 1983, 1985, 1988, 1993, and 1999) with the most recent available rate of 832.7 deaths per 100,000 people in 2003. Exhibit 5-2 provides some historical perspective on trends in the age-adjusted mortality rates between 1940 and 2003, showing that age-adjusted rates were nearly twice as high in 1940 as they were in 2000. The largest declines in “all cause mortality” rates since 1990 has occurred among black males compared with white males and black and white females.

The rank order of the leading causes of death has remained the same since 1999, as reported in 2003 Draft ROE. Exhibits 5-3 and 5-4 present the leading causes of mortality and YPLL for 2003, respectively. The three leading causes of death were heart disease, cancer, and stroke, accounting for about 60 percent of all deaths. The YPLL ranking is different, with unintentional injuries, cancer, and heart disease comprising the top three for this measure

**Exhibit 5-2.** Age-adjusted “all cause” mortality rates in the U.S., 1940-2003<sup>a,b</sup>



**Exhibit 5-3. Leading causes of death in the U.S., 2003**

Cause of death	Number of deaths	Percent of all deaths
Heart disease	685,089	28.0
Cancer (malignant neoplasms)	556,902	22.7
Stroke (cerebrovascular)	157,689	6.4
Chronic lower respiratory diseases	126,382	5.2
Accidents (unintentional injuries)	109,277	4.5
Diabetes mellitus	74,219	3.0
Influenza and pneumonia	65,163	2.7
Alzheimer's disease	63,457	2.6
Nephritis, nephritic syndrome	42,453	1.7
Septicemia	34,069	1.4
All other causes	533,588	21.8

*Data source: CDC Web-Based Injury Statistics Query and Reporting System (WISQARS) database*

1

2

**Exhibit 5-4. Years of potential life lost (YPLL) before age 65 in the U.S., 2003**

Cause of death	YPLL	Percent of all YPLL
Accidents (unintentional injuries)	2,174,210	18.5
Cancer (malignant neoplasms)	1,899,078	16.2
Heart disease	1,448,352	12.3
Perinatal period	933,513	8.0
Suicide	661,520	5.6
Homicide	582,582	5.0
Congenital anomalies	484,702	4.1
HIV	280,127	2.4
Stroke (cerebrovascular)	245,882	2.1
Liver disease	237,006	2.0
All other causes	2,782,968	23.7

*Data source: CDC Web-Based Injury Statistics Query and Reporting System (WISQARS) database*

1 During 2003, heart disease was the leading cause of death across the reported racial and ethnic groups and  
2 this was generally the case after further stratifying by race/ethnicity and gender. For Asians or Pacific  
3 Islanders, however, cancer (malignant neoplasms) was the leading cause of death. In addition, diabetes  
4 was ranked as the fourth leading cause of death among blacks and American Indian/Alaska Natives (both  
5 sexes), which was a higher ranking than for most of the other racial and ethnic groups. (Data not shown).

## 6 **Indicator Limitations**

- 7 • Cause of death rankings denote the most frequently occurring causes of death among those  
8 causes eligible to be ranked. The rankings do not necessarily denote the causes of death of  
9 greatest public health importance. Further, rankings of cause-specific mortality could change  
10 depending on the defined list of causes that are considered and, more specifically, the types of  
11 categories and subcategories that are used for such rankings (NCHS, 2005).
- 12 • Mortality rates are based on underlying cause-of-death as entered on a death certificate by a  
13 physician. Incorrect coding and low rates of autopsies that confirm the cause of death may  
14 occur. Additionally, some individuals may have had competing causes of death. “When more  
15 than one cause or condition is entered by the physician, the underlying cause is determined by  
16 the sequence of conditions on the certificate, provisions of the ICD [International  
17 Classification of Diseases], and associated selection rules and modifications” (CDC, n.d.).  
18 Consequently, some misclassification of reported mortality might occur as a result of these  
19 uncertainties, as well as the underreporting of some causes of death.

## 20 **Data Sources**

21 Mortality rates were obtained from vital statistics reports published by CDC’s National Center for Health  
22 Statistics (NCHS, 2001, 2006). Data in the NCHS reports are based in part on unpublished work tables,  
23 available on the NCHS web site at <http://www.cdc.gov/nchs/deaths.htm>. Leading cause of death and  
24 YPLL data were extracted from CDC’s Web-Based Injury Statistics Query and Reporting System  
25 (WISQARS) (CDC, 2006) (<http://www.cdc.gov/ncipc/wisqars/>). The underlying data in WISQARS come  
26 from CDC/NCHS annual mortality data files.

## 27 **References**

- 28 CDC (Centers for Disease Control and Prevention). 2006. National Center for Injury Prevention and  
29 Control. Web-based Injury Statistics Query and Reporting System (WISQARS) [online]. Leading causes  
30 of death and years of potential life lost (YPLL) reports, 1999-2003. Accessed 2006.  
31 <<http://webappa.cdc.gov/sasweb/ncipc/leadcaus.html>>  
32 <<http://webappa.cdc.gov/sasweb/ncipc/ypll10.html>>
- 33 CDC (Centers for Disease Control and Prevention). n.d. CDC WONDER: Help page for compressed  
34 mortality file. <<http://wonder.cdc.gov/wonder/help/cmfm.html>>
- 35 NCHS (National Center for Health Statistics). 2006. Deaths: final data for 2003. National Vital Statistics  
36 Reports 54(13). April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>
- 37 NCHS (National Center for Health Statistics). 2005. Deaths: leading causes for 2002. National Vital  
38 Statistics Reports 53(17). <[http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53\\_17.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53_17.pdf)>

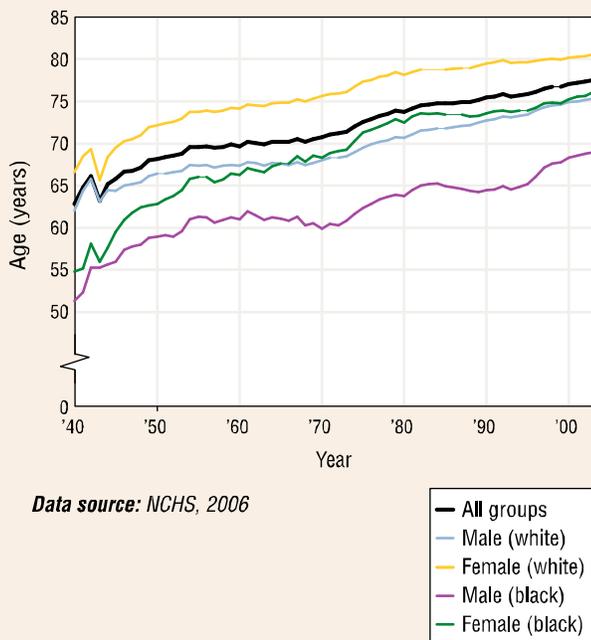
- 1 NCHS (National Center for Health Statistics). 2001. Age-adjusted death rates; trend data based on the
- 2 year 2000 standard population. National Vital Statistics Reports 49(9).

## 1 INDICATOR: Life Expectancy at Birth

2 Life expectancy at birth is often used to appraise the overall health of a given population (NCHS, 2005).  
3 Changes in life expectancy over time are commonly used to describe trends in mortality. Life expectancy  
4 is the average number of years at birth a person could expect to live if current mortality trends were to  
5 continue for the rest of that person's life.

6 This indicator is based on data from the National Vital Statistics System (NVSS), which registers  
7 virtually all deaths and births nationwide. The temporal coverage of the data is from 1933 to 2003 and  
8 data are collected from all 50 States and the District of Columbia.

**Exhibit 5-5. Life expectancy in the U.S. by race and sex, 1940-2003**



### What the Data Show

Exhibit 5-5 presents the historical trends in life expectancy for the entire population as well as by gender and race (black and white) between 1940 and 2003 showing an upward trend in life expectancy in the United States over time. Life expectancy at birth has increased throughout the 20<sup>th</sup> and now into the 21<sup>st</sup> century. The overall life expectancy was a record high in 2003 at 77.5 years, a slight increase from 77.0 years in 2000, 77.2 years in 2001, and 77.3 in 2002. This follows seven consecutive years of increases.

Life expectancy continues to increase for both males (73.9 years in 1999 to 74.8 years in 2003) and females (79.4 years in 1999 to 80.1 years in 2003). The gap in life expectancy between males and females widened from 2.0 years to 7.8 years between 1900 and 1979. Recently, this gap narrowed for the year 2000 and remained relatively constant through 2003 with a difference of 5.3-5.4 years between males and females. (Data not shown.)

29 The increase in life expectancy among blacks reported for 1999 continued in 2001, 2002, and 2003 at  
30 72.2, 72.3, 72.7 years, respectively. The difference in life expectancy between the black and white  
31 populations was 5.3 years in 2003. In 2003, white females continued to have the highest life expectancy at  
32 80.5 years, followed by black females at 76.1 years, white males at 75.3 years and black males at 69.0  
33 years (Exhibit 5-5).

### 34 Indicator Limitations

35 • Life expectancy at birth is strongly influenced by infant and child mortality rates. It is  
36 important to consider such influences when making comparisons among subgroups since  
37 differences in life expectancy among certain subgroups may be mostly attributed to  
38 differences in prenatal care and other important determinants of infant and child mortality.

1    **Data Sources**

2    The annual life expectancy data used for this indicator were obtained from life tables published by CDC's  
3    National Center for Health Statistics (NCHS, 2006a). NCHS also publishes life expectancy data in its  
4    annual "deaths: final data" reports (e.g., NCHS, 2006b); however, these reports generally provide year-  
5    by-year breakdowns beginning in 1975. NCHS life table reports provide annual data back to before 1940.  
6    Life table methodologies used to calculate life expectancies are presented in each of these NCHS reports.

7    **References**

8    NCHS (National Center for Health Statistics). 2006a. United States life tables. National Vital Statistics  
9    Reports 54(14). Table 12. April 19. [http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_14.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_14.pdf)

10   NCHS (National Center for Health Statistics). 2006b. Deaths: final data for 2003. National Vital Statistics  
11   Reports 54(13). Table 8. April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>

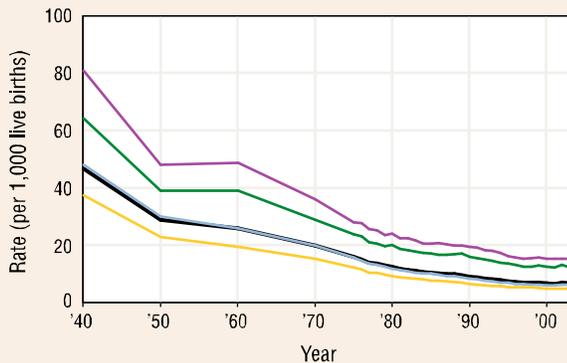
12   NCHS (National Center for Health Statistics). 2005. Health, United States, 2005, with chartbook on  
13   trends in the health of Americans. DHHS Publication No. 2005-1232. Hyattsville, MD.

## 1 INDICATOR: Infant Mortality

2 Infant mortality is a particularly useful measure of health status because it indicates both current health  
3 status of the population and predicts the health of the next generation (NCHS, 2001). Infant mortality in  
4 the United States is defined as the death of an infant from time of live birth to the age of 1 year. It does  
5 not include still births. Overall infant mortality is comprised of neonatal (<28 days after birth) and  
6 postneonatal (28 days to 11 months after birth) deaths.

7 This indicator presents infant mortality for the U.S. based on mortality data from the National Vital  
8 Statistics System (NVSS) based on death certificate data. The NVSS registers virtually all deaths and  
9 births nationwide with data coverage from 1933 to 2003 and from all 50 states and the District of  
10 Columbia.

**Exhibit 5-6. Infant mortality rates in the U.S. by race and sex, 1940-2003<sup>a,b</sup>**



<sup>a</sup>Race was reported based on the race of the child (1940-1979) or the race of the mother (1980-2003).

<sup>b</sup>Annual infant mortality rates are not available prior to 1975 in published sources. Trends presented from 1940-1975 are based on data published for 1940, 1950, 1960, and 1970.

**Data source:** NCHS, 2006

### What the Data Show

In 2001, 2002, and 2003, a total of 27,568; 28,034; and 28,025 deaths, respectively, occurred in infants under 1 year of age. As reported in 2003 Draft ROE, the infant mortality rate in 1999 was 7.1 per 1,000 live births, the lowest ever recorded in the U.S. (Hoyert et al., 2001). This trend continued in 2001 with an infant mortality rate of 6.8 per 1,000 live births. However, data for 2002 and 2003 suggest a slight increase in the infant mortality rate, reported as 7.0 and 6.9 per 1,000 live births, respectively. Exhibit 5-6 presents the national trends in infant mortality between 1940 and 2003 for all infant deaths as well as infant deaths by gender and race (black and white). A striking decline has occurred during this time period with overall infant mortality rates dropping from nearly 50 deaths per 1,000 live births in 1940 to just under 7 deaths per 1,000 live births in 2003. Infant mortality rates were highest among black males and lowest among white females, although this gap has been decreasing over time.

32 The infant mortality rate for blacks decreased from  
33 14.6 per 1,000 live births in 1999 to 14.0 per 1,000 live births in 2003. However, this is still twice the rate  
34 compared to white infants, which ranged from 5.7-5.8 per 1,000 live births between 1999 and 2003.

36 Infant mortality rates among Hispanic infants have changed little since 1999. In 2003, the infant mortality  
37 the same rate as reported in 1999 (NCHS, 2006). (Data not shown.)

38 In the U.S. in 2003, the 10 leading causes of infant mortality accounted for nearly 69 percent of all infant  
39 deaths with the subgroup consisting of congenital anomalies (i.e., congenital malformations,  
40 deformations, and chromosomal abnormalities) having the highest rate at 1.4 per 1,000 live births. This  
category alone accounts for approximately 20 percent of all infant deaths in 2003 (Exhibit 5-7).

1 Congenital anomalies were generally ranked highest among the different racial groups. However, the  
 2 leading cause of infant mortality among blacks was short gestation and low birth weight followed by  
 3 congenital anomalies. There were few differences in the leading causes of infant mortality between  
 4 Hispanics and non-Hispanics. In addition, CDC reports a substantial difference in the leading causes of  
 5 death during the neonatal versus the postneonatal periods. Disorders related to short gestation were the  
 6 leading cause of death for neonates and sudden infant death syndrome (SIDS) was the leading cause of  
 7 death for postneonates (CDC, 2005). (Data not shown.)

**Exhibit 5-7.** Leading causes of infant death in the U.S., 2003<sup>a</sup>

Cause of death	Number of deaths	Percent of all Infant deaths
Congenital malformations, deformations, and chromosomal abnormalities	5,621	20.1
Disorders related to short gestation and low birthweight	4,849	17.3
Sudden infant death syndrome (SIDS)	2,162	7.7
Newborn affected by maternal complications of pregnancy	1,710	6.1
Newborn affected by complications of placenta, cord, and membranes	1,099	3.9
Accidents (unintentional injuries)	945	3.4
Respiratory distress of newborn	831	3.0
Bacterial sepsis of newborn	772	2.8
Neonatal hemorrhage	649	2.3
Circulatory system disease	591	2.1
All other causes	8,796	31.4

<sup>a</sup>“Infant deaths” are those occurring before the age of 1.

**Data source:** CDC Web-Based Injury Statistics Query and Reporting System (WISQARS) database

## 8 Indicator Limitations

- 9
- 10
- 11
- 12
- 13
- 14
- 15
- 16
- 17
- 18
- 19
- 20
- 21
- Cause of death rankings denote the most frequently occurring causes of death among those causes eligible to be ranked. The rankings do not necessarily denote the causes of death of greatest public health importance. Further, rankings of cause-specific mortality could change depending on the defined list of causes that are considered and, more specifically, the types of categories and subcategories that are used for such rankings (NCHS, 2005)
  - Mortality rates are based on underlying cause-of-death as entered on a death certificate by a physician. Incorrect coding and low rates of autopsies that confirm the cause of death may occur. Additionally, some individuals may have had competing causes of death. “When more than one cause or condition is entered by the physician, the underlying cause is determined by the sequence of conditions on the certificate, provisions of the ICD [International Classification of Diseases], and associated selection rules and modifications” (CDC, n.d.). Consequently, some misclassification of reported mortality might occur as a result of these uncertainties, as well as the underreporting of some causes of death.

1    **Data Sources**

2    Infant mortality data were obtained from a published report by CDC’s National Center for Health  
3    Statistics (NCHS, 2006), which provides annual natality data back to 1975 and decadal data for 1940,  
4    1950, 1960, and 1970. Data in the NCHS report are based in part on unpublished work tables, available  
5    on the NCHS web site at <http://www.cdc.gov/nchs/deaths.htm>. Leading cause of infant death data were  
6    extracted from CDC’s Web-Based Injury Statistics Query and Reporting System (WISQARS) (CDC,  
7    2006) (<http://www.cdc.gov/ncipc/wisqars/>). The underlying data in WISQARS come from CDC/NCHS  
8    annual mortality data files.

9    **References**

10    CDC (Centers for Disease Control and Prevention). 2006. National Center for Injury Prevention and  
11    Control: Web-Based Injury Statistics Query and Reporting System (WISQARS) [online]. Leading causes  
12    of death reports, 1999-2003. Accessed 2006. <<http://webapp.cdc.gov/sasweb/ncipc/leadcaus.html>>

13    CDC (Centers for Disease Control and Prevention). 2005. QuickStats: leading causes of neonatal and  
14    postneonatal deaths—United States, 2002. MMWR 54(38):966.  
15    <<http://www.cdc.gov/mmwr/preview/mmwrhtml/mm5438a8.htm>>

16    CDC (Centers for Disease Control and Prevention). n.d. CDC WONDER: Help page for compressed  
17    mortality file. <<http://wonder.cdc.gov/wonder/help/cmfm.html>>

18    NCHS (National Center for Health Statistics). 2006. Deaths: final data 2003. National Vital Statistics  
19    Reports 54(13). April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>

20    NCHS (National Center for Health Statistics). 2005. Deaths: leading causes for 2002. National Vital  
21    Statistics Reports 53(17). <[http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53\\_17.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53_17.pdf)>

22    NCHS (National Center for Health Statistics). 2001. Healthy people 2000 final review. Hyattsville, MD:  
23    Public Health Service. <<http://www.cdc.gov/nchs/data/hp2000/hp2k01-acc.pdf>>

1 **5.2.3 Discussion**

2 ***What These Indicators Say About Trends in U.S. Health Status***

3 ROE indicators used to answer this question show that the overall health of the nation has continued to  
4 improve. The three leading causes of death across all age groups—heart disease, cancer, and stroke—  
5 remain unchanged since 1999. In contrast, a ranking by years of potential life lost, which weighs more  
6 heavily deaths at an earlier age, places unintentional injuries, cancer, and heart disease as the top three  
7 (General Mortality indicator, p. 5-13). Although men and women in many other countries have longer life  
8 expectancies, general mortality rates in the United States continue to decline, and life expectancy  
9 continues a long-term upward trend (Life Expectancy indicator, p. 5-17). See the sidebar on the next page  
10 for an overview of health status in the United States compared to the rest of the world.

11 The decline in the all-cause mortality rate since 1940 has been driven largely by declines in deaths from  
12 heart disease, stroke, and unintentional injuries. These trends have been linked in part to the resources  
13 devoted to health education, public health programs, health research, and health care, and the impact of  
14 these efforts on controlling disease. For example, public campaigns about smoking and the use of  
15 cholesterol-lowering drugs have contributed to a decline in the death rate from heart disease. Efforts to  
16 improve motor vehicle safety as well as safety in homes and workplaces have helped to lower death rates  
17 from unintentional injuries. New medical treatments have resulted in a decline in the death rate from  
18 HIV.<sup>7</sup>

19 Infant mortality (p. 5-19), like the other two indicators, shows a long-term decline, likely due to  
20 widespread application of advances in medical knowledge (such as the introduction of synthetic  
21 surfactant for preterm infants and widespread public education about infant sleep position).<sup>8</sup> However,  
22 infant mortality in the United States remains among the highest in the industrialized world, and in 2002 a  
23 slight increase in rate was reported for the first time since 1958. This rate dropped back slightly in 2003.  
24 This recent rise in infant mortality is attributed to an increase in neonatal deaths (infants less than 28 days  
25 old), particularly deaths of infants within the first week of life.<sup>9</sup>

26

---

<sup>7</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD. p. 3.

<sup>8</sup> National Center for Health Statistics. 2001. Healthy people 2000 final review. Hyattsville, MD: Public Health Service. p. 206. <<http://www.cdc.gov/nchs/data/hp2000/hp2k01-acc.pdf>>

<sup>9</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD. p. 66.

## Worldwide Comparisons in Health Status

The following comparisons are based on the most current statistics for each of the three indicators used to study U.S. health status. The WHO calculates its statistics to ensure comparability across data sets; the statistics may not fully match those generated by individual countries and reported in other reports.

*Life Expectancy.* According to the World Health Organization (WHO), in 2003, the United States ranked 34<sup>th</sup> in terms of life expectancy for males and 35<sup>th</sup> for females of the 192 WHO member states.<sup>1</sup> Japan reports the highest life expectancy (82 years, compared to the U.S life expectancy of 77 years reported by WHO).

*Leading Causes of Death.* The leading causes of death reported in the United States in 2002 were heart disease, cancer, and stroke. Worldwide, cardiovascular diseases accounted for the largest percentage of deaths, followed by infectious and parasitic diseases and cancer.<sup>2</sup>

*Infant mortality.* In 2002, the United States ranked 28<sup>th</sup> among the 37 countries, territories, cities, or geographic areas with at least 1 million population considered to have completed counts of live births and infant deaths as indicated in the United Nations Demographic Yearbook.<sup>3</sup> The U.S. infant mortality rate for the same time period (7.0 per 1,000 live births) was approximately 2-3 times higher than the lowest rates reported worldwide (e.g., in Hong Kong the rate was 2.3, Sweden the rate was 2.8, Singapore the rate was 2.9, and Japan the rate was 3.0, per 1,000 live births).

<sup>1</sup> WHO. 2005. World Health Report. See Statistical Annex Table 1. <http://www.who.int/entity/whr/2005/annex/annex1.xls>

<sup>2</sup> WHO 2005. WHO Statistical Information System (WHOSIS). Estimates of numbers of deaths by sex, cause and WHO mortality sub-region for 2002. [http://www3.who.int/whosis/burden/estimates/2002/2002subregion/dth14\\_2002.zip](http://www3.who.int/whosis/burden/estimates/2002/2002subregion/dth14_2002.zip)

<sup>3</sup>National Center for Health Statistics (NCHS). 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. Hyattsville, Maryland. DHHS Publication No. 2005-1232. Table 25. <http://www.cdc.gov/nchs/data/hus/hus05.pdf>.

- 1
- 2 Despite a generally improving picture of the nation's health, racial and ethnic disparities in health status  
3 persist. For example, though the nation's infant mortality rate has decreased, the infant death rate for  
4 black infants is still more than double that of whites. In 2003, the gap in life expectancy between the  
5 black and white populations is 5.3 years, though this gap has been narrowing.<sup>10</sup> Differences in death rates  
6 also exist between black and white populations. Observed differences are believed to be the result of a  
7 complex interaction of genetic variations, environmental factors, and specific health behaviors.<sup>11</sup>
- 8 Differences also exist between men and women. Based on 2003 data, men have a life expectancy 5.4  
9 years less than that of women and have higher death rates for each of the 10 leading causes of death.

---

<sup>10</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD. pp. 11-12.

<sup>11</sup> U.S. Department of Health and Human Services. 2000. Healthy people 2010: understanding and improving health. Second ed. Washington, DC: U.S. Government Printing Office. <<http://www.health.gov/healthypeople/>>

1 However, women have shown increased death rates over the past decade in areas where men have  
2 experienced improvements, such as lung cancer.<sup>12</sup>

### 3 ***Limitations, Gaps, and Challenges***

4 The indicators are important and widely accepted measures of population health status. However, the  
5 selected indicators cannot be expected to fully answer the question on trends in general U.S. health status.  
6 Limitations and information gaps are highlighted here.

7 The indicators provide a broad measure of health status and include many variables that are not related to  
8 the environment. No conclusions, therefore, can or should be drawn about the role of exposure to  
9 environmental contaminants using these indicators alone. While declining mortality rates and increasing  
10 life expectancy suggest improving health status, these indicators do not address other aspects of health,  
11 such as morbidity, perceived well-being, or quality of life.

12 The use of mortality data presents some limitations, largely related to uncertainties associated with the use  
13 of death certificate data. First, correct coding of the underlying cause of death and confirmation by  
14 autopsy may not occur. Second, uncertainties in intercensal population estimates can affect conclusions  
15 about trends in data sets. In addition, improved data on the health status of population subgroups—  
16 particularly across race and ethnic groups—would allow better characterization of potential trends across  
17 different groups. Accurate identification of health disparities will require improved data collection and the  
18 use of standardized data. For example, problems of race and Hispanic-origin classification can affect  
19 Hispanic death rates and the comparison of rates across the Hispanic and non-Hispanic populations.<sup>13</sup>

20

---

<sup>12</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD. pp. 11-12.

<sup>13</sup> National Center for Health Statistics. 2006. Deaths: final data 2003. National Vital Statistics Reports 54(13). April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>

## 5.3 WHAT ARE THE TRENDS IN HUMAN DISEASE AND CONDITIONS FOR WHICH ENVIRONMENTAL CONTAMINANTS MAY BE A RISK FACTOR, INCLUDING ACROSS POPULATION SUBGROUPS AND GEOGRAPHIC REGIONS?

### 5.3.1 Introduction

As discussed throughout this report, numerous human diseases and conditions have been linked with exposures to environmental contaminants, some more strongly than others. Identifying diseases that might be associated with environmental contaminants, and determining the existing data sources available for them, is a key part of the effort to better characterize links between environmental exposures and adverse health outcomes.

Tracking overall rates of disease in the nation, independent of exposure, enables the evaluation of disease patterns and emerging trends. It may identify diseases, conditions, and possible risk factors that warrant further study or intervention and can help identify where policies or interventions have been successful. Because the United States has a diverse population, an important component of such an analysis is identifying disparities among people of differing races and ethnicities, genders, education and income levels, and geographic locations.

EPA has selected those human diseases and conditions with well-established associations with exposures to environmental contaminants and for which national data are available, recognizing again that in most cases risk factors are multi-factorial. The diseases and conditions addressed in this question are associated with the contaminant sources covered by the questions in the three media chapters (Chapters 2, 3, and 4) of this report. As described in Section 5.1, however, this question is not intended to tie human diseases and conditions to specific changes in the environment being measured at the national level. Covered health outcomes fall into the following five broad categories: cancer, cardiovascular disease, respiratory disease, infectious disease, and birth outcome. The reasons for the inclusion of each are highlighted below.

#### *Cancer*

The term “cancer” refers to diseases in which abnormal cells divide without control, losing their ability to regulate their own growth, control cell division, and communicate with other cells. Cancer is the second leading cause of death in the United States (General Mortality indicator, p. 5-13). More than one in three people will develop cancer and nearly one in four will die of it.<sup>14,15</sup> In response, scientists continue to explore the role that the exposure to environmental contaminants may play, along with other possible risk factors, in the initiation and development of cancer. Some environmental exposures are known risk factors for certain types of cancers. Examples include radon and lung cancer and arsenic and skin cancer. Though many types of cancer may be related to environmental exposures, associations are not always clear because the etiology of cancer is complex and influenced by a wide range of factors. Exposures may include environmental contaminants in air, water, and soil but also result from exposure to sunlight,

---

<sup>14</sup> American Cancer Society. 2005. Cancer facts and figures 2005. Atlanta. <<http://www.cancer.org/downloads/STT/CAFF2005f4PWSecured.pdf>>

<sup>15</sup> National Toxicology Program. 2004. Report on carcinogens. Eleventh ed. U.S. Department of Health and Human Services, Public Health Service. <<http://ntp.niehs.nih.gov/ntp/roc/toc11.html>>

1 workplace exposures, and drugs. Other factors may increase individual cancer risk, such as age, genetics,  
2 existence of infectious diseases, and socioeconomic factors that may affect exposure and susceptibility.

3 Childhood cancers are dissimilar from cancers in adults and are therefore tracked separately. They affect  
4 different anatomic sites and may be of embryonic origin. Though overall cancer incidence rates are  
5 relatively lower in children compared to adults, childhood cancers are the third leading cause of death in  
6 children age 1-19 years.<sup>16</sup> Children may be particularly susceptible to exposures *in utero* or during early  
7 childhood because systems are rapidly developing and affected by evolving hormonal systems.<sup>17</sup> As with  
8 many adult cancers, the causes of childhood cancers are unknown for the most part; environmental  
9 influences may be a factor and have been the subject of extensive research.

## 10 *Cardiovascular Disease*

11 More than one-fourth of the U.S. population lives with a cardiovascular disease, with more than 6 million  
12 hospitalizations each year.<sup>18</sup> Coronary heart disease and stroke, two of the major types of cardiovascular  
13 disease, rank as the first and third leading causes of death, respectively (General Mortality indicator, p. 5-  
14 13), and are leading causes of premature and permanent disabilities. Known risk factors include smoking,  
15 high blood pressure, high blood cholesterol, diabetes, physical inactivity, and poor nutrition. Outdoor air  
16 pollution and environmental tobacco smoke are also known risk factors for cardiovascular disease.  
17 Particulate matter, for example, has been demonstrated to be a likely causal factor in both cardiovascular  
18 disease morbidity and mortality. Environmental tobacco smoke has been shown to be a risk factor for  
19 coronary heart disease morbidity and mortality and may contribute to stroke.<sup>19,20,21</sup>

## 20 *Respiratory Disease*

21 Chronic obstructive pulmonary disease (COPD) and asthma are two prevalent chronic respiratory diseases  
22 in the United States. COPD is a group of diseases characterized by airflow obstruction, resulting in  
23 breathing-related symptoms and encompasses chronic obstructive bronchitis and emphysema.<sup>22,23</sup> COPD

---

<sup>16</sup> National Center for Health Statistics. 2004. Deaths: final data for 2002. National Vital Statistics Reports 53(5).  
<[http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53\\_05.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr53/nvsr53_05.pdf)>

<sup>17</sup> Anderson, L.M., B.A. Diwan, N.T. Fear, and E. Roman. 2000. Critical windows of exposure for children's health:  
cancer in human epidemiological studies and neoplasms in experimental animal models. *Environ. Health. Perspect.*  
108(Suppl 3):573-594.

<sup>18</sup> Centers for Disease Control and Prevention. 2005. Preventing heart disease and stroke. Addressing the nation's  
leading killers—at a glance. Revised August 2005.

<sup>19</sup> National Cancer Institute. 1999. Smoking and tobacco control monograph 10: health effects of exposure to  
environmental tobacco smoke. <[http://cancercontrol.cancer.gov/tcrb/monographs/10/m10\\_complete.pdf](http://cancercontrol.cancer.gov/tcrb/monographs/10/m10_complete.pdf)>

<sup>20</sup> U.S. EPA. 2005. Review of the national ambient air quality. Standards for particulate matter: policy assessment of  
scientific and technical information. OAQPS Staff Paper.

<sup>21</sup> U.S. EPA. 2004. Air quality criteria for particulate matter. Volumes I (EPA/600/P-99/002aF) and II (EPA/600/P-  
99/002bF). National Center for Environmental Assessment—RTP Office, Office of Research and Development.

<sup>22</sup> Mannino, D.M. 2002. COPD epidemiology, prevalence, morbidity and mortality, and disease heterogeneity. *Chest*  
121:121S-126S.

1 is the fourth leading cause of death in the United States and is the leading cause of hospitalization in U.S.  
2 adults, particularly in older adults. It represents a major cause of morbidity, mortality, and disability.<sup>24</sup>  
3 Asthma continues to receive attention in both children and adults. Asthma prevalence increased nearly 74  
4 percent during 1980-1996.<sup>25</sup>

5 Epidemiological and clinical studies have shown that ambient and indoor air pollution are risk factors in  
6 several respiratory health outcomes, including reported symptoms (nose and throat irritation), acute onset  
7 or exacerbation of existing disease (e.g., asthma), and deaths. Environmental contaminants such as dust  
8 mites, pets, mold, and other allergens are considered important triggers for asthma.<sup>26</sup> In addition, the  
9 relationship between environmental tobacco smoke and diseases of the respiratory tract has been studied  
10 extensively in humans and in animals; environmental tobacco smoke has been shown to produce a variety  
11 of upper and lower respiratory tract disorders.<sup>27</sup>

## 12 *Infectious Diseases*

13 Infectious diseases are acute illnesses caused by bacteria, protozoa, fungi, and viruses. Food and water  
14 contaminated with pathogenic microorganisms are the major environmental sources of gastrointestinal  
15 illness. Though well-established systems for reporting food- and waterborne cases exist, data reported  
16 through these largely voluntary programs must be interpreted with caution because many factors can  
17 influence whether an infectious disease is recognized, investigated, and reported. Changes in the number  
18 of cases reported could reflect actual changes or simply changes in surveillance and reporting. In addition,  
19 many milder cases of gastrointestinal illnesses go unreported or are not diagnosed, making it difficult to  
20 estimate the number of people affected every year.

21 The discovery of bacterial contamination of drinking water as the cause of many cases of gastrointestinal  
22 illness represents one of the great public health success stories of the 20<sup>th</sup> century. Waterborne diseases  
23 such as typhoid fever and cholera were major health threats across the United States at the beginning of  
24 the 20<sup>th</sup> century. Deaths due to diarrhea-like illnesses, including typhoid, cholera, and dysentery,  
25 represented the third largest cause of death in the nation at that time. These types of diarrheal deaths  
26 dropped dramatically once scientists identified the bacteria responsible, elucidated how these bacteria  
27 were transmitted to and among humans in contaminated water supplies, and developed effective water  
28 treatment methods to remove pathogens from water supplies.

---

<sup>23</sup> Barnes, P.J. 2000. Chronic obstructive pulmonary disease. Review article. N. Engl. J. Med. 343(4):269-280.

<sup>24</sup> Mannino, D.M., D.M. Homa, L.J. Akinbami, et al. 2002. Chronic obstructive pulmonary disease surveillance—United States, 1971-2000. In: Surveillance Summaries. MMWR 51(SS06):1-16.

<sup>25</sup> Mannino, D.M., D.M. Homa, L.J. Akinbami, et al. 2002. Surveillance for asthma—United States, 1980-1999. In: Surveillance Summaries. MMWR 51(SS-1):1-13.

<sup>26</sup> U.S. Institute of Medicine. 2000. Clearing the air. Asthma and indoor air exposures. Washington, DC: National Academy Press.

<sup>27</sup> State of California. 2005. Proposed identification of environmental tobacco smoke as a toxic air contaminant. Part B: health effects assessment for environmental tobacco smoke. As approved by the Scientific Review Panel on June 24, 2005. California Environmental Protection Agency, Office of Environmental Health Hazard Assessment. <<http://www.arb.ca.gov/regact/ets2006/ets2006.htm>>

1 In addition to being of food- or waterborne origin, infectious disease can be airborne, arthropod-borne  
2 (spread by mosquitoes, ticks, fleas, etc.), or zoonotic (spread by rodents, dogs, cats, and other animals).  
3 Legionellosis can be contracted from naturally-occurring bacteria found in water and spread through  
4 poorly maintained artificial water systems (e.g., air conditioning, ventilation systems). Arthropod-borne  
5 diseases, including Lyme disease, Rocky Mountain Spotted Fever, and West Nile Virus, can be contracted  
6 from certain ticks and mosquitoes that acquire bacteria or viruses by biting an infected mammal or bird.

## 7 *Birth Outcomes*

8 Birth defects are structural anomalies that are present in the fetus at birth, including those resulting from  
9 chromosomal abnormalities. They affect approximately one out of 33 babies born each year in the United  
10 States and remain the leading cause of infant mortality (Infant Mortality indicator, p. 5-19). Serious,  
11 adverse effects on health, development, and functional ability may be experienced by individuals born  
12 with birth defects.<sup>28</sup> Birth defects have been linked with a variety of possible risk factors that can affect  
13 normal growth and development—genetic or chromosomal aberrations, as well as environmental factors  
14 such as exposure to chemicals; exposure to viruses and bacteria; and use of cigarettes, drugs, or alcohol  
15 by the mother. Because the causes of most birth defects are unknown, public concern exists about  
16 possible environmental links to birth defects.

17 Low birthweight delivery and preterm birth are considered important risk factors for infant mortality and  
18 birth defects. Low birthweight infants have a significantly increased risk of infant death, and those who  
19 survive are more likely to experience long-term developmental disabilities.<sup>29</sup> Multiple birth babies have a  
20 low birthweight rate of more than 50 percent, compared to approximately 6 percent among singletons,  
21 among whom the low birth weight rate rose only 1 percent from 1989-1998.<sup>30</sup> To eliminate the effect that  
22 multiple births may have on birth outcomes, this report presents data for singleton births only.

23 Environmental exposures are being investigated for possible associations with birth outcomes such as low  
24 birthweight, preterm births, and infant mortality. Some of the risk factors for low birthweight infants born  
25 at term include maternal smoking, weight at conception, and nutrition and weight gain during  
26 pregnancy.<sup>31</sup> Specific examples of known or suspected environmental contaminant influences on these  
27 birth outcomes include environmental tobacco smoke, air pollution, and lead. Environmental tobacco  
28 smoke is associated with increased risk of low birthweight, preterm delivery, and sudden infant death  
29 syndrome.<sup>32</sup> Associations between air pollution and fetal growth and infant mortality have been

---

<sup>28</sup> Centers for Disease Control and Prevention. 2006. Improved national prevalence estimates for 18 selected major birth defects—United States, 1999-2001. MMWR 54(51&52):1301-1305.

<sup>29</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD. p. 11.

<sup>30</sup> National Center for Health Statistics. 2001. Healthy people 2000 final review. Hyattsville, MD: Public Health Service. p. 208. <<http://www.cdc.gov/nchs/data/hp2000/hp2k01-acc.pdf>>

<sup>31</sup> U.S. Department of Health and Human Services. 2000. Healthy people 2010: understanding and improving health. Second ed. Washington, DC: U.S. Government Printing Office. <<http://www.health.gov/healthypeople/>>

<sup>32</sup> State of California. 2005. Proposed identification of environmental tobacco smoke as a toxic air contaminant. Part B: health effects assessment for environmental tobacco smoke. As approved by the Scientific Review Panel on June 24, 2005. California Environmental Protection Agency, Office of Environmental Health Hazard Assessment. <<http://www.arb.ca.gov/regact/ets2006/ets2006.htm>>

1 documented, but more evidence is needed to establish causal relationships between air pollution and  
2 preterm birth.<sup>33</sup> Several studies also have identified lead as a risk factor for preterm delivery.<sup>34</sup>  
3 Researchers continue to examine possible associations between other contaminants as birth outcome risk  
4 factors, such as pesticides, polycyclic aromatic hydrocarbons, among others.

### 5 **5.3.2 Indicators**

6 EPA has selected indicators of health outcomes for which environmental exposures may be a risk factor  
7 and for which nationally representative data are available. Nine indicators were selected to address the  
8 question (Table 5.3.1)—two for cancer (including the leading sites of cancer in adults and children), one  
9 for cardiovascular disease (including coronary heart disease, stroke, and hypertension), two related to  
10 respiratory disease (including asthma and chronic lung conditions such as bronchitis and emphysema),  
11 one for infectious diseases (comprised of multiple diseases and conditions), and three for birth outcomes.

12 The indicators used to answer this question are drawn from CDC’s vital statistics and surveillance data,  
13 including the *CDC WONDER Mortality Database*, *Summary of Notifiable Diseases*, NCHS’s *National*  
14 *Vital Statistics Reports*, *Summary Health Statistics for the U.S.*, and *National Health Interview Survey*, as  
15 well as the National Cancer Institute’s (NCI’s) *Surveillance, Epidemiology, and End Results (SEER)*  
16 *Database*. The time frames covered generally range back to the 1970s for mortality and incidence data  
17 and to the mid-1990s for prevalence data.

18 In answering this question, both disease morbidity (incidence or prevalence) and mortality (resulting  
19 death) statistics are used. Depending on the health outcome of interest, both measures can provide useful  
20 insights about trends in disease. Both morbidity and mortality statistics are influenced by a number of  
21 factors, however, such as the accuracy of reporting mechanisms, and issues related to access to, quality  
22 of, and advances in medical care. An overall understanding of the disease measures and associated  
23 statistics used to answer this question is important.<sup>35</sup>

---

<sup>33</sup> Sram R.J., B. Binkova, J. Dejmek, and M. Bobak. 2005. Ambient air pollution and pregnancy outcomes: a review of the literature. *Environ. Health. Perspect.* 113(4):375-382.

<sup>34</sup> Agency for Toxic Substances and Disease Registry. 2005. Toxicological profile for lead (update). Draft for public comment. Atlanta, GA: U.S. Department of Health and Human Services, Public Health Service.

<sup>35</sup> Both morbidity and mortality can be measured using occurrences or rates. Occurrences represent frequency counts, while rates enable a comparison across populations. Rates are ratios that calculate the frequency of cases (of disease, condition, outcome) divided by the size of the defined population for a specified time period. Usually some constant (generally a multiplier of the power 10) is applied to convert the rate to a whole number.

Morbidity data are often used to describe the incidence and prevalence of a disease or condition. Both incidence and prevalence are often expressed as a rate per 1,000 persons over a particular time period. *Incidence* refers to the number of new cases of a disease or condition in a population during a specified time period. *Prevalence* refers to the total number of people with a given disease or condition in a population at a specified point in time.

Mortality is generally expressed as a rate and is defined as the proportion of the population who die of a disease or condition during a specified time period. The rate is usually calculated for a calendar year and is often expressed per 100,000 persons.

1 Where possible, breakouts of population subgroups are provided, such as race, ethnicity, age, and gender.  
 2 Subpopulation data are presented to the extent practicable under What the Data Show, within text or  
 3 shown in indicator figures. For cardiovascular and respiratory diseases, mortality statistics are provided  
 4 for each of the 10 EPA Regions. For cancer, data for the most frequently diagnosed cancer sites in adults  
 5 and children, along with overall cancer rates, are used to answer the question.

6 **Table 5.3.1. ROE Indicators of Trends in Human Disease and Conditions for Which Environmental**  
 7 **Contaminants May Be a Risk Factor Including Across Population Subgroups and Geographic**  
 8 **Regions**

NATIONAL INDICATORS	LOCATION
Cancer Incidence	5.3.2 – p. 5-31
Childhood Cancer Incidence	5.3.2 – p. 5-35
Cardiovascular Disease Prevalence and Mortality (N/R)	5.3.2 – p. 5-37
Chronic Obstructive Pulmonary Disease Prevalence and Mortality (N/R)	5.3.2 – p. 5-43
Asthma Prevalence	5.3.2 – p. 5-48
Infectious Diseases Associated with Environmental Exposures or Conditions	5.3.2 – p. 5-53
Birth Defects Rates and Mortality	5.3.2 – p. 5-58
Low Birthweight	5.3.2 – p. 5-62
Preterm Delivery	5.3.2 – p. 5-65

9 N/R = National Indicator displayed at EPA Regional scale

---

Incidence, prevalence, and mortality statistics may be used to compare the rates of disease at two or more points in time, across different populations (ages, gender, racial/ethnic groups), or between different geographic areas. In general, disease incidence, prevalence, and mortality increase with age. For this reason, when comparing different populations, the data must be adjusted to account for the age differences between the populations. The adjusted data, called “age-adjusted rates,” are used where possible in answering this question. Age-adjusted rates are weighted sums of age-specific rates and calculated using standard population factors (In this report, the 2000 U.S. standard population was used). Unadjusted rates are referred to as “crude” rates.

## 1 INDICATOR: Cancer Incidence

2 The term “cancer” is used to characterize diseases in which abnormal cells divide without control. A  
3 cancerous cell loses its ability to regulate its own growth, control cell division, and communicate with  
4 other cells. Cancer cells can invade nearby tissues and can spread through the bloodstream and lymphatic  
5 system to other parts of the body (NCI, n.d.). The risk of developing cancer increases with age and the  
6 environment (as broadly defined), genetic predisposition, certain viruses, and socioeconomic factors may  
7 all play a role in the development and progression of the disease.

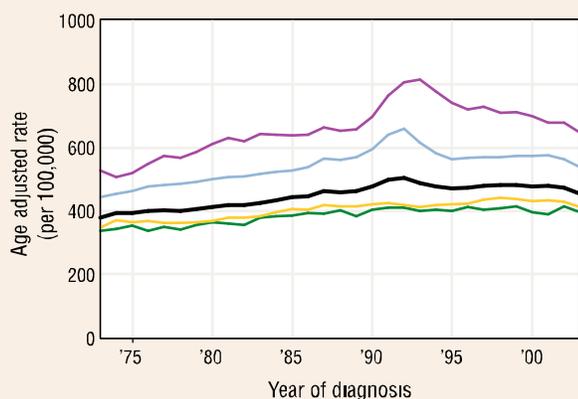
8 For the U.S. population, age-adjusted cancer incidence rates for all sites combined have been stable since  
9 1992 (Edwards et al., 2005). Nevertheless, cancer continues to be the second leading cause of death in the  
10 United States, accounting for about 23 percent of all deaths in 2003 (General Mortality indicator, p. 5-13)  
11 (NCHS, 2006). Many different types of cancer exist. These may develop in various organs and tissues  
12 within the body and contributing causal factors may vary depending on the cancer site and type.  
13 Therefore, tracking rates for individual cancer sites is more meaningful when evaluating cancer trends.

14 The contribution of environmental factors to the development of various cancers has been and continues  
15 to be a major focus of research. Factors including individual food and beverage preferences, use of  
16 tobacco products, exposure to natural and medical radiation (including sunlight), workplace exposures,  
17 and pharmaceutical use as well as exposure to substances in the air, water and soil all may contribute  
18 individually (additive) or synergistically (i.e., an effect greater than the sum of each factor acting alone) to  
19 the development of cancer (NTP, 2004). Only in a small number of cases, however, is it known what  
20 specific environmental factor(s) or condition(s) are responsible for the onset and development of cancers  
21 (NTP, 2004).

22 This indicator presents cancer incidence rates for the U.S. population using data collected through the  
23 National Cancer Institute’s Surveillance, Epidemiology, and End Results (SEER) Program. The SEER

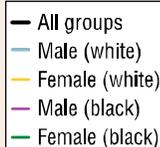
Program collects and publishes cancer incidence and survival data from 14 population-based cancer registries and three supplemental registries covering approximately 26 percent of the U.S. population. The 10 most commonly diagnosed cancer sites presented are based on 2003 data compiled from SEER. Site classifications (e.g., lung and bronchus; colon and rectum) were compared to the American Cancer Society’s “leading sites” classification to ensure consistency in how data are presented (ACS, 2003).

**Exhibit 5-8. Age-adjusted cancer incidence rates in the U.S., 1973-2003: All cancer sites for all ages, by race and sex<sup>a</sup>**



<sup>a</sup>Rates are age adjusted to the 2000 U.S. standard population

**Data source:** NCI, *Surveillance, Epidemiology, and End Results (SEER) Database*

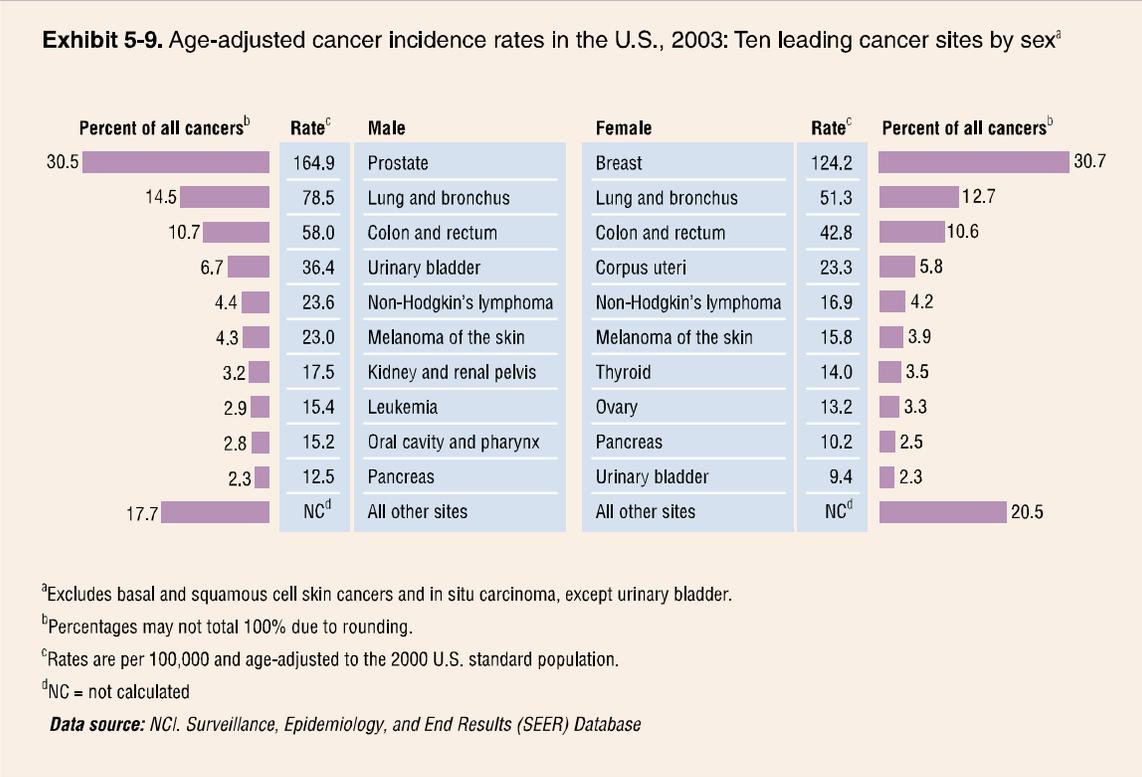


### What the Data Show

Although a slow steady increase in cancer incidence occurred between 1973 and 1992 peaking in 1992 with an age-adjusted cancer incidence of 510 cases per 100,000, overall incidence rates appear to have stabilized over the last ten years (Exhibit 5-8). Some differences exist in incidence rates across age, gender, and racial groups. During 2003, those age 65 and older had the highest incidence rates (2,109.1 cases per 100,000) compared to all other age categories (data not

1 shown). Total (all sites combined) cancer incidence rates are higher for males compared to females and  
 2 for black males compared to white males (Exhibit 5-8). The age-adjusted cancer incidence rate in 2003  
 3 for black males was 650.4 cases per 100,000 compared to 541.3 cases per 100,000 for white males;  
 4 among females, the age-adjusted cancer incidence rate in 2003 was 417.3 cases per 100,000 for white  
 5 females compared to 397.6 cases per 100,000 among black females, showing a slight decrease from 2002.

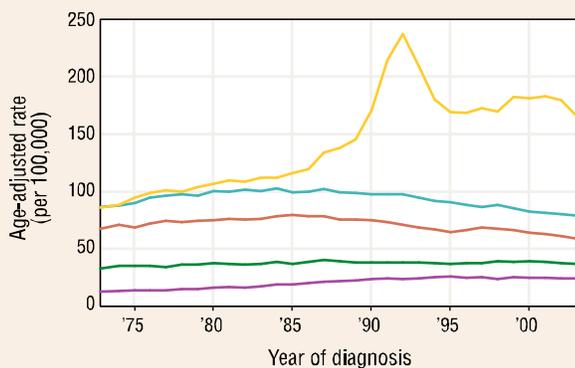
6 Exhibit 5-9 shows the differences between the top ten cancer sites in males and females. For both, the top  
 7 three cancers represent over half of all newly identified cancer cases in 2003. Among the most notable  
 8 differences is the rate of urinary bladder cancer among males (36.4 cases per 100,000), which is more  
 9 than three times that of females (9.4 cases per 100,000). Melanoma of the skin is also higher among males  
 10 (23.0 cases per 100,000) than females (15.8 cases per 100,000). Thyroid cancer appears as the seventh  
 11 leading cancer in females (14.0 cancers per 100,000), but is not among the top ten for males (4.6 cases per  
 12 100,000).



13 Among males, prostate cancer incidence rates increased dramatically between 1986 and the early 1990s,  
 14 with a decline in rates between 1992 and 1995. This increase is likely due to the introduction of serum  
 15 prostate-specific antigen (PSA) testing for the early detection and screening of prostate cancer (Hankey et  
 16 al., 1999). The other four leading cancers (colon and rectum, lung and bronchus, urinary bladder, and  
 17 non-Hodgkin's lymphoma) have either been relatively stable or have showed a small decline over the last  
 18 decade (Exhibit 5-10).

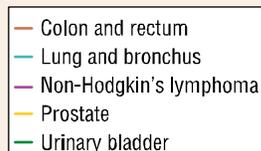
19 Recent trends (i.e., since 1995) among the less prevalent site-specific cancers in males show small  
 20 increases in the incidence rates for melanoma of the skin (melanoma), which ranged from 20.2 (1995) to  
 21 24.1 (2001) cases per 100,000, and cancers of the kidney and renal pelvis (kidney), which ranged from  
 22 15.0 (1997) to 17.6 (2002) cases per 100,000. Slightly decreasing rates were observed for leukemia,

**Exhibit 5-10.** Age-adjusted cancer incidence rates in the U.S., 1973-2003; Top five cancers in males of all ages<sup>a</sup>



<sup>a</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** NCI. Surveillance, Epidemiology, and End Results (SEER) Database

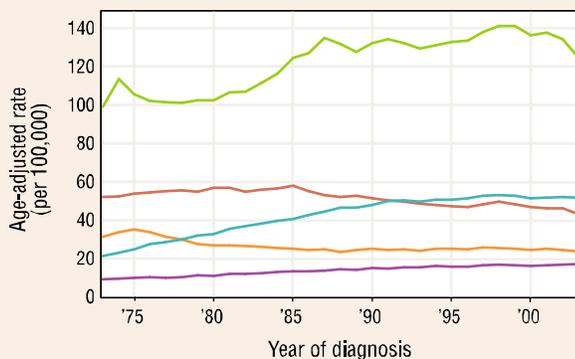


which ranged from 17.5 (1995) to 15.4 (2003) cases per 100,000, and cancers of the oral cavity and pharynx (oral cavity), which ranged from 17.6 (1996) to 15.2 (2003) cases per 100,000. (Data not shown.)

As shown in Exhibit 5-11, among females, breast cancer remains the leading cancer and rates have generally increased for much of the reporting period. While lung cancer among males has slowly declined over the past decade, the rate among women has increased over the last decade and has become the second leading cancer among men and women in 2003. The incidence rate of colon cancer among women slowly increased between 1973 and 1985 and has slowly declined since. The incidence of uterine (corpus uteri) cancer in females was relatively stable since 1986 with a small decrease in more recent years, ranging from 25.4 (1997) to 23.3 (2003) cases per 100,000. The incidence rate of non-Hodgkin's lymphoma has exhibited a slow increase since 1973.

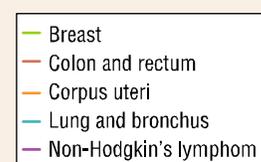
- 20 Recent trends in cancer incidence rates among the less prevalent site-specific cancers in females showed  
 21 increases for melanoma, which ranged from 13.7 (1995) to 16.2 (2001) cases per 100,000 and thyroid  
 22 cancer, which ranged from 8.9 (1995) to 14.0 (2003) cases per 100,000. Incidence rates decreased for  
 23 cancers of the ovary, which ranged from 14.7 (1997) to 13.2 (2003) cases per 100,000. (Data not shown.)

**Exhibit 5-11.** Age-adjusted cancer incidence rates in the U.S., 1973-2003; Top five cancers in females of all ages<sup>a</sup>



<sup>a</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** NCI. Surveillance, Epidemiology, and End Results (SEER) Database



### Indicator Limitations

- SEER data cover approximately 26 percent of the U.S. population, though it is designed to be representative of the entire U.S. population.
- Incidence data generated from SEER are updated annually. There may be changes in the numerator (e.g., revised counts of newly identified cases) or denominator (i.e., revised population counts) numbers that result in small changes in the overall incidence rates for the same year depending on when a query is run within the SEER database. For example, the SEER database queried in 2005 generating incidence rates for the year 2000 may provide different incidence rates than the database queried in 2004 for the same year (i.e., 2000).

1 **Data Sources**

2 Cancer incidence data for this indicator were obtained by querying the National Cancer Institute's (NCI's)  
3 Surveillance, Epidemiology, and End Results (SEER) Program database through the Cancer Query  
4 Systems (CANQUES) web-based interface (NCI, 2006), available at  
5 <http://www.seer.cancer.gov/canques/incidence.html>.

6 **References**

7 ACS (American Cancer Society). 2003. Cancer facts and figures, 2003. Accessed October 19, 2005.  
8 <<http://www.cancer.org/downloads/STT/CAFF2003PWSecured.pdf>>

9 Hankey, B.F., E.J. Feuer, L.X. Clegg, et al. 1999. Cancer surveillance series: interpreting trends in  
10 prostate cancer-part I: evidence of the effects of screening in recent prostate cancer incidence, mortality,  
11 and survival rates. J. Natl. Cancer Inst. 91:1017-1024.  
12 <<http://www.jncicancerspectrum.oxfordjournals.org/cgi/reprint/jnci%3b91/12/1017.pdf>>

13 Edwards, K.E., M.L. Brown, P.A. Wingo, et al. 2005. Annual report to the nation on the status of cancer,  
14 1975-2002, featuring population-based trends in cancer treatment. J. Natl. Cancer Inst. 97(19):1407-1427.  
15 <<http://jncicancerspectrum.oxfordjournals.org/cgi/reprint/jnci:97/19/1407.pdf>>

16 NCHS (National Center for Health Statistics). 2006. Deaths: final data 2003. National Vital Statistics  
17 Reports 54(13). April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>

18 NCI (National Cancer Institute). 2006. Surveillance, Epidemiology, and End Results (SEER) Program  
19 CANQUES database. SEER registry public use, Nov 2005, Sub (1973-2003). National Cancer Institute,  
20 DCCPS, Surveillance Research Program. Released April 2006, based on November 2005 submission.  
21 Accessed September 2006. <<http://www.seer.cancer.gov/canques/incidence.html>>

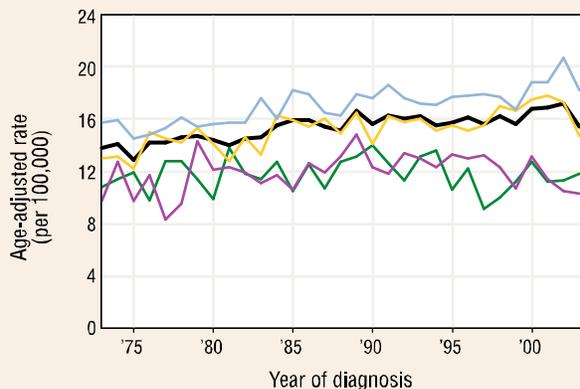
22 NCI (National Cancer Institute). n.d. Dictionary of cancer terms. Accessed October 7, 2004.  
23 <<http://cancer.gov/dictionary/>>

24 NTP (National Toxicology Program). 2004. Report on carcinogens. Eleventh ed. U.S. Department of  
25 Health and Human Services, Public Health Service. Accessed February 2, 2005.  
26 <<http://ntp.niehs.nih.gov/ntp/roc/toc11.html>>

## 1 INDICATOR: Childhood Cancer Incidence

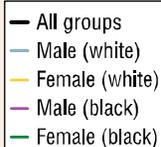
2 The term “cancer” is used to characterize diseases in which abnormal cells divide without control. A  
 3 cancerous cell loses its ability to regulate its own growth, control cell division, and communicate with  
 4 other cells. The cellular changes caused by cancer cells are complex and occur over a period of time. This

**Exhibit 5-12.** Age-adjusted cancer incidence rates in the U.S., 1973-2003: All cancer sites for ages 0-19, by race and sex<sup>a</sup>



<sup>a</sup>Rates are age-adjusted to the 2000 U.S. standard population, age 0-19 years.

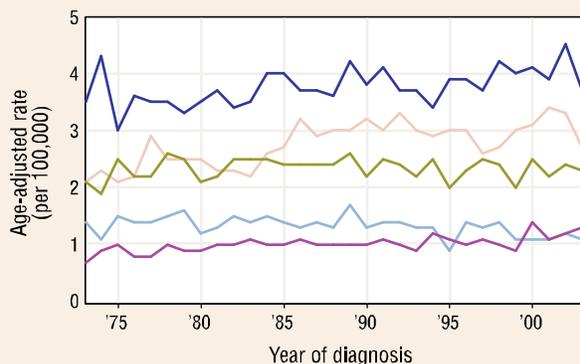
**Data source:** NCI, 2006. *Surveillance, Epidemiology, and End Results (SEER) Database*



may be accelerated in children. The classification of cancers in children differs from the classification used for adult cancers. The International Classification of Childhood Cancer (ICCC) classifies childhood cancer based on tumor morphology rather than, as for adults, the site of the tumor. If left unchecked, cancer cells can invade nearby tissues and can spread through the bloodstream and lymphatic system to other parts of the body (NCI, 2004).

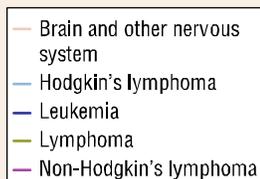
The causes of childhood cancers are largely unknown. Only a small percentage of cases can be explained by a few conditions such as specific chromosomal/genetic abnormalities (e.g., Down syndrome) and ionizing radiation exposure (NCI, 2002). Environmental exposures have long been suspected of increasing the risk of certain childhood cancers. Researchers continue to examine environmental influences on childhood cancer.

**Exhibit 5-13.** Age-adjusted cancer incidence rates in the U.S., 1973-2003: Top five cancers for ages 0-19<sup>a</sup>



<sup>a</sup>Rates are age-adjusted to the 2000 U.S. standard population, age 0-19 years.

**Data source:** NCI, *Surveillance, Epidemiology, and End Results (SEER) Database*



This indicator presents incidence rates for childhood cancers using data collected through the National Cancer Institute’s Surveillance, Epidemiology, and End Results (SEER) Program. The SEER Program collects and publishes cancer incidence and survival data from 14 population-based cancer registries and three supplemental registries covering approximately 26 percent of the U.S. population.

### What the Data Show

In general, overall childhood (ages 0-19 years) cancer incidence for the U.S. has increased slightly between 1973 and 2003 (Exhibit 5-12), increasing over time from an age-adjusted incidence rate of 13.8 per 100,000 in 1973 to a high of 17.2 per 100,000 in 2002. A slightly lower rate (15.4 per 100,000) was reported in 2003. Males generally had higher rates than females, although for some years the reverse was true. Incidence among black females and males

1 age 0-19 years was lower compared to white females and males. In 2003, black females and males age 0-  
2 19 years had overall incidence rates of 11.8 and 10.3 per 100,000, respectively, compared to white  
3 females and males with rates of 14.7 and 18.2 per 100,000 (Exhibit 5-12).

4 Exhibit 5-13 presents the age-adjusted incidence rates for the top five cancers among children 0-19 years  
5 of age between 1973 and 2003. In general, there are no clearly identifiable trends among any of the top  
6 five cancers over the reported time period. Leukemia continues to be the most frequently diagnosed  
7 cancer in children age 0-19 years.

## 8 **Indicator Limitations**

- 9 • Incidence data generated from SEER are updated annually. There may be changes in the  
10 numerator (e.g., revised counts of newly identified cases) or denominator (i.e., revised  
11 population counts) numbers that result in small changes in the overall incidence rates for the  
12 same year depending on when a query is run within the SEER database. For example, the  
13 SEER database queried in 2005 generating incidence rates for the year 2000 may provide  
14 different incidence rates than the database queried in 2004 for the same year (i.e., 2000).

## 15 **Data Sources**

16 Cancer incidence data for this indicator were obtained by querying the National Cancer Institute's (NCI's)  
17 Surveillance, Epidemiology, and End Results (SEER) Program database through the Cancer Query  
18 Systems (CANQUES) web-based interface (NCI, 2006), available at  
19 <http://www.seer.cancer.gov/canques/incidence.html>.

## 20 **References**

- 21 NCI (National Cancer Institute). 2006. Surveillance, Epidemiology, and End Results (SEER) Program  
22 CANQUES database. SEER registry public use, Nov 2005, Sub (1973-2003). National Cancer Institute,  
23 DCCPS, Surveillance Research Program. Released April 2006, based on November 2005 submission.  
24 Accessed 2006. <<http://www.seer.cancer.gov/canques/incidence.html>>
- 25 NCI (National Cancer Institute). 2004. Dictionary of cancer terms. Accessed October 7, 2004.  
26 <<http://cancer.gov/dictionary/>>
- 27 NCI (National Cancer Institute). 2002. Cancer facts: National Cancer Institute research on childhood  
28 cancers. Accessed February 2, 2005. <[http://cis.nci.nih.gov/fact/pdfdraft/6\\_sites/fs6\\_40.pdf](http://cis.nci.nih.gov/fact/pdfdraft/6_sites/fs6_40.pdf)>

## 1 INDICATOR: Cardiovascular Disease Prevalence and Mortality

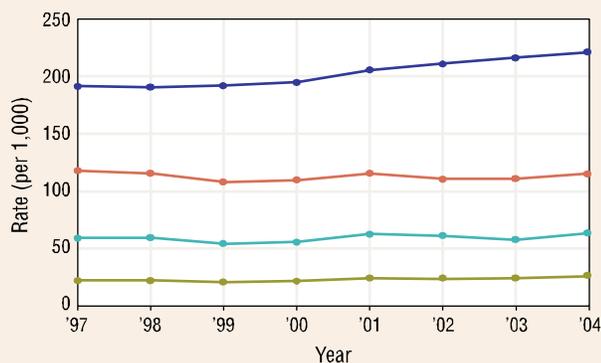
2 The broad category of cardiovascular disease (CVD) includes any disease involving the heart and blood  
3 vessels. Coronary heart disease, cerebrovascular disease (commonly known as stroke), and hypertension  
4 are the major cardiovascular diseases (American Heart Association, 2003). In addition to being a major  
5 risk factor for heart disease and stroke, hypertension is a commonly diagnosed disease that can also lead  
6 to kidney damage and other health problems. Obesity, physical inactivity, and sodium intake are all  
7 important risk factors for hypertension (NIH, 2004). Since 1900, cardiovascular disease has been the  
8 leading cause of death in the United States every year except 1918 (American Heart Association, 2003)  
9 (General Mortality indicator, p. 5-13). The U.S. age-adjusted mortality rate for CVD reached a peak in  
10 1950 (CDC, 1999). Between 1950 and 1999, the age-adjusted mortality rate for CVD declined 60 percent.  
11 The major risk factors for CVD include tobacco use, high blood pressure, high blood cholesterol,  
12 diabetes, physical inactivity, and poor nutrition (CDC, 2004).

13 Environmental factors may also play a role in CVD morbidity and mortality independent of other risk  
14 factors. However, susceptible populations such as the elderly and other high-risk populations may be  
15 most impacted. For example, chronic exposure to ambient airborne particulate matter has been shown in  
16 studies to be associated with increased hospitalizations and mortality among older individuals, largely due  
17 to cardiopulmonary and cardiovascular disease (U.S. EPA, 2004). Environmental tobacco smoke (ETS)  
18 may also contribute to CVD. Although the smoke to which a nonsmoker is exposed is less concentrated  
19 than that inhaled by smokers, research has demonstrated increased cardiovascular-related health risks  
20 associated with ETS (State of California, 2005).

21 This indicator presents U.S. adult (age 18 and older) prevalence rates for heart disease (all types),  
22 coronary heart disease, stroke, and hypertension; and mortality rates for CVD as a whole as well as  
23 coronary heart disease (including myocardial infarction), stroke, and hypertension. CVD prevalence data  
24 were compiled between 1997 and 2004 from the National Center for Health Statistics (NCHS) National

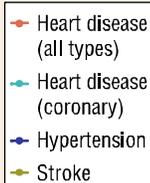
Health Interview Survey (NHIS). NHIS is the principal source of information on the health of the civilian non-institutionalized population of the United States and since 1960 has been one of the major data collection programs of NCHS. CVD prevalence is based on the number of adults who reported that they had ever been told by a doctor or other health practitioner that they had a specified cardiovascular disease. Mortality data (all ages) were compiled between 1979 and 2002 using the National Vital Statistics System (NVSS), maintained by NCHS. The NVSS registers virtually all deaths and births nationwide with data coverage from 1933 to 2003 and from all 50 States and the District of Columbia.

**Exhibit 5-14.** Cardiovascular disease prevalence in U.S. adults (age 18 and older), 1997-2004<sup>a</sup>



<sup>a</sup>Rates presented are crude rates.

**Data source:** CDC/NCHS, National Health Interview Survey



### What the Data Show

#### CVD Prevalence

Among adults 18 years and older, the prevalence of heart disease and stroke between 1997 and 2004 has remained essentially the same (Exhibit 5-14). In

1 contrast, the prevalence of hypertension has shown a slow increase from 191.6 cases per 1,000 in 1999 to  
2 220.7 cases per 1,000 in 2004.

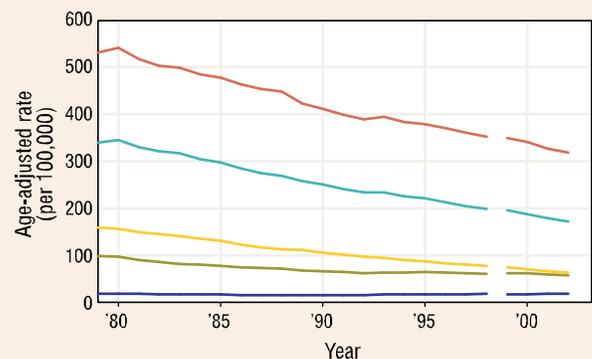
3 Gender, race, and age differences in CVD prevalence exist. The prevalence of coronary heart disease  
4 among males is consistently higher than females (76.6 cases per 1,000 compared with 50.9 cases per  
5 1,000 for women in 2004). In contrast, hypertension is more prevalent among women (228.0 cases per  
6 1,000 for women compared with 212.8 for men in 2004). Among the racial groups reported, American  
7 Indian and Alaska Natives typically had the highest prevalence of coronary heart disease between 1999  
8 and 2003. In 2004, however, whites had the highest prevalence of coronary heart disease (67.5 cases per  
9 1,000) followed by American Indian and Alaska Natives (58.6 cases per 1,000), blacks or African  
10 Americans (44.0 cases per 1,000), and Asians (32.2 cases per 1,000). In 2004, Asians also consistently  
11 had the lowest prevalence of stroke (16.7 cases per 1,000) and hypertension (132.2 cases per 1,000)  
12 among the racial groups reported. In addition, the Hispanic or Latino population had a consistently lower  
13 prevalence of the major CVD-related diseases compared with the non-Hispanic or Latino population from  
14 1999-2004, the period for which these data are available. For example, in 2004, prevalence in Hispanics  
15 or Latinos was lower than non-Hispanics or Latinos for coronary heart disease (38.9 versus 66.8 cases per  
16 1,000, respectively), hypertension (139.3 versus 232.3 cases per 1,000, respectively), and stroke (17.2  
17 versus 26.9 cases per 1,000, respectively).(Data not shown.)

### 18 CVD Mortality

19 In 1998, the national age-adjusted CVD mortality rate  
20 (all types) was 352.0 per 100,000 compared to a rate  
21 of 541.0 per 100,000 in 1980 (Exhibit 5-15). This  
22 decline appears to continue after 1999, with the rate  
23 dropping from 349.3 per 100,000 in 1999 to 317.4 per  
24 100,000 in 2002. Both coronary heart disease and  
25 stroke mortality rates have been declining in the  
26 United States. The age-adjusted coronary heart disease  
27 mortality rate ranged from 345.2 per 100,000 in 1980  
28 to 197.1 per 100,000 in 1998. For stroke mortality the  
29 age-adjusted rate ranged from 97.1 per 100,000 in  
30 1979 to 59.3 per 100,000 in 1998. The age-adjusted  
31 mortality rates for myocardial infarction ranged from  
32 157.9 in 1979 to 76 per 100,000 in 1998. The age-  
33 adjusted mortality rate (2000 U.S. Standard  
34 Population) for coronary heart disease, stroke, and  
35 myocardial infarction in 2002 was 170.9, 56.2, and  
36 62.1 per 100,000, respectively, compared to 194.6,  
37 61.6, and 73.2 per 100,000, respectively, in 1999.  
38 Death rates from hypertension remained essentially the  
39 same between 1999 and 2002.

40 Both coronary heart disease and stroke mortality have been declining over time in the 10 EPA Regions  
41 (Exhibits 5-16 and 5-17). In 1979, coronary heart disease and stroke age-adjusted mortality rates (2000  
42 U.S. Standard Population) ranged from 285.6 (Region 10) to 401.9 (Region 2) per 100,000 and 80.3  
43 (Region 2) to 111.4 (Region 4) per 100,000, respectively. In 1998, coronary heart disease and stroke  
44 mortality rates ranged 145.6 (Region 8) to 233.2 (Region 2) per 100,000 and 43.2 (Region 2) to 68.5 per  
45 (Region 10) 100,000, respectively. The observed decreases in coronary heart disease and stroke mortality  
46 also appear to continue in the 1999-2002 period.

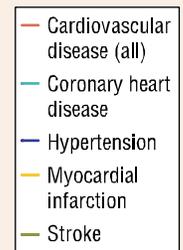
**Exhibit 5-15.** Age-adjusted cardiovascular disease mortality rates in the U.S., 1979-2002<sup>a,b</sup>



<sup>a</sup>Due to differences in the ICD system used for classifying mortality, data from 1979-1998 should not be directly compared to data from 1999-2002 [ICD-9 codes: 390-434, 436-448 (1979-1998); ICD-10 codes: I00-I78 (1999-2002)].

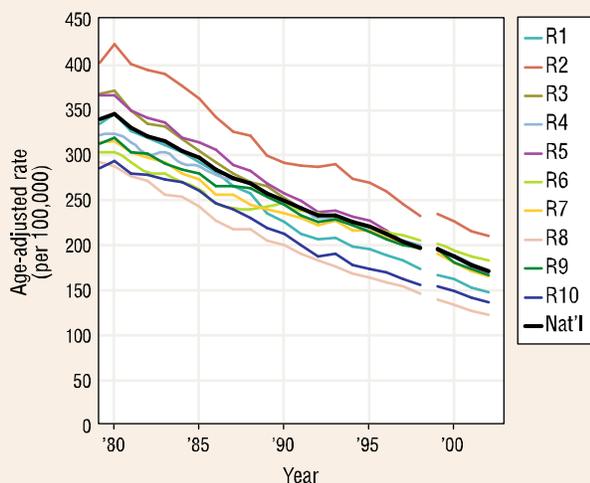
<sup>b</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** CDC WONDER



1 Differences exist in CVD mortality rates among gender, racial and age groups. For example, in 2002,  
 2 those age 65 and older had the highest CVD (all types), coronary heart disease, and stroke mortality  
 3 (2,119.4, 1,135.9, and 393.2 per 100,000, respectively) compared to younger age groups. For the same  
 4 year, the age-adjusted CVD, coronary heart disease, and stroke mortality rates for those 45-64 years of  
 5 age were 185.2, 108.5, and 23.8 per 100,000, respectively. Notable differences in CVD (all types) and,  
 6 specifically, coronary heart disease mortality rates exist between males and females, but not for stroke  
 7 mortality. Coronary heart disease mortality among males in 2002 was 220.4 per 100,000 compared to  
 8 133.6 per 100,000 for women. In 2002, black or African American males had the highest CVD mortality  
 9 rate at 487.5 per 100,000 compared to white males (370.7 per 100,000), black or African American  
 10 females (363.8 per 100,000) and white females (262.8 per 100,000). (Data not shown.)

**Exhibit 5-16. Age-adjusted coronary heart disease mortality rates in the U.S. by EPA Region, 1979-2002<sup>a,b</sup>**



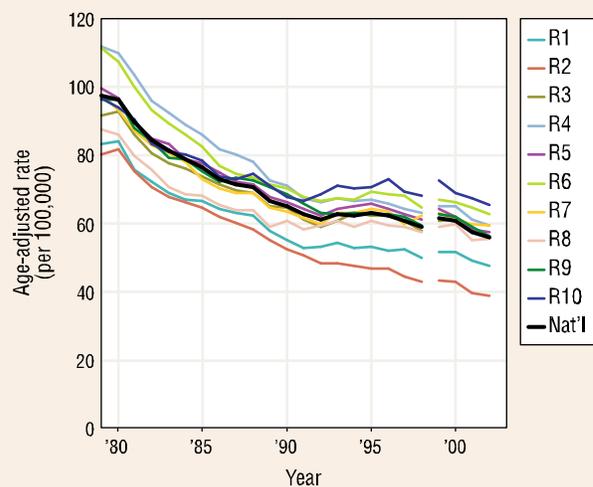
<sup>a</sup>Due to differences in the ICD system used for classifying mortality, data from 1979-1998 should not be directly compared to data from 1999-2002 [ICD-9 codes: 410-414, 429.2 (1979-1998); ICD-10 codes: I20-I25 (1999-2002)].

<sup>b</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** CDC WONDER



**Exhibit 5-17. Age-adjusted stroke mortality rates in the U.S. by EPA Region, 1979-2002<sup>a,b</sup>**



<sup>a</sup>Due to differences in the ICD system used for classifying mortality, data from 1979-1998 should not be directly compared to data from 1999-2002 [ICD-9 codes: 430-434, 436-438 (1979-1998); ICD-10 codes: I60-I69 (1999-2002)].

<sup>b</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** CDC WONDER



## 11 Indicator Limitations

- 12 • Prevalence data reported in the NHIS are based on self-reported responses to specific
- 13 questions pertaining to CVD-related illnesses, and are subject to the biases associated with
- 14 self-reported data. Self-reported data may underestimate the disease prevalence being
- 15 measured if, for whatever reason, the respondent is not fully aware of his/her condition.
- 16 • All prevalence data are based on crude rates and are not age-adjusted, as CDC did not report
- 17 age-adjusted data prior to 2002 in the data sources used for this indicator. Therefore, the

1 reported disease prevalence rates across time or within different race and gender subgroups  
2 may not reflect differences in the age distribution of the populations being compared.

- 3 • For one or more years for which data are presented, coronary heart disease and stroke  
4 prevalence rates presented for Native American and Alaskan Natives have a relative standard  
5 error of greater than 30 percent. In addition, stroke prevalence rates for one or more years for  
6 which data are presented for Asians have a relative standard error of greater than 30 percent.  
7 As such, these rates should be used with caution as they do not meet the standard of  
8 reliability or precision.
- 9 • CVD mortality rates are based on underlying cause-of-death as entered on a death certificate  
10 by a physician. Some individuals may have had competing causes of death. “When more than  
11 one cause or condition is entered by the physician, the underlying cause is determined by the  
12 sequence of conditions on the certificate, provisions of the ICD [International Classification  
13 of Diseases], and associated selection rules and modifications” (CDC, n.d.). Consequently,  
14 some misclassification of reported mortality might occur in individuals with competing  
15 causes of death, as well as the possible underreporting of CVD as the cause of death.
- 16 • CVD mortality rates reported previously for specified years may differ because new age-  
17 adjusted rates reflect the 2000 standard census population whereas earlier age-adjusted rates  
18 are based on different (e.g., 1990) standard population projections.
- 19 • For some of the reported years, if the user selects a CDC WONDER query for the United  
20 States with data grouped by state, or selects a WONDER query for a specific state, CDC  
21 WONDER reports state population figures that do not add up to the national population  
22 reported by CDC WONDER. This is because the two different sets of populations come from  
23 different U.S. Census population estimates. (For all other years, these two sets of population  
24 data are the same.)
- 25 • The International Classification of Diseases 9th Revision (ICD-9) codes were used to specify  
26 underlying cause of death for years 1979-1998. Beginning in 1999, cause of death is specified  
27 with the International Classification of Diseases 10th Revision (ICD-10) codes. The two  
28 revisions differ substantially, and to prevent confusion about the significance of any specific  
29 disease code, data queries are separate.

### 30 **Data Sources**

31 CVD prevalence data were obtained from annual reports published by CDC’s National Center for Health  
32 Statistics (NCHS, 1999-2006), which summarize health statistics compiled from the center’s National  
33 Health Interview Survey (<http://www.cdc.gov/nchs/products/pubs/pubd/series/sr10/ser10.htm>). CVD  
34 mortality statistics were obtained from CDC’s “compressed mortality” database, accessed through CDC  
35 WONDER (CDC, 2006) (<http://wonder.cdc.gov/mortSQL.html>). EPA Regional mortality statistics were  
36 generated by combining and age-adjusting state-by-state totals for each EPA Region using data from  
37 CDC WONDER.

### 38 **References**

- 39 American Heart Association. 2003. Heart disease and stroke statistics—2004 update. Dallas, TX.
- 40 CDC (Centers for Disease Control and Prevention). 2006. CDC Wide-ranging OnLine Data for  
41 Epidemiologic Research (WONDER). Compressed mortality file, underlying cause of death. 1999-2003  
42 (with ICD 10 codes) and 1979-1998 (with ICD 9 codes). Accessed 2006.  
43 <<http://wonder.cdc.gov/mortSQL.html>>

- 1 CDC (Centers for Disease Control and Prevention). 2004. The burden of chronic diseases and their risk  
2 factors—national and state perspectives. Accessed February 2, 2005.  
3 <[http://www.cdc.gov/nccdphp/burdenbook2004/pdf/burden\\_book2004.pdf](http://www.cdc.gov/nccdphp/burdenbook2004/pdf/burden_book2004.pdf)>
- 4 CDC (Centers for Disease Control and Prevention). 1999. Decline in deaths from heart disease and stroke,  
5 United States, 1990-1999. Washington, DC.
- 6 CDC (Centers for Disease Control and Prevention). n.d. CDC WONDER: Help page for compressed  
7 mortality file. <<http://wonder.cdc.gov/wonder/help/mort.html>>
- 8 U.S. EPA. 2004. Air quality criteria for particulate matter. Volumes I (EPA/600/P-99/002aF) and II  
9 (EPA/600/P-99/002bF). National Center for Environmental Assessment—RTP Office, Office of Research  
10 and Development.
- 11 NCHS (National Center for Health Statistics). 2006. Summary health statistics for U.S. adults: National  
12 Health Interview Survey, 2004. Vital Health Stat 10(228).  
13 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_228.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_228.pdf)>
- 14 NCHS (National Center for Health Statistics). 2005. Summary health statistics for U.S. adults: National  
15 Health Interview Survey, 2003. Vital Health Stat 10(225).  
16 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_225.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_225.pdf)>
- 17 NCHS (National Center for Health Statistics). 2004. Summary health statistics for U.S. adults: National  
18 Health Interview Survey, 2002. Vital Health Stat 10(222).  
19 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_222.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_222.pdf)>
- 20 NCHS (National Center for Health Statistics). 2003. Summary health statistics for U.S. adults: National  
21 Health Interview Survey, 2001. Vital Health Stat 10(218).  
22 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_218.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_218.pdf)>
- 23 NCHS (National Center for Health Statistics). 2002. Summary health statistics for U.S. adults: National  
24 Health Interview Survey, 2000. Vital Health Stat 10(215).  
25 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_215.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_215.pdf)>
- 26 NCHS (National Center for Health Statistics). 2001. Summary health statistics for U.S. adults: National  
27 Health Interview Survey, 1999. Vital Health Stat 10(212).  
28 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_212.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_212.pdf)>
- 29 NCHS (National Center for Health Statistics). 2000. Summary health statistics for U.S. adults: National  
30 Health Interview Survey, 1998. Vital Health Stat 10(209).  
31 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_209.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_209.pdf)>
- 32 NCHS (National Center for Health Statistics). 1999. Summary health statistics for U.S. adults: National  
33 Health Interview Survey, 1997. Vital Health Stat 10(205).  
34 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_205.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_205.pdf)>
- 35 NIH (National Institute of Health). 2004. NIH news: the increasing number of adults with high blood  
36 pressure. Accessed September 11, 2005. <<http://www.nhlbi.nih.gov/new/press/04-08-23.htm>>

1 State of California. 2005. Proposed identification of environmental tobacco smoke as a toxic air  
2 contaminant. Part B: health effects assessment for environmental tobacco smoke. As approved by the  
3 Scientific Review Panel on June 24, 2005. California Environmental Protection Agency, Office of  
4 Environmental Health Hazard Assessment. <<http://www.arb.ca.gov/regact/ets2006/ets2006.htm>>

## INDICATOR: Chronic Obstructive Pulmonary Disease Prevalence and Mortality

Chronic obstructive pulmonary disease (COPD), sometimes referred to as chronic lung disease, is a disease that damages lung tissue or restricts airflow through the bronchioles and bronchi (NHLBI, 2003). Chronic bronchitis and emphysema are the most frequently occurring COPDs. Smoking is the most common cause of COPD, including cigarette, pipe, and cigars (NHLBI, 2003). Other factors involved in the development and progression of COPD include, asthma, heavy exposure to air pollutants in the ambient air and workplace environment, genetic factors, and respiratory infections (CDC, 2005; American Lung Association, 2004).

Environmental tobacco smoke (ETS) may also increase the risk of developing COPD. The effect of chronic ETS exposure alone on pulmonary function in otherwise healthy adults is likely to be small. However, in combination with other exposures (e.g., prior smoking history, exposure to occupational irritants or ambient air pollutants), ETS exposure could contribute to chronic respiratory impairment. Children are especially sensitive to the respiratory effects of ETS exposure (State of California, 2005).

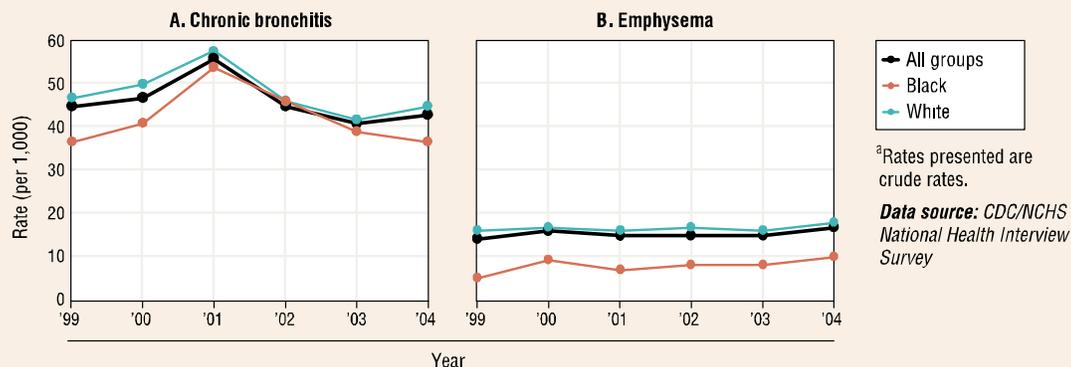
This indicator presents U.S. adult (age 18 and older) prevalence rates for chronic bronchitis and emphysema and mortality rates for COPD as a whole and for chronic bronchitis and emphysema. COPD prevalence data were compiled from 1997 to 2004 from the National Center for Health Statistic's (NCHS) National Health Interview Survey (NHIS). NHIS is the principal source of information on the health of the civilian non-institutionalized population of the United States and since 1960 has been one of the major data collection programs of NCHS. COPD prevalence is based on the number of adults who reported that they had ever been told by a doctor or other health practitioner that they had a specified chronic bronchitis or emphysema. Mortality data (all ages) were compiled between 1979 and 2002 using the National Vital Statistics System (NVSS), maintained by NCHS. The NVSS registers virtually all deaths and births nationwide with data coverage from 1933 to 2003 and from all 50 States and the District of Columbia.

### What the Data Show

#### COPD Prevalence

Exhibit 5-18 presents the prevalence of chronic bronchitis (Panel A) and emphysema (Panel B) from 1999 to 2004. The reported total prevalence of chronic bronchitis in U.S. adults over the age of 18 years ranged from a low of 40 (2003) to a high of 55 (2001) cases per 1,000. The reported total prevalence of

**Exhibit 5-18.** Chronic bronchitis and emphysema prevalence in U.S. adults (age 18 and older) by race, 1999-2004<sup>a</sup>

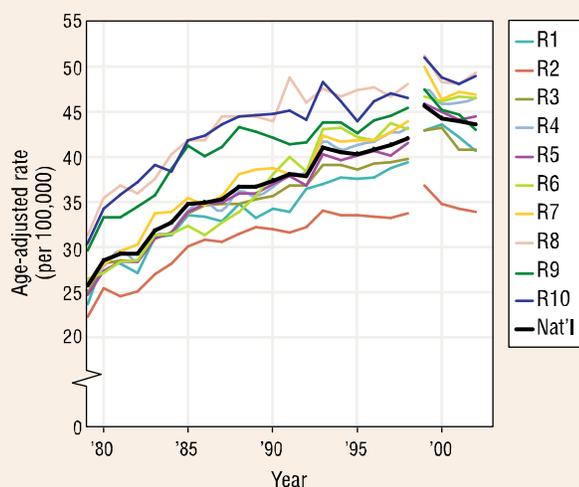


1 emphysema in U.S. adults during the same time period ranged from 14 (1999) to 17 (1997) cases per  
 2 1,000. A small increase in prevalence of chronic bronchitis can be seen from 1999 to 2001, with an  
 3 overall decline from 2002 to 2004. The reported total prevalence of emphysema in U.S. adults during the  
 4 same time period ranged from 14 (1999) to 17 (2004) cases per 1,000. No notable change in the  
 5 prevalence for emphysema was evident during this time period. Exhibit 5-18 also displays chronic  
 6 bronchitis and emphysema prevalence by race. Chronic bronchitis prevalence was higher among white  
 7 (designated as “white only”) adults compared to black (“black or African American only”) adults during  
 8 1999 (46 versus 36 cases per 1,000, respectively) and 2000 (49 versus 40 cases per 1,000, respectively).  
 9 The same racial difference in prevalence exists for emphysema, but the difference remains consistent  
 10 throughout the entire time period.

11 In addition, the Hispanic or Latino population had a consistently lower prevalence of chronic bronchitis  
 12 and emphysema diseases compared with the non-Hispanic or Latino population from 1999-2004, the  
 13 period for which these data are available. For example, in 2004, prevalence in Hispanics or Latinos was  
 14 lower than non-Hispanics or Latinos for chronic bronchitis (44 compared to 25 cases per 1,000,  
 15 respectively) and emphysema (18 compared to 6 cases per 1,000, respectively). (Data not shown.)

16 Gender differences are also seen. In 2004, females had about twice the reported prevalence of chronic  
 17 bronchitis than males (56 versus 27 cases per 1,000 respectively), a consistently observed difference  
 18 between 1997 and 2004. Unlike with chronic bronchitis, the prevalence rates for emphysema have been  
 19 consistently higher in males than in females. (Data not shown).

**Exhibit 5-19.** Chronic obstructive pulmonary disease mortality rates in the U.S. by EPA Region, 1979-2002<sup>a,b</sup>



<sup>a</sup>Due to differences in the ICD system used for classifying mortality, data from 1979-1998 should not be directly compared to data from 1999-2002 [ICD-9 codes: 490-494, 496 (1979-1998); ICD-10 codes: J40-J47 (1999-2002)].

<sup>b</sup>Rates are age-adjusted to the 2000 U.S. standard population.

**Data source:** CDC WONDER



### COPD Mortality

In 2003, COPD continues to be the fourth leading cause of mortality accounting for 126,382 deaths (General Mortality indicator, p.5-13). The age-adjusted mortality rate for COPD as a whole has increased over time, with rates ranging from 25.5 per 100,000 in 1979 to 41.8 per 100,000 in 1998. From 1999-2002, rates held steadier, ranging from 45.4 per 100,000 in 1999 to 43.5 per 100,000 in 2002. Mortality rates for emphysema (1.9 and 1.1 per 100,000 1979 and 1998, respectively) and chronic bronchitis (6.9 and 6.5 per 100,000 1979 and 1998, respectively) and chronic bronchitis (6.5 and 5.1 per 100,000 1999 and 2003, respectively) have not changed substantially during this same time period (data not shown).

Exhibit 5-19 presents the overall COPD mortality rates in the U.S. and the 10 EPA Regions for the time periods 1979-1998 and 1999-2002. The age-adjusted COPD mortality rates have been increasing in each of the 10 Regions from 1979 to 1998. The rates ranged from 22.2 (Region 2) to 31.2 (Region 8) per 100,000 in 1979 and 33.5 (Region 2) to 47.9 (Region 8) per 100,000 in 1998.

1 COPD age-adjusted mortality rates have slowly been declining for males over time with rates of 58.7,  
2 55.8, 54.0, and 53.5 per 100,000 in 1999, 2000, 2001, and 2002, respectively. For females, the rates are  
3 lower than males and have been stable over the above four years (37.7, 37.4, 37.6, and 37.4 per 100,000  
4 in 1999, 2000, 2001, and 2002, respectively). The COPD age-adjusted mortality rate is higher among  
5 whites (45.4 per 100,000 in 2002) compared to blacks or African Americans (31.2 per 100,000 in 2002).  
6 COPD mortality rate increases with age with the 2002 rate of 0.4, 1.2, 21.9, and 300.6 per 100,000 for  
7 those age 0-14 years, 15-44 years, 45-64 years and 65 years and older, respectively.

## 8 **Indicator Limitations**

- 9 • Prevalence data presented in the NHIS are based on self-reported responses to specific  
10 questions pertaining to COPD-related illnesses, and are subject to the biases associated with  
11 self-reported data. Self-reported data may underestimate the disease prevalence being  
12 measured if, for whatever reason, the respondent is not fully aware of his/her condition.
- 13 • All prevalence data are based on crude rates and are not age-adjusted, as CDC did not report  
14 age-adjusted data prior to 2002 in the data sources used for this indicator. Therefore, the  
15 reported disease prevalence rates across time or within different race and gender subgroups  
16 may not reflect differences in the age distribution of the populations being compared.
- 17 • COPD mortality rates are based on underlying cause-of-death as entered on a death certificate  
18 by a physician. Some individuals may have had competing causes of death. “When more than  
19 one cause or condition is entered by the physician, the underlying cause is determined by the  
20 sequence of conditions on the certificate, provisions of the ICD [International Classification  
21 of Diseases], and associated selection rules and modifications” (CDC, n.d.). Consequently,  
22 some misclassification of reported mortality might occur in individuals with competing  
23 causes of death, as well as the possible underreporting of COPD as the cause of death.
- 24 • For some of the reported years, if the user selects a CDC WONDER query for the United  
25 States with data grouped by state, or selects a WONDER query for a specific state, CDC  
26 WONDER reports state population figures that do not add up to the national population  
27 reported by CDC WONDER. This is because the two different sets of populations come from  
28 different U.S. Census population estimates. (For all other years, these two sets of population  
29 data are the same.)
- 30 • The International Classification of Diseases 9th Revision (ICD-9) codes were used to specify  
31 underlying cause of death for years 1979-1998. Beginning in 1999, cause of death is specified  
32 with the International Classification of Diseases 10th Revision (ICD-10) codes. The two  
33 revisions differ substantially, and to prevent confusion about the significance of any specific  
34 disease code, data queries are separate.

## 35 **Data Sources**

36 COPD prevalence data were obtained from annual reports published by CDC’s National Center for Health  
37 Statistics (NCHS, 1997-2006), which summarize health statistics compiled from the center’s National  
38 Health Interview Survey (<http://www.cdc.gov/nchs/products/pubs/pubd/series/sr10/ser10.htm>). Mortality  
39 statistics were obtained from CDC’s “compressed mortality” database, accessed through CDC WONDER  
40 (CDC, 2006) (<http://wonder.cdc.gov/mortSQL.html>). EPA Regional mortality statistics were generated by  
41 combining and age-adjusting state-by-state totals for each EPA Region using data from CDC WONDER.

1   **References**

2   American Lung Association. 2004. Chronic obstructive pulmonary disease (COPD) fact sheet. Accessed  
3   February 7, 2005. <<http://www.lungusa.org/site/pp.asp?c=dvLUK9O0E&b=35020>>

4   CDC (Centers for Disease Control and Prevention). 2006. CDC Wide-ranging OnLine Data for  
5   Epidemiologic Research (WONDER). Compressed mortality file, underlying cause of death. 1999-2003  
6   (with ICD 10 codes) and 1979-1998 (with ICD 9 codes). Accessed 2006.  
7   <<http://wonder.cdc.gov/mortSQL.html>>

8   CDC (Centers for Disease Control and Prevention). 2005. Facts about chronic obstructive pulmonary  
9   disease (COPD). Accessed February 7, 2005. <<http://www.cdc.gov/nceh/airpollution/copd/copdfaq.htm>>

10   CDC (Centers for Disease Control and Prevention). n.d. CDC WONDER: Help page for compressed  
11   mortality file. <<http://wonder.cdc.gov/wonder/help/mort.html>>

12   NCHS (National Center for Health Statistics). 2006. Summary health statistics for U.S. adults: National  
13   Health Interview Survey, 2004. Vital Health Stat 10(228).  
14   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_228.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_228.pdf)>

15   NCHS (National Center for Health Statistics). 2005. Summary health statistics for U.S. adults: National  
16   Health Interview Survey, 2003. Vital Health Stat 10(225).  
17   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_225.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_225.pdf)>

18   NCHS (National Center for Health Statistics). 2004. Summary health statistics for U.S. adults: National  
19   Health Interview Survey, 2002. Vital Health Stat 10(222).  
20   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_222.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_222.pdf)>

21   NCHS (National Center for Health Statistics). 2003. Summary health statistics for U.S. adults: National  
22   Health Interview Survey, 2001. Vital Health Stat 10(218).  
23   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_218.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_218.pdf)>

24   NCHS (National Center for Health Statistics). 2002. Summary health statistics for U.S. adults: National  
25   Health Interview Survey, 2000. Vital Health Stat 10(215).  
26   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_215.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_215.pdf)>

27   NCHS (National Center for Health Statistics). 2001. Summary health statistics for U.S. adults: National  
28   Health Interview Survey, 1999. Vital Health Stat 10(212).  
29   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_212.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_212.pdf)>

30   NCHS (National Center for Health Statistics). 2000. Summary health statistics for U.S. adults: National  
31   Health Interview Survey, 1998. Vital Health Stat 10(209).  
32   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_209.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_209.pdf)>

33   NCHS (National Center for Health Statistics). 1999. Summary health statistics for U.S. adults: National  
34   Health Interview Survey, 1997. Vital Health Stat 10(205).  
35   <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_205.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_205.pdf)>

- 1 NHLBI (National Heart, Lung, and Blood Institute). 2003. Chronic obstructive pulmonary disease fact
- 2 sheet. NIH publication No. 03-5229. Bethesda, MD: U.S. Department of Health and Human Services.
- 3 Accessed October 29, 2004. <[http://www.nhlbi.nih.gov/health/public/lung/other/copd\\_fact.pdf](http://www.nhlbi.nih.gov/health/public/lung/other/copd_fact.pdf)>
  
- 4 State of California. 2005. Proposed identification of environmental tobacco smoke as a toxic air
- 5 contaminant. Part B: health effects assessment for environmental tobacco smoke. As approved by the
- 6 Scientific Review Panel on June 24, 2005. California Environmental Protection Agency., Office of
- 7 Environmental Health Hazard Assessment. <<http://www.arb.ca.gov/regact/ets2006/ets2006.htm>>

## 1 INDICATOR: Asthma Prevalence

2 Asthma is a chronic respiratory disease characterized by inflammation of the airways and lungs. During  
3 an asthma attack, the airways that carry air to the lungs are constricted, and as a result, less air is able to  
4 flow in and out of the lungs (NHLBI, 2004). Asthma attacks can cause a multitude of symptoms ranging  
5 in severity from mild to life-threatening. These symptoms include wheezing, breathlessness, chest  
6 tightness, and coughing (NHLBI, 2004). Currently, there is no cure for asthma; however, people who  
7 have asthma can still lead quality, productive lives if they control their asthma. Taking medication and  
8 avoiding contact with environmental “triggers” can control asthma.

9 A family history of asthma contributes to susceptibility, but mostly, it is unknown what causes the  
10 development of asthma. Environmental exposures such as environmental tobacco smoke, dust mites,  
11 cockroach allergen, outdoor air pollution, pets, and mold are considered important triggers of an asthma  
12 attack (CDC, 2003, 2004).

13 Statistics for lifetime diagnosis prevalence, current asthma prevalence, and asthma attack prevalence are  
14 based on national estimates from the National Health Interview Survey (NHIS). NHIS is the principal  
15 source of information on the health of the civilian non-institutionalized population of the United States  
16 and since 1960 has been one of the major data collection programs of NCHS. For this indicator, lifetime  
17 asthma diagnosis is defined as the number of adults/children who reported that they had ever been told by  
18 a doctor or other health practitioner that they had asthma. To determine current asthma prevalence,  
19 adults/children who had been told that they had asthma were asked whether they still have asthma.  
20 Asthma attack prevalence is based on the number of adults/children who reported an asthma episode or  
21 attack in the past 12 months.

### 22 What the Data Show

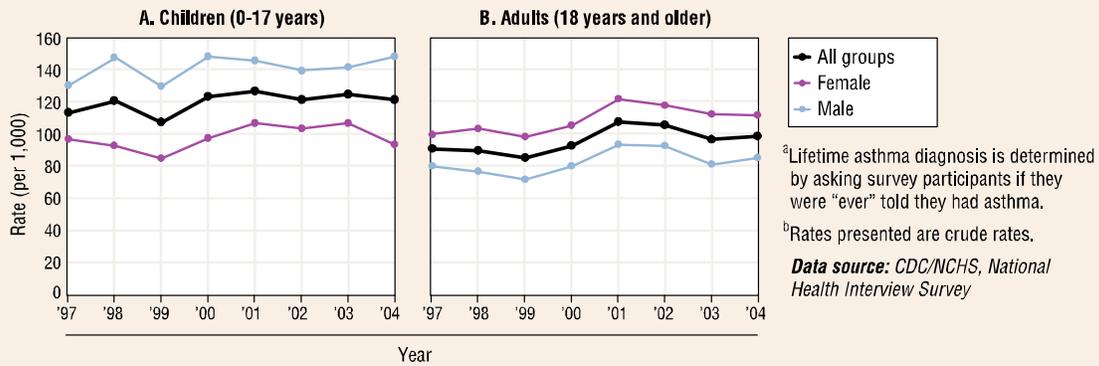
23 From 2002 to 2004, approximately 7 percent of the U.S. population reported that they currently have  
24 asthma (NCHS, 2006a). Reported asthma rates are highest in the child and adolescent population.

#### 25 *Adult Asthma*

26 In adults, asthma prevalence rates (i.e., lifetime diagnosis) generally increased from 1997 to 2001 (Exhibit  
27 5-20, panel B). The prevalence rates range from a low of 85 cases per 1,000 in 1999 to a high of 109  
28 cases per 1,000 in 2001. Asthma was consistently higher among adult females than males, with a range of  
29 98 (1999) and 123 (2001) cases per 1,000 in females and 71 (1999) and 94 (2001) cases per 1,000 in  
30 males. The asthma prevalence rate also consistently decreases in older populations. In 2004, the asthma  
31 prevalence rate was 99 (ages 18-44 years), 100 (ages 45-64), 103 (ages 65-74 years), and 73 (ages 75+  
32 years) cases per 1,000 (data not shown).

33 Exhibit 5-21 compares asthma rates across racial and ethnic groups for the 2002-2004 time period. As  
34 shown in Panel A, the lifetime asthma diagnosis in adults was highest among American Indian/Alaska  
35 Natives (142 cases per 1,000), followed by black or African Americans (112 cases per 1,000), whites (100  
36 cases per 1,000), and lowest among Asians (72 cases per 1,000). This same general pattern is seen for  
37 current asthma and asthma attack prevalence. Panel B shows that Hispanics or Latinos had lower rates  
38 across all three asthma prevalence categories compared to non-Hispanic whites and non-Hispanic blacks.  
39 For lifetime asthma diagnosis, 76 cases per 1,000 were reported in Hispanics or Latinos, 105 cases per  
40 1,000 in non-Hispanic whites, and 111 cases per 1,000 in non-Hispanic blacks.

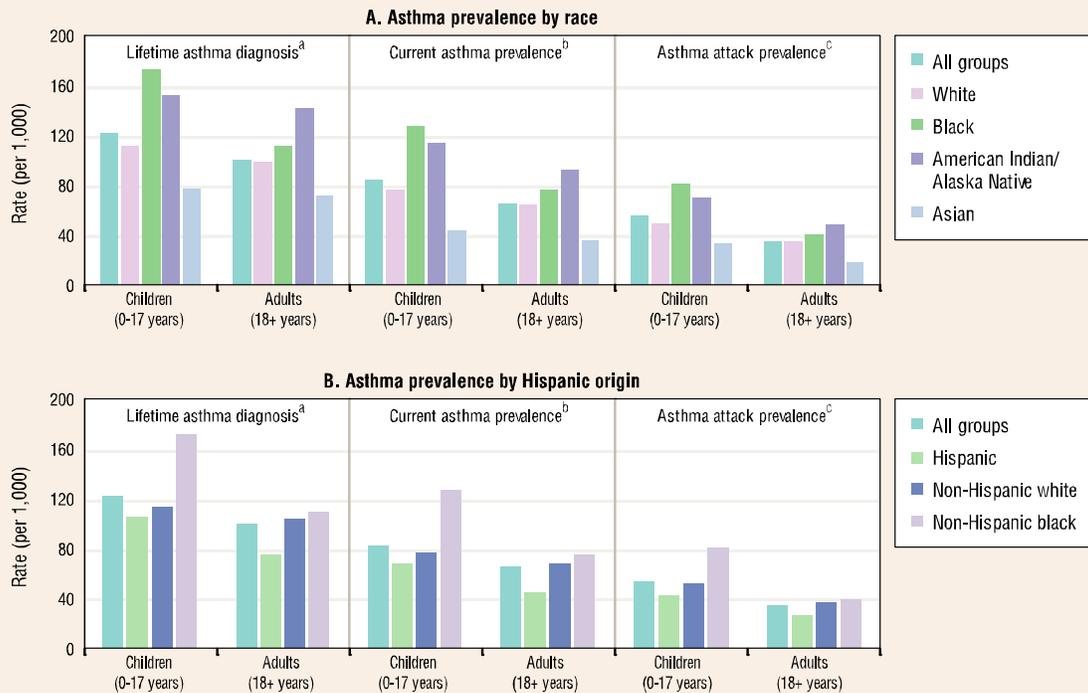
**Exhibit 5-20.** Estimated lifetime asthma diagnosis prevalence in children and adults in the U.S., 1997-2004<sup>a,b</sup>



1

2

**Exhibit 5-21.** Asthma prevalence in the U.S. by race and Hispanic origin, 2002-2004



<sup>a</sup>Lifetime asthma diagnosis is determined by asking survey participants if they were "ever" told that they had asthma.  
<sup>b</sup>Current asthma prevalence is determined by asking if the survey participant still has asthma.  
<sup>c</sup>Asthma attack prevalence is determined by asking if the survey participant has had an asthma attack within the past 12 months.  
**Data source:** CDC/NHIS, National Health Interview Survey

1 *Childhood Asthma*

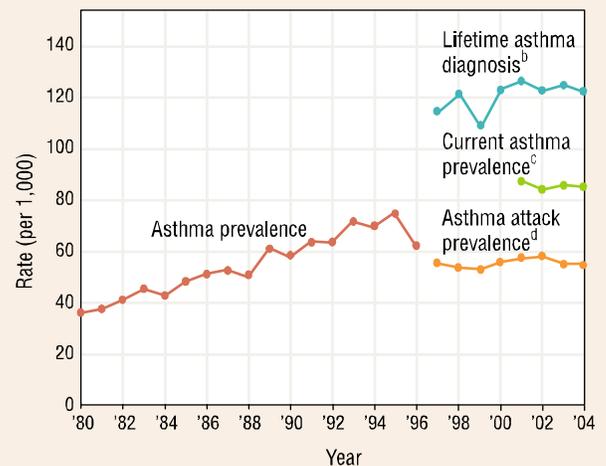
2 In 2004, approximately nine million children within  
3 the United States (age 0-17 years) were reported as  
4 ever having a diagnosis of asthma and nearly 4 million  
5 reported experiencing an asthma episode or attack  
6 during the previous 12 months. As shown in Exhibit 5-  
7 22, asthma prevalence rates increased approximately 4  
8 percent per year between 1980 and 1996. Rates in  
9 subsequent years (1997-2004), reported in three  
10 categories, show no sharp upward or downward  
11 change. Lifetime asthma diagnosis rates range from a  
12 low of 108 cases per 1,000 in 1999 to a high of 127  
13 cases per 1,000 in 2001. Since tracking began in 2001,  
14 current asthma prevalence has ranged from  
15 approximately 83.4 cases per 1,000 (2002) to 87 cases  
16 per 1,000 (2001). Asthma attack prevalence rates show  
17 a slight increase from 52.7 per 1,000 in 1999 to 57.7  
18 cases per 1,000 in 2002; however, a slight decrease  
19 (54.6 and 54.4 cases per 1,000) was observed for 2003  
20 and 2004. Male children consistently had higher rates  
21 of asthma prevalence than female children (Exhibit 5-  
22 20, panel A).

23 The overall pattern of asthma prevalence across races  
24 in children during 2002-2004 is similar to that seen in  
25 adults (Exhibit 5-21). One notable exception is that  
26 asthma prevalence in black or African American  
27 children was higher than asthma prevalence in  
28 American Indian/Alaska Native children, the reverse of what was observed in the adult population. For  
29 example, reported lifetime asthma diagnosis was highest among black or African American children (173  
30 cases per 1,000), followed by American Indian/Alaska Natives (153 cases per 1,000), whites (112 cases  
31 per 1,000), and lowest among Asians (78 cases per 1,000). Hispanic children had a lower asthma  
32 prevalence rates for all three categories compared to non-Hispanic white and non-Hispanic black children.

33 **Indicator Limitations**

- 34
- 35 • The National Health Interview Survey (NHIS) questionnaire underwent major changes in  
36 1997, and the data presented focus on surveys conducted from 1997 to the most currently  
37 available release (2004). The redesigned NHIS is different in content, format, and mode of  
38 data collection from earlier versions of the survey. Due to changes in methodology,  
comparisons between 1997–2004 NHIS estimates and pre-1997 NHIS data may not be valid.
  - 39 • Prevalence data reported in the NHIS are based on self-reported responses to specific  
40 questions pertaining to airway-related illnesses, and are subject to the biases associated with  
41 self-reported data. Self-reported data may underestimate the disease prevalence being  
42 measured if, for whatever reason, the respondent is not fully aware of his/her condition.

**Exhibit 5-22.** Asthma prevalence in U.S. children (0-17 years), 1980-2004<sup>a</sup>



<sup>a</sup>Due to changes in NHIS questions in 1997, asthma prevalence data collected from 1980-1996 are not directly comparable to the data collected from 1997-2004.

<sup>b</sup>Lifetime asthma diagnosis is determined by asking survey participants if they were “ever” told their child has asthma.

<sup>c</sup>Current asthma prevalence is determined by asking if the child still has asthma.

<sup>d</sup>Asthma attack prevalence is determined by asking if the child has had an asthma attack within the past 12 months.

**Data source:** Adapted from Akinbami and Schoendorf, 2002. Data from CDC/NCHS National Health Interview Survey (NHIS).

1     **Data Sources**

2     Asthma prevalence data were obtained from annual reports published by CDC’s National Center for  
3     Health Statistics (NCHS, 1999; 2000; 2001; 2002a,b; 2003a,b,c,d; 2004a,b; 2005a,b; 2006a,b,c), which  
4     summarize health statistics compiled from the center’s National Health Interview Survey (NHIS)  
5     (<http://www.cdc.gov/nchs/products/pubs/pubd/series/sr10/ser10.htm>). Race and ethnicity data were  
6     obtained from CDC’s online “Health Data for All Ages” (NCHS, 2006a)  
7     ([http://www.cdc.gov/nchs/health\\_data\\_for\\_all\\_ages.htm](http://www.cdc.gov/nchs/health_data_for_all_ages.htm)). The data used by CDC to create the asthma  
8     tables in Health Data for All Ages originate from the NHIS. The pre-1997 data also originate from NHIS,  
9     as compiled by NCHS in Akinbami and Schoendorf (2002).

10    **References**

11    Akinbami and Schoendorf. 2002. Trends in childhood asthma: prevalence, health care utilization, and  
12    mortality. *Pediatrics*. 110:2 (Pt 1):315-22

13    CDC (Centers for Disease Control and Prevention). 2003. Basic facts about asthma. Accessed February 3,  
14    2005. <<http://www.cdc.gov/asthma/faqs.htm>>

15    CDC (Center for Disease Control and Prevention). 2004. Asthma’s impact on children and adolescents.  
16    Accessed November 22, 2004. <<http://www.cdc.gov/asthma/children.htm>>

17    NCHS (National Center for Health Statistics). 2006a. Health data for all ages. Accessed 2006.  
18    <[http://www.cdc.gov/nchs/health\\_data\\_for\\_all\\_ages.htm](http://www.cdc.gov/nchs/health_data_for_all_ages.htm)>

19    NCHS (National Center for Health Statistics). 2006b. Summary health statistics for U.S. adults: National  
20    Health Interview Survey, 2004. *Vital Health Stat* 10(228). See Table 3 and Table 4.  
21    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_228.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_228.pdf)>

22    NCHS (National Center for Health Statistics). 2006c. Summary health statistics for U.S. children:  
23    National Health Interview Survey, 2004. *Vital Health Stat* 10(227). See Table 1 and Appendix III.  
24    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_227.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_227.pdf)>

25    NCHS (National Center for Health Statistics). 2005a. Summary health statistics for U.S. adults: National  
26    Health Interview Survey, 2003. *Vital Health Stat* 10(225). See Table 3 and Table 4.  
27    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_225.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_225.pdf)>

28    NCHS (National Center for Health Statistics). 2005b. Summary health statistics for U.S. children:  
29    National Health Interview Survey, 2003. *Vital Health Stat* 10(223). See Table 1 and Appendix III.  
30    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_223.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_223.pdf)>

31    NCHS (National Center for Health Statistics). 2004a. Summary health statistics for U.S. adults: National  
32    Health Interview Survey, 2002. *Vital Health Stat* 10(222). See Table 3 and Table 4.  
33    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_222.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_222.pdf)>

34    NCHS (National Center for Health Statistics). 2004b. Summary health statistics for U.S. children:  
35    National Health Interview Survey, 2002. *Vital Health Stat* 10(221). See Table 1 and Appendix III.  
36    <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_221.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_221.pdf)>

- 1 NCHS (National Center for Health Statistics). 2003a. Summary health statistics for U.S. adults: National  
2 Health Interview Survey, 2001. Vital Health Stat 10(218). See Table 3 and Table 4.  
3 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_218.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_218.pdf)>
- 4 NCHS (National Center for Health Statistics). 2003b. Summary health statistics for U.S. children:  
5 National Health Interview Survey, 2001. Vital Health Stat 10(216). See Table 1 and Appendix III.  
6 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_216.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_216.pdf)>
- 7 NCHS (National Center for Health Statistics). 2003c. Summary health statistics for U.S. children:  
8 National Health Interview Survey, 2000. Vital Health Stat 10(213). See Table 1 and Appendix III.  
9 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_213.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_213.pdf)>
- 10 NCHS (National Center for Health Statistics). 2003d. Summary health statistics for U.S. children:  
11 National Health Interview Survey, 1999. Vital Health Stat 10(210). See Table 1 and Appendix III.  
12 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_210.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_210.pdf)>
- 13 NCHS (National Center for Health Statistics). 2002a. Summary health statistics for U.S. adults: National  
14 Health Interview Survey, 2000. Vital Health Stat 10(215). See Table 3 and Table 4.  
15 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_215.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_215.pdf)>
- 16 NCHS (National Center for Health Statistics). 2002b. Summary health statistics for U.S. children:  
17 National Health Interview Survey, 1998. Vital Health Stat 10(208). See Table 1 and Appendix III.  
18 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_208.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_208.pdf)>
- 19 NCHS (National Center for Health Statistics). 2002c. Summary health statistics for U.S. children:  
20 National Health Interview Survey, 1997. Vital Health Stat 10(203). See Table 1 and Appendix III.  
21 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_203.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_203.pdf)>
- 22 NCHS (National Center for Health Statistics). 2001. Summary health statistics for U.S. adults: National  
23 Health Interview Survey, 1999. Vital Health Stat 10(212). See Table 3 and Table 4.  
24 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_212.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_212.pdf)>
- 25 NCHS (National Center for Health Statistics). 2000. Summary health statistics for U.S. adults: National  
26 Health Interview Survey, 1998. Vital Health Stat 10(209). See Table 3 and Table 4.  
27 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_209.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_209.pdf)>
- 28 NCHS (National Center for Health Statistics). 1999. Summary health statistics for U.S. adults: National  
29 Health Interview Survey, 1997. Vital Health Stat 10(205). See Table 3 and Table 4.  
30 <[http://www.cdc.gov/nchs/data/series/sr\\_10/sr10\\_205.pdf](http://www.cdc.gov/nchs/data/series/sr_10/sr10_205.pdf)>
- 31 NHLBI (National Heart, Lung, and Blood Institute). 2004. Diseases and conditions index. Accessed  
32 November 12, 2004. <[http://www.nhlbi.nih.gov/health/dci/Diseases/Asthma/Asthma\\_WhatIs.html](http://www.nhlbi.nih.gov/health/dci/Diseases/Asthma/Asthma_WhatIs.html)>

## INDICATOR: Infectious Diseases Associated with Environmental Exposures or Conditions

Infectious diseases are human illnesses caused by viruses, bacteria, parasites, fungi and other microbes. They may be spread by direct contact with an infected person or animal, by ingesting contaminated food or water, by insects like mosquitos or ticks (disease vectors), or by contact with contaminated surroundings like animal droppings or contaminated air. Demographic and environmental factors such as population growth, increased urbanization, and alteration of habitats of disease-carrying insects and animals (e.g., irrigation, deforestation) may promote the spread of infectious diseases (CDC, 1998a). The three broad infectious disease categories included here are those whose appearance and spread may be influenced to some extent by environmental conditions and change. They include gastrointestinal (GI) disease, arthropod-borne disease, and legionellosis.

### *Gastrointestinal (GI) Diseases*

Eight notifiable GI diseases caused by microorganisms are discussed below including: cholera, cryptosporidiosis, *Escherichia coli* (*E. Coli*) O157:H7, giardiasis, Hepatitis A, salmonellosis, shigellosis, and typhoid fever. The major environmental source of gastrointestinal illness is water or food that is contaminated with pathogenic microorganisms. The primary means of transmission for these eight diseases is through ingestion of contaminated food/water or through contact and accidental ingestion of fecal matter (CDC, 2005a).

### *Arthropod-borne Diseases*

Three arthropod-borne diseases are included: Lyme disease (transmission of *Borrelia burgdorferi* by ticks), Rocky Mountain spotted fever (transmission of *Rickettsia rickettsii* by ticks), and West Nile virus (transmitted by mosquitoes). Certain ticks and mosquitoes (arthropods) can carry bacteria and viruses that cause disease in humans. The arthropods acquire the bacteria or viruses when they bite an infected mammal or bird. Some studies indicate that spread of vector-borne disease may be influenced by land use and/or other environmental change (CDC, 2004). In recent years, both Lyme disease and West Nile virus have spread across the United States (CDC, 1993, 2000, 2004). Surveillance for Lyme disease was initiated by the Centers for Disease Control (CDC) in 1982 (CDC, 1993).

### *Legionellosis*

Legionellosis or Legionnaires' disease is a serious and sometimes fatal form of pneumonia. It is caused by legionella bacteria, which are found naturally in the environment and thrive in warm water and warm damp places. They are commonly found in lakes, rivers, creeks, hot springs and other bodies of water. This bacterium has been associated with outbreaks in the U.S. linked to poorly maintained artificial water systems (e.g., air conditioning and industrial cooling systems) and air ventilation systems. Infection results from inhalation of contaminated water sprays or mists (CDC, 2003a).

This indicator reflects occurrence of the aforementioned notifiable diseases as reported by health departments to the National Notifiable Diseases Surveillance System. A notifiable disease is one for which regular, frequent, and timely information regarding individual cases is considered necessary for the prevention and control of the disease (CDC, 2005b). Data are collected by all 50 states, five territories, New York City, and the District of Columbia, based on a list of recommended Nationally Notifiable Infectious Diseases, and compiled nationally. The temporal coverage of the data varies by disease.

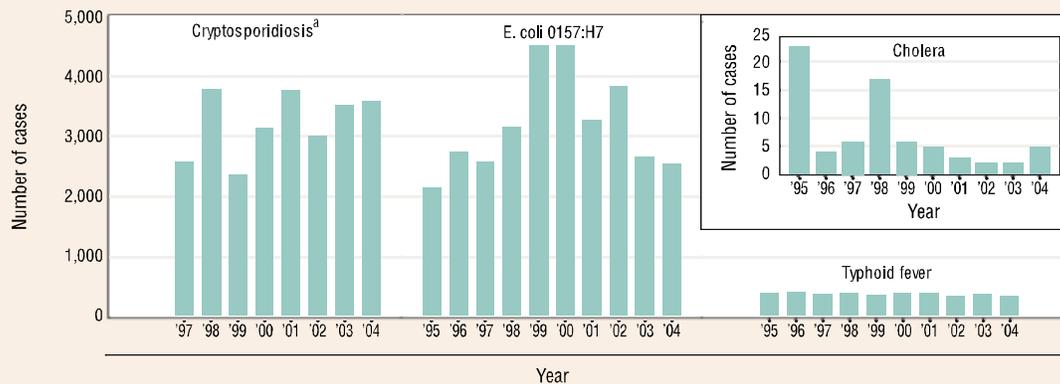
1 **What the Data Show**

2 *Gastrointestinal Diseases*

3 Exhibits 5-23 and 5-24 present the number of reported cases for each of the eight notifiable GI diseases  
4 from 1995-2004. In comparison to the other GI diseases, the number of newly identified cholera cases  
5 reported each year is low. From 1995 to 2004, just 73 laboratory-confirmed cases of cholera were  
6 reported to CDC with only five cases being reported in 2004, the most current reporting year. Of these 73  
7 total cases, 48 (66 percent) were acquired outside the United States. The number of newly identified cases  
8 of typhoid fever was relatively stable from 1995 to 2004 ranging between a low of 321 cases in 2002 and a  
9 high of 396 cases in 1996. In 2004, 322 cases of typhoid fever were reported. Hepatitis A has continued to  
10 decline, with 31,582 cases reported in 1995 compared to 5,683 cases in 2004. No notable changes in the  
11 number of cases were revealed for cryptosporidiosis, *E. Coli* O157:H7, giardiasis (only 3 years of  
12 reporting data available), salmonellosis, and shigellosis, but under-reporting has probably occurred  
13 because of milder cases not being diagnosed or reported.

14

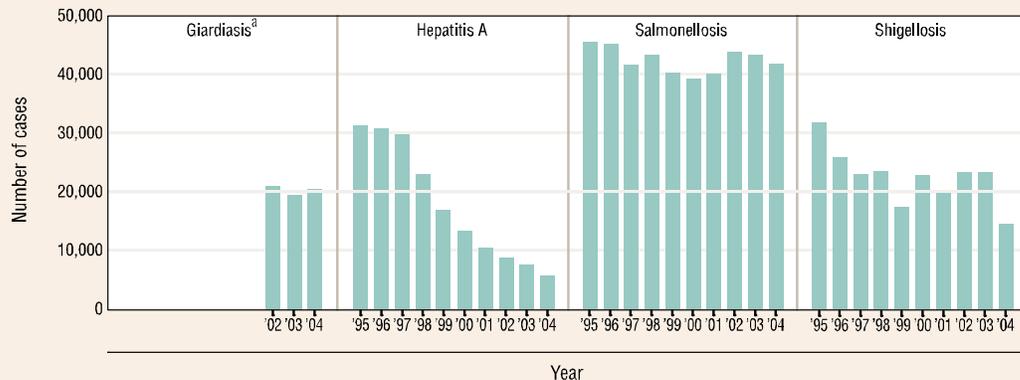
**Exhibit 5-23.** Number of reported cases of gastrointestinal diseases in the U.S., 1995-2004 (part 1)



<sup>a</sup>Cryptosporidiosis was not on CDC's list of nationally notifiable infectious diseases prior to 1997.

**Data source:** CDC, *Summary of Notifiable Diseases*

**Exhibit 5-24.** Number of reported cases of gastrointestinal diseases in the U.S., 1995-2004 (part 2)



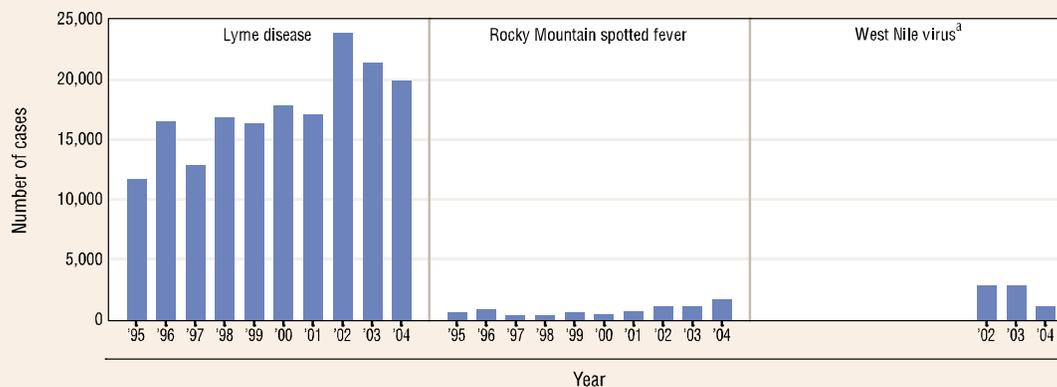
<sup>a</sup>Giardiasis was not on CDC's list of nationally notifiable infectious diseases prior to 2002.

**Data source:** CDC, *Summary of Notifiable Diseases*

1 *Arthropod-borne Diseases*

2 Exhibit 5-25 presents the number of reported cases for three arthropod-borne diseases. Lyme disease is  
3 the most commonly reported arthropod-borne disease in the United States with 19,804 cases reported in  
4 2003, a continued decrease from the record number reported in 2002 (23,763 cases). CDC began  
5 surveillance of Rocky Mountain spotted fever in 1970. The number of new cases of Rocky Mountain  
6 spotted fever reported from 1995 to 2004 has fluctuated considerably, ranging between a low of 365 cases  
7 in 1998 and a high of 1,713 cases in 2004. Reported cases increased slightly in 2004 (1,713 cases)  
8 compared to 2003 (1,091 cases). Cases of West Nile virus were first documented in the United States in  
9 1999. A total of 80 cases were reported in 1999 (62 cases) and 2000 (18 cases) (data not shown). West  
10 Nile virus became nationally reportable in 2002, and the number of reported cases rose from 2,840 in  
11 2002 to 2,866 in 2003. However, in 2004, the number of reported cases decreased to 1,142.

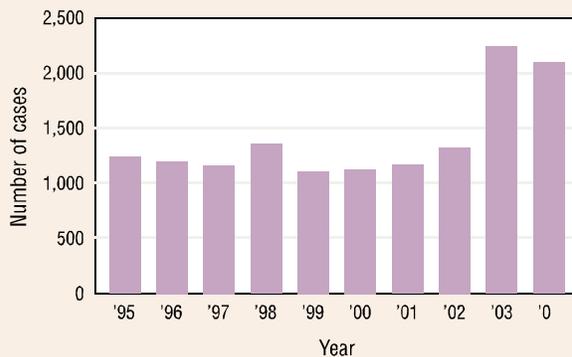
**Exhibit 5-25.** Number of reported cases of arthropod-borne diseases in the U.S., 1995-2004



<sup>a</sup>West Nile virus was not on CDC's list of nationally notifiable infectious diseases prior to 2002.

**Data source:** CDC, *Summary of Notifiable Diseases*

**Exhibit 5-26.** Number of reported cases of legionellosis in the U.S., 1995-2004



**Data source:** CDC, *Summary of Notifiable Diseases*

*Legionellosis*

Exhibit 5-26 presents the number of reported cases of legionellosis within the U.S. population from 1995 to 2004. Through this period, the number of new cases of legionellosis was relatively stable, ranging from a low of 1,108 cases in 1999 to 1,355 cases in 1998. However, in 2003, the number of new cases reported increased to 2,232, decreasing in 2004 to 2,093.

1 **Indicator Limitations**

- 2 • State health departments report cases of notifiable diseases to CDC and policies for reporting  
3 can vary by disease or reporting jurisdiction.
- 4 • Disease reporting likely underestimates the actual number of cases for a given time period  
5 because reporting nationally notifiable diseases to CDC is voluntary. Additionally, the  
6 completeness of reporting likely varies by disease. The degree of completeness of data  
7 reporting is influenced by many factors such as the diagnostic facilities available, the control  
8 measures in effect, public awareness of a specific disease, and the interests, resources, and  
9 priorities of state and local officials responsible for disease control and public health  
10 surveillance (CDC, 2006).
- 11 • Factors such as changes in case definitions for public health surveillance, introduction of new  
12 diagnostic tests, or discovery of new disease entities can cause changes in disease reporting  
13 that are independent of the true incidence of disease (CDC, 2004).
- 14 • For West Nile Virus, only confirmed “neuroinvasive” cases are reported, the most severe  
15 form of the condition. West Nile virus may also include West Nile fever, which refers to  
16 typically less severe cases with no evidence of neuroinvasion. West Nile fever is not currently  
17 on the list of nationally notifiable diseases, and therefore it is optional whether or not state  
18 health departments report these cases to CDC (CDC, 2005c).

19 **Data Sources**

20 The data for this indicator were obtained from CDC annual reports that summarize data on nationally  
21 notifiable infectious diseases reported to CDC by state health agencies across the country (CDC, 1996,  
22 1997, 1998b, 1999, 2001, 2002, 2003b, 2004, 2005b, 2006). Data are collected and compiled from reports  
23 sent by state health departments to the National Notifiable Diseases Surveillance System (NNDSS),  
24 which is operated by CDC. NNDSS is neither a single surveillance system nor a method of reporting.  
25 Certain NNDSS data are reported to CDC through separate surveillance information systems and through  
26 different reporting mechanisms; however, these data are aggregated and compiled for publication  
27 purposes (CDC, 2006).

28 **References**

29 CDC (Centers for Disease Control and Prevention). 2006. Summary of notifiable diseases—United  
30 States, 2004. MMWR 53(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm5353.pdf>> See Table 1.

31 CDC (Centers for Disease Control and Prevention). 2005a. Foodborne illness—frequently asked  
32 questions. Accessed April 11, 2005.  
33 <[http://www.cdc.gov/ncidod/dbmd/diseaseinfo/foodborneinfections\\_g.htm#howdiagnosed](http://www.cdc.gov/ncidod/dbmd/diseaseinfo/foodborneinfections_g.htm#howdiagnosed)>

34 CDC (Centers for Disease Control and Prevention). 2005b. Summary of notifiable diseases—United  
35 States, 2003. MMWR 52(54). <<http://www.cdc.gov/mmwr/PDF/wk/mm5254.pdf>> See Table 1.

36 CDC (Centers for Disease Control and Prevention). 2005c. West Nile virus statistics, surveillance, and  
37 control: 2005 West Nile virus activity in the United States. Accessed October 16, 2005.  
38 <[http://www.cdc.gov/ncidod/dvbid/westnile/surv&controlCaseCount05\\_detailed.htm](http://www.cdc.gov/ncidod/dvbid/westnile/surv&controlCaseCount05_detailed.htm)>

- 1 CDC (Centers for Disease Control and Prevention). 2004. Summary of notifiable diseases—United  
2 States, 2002. MMWR 51(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm5153.pdf>> See Table 1.
- 3 CDC (Centers for Disease Control and Prevention). 2003a. Legionnaires' disease fact sheet. Accessed  
4 October 20, 2005. <<http://www.air-care.com.sg/legionella-factsheet.html>>
- 5 CDC (Centers for Disease Control and Prevention). 2003b. Summary of notifiable diseases—United  
6 States, 2001. MMWR 50(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm5053.pdf>> See Table 1.
- 7 CDC (Centers for Disease Control and Prevention). 2002. Summary of notifiable diseases—United  
8 States, 2000. MMWR 49(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm4953.pdf>> See Table 1.
- 9 CDC (Centers for Disease Control and Prevention). 2001. Summary of notifiable diseases—United  
10 States, 1999. MMWR 48(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm4853.pdf>> See Table 1.
- 11 CDC (Centers for Disease Control and Prevention). 2000. Update: West Nile virus activity—eastern  
12 United States, 2000. MMWR 49(46):1044-1047.  
13 <<http://www.cdc.gov/mmwr/preview/mmwrhtml/mm4946a2.htm>>
- 14 CDC (Centers for Disease Control and Prevention). 1999. Summary of notifiable diseases—United  
15 States, 1998. MMWR 47(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm4753.pdf>> See Table 1.
- 16 CDC (Centers for Disease Control and Prevention). 1998a. Preventing emerging infectious diseases. A  
17 strategy for the 21<sup>st</sup> century. <<http://www.cdc.gov/ncidod/emergplan/plan98.pdf>>
- 18 CDC (Centers for Disease Control and Prevention). 1998b. Summary of notifiable diseases—United  
19 States, 1997. MMWR 46(54). <<http://www.cdc.gov/mmwr/PDF/wk/mm4654.pdf>> See Table 1.
- 20 CDC (Centers for Disease Control and Prevention). 1997. Summary of notifiable diseases—United  
21 States, 1996. MMWR 45(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm4553.pdf>> See Table 1.
- 22 CDC (Centers for Disease Control and Prevention). 1996. Summary of notifiable diseases—United  
23 States, 1995. MMWR 44(53). <<http://www.cdc.gov/mmwr/PDF/wk/mm4453.pdf>> See Table 1.
- 24 CDC (Centers for Disease Control and Prevention). 1993. Lyme disease—United States, 1991-1992.  
25 MMWR 42(18):345-348. <<http://www.cdc.gov/mmwr/preview/mmwrhtml/00020506.htm>>

## 1 INDICATOR: Birth Defects Rates and Mortality

2 Congenital anomalies, or birth defects, are structural defects that are present in the fetus at birth.

3 Although birth defects are the leading cause of infant mortality (deaths occurring to those <1 year of age)  
4 in the United States, the cause is unknown for approximately 70 percent of all cases (Infant Mortality  
5 indicator, p. 5-19) (CDC, 2005). Many different factors are associated with the development of birth  
6 defects such as genetic and/or chromosomal aberrations, exposure to viruses or bacteria, uncontrolled  
7 diabetes, cigarette smoke, use of drugs and alcohol during pregnancy, and prenatal exposure to chemicals  
8 in the workplace or pollutants in the environment. All of these factors can change normal infant growth or  
9 development resulting in different types of birth defects (NICHD, 2005).

10 This indicator presents birth defects rates at birth and mortality among infants in the United States as  
11 recorded in the National Vital Statistics System (NVSS), which registers virtually all births and deaths  
12 nationwide. Data collection began in 1933 and is available through 2003 (rates at birth) and 2002  
13 (mortality). Birth defects data are collected on death certificates from all 50 States and the District of  
14 Columbia and recorded on birth certificates for 49 States and the District of Columbia. Reported race and  
15 ethnicity data are based on the race and ethnicity of the mother.

### 16 **What the Data Show**

17 Exhibit 5-27 presents the rate of live births with identified specific congenital anomalies (i.e., birth  
18 defects) between 1999 and 2003. The most frequently occurring types of birth defects were various  
19 musculoskeletal/integumental anomalies, circulatory/respiratory system anomalies, and heart  
20 malformations. In 2003, heart malformations occurred at a rate of 128.9 per 100,000 live births, which  
21 was highest among the specific anomalies listed (i.e., categories that do not include “other”). The overall  
22 rate of birth defects (i.e., all birth defects combined) between 1999 and 2003 has been relatively stable  
23 through the period, with the exception of a noticeable decline in 2003. Blacks have a consistently higher  
24 rate of birth defects than whites during this time period, with a rate of 127.3 (blacks) compared with 101.6  
25 (whites) birth defects per 100,000 live births in 2003 (data not shown).

26 Birth defects continue to be the leading cause of infant mortality, accounting for 5,621 (20.1 percent) of  
27 the 28,025 infant deaths in 2003 (Exhibit 5-7, Infant Mortality indicator, p. 5-19). Between 1979 and  
28 1998 a decline in the national birth defects mortality rate has been observed ranging from 255.4 per  
29 100,000 live births in 1979 to 157.6 per 100,000 in 1998. From 1999 to 2003 the birth defects mortality  
30 rates were 144.2 (1999), 150.9 (2000), 136.7 (2001), 139.4 (2002), and 140.4 (2003) per 100,000.

31 Birth defect mortality was consistently higher among black compared to white infants. In 2003, for  
32 example, mortality attributed to birth defects among black male and female infants was 170.7 and 143.8  
33 per 100,000 infants, respectively, and among white male and female infants was 143.2 and 131.8 per  
34 100,000 infants, respectively. (Data not shown.)

35

1

2

**Exhibit 5-27. Rates of live births in the U.S. with specific birth defects (congenital anomalies), 1999-2003<sup>a</sup>**

	1999	2000	2001	2002	2003
<b>Overall rate</b>	114.8	114.6	116.8	116.2	105.0
<b>Central nervous system anomalies</b>					
Anencephalus	11.0	10.7	9.9	9.9	11.4
Spina bifida/meningocele	20.1	20.7	19.9	20.0	18.7
Hydrocephalus	21.5	23.7	22.5	22.5	22.2
Microcephalus	5.9	7.2	5.6	5.5	5.6
Other central nervous system anomalies	20.0	20.7	24.8	22.2	21.1
<b>Circulatory/respiratory anomalies</b>					
Heart malformations	119.8	124.9	122.5	129.9	128.9
Other circulatory/respiratory anomalies	140.6	138.1	139.6	131.7	126.1
<b>Gastrointestinal anomalies</b>					
Rectal atresia/stenosis	9.0	8.4	9.0	8.3	7.8
Tracheo-esophageal fistula/esophageal atresia	13.3	12.1	12.0	10.8	10.8
Omphalocele/gastroschisis	30.2	29.7	31.8	30.3	32.5
Other gastrointestinal anomalies	29.8	29.9	34.2	36.1	33.0
<b>Urogenital anomalies</b>					
Malformed genitalia	76.3	84.2	88.4	86.6	79.7
Renal agenesis	13.7	13.8	14.8	15.4	14.0
Other urogenital anomalies	99.0	99.3	102.8	101.8	90.2
<b>Chromosomal anomalies</b>					
Cleft lip/palate	80.9	82.1	80.6	78.5	75.9
Polydactyly/syndactyly/adactyly	87.9	87.2	82.4	82.2	76.4
Clubfoot	55.7	57.2	58.6	59.6	57.6
Diaphragmatic hernia	13.1	10.8	11.4	12.1	11.4
Other musculoskeletal/integumental anomalies	239.9	217.0	226.4	228.9	208.2
Down's syndrome	45.5	46.9	45.5	46.7	46.5
Other chromosomal anomalies	36.9	39.7	36.2	31.6	30.1

<sup>a</sup>Rates are per 100,000 live births.

**Data source:** NCHS, 2001; 2002a,b; 2003; 2005

1 **Indicator Limitations**

- 2 • Because some birth defects are not recognized immediately, they are often underreported on  
3 both birth and death certificates (Friis and Sellers, 1999). Many anomalies are hard to detect  
4 at birth, which limits early ascertainment and complete reporting. The most serious and/or  
5 apparent anomalies are more likely to be identified and reported prior to hospital discharge  
6 (Honein et al., 2001).
- 7 • The lack of uniform reporting on birth certificates introduces additional uncertainty. For  
8 example, race information may be missing or incomplete. Also, beginning in 2003, two states  
9 began using a revised “standard certificate of live birth;” therefore, a subset of anomaly data  
10 was excluded because of the lack of comparability with other data sets (NCHS, 2005).
- 11 • The congenital anomalies reported on birth certificates are rare events. Since a small change  
12 in the number of anomalies reported can result in a relatively large change in rates, caution  
13 should also be used in comparing yearly rates for a specific anomaly.
- 14 • The birth defect anomaly groupings that include “other” (e.g., other musculoskeletal  
15 anomalies) include a large number of non-specific birth defects and should be considered  
16 separately from the specific birth defects listed.
- 17 • Birth defects mortality rates are based on underlying cause-of-death as entered on a death  
18 certificate by a physician. Incorrect coding and low rates of autopsies that confirm the cause  
19 of death may occur. Additionally, some individuals may have had competing causes of death.  
20 “When more than one cause or condition is entered by the physician, the underlying cause is  
21 determined by the sequence of conditions on the certificate, provisions of the ICD  
22 [International Classification of Diseases], and associated selection rules and modifications”  
23 (CDC, n.d.). Consequently, some misclassification of reported mortality might occur in  
24 individuals with competing causes of death, as well as underreporting of some birth defects as  
25 the cause of death.
- 26 • The International Classification of Diseases 9th Revision (ICD-9) codes were used to specify  
27 underlying cause of death for years 1979-1998. Beginning in 1999, cause of death is specified  
28 with the International Classification of Diseases 10th Revision (ICD-10) codes. The two  
29 revisions differ substantially, and to prevent confusion about the significance of any specific  
30 disease code, data queries are separate. The relatively large difference between birth defect  
31 mortality rates reported from 1979 through 1998 and those reported beginning in 1999 may  
32 be due to some changes in the criteria used to report birth defect mortality during the switch  
33 from ICD-9 to ICD-10.

34 **Data Sources**

35 The birth defects rate data used for this indicator are from National Vital Statistics Reports published by  
36 CDC’s National Center for Health Statistics (NCHS, 2001; 2002a,b; 2003; 2005). The birth defects  
37 mortality data were obtained from a published report by CDC’s National Center for Health Statistics  
38 (NCHS, 2006) and from CDC’s compressed mortality files (underlying cause of death), accessed via  
39 CDC WONDER (CDC, 2006), at <http://wonder.cdc.gov>.

1   **References**

- 2   CDC (Centers for Disease Control and Prevention). 2006. CDC Wide-ranging OnLine Data for  
3   Epidemiologic Research (WONDER). Compressed mortality file, underlying cause of death. 1999-2003  
4   (with ICD 10 codes) and 1979-1998 (with ICD 9 codes). Accessed 2006.  
5   <<http://wonder.cdc.gov/mortSQL.html>>
- 6   CDC (Centers for Disease Control and Prevention). 2005. Birth defects. Accessed February 7, 2005.  
7   <<http://www.cdc.gov/node.do/id/0900f3ec8000dffe>>
- 8   CDC (Centers for Disease Control and Prevention). n.d. CDC WONDER: Help page for compressed  
9   mortality file. <<http://wonder.cdc.gov/wonder/help/mort.html>>
- 10   Friis, R.H., and T.A. Sellers. 1999. Epidemiology for public health practice. Second ed. Gaithersburg,  
11   MD: Aspen Publishers, Inc.
- 12   Honein, M.A., L.J. Paulozzi, and M.L. Watkins. 2001. Maternal smoking and birth defects: validity of  
13   birth data for effect estimation. Public Health Reports 116:327-335.
- 14   NCHS (National Center for Health Statistics). 2006. Deaths: final data for 2003. National Vital Statistics  
15   Reports 54(13). April 19. <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_13.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_13.pdf)>
- 16   NCHS (National Center for Health Statistics). 2005. Births: final data for 2003. National Vital Statistics  
17   Reports 54(2). <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_02.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_02.pdf)> See Table 49.
- 18   NCHS (National Center for Health Statistics). 2003. Births: final data for 2002. National Vital Statistics  
19   Reports 52(10). <[http://www.cdc.gov/nchs/data/nvsr/nvsr52/nvsr52\\_10.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr52/nvsr52_10.pdf)> See Table 49.
- 20   NCHS (National Center for Health Statistics). 2002a. Births: final data for 2001. National Vital Statistics  
21   Reports 51(2). <[http://www.cdc.gov/nchs/data/nvsr/nvsr51/nvsr51\\_02.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr51/nvsr51_02.pdf)> See Table 49.
- 22   NCHS (National Center for Health Statistics). 2002b. Births: final Data for 2000. National Vital Statistics  
23   Reports 50(5). <[http://www.cdc.gov/nchs/data/nvsr/nvsr50/nvsr50\\_05.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr50/nvsr50_05.pdf)> See Table 49.
- 24   NCHS (National Center for Health Statistics). 2001. Births: final data for 1999. National Vital Statistics  
25   Reports 49(1). <[http://www.cdc.gov/nchs/data/nvsr/nvsr49/nvsr49\\_01.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr49/nvsr49_01.pdf)> See Table 49.
- 26   NICHD (National Institute of Child Health and Human Development). 2005. Birth defects and human  
27   development. Accessed February 3, 2005.  
28   <[http://www.nichd.nih.gov/about/womenhealth/birth\\_defects.cfm](http://www.nichd.nih.gov/about/womenhealth/birth_defects.cfm)>

## 1 INDICATOR: Low Birthweight

2 “Low birthweight” (LBW) is typically defined as any infant weighing <2,500 grams at birth. Weight is a  
3 critical health measure because LBW children are more prone to death and disability than their  
4 counterparts.

5 Environmental exposures have been implicated as a risk factor for LBW (e.g., maternal smoking,  
6 maternal exposure to lead, diethylstilbestrol, occupational exposures) (Sram et al., 2005; Kiely et al.,  
7 1994). However, the etiology of term-LBW (born 37+ weeks gestation) infants and preterm-LBW (born  
8 <37 weeks gestation) infants differs. For term-LBW infants, underlying causes include factors such as  
9 maternal smoking, weight at conception, and gestational weight gain, whereas for preterm-LBW infants,  
10 the etiology largely remains unexplained (CDC, 1994).

11 This indicator presents the percentage of LBW infants born in the U.S. based on natality data reported to  
12 the National Vital Statistics System (NVSS). The NVSS registers virtually all deaths and births  
13 nationwide with data coverage from 1933 to 2003 and from all 50 States and the District of Columbia.

14 The data presented are based on singleton births only. This was done to eliminate the effect of multiple  
15 births. The data are presented across three maternal age groups (< 20, 20-39, and 40 and over).  
16 Additionally, the data are stratified and reported for preterm (less than 37 weeks) and full-term (37 weeks  
17 and over) births because of the strong association between birthweight and gestational age.

### 18 **What the Data Show**

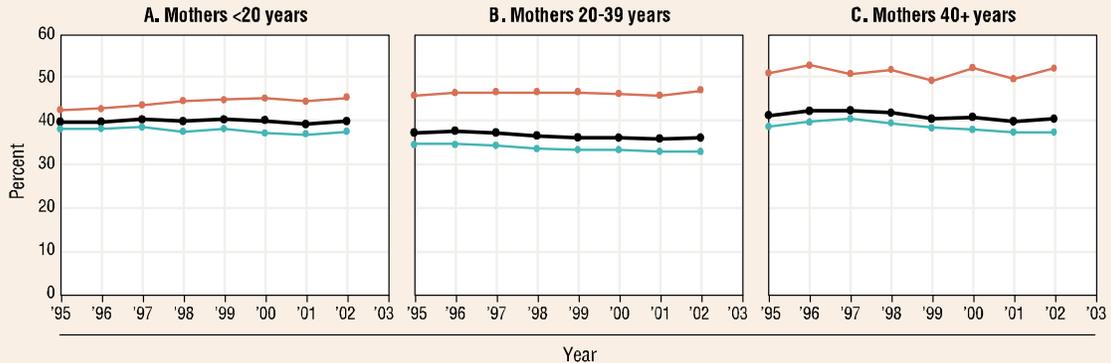
19 As expected, the percent of total LBW deliveries among preterm births are much higher than the percent  
20 of total LBW deliveries among full term births across each of the three maternal age categories (Exhibits  
21 5-28 and 5-29).

22 In general, small differences in the percent of LBW babies among maternal age categories are evident for  
23 both pre- and full-term births. For example, in 2002, the frequency of LBW babies among full-term births  
24 for mothers less than 20 years old (3.9 percent) is about 1 percent higher than mothers who are 40 years  
25 and older (3.0 percent) and about 1.5 percent higher than mothers who are in the 20-39 age group (2.4  
26 percent) (Exhibit 5-29).

27 Among the full-term births, black women had consistently higher frequencies of LBW babies compared  
28 to any of the other racial groups reported from 1995 and 2002. This racial pattern is evident in 2002 for  
29 all three maternal age groups and the difference is most apparent in the 40 and older age group (6.2  
30 percent for blacks and 2.5 percent for whites) (Exhibit 5-29).

31 The percentage of LBW babies among the other two racial groups reported in 2002, Native Americans  
32 and Asians/Pacific Islanders, was 2.4 percent and 3.5 percent, respectively, for the 40 and older age  
33 group. For Native Americans, there was little variation in frequency of LBW among the three different  
34 age groups reported (< 20 years, > 20-39 years, and 40 and older) during 2002, whereas Asian/Pacific  
35 Islanders fluctuations across age groups were somewhat greater. Hispanic women and non-Hispanic  
36 women had very similar frequencies of LBW babies. For example, in 2002, the percent of LBW babies  
37 for Hispanic women was 2.3 percent compared to 2.6 percent for non-Hispanic women. (Data not shown.)

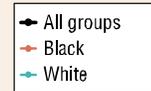
**Exhibit 5-28.** Percent of low birthweight infants (<2,500 grams) born preterm in the U.S. by mother's race and age, 1995-2002<sup>a,b</sup>



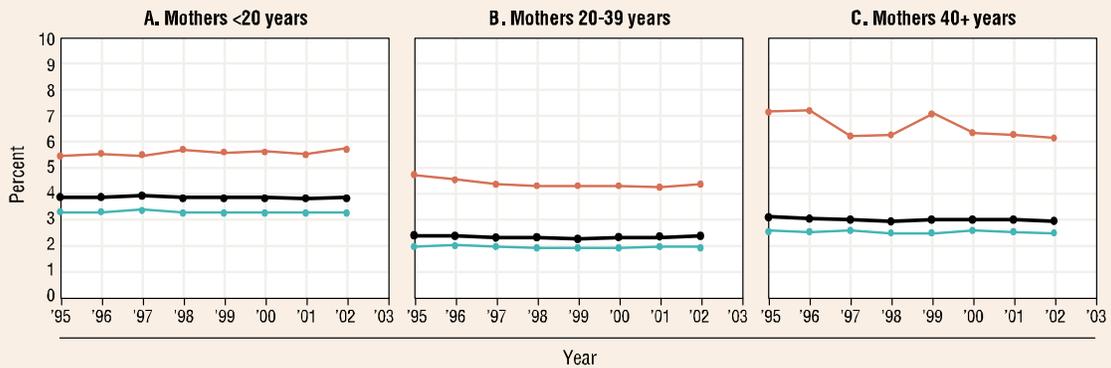
<sup>a</sup>Preterm births are births occurring at <37 weeks gestation.

<sup>b</sup>Data represent singleton births only.

**Data source:** CDC WONDER natality data



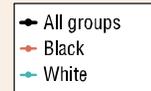
**Exhibit 5-29.** Percent of low birthweight infants (<2,500 grams) born full-term in the U.S. by mother's race and age, 1995-2002<sup>a,b</sup>



<sup>a</sup>Full-term births are births occurring at ≥37 weeks gestation.

<sup>b</sup>Data represent singleton births only.

**Data source:** CDC WONDER natality data



1 **Indicator Limitations**

- 2           • Complete reporting of natality indicators such as LBW may vary due to differences in the  
3 reporting requirements established by each state. It is possible that in some states the number  
4 of LBW babies may be under reported.

5 **Data Source**

6 The data used for this indicator were obtained from CDC's National Center for Health Statistics, Division  
7 of Vital Statistics, natality public-use data (1995-2002) available via CDC WONDER (CDC, 2006), at  
8 <http://wonder.cdc.gov>.

1   **References**

2   CDC (Centers for Disease Control and Prevention). 2006. CDC Wide-ranging OnLine Data for  
3   Epidemiologic Research (WONDER). Natality data query. Accessed 2006.  
4   <<http://wonder.cdc.gov/natality.html>>

5   CDC (Centers for Disease Control and Prevention). 1994. Increasing incidence of low birthweight—  
6   United States, 1981-1991. MMWR 43:335-339. Accessed February 2, 2005.  
7   <<http://www.cdc.gov/mmwr/preview/mmwrhtml/00030918.htm>>

8   Kiely, J.S., K.M. Brett, S. Yu, and D.L. Rowley. 1994. Low birthweight and intrauterine growth  
9   retardation. In: Wilcox, L.S., and J.S. Marks, eds. From data to action: CDC's public health surveillance  
10   for women, infants, and children. CDC's maternal and child health monograph 1994. Atlanta, GA:  
11   Centers for Disease Control and Prevention.

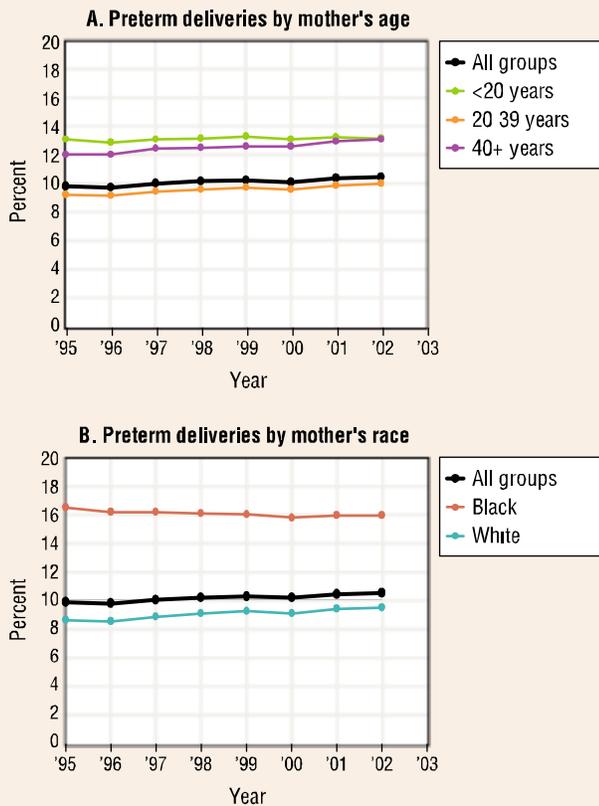
12   Sram R.J., B Binkova, J. Dejmek, and M. Bobak. 2005. Ambient air pollution and pregnancy outcomes: a  
13   review of the literature. Environ. Health Perspect. 113(4): 375-382

## INDICATOR: Preterm Delivery

Preterm delivery is defined as delivery prior to 37 weeks of gestation (a typical pregnancy lasts 40 weeks). The shorter the gestational age of an infant, the more likely (s)he is to suffer adverse effects. Preterm birth along with low birthweight is the second leading cause of infant death (Infant Mortality indicator, p. 5-19) (NCHS, 2004, 2005), and is associated with nearly half of all neurological birth defects (Goldenberg and Rouse, 1998; NCHS, 2005).

The determinants of preterm births are not fully known and the causes are often multi-factorial. Maternal high risk conditions (e.g., infertility problems, vaginal spotting, inadequate maternal weight gain), maternal previous history, socioeconomic status, smoking, alcohol consumption before third trimester, and multiple gestation pregnancy are known risk factors for preterm delivery. Environmental contaminants (e.g., lead, environmental tobacco smoke, air pollution) continue to be studied to better understand the likely associations with preterm delivery.

**Exhibit 5-30.** Preterm deliveries in the U.S. by mother's age and race, 1995-2002<sup>a,b</sup>



<sup>a</sup>Preterm deliveries are births occurring at <37 weeks gestation.

<sup>b</sup>Data represent singleton births only.

**Data source:** CDC WONDER natality data

This indicator presents the proportion of U.S. infants born prior to 37 weeks of gestation, based on natality data reported to the National Vital Statistics System (NVSS). The NVSS registers virtually all deaths and births nationwide with data coverage from 1933 to 2003 and from all 50 States and the District of Columbia. The data presented here on preterm delivery were based on singleton births only. This was done to eliminate the effect of multiple births. The data are presented across three maternal age groups (< 20 years, 20-39 years, and 40 years and over).

### What the Data Show

The proportion of infants defined as preterm has risen 14 percent since 1990 (NCHS, 2003). A small overall increase in preterm births has been observed from 1995 (9.8 percent) to 2002 (10.4 percent). The largest percent increase between 1995 and 2000 has occurred among mothers in the 40 and over age group, with the percent of preterm births ranging from 12.0 (1995) to 13.1 percent (2002). The next largest percent increase was observed in the 20-39 year old maternal group, ranging from 9.2 percent (1996) to 10.0 percent (2002), with little change over time among those less than 20 years of age (Exhibit 5-30, panel A).

In 1995, the percent of preterm births was almost twice as high among black mothers compared to white mothers (16.4 versus 8.5 percent) (Exhibit 5-30, panel B). Between 1995-2002, preterm delivery among black mothers decreased slightly from 16.4

1 percent in 1995 to 15.9 percent in 2002. During the same time, preterm delivery among white mothers  
2 increased slightly, rising from 8.5 percent in 1995 to 9.5 percent in 2002, resulting in a slight narrowing  
3 of the difference in the preterm birth rate between black and white mothers. Preterm delivery for Hispanic  
4 mothers ranged from 10.1 (1995) to 10.6 percent (2002) compared to 9.7 (1996) and 10.4 (2002) percent  
5 for non-Hispanic mothers between 1995 and 2002. (Data not shown.)

## 6 **Indicator Limitations**

- 7 • “The primary measure used to determine the gestational age of the newborn is the interval  
8 between the first day of the mother’s last normal menstrual period (LMP) and the date of  
9 birth.” This measurement is subject to error, including imperfect maternal recall or  
10 misidentification of the LMP because of postconception bleeding, delayed ovulation, or  
11 intervening early miscarriage. These data are edited for LMP-based gestational ages, which  
12 are clearly inconsistent with the infant’s plurality and birthweight, but reporting problems for  
13 this item persist and may occur more frequently among some subpopulations and among  
14 births with shorter gestations (NCHS, 2003).
- 15 • Preterm delivery data were extracted from the CDC WONDER database. Slight differences in  
16 percentages were obtained compared to reports by NCHS (2003). The source of these  
17 differences is unknown.

## 18 **Data Source**

19 The data used for this indicator were obtained from CDC’s National Center for Health Statistics, Division  
20 of Vital Statistics, natality public-use data (1995-2002) available via CDC WONDER (CDC, 2006), at  
21 <http://wonder.cdc.gov>.

## 22 **References**

- 23 CDC (Centers for Disease Control and Prevention). 2006. CDC Wide-ranging OnLine Data for  
24 Epidemiologic Research (WONDER). Natality data query. Accessed 2006.  
25 <<http://wonder.cdc.gov/natality.html>>
- 26 Goldenberg, R.L., and D.J. Rouse. 1998. Prevention of premature birth. *New Engl. J. Med.* 339:313-320.
- 27 NCHS (National Center for Health Statistics). 2005. Births: final data for 2003. *National Vital Statistics*  
28 *Reports* 54(2). Accessed December 20, 2005.  
29 <[http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54\\_02.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr54/nvsr54_02.pdf)>
- 30 NCHS (National Center for Health Statistics). 2004. Infant mortality statistics from the 2002 period  
31 linked birth/infant death data set. *National Vital Statistics Reports* 53(10).
- 32 NCHS (National Center for Health Statistics). 2003. Births: final data for 2002. *National Vital Statistics*  
33 *Reports* 52(10). Accessed November 21, 2005.  
34 <[http://www.cdc.gov/nchs/data/nvsr/nvsr52/nvsr52\\_10.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr52/nvsr52_10.pdf)>

1 **5.3.3 Discussion**

2 ***What These Indicators Say About Trends in Diseases and Conditions for***  
3 ***Which Environmental Contaminants May Be a Risk Factor***

4 The indicators selected to answer this question represent diseases and conditions that affect multiple  
5 systems of the human body and are associated with a number of causal factors, some of which include  
6 contaminants in the air, water, and land. Some indicators represent chronic conditions (e.g., various  
7 cancers, heart and lung disease), some are primarily acute in nature (e.g., infectious diseases), and others  
8 represent conditions of the developing fetus and neonate. Understandably, no striking trends are evident  
9 across the broad categories of diseases represented by the indicators. However, some changes in disease  
10 rates or occurrence were observed for individual indicators. These relate largely to disease patterns  
11 observed over time and to differences observed across age groups, gender, and racial and ethnic groups.

12 Generally, the occurrence of many chronic diseases in adults is increasing with the aging of the  
13 population (Cancer indicator, p. 5-31; Cardiovascular Disease indicator, p. 5-37; Chronic Obstructive  
14 Pulmonary Disease indicator, p. 5-43). However, while overall cancer incidence rates showed a steady  
15 increase from the mid-1970s to the mid-1990s, rates have held relatively steady between 1997 and 2003.  
16 With the exception of prostate cancer in males and breast cancer in females, site-specific cancer rates also  
17 have remained fairly constant. Similarly, prevalence rates for CVD and COPD have shown no striking  
18 changes between 1997 and 2003. Prevalence rates for adult asthma have increased slightly between 1997  
19 and 2001, with slight declines from 2002 to 2004 (Asthma indicator, p. 5-48).

20 No distinct upward or downward patterns were revealed between 1995 and 2004 for most of the acute  
21 infectious gastrointestinal diseases presented in this report. One exception is the decrease in Hepatitis A  
22 cases, which have been attributed to childhood vaccination for this disease.<sup>36</sup> Generally increased reported  
23 occurrence of arthropod-borne diseases and legionellosis bear watching (Infectious Diseases indicator, p.  
24 5-53).

25 Review of diseases in children and birth outcomes revealed the following overall trends. Childhood  
26 cancer incidence has increased slightly since 1975, with boys having a higher incidence rate than girls.  
27 Leukemia and brain and other nervous system cancers remain the leading cancer sites in children  
28 (Childhood Cancer indicator, p. 5-35). As with adults, prevalence rates for childhood asthma have not  
29 changed much between 1997 and 2004, though a 4 percent increase was reported between 1980 and the  
30 mid-1990s (Asthma indicator, p. 5-48). A wide range of birth defects continues to be reported each year,  
31 but with no great shifts in rates observed for specific types of defects from 1999 to 2003. Heart anomalies  
32 and physical defects remain the most prevalent types of birth defects based on birth certificate data (Birth  
33 Defects indicator, p. 5-58). Among full-term singleton births, the percentage of low birthweight infants  
34 has not varied greatly from 1995 and 2002. Age of mother showed the greatest influence, with the  
35 greatest number of low birthweight infants born to younger mothers (less than 20 years old) (Low  
36 Birthweight indicator, p. 5-62). The highest rate of preterm births is also seen in these younger mothers,  
37 though nearly comparable and rising pre-term birth rates are seen among mothers over the age of 40  
38 (Preterm Delivery indicator, p. 5-65).

---

<sup>36</sup> Centers for Disease Control and Prevention. 2005. Summary of notifiable diseases—United States, 2003. MMWR 52(54):5-14. <<http://www.cdc.gov/mmwr/summary.html>>

1 Some differences were observed across racial and ethnic groups. Observations are reported for the most  
2 recently available annual data set. Overall, cancer incidence is higher among black males than for any  
3 other racial group. Less disparity was observed between cancer incidence in white and black women.  
4 With childhood cancers, higher rates have been consistently reported in whites than in blacks (Cancer  
5 indicator, p. 5-31, Childhood Cancer indicator, p. 5-35). For cardiovascular disease (p. 5-37), prevalence  
6 rates are highest in American Indian/Alaska Natives, followed by whites, blacks or African Americans,  
7 and Asians. Asthma rates were generally reported highest among blacks or African Americans in children  
8 and American Indian/Alaska Natives in adults, followed by, whites, and Asians (Asthma indicator, p. 5-  
9 48).

10 The percentage of preterm and low birthweight infants is consistently higher among blacks than in whites  
11 (1.5 to nearly 3 times higher). This observation is seen across all maternal age groups (Preterm Delivery  
12 indicator, p. 5-65; Low Birthweight indicator, p. 5-62). When available, reported disease rates were  
13 generally lower (Asthma indicator, p. 5-48; Cardiovascular Disease indicator, p. 5-37) or comparable  
14 (Preterm Delivery indicator, p. 5-65; Low Birthweight indicator, p. 5-62) in non-Hispanic and Hispanic  
15 populations.

### 16 ***Limitations, Gaps, and Challenges***

17 In answering this question, EPA reviewed general trends in morbidity and mortality of several diseases  
18 that may be related, at least in part, to environmental contaminant exposures. The indicators presented in  
19 this section provide an overall picture of specific disease rates or occurrence across the nation, including  
20 among some population subgroups. ROE indicator data sets, however, do not enable extensive analysis of  
21 disease trends within or across geographic regions, nor do they allow fully consistent reporting of trends  
22 across racial and ethnic groups. In addition, other diseases or conditions of potential interest exist, but for  
23 which no national scale data are currently available, or for which the strength of associations with  
24 environmental contaminants are still being evaluated. Specific limitations, data gaps, and challenges  
25 related to answering the question on trends in disease are highlighted below.

26 *Geographic patterns.* Mortality data sets enable some analysis at the EPA regional level, but underlying  
27 data for most ROE indicators selected to answer this question do not enable meaningful analysis of  
28 geographic trends across the nation. The regional analyses presented in this report for CVD and COPD  
29 mortality reveal no discernable patterns.

30 *Other diseases and conditions for which environmental contaminants may be risk factors.* Additional data  
31 are needed to prompt or enable EPA to track other diseases and conditions with potential environmental  
32 risk factors (direct or indirect), particularly those for which unexplained increases are being noted.  
33 Examples of diseases or conditions with suggestive or growing evidence that environmental contaminants  
34 are a risk factor follow. The extent to which national-level indicators meeting ROE criteria are available  
35 to track these diseases and conditions varies.

36 Behavioral and neurodevelopmental disorders in children continue to receive attention. These include  
37 disabilities of the functioning brain that affect a child's behavior, motor skills, memory, or ability to learn.  
38 Examples include attention-deficit/hyperactivity disorder (ADHD), dyslexia and other learning  
39 disabilities, cerebral palsy, mental retardation, and autism. Considerable evidence exists documenting that  
40 lead and methylmercury are associated with mental retardation and impairment of mental function and

1 attention.<sup>37</sup> While the role of other environmental contaminants in contributing to some of these disorders  
2 is not fully known or understood (e.g., ADHD), the weight of evidence suggesting relationships between  
3 behavioral and neurodevelopmental effects from exposure to PCBs, environmental tobacco smoke, and  
4 other contaminants continues to grow.<sup>38,39</sup> NHIS tracks ADHD and mental retardation, though the  
5 accurate reporting of these types of disorders is complicated by the difficulties in diagnoses and possible  
6 underreporting (e.g., institutionalized children are excluded from the NHIS survey population).

7 As the U.S. population continues to age, more individuals are afflicted with neurodegenerative disorders  
8 such as Parkinson's disease and Alzheimer's disease. For example, Alzheimer's disease is the eighth  
9 leading cause of death in the nation (General Mortality indicator, p. 5-13). Such diseases are characterized  
10 by the progressive loss of neural cells, which lead to central nervous system dysfunction (e.g., memory  
11 loss, cognitive deficits, personality changes, motor control abnormalities). The etiology of these disorders  
12 is multifactorial, but in many cases the etiology is unknown. Ongoing research is exploring the role, if  
13 any, of environmental contaminant exposure (e.g., heavy metals, pesticides). Thus far, findings are largely  
14 inconclusive due to conflicting results.<sup>40</sup>

15 Diabetes was reported as the sixth leading cause of death in the United States in 2002 (General Mortality  
16 indicator, p. 5-13). Two types of diabetes exist. Diabetes mellitus (type 2), the most common form of  
17 diabetes, is characterized by the body's resistance to insulin action and a relative deficiency of insulin.  
18 Known risk factors for diabetes mellitus include factors such as age, obesity, family history, physical  
19 inactivity, and dietary glycemic load. Type 1 diabetes results from decreased insulin production by the  
20 pancreas as part of an autoimmune response. Onset typically occurs before adulthood and believed to be  
21 triggered by genetic predisposition and possible environmental factors. Diabetes itself is a risk factor for  
22 the development of many other acute and chronic conditions. Epidemiological research has been  
23 conducted to evaluate possible associations between environmental contaminant exposure and diabetes;  
24 however, findings are inconclusive. Occupational and environmental exposures to contaminants such as  
25 arsenic, PCBs, dioxins, and nitrates have been examined.<sup>41,42</sup> Other endocrine and metabolic disorders,  
26 such as thyroid disorders continue to be studied. Research suggests that various environmental

---

<sup>37</sup> Mendola P., S.G. Selevan, S. Gutter, and D. Rice. 2002. Environmental factors associated with a spectrum of neurodevelopmental deficits. *Ment. Retard. Dev. Disabil. Res. Rev.* 8(3):188-197.

<sup>38</sup> Schantz, S.L., J.J. Widholm, and D.C. Rice. 2003. Effects of PCB exposure on neuropsychological function in children. *Review. Environ. Health Perspect.* 111(3):357-376.

<sup>39</sup> State of California. 2005. Proposed identification of environmental tobacco smoke as a toxic air contaminant. Part B: health effects assessment for environmental tobacco smoke. As approved by the Scientific Review Panel on June 24, 2005. California Environmental Protection Agency, Office of Environmental Health Hazard Assessment. <http://www.arb.ca.gov/regact/ets2006/ets2006.htm>

<sup>40</sup> Brown, R.C., A.H. Lockwood, and B.R. Sonawane. 2005. Neurodegenerative disorders: an overview of environmental risk factors. *Environ. Health Perspect.* 113(9):1250-1256.

<sup>41</sup> Longnecker, M.P., and J.L. Daniels. 2001. Environmental contaminants as etiologic factors for diabetes. *Environ. Health Perspect.* 109(Suppl 6):871-876.

<sup>42</sup> Remillard, R.B., and N.J. Bunce. 2002. Linking dioxins to diabetes: epidemiology and biologic plausibility. *Review. Environ. Health Perspect.* 110(9):853-858.

1 contaminants are capable of disrupting endocrine function in many species, including humans (e.g.,  
2 phthalates, POPs).

3 Reproductive function is another condition of interest to EPA. Scientists are studying whether  
4 environmental contaminants may cause alterations in reproductive function and contribute to conditions  
5 such as ovarian failure, decreased sperm counts, infertility, sub-fecundity, and possibly early onset of  
6 puberty. For example, components of cigarette smoke and other environmental contaminants have been  
7 studied in association with possible effects on female reproductive function.<sup>43</sup> Other contaminants under  
8 study include pesticides, dioxins, various metals, and solvents.

9 Renal disease is of interest because of the vital function of the kidneys in maintaining human health and  
10 the range of complex factors that lead to kidney dysfunction and disease. The kidneys can be seriously  
11 affected by a number of primary diseases such as hypertension and diabetes. Nephritis and nephritic  
12 syndrome were reported as the ninth leading cause of death in 2002 (General Mortality indicator, p. 5-13).  
13 EPA is interested because the kidney is known to be the target of some environmental contaminants. For  
14 example, as evidenced through occupational exposure, poisoning, and other experimental studies,  
15 exposure to heavy metals such as lead, cadmium, and mercury has been shown to be nephrotoxic.<sup>44,45</sup> The  
16 U.S. Renal Data System is a national data system that collects, analyzes, and distributes morbidity and  
17 mortality information about end-stage renal disease (ESRD) in the United States.

18 Infectious diseases represent a continuing threat in the United States and worldwide. CDC continues to  
19 monitor infectious diseases and implement preventive strategies for infectious diseases whose incidence  
20 has increased within the past two decades or threatens to increase in the near future.<sup>46</sup> Infectious diseases  
21 of EPA interest may shift over time, making tracking of these diseases more of a challenge. An area of  
22 research interest for arthropod-borne diseases, and a potential issue for zoonotic diseases, is whether their  
23 incidence may change with changes in environmental condition such as land use, local weather  
24 conditions, or other environmental disturbances.

25 *Other data collection systems.* To better answer the question, expanded national-level health data  
26 collection systems are needed, as well as integration of systems that collect health data. For example, the  
27 birth certificate data currently used to track birth defects on a national level have limitations (see Birth  
28 Defects indicator, p. 5-58). The CDC recognizes the need for continuing efforts to improve birth defects  
29 surveillance and recently released improved national prevalence estimates for major birth defects looking  
30 at data reported through the National Birth Defects Prevention Network.<sup>47</sup> Also, as noted above, systems

---

<sup>43</sup> Mlynarcikova, A., M. Fickova, and S. Scsukova. 2005. Ovarian intrafollicular processes as a target for cigarette smoke components and selected environmental reproductive disruptors. Review. *Endocr Regul.* 39(1):21-32.

<sup>44</sup> Klaassen, C.D., ed. 2001. Casarett and Doull's toxicology: the basic science of poisons. Sixth ed. New York, NY: McGraw-Hill.

<sup>45</sup> Jarup, L. 2003. Hazards of heavy metal contamination. Review. *Br. Med. Bull.* 68:167-182.

<sup>46</sup> Centers for Disease Control and Prevention. 1998. Preventing emerging diseases. A strategy for the 21<sup>st</sup> century. Atlanta, GA: U.S. Department of Health and Human Services.

<sup>47</sup> Centers for Disease Control and Prevention. 2006. Improved national prevalence estimates for 18 selected major birth defects—United States, 1999-2001. *MMWR* 54(51&52):1301-1305.

1 do not exist at the state or national level to track many of the diseases or conditions that may be related to  
2 environmental hazards. Existing environmental hazard, exposure, and disease tracking systems are not  
3 linked together.

4 Some efforts are underway to begin tracking exposure and health outcomes together. For example, CDC's  
5 "environmental public health tracking network" involves the collection and integration of data from  
6 environmental hazard monitoring and from human exposure and health outcome surveillance; CDC's goal  
7 is to build a national tracking network (<http://www.cdc.gov/nceh/tracking/>). In addition, CDC has  
8 initiated the "environmental public health indicator project," which identifies indicators of environmental  
9 hazards and health effects that state health departments can use to develop comprehensive environmental  
10 public health programs (<http://www.cdc.gov/nceh/indicators/default.htm>). Such programs will help bridge  
11 some existing gaps in knowledge between disease trends and environmental condition. These efforts also  
12 will enhance data collection efforts at the community level (state and local) and help ensure better  
13 temporal and spatial congruence between environmental, surveillance, and biomonitoring programs.

14

1 **5.4 WHAT ARE THE TRENDS IN HUMAN EXPOSURE TO ENVIRONMENTAL**  
2 **CONTAMINANTS, INCLUDING ACROSS POPULATION SUBGROUPS AND**  
3 **GEOGRAPHIC REGIONS?**

4 **5.4.1 Introduction**

5 Understanding the extent to which human populations are being exposed to environmental contaminants  
6 helps identify those contaminants of potential public health concern and populations who may be  
7 disproportionately exposed to contaminants, such as children, women of childbearing age, certain race or  
8 ethnic groups, or other potentially susceptible subgroups. Tracking the levels of environmental  
9 contaminants in a population also enables an assessment of how exposures to those contaminants are  
10 changing in that population.

11 Referring back to the Environmental Public Health Paradigm presented in Section 5.1.1, measurements of  
12 human exposure to environmental contaminants can be made in the ambient environment (air, water,  
13 land), at the point of human contact, or after contact and contaminant entry into the human body has  
14 occurred. The sidebar on the next page further distinguishes the different types of exposure measures. In  
15 answering this question, the focus is on human biomonitoring, which involves the measurement of human  
16 tissues or excreta for direct or indirect evidence of exposure to chemical, biological, or radiological  
17 substances. The ambient pollutant measurements presented in the media chapters are not considered here,  
18 nor can they be directly linked with biomonitoring data presented to answer this question.

19 Historically, human exposure has been defined as the amount of a chemical, physical, or biological  
20 contaminant at the outer boundary of the body available for exchange or intake via inhalation, ingestion,  
21 or skin or eye contact.<sup>48</sup> As such, human exposure to environmental contaminants has been estimated  
22 primarily through measurements of contaminant concentrations in air, water, or soil, combined with  
23 estimates of the frequency and duration of human contact with the contaminated media. These resulting  
24 exposure estimates have provided a valuable foundation for many of the regulatory and non-regulatory  
25 actions that have been taken to limit exposure to ambient contaminants. However, developments in data  
26 collection techniques and analytical methods have improved the capability to characterize human  
27 exposure via biomonitoring, which provide measurements of contaminants within the human body.

28 For a few environmental contaminants, particularly lead and some other metals, biomonitoring has been  
29 used for exposure characterization for a number of years. More recently, techniques for biomonitoring  
30 have been expanded to include many additional environmental contaminants. These measurements  
31 provide a tool that complements ambient measurements in characterizing human exposure to  
32 environmental contaminants. However, concentrations of environmental contaminants reported at a  
33 national level in blood, urine, or any other type of tissue cannot be used to extrapolate directly to a  
34 particular source.

35 The use of biological markers (or biomarkers) builds on the more traditional exposure assessment  
36 approach, providing more information on the extent to which a contaminant enters, remains, and acts in  
37 the body. Biomarker information attempts to determine the extent to which a contaminant is present in the

---

38  
<sup>48</sup> Aldrich, T., J. Griffith, C. Cooke. 1993. Environmental epidemiology and risk assessment. New York, NY: Van  
Norstrand Reinhold.

## Measuring Human Exposure

Various approaches can be used to measure or estimate the levels of human exposures. No approach is best suited to all environmental contaminants, and each approach has strengths and weaknesses. Available biomonitoring data are used to answer the question on trends in human exposure to environmental contaminants.

**Ambient pollutant measurements.** Historically, human exposures have been estimated using environmental measurements of ambient pollutant concentrations. One limitation of ambient measurements is that the presence of a contaminant in the environment may not be fully informative regarding the extent to which individuals are exposed. In some cases, emissions data are used to model or estimate ambient concentrations.

**Models of exposure.** This approach combines knowledge of environmental contaminant concentrations with information on people's activities and locations (e.g., time spent working, exercising outdoors, sleeping, shopping) to account for the contact with pollutants. This approach requires knowledge of pollutant levels where people live, work, and play, as well as knowledge of their day-to-day activities. Since model output is not a direct measure of environmental conditions or exposure, it is not considered to be a true indicator of exposure.

**Personal monitoring data.** With personal monitoring, the monitoring device is worn by individuals as they engage in their normal day-to-day activities. This approach is most commonly used in workplace environments. Personal monitoring data provide valuable insights into the source of contaminants to which people are actually being exposed. However, a challenge with personal monitoring (as with biomonitoring) is ensuring that sufficient sampling is conducted to be representative of the population being studied. No national-scale level personal monitoring data are available.

**Biomonitoring data.** Several environmental contaminants, notably heavy metals and some pesticides and other persistent organic pollutants, can accumulate in the body. These pollutants or their metabolites can be measured in human tissues or fluids such as blood or urine. These residues reflect the amount of contaminant that gets into or is present in the body, but by themselves do not provide information on how the person came into contact with the contaminant.

- 1
- 2 body after entering through portals of entry such as the eyes, skin, stomach, intestines, or lungs. Given the  
3 complex set of factors that govern contaminants that are absorbed and distributed in the body, a direct  
4 measurement of the levels of a contaminant or related “marker” in the body offers more information about  
5 exposure than measured ambient levels alone.
- 6 In general, a biomarker reports the level of a substance or a marker (i.e., the product of an interaction  
7 between an agent and some target molecule or cell) present in samples collected from the body or  
8 produced by the body. *Biomarkers of exposure* measure concentrations of a contaminant, its  
9 metabolite(s), or reaction product(s) in the body fluids or tissue, most commonly blood or urine.  
10 Measurements can also be taken from a variety of other body compartments, such as feces, breast milk,  
11 hair, nails, exhaled air, and tissues obtained through biopsy or autopsy. The exposure measure used to  
12 answer this question focuses on biomarkers of exposure. Biomarkers of exposure do not predict whether  
13 biological alterations and potential health effect will result. Whether a particular exposure ultimately  
14 results in an adverse health outcome depends on a host of factors, as is described in Section 5.1 of this  
15 chapter.

## 1 5.4.2 Indicators

2 The answer to the question on trends in human exposure relies on national-scale biomonitoring data  
3 collected as part of CDC's National Health and Nutrition Examination Survey (NHANES), primarily data  
4 collected from 1999-2002. As part of the survey, blood and urine samples are routinely collected to  
5 measure certain contaminants (or their metabolites) of public health concern. NHANES is conducted  
6 annually, but the data are combined and reported for a two-year time period to provide more stable  
7 population estimates and to obtain adequate sample sizes for many subgroup analyses. The chemicals in  
8 CDC's current suite of biomarkers are based largely on scientific data that suggest exposure in the U.S.  
9 population, the seriousness of known or suspected health effects associated with some levels of exposure,  
10 the availability and adequacy of analytical methods, along with logistical and cost considerations.<sup>49</sup>

11 Seven individual or groups of contaminants from NHANES are considered, including metals, persistent  
12 organic pollutants (POPs), pesticides, and phthalates (Table 5.3.1). The data presented represent data from  
13 NHANES in its entirety or a subset of the original data, with emphasis on those compounds for which  
14 CDC was able to calculate geometric means.<sup>50</sup> The levels of detection (LOD) presented in the tables that  
15 follow vary from chemical to chemical. The LOD is the level at which the measurement has a 95 percent  
16 probability of being greater than zero. Percentile estimates that are less than the LOD for the chemical  
17 analysis are reported as "<LOD." In cases where the proportion of results below the LOD was greater  
18 than 40 percent, geometric means were not calculated and the results were reported as "NC," or not  
19 calculated.

20 Blood measurements for chemicals that may concentrate in lipid (e.g., dioxins, furans, PCBs,  
21 organochlorine pesticides) are presented per gram of total lipid as well as per whole weight of blood.  
22 Because these compounds are lipophilic, they concentrate in the body's lipid stores, including the lipid in  
23 blood. Blood levels reported per gram of total lipid represent the amount of these chemicals that are  
24 stored in body fat. (Blood levels per whole weight of blood are included to facilitate comparison with  
25 studies investigating exposure to these chemicals that report results in these units). For chemicals  
26 measured in urine, levels are reported as volume in urine and per gram of creatinine. Expressing the result  
27 per gram of creatinine helps adjust for the effects of urinary dilution. For example, if one person  
28 consumed more fluids than another person, that individual's urine output is likely higher and more dilute  
29 than that of the other person.<sup>51</sup>

---

<sup>49</sup> Centers for Disease Control and Prevention. 2005. Third national report on human exposure to environmental chemicals. NCEH publication no. 05-0570. <<http://www.cdc.gov/exposurereport/3rd/>>

<sup>50</sup> Geometric means are calculated by taking the log of each concentration, then calculating the mean of those log values, and finally, taking the antilog of that mean. A geometric mean provides a better estimate of central tendency and is influenced less by high values than is the arithmetic mean. This type of distribution is common when measuring environmental chemicals in blood or urine (CDC 2005).

<sup>51</sup> Centers for Disease Control and Prevention. 2005. Third national report on human exposure to environmental chemicals. NCEH publication no. 05-0570. <<http://www.cdc.gov/exposurereport/3rd/>>

1 **Table 5.4.1. ROE Indicators of Trends in Biomarkers of Exposure to Common Environmental**  
2 **Contaminants**

<b>NATIONAL INDICATORS</b>	<b>LOCATION</b>
Blood Lead Level	5.4.2 – p. 5-76
Blood Mercury Level	5.4.2 – p. 5-79
Blood Cadmium Level	5.4.2 – p. 5-82
Blood Persistent Organic Pollutants Level	5.4.2 – p. 5-85
Blood Cotinine Level	2.4.2 – p. 2-114
Urinary Pesticide Level	5.4.2 – p. 5-94
Urinary Phthalate Level	5.4.2 – p. 5-100

3

## INDICATOR: Blood Lead Level

Lead is a naturally occurring metal found in small amounts in rock and soil. Lead has been used industrially in the production of gasoline, ceramic products, paints, metal alloys, batteries, and solder. While lead arising from the combustion of leaded gasoline was a major source of exposure in past decades, today lead based paint and lead-contaminated dust from paint are the primary sources of lead exposure in the home. Lead levels can be measured in blood or urine.

Lead is a neurotoxic metal that affects areas of the brain that regulate behavior and nerve cell developments (NRC, 1993). Its adverse effects range from subtle responses to overt toxicity, depending on how much lead is taken into the body and the age and health status of the person (CDC, 1991). Lead is one of the few pollutants for which biomonitoring and health effect data are sufficient to clearly evaluate environmental management efforts to reduce lead in the environment.

Infants, children, and fetuses are more vulnerable to the effects of lead because the blood-brain barrier is not fully developed (Nadakavukaren, 2000). Thus, a smaller amount of lead will have a greater effect in children than in adults. In addition, ingested lead is more readily absorbed into a child's bloodstream, while adults absorb only 10 percent. Because of lead's adverse effects on cognitive development, CDC has defined an elevated blood lead level as equal to or greater than 10 micrograms/deciliter ( $\mu\text{g}/\text{dL}$ ) for children under 6 years of age (CDC, 2005).

This indicator is based on data collected by the National Health and Nutrition Examination Survey (NHANES). NHANES is a series of surveys conducted by CDC's National Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional status of the civilian, non-institutionalized U.S. population using a complex, stratified, multistage, probability-cluster design. CDC began monitoring blood lead in 1976 as part of NHANES II, which covered the period 1976 through 1980. Blood lead was also monitored in NHANES III, which covered the period between 1988 and 1994. CDC's National Center for Environmental Health (NCEH) conducted the laboratory analyses for the biomonitoring samples. Beginning in 1999, NHANES became a continuous and annual national survey visiting 15 U.S. locations per year and surveying and reporting for approximately 5,000 people annually.

### What the Data Show

The overall geometric mean blood lead levels among all participants age 1 year and older from NHANES 1999-2000 and 2001-2002 were 1.7 micrograms per deciliter ( $\mu\text{g}/\text{dL}$ ) and 1.5  $\mu\text{g}/\text{dL}$ , respectively (Exhibit 5-31). Adults age 20 years and older had a geometric mean lead level of 1.6  $\mu\text{g}/\text{dL}$  during the 2001-2002 NHANES. For this same period males and females had a geometric mean lead level of 1.8  $\mu\text{g}/\text{dL}$  and 1.2  $\mu\text{g}/\text{dL}$ , respectively. For non-Hispanic blacks, Mexican Americans, and non-Hispanic whites during 2001-2002 the geometric mean lead levels were 1.7, 1.5, and 1.4  $\mu\text{g}/\text{dL}$ , respectively. The geometric mean blood levels among every age, race, and ethnic group, as well as for both males and females, declined in the most recent 2001-2002 survey. Of all age groups, children age 1-5 years had the highest geometric mean lead level at 1.7  $\mu\text{g}/\text{dL}$ . However, this age group also showed the largest decline between 1999-2000 and 2001-2002 (2.2  $\mu\text{g}/\text{dL}$  versus 1.7  $\mu\text{g}/\text{dL}$ , respectively). Children age 6-11 and 12-19 years had reported geometric mean lead levels of 1.3 and 0.9  $\mu\text{g}/\text{dL}$ , respectively for the 2001-2002 survey.

Blood lead levels have declined steadily since NHANES surveillance of blood lead levels across the U.S. began in 1976. NHANES II (1976-1980) reported a geometric mean blood lead level of 14.9  $\mu\text{g}/\text{dL}$  among children age 1-5 years, the highest at risk population for lead exposure and effects and just over 88

1 percent of this high-risk population had blood lead levels greater than or equal to 10 µg/dL (CDC, 2004a).  
 2 Data collected from 1991-1994 as part of NHANES III (phase 2) showed that the geometric mean blood  
 3 lead level for children age 1-5 years was 2.7 µg/dL with 4.4 percent of children age 1-5 years having  
 4 blood lead levels greater than or equal to 10 µg/dL (CDC, 2005). Children age 1-5 whose blood was  
 5 sampled between 1999-2002 had a geometric mean blood lead level of 1.9 µg/dL, with 1.6 percent of the  
 6 children having blood lead levels greater than or equal to 10 µg/dL (CDC, 2005).

7

**Exhibit 5-31. Blood lead concentrations for the U.S population aged 1 year and older by selected demographic groups, 1999-2002**

	Survey years	Sample size	Geometric mean and selected percentiles for blood cadmium concentrations (µg/L) <sup>a</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Total, age 1 year and older</b>	1999-2000	7,970	1.7	1.6	2.4	3.8	4.9
	2001-2002	8,945	1.5	1.4	2.2	3.4	4.4
<b>Sex</b>							
Male	1999-2000	3,913	2.0	1.8	2.9	4.4	6.0
	2001-2002	4,339	1.8	1.7	2.7	3.9	5.3
Female	1999-2000	4,057	1.4	1.3	1.9	3.0	4.0
	2001-2002	4,606	1.2	1.1	1.8	2.6	3.6
<b>Race and ethnicity<sup>b</sup></b>							
Black, non-Hispanic	1999-2000	1,842	1.9	1.7	2.8	4.2	5.7
	2001-2002	2,219	1.7	1.6	2.5	4.2	5.7
Mexican American	1999-2000	2,742	1.8	1.8	2.7	4.2	5.8
	2001-2002	2,268	1.5	1.5	2.2	3.6	5.4
White, non-Hispanic	1999-2000	2,716	1.6	1.6	2.4	3.6	5.0
	2001-2002	3,806	1.4	1.4	2.1	3.1	4.1
<b>Age group</b>							
1-5 years	1999-2000	723	2.2	2.2	3.3	4.8	7.0
	2001-2002	898	1.7	1.5	2.5	4.1	5.8
6-11 years	1999-2000	905	1.5	1.3	2.0	3.3	4.5
	2001-2002	1,044	1.3	1.1	1.6	2.7	3.7
12-19 years	1999-2000	2,135	1.1	1.0	1.4	2.3	2.8
	2001-2002	2,231	0.9	0.8	1.2	1.9	2.7
20+ years	1999-2000	4,207	1.8	1.7	2.5	3.9	5.2
	2001-2002	4,772	1.6	1.6	2.2	3.6	4.6

<sup>a</sup>Refer to CDC 2005 for confidence intervals for reported values.

<sup>b</sup>Other racial and ethnic groups are included in the "total" only.

**Data source:** 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES)

1 **Indicator Limitations**

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey  
3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.  
4 Earlier data sets are available (e.g., NHANES III), but the data are not directly comparable to  
5 NHANES 1999-2002. When CDC releases additional survey results (e.g., 2003-2004) it will  
6 become possible to more fully evaluate trends (CDC, 2002, 2004b).
- 7 • The measurement of lead or any other environmental chemical in a person's blood or urine  
8 does not by itself mean that the chemical has caused or will cause harmful effects in that  
9 person.

10 **Data Source**

11 Data used for this indicator were extracted from two CDC reports that present results of the ongoing  
12 National Health and Nutrition Examination Survey (CDC, 2004a and 2005). The underlying laboratory  
13 data supporting CDC's reports are available online in SAS<sup>®</sup> transport file format at  
14 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

15 **References**

16 CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
17 environmental chemicals. NCEH publication no. 05-0570. Accessed September 9, 2005.  
18 <<http://www.cdc.gov/exposurereport/report.htm>>

19 CDC (Centers for Disease Control and Prevention). 2004a. Children's blood lead levels in the United  
20 States. Accessed October 11, 2005. <<http://www.cdc.gov/nceh/lead/research/kidsBLL.htm>>

21 CDC (Centers for Disease Control and Prevention). 2004b. NHANES analytic guidelines. June 2004  
22 version. Accessed October 21, 2005.  
23 <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

24 CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
25 NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.  
26 <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>

27 CDC (Centers for Disease Control and Prevention). 1991. Preventing lead poisoning in young children.  
28 Accessed November 21, 2004. <[http://aepo-xdv-  
29 www.epo.cdc.gov/wonder/prevguid/p0000029/p0000029.asp](http://aepo-xdv-www.epo.cdc.gov/wonder/prevguid/p0000029/p0000029.asp)>

30 Nadakavukaren, A. 2000. Our global environment: a health perspective. Fifth ed. Prospect Heights, IL:  
31 Waveland Press, Inc.

32 NRC (National Research Council). 1993. Measuring lead exposure in infants, children, and other  
33 sensitive populations. Washington, DC: National Academies Press.

## 1 INDICATOR: Blood Mercury Level

2 Mercury is a naturally occurring metal. However, through many industrial processes (e.g., chemical  
3 manufacturing operations, coal combustion), mercury is widespread and persistent in the environment. It  
4 is found in elemental form and in various organic compounds and complexes. Methylmercury (an organic  
5 form) can accumulate in the food chain in aquatic systems and lead to high concentrations in predatory  
6 fish. Consumption of contaminated fish is the major source of human exposure to methylmercury in the  
7 United States (NRC, 2000).

8 The human health effects of mercury are diverse and depend on the forms of mercury encountered and the  
9 severity and length of exposure. Fetuses and children may be more susceptible to mercury than adults,  
10 with concern for the occurrence of developmental and neurological health effects (NRC, 2000). Prenatal  
11 exposures interfere with the growth and migration of neurons and have the potential to cause irreversible  
12 damage to the developing central nervous system.

13 This indicator quantifies the blood mercury levels (includes organic and inorganic) among U.S. women  
14 age 16-49 years and children age 1-5 years, using data from the 1999-2002 National Health and Nutrition  
15 Examination Survey (NHANES). NHANES does not report blood mercury data for adult males.  
16 NHANES is a series of surveys conducted by CDC's National Center for Health Statistics (NCHS) that is  
17 designed to collect data on the health and nutritional status of the civilian, non-institutionalized U.S.  
18 population using a complex, stratified, multistage, probability-cluster design. CDC's National Center for  
19 Environmental Health (NCEH) conducted the laboratory analyses for the biomonitoring samples.  
20 Beginning in 1999, NHANES became a continuous and annual national survey. Data for 1999-2000 and  
21 2001-2002 are presented here as a baseline with the intent of reporting trends across time as more data  
22 become available in the future.

### 23 What the Data Show

24 Exhibit 5-32 presents the geometric mean and four percentiles of blood mercury for selected populations  
25 sampled during NHANES 1999-2000 and 2001-2002. For women age 16-49 years there was a small  
26 decline in geometric mean blood mercury levels from 1999-2000 and 2001-2002 (1.0 and 0.8 micrograms  
27 per deciliter [ $\mu\text{g/L}$ ] respectively). Decreases occurred for each of the four percentiles, but were most  
28 pronounced at the 90<sup>th</sup> and especially 95<sup>th</sup> percentiles. 5.7 percent of women tested between 1999 and  
29 2002 had mercury levels measured between 5.8 and 58  $\mu\text{g/L}$ . For children age 1-5 years the geometric  
30 mean remained the same at 0.3  $\mu\text{g/L}$ .

31 When the geometric means are stratified across three racial/ethnic groups, black, non-Hispanic women  
32 age 16-49 had the highest levels during both the 1999-2000 and 2001-2002 surveys (1.4 and 1.1  $\mu\text{g/L}$   
33 respectively), followed by white, non-Hispanics (0.9 and 0.8  $\mu\text{g/L}$  respectively), and Mexican Americans  
34 (0.8 and 0.7  $\mu\text{g/L}$  respectively). Among children age 1-5 years, black, non-Hispanics have the highest  
35 geometric mean between 1999 and 2002 (0.50  $\mu\text{g/L}$ ), followed by Mexican Americans (0.35  $\mu\text{g/L}$ ) and  
36 white, non-Hispanics (0.29  $\mu\text{g/L}$ ) (CDC, 2004a).

37

**Exhibit 5-32.** Blood mercury concentrations for U.S. women age 16-49 years and children (male and female) age 1-5 years by selected demographics, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles for mercury concentrations (µg/L) <sup>a</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Women age 16-49 years</b>							
<b>Total, women age 16-49 years</b>	1999-2000	1,709	1.0	0.9	2.0	4.9	7.1
	2001-2002	1,928	0.8	0.7	1.7	3.0	4.6
<b>Race and ethnicity</b>							
Black, non-Hispanic	1999-2000	370	1.4	1.3	2.6	4.8	5.9
	2001-2002	436	1.1	1.1	1.8	3.2	4.1
Mexican American	1999-2000	579	0.8	0.9	1.4	2.6	4.0
	2001-2002	527	0.7	0.7	1.1	2.1	3.5
White, non-Hispanic	1999-2000	588	0.9	0.9	1.9	5.0	6.9
	2001-2002	806	0.8	0.8	1.5	3.0	4.6
<b>Children age 1-5 years</b>							
<b>Total, children age 1-5 years</b>	1999-2000	705	0.3	0.3	0.5	1.4	2.3
	2001-2002	872	0.3	0.3	0.7	1.2	1.9
<b>Sex</b>							
Male	1999-2000	387	0.32	0.2	0.5	1.1	2.1
	2001-2002	440	0.31	0.3	0.6	1.3	1.7
Female	1999-2000	318	0.38	0.2	0.8	1.6	2.1
	2001-2002	432	0.33	0.3	0.7	1.3	1.7
<b>Race and ethnicity</b>							
Black, non-Hispanic	1999-2002	424	0.50	0.47	0.88	1.5	2.4
Mexican American	1999-2002	526	0.35	0.28	0.63	1.4	1.9
White, non-Hispanic	1999-2002	447	0.29	0.20	0.49	1.2	1.8

<sup>a</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2004 and 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

## 1 Indicator Limitations

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey
- 3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.
- 4 When CDC releases additional survey results (e.g., 2003-2004) it will become possible to
- 5 more fully evaluate trends (CDC, 2002, 2004b).
- 6 • The measurement of mercury or any other environmental chemical in a person's blood or
- 7 urine does not by itself mean that the chemical has caused or will cause harmful effects in
- 8 that person.
- 9 • Generally recognized guidelines for blood levels of mercury have not been established.

## 10 Data Sources

11 Data used for this indicator were extracted from two CDC reports that present results of the ongoing  
 12 National Health and Nutrition Examination Survey (CDC, 2004a and 2005). The underlying laboratory  
 13 data supporting CDC's reports are available online in SAS<sup>®</sup> transport file format at  
 14 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

1   **References**

2   CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
3   environmental chemicals. NCEH publication no. 05-0570. Accessed September 9, 2005.  
4   <<http://www.cdc.gov/exposurereport/report.htm>>

5   CDC (Centers for Disease Control and Prevention). 2004a. Blood mercury levels in young children and  
6   childbearing-aged women—United States, 1999-2002. MMWR 53:1018-1020. Accessed December 2,  
7   2004. <<http://www.cdc.gov/mmwr/PDF/wk/mm5343.pdf>>

8   CDC (Centers for Disease Control and Prevention). 2004b. NHANES analytic guidelines. June 2004  
9   version. Accessed October 21, 2005.

10  <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

11  CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
12  NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.

13  <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>

14  NRC (National Research Council). 2000. Toxicological effects of methylmercury. Washington, DC:  
15  National Academies Press.

## 1 INDICATOR: Blood Cadmium Level

2 Cadmium is a metal that is usually found in nature combined with oxygen, chlorine, or sulfur. Cadmium  
3 enters the environment from the weathering of rocks and minerals that contain cadmium. Exposure to  
4 cadmium can occur in occupations such as mining or electroplating, where cadmium is produced or used.  
5 Cadmium exposure can also occur from exposure to cigarette smoke (CDC, 2005).

6 Cadmium and its compounds are toxic to humans and animals. Once absorbed into the human body,  
7 cadmium can accumulate in the kidneys and remain in the body for decades. Chronic exposure to  
8 cadmium may result in serious kidney damage. Osteomalacia, a bone disorder similar to rickets, is also  
9 associated with long-term ingestion of cadmium. Acute airborne exposure, as occurs from welding on  
10 cadmium-alloy metals, can result in swelling (edema) and scarring (fibrosis) of the lungs (CDC, 2005).

11 This indicator reflects blood cadmium concentrations in  $\mu\text{g/L}$  for the United States population, age 1 year  
12 and older, as measured in the 1999-2002 National Health and Nutrition Examination Survey (NHANES).  
13 NHANES is a series of surveys conducted by the Centers for Disease Control and Prevention's (CDC)  
14 National Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional  
15 status of the civilian, non-institutionalized U.S. population using a complex, stratified, multistage,  
16 probability-cluster design. CDC's National Center for Environmental Health (NCEH) conducted the  
17 laboratory analyses for the biomonitoring samples. Beginning in 1999, NHANES became a continuous  
18 and annual national survey; biomonitoring for certain environmental chemicals also was implemented.  
19 Data for 1999-2000 and 2001-2002 are presented here as a baseline with the intent of reporting trends  
20 across time as more data become available in the future.

### 21 What the Data Show

22 Exhibit 5-33 presents the geometric means and selected percentiles for blood cadmium among  
23 participants age 1 year and older from NHANES 1999-2000 and 2001-2002. During the 2001-2002  
24 survey the overall geometric mean blood cadmium level was not calculated because of the high number of  
25 samples that were below the method's limit of detection. However, the blood cadmium levels at the four  
26 different percentiles (50th, 75th, 90th, and 95th) are very similar across the two survey periods, with  
27 levels ranging between 0.3 and 1.4  $\mu\text{g/L}$ . The blood cadmium measurements were similar among males  
28 and females as well as among the racial or ethnic groups sampled across both time periods.

29 During the 1999-2000 survey the overall geometric mean among participants age 20 years or older was  
30 slightly higher (0.5  $\mu\text{g/L}$ ) than the geometric mean among the 12-19 year age group (0.3  $\mu\text{g/L}$ ).  
31 Compared to the other age groups, those older than 20 years had higher cadmium levels for each of the  
32 four selected percentiles during both survey periods. During the 1999-2000 survey, approximately one-  
33 half of all participants under the age of 12 had non-detectable blood cadmium concentrations. This  
34 proportion increased to about 90 percent during the 2001-2002 survey.

35

**Exhibit 5-33.** Blood cadmium concentrations for the U.S. population age 1 year and older by selected demographic groups, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles for cadmium concentrations (µg/L) <sup>a, b, c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Total, age 1 year and older</b>	1999-2000	7,970	0.4	0.3	0.6	1.0	1.3
	2001-2002	8,945	NC	0.3	0.4	0.9	1.3
<b>Sex</b>							
Male	1999-2000	3,913	0.4	0.4	0.6	1.0	1.3
	2001-2002	4,339	NC	0.3	0.4	0.9	1.4
Female	1999-2000	4,057	0.4	0.3	0.6	1.0	1.3
	2001-2002	4,606	NC	0.3	0.5	1.0	1.4
<b>Race and ethnicity<sup>d</sup></b>							
Black, non-Hispanic	1999-2000	1,842	0.4	0.3	0.6	1.0	1.4
	2001-2002	2,219	NC	<LOD	0.4	1.0	1.4
Mexican American	1999-2000	2,742	0.4	0.4	0.4	0.7	1.1
	2001-2002	2,268	NC	<LOD	0.3	0.6	1.0
White, non-Hispanic	1999-2000	2,716	0.4	0.4	0.5	1.0	1.3
	2001-2002	3,806	NC	<LOD	0.5	0.9	1.4
<b>Age group</b>							
1-5 years	1999-2000	723	NC	<LOD	0.3	0.3	0.4
	2001-2002	898	NC	<LOD	<LOD	<LOD	0.3
6-11 years	1999-2000	905	NC	<LOD	0.3	0.4	0.4
	2001-2002	1,044	NC	<LOD	<LOD	<LOD	0.4
12-19 years	1999-2000	2,135	0.3	0.3	0.3	0.8	1.1
	2001-2002	2,231	NC	<LOD	0.3	0.4	0.8
20+ years	1999-2000	4,207	0.5	0.4	0.6	1.0	1.5
	2001-2002	4,772	NC	0.3	0.6	1.1	1.6

<sup>a</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup>LOD = below the limit of detection (LOD) of the analytical method (cadmium LOD = 0.04 µg/L).

<sup>c</sup>Refer to CDC, 2005, for confidence intervals for reported values.

<sup>d</sup>Other racial and ethnic groups are included in the "total" only.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

## 1 Indicator Limitations

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey
- 3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.
- 4 When CDC releases additional survey results (e.g., 2003-2004) it will become possible to
- 5 more fully evaluate trends (CDC, 2002, 2004b).
- 6 • The measurement of cadmium or any other environmental chemical in a person's blood or
- 7 urine does not by itself mean that the chemical has caused or will cause harmful effects in
- 8 that person.
- 9 • Generally recognized guidelines for blood levels of cadmium have not been established.

1    **Data Sources**

2    Data used for this indicator were extracted from the CDC report that presents results of the ongoing  
3    National Health and Nutrition Examination Survey (CDC, 2005). The underlying laboratory data  
4    supporting CDC's report are available online in SAS<sup>®</sup> transport file format at  
5    <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

6    **References**

7    CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
8    environmental chemicals. NCEH publication no. 05-0570.  
9    <<http://www.cdc.gov/exposurereport/report.htm>>

10    CDC (Centers for Disease Control and Prevention). 2004. NHANES analytic guidelines. June 2004  
11    version. Accessed October 21, 2005.  
12    <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

13    CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
14    NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.  
15    <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>

## INDICATOR: Blood Persistent Organic Pollutants Level

Persistent organic pollutants (POPs) are manmade organic chemicals that remain in the environment for years or decades. Some POPs are toxic; others are not. Toxic POPs are of special concern because they often remain toxic for decades or longer. The more persistent a toxic chemical is, the greater the probability for human exposure over time. Because they circulate globally long after being released into the environment, POPs are often detected in locations far from the original source (U.S. EPA, 2004a).

One of the major sources of POPs exposure among the general population is food. Food contamination begins with contaminated soil and/or plants but is of greatest concern to humans as the POPs move up the food chain into animals. Because POPs typically accumulate in fatty tissue and are slow to be metabolized, they bioconcentrate (i.e., increase in concentration) with each trophic level. Therefore, foods such as dairy products, eggs, animal fats, and some types of fish are more likely to contain greater concentrations of POPs than fruits, vegetables, and grains. POPs have been linked to adverse health effects such as cancer, nervous system damage, reproductive disorders, and disruption of the immune system in both humans and animals (U.S. EPA, 2004a).

This indicator presents data from CDC's National Health and Nutrition Examination Survey (NHANES) 1999-2000 and 2001-2002. NHANES is a series of surveys conducted by CDC's National Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional status of the civilian, non-institutionalized U.S. population using a complex, stratified, multistage, probability-cluster design. CDC's National Center for Environmental Health (NCEH) conducted the laboratory analyses for the biomonitoring samples. Beginning in 1999, NHANES became a continuous and annual national survey; biomonitoring for certain environmental chemicals also was implemented. These data are presented here as a baseline with the intent of reporting trends over larger time periods in the future. Blood levels of POPs or their metabolites were measured in NHANES participants age 12 years or older. This indicator includes the following three broad classes of POPs:

- Organochlorine pesticides
- Polychlorinated dibenzo-p-dioxins (dioxins) and polychlorinated dibenzo-p-furans (furans)
- Polychlorinated biphenyls (PCBs)

**Organochlorine pesticides** were first introduced in the 1940s. Because of their environmental persistence, EPA banned most uses of these chemicals during the 1970s and 1980s. However, many other countries still produce and/or use organochlorines. These fat-soluble chemicals are most commonly absorbed through fatty foods. These pesticides are associated with effects to the central nervous system at acute exposure levels and potential carcinogenic effects with long-term exposure (Reigart and Roberts, 1999). This indicator includes eight organochlorine pesticides that were measured in NHANES 1999-2000 and 2001-2002; data for three of these pesticides (aldrin, dieldrin, and endrin) first became available with the release of results from NHANES 2001-2002 (CDC, 2005).

- **Aldrin and dieldrin.** These two pesticides were widely used from the 1950s until 1970 when EPA prohibited most agricultural uses. However, they continued to be used to control termites until that use was prohibited in 1987. Aldrin rapidly converts to dieldrin in the environment or after being ingested or absorbed into the body. Dieldrin is more persistent and often accumulates in fatty tissues (CDC, 2005).

- 1 • **Chlordane and heptachlor.** EPA banned these pesticides in 1988. Within the body,  
2 chlordane is metabolized to oxychlordane and *trans*-nonachlor, and heptachlor is metabolized  
3 to heptachlor epoxide (CDC, 2003). Chlordane was commonly used against termites and on  
4 some agricultural crops and heptachlor was used primarily against soil insects and termites  
5 (Ritter et al., n.d.).
- 6 • **DDT.** Dichlorodiphenyltrichlorethane, or DDT, was banned in the United States in 1973 but  
7 is still produced in other countries, where it is used primarily to control mosquitoes. In the  
8 body or the environment, DDT breaks down to DDE (dichlorodiphenyldichloroethane), a  
9 more persistent chemical. DDT or DDE in the human body may reflect either a relatively  
10 recent exposure or cumulative past exposures (CDC, 2005).
- 11 • **Endrin.** Endrin is a stereoisomer (i.e., a molecule that is a mirror image of another molecule  
12 with the same molecular formula) of dieldrin. Endrin production was discontinued in 1986  
13 primarily because of its persistence in the environment. Unlike many other organochlorine  
14 pesticides, endrin does not readily accumulate in body tissues and is metabolized and  
15 eliminated from the body relatively quickly (CDC, 2005).
- 16 • **Hexachlorobenzene (HCB)** was commonly used as a pesticide until 1965. HCB was also  
17 used in the past as a fungicide to protect wheat seeds, and for a variety of industrial purposes,  
18 including rubber, aluminum, dye production and wood preservation (U.S. EPA, 2004b). EPA  
19 canceled registered use in 1984; however, HCB is still formed as a by-product during  
20 manufacturing of other chemicals and pesticides (U.S. EPA, 2004b).
- 21 • **Mirex** has not been produced or used in the United States since 1978. It was used primarily  
22 in the southern United States to control fire ants. The primary source of exposure is dietary,  
23 most often through consumption of fish (U.S. EPA, 2004c).

24 **Dioxins and furans** are similar classes of chlorinated aromatic chemicals, usually generated as pollutants  
25 or by-products. In the environment, dioxins and furans occur as a mixture of about 20 compounds (termed  
26 “congeners”). Half-lives of these congeners range from roughly 3 to 19 years (CDC, 2005). Human  
27 exposure occurs primarily through food; other sources of exposure include industrial accidents, burning of  
28 PCBs contaminated with dioxins and furans, burning of many plastics such as PVC, and spraying or  
29 unintended releases of contaminated herbicides such as Agent Orange. The detection of dioxins and  
30 furans in human blood can reflect either recent or past exposures (CDC, 2005).

31 Human health effects associated with dioxins and furans are wide-ranging. The effects of individual  
32 congeners are difficult to determine since most people are exposed to mixtures of several congeners.  
33 However, overall health effects include liver disorders, fetal injury, porphyria (a condition resulting in  
34 abnormal metabolic function), elevated lipid levels, chloracne, hormonal changes, neurologic damage,  
35 and immunogenic changes. The dioxin congener TCDD (2,3,7,8-tetrachlorodibenzo-*p*-dioxin) is the most  
36 toxic form of dioxin and it is classified as a known human carcinogen (IARC, 1997). The half-life of  
37 TCDD is estimated to be around 7 years (CDC, 2005).

38 **Polychlorinated biphenyls (PCBs)** are chlorinated aromatic hydrocarbons used in a variety of industries  
39 as electrical insulating and heat exchange fluids. PCBs are composed of mixtures of up to 209 different  
40 chlorinated congeners. United States production of PCBs peaked in the early 1970s; PCBs were banned in  
41 1979. Sources of exposure for the general population include releases from waste sites and fires involving  
42 transformers, ingestion of foods contaminated by PCBs, and migration from packaging materials. PCBs  
43 typically accumulate in fatty tissues (ATSDR, 2000).

1 The detection of PCBs in human blood can reflect either recent or past exposures. PCBs with higher  
2 degrees of chlorination persist in the human body from several months to years after exposure. Coplanar  
3 and mono-ortho substituted PCBs exhibit health effects similar to dioxins. The human health effects of  
4 PCBs include changes in liver function, elevated lipids, and gastrointestinal cancers (CDC, 2005).

## 5 **What the Data Show**

6 **Organochlorine pesticides.** Exhibit 5-34 presents the lipid-adjusted and whole weight geometric means  
7 and four percentile values for selected organochlorine pesticide metabolites measured in blood. The  
8 overall geometric mean for *p,p'*-DDE (metabolite for DDT) during the 1999-2000 survey was 260  
9 nanograms per gram (ng/g), compared to 295 ng/g in 2001-2002. During the most recent survey (2001-  
10 2002), the geometric mean for *trans*-nonachlor (metabolite for chlordane) was 17 ng/g, compared with  
11 18.3 ng/g in 1999-2000. Aldrin, dieldrin, endrin, heptachlor epoxide (metabolite for heptachlor), HCB,  
12 and mirex were not measured with sufficient frequency above the limit of detection to calculate a  
13 geometric mean.

14 Geometric mean blood concentrations of *p, p'*-DDE were compared among demographic groups after  
15 adjustment for the covariates of race/ethnicity, age, and gender. For samples collected between 1999 and  
16 2002, the 12-19 year age group had less than half the blood DDE level compared to the 20 years or older  
17 age group (CDC, 2005). The adjusted geometric mean level in Mexican Americans was 652 ng/g during  
18 the most recent survey, more than two and one-half times higher than levels in non-Hispanic whites and  
19 two times higher than levels in non-Hispanic blacks. It is unknown whether differences in geometric  
20 mean blood DDE concentrations between different age groups or racial/ethnic groups represent  
21 differences in exposure, body size relationships, or metabolism (CDC, 2005) (data not shown).

22 **Dioxins and furans.** In the U.S., quantifiable emissions of dioxin-like compounds from all known  
23 sources have decreased by an estimated 89 percent between 1987 and 2000 (U.S. EPA, 2006). Values  
24 reported in NHANES 1999-2000 and 2001-2002 support that estimated decline (CDC, 2005). For  
25 example, among the entire NHANES 1999-2000 sample population, TCDD (generally considered the  
26 most toxic dioxin) was detected less than one percent of the time (CDC, 2003). During 2001-2002, only a  
27 small number of the dioxin and furan congeners analyzed were detected frequently enough for geometric  
28 means to be calculated (Exhibit 5-35). TCDD continued to be among the list of congeners analyzed in  
29 NHANES 2001-2002, though only the 95th percentiles for women and non-Hispanic blacks could be  
30 characterized (6.4 and 7.4 picograms/gram [pg/g] TCDD lipid-adjusted, respectively) (data not shown).  
31 From NHANES 1999-2000, none of the six dioxin or nine furan congeners measured in the blood were  
32 detected with sufficient frequency to calculate a geometric mean.

33 In general, the more highly chlorinated dioxin and furan congeners were the main contributors to the  
34 human body burden. The higher concentrations of these congeners in human samples are a result of their  
35 greater persistence in the environment, bioaccumulation in the food chain, resistance to metabolic  
36 degradation, and greater solubility in body fat (CDC, 2005).

37 **PCBs.** During the NHANES 1999-2000 subsample period, none of the 3 coplanar and 25 other PCB  
38 congeners were measured in blood with sufficient frequency above the limit of detection to calculate a  
39 geometric mean. The frequency of detection of the eight mono-ortho substituted PCBs ranged from 2 to  
40 47 percent (CDC, 2003). Coplanar PCB congeners 169 and 126, which exhibit dioxin-like toxicity, had a  
41 detection rate above 5 percent (CDC, 2003). In the 2001-2002 survey, a total of 12 dioxin-like PCB  
42 compounds, three coplanar PCBs and nine mono-ortho-substituted PCBs, were measured in blood. In  
43 addition, a total of 25 non dioxin-like PCBs were also included in the 2001-2002 NHANES analysis.  
44 However, only two coplanar PCBs and three non-dioxin-like PCB compounds were detected with

1 sufficient frequency to calculate a geometric mean (Exhibit 5-35). Although some PCB congeners were  
2 detected with greater frequency during the 2001-2002 survey compared to 1999-2000, this may, in part,  
3 be attributed to improved limits of detection in NHANES 2001-2002 (CDC 2005). After adjusting for a  
4 number of covariates (e.g., age, gender, blood cotinine, and lipid level), there were some differences  
5 observed in the concentrations of different PCB congeners between different demographic subgroups.  
6 However, it is unknown whether these differences represent differences in exposure, pharmacokinetics, or  
7 the relationship of dose per body weight (CDC, 2005).

8

**Exhibit 5-34. Blood concentrations of selected organochlorine pesticide metabolites for the U.S. population age 12 years and older, lipid-adjusted and whole weight, 1999-2002**

	Survey years	Sample size	Geometric mean and selected percentiles for organochlorine pesticide metabolite concentrations (ng/g) <sup>a,b,c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Aldrin</b>							
Lipid-adjusted	2001-2002	2,275	NC	<LOD	<LOD	<LOD	<LOD
Whole weight	2001-2002	2,275	NC	<LOD	<LOD	<LOD	<LOD
<b>Chlordane</b>							
<b>Oxychlordane</b>							
Lipid-adjusted	1999-2000	1,661	NC	<LOD	20.6	34.4	44.8
	2001-2002	2,249	11.4	11.1	21.7	36.3	49.7
Whole weight	1999-2000	1,661	NC	<LOD	0.13	0.26	0.31
	2001-2002	2,249	0.07	0.07	0.14	0.25	0.35
<b>trans-Nonachlor</b>							
Lipid-adjusted	1999-2000	1,933	18.3	17.8	31.9	55.1	79.4
	2001-2002	2,286	17.0	17.9	33.7	56.3	78.2
Whole weight	1999-2000	1,933	0.11	0.11	0.21	0.37	0.54
	2001-2002	2,286	0.10	0.11	0.22	0.39	0.59
<b>DDT/DDE</b>							
<b>p,p'-DDE</b>							
Lipid-adjusted	1999-2000	1,964	260	226	537	1,150	1,780
	2001-2002	2,298	295	250	597	1,400	2,320
Whole weight	1999-2000	1,964	1.54	1.31	3.49	7.49	11.6
	2001-2002	2,298	1.81	1.57	3.97	8.81	15.4
<b>p,p'-DDT</b>							
Lipid-adjusted	1999-2000	1,679	NC	<LOD	<LOD	<LOD	28.0
	2001-2002	2,305	NC	<LOD	<LOD	<LOD	26.5
Whole weight	1999-2000	1,679	NC	<LOD	<LOD	<LOD	0.17
	2001-2002	2,305	NC	<LOD	<LOD	<LOD	0.18
<b>o,p'-DDT</b>							
Lipid-adjusted	1999-2000	1,669	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,279	NC	<LOD	<LOD	<LOD	<LOD
Whole weight	1999-2000	1,669	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,279	NC	<LOD	<LOD	<LOD	<LOD
<b>Dieldrin</b>							
Lipid-adjusted	2001-2002	2,159	NC	<LOD	<LOD	15.2	20.3
Whole weight	2001-2002	2,159	NC	<LOD	<LOD	0.11	0.15
<b>Endrin</b>							
Lipid-adjusted	2001-2002	2,187	NC	<LOD	<LOD	<LOD	5.1
Whole weight	2001-2002	2,187	NC	<LOD	<LOD	<LOD	0.02

See notes at end of table.

*Continued*

**Exhibit 5-34** (continued). Blood concentrations of selected organochlorine pesticide metabolites for the U.S. population age 12 years and older, lipid-adjusted and whole weight, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles for organochlorine pesticide metabolite concentrations (in ng/g) <sup>a,b,c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Heptachlor</b>							
<b>Heptachlor epoxide</b>							
Lipid-adjusted	1999-2000	1,589	NC	<LOD	<LOD	15.3	23.9
	2001-2002	2,259	NC	<LOD	<LOD	14.8	21.6
Whole weight	1999-2000	1,589	NC	<LOD	<LOD	0.11	0.18
	2001-2002	2,259	NC	<LOD	<LOD	0.10	0.15
<b>Hexachlorobenzene (HCB)</b>							
Lipid-adjusted	1999-2000	1,702	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,277	NC	<LOD	<LOD	<LOD	<LOD
Whole weight	1999-2000	1,702	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,277	NC	<LOD	<LOD	<LOD	<LOD
<b>Mirex</b>							
Lipid-adjusted	1999-2000	1,853	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,257	NC	<LOD	<LOD	15.8	57.1
Whole weight	1999-2000	1,853	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,257	NC	<LOD	<LOD	0.10	0.41

<sup>a</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>c</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

**Exhibit 5-35.** Blood concentrations of selected polychlorinated dibenzo-p-dioxins (dioxins), polychlorinated dibenzofurans (furans), and dioxin-like polychlorinated biphenyls (PCBs) for the U.S. population age 20 years and older, lipid-adjusted and whole weight, 1999-2002<sup>a,b</sup>

	Survey years	Sample size	Geometric mean and selected percentiles for dioxin, furan, and PCB concentrations <sup>c,d,e</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Dioxins (pg/g)</b>							
<b>1,2,3,4,6,7,8,9-OCDD</b>							
Lipid-adjusted	1999-2000	1,254	NC	<LOD	445	704	948
	2001-2002	1,171	346	333	571	939	1,260
Whole weight	1999-2000	1,254	NC	<LOD	2.80	4.57	6.20
	2001-2002	1,171	2.23	2.17	3.86	6.46	9.11
<b>1,2,3,4,6,7,8-HpCDD</b>							
Lipid-adjusted	1999-2000	1,237	NC	<LOD	61.9	92	119
	2001-2002	1,220	39	40.2	68.7	115	147
Whole weight	1999-2000	1,237	NC	<LOD	0.39	0.61	0.80
	2001-2002	1,220	0.25	0.27	0.44	0.78	1.03
<b>1,2,3,6,7,8-HxCDD</b>							
Lipid-adjusted	1999-2000	1,237	NC	<LOD	36.1	62.8	75.6
	2001-2002	1,234	34.6	39.2	60.7	95.2	127
Whole weight	1999-2000	1,237	NC	<LOD	0.23	0.40	0.52
	2001-2002	1,234	0.22	0.25	0.41	0.66	0.87
<b>Furans (pg/g)</b>							
<b>1,2,3,4,6,7,8-HpCDF</b>							
Lipid-adjusted	1999-2000	1,109	NC	<LOD	<LOD	14.2	18.4
	2001-2002	1,219	9.6	10.3	14.5	21.3	27.1
Whole weight	1999-2000	1,109	NC	<LOD	<LOD	0.09	0.11
	2001-2002	1,219	0.06	0.06	0.09	0.13	0.18
<b>PCBs (units vary)</b>							
<b>PCB 126 (pg/g)</b>							
Lipid-adjusted	1999-2000	1,238	NC	<LOD	30.8	57.1	89.5
	2001-2002	1,226	22.7	24.5	40.8	69.3	108
Whole weight	1999-2000	1,238	NC	<LOD	0.20	0.38	0.59
	2001-2002	1,226	0.15	0.16	0.27	0.48	0.73
<b>PCBs (units vary)</b>							
<b>PCB 169 (pg/g)</b>							
Lipid-adjusted	1999-2000	1,240	NC	<LOD	<LOD	36.4	47.8
	2001-2002	1,223	17.9	19	33.1	50.0	60.7
Whole weight	1999-2000	1,240	NC	<LOD	<LOD	0.24	0.30
	2001-2002	1,223	0.12	0.13	0.22	0.34	0.42

See notes at end of table.

**Continued**

**Exhibit 5-35** (continued). Blood concentrations of selected polychlorinated dibenzo-p-dioxins (dioxins), polychlorinated dibenzofurans (furans), and dioxin-like polychlorinated biphenyls (PCBs) for the U.S. population age 20 years and older, lipid-adjusted and whole weight, 1999-2002<sup>a,b</sup>

	Survey years	Sample size	Geometric mean and selected percentiles for dioxin, furan, and PCB concentrations <sup>c,d,e</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>PCBs (units vary)</b>							
<b>PCB 138 &amp; 158 (ng/g)</b>							
Lipid-adjusted	1999-2000	1,261	NC	<LOD	<LOD	54.7	72.8
	2001-2002	1,545	23.3	23.9	44.6	73.8	99.5
Whole weight	1999-2000	1,261	NC	<LOD	<LOD	0.36	0.49
	2001-2002	1,545	0.15	0.15	0.29	0.51	0.68
<b>PCB 153 (ng/g)</b>							
Lipid-adjusted	1999-2000	1,258	NC	<LOD	<LOD	83.2	122
	2001-2002	1,549	32.6	35	62.8	99.5	132
Whole weight	1999-2000	1,258	NC	<LOD	<LOD	0.56	0.79
	2001-2002	1,549	0.21	0.22	0.41	0.67	0.90
<b>PCB 180 (ng/g)</b>							
Lipid-adjusted	1999-2000	1,257	NC	<LOD	41	65.5	83.8
	2001-2002	1,547	23	26.4	46.7	74	90.7
Whole weight	1999-2000	1,257	NC	<LOD	0.27	0.44	0.56
	2001-2002	1,547	0.15	0.17	0.30	0.49	0.64

<sup>a</sup>The 1999-2000 subsample included those aged 12-19 years and aged 20 years and older. The 2001-2002 subsample does not include the 12-19 year-old age group. To enable comparisons, this table presents results for the 20 and older age group only.

<sup>b</sup>This table only includes individual congeners detected with sufficient frequency to calculate a geometric mean.

<sup>c</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>d</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>e</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

## 1 Indicator Limitations

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey
- 3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.
- 4 When CDC releases additional survey results (e.g., 2003-2004) it will become possible to
- 5 more fully evaluate trends (CDC, 2002, 2004b).
- 6 • The measurement of an environmental chemical in a person's blood or urine does not by
- 7 itself mean that the chemical has caused or will cause harmful effects in that person.
- 8 • Generally recognized reference levels for organochlorine pesticides and dioxin, furan, and
- 9 PCB congeners in blood have not yet been established.

## 10 Data Sources

11 Data used for this indicator were extracted from the CDC report that presents results of the ongoing  
 12 National Health and Nutrition Examination Survey (CDC, 2005). The underlying laboratory data  
 13 supporting CDC's report are available online in SAS<sup>®</sup> transport file format at  
 14 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

1   **References**

- 2   ATSDR (Agency for Toxic Substances and Disease Registry). 2000. Toxicological profile for  
3   polychlorinated biphenyls (PCBs). Atlanta, GA: U.S. Department of Health and Human Services, Public  
4   Health Service.
- 5   CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
6   environmental chemicals. NCEH publication no. 05-0570. Accessed September 9, 2005.  
7   <<http://www.cdc.gov/exposurereport/report.htm>>
- 8   CDC (Centers for Disease Control and Prevention). 2004. NHANES analytic guidelines. June 2004  
9   version. Accessed October 21, 2005.  
10  <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>
- 11  CDC (Centers for Disease Control and Prevention). 2003. Second national report on human exposure to  
12  environmental chemicals. NCEH publication 02-0716. Accessed November 21, 2004.
- 13  CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
14  NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.  
15  <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>
- 16  IARC (International Agency for Research on Cancer). 1997. Polychlorinated dibenzo-para-dioxins and  
17  polychlorinated dibenzofurans. IARC Monographs on the Evaluation of Carcinogenic Risks to Humans  
18  vol. 69. Lyon, France.
- 19  Reigart, J.R., and J.R. Roberts. 1999. Recognition and management of pesticide poisonings. Prepared for  
20  U.S. EPA. Accessed April 11, 2005.  
21  <<http://www.epa.gov/pesticides/safety/healthcare/handbook/contents.htm>>
- 22  Ritter, L., K.R. Solomon, J. Forget, M. Stemeroff, and C. O’Leary. n.d. Persistent organic pollutants. The  
23  International Programme on Chemical Safety (IPCS) within the framework of the Inter-Organization  
24  Programme for the Sound Management of Chemicals (IOMC).  
25  <<http://www.chem.unep.ch/pops/ritter/en/ritteren.pdf>>
- 26  U.S. EPA. 2006. Inventory of sources of environmental releases of dioxin-like compounds in the United  
27  States: the year 2000 update. EPA/600/P-03/002a. Washington, DC. [link will be provided at future date]
- 28  U.S. EPA. 2004a. Pesticides: regulating pesticides—persistent organic pollutants (POPs). Updated  
29  August 2004. Accessed December 7, 2004. <<http://www.epa.gov/oppfead1/international/pops.htm>>
- 30  U.S. EPA. 2004b. Hexachlorobenzene. Updated December 2004. Accessed December 7, 2004.  
31  <<http://www.epa.gov/pbt/pubs/hexa.htm>>
- 32  U.S. EPA. 2004c. Mirex. Updated December 2004. Accessed December 7, 2004.  
33  <<http://www.epa.gov/pbt/pubs/mirex.htm>>

## 1 INDICATOR: Urinary Pesticide Level

2 Pesticides are chemicals or biological agents that kill plant or animal pests and may include herbicides,  
3 insecticides, fungicides, and rodenticides. More than one billion pounds of pesticides are used in the  
4 United States each year to control weeds, insects, and other organisms that threaten or undermine human  
5 activities (Aspelin, 2003). Some of these compounds can be harmful to humans if ingested, inhaled, or  
6 otherwise contacted in sufficient quantities. The primary routes of exposure for the general population are  
7 ingestion of a treated food source and contact with applications in or near residential sites. Herbicide  
8 exposure may also result from contaminated water. Those who manufacture, formulate, and/or apply  
9 these chemicals may also be occupationally exposed.

10 This indicator reports the results of human biomonitoring for three classes of non-persistent insecticides  
11 and three classes of herbicides, which can be measured through metabolites that result from the chemical  
12 breakdown of the pesticide within the body. Measurement of non-persistent pesticide metabolites in urine  
13 typically reflects recent exposure (i.e., in the last few days) due to the short time these metabolites remain  
14 within the body (CDC, 2005).

15 The three classes of insecticides covered by this indicator are carbamates, organophosphates (OPs), and  
16 pyrethroids. Carbamate insecticides have a wide variety of uses, which include applications on  
17 agricultural crops, residential lawns and gardens, and golf courses. Carbamate insecticides do not persist  
18 long in the environment, so they have a low potential for bioaccumulation. Organophosphates are used to  
19 control a broad spectrum of insects and account for about half of all insecticides used in the United States.  
20 Although organophosphates are still used for insect control on many food crops, most residential uses are  
21 being phased out in the United States. Pyrethroids are synthetic analogues of pyrethrins, which are natural  
22 chemicals found in chrysanthemum flowers. All three groups are neurotoxicants that act by  
23 overstimulating the nervous system of exposed organisms. Symptoms of exposure to pesticides in these  
24 classes may include muscle weakness or paralysis, difficulty breathing, difficulty concentrating, impaired  
25 coordination, and memory loss (CDC, 2005)

26 The three herbicide classes discussed here are licensed for both commercial and restricted use. Restricted  
27 use products can only be applied by certified applicators or under the supervision of such an applicator  
28 (U.S. EPA, 2003). The herbicide groups are: chlorophenoxy acids, triazines, and chloroacetamides.  
29 Symptoms of acute high dose exposure to these herbicides may include skin and mucosal irritation as  
30 well as burning sensations in the nasopharynx and chest if inhaled (Reigart and Roberts, 1999).

31 This indicator presents pesticide urinary metabolite data collected as part of CDC's National Health and  
32 Nutrition Examination Survey (NHANES). NHANES is a series of surveys conducted by CDC's National  
33 Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional status of  
34 the civilian, non-institutionalized U.S. population using a complex, stratified, multistage, probability-  
35 cluster design. CDC's National Center for Environmental Health (NCEH) conducted the laboratory  
36 analyses for the biomonitoring samples. Beginning in 1999, NHANES became a continuous and annual  
37 national survey; biomonitoring for certain environmental chemicals also was implemented. Data for  
38 1999-2000 and 2001-2002 are presented here as a baseline with the intent of reporting trends over larger  
39 time periods in the future. Carbamates, organophosphates, and herbicides were measured as part of  
40 NHANES 1999-2000; urinary levels of pyrethroids were added during the NHANES 2001-2002 survey.  
41 This indicator presents data for a subsample of survey participants age 6 to 59 years. NHANES also  
42 measured levels of a class of persistent pesticides, the organochlorine pesticides, which are not discussed  
43 here but can be found under the Indicator "Blood Persistent Organic Pollutants Level."

1 **What the Data Show**

2 **Carbamates.** Exhibit 5-36 presents the geometric means and four percentile values for unadjusted and  
3 creatinine-adjusted urinary concentrations of the carbamate pesticide metabolites. Of the three metabolites  
4 presented, only 1-naphthol was detected with sufficient frequency to calculate a measurable geometric  
5 mean, which was 1.70 µg/L and 1.52 micrograms per gram (µg/g) (creatinine-adjusted).

6 **Organophosphates.** NHANES 1999-2000 and 2001-2002 measured urinary concentrations of dialkyl  
7 phosphates, which are the primary metabolites of many organophosphate compounds. Exhibit 5-37  
8 presents the geometric means and four percentile values for urinary concentrations and creatinine-  
9 adjusted urinary concentrations of these metabolites. Only three of the six urinary dialkyl phosphates  
10 presented (dimethylthiophosphate, diethylphosphate, and diethylthiophosphate) were measured with  
11 sufficient frequency above the limit of detection to calculate a geometric mean. The geometric means for  
12 those metabolites were 1.82 µg/L (1.64 µg/g creatinine), 1.03 µg/L (0.92 µg/g creatinine), and 0.46 µg/L  
13 (0.45 µg/L creatinine), respectively.

14 **Pyrethroids.** Pyrethroid (parent and metabolite) compounds were not included in the NHANES 1999-  
15 2000 list of analytes measured in urine. During the 2001-2002 NHANES, however, five pyrethroid  
16 urinary metabolites were measured in urine samples from a subgroup of participants. Only one of these  
17 metabolites, 3-phenoxybenzoic acid was measured with sufficient frequency above the limit of detection  
18 to calculate a geometric mean. The geometric mean concentration of this metabolite measured in urine  
19 was 0.32 µg/L (Exhibit 5-38).

20 **Herbicides.** During the 1999-2000 survey, none of the direct metabolites of the three primary classes of  
21 herbicide were detected in urine with sufficient frequency above the limit of detection to calculate a  
22 geometric mean; therefore, data are not displayed. The metabolites 2,4,5-trichlorophenoxyacetic acid and  
23 atrazine mercapturate were detected in only 1.2 percent and 3.3 percent, respectively, of the subsample  
24 (CDC, 2003). The minor metabolite 2,4-dichlorophenol had a geometric mean of 1.1 µg/L measured in  
25 urine; however, this metabolite can also be a result of metabolism of several other chemicals or a  
26 byproduct in the manufacture of chemicals. The findings from the 2001-2002 survey were generally  
27 consistent with earlier findings showing these metabolites to be frequently near or below the limits of  
28 detection. Unlike the 1999-2000 results, 2,4-dichlorophenol samples collected during 2001-2002 were not  
29 detected with sufficient frequency above the detection limit to calculate a geometric mean. However, the  
30 reported concentration of this metabolite at the 75<sup>th</sup>, 90<sup>th</sup>, and 95<sup>th</sup> percentile were higher during the 2001-  
31 2002 survey than during the 1999-2000 survey (CDC, 2005).

32

1  
2  
3

**Exhibit 5-36.** Urine concentrations of selected carbamate pesticide metabolites for the U.S. population age 6-59 years, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles for carbamate metabolite concentrations <sup>a,b,c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>1-Naphthol<sup>d</sup></b>							
µg/L of urine	1999-2000	1,998	1.70	1.22	2.72	6.20	12.0
µg/g of creatinine	1999-2000	1,998	1.52	1.25	3.00	6.80	11.6
<b>2-Isopropoxyphenol</b>							
µg/L of urine	1999-2000	1,917	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,503	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	1999-2000	1,917	NC	<LOD	<LOD	<LOD	<LOD
	2001-2002	2,502	NC	<LOD	<LOD	<LOD	<LOD
<b>Carbofuranphenol</b>							
µg/L of urine	1999-2000	1,994	NC	<LOD	<LOD	<LOD	0.74
	2001-2002	2,530	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	1999-2000	1,994	NC	<LOD	<LOD	<LOD	0.78
	2001-2002	2,529	NC	<LOD	<LOD	<LOD	<LOD

<sup>a</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>c</sup>Refer to CDC, 2005, for confidence intervals for reported values.

<sup>d</sup>1-Naphthol was not included in CDC, 2005.

**Data source:** CDC, 2003 and 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

**Exhibit 5-37.** Urine concentrations of selected organophosphate pesticide metabolites for the U.S. population age 6-59 years, 1999-2002

	Survey years	Sample size	Geometric mean and selected percentiles or organophosphate pesticide metabolite concentrations <sup>a,b,c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Dimethylphosphate</b>							
µg/L of urine	1999-2000	1,949	NC	0.74	2.80	7.90	13.0
	2001-2002	2,519	NC	<LOD	3.25	8.22	13.4
µg/g of creatinine	1999-2000	1,949	NC	0.81	2.93	8.46	16.1
	2001-2002	2,518	NC	<LOD	3.00	7.83	12.7
<b>Dimethylthiophosphate</b>							
µg/L of urine	1999-2000	1,948	1.82	2.70	10.0	38.0	46.0
	2001-2002	2,518	NC	0.45	4.02	16.2	32.6
µg/g of creatinine	1999-2000	1,948	1.64	2.12	9.57	32.0	51.0
	2001-2002	2,517	NC	0.85	3.79	13.2	27.2
<b>Dimethyldithiophosphate</b>							
µg/L of urine	1999-2000	1,949	NC	<LOD	2.30	12.0	19.0
	2001-2002	2,518	NC	<LOD	0.89	2.49	4.95
µg/g of creatinine	1999-2000	1,949	NC	<LOD	1.86	10.1	21.7
	2001-2002	2,517	NC	<LOD	0.67	2.60	5.80
<b>Diethylphosphate</b>							
µg/L of urine	1999-2000	1,949	1.03	1.20	3.10	7.50	13.0
	2001-2002	2,520	NC	<LOD	2.76	6.33	11.4
µg/g of creatinine	1999-2000	1,949	0.92	0.92	2.73	7.94	12.1
	2001-2002	2,519	NC	<LOD	2.39	5.23	8.53
<b>Diethylthiophosphate</b>							
µg/L of urine	1999-2000	1,949	NC	0.49	0.76	1.30	2.20
	2001-2002	2,519	0.46	0.57	1.48	2.46	3.94
µg/g of creatinine	1999-2000	1,949	NC	0.25	0.71	1.70	2.64
	2001-2002	2,518	0.45	0.52	1.33	2.84	4.61
<b>Diethyldithiophosphate</b>							
µg/L of urine	1999-2000	1,949	NC	0.08	0.20	0.47	0.87
	2001-2002	2,516	NC	<LOD	<LOD	0.61	0.83
µg/g of creatinine	1999-2000	1,949	NC	0.07	0.20	0.55	0.86
	2001-2002	2,515	NC	<LOD	<LOD	0.58	1.01

<sup>a</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>c</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

**Exhibit 5-38. Urine concentrations of selected pyrethroid pesticide metabolites for the U.S. population age 6-59 years, 2001-2002**

	Survey years	Sample size	Geometric mean and selected percentiles of pyrethroid pesticide metabolite concentrations <sup>a,b,c</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>4-Fluoro-3-phenoxybenzoic acid</b>							
µg/L of urine	2001-2002	2,539	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	2001-2002	2,538	NC	<LOD	<LOD	<LOD	<LOD
<b>cis-3-(2,2-Dichlorovinyl)-2,2-dimethylcyclopropane carboxylic acid</b>							
µg/L of urine	2001-2002	2,539	NC	<LOD	0.16	0.49	0.89
µg/g of creatinine	2001-2002	2,538	NC	<LOD	0.22	0.44	0.78
<b>trans-3-(2,2-Dichlorovinyl)-2,2-dimethylcyclopropane carboxylic acid</b>							
µg/L of urine	2001-2002	2,525	NC	<LOD	0.41	1.20	2.50
µg/g of creatinine	2001-2002	2,524	NC	<LOD	0.72	1.45	2.55
<b>cis-3-(2,2-Dibromovinyl)-2,2-dimethylcyclopropane carboxylic acid</b>							
µg/L of urine	2001-2002	2,539	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	2001-2002	2,538	NC	<LOD	<LOD	<LOD	<LOD
<b>3-Phenoxybenzoic acid</b>							
µg/L of urine	2001-2002	2,539	0.32	0.28	0.69	1.69	3.32
µg/g of creatinine	2001-2002	2,538	0.32	0.28	0.58	1.46	3.10

<sup>a</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>b</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>c</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

## 1 Indicator Limitations

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey
- 3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.
- 4 When CDC releases additional survey results (e.g., 2003-2004) it will become possible to
- 5 more fully evaluate trends (CDC, 2002, 2004b).
- 6 • Urine creatinine concentrations were used to adjust the urinary concentrations of pesticides
- 7 and metabolites of pesticides and phthalates in subsets of adults participating in NHANES.
- 8 Traditionally, this approach has been used in population groups without much diversity.
- 9 However, the inclusion of multiple demographic groups (e.g., children) in NHANES may
- 10 increase the variability in the urinary creatinine levels when comparing across these different
- 11 study populations (Barr et al., 2004).
- 12 • The measurement of an environmental chemical in a person's blood or urine does not by
- 13 itself mean that the chemical has caused or will cause harmful effects in that person.
- 14 • Generally recognized reference levels for carbamate, organophosphate, herbicide, and
- 15 pyrethroid metabolites in urine have not yet been established.
- 16 • Some metabolites may result from sources other than pesticide exposure. For example, 1-
- 17 naphthol in the urine may reflect multiple sources of exposure, and is therefore not just an
- 18 indicator of carbamate pesticide exposure.

1 **Data Sources**

2 Data used for this indicator were extracted from two CDC publications that present results of the ongoing  
3 National Health and Nutrition Examination Survey (CDC, 2003 and 2005). The underlying laboratory  
4 data supporting CDC's report are available online in SAS<sup>®</sup> transport file format at  
5 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

6 **References**

7 Aspelin, A.L. 2003. Pesticide usage in the United States: trends during the 20th century. Raleigh, NC:  
8 Center for Integrated Pest Management, North Carolina State University.  
9 <[http://www.pestmanagement.info/pesticide\\_history/index.pdf](http://www.pestmanagement.info/pesticide_history/index.pdf)>

10 Barr, D.B., L.C. Wilder, S.P. Caudill, A.J. Gonzalez, L.L. Needham, and J.L. Pirkle. 2004. Urinary  
11 creatinine concentrations in the U.S. population: implications for urinary biological monitoring  
12 measurements. Environ. Health Persp. 113:192-200. Accessed September 14, 2005.  
13 <<http://ehp.niehs.nih.gov/members/2004/7337/7337.html>>

14 CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
15 environmental chemicals. NCEH publication no. 05-0570. Accessed September 29, 2005.  
16 <<http://www.cdc.gov/exposurereport/report.htm>>

17 CDC (Centers for Disease Control and Prevention). 2004. NHANES analytic guidelines. June 2004  
18 version. Accessed October 21, 2005.  
19 <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

20 CDC (Centers for Disease Control and Prevention). 2003. Second national report on human exposure to  
21 environmental chemicals. NCEH publication 02-0716. Accessed November 21, 2004.

22 CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
23 NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.  
24 <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>

25 Reigart, J.R., and J.R. Roberts. 1999. Recognition and management of pesticide poisonings. Prepared for  
26 U.S. Environmental Protection Agency. Accessed April 11, 2005.  
27 <<http://www.epa.gov/pesticides/safety/healthcare/handbook/contents.htm>>

28 U.S. EPA. 2003. Restricted use products (RUP) report. Accessed March 10, 2005.  
29 <<http://www.epa.gov/opprd001/rup/>>

## INDICATOR: Urinary Phthalate Level

Phthalates are industrial chemicals added to many consumer products such as food packaging, plastics (plastic bags, garden hoses, recreational toys, medical tubing, plastic clothes, etc.), adhesives, detergents, personal-care products (such as soap, shampoo, nail polish, et.), and many others. Exposure can occur through food that has been in contact with phthalate containing packaging as well as direct contact with products that contain phthalates.

Acute high dose exposure to di (2-ethylhexyl) phthalate may be associated with mild gastrointestinal disturbances, nausea and vertigo (U.S. EPA, 2005). Chronic exposure has been associated with damage to the liver and testes, cancer, and birth defects in animal studies. However, the extent to which these effects occur in humans has not yet been fully investigated (CDC, 2005). A recent review of six phthalate compounds conducted by the Center for the Evaluation of Risks to Human Reproduction summarizes the increasing body of data showing reproductive and developmental toxicity from low-level exposures to certain phthalate compounds as well as highlighting the critical data gaps that exist (Kavlock et al., 2002a-g).

This indicator is based on data collected by the National Health and Nutrition Examination Survey (NHANES). NHANES is a series of surveys conducted by CDC's National Center for Health Statistics (NCHS) that is designed to collect data on the health and nutritional status of the civilian, non-institutionalized U.S. population using a complex, stratified, multistage, probability-cluster design. CDC's National Center for Environmental Health (NCEH) conducted the laboratory analyses for the biomonitoring samples. Beginning in 1999, NHANES became a continuous and annual national survey; biomonitoring for certain environmental chemicals also was implemented. Metabolites of phthalates are measured in urine as a biomarker of phthalate exposure in the population. Data for 1999-2000 and 2001-2002 are presented here as a baseline with the intent of reporting trends across time as more data become available in the future.

### What the Data Show

Exhibit 5-39 presents the geometric means and four percentiles for urinary concentrations and creatinine-adjusted urinary concentrations of 12 selected metabolites of phthalates among a subsample of participants age 6 years and older from the most current NHANES (2001-2002). Seven of the 12 phthalates were also previously measured in the 1999-2000 survey and are also presented in the table. Mono-ethyl phthalate (metabolite for diethyl phthalate, an industrial solvent used in many products including those containing fragrances) was the phthalate detected in the highest concentration during both surveys (1999-2000 and 2001-2002), with a creatinine-adjusted geometric mean concentration of 163 and 167  $\mu\text{g/g}$  of creatinine, respectively.

In addition, other phthalate compounds such as mono-n-butyl phthalate (the metabolite for dibutyl phthalate, an industrial solvent used in cosmetics, printing inks, insecticides), mono-benzyl phthalate (metabolite for benzylbutyl phthalate, an industrial solvent used in adhesives, vinyl flooring, and car care products), and mono-2-ethylhexyl phthalate (metabolite for di-2-ethylhexyl phthalate, used to produce flexible plastics) were detected in urine samples. Mono-cyclohexyl phthalate, mono-n-octyl phthalate, and mono-isononyl phthalate were not measured with sufficient frequency above the limit of detection to calculate a geometric mean for those samples collected between 1999 and 2002.

During the 1999-2000 and 2001-2002 surveys, the geometric mean levels for mono-ethyl phthalate, mono-n-butyl phthalate, mono-benzyl phthalate, and mono-2-ethylhexyl phthalate among specified

1 demographic subgroups were compared after adjustment for the covariates of race/ethnicity, age, gender,  
 2 and urinary creatinine. For those age 6-11 years compared to the older age groups (12-19 years and 20+  
 3 years), urinary mono-ethyl phthalate levels were found to be lower, but urinary mono-butyl, mono-  
 4 benzyl, and mono-2-ethylhexyl phthalates were higher (CDC, 2005). Females tended to have a higher  
 5 level than males for mono-ethyl, mono-butyl, and mono-benzyl phthalates. Non-Hispanic blacks had  
 6 higher levels of mono-ethyl phthalate than non-Hispanic whites or Mexican Americans. (Data not  
 7 shown.)

**Exhibit 5-39.** Urine concentrations of selected phthalate metabolites in the U.S. population age 6 years and older, 1999-2002<sup>a</sup>

	Survey years	Sample size	Geometric mean and selected percentiles of phthalate metabolite concentrations <sup>b,c,d</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Mono-methyl phthalate</b>							
µg/L of urine	2001-2002	2,782	1.15	1.50	3.30	6.00	9.80
µg/g of creatinine	2001-2002	2,772	1.08	1.33	2.62	5.00	7.97
<b>Mono-isobutyl phthalate</b>							
µg/L of urine	2001-2002	2,782	2.71	2.60	5.70	11.9	17.9
µg/g of creatinine	2001-2002	2,772	2.53	2.44	4.50	8.02	12.0
<b>Mono-(2-ethyl-5-hydroxyhexyl) phthalate</b>							
µg/L of urine	2001-2002	2,782	20.0	20.1	43.6	91.3	192
µg/g of creatinine	2001-2002	2,772	18.8	16.6	32.3	70.8	147
<b>Mono-(2-ethyl-5-oxohexyl) phthalate</b>							
µg/L of urine	2001-2002	2,782	13.5	14.0	29.6	59.9	120
µg/g of creatinine	2001-2002	2,772	12.6	11.2	21.3	45.1	87.5
<b>Mono-3-carboxypropyl phthalate</b>							
µg/L of urine	2001-2002	2,782	2.75	3.00	5.70	10.0	14.6
µg/g of creatinine	2001-2002	2,772	2.57	2.45	4.07	7.25	11.4
<b>Mono-ethyl phthalate</b>							
µg/L of urine	1999-2000	2,536	179	164	450	1,260	2,840
	2001-2002	2,782	178	169	465	1,230	2,500
µg/g of creatinine	1999-2000	2,536	163	141	360	898	1,950
	2001-2002	2,772	167	147	388	975	1,860
<b>Mono-n-butyl phthalate</b>							
µg/L of urine	1999-2000	2,541	24.6	26.0	51.6	98.6	149
	2001-2002	2,782	18.9	20.4	40.4	73.6	108
µg/g of creatinine	1999-2000	2,541	22.4	21.9	38.9	68.3	97.5
	2001-2002	2,772	17.8	17.4	30.4	52.4	81.3
<b>Mono-benzyl phthalate</b>							
µg/L of urine	1999-2000	2,541	15.3	17.0	35.3	67.1	103
	2001-2002	2,782	15.1	15.7	38.0	80.8	122
µg/g of creatinine	1999-2000	2,541	14.0	13.3	25.1	50.1	77.4
	2001-2002	2,772	14.1	13.5	26.6	55.1	90.4
<b>Mono-cyclohexyl phthalate</b>							
µg/L of urine	1999-2000	2,541	NC	<LOD	<LOD	<LOD	1.00
	2001-2002	2,782	NC	<LOD	<LOD	0.40	0.40
µg/g of creatinine	1999-2000	2,541	NC	<LOD	<LOD	<LOD	3.00
	2001-2002	2,772	NC	<LOD	<LOD	0.59	0.85

See notes at end of table.

**Continued**

**Exhibit 5-39** (continued). Urine concentrations of selected phthalate metabolites in the U.S. population age 6 years and older, 1999-2002<sup>a</sup>

	Survey years	Sample size	Geometric mean and selected percentiles of phthalate metabolite concentrations <sup>b,c,d</sup>				
			Geometric mean	50 <sup>th</sup>	75 <sup>th</sup>	90 <sup>th</sup>	95 <sup>th</sup>
<b>Mono-2-ethylhexyl phthalate</b>							
µg/L of urine	1999-2000	2,541	3.4	3.2	7.6	14.8	23.8
	2001-2002	2,782	4.3	4.1	9.8	22.8	38.9
µg/g of creatinine	1999-2000	2,541	3.1	3.1	5.9	10.8	18.5
	2001-2002	2,772	4.0	3.9	7.9	18.2	32.8
<b>Mono-n-octyl phthalate</b>							
µg/L of urine	1999-2000	2,541	NC	<LOD	<LOD	1.6	2.90
	2001-2002	2,782	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	1999-2000	2,541	NC	<LOD	<LOD	2.4	3.51
	2001-2002	2,772	NC	<LOD	<LOD	<LOD	<LOD
<b>Mono-isononyl phthalate</b>							
µg/L of urine	1999-2000	2,541	NC	<LOD	<LOD	<LOD	3.50
	2001-2002	2,782	NC	<LOD	<LOD	<LOD	<LOD
µg/g of creatinine	1999-2000	2,541	NC	<LOD	<LOD	<LOD	4.29
	2001-2002	2,772	NC	<LOD	<LOD	<LOD	<LOD

<sup>a</sup>1999-2000 data are not available for mono-methyl phthalate, mono-isobutyl phthalate, mono-(2-ethyl-5-hydroxyhexyl) phthalate, mono-(2-ethyl-5-oxohexyl) phthalate, and mono-3-carboxypropyl phthalate.

<sup>b</sup>NC = not calculated; the proportion of results below the limit of detection was too high to provide a valid result.

<sup>c</sup><LOD = below the limit of detection (LOD) of the analytical method (see CDC, 2005, for chemical-specific LODs).

<sup>d</sup>Refer to CDC, 2005, for confidence intervals for reported values.

**Data source:** CDC, 2005. Data collected by the National Health and Nutrition Examination Survey (NHANES).

## 1 Indicator Limitations

- 2 • Because the data from NHANES 1999-2000 and 2001-2002 represent only two survey  
3 periods, changes in estimates between the two time periods do not necessarily reflect a trend.  
4 When CDC releases additional survey results (e.g., 2003-2004) it will become possible to  
5 more fully evaluate trends (CDC, 2002, 2004b).
- 6 • Urine creatinine concentrations were used to adjust the urinary concentrations of phthalates  
7 and metabolites of phthalates in subsets of adults participating in NHANES. Traditionally,  
8 this approach has been used in population groups without much diversity. However, the  
9 inclusion of multiple demographic groups (e.g., children) in NHANES may increase the  
10 variability in the urinary creatinine levels when comparing across these different study  
11 populations (Barr et al., 2004).
- 12 • Differences in the excretion of various phthalates may be due to differences in either  
13 exposure or toxicokinetics. The low detection rates for some of the long alkyl chain  
14 phthalates metabolites may be due to significantly less metabolism to the monoester  
15 metabolite.
- 16 • It is unknown whether differences between ages, genders, or races/ethnicities represent  
17 differences in exposure, body-size relationships, or metabolism.
- 18 • The measurement of an environmental chemical in a person's blood or urine does not by  
19 itself mean that the chemical has caused or will cause harmful effects in that person.

- 1           • Generally recognized reference levels for phthalate metabolites in urine have not been  
2 established.

### 3 **Data Sources**

4 Data used for this indicator were extracted from the CDC report that presents results of the ongoing  
5 National Health and Nutrition Examination Survey (CDC, 2005). The underlying laboratory data  
6 supporting CDC's report are available online in SAS<sup>®</sup> transport file format at  
7 <http://www.cdc.gov/nchs/about/major/nhanes/datalink.htm>.

### 8 **References**

9 Barr, D.B., L.C. Wilder, S.P. Caudill, A.J. Gonzalez, L.L. Needham, and J.L. Pirkle. 2004. Urinary  
10 creatinine concentrations in the U.S. population: implications for urinary biological monitoring  
11 measurements. Environ. Health Persp. 113:192-200. Accessed September 14, 2005.  
12 <<http://ehp.niehs.nih.gov/members/2004/7337/7337.html>>

13 CDC (Centers for Disease Control and Prevention). 2005. Third national report on human exposure to  
14 environmental chemicals. NCEH publication no. 05-0570. Accessed September 29, 2005.  
15 <<http://www.cdc.gov/exposurereport/report.htm>>

16 CDC (Centers for Disease Control and Prevention). 2004. NHANES analytic guidelines. June 2004  
17 version. Accessed October 21, 2005.  
18 <[http://www.cdc.gov/nchs/data/nhanes/nhanes\\_general\\_guidelines\\_june\\_04.pdf](http://www.cdc.gov/nchs/data/nhanes/nhanes_general_guidelines_june_04.pdf)>

19 CDC (Centers for Disease Control and Prevention). 2002. NHANES 1999-2000 addendum to the  
20 NHANES III analytic guidelines. Updated August 30, 2002. Accessed October 11, 2005.  
21 <<http://www.cdc.gov/nchs/data/nhanes/guidelines1.pdf>>

22 U.S. EPA. 2005. Consumer factsheet on: di(2-ethylhexyl)phthalate. Accessed March 21, 2005.  
23 <<http://www.epa.gov/safewater/dwh/c-soc/phthalat.html>>

24 Kavlock, R., et al. 2002a. NTP Center for the evaluation of risks to human reproduction: phthalates expert  
25 panel report on the reproductive and developmental toxicity of di-n-octyl phthalate. Reprod. Toxicol.  
26 16(5):721-734.

27 Kavlock, R., et al. 2002b. NTP Center for the evaluation of risks to human reproduction: phthalates expert  
28 panel report on the reproductive and developmental toxicity of di-n-hexyl phthalate. Reprod. Toxicol.  
29 16(5):709-719.

30 Kavlock, R., et al. 2002c. NTP Center for the evaluation of risks to human reproduction: phthalates expert  
31 panel report on the reproductive and developmental toxicity of di-isononyl phthalate. Reprod. Toxicol.  
32 16(5):679-708.

33 Kavlock, R., et al. 2002d. NTP Center for the evaluation of risks to human reproduction: phthalates expert  
34 panel report on the reproductive and developmental toxicity of di-isodecyl phthalate. Reprod. Toxicol.  
35 16(5):655-678.

- 1 Kavlock, R., et al. 2002e. NTP Center for the evaluation of risks to human reproduction: phthalates expert
- 2 panel report on the reproductive and developmental toxicity of di(2-ethylhexyl)phthalate. *Reprod.*
- 3 *Toxicol.* 16(5):529-653.
  
- 4 Kavlock, R., et al. 2002f. NTP Center for the evaluation of risks to human reproduction: phthalates expert
- 5 panel report on the reproductive and developmental toxicity of di-n-butyl phthalate. *Reprod. Toxicol.*
- 6 16(5):489-527.
  
- 7 Kavlock, R., et al. 2002g. NTP Center for the evaluation of risks to human reproduction: phthalates expert
- 8 panel report on the reproductive and developmental toxicity of butyl benzyl phthalate. *Reprod. Toxicol.*
- 9 16(5):453-487.

### 1 **5.4.3 Discussion**

#### 2 ***What These Indicators Say About Trends in Exposure to Environmental*** 3 ***Contaminants***

4 The biomonitoring indicators presented in this section provide an overall representation of the levels of  
5 selected contaminants, or metabolites of contaminants, in human blood and urine across the U.S.  
6 population. Measurable levels of many of these contaminants appear in at least some subset of the  
7 populations tested. Together, these indicators help us understand the extent to which exposure to  
8 individual substances has or has not occurred on a national scale.

9 Lead, mercury, cadmium, POP metabolites, and cotinine were reported at varying levels in sampled blood  
10 and the metabolites of pesticides and phthalates in the urine of a subset of those tested. Based on the  
11 available data, some notable changes in blood levels were reported over time, primarily for the metals.  
12 Compared to historic data collected by CDC, blood lead levels have been steadily declining since the  
13 1980s. The same general observation is true for blood cotinine (see Section 2.4).

14 Most blood mercury levels in children and women tested were reported below 5.8 µg/L—levels believed  
15 not to be associated with harmful health effects. However, nearly 6 percent of women tested showed  
16 blood mercury between 5.8 and 58 µg/L. The latter level is considered a general lower bound for  
17 neurological effects in developing fetuses and children of exposed mothers.<sup>52</sup>

18 Current NHANES datasets provide some information about variability of biomarkers across age, gender,  
19 race, or ethnicity. Such analysis is only possible, however, for those chemicals frequently measured above  
20 the level of detection. For example, blood lead levels are highest among children; cadmium levels are  
21 reported highest in the most recent survey in those 20 years and older. Blood mercury levels are reported  
22 for children age 1-5 years and women of child-bearing age only, with the highest levels reported in the  
23 latter group. In most cases where disparities are observed, it is unknown whether the differences observed  
24 represent differences in exposure, pharmacokinetics (absorption, distribution, metabolism, and excretion),  
25 or the relationship of dose per body weight.<sup>53</sup>

#### 26 ***Limitations, Gaps, and Challenges***

27 Available national level data provide information on the general magnitude of exposures that are  
28 occurring for this subset of contaminants. Further, they serve as a firm foundation or baseline for future  
29 analysis. However, available indicator data answer only a part of the question. At this point in time, most  
30 of the biomonitoring indicators alone do not 1) enable an extensive assessment of temporal trends, 2)  
31 identify and explain possible differences among some subpopulations, 3) provide information on the  
32 geographic distribution of the population of concern, or any particular “hot spots” that may exist, 4)  
33 reveal exposure conditions, 5) provide information for all contaminants of potential interest, 6) consider  
34 exposure to multiple contaminants, or 7) provide perspective as to whether measured levels are elevated

---

<sup>52</sup> Centers for Disease Control and Prevention. 2005. Third national report on human exposure to environmental chemicals. NCEH publication no. 05-0570. <<http://www.cdc.gov/exposurereport/3rd/>>

<sup>53</sup> Centers for Disease Control and Prevention. 2005. Third national report on human exposure to environmental chemicals. NCEH publication no. 05-0570. <<http://www.cdc.gov/exposurereport/3rd/>>

1 or likely to cause harmful effects. These represent the most notable limitations, challenges, and data gaps  
2 of EPA interest in answering the question of trends in exposure to environmental contaminants.

3 *Temporal Trends.* The relatively short time frame of the indicator dataset limits the analysis of temporal  
4 trends, but these indicators can serve as a baseline for future analysis. Most of the indicators presented to  
5 answer this question reflect data from only one or two NHANES sampling periods (1999-2000 and 2001-  
6 2002). Only as additional NHANES reports are released every two years, will meaningful temporal trend  
7 analysis be possible. However, CDC has been monitoring blood lead and cotinine since approximately  
8 1976; for these contaminants, more meaningful temporal trend analysis is possible.

9 *Subgroup Analysis.* The adequacy of data for subgroup evaluations varies by indicator. The NHANES  
10 datasets presented in this chapter contain a sufficiently large sample size to provide reliable age, gender,  
11 race, and ethnicity subgroup analyses. In some cases, however, the numbers of observations were  
12 insufficient to meet statistical reliability or confidentiality requirements for reporting estimates for all race  
13 or ethnicity categories.<sup>54</sup> The benefits of such analyses have been demonstrated in earlier NHANES  
14 subgroup comparisons of blood lead levels (e.g., children age 1-5 years, children living in urban or low-  
15 income areas), which have allowed resources to be targeted to higher risk or susceptible populations.  
16 However, not all ages are represented for all biomarkers in NHANES. Further, in cases where a small  
17 percentage of samples had detectable concentrations of the measured contaminant, subgroup comparisons  
18 are not possible or less meaningful.

19 *Geographic Trends.* The data currently available do not allow for reliable regional subgroup analyses,  
20 because the number of geographic regions sampled each year is relatively small. Although the NHANES  
21 sampling scheme is designed to obtain a cross-section of data from various regions across the United  
22 States, the dataset is not sufficiently representative to allow inferences about regional levels of the  
23 selected biomonitoring indicators.

24 *Exposure Conditions.* Biomonitoring data alone do not provide information on when or how exposure to a  
25 particular contaminant occurred. Many different exposure scenarios (e.g., acute high exposure versus  
26 long-term low-level exposures) can lead to the same concentration measured in the body. The measure  
27 does not necessarily identify the source(s) of that contaminant or how a person was exposed (e.g.,  
28 exposure via drinking water versus food versus inhalation; environmental versus non-environmental  
29 source). Biomarkers of exposure integrate exposures across multiple exposure routes. Additional  
30 information on ambient conditions would be needed to determine what exposures contribute to  
31 concentrations in people's bodies. For example, lead in children's blood may come from exposure to  
32 airborne sources, contaminated water or food, or contaminated soil or dust. In addition, some biomarkers  
33 are not specific to a particular contaminant, making interpretation of the data and its significance  
34 uncertain. Lastly, some environmental contaminants are also produced in trace amounts by normal  
35 metabolic processes (e.g., formaldehyde and acetone), so their presence cannot always be attributed to  
36 external exposure.<sup>55,56</sup>

---

<sup>54</sup> National Center for Health Statistics. 2005. Health, United States, 2005, with chartbook on trends in the health of Americans. DHHS publication no. 2005-1232. Hyattsville, MD

<sup>55</sup> Watson, W.P., and A. Mutti. 2004. Role of biomarkers in monitoring exposures to chemicals: present position, future prospects. *Biomarkers* 9(3):211-242.

1 *Other Environmental Contaminants.* There are still many contaminants for which no biomonitoring  
2 indicators exist, and others that are simply not feasible to analyze using current technology or data  
3 collection methods. For example, although it is possible to measure the amount of radiation that a person  
4 is exposed to using a dosimeter, biomarkers are not yet feasible for national estimates of exposure to  
5 radon. Similar issues of feasibility exist with other contaminants, including most criteria air pollutants  
6 (e.g., ozone, nitrogen dioxide, carbon monoxide, and particulate matter), biological agents (e.g., molds,  
7 certain infectious agents such as bacteria or viruses, or dust mites), byproducts from the disinfection of  
8 drinking water (e.g., chlorine or chlorine-containing compounds), and several contaminants commonly  
9 found in air and drinking water at Superfund sites (e.g., trichloroethylene and tetrachloroethylene, among  
10 others). In many cases, biomonitoring for these contaminants is either cost-prohibitive or not yet  
11 technologically feasible. However, biomonitoring methods are constantly evolving. For example, CDC  
12 has added a number of environmental contaminants to its biomonitoring efforts, which will be included in  
13 future reports. These include arsenic, polybrominated compounds, perfluorinated compounds (e.g.,  
14 perfluorooctane sulfonate [PFOS] and perfluorooctanoic acid [PFOA]), among others.<sup>57</sup>

15 In addition, there is continued concern that certain chemicals, referred to as endocrine disruptors, may  
16 contribute to adverse health effects in humans and may impact the health of future generations.  
17 Information about the magnitude and pattern of human exposure to endocrine disruptors is being collected  
18 for only a small subset of chemicals that comprise this group (e.g., PCBs, DDT and its metabolites);  
19 wider testing will be challenging because there are still many compounds that have not yet been classified  
20 as endocrine disruptors, but may someday be identified as such. Moreover, understanding the specific  
21 window of vulnerability during different stages of development will be critical in evaluating the potential  
22 harmful effects of these chemicals.

23 *Multiple contaminants.* Current biomonitoring indicators do not consider the effects of exposures to  
24 multiple contaminants. Specifically, biomarker measurements that are collected in NHANES do not  
25 provide any perspective regarding how different classes of contaminants interact with one another once  
26 they enter the body and to what extent these chemicals are additive, antagonistic, or synergistic.

27 *Clinical Reference or Comparison Levels.* For most available biomonitoring indicators, no general  
28 scientific consensus exists as to how to interpret measured levels of contaminants in blood and urine. For  
29 example, are measured levels associated with some clinical effect or elevated above some “safe” or  
30 “background” level? Tracking trends in exposure over time, combined with trends in ambient  
31 measurements and health outcome measurements, is a key part of establishing such reference values.  
32 Establishing background or reference ranges (distributions) will help in identifying people with unusually  
33 high exposure or the percentage of the populations with contaminant exposures above established levels  
34 of concern.

---

<sup>56</sup> Bates, M.N., J.W. Hamilton, J.S. LaKind, P. Langenberg, M. O’Malley, and W. Snodgrass. 2005. Workgroup report: biomonitoring study design, interpretation, and communication—lessons learned and path forward. *Environ. Health Perspect.* 113(11):1615-1621.

<sup>57</sup> Department of Health and Human Services. 2003. Candidate chemicals for possible inclusion in future releases of the national report on human exposure to environmental chemicals. *Federal Register* 68(189):56296-98. September 30.